# Experimental methods

1. Uncertainty principle in signal processing (purely mathematical)  $\Delta f \Delta t = 2\pi$ ,  $\Delta t$  is time-window/total sample time.

2. 
$$E^2 = p^2 c^2 + m_0^2 c^4$$
 because  $p = \gamma m_0 v$ ,  $E = \gamma m_0 c^2$ 

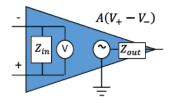
3. Johnson-Nyquist noise: current/voltage fluctuation in resistor due to thermal fluctuations

$$V_{\rm RMS} = \sqrt{4k_BTR\Delta f}$$

 $\label{eq:where R} \text{where } R \text{ is resistance}, \\ \Delta f \text{ is measurement bandwidth}.$ 

#### 4. Golden rule

• Gain G is infinite



• Input impedance  $Z_{in} = \frac{\partial V_{in}}{\partial I_{in}}$  is  $\infty$ 

• Output impedance  $Z_{out} = \frac{\partial V_{out}}{\partial I_{out}}$  is 0

• The input  $I_{-}$  is 0 (because  $Z_{in}$  is infinite)

•  $V_- = V_+$  (only if not saturated, **negative feedback** established) (A question on positive feedback & saturated op-amp, results in oscillating output)

### 5. Non-ideal op-amp

• 
$$V_{out} = G(V_{+} - V_{-})$$

• Gain G not infinite,  $10^4 \sim 10^6$ 

•  $Z_{in}$  not infinite,  $Z_{out}$  not 0

• Gain G is complex and function of frequency

• Finite slew rate  $\frac{\partial V_{out}}{\partial t}$ 

• An input bias current independent of  $V_{in}$ 

• An output bias voltage independent of  $(V_+ - V_-)$ 

6. • Sd of a set of numbers:  $\sqrt{\frac{1}{N} \sum_{i} (x_i - \overline{x})^2}$ 

• Estimated Sd of a population, if  $\overline{x}$  is also estimated here  $\sqrt{\frac{1}{N-1}\sum_{i}(x_i-\overline{x})^2}$  (Bessel's correction)

• Uncertainty/Sd in mean =  $\frac{\sum \text{uncertainty of each measurement}}{\sqrt{N}}$ 

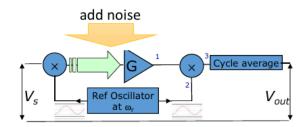
• Gaussian error propagation  $\sigma_f^2 = \left(\frac{\partial f}{\partial x}\right)^2 \sigma_x^2 + \left(\frac{\partial f}{\partial y}\right)^2 \sigma_y^2$ 

### 7. Noise

- White noise (Johnson-Nyquist, shot noise, thermal fluctuations)
- 1/f-noise/pink noise, worst at low frequency/DC
- $1/f^2$ -noise/Brownian noise, random walk

## 8. Eliminating noise

- Filter out 1/f noise, high pass filter (Switch on and off, if DC)
- 1/f noise, phase sensitive detection with high  $\omega$
- Differential experiment, measure change  $\Delta f = f_1 f_2$
- Shielding (Electromagnetism, heat)
- Eliminate source
  - Remote away from electricity interference/vibration
  - High above atmosphere
  - Antarctic Dry/High/Cold
  - Space All above and gravity free
- 9. Phase-sensitive detection eliminate 1/f nosie

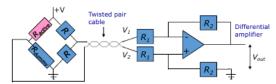


•

- Modulator at frequency  $\omega_r$ , left  $\cos(\omega_r t + \phi)$ , right  $\cos(\omega_r t)$
- Signal  $V_s$ , noise  $V_n = V_n(\omega)$
- At 1,  $V_1 = V_s G \sin(\omega t + \phi)$
- At 3,  $V_3 = V_s G \sin(\omega t + \phi) \sin(\omega t) = \frac{V_s G}{2} [\cos(\phi) \cos(2\omega t + \phi)]$
- $\langle V_3 \rangle = \frac{V_s G}{2} \cos(\phi)$
- At green arrow and op-amp, noise of frequency  $\omega_n$  produced
- $\langle V_n \rangle$  not zero if  $\omega = \omega_n$
- Set  $\omega$  high, so noise is small
- 10. Mechanical vibration air cushion

Thermal noise - reduce radiation/convection/evaporation - a lid, shiny shielding

#### This circuit uses four different "tricks"



- · Use of a bridge to compare the resistances.
- · Use of two strain gauges, once active and the other just used to calibrate for the environment.
- Use of a twisted pair: E & B will induce ≈ the same currents in each lead because they follow almost the same path through space.
- · Use of a differential amplifier ignores all "common-mode" induced signals because

#### $V_{out} = R_2/R_1(V_2 - V_1).$

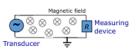
#### Eliminating electrical pickup

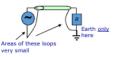
- · Consider the effect of a changing magnetic field on a typical transducer or instrument set-up:
- $\rightarrow$ Unwanted induced  $EMF = -\frac{d}{dt}(B \times loop\ area)$  and hence induced noise across R.

