

Query best practices for Amazon Redshift

AWS Prescriptive Guidance



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AWS Prescriptive Guidance: Query best practices for Amazon Redshift

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Query best practices for Amazon Redshift

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February 2023 (document history)

Overview

This guide provides recommendations and best practices for optimizing query and table performance in Amazon Redshift. You can use Amazon Redshift to query petabytes of structured and semi-structured data across your data warehouse and your data lake by using standard SQL. This guide also provides an overview of the core architecture components of an Amazon Redshift data warehouse. This knowledge—along with an understanding of query performance factors such as table properties, cluster configuration, and query structure—can help you design efficient and effective tables and queries for your Amazon Redshift data warehouse.

Intended audience

This guide is intended for data engineers, data architects, and data analysts who design or use tables and queries in Amazon Redshift.

Targeted business outcomes

This guide can help you and your organization achieve the following objectives:

- Design tables for optimal data storage and retrieval operations
- Design queries for optimal performance and cost savings
- Optimize the performance of Amazon Redshift Spectrum to query data directly from files on Amazon Simple Storage Service (Amazon S3)

Overview 1

Data warehouse architecture components

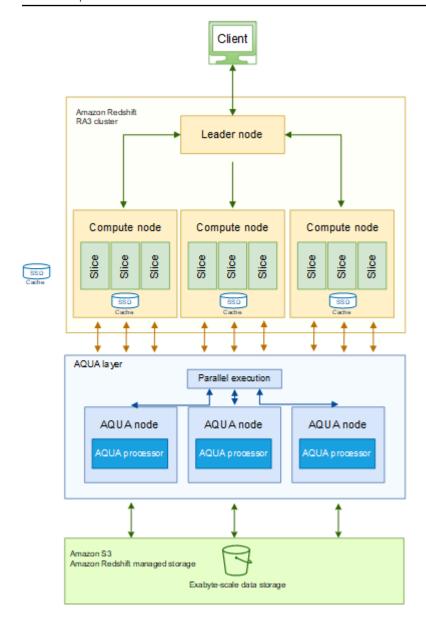
We recommend that you have a basic understanding of the core architecture components in an Amazon Redshift data warehouse. This knowledge can help you better understand how to design your queries and tables for optimal performance.

A data warehouse in Amazon Redshift consists of the following core architecture components:

- Clusters A cluster, which is composed of one or more compute nodes, is the core infrastructure
 component of an Amazon Redshift data warehouse. Compute nodes are transparent to external
 applications, but your client application interacts directly with the leader node only. A typical
 cluster has two or more compute nodes. The compute nodes are coordinated through the leader
 node.
- Leader node A leader node manages the communications for client programs and all compute
 nodes. A leader node also prepares the plans for running a query whenever a query is submitted
 to a cluster. When the plans are ready, the leader node compiles code, distributes the compiled
 code to the compute nodes, and then assigns slices of data to each compute node to process the
 query results.
- Compute node A compute node runs a query. The leader node compiles code for individual elements of the plan to run the query and assigns the code to individual compute nodes. The compute nodes run the compiled code and send intermediate results back to the leader node for final aggregation. Each compute node has its own dedicated CPU, memory, and attached disk storage. As your workload grows, you can increase the compute capacity and storage capacity of a cluster by increasing the number of nodes, upgrading the node type, or both.
- Node slice A compute node is partitioned into units called slices. Every slice in a compute
 node is allocated a portion of the node's memory and disk space where it processes a portion of
 the workload assigned to the node. The slices then work in parallel to complete the operation.
 Data is distributed among slices on the basis of the <u>distribution style</u> and distribution key of a
 particular table. An even distribution of data makes it possible for Amazon Redshift to evenly
 assign workloads to slices and maximizes the benefit of parallel processing. The number of slices
 per compute node is decided on the basis of the type of node. For more information, see <u>Clusters</u>
 and nodes in Amazon Redshift in the Amazon Redshift documentation.
- Massively parallel processing (MPP) Amazon Redshift uses MPP architecture to quickly process data, even complex queries and vast amounts of data. Multiple compute nodes run the same query code on portions of data to maximize parallel processing.

- Advanced Query Accelerator (AQUA) AQUA is a distributed hardware-accelerated cache that
 makes Amazon Redshift run up to 10 times faster than other enterprise cloud data warehouses
 by automatically boosting certain types of queries. AQUA also performs a substantial share
 of data processing in place, and uses AWS-designed processors and a scale-out architecture
 to accelerate data processing beyond anything traditional CPUs can do today. For more
 information, see the AQUA (Advanced Query Accelerator) A Speed Boost for Your Amazon
 Redshift Queries post in the AWS News Blog.
- Client application Amazon Redshift integrates with various data loading, extract, transform, and load (ETL), business intelligence (BI) reporting, data mining, and analytics tools. All client applications communicate with the cluster through the leader node only.

The following diagram shows how the architecture components of an Amazon Redshift data warehouse work together to accelerate queries:



There are six stages of the query lifecycle:

- 1. The Amazon Redshift leader node receives a query and parses the SQL code.
- 2. The leader node builds and optimizes a query execution plan that breaks a query down into a sequence of steps, and then determines if any of these steps must be sent to the AQUA layer. The leader node determines if the AQUA layer is used or not.
- 3. The leader node distributes the work of executing the steps in parallel across the compute nodes. The compute nodes send the AQUA subqueries (if there are any) to the AQUA fleet and then wait for the results.
- 4. If AQUA receives a subquery, then AQUA processes the subquery and sends the results back to the compute nodes.

- 5. The compute nodes execute all the steps and send the results back to the leader node.
- 6. The leader node addresses any final sorting or aggregation, and then returns the results to the client.

For information on architecture components, see <u>Data warehouse system architecture</u> in the Amazon Redshift Database Developer Guide.

Query performance factors

A number of factors can affect query performance. The following aspects of your data, cluster, and database operations all play a part in how quickly your queries are processed:

- Query structure and code compilation
- Table properties
- Cluster configuration

Query	SQL query	Query structureCode compilation
	Amazon Redshift Advisor	Automated
Table properties	Sort keys	Compression
le pr	Automated	Automated
Tab	Distribution style	Table maintenance
	Automated	Automated
juration	Workload management	Short query acceleration
Cluster configuration	Node type	Number of nodes and slices
Clus	Advanced Query Accelerator (AQUA)	

Table properties

Amazon Redshift tables are the fundamental units for storing data in Amazon Redshift, and each table has a set of properties that determine its behavior and accessibility. These properties include sorting, distribution style, compression encoding, and many others. Understanding these properties is crucial for optimizing the performance, security, and cost-effectiveness of Amazon Redshift tables.

Table properties 6

Sort keys

Amazon Redshift stores data on disk in sorted order according to a table's sort keys. The query optimizer and the query processor use the information about where the data is located within a compute node to reduce the number of blocks that must be scanned. This improves query speed significantly by reducing the amount of data to process. We recommend that you use sort keys to facilitate filters in the WHERE clause. For more information, see Working with sort keys in the Amazon Redshift documentation.

Data compression

Data compression reduces storage requirements, which reduces disk I/O and improves query performance. When you run a query, the compressed data is read into memory and then uncompressed when the query runs. By loading less data into memory, Amazon Redshift can allocate more memory to analyzing the data. Because columnar storage stores similar data sequentially, Amazon Redshift can apply adaptive compression encodings specifically tied to columnar data types. The best way to enable data compression on table columns is by using the AUTO option in Amazon Redshift to apply optimal compression encodings when you load the table with data. To learn more about using automatic data compression, see Loading tables with automatic compression in the Amazon Redshift documentation.

Data distribution

Amazon Redshift stores data on the compute nodes according to a table's distribution style. When you run a query, the query optimizer redistributes the data to the compute nodes as needed to perform any joins and aggregations. Choosing the right distribution style for a table helps minimize the impact of the redistribution step by locating the data where it needs to be before the joins are performed. We recommend that you use distribution keys to facilitate the most common joins. For more information, see Working with data distribution styles in the Amazon Redshift documentation.

Table maintenance

Although Amazon Redshift provides industry-leading performance out of the box for most workloads, keeping Amazon Redshift clusters running well requires maintenance. Updating and deleting data creates dead rows that must be vacuumed, and even append-only tables must be resorted if the append order isn't consistent with the sort key.

Vacuum

Table properties 7

The vacuuming process in Amazon Redshift is essential for the health and maintenance of your Amazon Redshift cluster. It also affects the performance of queries. Since deletes and updates both flag the old data but don't actually remove it, you must use vacuuming to reclaim the disk space that was occupied by table rows that were marked for deletion by the previous UPDATE and DELETE operations. Amazon Redshift can automatically sort and perform a VACUUM DELETE operation on tables in the background.

To clean up tables after a load or a series of incremental updates, you can also run the VACUUM command, either against the entire database or against individual tables. If tables have sort keys and table loads aren't optimized to sort as they insert, then you must use vacuums to resort the data (which can be crucial for performance). For more information, see <u>Vacuuming tables</u> in the Amazon Redshift documentation.

Analyze

The ANALYZE operation updates statistical metadata on the tables in an Amazon Redshift database. Keeping statistics current improves query performance by enabling the query planner to choose optimal plans. Amazon Redshift continuously monitors your database and automatically performs analyze operations in the background. To minimize impact to your system performance, the ANALYZE operation automatically runs during periods when workloads are light. If you choose to explicitly run ANALYZE, do the following:

- Run the ANALYZE command before running queries.
- Run the ANALYZE command on the database routinely at the end of every regular load or update cycle.
- Run the ANALYZE command on new tables that you create and existing tables or columns that undergo significant change.
- Consider running ANALYZE operations on different schedules for different types of tables and columns, depending on their use in queries and their propensity to change.
- To save time and cluster resources, use the PREDICATE COLUMNS clause when you run the ANALYZE command.

