

# CS 383 - Machine Learning

## Assignment 4 - Clustering

### 1 Theory

1. Given two clusters:

$$C_1 = \{(1, 2), (0, -1)\}, C_2 = \{(0, 0), (1, 1)\}$$

what is:

- (a) The weighted average intra-cluster distance if you are using euclidean distance?

$$G_i = \frac{\sum_{x,y \in C_i} d(x, y)}{2|C_i|}$$

$$G_1 = \frac{\sum_{x,y \in C_1} d(x, y)}{2|C_1|} = \frac{\sqrt{(1-0)^2 + (2-(-1))^2}}{2 * 2} = \frac{\sqrt{10}}{4}$$

$$G_2 = \frac{\sum_{x,y \in C_2} d(x, y)}{2|C_2|} = \frac{\sqrt{(1-0)^2 + (1-0)^2}}{2 * 2} = \frac{\sqrt{2}}{4}$$

$$W_j = \sum_{i=1} \frac{|C_i|}{N} G_i$$

$$W_j = \frac{|C_1|}{2} G_1 + \frac{|C_2|}{2} G_2 = \frac{2}{2} \frac{\sqrt{10}}{4} + \frac{2}{2} \frac{\sqrt{2}}{4} = \frac{\sqrt{10} + \sqrt{2}}{4}$$

- (b) The single link similarity between the clusters if we're using cosine similarity as our similarity function?

$$\text{sim}(C_i, C_j) = \min_{x \in C_i, y \in C_j} (\text{sim}(x, y))$$

$$\text{sim}(A, B) \Rightarrow \cos(\theta) = \frac{A \cdot B}{\|A\| \|B\|}$$

$$\text{sim}((1, 2), (0, 0)) = \frac{(1, 2) \cdot (0, 0)}{\|(1, 2)\| \|(0, 0)\|} \rightarrow \text{undefined}$$

$$\text{sim}((1, 2), (1, 1)) = \frac{(1, 2) \cdot (1, 1)}{\|(1, 2)\| \|(1, 1)\|} = \frac{3\sqrt{10}}{10}$$

$$\text{sim}((0, -1), (0, 0)) = \frac{(0, -1) \cdot (0, 0)}{\|(0, -1)\| \|(0, 0)\|} \rightarrow \text{undefined}$$

$$\text{sim}((0, -1), (1, 1)) = \frac{(0, -1) \cdot (1, 1)}{\|(0, -1)\| \|(1, 1)\|} = \frac{-\sqrt{2}}{2}$$

$$\text{Single link similarity} = \frac{-\sqrt{2}}{2}$$

- (c) The complete link similarity between the clusters if we're using cosine similarity as our similarity function?

$$\text{sim}(C_i, C_j) = \max_{x \in C_i, y \in C_j} (\text{sim}(x, y))$$

$$\text{sim}(A, B) \Rightarrow \cos(\theta) = \frac{A \cdot B}{\|A\| \|B\|}$$

$$\text{Complete link similarity} = \frac{3\sqrt{10}}{10}$$

- (d) The average link similarity between the clusters if we're using cosine similarity as our similarity function?

$$\text{sim}(C_i, C_j) = \frac{1}{|C_i| |C_j|} \sum_{x \in C_i} \sum_{y \in C_j} (\text{sim}(x, y))$$

$$\text{sim}(A, B) \Rightarrow \cos(\theta) = \frac{A \cdot B}{\|A\| \|B\|}$$

$$\text{Average link similarity} = \frac{1}{4} \cdot \left( \frac{3\sqrt{10}}{10} + \frac{-\sqrt{2}}{2} \right) = 0.060394$$

2. Given an average intracluster distance for clustering level  $j$ ,  $W_j$ , what is the fourth derivative at  $j$ , namely  $W_j^{(4)}$ ?

$$W_j = \sum_{i=1}^{|C_j|} \frac{|C_i|}{N} G_i$$

$$W'_j = \frac{1}{2} \cdot (W_{j+1} - W_{j-1})$$

$$W''_j = \frac{1}{2} \cdot (W'_{j+1} - W'_{j-1}) = \frac{1}{2} \cdot \left( \frac{1}{2} \cdot (W_{j+2} - W_j) - \frac{1}{2} (W_j - W_{j-2}) \right) = \frac{1}{4} \cdot (W_{j+2} - 2W_j + W_{j-2})$$

$$W'''_j = \frac{1}{4} \cdot (W'_{j+2} - 2W'_j + W'_{j-2}) = \frac{1}{4} \cdot \left( \frac{1}{2} \cdot ((W_{j+3} - W_{j+1}) - 2(W_{j+1} - W_{j-1}) + (W_{j-1} - W_{j-3})) \right)$$