(B typically has oscillating component at 50Hz stemming from power lines.)

- Mitigate by minimizing geometric area of loop
- · Don't create ground loops aka earth loops:
- - Loop can have the size of the building
    Induced EMF in the unnecessary loop
  - Finite resistance of earth connections
     → neither A nor B is at ground so varying V<sub>B</sub> ⇒ noise

  - N.B: Earth connection of devices essential for electrical safety, good design can separate signal ground from case

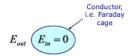






#### Shielding

- For Electric fields, use a Faraday cage
- Re-arrangement of charges within conductor lead to no enclosed overall field.



- For Magnetic fields, the situation is less straightforward.
- Use shield made of high permeability metal, e.g.
- " $\mu$ -metal", a Ni/Fe alloy with  $\mu_r > 10^4$ .
- Provides a low reluctance path for the B field lines.



## Circuits -

- Binomial  $P(r, p, N) = \frac{N!}{r!(N-r)!} p^r (1-p)^{N-1}, \sum_{r=0}^{N} P(r) = 1, \langle r \rangle = Np, \ Var(r) = 1$ 
  - Poisson  $\frac{\lambda^r}{r!}e^{-\lambda}$ , mean=variance= $\lambda$  (shot noise, let  $p \to 0$ ,  $N \to \infty$ ,  $\langle r \rangle = \lambda$ )
  - Gaussian  $\frac{1}{\sigma\sqrt{2\pi}}e^{-(x-\mu)^2/2\sigma^2}$ , mean  $\mu$ , variance  $\sigma^2$ 1,2,3 sd - 68,95,99.7%(Johnson noise, thermal fluctuations,  $\sim k_B T$ )
  - Chi-square  $\chi^2(x,n)=\begin{cases} \dfrac{1}{2^{n/2}\Gamma(n/2)}x^{n/2-1}e^{-x/2}, & \text{if} \quad x>0\\ 0 & \text{otherwise} \end{cases}$ degree of freedom n, mean n, variance 2n.
- 12. Likelihood  $\prod p(y_i|\mathbf{a})$  should be maximized
- 13. a is parameter(s)
- 14. Posterior  $p(a|\text{data}) = p(\text{data}|a) \frac{p(a)}{p(\text{data})}$ , p(a) is prior. (p(data) is a normalizing constant)
- 15. Choise of prior

- uniform in log space, p(a) = 1/a
- uniform p(a) = constant, most common so p(param|data) = p(data|param)
- Better, complicated assumption
- 16. Straight line fitting, constant prior

• 
$$p(y_i|a) = \frac{1}{\sigma\sqrt{2\pi}}e^{-(y_i - f(x_i|a))^2/2\sigma_i^2}$$

• 
$$L(\mathbf{y}|a) = \prod_{i=1}^{n} p(y_i|a)$$

• to maximize 
$$\ln(L)$$
, minimize  $\chi^2 = \sum_i \left(\frac{y_i - f(x_i|a)}{\sigma_i}\right)^2$ 

• 
$$f(x_i|a) = mx_i + c, a = \mathbf{a} = m, c$$

• 
$$\frac{\partial \chi^2}{\partial c} = 0 = \frac{1}{N} \frac{\partial \chi^2}{\partial c} = \frac{1}{N} (-2) \sum_i y_i - (mx_i + c) \implies \overline{y} = m\overline{x} + c$$

• 
$$\frac{\partial \chi^2}{\partial m} = 0 = \frac{1}{N} \frac{\partial \chi^2}{\partial m} = \frac{1}{N} (-2) \sum_i x_i (y_i - mx_i - c) \implies \overline{xy} = m\overline{x^2} + c\overline{x}$$

• 
$$m = \frac{\overline{xy} - \overline{xy}}{\overline{xx} - \overline{xx}} = \frac{Cov(x, y)}{Var(x)}$$

• 
$$c = \overline{y} - m\overline{x}$$

• sd of y is 
$$\frac{1}{N-2} \sum_{i} (y_i - (mx_i + c))^2$$

• 
$$\frac{\partial \overline{y}}{\partial y_i} = \frac{1}{N}, \frac{\partial \overline{xy}}{\partial y_i} = \frac{x_i}{N}$$

• 
$$\sigma_m = \sigma^2 \sum_i \left( \frac{\partial m}{\partial y_i} \right) = \frac{\sigma^2}{N(\overline{xx} - \overline{xx})}$$

• 
$$\sigma_c = \sigma^2 \sum_i \left( \frac{\partial c}{\partial y_i} \right) = \frac{\sigma^2 \overline{x} \overline{x}}{N(\overline{x} \overline{x} - \overline{x} \overline{x})}$$