Cluster configuration

A cluster is a collection of nodes which perform the actual storing and processing of data. Setting up your Amazon Redshift cluster the right way is critical if you want to achieve the following:

Cluster configuration 8

- · High scalability and concurrency
- · Efficient use of Amazon Redshift
- Better performance
- Lower cost

Node type

An Amazon Redshift cluster can use one of several node types (RA3, DC2, and DS2). Each node type offers different sizes and limits to help you scale your cluster appropriately. The node size determines the storage capacity, memory, CPU, and price of each node in the cluster. Cost and performance optimization starts with choosing the right node type and size. For more information about node types, see Overview of Amazon Redshift clusters in the Amazon Redshift documentation.

Node size, number of nodes, and slices

A compute node is partitioned into slices. More nodes means more processors and slices, which enables your queries to process faster by running portions of the query concurrently across the slices. However, more nodes also means greater expense. This means that you must find the balance of cost and performance that is appropriate for your system. For more information on Amazon Redshift cluster architecture, see Data warehouse system architecture in the Amazon Redshift documentation.

Workload management

Amazon Redshift workload management (WLM) enables users to flexibly manage workload queues with priorities so that short, fast-running queries won't get stuck in queues behind long-running queries. Automatic WLM uses machine learning (ML) algorithms to profile queries and place them in the appropriate queue with the appropriate resources, while managing query concurrency and memory allocation. For more information about WLM, see Implementing workload management in the Amazon Redshift documentation.

Short query acceleration

Short query acceleration (SQA) prioritizes short-running queries ahead of long-running queries. SQA runs queries in a dedicated space so that SQA queries aren't forced to wait in queues behind longer queries. SQA only prioritizes queries that are short-running and are in a user-defined queue. If you use SQA, short-running queries begin running more quickly and you can see results

Cluster configuration 9

sooner. If you enable SQA, you can reduce or eliminate WLM queues that are dedicated to short-running queries. In addition, long-running queries don't need to contend for slots in a WLM queue. This means that you can configure your WLM queues to use fewer query slots. If you use lower concurrency, query throughput is increased and overall system performance is improved for most workloads. For more information about SQA, see Working with short query acceleration in the Amazon Redshift documentation.

Advanced Query Accelerator (AQUA)

Advanced Query Accelerator (AQUA) is a cost-effective analytics query accelerator for Amazon Redshift that uses custom-designed hardware to speed up queries that scan large datasets. AQUA is optimized for both secure, transactional multi-tenant access and high-throughput analytic queries. For compression and encryption, AQUA uses high-speed non-volatile memory express (NVMe) solid-state storage elements and Nitro-based acceleration. AQUA also uses acceleration based on a field-programmable gate array (FPGA) to maximize computation in the storage layer. The AQUA components are connected together in a unique way so that you can get data scanning without using a traditional CPU and aggregate intermediate results in high-speed memory. AQUA serves as a data cache and maintains high-speed connections to Amazon Redshift managed storage.

You don't need to change your databases or applications to use AQUA. Amazon Redshift identifies the scan portions of queries that can benefit from acceleration and pushes them to AQUA for processing. AQUA automatically optimizes query performance on queries that require extensive scans, filters, and aggregation on large datasets. AQUA accelerates these queries, such as those that contain LIKE and SIMILAR TO predicates.

Query

A database query is a request for data from a database. The request should come in an Amazon Redshift cluster using SQL. Amazon Redshift supports SQL client tools that connect through Java Database Connectivity (JDBC) and Open Database Connectivity (ODBC). You can use most SQL client tools that support JDBC or ODBC drivers.

Query structure

How your query is written greatly affects its performance. We recommend that you write queries to process and return as little data as necessary to meet your needs. For more information on how to structure your queries, see the Best practices for designing queries section of this guide.

Query 10

Code compilation

Amazon Redshift generates and compiles code for each query execution plan. The compiled code runs faster because it removes the overhead of using an interpreter. You generally have some overhead cost the first time code is generated and compiled. As a result, the performance of a query the first time you run it can be misleading. The overhead cost could be especially noticeable when you run one-off queries. We recommend that you run the query a second time to determine its typical performance.

Amazon Redshift uses a serverless compilation service to scale query compilations beyond the compute resources of an Amazon Redshift cluster. The compiled code segments are cached locally on the cluster and in a virtually unlimited cache. This cache persists after cluster reboots. Subsequent invocations of the same query run faster because they can skip the compilation phase. The cache is not compatible across Amazon Redshift versions, so the code is recompiled when queries run after a version upgrade. By using a scalable compilation service, Amazon Redshift can compile code in parallel to provide consistently fast performance. The magnitude of workload speed-up depends on the complexity and concurrency of queries.

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Best practices for designing tables

This section provides an overview of best practices for designing database tables. We recommend that you follow these best practices to achieve optimal query performance and efficiency.

Understand how sort keys work

Amazon Redshift stores your data on disk in sorted order according to the sort key. The Amazon Redshift query optimizer uses sort order when it determines optimal query plans. To use sort keys effectively, we recommend that you do the following:

- Keep the table sorted as much as possible.
- Use VACUUM sort to restore optimal performance.
- Avoid compressing the sort key column.
- If the sort key is compressed and if the sortkey1_skew ratio is significantly high, then recreate the table without enabling compression on the sort key.
- Avoid applying a function to the sort key columns. For example, in the following query, the trans_dt: TIMESTAMPTZ sort key column isn't used if you cast it to DATE:

```
select order_id, order_amt
from sales
where trans_dt::date = '2021-01-08'::date
```

- Perform INSERT operations in sort key order.
- Use sort keys in the GROUP BY clause when possible.

Query tuning tips

We recommend that you do the following to tune your queries:

- Always order compound sort keys from lowest-cardinality to highest-cardinality for optimal effectiveness.
- If the leading key in a compound sort key is relatively unique (that is, it has high cardinality), then avoid adding additional columns to your sort key. Adding additional columns has little impact on query performance but does add maintenance costs.

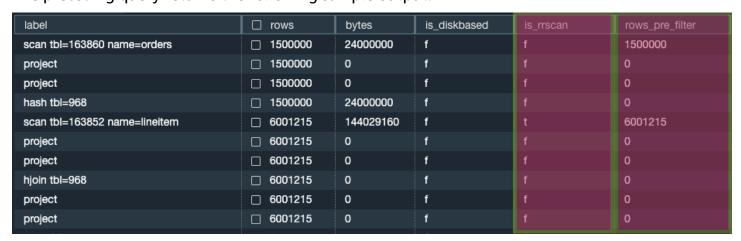
Evaluate sort key effectiveness

To optimize your queries, you must be able to evaluate the effectiveness of your queries. We recommend that you use the SVL_QUERY_SUMMARY view to find general information about the execution of a query. In this view, you can use the attribute IS_RRSCAN to determine if an EXPLAIN plan step uses a range-restricted scan. You can also use the attribute rows_pre_filter to determine the selectivity the of a sort key.

You can also use an admin view from GitHub called $v_my_last_query_summary$. The view displays information for the last query that ran.

The following statement shows how to find general information about the execution of a query:

The preceding query returns the following sample output:



Know your table

It's important to understand the critical properties of your table. To learn more about your table, do the following:

Evaluate sort key effectiveness 13

- Use PG_TABLE_DEF to view information about table columns.
- Use <u>SVV_TABLE_INFO</u> to view more comprehensive information about a table, including data distribution skew, key distribution skew, table size, and statistics.

Choose the right table distribution style

When you run a query, the query optimizer redistributes the rows to the compute nodes as needed to perform any joins and aggregations. The goal in selecting a table distribution style is to minimize the impact of the redistribution step by locating the data where it needs to be before you run the query.

We recommend the following approach to choosing the right table distribution style:

- Avoid broadcasting and redistribution in a query execution plan by collocating the rows within
 the same node. For example, by selecting a DISTKEY, you can distribute the fact table and one
 dimension table on their common columns. Choose the largest dimension based on the size of
 the filtered dataset. Only the rows that are used in the join must be distributed, so consider the
 size of the dataset after filtering, not the size of the table.
- Make sure there is no skewness on the column where the distribution key is created. Otherwise,
 one compute node could perform more heavy lifting than others. If you notice skewness, then
 consider changing the distribution key column. A column can be considered as a candidate for a
 distribution key if its values are uniformly distributed or high cardinal values.
- If the table used in the join condition is small (less than 1 GB), then consider the distribution style ALL.
- You can compress the distribution key, but you must avoid compressing the sort key column (especially the first column of the sort key).

Note

If you use automatic table optimization, you don't need to choose the distribution style of your table. For more information, see <u>Working with automatic table optimization</u> in the Amazon Redshift documentation. To have Amazon Redshift choose the appropriate distribution style, specify AUTO for the distribution style.

Best practices for designing queries

This section provides an overview of best practices for designing queries. We recommend that you follow the best practices in this section to achieve optimal query performance and efficiency.

Avoid using the SELECT * FROM statement

We recommend that you avoid using the SELECT * FROM statement. Instead, always list out columns for analysis. This reduces query execution time and scans costs for Amazon Redshift Spectrum queries.

Example of what to avoid

```
select *
from sales;
```

Best practice example

```
select sales_date, sales_amt
from sales;
```

Identify query issues

We recommend that you check the <u>STL_ALERT_EVENT_LOG</u> view to identify and correct possible issues with your query.

