



# Methods of Artificial Intelligence

## 4. Planning I: Classical Planning

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# Today..

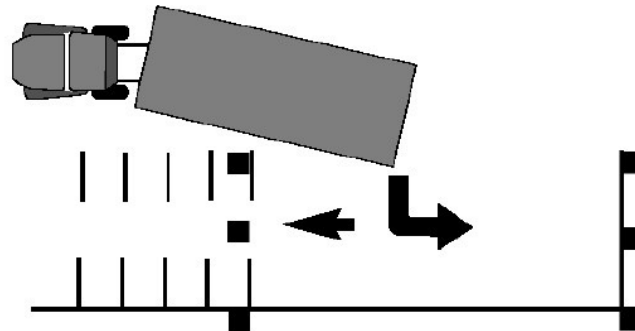
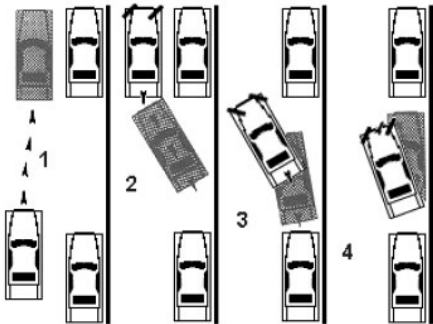
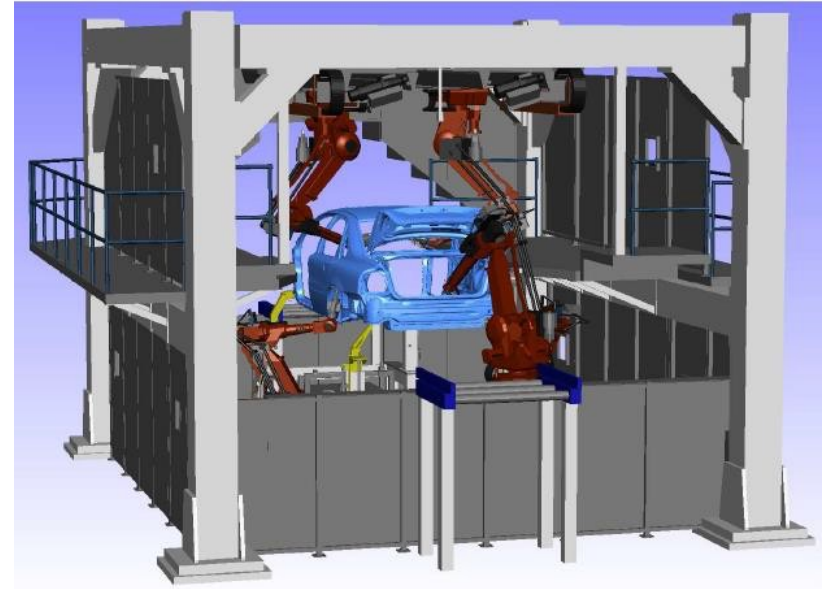
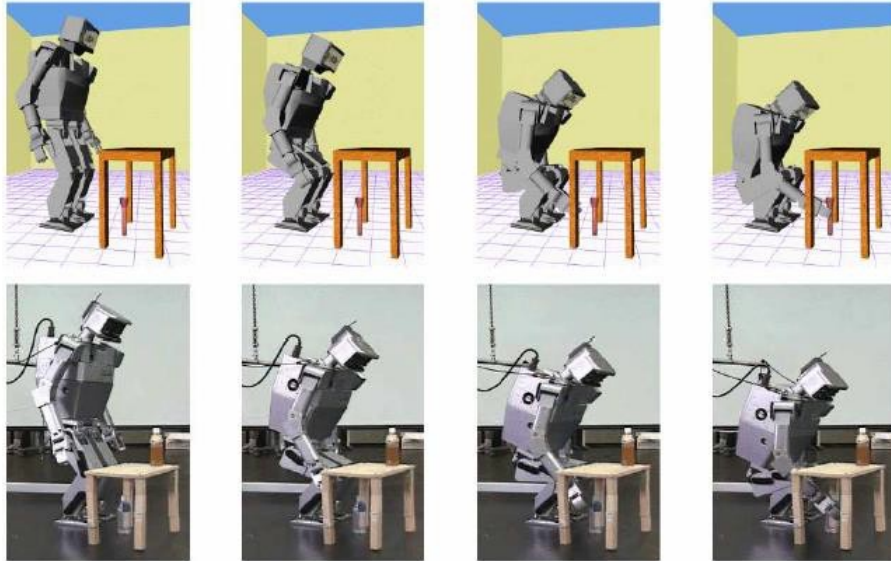
- An overview of Planning Problems and challenges
- Deductive planning: Situation Calculus
- State-based planning: Strips
- PDDL