• Weighting by 
$$1/\sigma_i^2$$
 instead of 1,  $\overline{y} = \frac{\sum_i y_i/\sigma_i^2}{\sum_i 1/\sigma_i^2}$ , reduces to  $\overline{y} = \frac{\sum_i y_i}{\sum_i 1}$  above

- Assuming:
  - Uniform prior
  - Only errors in  $y_i$
  - $-y_i$  Gaussian distribution
- Remember: Gaussian,  $\min \chi^2$ , y = mx + c,  $\frac{1}{N-2}$ ,  $1/\sigma_i^2$  weighting
- 17.  $\chi^2$  test, for binned data (defined differently as above),  $\chi^2 = \sum_i \frac{(O_i E_i)^2}{E_i}$  =degree of free-dom=No.data-No.parameter
- 18. Other tests
  - Non-parametric statistics look at your data
  - Runs test: non-random pattern despite  $\chi^2$  fits well?
  - Sign test: x and y have same distribution?
  - Mann-Whitney test: 2 samples from same distribution?
  - Kolmogorov-Smirnov test: 2 distributions different?

19.

Oscillations

1. 
$$\ddot{x} + \gamma \dot{x} + \omega_0^2 x = \frac{F}{m}$$
,  $\omega_0 = \sqrt{\frac{k}{m}}$ ,  $\gamma = \frac{b}{m}$ ,  $Q = \frac{sqrtmk}{b}$ 

2. 
$$L\ddot{q} + R\dot{q} + \frac{1}{C}q = V(t), \ \omega_0 = \frac{1}{\sqrt{LC}}\gamma = R/L, \ Q = \frac{1}{R}\sqrt{\frac{L}{C}}$$

3. 
$$Q = \frac{\omega_0}{\gamma}$$

4. Response function

• 
$$x = \mathcal{R}(x_0 e^{i\omega t}), x_0 = A e^{i\phi_x}, F = \mathcal{R}(F_0 e^{i\omega t}), F_0 = B e^{i\phi_F}$$

• 
$$R(\omega) = \frac{x_0}{F_0} = \frac{1}{m[(\omega_0^2 - \omega^2) + i\gamma\omega]}$$

• 
$$|R| = \frac{1}{m\sqrt{(\omega_0^2 - \omega^2)^2 + \gamma^2 \omega^2}}$$

• 
$$\arg(R) = \arctan\left[\frac{-\gamma\omega}{(\omega_0^2 - \omega^2)}\right]$$

• Resonance 
$$\omega_a = \omega_0 \sqrt{1 - \frac{\gamma^2}{2\omega_0^2}} = \omega_0 \sqrt{1 - \frac{1}{2Q^2}}$$

• 
$$v_0 = i\omega x$$
,  $\left| \frac{v_0}{F_0} \right| = \frac{1}{m\sqrt{\frac{(\omega_0^2 - \omega^2)^2}{\omega^2} + \gamma^2}}$ 

• 
$$\arg\left(\frac{v_0}{F_0}\right) = \arctan\left[\frac{\omega_0^2 - \omega^2}{\gamma\omega}\right], \, \omega_v = \omega$$

• 
$$a_0 = \frac{-F_0}{m[(\omega_0^2 - \omega^2)/\omega^2 + \frac{i\gamma}{\omega}]}$$

• 
$$\omega_{\rm acc} - \omega_0 \left(1 - \frac{1}{2Q^2}\right)^{-1/2}$$
,  $\omega_a \omega_{\rm acc} = \omega_0^2$ 

• 
$$\langle P \rangle = \frac{1}{2} \Re(F_0 v_0^*) = \frac{1}{2} \Re v_0 v_0^* m [(\omega_0^2 - \omega^2)/i\omega + \gamma] = \frac{1}{2} m \gamma |v_0|^2 = \frac{1}{2} b |v_0|^2 = \langle P_{\text{dissipated}} \rangle$$

• Mechanical Impedance 
$$Z = \frac{F_0}{v_0} = m \left[ \frac{\omega_0^2 - \omega^2}{i\omega} + \gamma \right], \langle P \rangle = \frac{1}{2} |v_0|^2 \Re(Z)$$

5. 
$$\Re(A)\Re(B) = \frac{1}{2}(A+A^*)\frac{1}{2}(B+B^*) = \frac{1}{2}\Re(AB+AB^*)$$

6. 
$$P = Fv = \Re(F_0 e^{i\omega t})\Re(v_0 e^{i\omega t}) = \frac{1}{2}\Re(F_0 v_0 e^{2i\omega t} + F_0 v_0^*), \ \langle P \rangle = \frac{1}{2}\Re(|F_0||v_0|e^{i(\phi_F - \phi_v)}) = \frac{1}{2}|F_0||v_0|\cos(\phi_F - \phi_v)$$