Get summary information on your query

We recommend that you use the <u>SVL_QUERY_SUMMARY</u> and <u>SVL_QUERY_REPORT</u> views to get summary information on your queries. You can use this information to optimize your queries.

Avoid cross-joins

We recommend that you avoid using cross-joins unless absolutely necessary. Without a join condition, cross-joins result in the Cartesian product of two tables. Cross-joins are typically run as nested-loop joins (the slowest of the possible join types).

Example of what to avoid

Best practice example

Avoid functions in query predicates

We recommend that you avoid using functions in query predicates. Using functions in query predicates can negatively impact performance because functions typically add extra processing overhead to each row and slow down the overall execution of the query.

Example of what to avoid

```
select sum(o_totalprice)
from tpch.orders
where datepart(year, o_orderdate) = 1992;
```

Best practice example

```
select sum(o_totalprice)
from tpch.orders
where o_orderdate between '1992-01-01' and '1992-12-31';
```

Avoid unnecessary cast conversions

We recommend that you avoid using unnecessary cast conversion on the queries because casting data types takes time and resources and slows down query execution.

Example of what to avoid

```
select sum(o_totalprice)
from tpch.orders
where o_ordertime::date = '1992-01-01';
```

Best practice example

```
select sum(o_totalprice)
from tpch.orders
where o_ordertime between '1992-01-01 00:00:00' and '1992-12-31 23:59:59';
```

Use CASE expressions for complex aggregations

We recommend that you use a <u>CASE expression</u> to perform complex aggregations instead of selecting from the same table multiple times.

Example of what to avoid

```
select sum(sales_amt) as us_sales
from sales
where country = 'US';
select sum(sales_amt) as ca_sales
from sales
where country = 'CA';
```

Best practice example

Use subqueries

We recommend that you use subqueries in cases where one table in the query is used only for predicate conditions and the subquery returns a small number of rows (less than about 200).

Example of what to avoid

If a subquery returns less than 200 rows:

```
select sum(order_amt) as total_sales
from sales
where region_key IN
         (select region_key
          from regions
         where state = 'CA');
```

Best practice example

If a subquery returns greater than or equal to 200 rows:

```
select sum(o.order_amt) as total_sales
from sales o
join regions r
  on r.region_key = o.region_key
  and r.state = 'CA';
```

Use predicates

We recommend that you use predicates to restrict the dataset as much as possible. Predicates are used in SQL to filter and restrict the data that's returned in a query. By specifying conditions in a predicate, you can specify which rows must be included in the query results based on specified conditions. This allows you to retrieve only the data that you're interested in and improves the efficiency and accuracy of your queries. For more information, see Conditions in the Amazon Redshift documentation.

Add predicates to filter tables with joins

We recommend that you add predicates to filter tables that participate in joins, even if the predicates apply the same filters. Using predicates to filter tables with joins in SQL can improve query performance by reducing the amount of data that must be processed and reducing the size of the intermediate result set. By specifying the conditions for the join operation in the WHERE clause, the query execution engine can eliminate rows that don't match the conditions before they are joined. This results in a smaller result set and faster query execution.

Example of what to avoid

```
select p.product_name, sum(o.order_amt)
```

Use predicates 18

```
from sales o
join product p
  on r.product_key = o.product_key
where o.order_date > '2022-01-01';
```

Best practice example

```
select p.product_name, sum(o.order_amt)
from sales o
join product p
  on p.product_key = o.product_key
  and p.added_date > '2022-01-01'
where o.order_date > '2022-01-01';
```

Use the least expensive operators for predicates

In the predicate, use the least expensive operators that you can. <u>Comparison condition</u> operators are preferable to <u>LIKE</u> operators. <u>LIKE</u> operators are still preferable to <u>SIMILAR TO</u> or <u>POSIX</u> operators.

Use sort keys in GROUP BY clauses

Use sort keys in the GROUP BY clause so that the query planner can use more efficient aggregation. A query might qualify for one-phase aggregation when its GROUP BY list contains only sort key columns, one of which is also the distribution key. The sort key columns in the GROUP BY list must include the first sort key, followed by other sort keys that you want to use in sort key order.

Take advantage of materialized views

If possible, rewrite the query by replacing the complex code with a materialized view, which will significantly improve the performance of the query. For more information, see <u>Creating</u> materialized views in Amazon Redshift in the Amazon Redshift documentation.

Be careful with columns in GROUP BY and ORDER BY clauses

If you use both GROUP BY and ORDER BY clauses, make sure that you put the columns in the same order in both GROUP BY and ORDER BY clauses. GROUP BY implicitly requires the data to be sorted. If your ORDER BY clause is different, then the data must be sorted twice.

Example of what to avoid

```
select a, b, c, sum(d)
from a_table
group by b, c, a
order by a, b, c
```

Best practice example

```
select a, b, c, sum(d)
from a_table
group by a, b, c
order by a, b, c
```

Best practices for using Amazon Redshift Spectrum

This section provides an overview of best practices for using Amazon Redshift Spectrum. We recommend that you follow these best practices to achieve optimal performance when you use Amazon Redshift Spectrum:

- Consider that file types have a significant influence on Amazon Redshift Spectrum query performance. To improve performance, use columnar encoded files such as ORC or Parquet, and use CSV format only for very small dimension tables.
- Use prefix-based partitioning to take advantage of partition pruning. This means using filters that are keyed to the partitions in your data lake.
- Amazon Redshift Spectrum scales automatically to process large requests, so do as much as possible in Amazon Redshift Spectrum (for example, predicate pushdown).
- Pay attention to partition files on frequently filtered columns. If data is partitioned by one or more filtered columns, Amazon Redshift Spectrum can take advantage of partition pruning and skip scanning unneeded partitions and files. A common practice is to partition the data based on time.
- You can check the effectiveness of your partitions and efficiency of your Amazon Redshift Spectrum query by using the following query:

The preceding query shows the following:

- total_partitions The number of partitions recognized by the AWS Glue Data Catalog
- qualified_partitions The number of prefixes on Amazon S3 that are accessed for the Amazon Redshift Spectrum query

You can also check the SVL_S3QUERY_SUMMARY system table to learn about the effectiveness of your partitions and efficiency of your Amazon Redshift Spectrum query. To do so, use the following statement:

```
Select *
From svl_s3query_summary
Where query=pg_last_query_id();
```

The preceding query returns even more information, including is_partitioned, s3_scanned_rows/bytes, and s3_returned_rows/bytes values in addition to files showing the efficiency of partition pruning.

Predicate pushdown in Amazon Redshift Spectrum

Using predicate pushdown avoids consuming resources in the Amazon Redshift cluster. You can push many SQL operations down to the Amazon Redshift Spectrum layer. We recommend taking advantage of this wherever possible.

Keep in mind the following:

- You can evaluate some types of SQL operations completely within the Amazon Redshift Spectrum layer, including the following:
 - GROUP BY clauses
 - Comparison and pattern-matching conditions (for example, LIKE)
 - Aggregate functions (for example, COUNT, SUM, AVG, MIN, and MAX)
 - regex_replace, to_upper, date_trunc, and other functions
- You can't push some operations to the Amazon Redshift Spectrum layer, including DISTINCT and ORDER BY. Perform ORDER BY only at the top level of the query if possible, since sorting is done in the leader node.
- Examine your query EXPLAIN plan to verify if predicate pushdown is effective. To find Amazon Redshift Spectrum portions in an EXPLAIN command, look for these steps:
 - S3 Seq Scan
 - S3 HashAggregate
 - S3 Query Scan
 - Seq Scan PartitionInfo
 - Partition Loop

- Use the fewest number of columns in your query. Amazon Redshift Spectrum can eliminate columns for scanning if data is in Parquet or ORC format.
- Make extensive use of partitions for parallel processing and partition elimination, and keep file sizes to at least 64 MB if possible.
- Set the TABLE PROPERTIES 'numRows'='nnn' if you use CREATE EXTERNAL TABLE or ALTER TABLE. Amazon Redshift doesn't analyze external tables to generate table statistics that the query optimizer uses to generate a query plan. If the statistics aren't set, then Amazon Redshift assumes that external tables are the larger tables and local tables are the smaller tables.

Query tuning tips for Amazon Redshift Spectrum

We recommend that you keep the following in mind when you tune your queries:

- The number of Amazon Redshift Spectrum nodes that your Amazon Redshift cluster can engage for a query is tied to the number of slices in your cluster.
- Resizing your cluster up can benefit your cluster's local compute profiles, storage profiles, and the query capabilities of the Amazon S3 data lake query.
- The Amazon Redshift query planner pushes predicates and aggregations to the Amazon Redshift Spectrum query layer whenever possible.
- When large amounts of data are returned from Amazon S3, the processing is limited by your cluster's resources.
- Since Amazon Redshift Spectrum scales automatically to process large requests, your overall performance improves whenever you can push processing to the Amazon Redshift Spectrum layer.

Resources

- Amazon Redshift best practices (Amazon Redshift documentation)
- Amazon Redshift best practices for designing queries (Amazon Redshift documentation)
- Tuning query performance (Amazon Redshift documentation)
- Query plan (Amazon Redshift documentation)
- Improving Amazon Redshift Spectrum query performance (Amazon Redshift documentation)

Document history

The following table describes significant changes to this guide. If you want to be notified about future updates, you can subscribe to an RSS feed.

Change	Description	Date
Initial publication	_	February 3, 2023

AWS Prescriptive Guidance glossary

The following are commonly used terms in strategies, guides, and patterns provided by AWS Prescriptive Guidance. To suggest entries, please use the **Provide feedback** link at the end of the glossary.