$$= \frac{1}{8} \cdot (W_{j+3} - 3W_{j+1} + 3W_{j-1} - W_{j-3})$$

$$W_j^{(4)} = \frac{1}{8} \cdot (W'_{j+3} - 3W'_{j+1} + 3W'_{j-1} - W'_{j-3}) =$$

$$\frac{1}{8} \cdot \frac{1}{2} \cdot ((W_{j+4} - W_{j+2}) - 3(W_{j+2} - W_j) + 3(W_j - W_{j-2}) - (W_{j-2} - W_{j-4}))$$

$$\frac{1}{16} \cdot (W_{j+4} - 4W_{j+2} + 6W_j - 4W_{j-2} + W_{j-4})$$

3. Given the output of your clustering algorithm as  $C_1 = \{1, 2, 3, 4\}$ ,  $C_2 = \{5, 6, 7, 8\}$ , and a hand labeled clustering of  $C_1 = \{3, 4\}$ ,  $C_2 = \{1, 2, 5, 6, 7, 8\}$ , what is the weighed average purity of the clusters created by the clustering algorithm?

$$Purity(C_i) = \frac{1}{|C_i|} \max_j N_{ij}$$

$$Purity(C_1) = \frac{1}{|C_1|} \max_j N_{1j} = \frac{1}{4} \cdot \max(2, 2) = \frac{1}{2}$$

$$Purity(C_2) = \frac{1}{|C_2|} \max_j N_{2j} = \frac{1}{4} \cdot \max(2, 4) = 1$$

$$avg \ purity = \frac{1}{N} \sum_{i=1}^k |C_i| Purity(C_i) \quad avg \ purity = \frac{1}{8} \cdot (4 \cdot \frac{1}{2} + 4 \cdot 1) = 0.75$$