7. 
$$\langle P \rangle = \frac{1}{2} \Re(V_0 I_0^*) = \frac{1}{2} |I_0|^2 R$$

8. 
$$\omega_P = \omega_v = \omega_0$$

9. At 
$$\omega = \omega_{\pm}$$
,  $|v_{\text{max}}|/|v_0| = 1/\sqrt{2}$ ,  $\Delta \omega = \omega_+ - \omega_- = \gamma$ ,  $Q = \frac{\omega_0}{\Delta \omega}$ 

10. Two coherent cosine driving forces, 
$$x = A_1 \cos(\omega t + \alpha_1 + \phi) + A_2 \cos(\omega t + \alpha_2 + \phi)$$
,  $A^2 = A_1^2 + A_2^2 + 2A_1A_2\cos(\alpha_2 - \alpha_1)$ 

11. 
$$\frac{\partial^2 \Psi}{\partial t^2} = v^2 \frac{\partial^2 \Psi}{\partial x^2}$$
, phase speed  $v = \sqrt{T/\rho}$ 

12. 
$$\Psi(x,t) = \Re(Ae^{i(\omega t - kx)}), k$$
 is the wavenumber

13. 
$$\frac{\partial^2 \Psi}{\partial t^2} = v^2 \nabla^2 \Psi$$

14. 
$$\Psi(\mathbf{r},t) = \Re(Ae^{i\omega-i\mathbf{k}\cdot\mathbf{t}})$$
, **k** is the wavevector

15. Spherical waves 
$$v^2 \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial \Psi}{\partial r} \right) = \frac{\partial^2 \Psi}{\partial r^2}, \ \Psi(r,t) = \frac{f(r \pm vt)}{r} = \Re(\frac{Ae^{i\omega t - ikr}}{r})$$

16. Cylindrical waves 
$$v^2 \frac{1}{r} \frac{\partial}{\partial r} \left( r \frac{\partial \Psi}{\partial r} \right) = \frac{\partial^2 \Psi}{\partial r^2}$$
, for  $r \gg \lambda, \Psi(r,t) \approx \Re(\frac{f(r \pm vt)}{\sqrt{r}})$ 

17. Wave impedance (can be  $\mathbf{negative}$ )

• 
$$Z = \frac{\text{transverse driving force}}{\text{transverse velocity}} = \frac{-T\frac{\partial \Psi}{\partial x}}{\frac{\partial \Psi}{\partial t}} = \frac{T}{v} = \sqrt{T\rho} = \rho v$$

• Power=transverse force×transverse velocity, 
$$\langle P \rangle = \frac{1}{2}\Re(Fu^*) = \frac{1}{2}\Re(Z)|u|^2$$

• 
$$u = i\omega A_0 e^{i(\omega t - kx)} \implies \left[ \langle P \rangle = \frac{1}{2} \Re(Z) \omega^2 A_0^2 \right]$$

• 
$$\frac{\mathrm{dKE}}{\mathrm{d}t} = \frac{1}{2}\rho \left(\frac{\partial \Psi}{\partial t}\right)^2$$
,  $\frac{\mathrm{dPE}}{\mathrm{d}x} = \frac{1}{2}T\left(\frac{\partial \Psi}{\partial x}\right)^2$ ,  $v^2 = \frac{\omega^2}{k^2} = \frac{T}{\rho} \implies \mathrm{KE} = \mathrm{PE}$ 

• 
$$\langle P \rangle = \frac{\mathrm{d} \langle E \rangle}{\mathrm{d}x} v = \frac{\mathrm{d} \langle \mathrm{KE} \rangle + \langle \mathrm{PE} \rangle}{\mathrm{d}x} = \frac{1}{2} \rho v \omega^2 A_0^2 = \frac{1}{2} Z \omega^2 A_0^2 \text{ (Note } \Re(e^{ix})^2 = \Re(e^{2ix} + 1) \neq \Re(e^{2ix}))$$

18. Transverse rope wave at a boundary, same frequency, different wavelength

• 
$$A_1e^{i(\omega t - k_1x)}$$
,  $B_1e^{i(\omega t + k_1x)}$ ,  $A_2e^{i(\omega t - k_2x)}$ , incident, reflected, transmitted

• Continuous displacement 
$$\Psi$$
,  $A_1 + B_1 = A_2$ 

• Continuous transverse force 
$$T \frac{\partial \Psi}{\partial x} \propto Z \Psi$$
,  $Z_1(A_1 - B_1) = Z_2 A_2$ 

• 
$$r = \frac{B_1}{A_1} = \frac{Z_1 - Z_2}{Z_1 + Z_2}, \, \tau = \frac{A_2}{A_1} = \frac{2Z_1}{Z_1 + Z_2}$$