Numbers

7 Rs

Seven common migration strategies for moving applications to the cloud. These strategies build upon the 5 Rs that Gartner identified in 2011 and consist of the following:

- Refactor/re-architect Move an application and modify its architecture by taking full
 advantage of cloud-native features to improve agility, performance, and scalability. This
 typically involves porting the operating system and database. Example: Migrate your onpremises Oracle database to the Amazon Aurora PostgreSQL-Compatible Edition.
- Replatform (lift and reshape) Move an application to the cloud, and introduce some level
 of optimization to take advantage of cloud capabilities. Example: Migrate your on-premises
 Oracle database to Amazon Relational Database Service (Amazon RDS) for Oracle in the AWS
 Cloud.
- Repurchase (drop and shop) Switch to a different product, typically by moving from a traditional license to a SaaS model. Example: Migrate your customer relationship management (CRM) system to Salesforce.com.
- Rehost (lift and shift) Move an application to the cloud without making any changes to take advantage of cloud capabilities. Example: Migrate your on-premises Oracle database to Oracle on an EC2 instance in the AWS Cloud.
- Relocate (hypervisor-level lift and shift) Move infrastructure to the cloud without
 purchasing new hardware, rewriting applications, or modifying your existing operations.
 This migration scenario is specific to VMware Cloud on AWS, which supports virtual machine
 (VM) compatibility and workload portability between your on-premises environment and
 AWS. You can use the VMware Cloud Foundation technologies from your on-premises data
 centers when you migrate your infrastructure to VMware Cloud on AWS. Example: Relocate
 the hypervisor hosting your Oracle database to VMware Cloud on AWS.
- Retain (revisit) Keep applications in your source environment. These might include
 applications that require major refactoring, and you want to postpone that work until a later

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time, and legacy applications that you want to retain, because there's no business justification for migrating them.

 Retire – Decommission or remove applications that are no longer needed in your source environment.

Α

ABAC

See attribute-based access control.

abstracted services

See managed services.

ACID

See atomicity, consistency, isolation, durability.

active-active migration

A database migration method in which the source and target databases are kept in sync (by using a bidirectional replication tool or dual write operations), and both databases handle transactions from connecting applications during migration. This method supports migration in small, controlled batches instead of requiring a one-time cutover. It's more flexible but requires more work than active-passive migration.

active-passive migration

A database migration method in which in which the source and target databases are kept in sync, but only the source database handles transactions from connecting applications while data is replicated to the target database. The target database doesn't accept any transactions during migration.

aggregate function

A SQL function that operates on a group of rows and calculates a single return value for the group. Examples of aggregate functions include SUM and MAX.

ΑI

See artificial intelligence.

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AIOps

See artificial intelligence operations.

anonymization

The process of permanently deleting personal information in a dataset. Anonymization can help protect personal privacy. Anonymized data is no longer considered to be personal data.

anti-pattern

A frequently used solution for a recurring issue where the solution is counter-productive, ineffective, or less effective than an alternative.

application control

A security approach that allows the use of only approved applications in order to help protect a system from malware.

application portfolio

A collection of detailed information about each application used by an organization, including the cost to build and maintain the application, and its business value. This information is key to the portfolio discovery and analysis process and helps identify and prioritize the applications to be migrated, modernized, and optimized.

artificial intelligence (AI)

The field of computer science that is dedicated to using computing technologies to perform cognitive functions that are typically associated with humans, such as learning, solving problems, and recognizing patterns. For more information, see What is Artificial Intelligence?

artificial intelligence operations (AIOps)

The process of using machine learning techniques to solve operational problems, reduce operational incidents and human intervention, and increase service quality. For more information about how AIOps is used in the AWS migration strategy, see the <u>operations</u> integration guide.

asymmetric encryption

An encryption algorithm that uses a pair of keys, a public key for encryption and a private key for decryption. You can share the public key because it isn't used for decryption, but access to the private key should be highly restricted.

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atomicity, consistency, isolation, durability (ACID)

A set of software properties that guarantee the data validity and operational reliability of a database, even in the case of errors, power failures, or other problems.

attribute-based access control (ABAC)

The practice of creating fine-grained permissions based on user attributes, such as department, job role, and team name. For more information, see <u>ABAC for AWS</u> in the AWS Identity and Access Management (IAM) documentation.

authoritative data source

A location where you store the primary version of data, which is considered to be the most reliable source of information. You can copy data from the authoritative data source to other locations for the purposes of processing or modifying the data, such as anonymizing, redacting, or pseudonymizing it.

Availability Zone

A distinct location within an AWS Region that is insulated from failures in other Availability Zones and provides inexpensive, low-latency network connectivity to other Availability Zones in the same Region.

AWS Cloud Adoption Framework (AWS CAF)

A framework of guidelines and best practices from AWS to help organizations develop an efficient and effective plan to move successfully to the cloud. AWS CAF organizes guidance into six focus areas called perspectives: business, people, governance, platform, security, and operations. The business, people, and governance perspectives focus on business skills and processes; the platform, security, and operations perspectives focus on technical skills and processes. For example, the people perspective targets stakeholders who handle human resources (HR), staffing functions, and people management. For this perspective, AWS CAF provides guidance for people development, training, and communications to help ready the organization for successful cloud adoption. For more information, see the AWS CAF website and the AWS CAF whitepaper.

AWS Workload Qualification Framework (AWS WQF)

A tool that evaluates database migration workloads, recommends migration strategies, and provides work estimates. AWS WQF is included with AWS Schema Conversion Tool (AWS SCT). It analyzes database schemas and code objects, application code, dependencies, and performance characteristics, and provides assessment reports.

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В

BCP

See business continuity planning.

behavior graph

A unified, interactive view of resource behavior and interactions over time. You can use a behavior graph with Amazon Detective to examine failed logon attempts, suspicious API calls, and similar actions. For more information, see Data in a behavior graph in the Detective documentation.

big-endian system

A system that stores the most significant byte first. See also endianness.

binary classification

A process that predicts a binary outcome (one of two possible classes). For example, your ML model might need to predict problems such as "Is this email spam or not spam?" or "Is this product a book or a car?"

bloom filter

A probabilistic, memory-efficient data structure that is used to test whether an element is a member of a set.

branch

A contained area of a code repository. The first branch created in a repository is the *main branch*. You can create a new branch from an existing branch, and you can then develop features or fix bugs in the new branch. A branch you create to build a feature is commonly referred to as a *feature branch*. When the feature is ready for release, you merge the feature branch back into the main branch. For more information, see <u>About branches</u> (GitHub documentation).

break-glass access

In exceptional circumstances and through an approved process, a quick means for a user to gain access to an AWS account that they don't typically have permissions to access. For more information, see the Implement break-glass procedures indicator in the AWS Well-Architected guidance.

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brownfield strategy

The existing infrastructure in your environment. When adopting a brownfield strategy for a system architecture, you design the architecture around the constraints of the current systems and infrastructure. If you are expanding the existing infrastructure, you might blend brownfield and greenfield strategies.

buffer cache

The memory area where the most frequently accessed data is stored.

business capability

What a business does to generate value (for example, sales, customer service, or marketing). Microservices architectures and development decisions can be driven by business capabilities. For more information, see the <u>Organized around business capabilities</u> section of the <u>Running containerized microservices on AWS</u> whitepaper.

business continuity planning (BCP)

A plan that addresses the potential impact of a disruptive event, such as a large-scale migration, on operations and enables a business to resume operations quickly.

C

CAF

See <u>AWS Cloud Adoption Framework</u>.

CCoE

See Cloud Center of Excellence.

CDC

See change data capture.

change data capture (CDC)

The process of tracking changes to a data source, such as a database table, and recording metadata about the change. You can use CDC for various purposes, such as auditing or replicating changes in a target system to maintain synchronization.

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chaos engineering

Intentionally introducing failures or disruptive events to test a system's resilience. You can use <u>AWS Fault Injection Service (AWS FIS)</u> to perform experiments that stress your AWS workloads and evaluate their response.

CI/CD

See continuous integration and continuous delivery.

classification

A categorization process that helps generate predictions. ML models for classification problems predict a discrete value. Discrete values are always distinct from one another. For example, a model might need to evaluate whether or not there is a car in an image.

client-side encryption

Encryption of data locally, before the target AWS service receives it.

Cloud Center of Excellence (CCoE)

A multi-disciplinary team that drives cloud adoption efforts across an organization, including developing cloud best practices, mobilizing resources, establishing migration timelines, and leading the organization through large-scale transformations. For more information, see the CCOE posts on the AWS Cloud Enterprise Strategy Blog.

cloud computing

The cloud technology that is typically used for remote data storage and IoT device management. Cloud computing is commonly connected to edge computing technology.

cloud operating model

In an IT organization, the operating model that is used to build, mature, and optimize one or more cloud environments. For more information, see <u>Building your Cloud Operating Model</u>.

cloud stages of adoption

The four phases that organizations typically go through when they migrate to the AWS Cloud:

- Project Running a few cloud-related projects for proof of concept and learning purposes
- Foundation Making foundational investments to scale your cloud adoption (e.g., creating a landing zone, defining a CCoE, establishing an operations model)
- Migration Migrating individual applications
- Re-invention Optimizing products and services, and innovating in the cloud

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These stages were defined by Stephen Orban in the blog post <u>The Journey Toward Cloud-First</u> & the Stages of Adoption on the AWS Cloud Enterprise Strategy blog. For information about how they relate to the AWS migration strategy, see the <u>migration readiness guide</u>.