# Planning Systems

## General Remarks

# Planning Problems

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**Local Search:** We are not interested in the sequence of actions.

**Classical Search:** We are not interested in the internal representation of states. States are represented as a single entity (which may be quite a complex object, but its internal structure is not used by the search algorithm).

**Planning:** We are interested in both the sequence of actions and the internal representation of states. States have structured representations (collections of properties) which are used by the planning algorithm.

AI planning deals with the

- formalization,
- implementation and
- evaluation

of algorithms for constructing plans.

**Plan (classical view):** find sequence of actions that transforms given state into goal state (in a fully observable, deterministic, static environment).

**Plan (alternative view):** assign an action to every possible state

Some approaches:

**deductive** planning: e.g. Situation Calculus.

**state-based** planning: e.g. Strips.

**probabilistic** planning: e.g. Markov Decision Processes

## Planning language

- often subsets of FOL
- states and goals can often be represented as ‘conjunctions’ of ground literals
- operators can be described as rules
- operator semantics: change state by applying an action

## Algorithms for constructing a plan



## Frame problem:

actions change the world, but many things remain unchanged

## Qualification problem:

actions may have an endless list of preconditions

## Ramification problem:

actions may have unintended side-effects



[\*Kiva Systems Warehouse Automation \(Youtube\)\*](#)

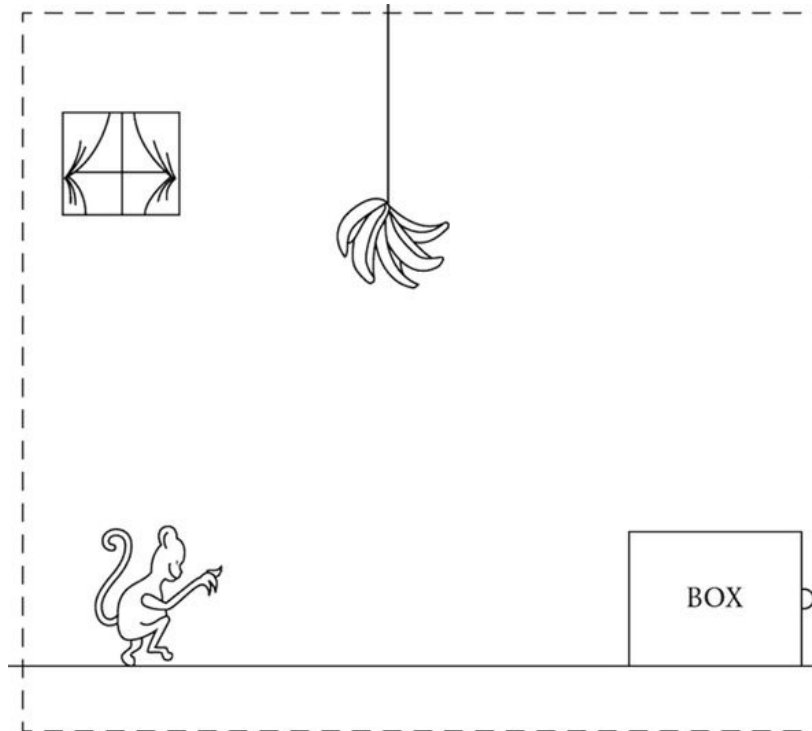
- Intelligent Warehouse Systems manage hundreds of robots
- items are stored in portable storage units (PSUs)
- robots transport PSUs to human operators that pick and pack items