• 
$$R = \frac{\langle P_{B_1} \rangle}{\langle P_{A_1} \rangle} = \left(\frac{Z_1 - Z_2}{Z_1 + Z_2}\right)^2$$
,  $T = \frac{\langle P_{A_2} \rangle}{\langle P_{A_1} \rangle} = \frac{4Z_1Z_2}{(Z_1 + Z_2)^2}$ ,  $R + T = 1$ 

• Antiphase 
$$Z_2 = \infty$$
, in-phase  $Z_2 = 0$ , matched  $Z_1 = Z_2$ 

19. Longitudinal wave in gas, for displacement a,

• Adiabatic process 
$$pV^{\gamma} = pV^{C_p/C_V} = \text{constant}$$

$$\bullet \ \ \boxed{ -\frac{\partial \Psi_p}{\partial x} = \rho \frac{\partial^2 a}{\partial t^2} }$$

$$\bullet \quad \boxed{\Psi_p = \mathrm{d}p = -\gamma p \frac{\mathrm{d}\Delta V}{\mathrm{d}V} = -\gamma p \frac{\partial a}{\partial x}}$$

$$\bullet \quad \frac{\partial \Psi_p}{\partial x} = -\gamma p \frac{\partial^2 a}{\partial x^2} - \gamma \frac{\partial p}{\partial x} \frac{\partial a}{\partial x} \approx -\gamma p \frac{\partial^2 a}{\partial x^2}$$

$$\bullet \ \ \, \boxed{\frac{\partial^2 a}{\partial x^2} = \frac{\rho}{\gamma p} \frac{\partial^2 a}{\partial t^2} = v^2 \frac{\partial^2 a}{\partial t^2}}, \, v = \sqrt{\frac{\gamma p}{\rho}} = \sqrt{\frac{\gamma nRTV}{Vm}} = \sqrt{\frac{\gamma RT}{M}},$$

where M is the molar mass.

• 
$$\frac{1}{2}m\langle v^2\rangle = \frac{3}{2}k_BT \implies v_{\rm rms} = \sqrt{\langle v^2\rangle} = \sqrt{\frac{3RT}{M}} \ge v$$
 (phase speed of sound wave is slightly smaller than rms speed of gas)

• 
$$a = a_0 e^{i\omega t - ikx}, \ \Psi_p = -\gamma p \frac{\partial a}{\partial x} = i\gamma pka$$

• Acoustic impedance 
$$\mathcal{L} = \sqrt{\gamma p \rho} = v \rho = \frac{\gamma p}{v}$$
, impedance  $Z = \frac{\text{force}}{\text{velocity}} = \frac{\Delta S \Psi_p}{\dot{a}} = \Delta S \mathcal{L}$ 

• Pressure amplitude 
$$A=i\gamma pka_0$$
 is the amplitude of pressure  $\Psi_p=Ae^{i\omega t-ikx}=i\gamma pka_0e^{i\omega t-ikx}$ 

• Intensity 
$$I = \frac{1}{2}\Re(\Psi_p\dot{a}^*) = \frac{1}{2}\gamma pk\omega a_0^2 = \frac{|A|^2}{2\mathcal{L}} = \frac{A_{\rm rms}^2}{\mathcal{L}} = \frac{1}{2}\mathcal{L}\omega^2|a_0|^2$$
 (mean  $P$  per unit area)

• dBA = 
$$10 \log_{10} \left( \frac{I}{I_{ref}} \right) = 20 \log_{10} \left( \frac{o_{rms}}{p_{ref}} \right) (p_{ref} \text{ is a rms value})$$

20. Longitudinal sound waves

• 
$$\Psi_p = -K \frac{\partial a}{\partial x}$$
, K is elastic modulus for any medium

– In adiabatic gas,  $K=B=\gamma p$  (B is the bulk modulus), correct assumption

– In isothermal gas, K = B = p, bad assumption for sound in air

- In solid, K = E, Young's modulus

• 
$$v = \sqrt{\frac{K}{\rho}}$$
, gas  $v = \sqrt{\frac{\gamma p}{\rho}}$ , solid  $v = \sqrt{\frac{E}{\rho}}$ , non-dispersive

• 
$$\mathcal{L} = \frac{K}{v} = \sqrt{K\rho}$$

21. Damped waves on a string, damping force on dx is  $-\beta \dot{\psi} dx$ 

$$\bullet \ \, \boxed{\frac{\partial^2 \Psi}{\partial t^2} + \frac{\beta}{\rho} \frac{\partial \Psi}{\partial t} = v^2 \frac{\partial^2 \Psi}{\partial x^2}}, \, \Gamma = \frac{\beta}{\rho}$$

• trial solution  $\psi = \psi_0 e^{i(\omega t - kx)}$ ,  $\omega$  is real, k is complex in general  $(k = k_r - ik_i)$ 