CMDB

See configuration management database.

code repository

A location where source code and other assets, such as documentation, samples, and scripts, are stored and updated through version control processes. Common cloud repositories include GitHub or AWS CodeCommit. Each version of the code is called a *branch*. In a microservice structure, each repository is devoted to a single piece of functionality. A single CI/CD pipeline can use multiple repositories.

cold cache

A buffer cache that is empty, not well populated, or contains stale or irrelevant data. This affects performance because the database instance must read from the main memory or disk, which is slower than reading from the buffer cache.

cold data

Data that is rarely accessed and is typically historical. When querying this kind of data, slow queries are typically acceptable. Moving this data to lower-performing and less expensive storage tiers or classes can reduce costs.

computer vision

A field of AI used by machines to identify people, places, and things in images with accuracy at or above human levels. Often built with deep learning models, it automates extraction, analysis, classification, and understanding of useful information from a single image or a sequence of images.

configuration management database (CMDB)

A repository that stores and manages information about a database and its IT environment, including both hardware and software components and their configurations. You typically use data from a CMDB in the portfolio discovery and analysis stage of migration.

conformance pack

A collection of AWS Config rules and remediation actions that you can assemble to customize your compliance and security checks. You can deploy a conformance pack as a single entity in

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an AWS account and Region, or across an organization, by using a YAML template. For more information, see Conformance packs in the AWS Config documentation.

continuous integration and continuous delivery (CI/CD)

The process of automating the source, build, test, staging, and production stages of the software release process. CI/CD is commonly described as a pipeline. CI/CD can help you automate processes, improve productivity, improve code quality, and deliver faster. For more information, see Benefits of continuous delivery. CD can also stand for *continuous deployment*. For more information, see Continuous Deployment.

D

data at rest

Data that is stationary in your network, such as data that is in storage.

data classification

A process for identifying and categorizing the data in your network based on its criticality and sensitivity. It is a critical component of any cybersecurity risk management strategy because it helps you determine the appropriate protection and retention controls for the data. Data classification is a component of the security pillar in the AWS Well-Architected Framework. For more information, see <u>Data classification</u>.

data drift

A meaningful variation between the production data and the data that was used to train an ML model, or a meaningful change in the input data over time. Data drift can reduce the overall quality, accuracy, and fairness in ML model predictions.

data in transit

Data that is actively moving through your network, such as between network resources.

data minimization

The principle of collecting and processing only the data that is strictly necessary. Practicing data minimization in the AWS Cloud can reduce privacy risks, costs, and your analytics carbon footprint.

data perimeter

A set of preventive guardrails in your AWS environment that help make sure that only trusted identities are accessing trusted resources from expected networks. For more information, see Building a data perimeter on AWS.

data preprocessing

To transform raw data into a format that is easily parsed by your ML model. Preprocessing data can mean removing certain columns or rows and addressing missing, inconsistent, or duplicate values.

data provenance

The process of tracking the origin and history of data throughout its lifecycle, such as how the data was generated, transmitted, and stored.

data subject

An individual whose data is being collected and processed.

data warehouse

A data management system that supports business intelligence, such as analytics. Data warehouses commonly contain large amounts of historical data, and they are typically used for queries and analysis.

database definition language (DDL)

Statements or commands for creating or modifying the structure of tables and objects in a database.

database manipulation language (DML)

Statements or commands for modifying (inserting, updating, and deleting) information in a database.

DDL

See database definition language.

deep ensemble

To combine multiple deep learning models for prediction. You can use deep ensembles to obtain a more accurate prediction or for estimating uncertainty in predictions.

deep learning

An ML subfield that uses multiple layers of artificial neural networks to identify mapping between input data and target variables of interest.

defense-in-depth

An information security approach in which a series of security mechanisms and controls are thoughtfully layered throughout a computer network to protect the confidentiality, integrity, and availability of the network and the data within. When you adopt this strategy on AWS, you add multiple controls at different layers of the AWS Organizations structure to help secure resources. For example, a defense-in-depth approach might combine multi-factor authentication, network segmentation, and encryption.

delegated administrator

In AWS Organizations, a compatible service can register an AWS member account to administer the organization's accounts and manage permissions for that service. This account is called the *delegated administrator* for that service. For more information and a list of compatible services, see Services that work with AWS Organizations in the AWS Organizations documentation.

deployment

The process of making an application, new features, or code fixes available in the target environment. Deployment involves implementing changes in a code base and then building and running that code base in the application's environments.

development environment

See environment.

detective control

A security control that is designed to detect, log, and alert after an event has occurred. These controls are a second line of defense, alerting you to security events that bypassed the preventative controls in place. For more information, see Detective controls in Implementing security controls on AWS.

development value stream mapping (DVSM)

A process used to identify and prioritize constraints that adversely affect speed and quality in a software development lifecycle. DVSM extends the value stream mapping process originally designed for lean manufacturing practices. It focuses on the steps and teams required to create and move value through the software development process.

digital twin

A virtual representation of a real-world system, such as a building, factory, industrial equipment, or production line. Digital twins support predictive maintenance, remote monitoring, and production optimization.

dimension table

In a <u>star schema</u>, a smaller table that contains data attributes about quantitative data in a fact table. Dimension table attributes are typically text fields or discrete numbers that behave like text. These attributes are commonly used for query constraining, filtering, and result set labeling.

disaster

An event that prevents a workload or system from fulfilling its business objectives in its primary deployed location. These events can be natural disasters, technical failures, or the result of human actions, such as unintentional misconfiguration or a malware attack.

disaster recovery (DR)

The strategy and process you use to minimize downtime and data loss caused by a <u>disaster</u>. For more information, see <u>Disaster Recovery of Workloads on AWS: Recovery in the Cloud</u> in the AWS Well-Architected Framework.

DML

See database manipulation language.

domain-driven design

An approach to developing a complex software system by connecting its components to evolving domains, or core business goals, that each component serves. This concept was introduced by Eric Evans in his book, *Domain-Driven Design: Tackling Complexity in the Heart of Software* (Boston: Addison-Wesley Professional, 2003). For information about how you can use domain-driven design with the strangler fig pattern, see Modernizing legacy Microsoft ASP.NET (ASMX) web services incrementally by using containers and Amazon API Gateway.

DR

See disaster recovery.

drift detection

Tracking deviations from a baselined configuration. For example, you can use AWS CloudFormation to <u>detect drift in system resources</u>, or you can use AWS Control Tower to <u>detect changes in your landing zone</u> that might affect compliance with governance requirements.

DVSM

See development value stream mapping.

E

EDA

See exploratory data analysis.

edge computing

The technology that increases the computing power for smart devices at the edges of an IoT network. When compared with <u>cloud computing</u>, edge computing can reduce communication latency and improve response time.

encryption

A computing process that transforms plaintext data, which is human-readable, into ciphertext. encryption key

A cryptographic string of randomized bits that is generated by an encryption algorithm. Keys can vary in length, and each key is designed to be unpredictable and unique.

endianness

The order in which bytes are stored in computer memory. Big-endian systems store the most significant byte first. Little-endian systems store the least significant byte first.

endpoint

See service endpoint.

endpoint service

A service that you can host in a virtual private cloud (VPC) to share with other users. You can create an endpoint service with AWS PrivateLink and grant permissions to other AWS accounts or to AWS Identity and Access Management (IAM) principals. These accounts or principals

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can connect to your endpoint service privately by creating interface VPC endpoints. For more information, see <u>Create an endpoint service</u> in the Amazon Virtual Private Cloud (Amazon VPC) documentation.

envelope encryption

The process of encrypting an encryption key with another encryption key. For more information, see Envelope encryption in the AWS Key Management Service (AWS KMS) documentation.

environment

An instance of a running application. The following are common types of environments in cloud computing:

- development environment An instance of a running application that is available only to the
 core team responsible for maintaining the application. Development environments are used
 to test changes before promoting them to upper environments. This type of environment is
 sometimes referred to as a test environment.
- lower environments All development environments for an application, such as those used for initial builds and tests.
- production environment An instance of a running application that end users can access. In a CI/CD pipeline, the production environment is the last deployment environment.
- upper environments All environments that can be accessed by users other than the core development team. This can include a production environment, preproduction environments, and environments for user acceptance testing.

epic

In agile methodologies, functional categories that help organize and prioritize your work. Epics provide a high-level description of requirements and implementation tasks. For example, AWS CAF security epics include identity and access management, detective controls, infrastructure security, data protection, and incident response. For more information about epics in the AWS migration strategy, see the <u>program implementation guide</u>.

exploratory data analysis (EDA)

The process of analyzing a dataset to understand its main characteristics. You collect or aggregate data and then perform initial investigations to find patterns, detect anomalies, and check assumptions. EDA is performed by calculating summary statistics and creating data visualizations.

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F

fact table

The central table in a <u>star schema</u>. It stores quantitative data about business operations. Typically, a fact table contains two types of columns: those that contain measures and those that contain a foreign key to a dimension table.

fail fast

A philosophy that uses frequent and incremental testing to reduce the development lifecycle. It is a critical part of an agile approach.

fault isolation boundary

In the AWS Cloud, a boundary such as an Availability Zone, AWS Region, control plane, or data plane that limits the effect of a failure and helps improve the resilience of workloads. For more information, see AWS Fault Isolation Boundaries.

feature branch

See branch.

features

The input data that you use to make a prediction. For example, in a manufacturing context, features could be images that are periodically captured from the manufacturing line.

feature importance

How significant a feature is for a model's predictions. This is usually expressed as a numerical score that can be calculated through various techniques, such as Shapley Additive Explanations (SHAP) and integrated gradients. For more information, see Machine learning model interpretability with :AWS.

feature transformation

To optimize data for the ML process, including enriching data with additional sources, scaling values, or extracting multiple sets of information from a single data field. This enables the ML model to benefit from the data. For example, if you break down the "2021-05-27 00:15:37" date into "2021", "May", "Thu", and "15", you can help the learning algorithm learn nuanced patterns associated with different data components.