## Frame problem:

- When moving one PSU, state of all other PSUs remains unchanged
- Planning system has to transfer this information from previous to future state in an efficient way
- Question: What is an adequate set of data that represents a situation and environment?

# Frame Problem

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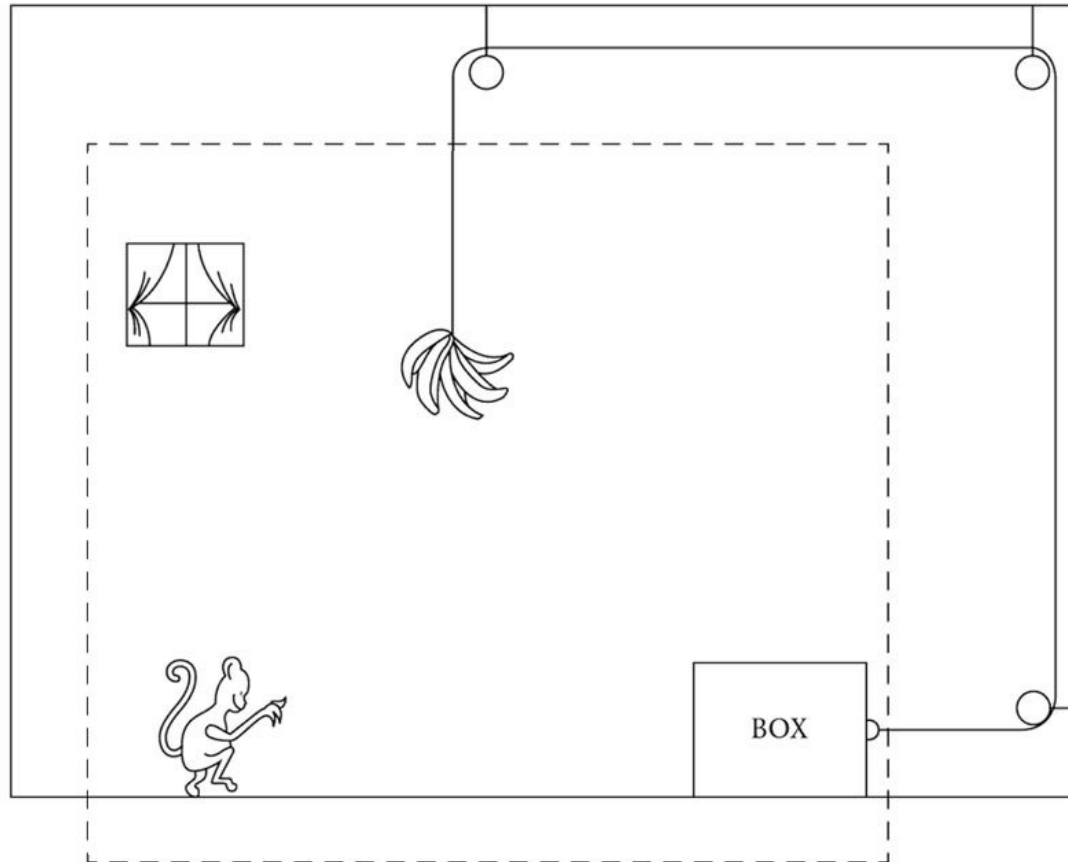


The Monkey and bananas problem.

Source: M.A. Boden, Artificial Intelligence and Natural Man (1977:387) MIT Press

# Frame Problem

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The Monkey and bananas problem.