• 
$$\frac{\partial^2 \Psi}{\partial t^2} + \Gamma \frac{\partial \Psi}{\partial t} = v^2 \frac{\partial^2 \Psi}{\partial x^2}, \, \omega^2 - i\Gamma \omega = v^2 k^2$$

• 
$$k_r^2 - k_i^2 = \frac{\omega^2}{v^2}, 2k_r k_i = \frac{\Gamma \omega}{v^2}$$

• Light damping  $\Gamma \ll \omega$ ,  $k_r \approx \omega/v$ ,  $k_i \approx \Gamma/(2v)$ 

• Heavy damping 
$$k_r \approx k_i \approx \left(\frac{\Gamma \omega}{2v^2}\right)^{1/2}$$

• Find both by solving quadratic and approximating square root at the last step

• The characteristic impedance 
$$Z = \frac{F}{v} = \frac{-T\Psi'}{\dot{\Psi}} = \frac{Tk}{\omega} = \frac{T}{\omega}(k_r - ik_i)$$

• Light damping 
$$Z(\omega) = \frac{T}{v} \left( 1 - \frac{i\Gamma}{2\omega} \right) = Z_0 \left( 1 - \frac{i\Gamma}{2\omega} \right)$$
, where  $Z_0$  is  $Z$  with zero damping

• Heavy damping 
$$Z(\omega) = Z_0(1-i)\sqrt{\frac{\Gamma}{2\omega}}$$

• Reflection coefficient 
$$r = \frac{Z_1 - Z_2}{Z_1 + Z_2}$$

• From undamped  $(Z_0)$  to damped (Z)

$$- r(\omega) \approx \frac{i\Gamma}{4\omega} - r(\omega) \approx -1$$

22. Waveguide on a membrane

y = k  $k_x + k_y \qquad k_x - k_y$  y = 0

• 
$$\Psi_A = Ae^{i(\omega t - k_x x - k_y y)}$$

• 
$$\Psi_B = -Ae^{i(\omega t - k_x x + k_y y)}$$

• 
$$\Psi = \Psi_A + \Psi_B = -2iA\sin(k_y y)e^{i(\omega t - k_x x)}$$

• Boundary condition: 
$$\Psi = 0$$
 at  $y = 0, b$ 

• 
$$k_y = \frac{n\pi}{b}$$

• 
$$k_y = \frac{n\pi}{b}$$
  
•  $k^2 = k_x^2 + k_y^2$ 

• Dispersion relation: 
$$\omega^2 = v^2 \left( k_x^2 + \frac{n^2 \pi^2}{b^2} \right)$$

• Phase velocity 
$$v_p = \frac{\omega}{k_x} = \frac{\omega}{\sqrt{\left(\frac{\omega^2}{v^2} - \frac{n^2\pi^2}{b^2}\right)}}$$

• Group velocity 
$$v_g = \frac{d\omega}{dk} = \frac{v^2}{\omega} \sqrt{\left(\frac{\omega^2}{v^2} - \frac{n^2\pi^2}{b^2}\right)}$$

• If  $k_x^2 < 0$ ,  $k_x$  is imaginary, the wave is **evanescent** 

23. Wave equation 
$$\nabla^2 \mathbf{E} = \mu \epsilon \frac{\partial^2 \mathbf{E}}{\partial t^2} = \frac{1}{c^2} \frac{\partial^2 \mathbf{E}}{\partial t^2}$$

24. 
$$c_0 = \frac{1}{\sqrt{\mu_0 \epsilon_0}}, n = \frac{c_0}{c} = \sqrt{\mu_r \epsilon_r}$$

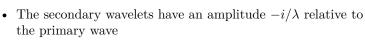
25. 
$$Z = \frac{|\mathbf{E}|}{|\mathbf{H}|} = \frac{|\mathbf{E}|}{|\mathbf{B}/\mu|} = c\mu = \sqrt{\frac{\mu}{\epsilon}} = Z_0 \sqrt{\frac{\mu_r}{\epsilon_r}}$$

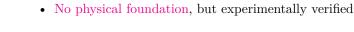
26. 
$$Z = \frac{Z_0}{n}$$
,  $Z_0 = \sqrt{\frac{\mu_0}{\epsilon_0}} \approx 376.730\Omega$  ( $Z_0$  is impedance of free space)

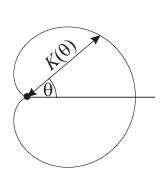
27. Reflection of EM wave 
$$r = \frac{n_1 - n_2}{n_1 + n_2}$$