FGAC

See fine-grained access control.

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fine-grained access control (FGAC)

The use of multiple conditions to allow or deny an access request.

flash-cut migration

A database migration method that uses continuous data replication through <u>change data</u> <u>capture</u> to migrate data in the shortest time possible, instead of using a phased approach. The objective is to keep downtime to a minimum.

G

geo blocking

See geographic restrictions.

geographic restrictions (geo blocking)

In Amazon CloudFront, an option to prevent users in specific countries from accessing content distributions. You can use an allow list or block list to specify approved and banned countries. For more information, see Restricting the geographic distribution of your content in the CloudFront documentation.

Gitflow workflow

An approach in which lower and upper environments use different branches in a source code repository. The Gitflow workflow is considered legacy, and the <u>trunk-based workflow</u> is the modern, preferred approach.

greenfield strategy

The absence of existing infrastructure in a new environment. When adopting a greenfield strategy for a system architecture, you can select all new technologies without the restriction of compatibility with existing infrastructure, also known as brownfield. If you are expanding the existing infrastructure, you might blend brownfield and greenfield strategies.

guardrail

A high-level rule that helps govern resources, policies, and compliance across organizational units (OUs). *Preventive guardrails* enforce policies to ensure alignment to compliance standards. They are implemented by using service control policies and IAM permissions boundaries. *Detective guardrails* detect policy violations and compliance issues, and generate alerts

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for remediation. They are implemented by using AWS Config, AWS Security Hub, Amazon GuardDuty, AWS Trusted Advisor, Amazon Inspector, and custom AWS Lambda checks.

H

HA

See high availability.

heterogeneous database migration

Migrating your source database to a target database that uses a different database engine (for example, Oracle to Amazon Aurora). Heterogeneous migration is typically part of a rearchitecting effort, and converting the schema can be a complex task. <u>AWS provides AWS SCT</u> that helps with schema conversions.

high availability (HA)

The ability of a workload to operate continuously, without intervention, in the event of challenges or disasters. HA systems are designed to automatically fail over, consistently deliver high-quality performance, and handle different loads and failures with minimal performance impact.

historian modernization

An approach used to modernize and upgrade operational technology (OT) systems to better serve the needs of the manufacturing industry. A *historian* is a type of database that is used to collect and store data from various sources in a factory.

homogeneous database migration

Migrating your source database to a target database that shares the same database engine (for example, Microsoft SQL Server to Amazon RDS for SQL Server). Homogeneous migration is typically part of a rehosting or replatforming effort. You can use native database utilities to migrate the schema.

hot data

Data that is frequently accessed, such as real-time data or recent translational data. This data typically requires a high-performance storage tier or class to provide fast query responses.

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hotfix

An urgent fix for a critical issue in a production environment. Due to its urgency, a hotfix is usually made outside of the typical DevOps release workflow.

hypercare period

Immediately following cutover, the period of time when a migration team manages and monitors the migrated applications in the cloud in order to address any issues. Typically, this period is 1–4 days in length. At the end of the hypercare period, the migration team typically transfers responsibility for the applications to the cloud operations team.

I

laC

See infrastructure as code.

identity-based policy

A policy attached to one or more IAM principals that defines their permissions within the AWS Cloud environment.

idle application

An application that has an average CPU and memory usage between 5 and 20 percent over a period of 90 days. In a migration project, it is common to retire these applications or retain them on premises.

IIoT

See industrial Internet of Things.

immutable infrastructure

A model that deploys new infrastructure for production workloads instead of updating, patching, or modifying the existing infrastructure. Immutable infrastructures are inherently more consistent, reliable, and predictable than <u>mutable infrastructure</u>. For more information, see the <u>Deploy using immutable infrastructure</u> best practice in the AWS Well-Architected Framework.

inbound (ingress) VPC

In an AWS multi-account architecture, a VPC that accepts, inspects, and routes network connections from outside an application. The AWS Security Reference Architecture recommends

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setting up your Network account with inbound, outbound, and inspection VPCs to protect the two-way interface between your application and the broader internet.

incremental migration

A cutover strategy in which you migrate your application in small parts instead of performing a single, full cutover. For example, you might move only a few microservices or users to the new system initially. After you verify that everything is working properly, you can incrementally move additional microservices or users until you can decommission your legacy system. This strategy reduces the risks associated with large migrations.

infrastructure

All of the resources and assets contained within an application's environment.

infrastructure as code (IaC)

The process of provisioning and managing an application's infrastructure through a set of configuration files. IaC is designed to help you centralize infrastructure management, standardize resources, and scale quickly so that new environments are repeatable, reliable, and consistent.

industrial Internet of Things (IIoT)

The use of internet-connected sensors and devices in the industrial sectors, such as manufacturing, energy, automotive, healthcare, life sciences, and agriculture. For more information, see Building an industrial Internet of Things (IIOT) digital transformation strategy.

inspection VPC

In an AWS multi-account architecture, a centralized VPC that manages inspections of network traffic between VPCs (in the same or different AWS Regions), the internet, and on-premises networks. The AWS Security Reference Architecture recommends setting up your Network account with inbound, outbound, and inspection VPCs to protect the two-way interface between your application and the broader internet.

Internet of Things (IoT)

The network of connected physical objects with embedded sensors or processors that communicate with other devices and systems through the internet or over a local communication network. For more information, see What is IoT?

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interpretability

A characteristic of a machine learning model that describes the degree to which a human can understand how the model's predictions depend on its inputs. For more information, see Machine learning model interpretability with AWS.

IoT

See Internet of Things.

IT information library (ITIL)

A set of best practices for delivering IT services and aligning these services with business requirements. ITIL provides the foundation for ITSM.

IT service management (ITSM)

Activities associated with designing, implementing, managing, and supporting IT services for an organization. For information about integrating cloud operations with ITSM tools, see the operations integration guide.

ITIL

See IT information library.

ITSM

See <u>IT service management</u>.

L

label-based access control (LBAC)

An implementation of mandatory access control (MAC) where the users and the data itself are each explicitly assigned a security label value. The intersection between the user security label and data security label determines which rows and columns can be seen by the user.

landing zone

A landing zone is a well-architected, multi-account AWS environment that is scalable and secure. This is a starting point from which your organizations can quickly launch and deploy workloads and applications with confidence in their security and infrastructure environment. For more information about landing zones, see Setting up a secure and scalable multi-account AWS environment.

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large migration

A migration of 300 or more servers.

LBAC

See label-based access control.

least privilege

The security best practice of granting the minimum permissions required to perform a task. For more information, see Apply least-privilege permissions in the IAM documentation.

lift and shift

See 7 Rs.

little-endian system

A system that stores the least significant byte first. See also endianness.

lower environments

See environment.

M

machine learning (ML)

A type of artificial intelligence that uses algorithms and techniques for pattern recognition and learning. ML analyzes and learns from recorded data, such as Internet of Things (IoT) data, to generate a statistical model based on patterns. For more information, see Machine Learning.

main branch

See branch.

managed services

AWS services for which AWS operates the infrastructure layer, the operating system, and platforms, and you access the endpoints to store and retrieve data. Amazon Simple Storage Service (Amazon S3) and Amazon DynamoDB are examples of managed services. These are also known as *abstracted services*.

MAP

See Migration Acceleration Program.

mechanism

A complete process in which you create a tool, drive adoption of the tool, and then inspect the results in order to make adjustments. A mechanism is a cycle that reinforces and improves itself as it operates. For more information, see Building mechanisms in the AWS Well-Architected Framework.

member account

All AWS accounts other than the management account that are part of an organization in AWS Organizations. An account can be a member of only one organization at a time.

microservice

A small, independent service that communicates over well-defined APIs and is typically owned by small, self-contained teams. For example, an insurance system might include microservices that map to business capabilities, such as sales or marketing, or subdomains, such as purchasing, claims, or analytics. The benefits of microservices include agility, flexible scaling, easy deployment, reusable code, and resilience. For more information, see Integrating microservices by using AWS serverless services.

microservices architecture

An approach to building an application with independent components that run each application process as a microservice. These microservices communicate through a well-defined interface by using lightweight APIs. Each microservice in this architecture can be updated, deployed, and scaled to meet demand for specific functions of an application. For more information, see Implementing microservices on AWS.

Migration Acceleration Program (MAP)

An AWS program that provides consulting support, training, and services to help organizations build a strong operational foundation for moving to the cloud, and to help offset the initial cost of migrations. MAP includes a migration methodology for executing legacy migrations in a methodical way and a set of tools to automate and accelerate common migration scenarios.

migration at scale

The process of moving the majority of the application portfolio to the cloud in waves, with more applications moved at a faster rate in each wave. This phase uses the best practices and lessons learned from the earlier phases to implement a *migration factory* of teams, tools, and

processes to streamline the migration of workloads through automation and agile delivery. This is the third phase of the AWS migration strategy.

migration factory

Cross-functional teams that streamline the migration of workloads through automated, agile approaches. Migration factory teams typically include operations, business analysts and owners, migration engineers, developers, and DevOps professionals working in sprints. Between 20 and 50 percent of an enterprise application portfolio consists of repeated patterns that can be optimized by a factory approach. For more information, see the <u>discussion of migration factories</u> and the <u>Cloud Migration Factory guide</u> in this content set.

migration metadata

The information about the application and server that is needed to complete the migration. Each migration pattern requires a different set of migration metadata. Examples of migration metadata include the target subnet, security group, and AWS account.

migration pattern

A repeatable migration task that details the migration strategy, the migration destination, and the migration application or service used. Example: Rehost migration to Amazon EC2 with AWS Application Migration Service.