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# Frame Problem

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<https://www.nintendo.de/Spiele/NES/Super-Mario-Bros-803853.html>

# Frame Problem

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<https://www.nintendo.de/Spiele/NES/Super-Mario-Bros-803853.html>





[\*Kiva Systems Warehouse Automation \(Youtube\)\*](#)

**Qualification problem:** we may be unable to move a robot because

- it ran out of power
- it has a malfunction
- the path is blocked by another robot
- ...



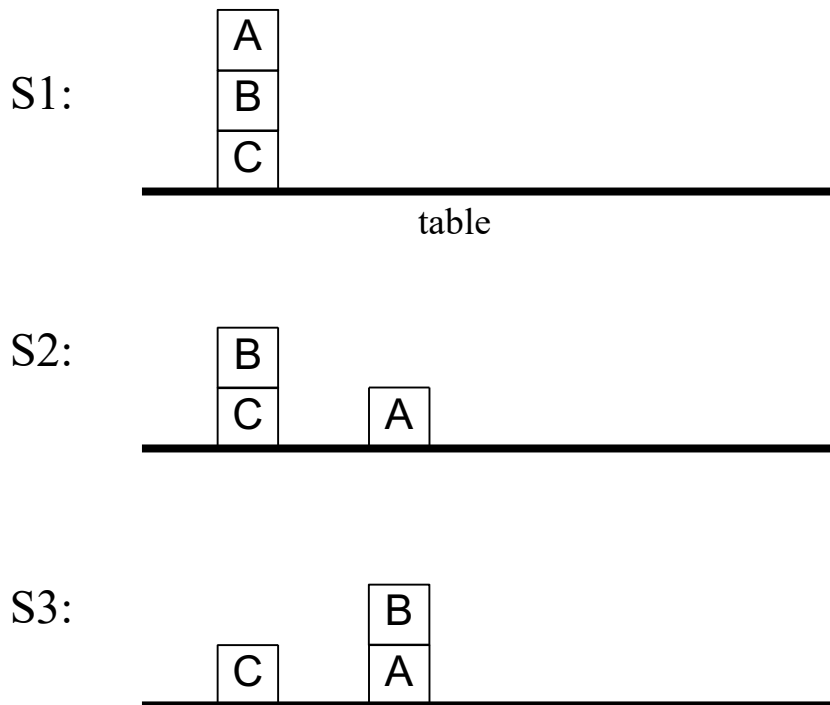
[Kiva Systems Warehouse Automation \(Youtube\)](#)

- Ramification problem:** when robot moves a PSU with one particular item
- it also moves all other items stored in the PSU

# A Simple Planning Domain: Blocksworld

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## Logical Representation:



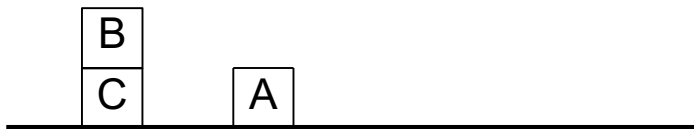
- constants: A, B, C (blocks)
- Predicate symbols:  
`on(block,block)` ,  
`ontable(block)`  
`clear(block)`
- Function symbols:  
`put(block,block)` ,  
`put_on_table(block)`

S1:



- `onTable (C)`
- `on (B, C)`
- `on (A, B)`
- `clear (A)`

S2:



- `onTable (C)`
- `onTable (A)`
- `on (B, C)`
- `clear (B)`
- `clear (A)`

S1:



- `onTable (C)`
- `on (B, C)`
- `on (A, B)`
- `clear (A)`

Given the state above. Give an example of the

- Frame problem
- Qualification problem



- onTable(C)
- on(B,C)
- on(A,B)
- clear(A)

- **Frame problem:** when putting A on the table, A stays clear, B stays on C and C stays on the table
- **Qualification problem:** we may be unable to put A on the table because it is too heavy



# Deductive Planning Systems

## The Situation Calculus

Basic example of Deductive Planning is the **Situation Calculus**

## History:

- Introduced by McCarthy (1963)
- used for plan construction by resolution by Green (1969).
- Further developments in the context of the event calculus (Kowalski, 1986) and Fluent calculus (Thielscher, 2000).