## 2 Clustering

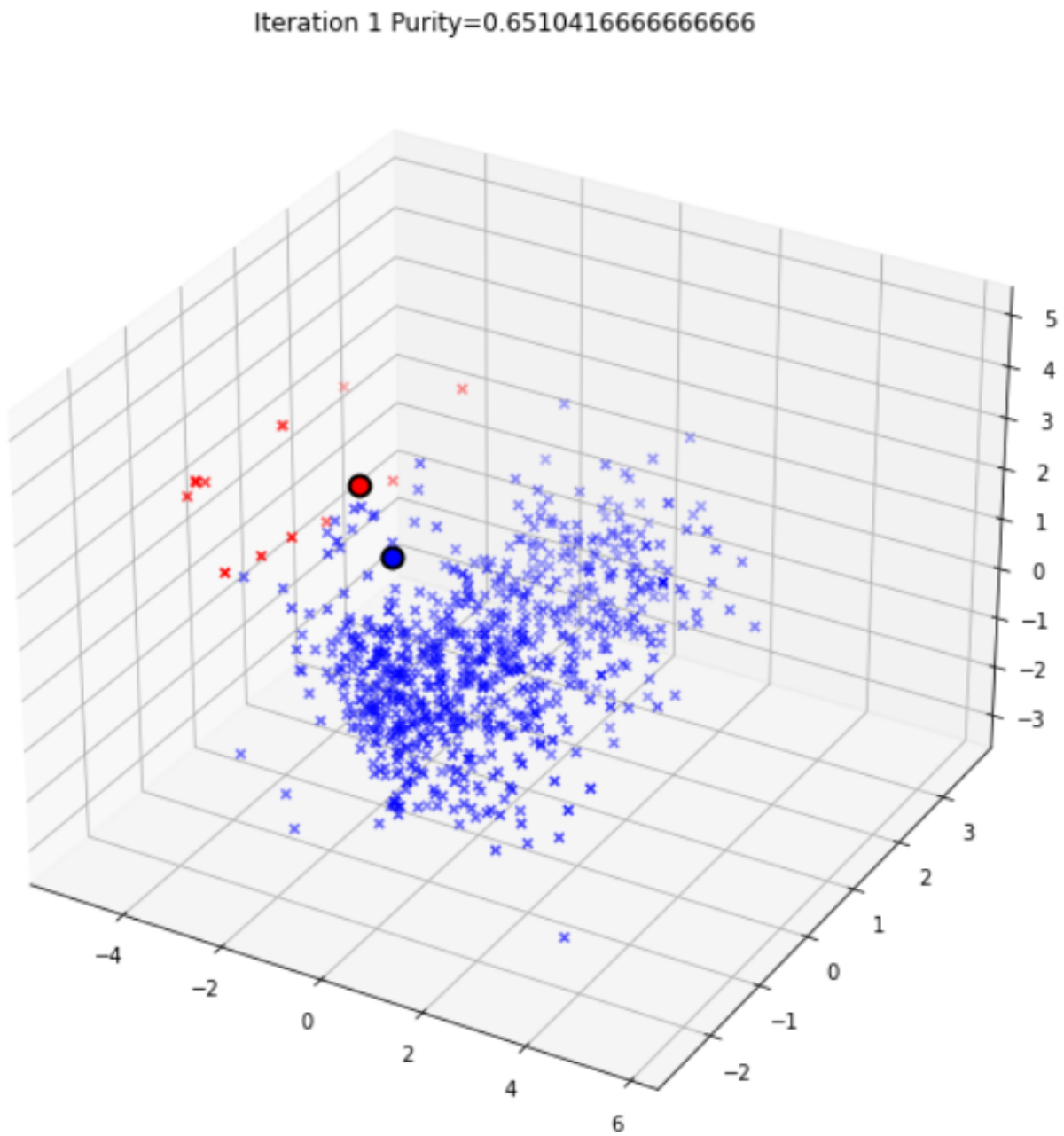


Figure 1: Initial Clustering

Iteration 14 Purity=0.7057291666666666

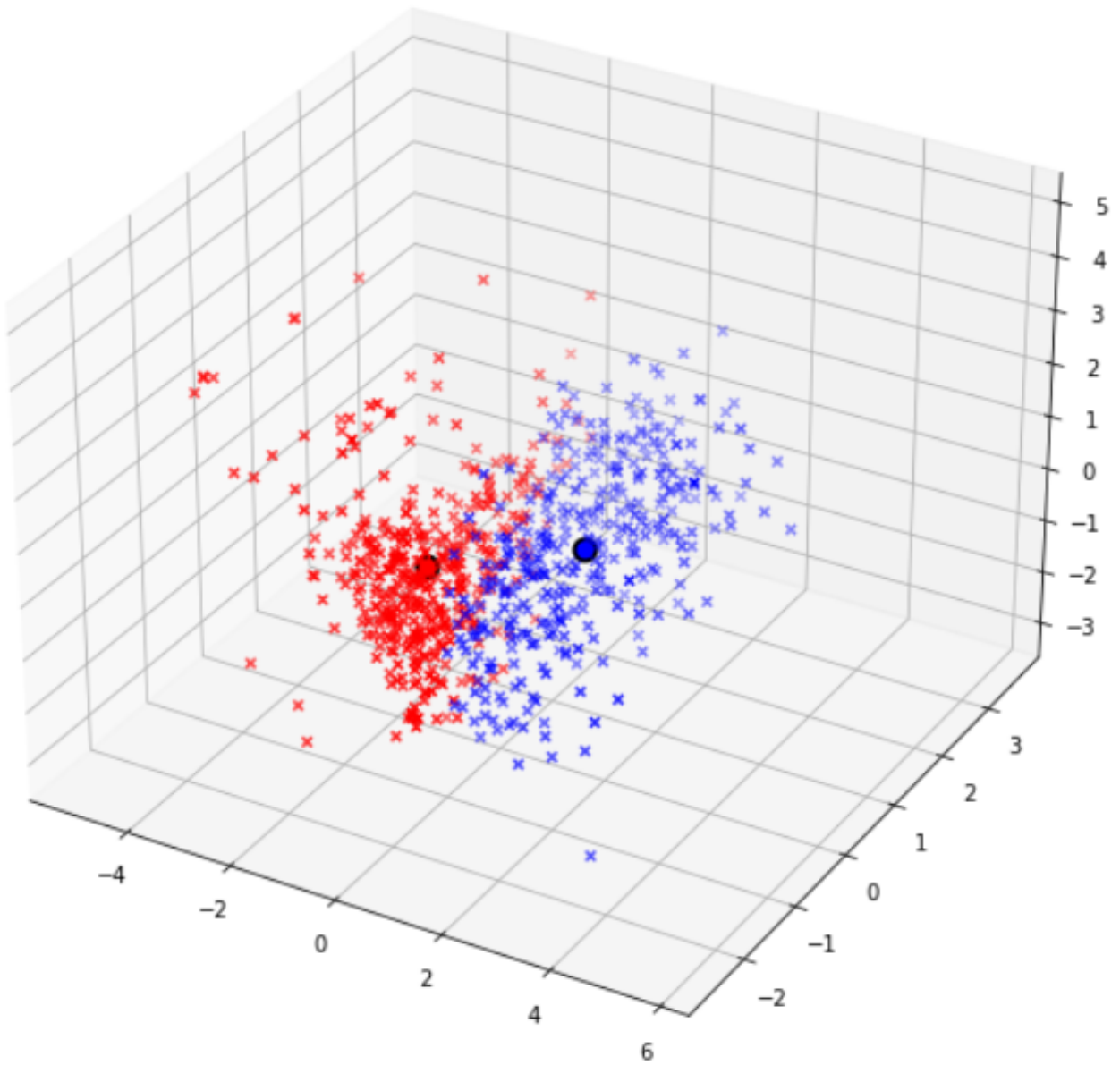


Figure 2: Final Clustering