## 28. Optics

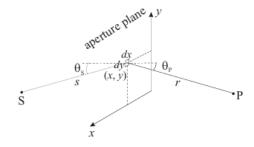
- Quantum Electrodynamics: full theory of EM interaction with matter, complicated, only used for simple systems
- Maxwell's Equations: Large number of photons, hard to compute except for special boundary conditions
- Physical Optics: aka scalar wave theory, ignore polarization, simplify b.c. Use Huygens' construction of secondary waves. (What we use in this course)
- Ray Optics: Ignore wave properties, "corpuscular theory".
- 29. Huygens' Principle: each point on a wavefront acts as a source of secondary wavelets which propagate, overlap, interfere, and thus carry the wavefront forward
- 30. Huygens-Fresnel Principle
  - Obliquity/inclination factor  $K(\theta) = \frac{1 + \cos \theta}{2}$ David Miller: dipole; Forrest Anderson: dirac delta func-





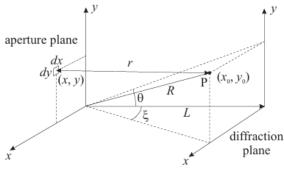


### 31. The diffraction integral



- $\Psi(\mathbf{r},t) = \text{Re}(\psi(\mathbf{r}e^{-i\omega t}))$
- $\psi_{\text{incident}} = \frac{a_S e^{iks}}{s}$
- Obliquity factor  $K(\theta) = \frac{\cos \theta_S + \cos \theta_P}{2}$  (Proof)
- Fresnel-Kirchhoff diffraction Integral  $\psi_P = \iint_{\Sigma} -\frac{i}{\lambda} h(x,y) K(\theta) \frac{a_S e^{ik(s+r)}}{sr} \mathrm{d}x \mathrm{d}y$
- Key take-away: plane wave on aperture produces  $\boxed{\psi_P \propto \frac{e^{ikr}}{r}}$

## 32. Fraunhofer diffraction



• 
$$r^2 = L^2 + (x_0 - x)^2 + (y_0 - y)^2 = R^2 \left( 1 - 2\frac{x_0 x + y_0 y}{R^2} + \frac{x^2 + y^2}{R^2} \right)$$

• 
$$R^2 = L^2 + x_0^2 + y_0^2$$

• 
$$r \approx R - \frac{x_0 x + y_0 y}{R} + \frac{x^2 + y^2}{2R}$$

• Condition for Fraunhofer 
$$\left[\frac{k(x^2+y^2)}{2R}\ll\pi\right]$$
 (or  $R\gg\frac{\rho^2}{\lambda}$ )

• Ignore obliquity factor, 
$$\psi_P \propto \iint_{\Sigma} h(x,y) \exp\left[-ik\left(\frac{x_0}{R}x + \frac{y_0}{R}y\right)\right] dxdy$$

• 1D aperture, 
$$\psi_P \propto \int \left[ h(y)e^{-iqy} dy \right], q = ky_0/R = k \sin \theta$$

33. Fraunhofer, angular resolution of circular aperture  $\theta = \frac{1.22\lambda}{d}$ .

34. Diffraction grating of N slits' resolution at n-th maxima  $\frac{\delta \lambda}{\lambda} = \frac{1}{nN}$ 

- slit separation D, aperture screen distance R,  $p = k \sin \theta = \frac{2\pi}{\lambda} \frac{x_0}{R}$
- Width of n-th peak is first peak at  $x_0 = 0$  to position of first zero

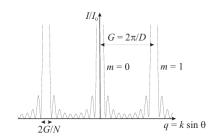
• 
$$h(y) = \sum_{m=0}^{N-1} \delta(x - mD)$$

• 
$$\psi_P \propto \int h(y)e^{-ipx} dx = \sum_{m=0}^{N-1} e^{-ipmD} = \frac{1 - e^{-ipND}}{1 - e^{-ipD}} = \frac{\sin\left(\frac{pND}{2}\right)}{\sin\left(\frac{pD}{2}\right)} e^{-ip(N-1)D/2}$$

• 
$$I = |\psi_P|^2 \propto \left(\frac{\sin\left(\frac{pND}{2}\right)}{\sin\left(\frac{pD}{2}\right)}\right)^2$$

• Let 
$$I = I_0$$
 at  $N = 1$ ,  $I = I_0 \left( \frac{\sin\left(\frac{pND}{2}\right)}{\sin\left(\frac{pD}{2}\right)} \right)^2$ 

- Peaks at  $\frac{pD}{2} = n\pi$ , if not a peak and  $\frac{pND}{2} = n\pi$ , a zero, there are N-1 zeros between peaks. Peak height  $N^2I_0$  by l'Hopital's rule.
- 1/2-Peak-width (first zero) is  $pND/2 = kNDx_0/2R = \pi$ ,  $x_0 = \frac{\lambda R}{DN}$
- In the extreme case, n-th peak of  $\lambda + \delta \lambda$  falls on minimum of n-th peak of  $\lambda$
- n-th maximum,  $D \sin \theta = D \frac{x_0}{R} = n\lambda$ ,  $x_0 = \frac{n\lambda}{D}$
- $\frac{n(\lambda + \delta\lambda)R}{D} \frac{n\lambda R}{D} = \frac{\lambda R}{DN}, \frac{\delta\lambda}{\lambda} = \frac{1}{nN}$
- Higher order peaks separates lights better (higher resolution), but might not be obtained.
- More slits N on grating increases resolution, but reduces  $x_0$  so more difficult to measure. (Rayleigh Criterion)