Migration Portfolio Assessment (MPA)

An online tool that provides information for validating the business case for migrating to the AWS Cloud. MPA provides detailed portfolio assessment (server right-sizing, pricing, TCO comparisons, migration cost analysis) as well as migration planning (application data analysis and data collection, application grouping, migration prioritization, and wave planning). The MPA tool (requires login) is available free of charge to all AWS consultants and APN Partner consultants.

Migration Readiness Assessment (MRA)

The process of gaining insights about an organization's cloud readiness status, identifying strengths and weaknesses, and building an action plan to close identified gaps, using the AWS CAF. For more information, see the <u>migration readiness guide</u>. MRA is the first phase of the <u>AWS migration strategy</u>.

migration strategy

The approach used to migrate a workload to the AWS Cloud. For more information, see the <u>7 Rs</u> entry in this glossary and see Mobilize your organization to accelerate large-scale migrations.

ML

See machine learning.

MPA

See Migration Portfolio Assessment.

modernization

Transforming an outdated (legacy or monolithic) application and its infrastructure into an agile, elastic, and highly available system in the cloud to reduce costs, gain efficiencies, and take advantage of innovations. For more information, see Strategy for modernizing applications in the AWS Cloud.

modernization readiness assessment

An evaluation that helps determine the modernization readiness of an organization's applications; identifies benefits, risks, and dependencies; and determines how well the organization can support the future state of those applications. The outcome of the assessment is a blueprint of the target architecture, a roadmap that details development phases and milestones for the modernization process, and an action plan for addressing identified gaps. For more information, see Evaluating modernization readiness for applications in the AWS Cloud.

monolithic applications (monoliths)

Applications that run as a single service with tightly coupled processes. Monolithic applications have several drawbacks. If one application feature experiences a spike in demand, the entire architecture must be scaled. Adding or improving a monolithic application's features also becomes more complex when the code base grows. To address these issues, you can use a microservices architecture. For more information, see Decomposing monoliths into microservices.

multiclass classification

A process that helps generate predictions for multiple classes (predicting one of more than two outcomes). For example, an ML model might ask "Is this product a book, car, or phone?" or "Which product category is most interesting to this customer?"

mutable infrastructure

A model that updates and modifies the existing infrastructure for production workloads. For improved consistency, reliability, and predictability, the AWS Well-Architected Framework recommends the use of immutable infrastructure as a best practice.



OAC

See origin access control.

OAL

See origin access identity.

OCM

See organizational change management.

offline migration

A migration method in which the source workload is taken down during the migration process. This method involves extended downtime and is typically used for small, non-critical workloads.

OI

See operations integration.

OLA

See operational-level agreement.

online migration

A migration method in which the source workload is copied to the target system without being taken offline. Applications that are connected to the workload can continue to function during the migration. This method involves zero to minimal downtime and is typically used for critical production workloads.

operational-level agreement (OLA)

An agreement that clarifies what functional IT groups promise to deliver to each other, to support a service-level agreement (SLA).

operational readiness review (ORR)

A checklist of questions and associated best practices that help you understand, evaluate, prevent, or reduce the scope of incidents and possible failures. For more information, see Operational Readiness Reviews (ORR) in the AWS Well-Architected Framework.

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operations integration (OI)

The process of modernizing operations in the cloud, which involves readiness planning, automation, and integration. For more information, see the <u>operations integration guide</u>. organization trail

A trail that's created by AWS CloudTrail that logs all events for all AWS accounts in an organization in AWS Organizations. This trail is created in each AWS account that's part of the organization and tracks the activity in each account. For more information, see Creating a trail for an organization in the CloudTrail documentation.

organizational change management (OCM)

A framework for managing major, disruptive business transformations from a people, culture, and leadership perspective. OCM helps organizations prepare for, and transition to, new systems and strategies by accelerating change adoption, addressing transitional issues, and driving cultural and organizational changes. In the AWS migration strategy, this framework is called *people acceleration*, because of the speed of change required in cloud adoption projects. For more information, see the OCM guide.

origin access control (OAC)

In CloudFront, an enhanced option for restricting access to secure your Amazon Simple Storage Service (Amazon S3) content. OAC supports all S3 buckets in all AWS Regions, server-side encryption with AWS KMS (SSE-KMS), and dynamic PUT and DELETE requests to the S3 bucket. origin access identity (OAI)

In CloudFront, an option for restricting access to secure your Amazon S3 content. When you use OAI, CloudFront creates a principal that Amazon S3 can authenticate with. Authenticated principals can access content in an S3 bucket only through a specific CloudFront distribution. See also OAC, which provides more granular and enhanced access control.

ORR

See operational readiness review.

outbound (egress) VPC

In an AWS multi-account architecture, a VPC that handles network connections that are initiated from within an application. The <u>AWS Security Reference Architecture</u> recommends setting up your Network account with inbound, outbound, and inspection VPCs to protect the two-way interface between your application and the broader internet.

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P

permissions boundary

An IAM management policy that is attached to IAM principals to set the maximum permissions that the user or role can have. For more information, see <u>Permissions boundaries</u> in the IAM documentation.

personally identifiable information (PII)

Information that, when viewed directly or paired with other related data, can be used to reasonably infer the identity of an individual. Examples of PII include names, addresses, and contact information.

PII

See personally identifiable information.

playbook

A set of predefined steps that capture the work associated with migrations, such as delivering core operations functions in the cloud. A playbook can take the form of scripts, automated runbooks, or a summary of processes or steps required to operate your modernized environment.

policy

An object that can define permissions (see <u>identity-based policy</u>), specify access conditions (see <u>resource-based policy</u>), or define the maximum permissions for all accounts in an organization in AWS Organizations (see <u>service control policy</u>).

polyglot persistence

Independently choosing a microservice's data storage technology based on data access patterns and other requirements. If your microservices have the same data storage technology, they can encounter implementation challenges or experience poor performance. Microservices are more easily implemented and achieve better performance and scalability if they use the data store best adapted to their requirements. For more information, see Enabling data persistence in microservices.

portfolio assessment

A process of discovering, analyzing, and prioritizing the application portfolio in order to plan the migration. For more information, see Evaluating migration readiness.

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predicate

A query condition that returns true or false, commonly located in a WHERE clause.

predicate pushdown

A database query optimization technique that filters the data in the query before transfer. This reduces the amount of data that must be retrieved and processed from the relational database, and it improves query performance.

preventative control

A security control that is designed to prevent an event from occurring. These controls are a first line of defense to help prevent unauthorized access or unwanted changes to your network. For more information, see Preventative controls in *Implementing security controls on AWS*.

principal

An entity in AWS that can perform actions and access resources. This entity is typically a root user for an AWS account, an IAM role, or a user. For more information, see *Principal* in Roles terms and concepts in the IAM documentation.

Privacy by Design

An approach in system engineering that takes privacy into account throughout the whole engineering process.

private hosted zones

A container that holds information about how you want Amazon Route 53 to respond to DNS queries for a domain and its subdomains within one or more VPCs. For more information, see Working with private hosted zones in the Route 53 documentation.

proactive control

A <u>security control</u> designed to prevent the deployment of noncompliant resources. These controls scan resources before they are provisioned. If the resource is not compliant with the control, then it isn't provisioned. For more information, see the <u>Controls reference guide</u> in the AWS Control Tower documentation and see <u>Proactive controls</u> in <u>Implementing security controls on AWS</u>.

production environment

See environment.

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pseudonymization

The process of replacing personal identifiers in a dataset with placeholder values. Pseudonymization can help protect personal privacy. Pseudonymized data is still considered to be personal data.

Q

query plan

A series of steps, like instructions, that are used to access the data in a SQL relational database system.

query plan regression

When a database service optimizer chooses a less optimal plan than it did before a given change to the database environment. This can be caused by changes to statistics, constraints, environment settings, query parameter bindings, and updates to the database engine.

R

RACI matrix

See responsible, accountable, consulted, informed (RACI).

ransomware

A malicious software that is designed to block access to a computer system or data until a payment is made.

RASCI matrix

See responsible, accountable, consulted, informed (RACI).

RCAC

See row and column access control.

read replica

A copy of a database that's used for read-only purposes. You can route queries to the read replica to reduce the load on your primary database.

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re-architect

```
See 7 Rs.
```

recovery point objective (RPO)

The maximum acceptable amount of time since the last data recovery point. This determines what is considered an acceptable loss of data between the last recovery point and the interruption of service.

recovery time objective (RTO)

The maximum acceptable delay between the interruption of service and restoration of service.

refactor

See 7 Rs.

Region

A collection of AWS resources in a geographic area. Each AWS Region is isolated and independent of the others to provide fault tolerance, stability, and resilience. For more information, see Managing AWS Regions in AWS General Reference.

regression

An ML technique that predicts a numeric value. For example, to solve the problem of "What price will this house sell for?" an ML model could use a linear regression model to predict a house's sale price based on known facts about the house (for example, the square footage).

rehost

See 7 Rs.

release

In a deployment process, the act of promoting changes to a production environment.

relocate

See 7 Rs.

replatform

See 7 Rs.

repurchase

See 7 Rs.