35.	f(t)	$\tilde{f}(\omega) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} f(t)e^{-i\omega t} dt$
	$\delta(t-t_0)$	$\frac{e^{-i\omega t_0}}{\sqrt{2\pi}}$
	$f(t) = \operatorname{int}( t  \le 1/2) \text{ (top-hat)}$	$\frac{\mathrm{sinc}(\omega/2)}{\sqrt{2\pi}}$
	$e^{-t^2/2}$ (Gaussian)	$e^{-\omega^2/2}$
	$\sum_{n=-\infty}^{\infty} \delta(t - n\mathbf{T}) \text{ (comb)}$	$\frac{\sqrt{2\pi}}{T} \sum_{n=-\infty}^{\infty} \delta(\omega - \frac{2\pi}{T}n)$

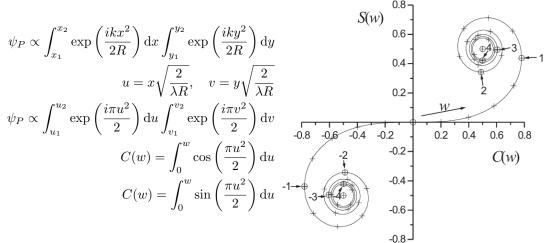
36. Babinet's principle: The diffracted intensities of an aperture and its complement are the same except at the origin

$$\psi_a \propto \iint_A e^{-i(px+qy)} \mathrm{d}x \mathrm{d}y$$
 
$$\psi_b \propto \iint_{\text{all space}} e^{-i(px+qy)} \mathrm{d}x \mathrm{d}y - \psi_a \propto \boxed{\delta(p,q) - \psi_a}$$

37. On-axis Fresnel diffraction  $(R \sim \rho^2/\lambda, p = q = 0)$ 

$$\psi_P \propto \iint_{\Sigma} h(x,y) \exp\left(ik\frac{x^2+y^2}{2R}\right) dxdy$$

38. Rectangular aperture

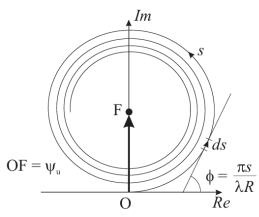


 $dl^2 = dw$  on the Cornu spiral

 $w \to \infty$ ,  $\psi_P = 0.5(1+i)$   $I = |\psi_P|^2 \propto \text{distance between 2 points on spiral}$ 

39. Circular aperture

$$\psi_P \propto \int_{s=0}^{s=r_a^2} \frac{K(s)}{(a^2+s)^{1/2}(b^2+s)^{1/2}} \exp\left(\frac{i\pi s}{\lambda R}\right) \pi ds, \quad s=\rho^2$$



Fresnel half-period zones,  $\sqrt{(n-1)\lambda R} \le \rho \le \sqrt{n\lambda R}$ , predicts poisson's spot, also a highly chromatic lens with focal length  $f = \frac{\rho_1^2}{\lambda} = \frac{\rho^n}{n\lambda}$ Many focal point corresponding to different number of half-period zones

- 40. Interference with broadband light
- 41. Thin film interference
- 42. Fabry-Perot etalon
- 43. Left/Right polarization: right/left hand, thumb along propagation direction (IEEE Engineer), or the opposite/towards source (Experimentalist) (Sketch polarization in 3D)

# **Proofs**

- 1. ★
- Proof a bit long, read through this great book next time (chap11 on holography too!)



## 2. ★

- The plane wave has amplitude  $\psi_s$  at the aperture
- Perpendicular distance from P to aperture is L, is large

• 
$$\psi_P = \iint_{\Sigma} K(\theta_S, \theta_P) \frac{A\psi_s e^{ikr}}{r} \rho d\rho d\phi$$

- $L^2 + \rho^2 = r^2$ ,  $\rho d\rho = r dr$ , r goes from L to  $R(\phi)$
- $R(\phi)$  varies rapidly as  $\phi$  changes because L is large

$$\bullet \ \psi_P = \int_0^{2\pi} \int_L^{R(\phi)} \frac{A\psi_s e^{ikr}}{r} r \mathrm{d}r \mathrm{d}\phi = \frac{A\psi_s}{ik} \int_0^{2\pi} e^{ikR(\phi)} \mathrm{d}\phi - \frac{2\pi A}{ik} \psi_s e^{ikL}$$

-  $e^{ikR(\phi)}$  oscillates quickly, first integral vanishes

• 
$$\psi_P = \psi_s$$
,  $\frac{-2\pi A}{ik} = 1$ ,  $A = -i/\lambda$