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resource-based policy

A policy attached to a resource, such as an Amazon S3 bucket, an endpoint, or an encryption key. This type of policy specifies which principals are allowed access, supported actions, and any other conditions that must be met.

responsible, accountable, consulted, informed (RACI) matrix

A matrix that defines the roles and responsibilities for all parties involved in migration activities and cloud operations. The matrix name is derived from the responsibility types defined in the matrix: responsible (R), accountable (A), consulted (C), and informed (I). The support (S) type is optional. If you include support, the matrix is called a *RASCI matrix*, and if you exclude it, it's called a *RACI matrix*.

responsive control

A security control that is designed to drive remediation of adverse events or deviations from your security baseline. For more information, see <u>Responsive controls</u> in *Implementing security controls on AWS*.

retain

See 7 Rs.

retire

See 7 Rs.

rotation

The process of periodically updating a <u>secret</u> to make it more difficult for an attacker to access the credentials.

row and column access control (RCAC)

The use of basic, flexible SQL expressions that have defined access rules. RCAC consists of row permissions and column masks.

RPO

See recovery point objective.

RTO

See recovery time objective.

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runbook

A set of manual or automated procedures required to perform a specific task. These are typically built to streamline repetitive operations or procedures with high error rates.

S

SAML 2.0

An open standard that many identity providers (IdPs) use. This feature enables federated single sign-on (SSO), so users can log into the AWS Management Console or call the AWS API operations without you having to create user in IAM for everyone in your organization. For more information about SAML 2.0-based federation, see About SAML 2.0-based federation in the IAM documentation.

SCP

See service control policy.

secret

In AWS Secrets Manager, confidential or restricted information, such as a password or user credentials, that you store in encrypted form. It consists of the secret value and its metadata. The secret value can be binary, a single string, or multiple strings. For more information, see Secret in the Secrets Manager documentation.

security control

A technical or administrative guardrail that prevents, detects, or reduces the ability of a threat actor to exploit a security vulnerability. There are four primary types of security controls: preventative, detective, responsive, and proactive.

security hardening

The process of reducing the attack surface to make it more resistant to attacks. This can include actions such as removing resources that are no longer needed, implementing the security best practice of granting least privilege, or deactivating unnecessary features in configuration files.

security information and event management (SIEM) system

Tools and services that combine security information management (SIM) and security event management (SEM) systems. A SIEM system collects, monitors, and analyzes data from servers,

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networks, devices, and other sources to detect threats and security breaches, and to generate alerts.

security response automation

A predefined and programmed action that is designed to automatically respond to or remediate a security event. These automations serve as <u>detective</u> or <u>responsive</u> security controls that help you implement AWS security best practices. Examples of automated response actions include modifying a VPC security group, patching an Amazon EC2 instance, or rotating credentials.

server-side encryption

Encryption of data at its destination, by the AWS service that receives it.

service control policy (SCP)

A policy that provides centralized control over permissions for all accounts in an organization in AWS Organizations. SCPs define guardrails or set limits on actions that an administrator can delegate to users or roles. You can use SCPs as allow lists or deny lists, to specify which services or actions are permitted or prohibited. For more information, see Service control policies in the AWS Organizations documentation.

service endpoint

The URL of the entry point for an AWS service. You can use the endpoint to connect programmatically to the target service. For more information, see <u>AWS service endpoints</u> in *AWS General Reference*.

service-level agreement (SLA)

An agreement that clarifies what an IT team promises to deliver to their customers, such as service uptime and performance.

service-level indicator (SLI)

A measurement of a performance aspect of a service, such as its error rate, availability, or throughput.

service-level objective (SLO)

A target metric that represents the health of a service, as measured by a <u>service-level indicator</u>. shared responsibility model

A model describing the responsibility you share with AWS for cloud security and compliance. AWS is responsible for security *of* the cloud, whereas you are responsible for security *in* the cloud. For more information, see Shared responsibility model.

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SIEM

See security information and event management system.

single point of failure (SPOF)

A failure in a single, critical component of an application that can disrupt the system.

SLA

See service-level agreement.

SLI

See service-level indicator.

SLO

See service-level objective.

split-and-seed model

A pattern for scaling and accelerating modernization projects. As new features and product releases are defined, the core team splits up to create new product teams. This helps scale your organization's capabilities and services, improves developer productivity, and supports rapid innovation. For more information, see Phased approach to modernizing applications in the AWS Cloud.

SPOF

See single point of failure.

star schema

A database organizational structure that uses one large fact table to store transactional or measured data and uses one or more smaller dimensional tables to store data attributes. This structure is designed for use in a data warehouse or for business intelligence purposes.

strangler fig pattern

An approach to modernizing monolithic systems by incrementally rewriting and replacing system functionality until the legacy system can be decommissioned. This pattern uses the analogy of a fig vine that grows into an established tree and eventually overcomes and replaces its host. The pattern was <u>introduced by Martin Fowler</u> as a way to manage risk when rewriting monolithic systems. For an example of how to apply this pattern, see <u>Modernizing legacy</u>

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Microsoft ASP.NET (ASMX) web services incrementally by using containers and Amazon API Gateway.

subnet

A range of IP addresses in your VPC. A subnet must reside in a single Availability Zone. symmetric encryption

An encryption algorithm that uses the same key to encrypt and decrypt the data. synthetic testing

Testing a system in a way that simulates user interactions to detect potential issues or to monitor performance. You can use Amazon CloudWatch Synthetics to create these tests.

T

tags

Key-value pairs that act as metadata for organizing your AWS resources. Tags can help you manage, identify, organize, search for, and filter resources. For more information, see <u>Tagging</u> your AWS resources.

target variable

The value that you are trying to predict in supervised ML. This is also referred to as an *outcome* variable. For example, in a manufacturing setting the target variable could be a product defect.

task list

A tool that is used to track progress through a runbook. A task list contains an overview of the runbook and a list of general tasks to be completed. For each general task, it includes the estimated amount of time required, the owner, and the progress.

test environment

See environment.

training

To provide data for your ML model to learn from. The training data must contain the correct answer. The learning algorithm finds patterns in the training data that map the input data attributes to the target (the answer that you want to predict). It outputs an ML model that

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captures these patterns. You can then use the ML model to make predictions on new data for which you don't know the target.

transit gateway

A network transit hub that you can use to interconnect your VPCs and on-premises networks. For more information, see <u>What is a transit gateway</u> in the AWS Transit Gateway documentation.

trunk-based workflow

An approach in which developers build and test features locally in a feature branch and then merge those changes into the main branch. The main branch is then built to the development, preproduction, and production environments, sequentially.

trusted access

Granting permissions to a service that you specify to perform tasks in your organization in AWS Organizations and in its accounts on your behalf. The trusted service creates a service-linked role in each account, when that role is needed, to perform management tasks for you. For more information, see <u>Using AWS Organizations with other AWS services</u> in the AWS Organizations documentation.

tuning

To change aspects of your training process to improve the ML model's accuracy. For example, you can train the ML model by generating a labeling set, adding labels, and then repeating these steps several times under different settings to optimize the model.

two-pizza team

A small DevOps team that you can feed with two pizzas. A two-pizza team size ensures the best possible opportunity for collaboration in software development.

U

uncertainty

A concept that refers to imprecise, incomplete, or unknown information that can undermine the reliability of predictive ML models. There are two types of uncertainty: *Epistemic uncertainty* is caused by limited, incomplete data, whereas *aleatoric uncertainty* is caused by the noise and randomness inherent in the data. For more information, see the **Quantifying uncertainty** in deep learning systems guide.

undifferentiated tasks

Also known as *heavy lifting*, work that is necessary to create and operate an application but that doesn't provide direct value to the end user or provide competitive advantage. Examples of undifferentiated tasks include procurement, maintenance, and capacity planning.

upper environments

See environment.

V

vacuuming

A database maintenance operation that involves cleaning up after incremental updates to reclaim storage and improve performance.

version control

Processes and tools that track changes, such as changes to source code in a repository.

VPC peering

A connection between two VPCs that allows you to route traffic by using private IP addresses. For more information, see What is VPC peering in the Amazon VPC documentation.

vulnerability

A software or hardware flaw that compromises the security of the system.

W

warm cache

A buffer cache that contains current, relevant data that is frequently accessed. The database instance can read from the buffer cache, which is faster than reading from the main memory or disk.

warm data

Data that is infrequently accessed. When querying this kind of data, moderately slow queries are typically acceptable.

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window function

A SQL function that performs a calculation on a group of rows that relate in some way to the current record. Window functions are useful for processing tasks, such as calculating a moving average or accessing the value of rows based on the relative position of the current row.

workload

A collection of resources and code that delivers business value, such as a customer-facing application or backend process.

workstream

Functional groups in a migration project that are responsible for a specific set of tasks. Each workstream is independent but supports the other workstreams in the project. For example, the portfolio workstream is responsible for prioritizing applications, wave planning, and collecting migration metadata. The portfolio workstream delivers these assets to the migration workstream, which then migrates the servers and applications.

WORM

See write once, read many.

WQF

See AWS Workload Qualification Framework.

write once, read many (WORM)

A storage model that writes data a single time and prevents the data from being deleted or modified. Authorized users can read the data as many times as needed, but they cannot change it. This data storage infrastructure is considered immutable.

Z

zero-day exploit

An attack, typically malware, that takes advantage of a <u>zero-day vulnerability</u>. zero-day vulnerability

An unmitigated flaw or vulnerability in a production system. Threat actors can use this type of vulnerability to attack the system. Developers frequently become aware of the vulnerability as a result of the attack.

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zombie application

An application that has an average CPU and memory usage below 5 percent. In a migration project, it is common to retire these applications.

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