## Features:

- States are described by logical formulas
- Operators are described by logical axioms
- Plans can be computed by Theorem Provers
- Situation Calculus does not use closed-world assumption

- Predicates that change over time get an **additional argument**
- This additional argument represents the **current situation**
- We call these predicates **fluents**



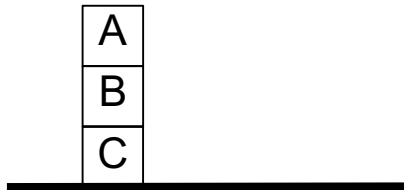
- `onTable(C, S1)`
- `on(B, C, S1)`
- `on(A, B, S1)`
- `clear(A, S1)`

- Formally, **situations** are terms. They are either
  - Atomic situations (constants) like S1 or
  - Complex situations (created from atomic situations using operators)

# Example

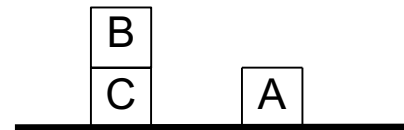
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S1:



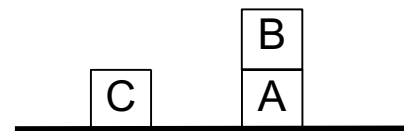
- `onTable (C, S1)`
- `on (B,C, S1)`
- `on (A,B, S1)`
- `clear (A, S1)`

← `put_on_table(A,S1)`



- `onTable (C, put_on_table (A, S1))`
- `on (B,C, put_on_table (A, S1))`
- `onTable (A, put_on_table (A, S1))`
- `clear (A, put_on_table (A, S1))`
- `clear (B, put_on_table (A, S1))`

← `put(B,A, put_on_table(A,S1))`



- `onTable (C, put (B,A, put_on_table (A, S1)))`
- `on (B,A, put (B,A, put_on_table (A, S1)))`
- `onTable (A, put (B,A, put_on_table (A, S1)))`
- `clear (B, put (B,A, put_on_table (A, S1)))`
- `clear (C, put (B,A, put_on_table (A, S1)))`

Remark: In the following **different variables refer to different entities**. This must be made explicit by adding a statement like  $X \neq Y$  in some frameworks

**Effect Axioms:** *describe preconditions and effects of operators*

$$\begin{aligned} \text{on}(X, Y, \text{put}(X, Y, S)) &\leftarrow \text{clear}(X, S) \wedge \text{clear}(Y, S) \\ \text{clear}(Z, \text{put}(X, Y, S)) &\leftarrow \text{on}(X, Z, S) \wedge \text{clear}(X, S) \wedge \text{clear}(Y, S) \end{aligned}$$
$$\begin{aligned} \text{clear}(Y, \text{put\_on\_table}(X, S)) &\leftarrow \text{on}(X, Y, S) \wedge \text{clear}(X, S) \\ \text{ontable}(X, \text{put\_on\_table}(X, S)) &\leftarrow \text{clear}(X, S) \end{aligned}$$

## Frame Problem in Situation Calculus:

we do not only need axioms describing the effects of actions, but also axioms describing what remains unchanged.

**Frame axioms** describe what relations remain unaffected by actions

Example of a frame axiom for **put(X,Y,S)**:

$$\text{on}(Y, Z, \text{put}(X, Y, S)) \leftarrow \text{on}(Y, Z, S)$$

*(After putting X on Y, Y will still be on Z if this was true before)*



**Frame Axioms:** *describe properties of previous situation that remain true*

$$\text{clear}(X, \text{put}(X, Y, S)) \quad \leftarrow \quad \text{clear}(X, S) \wedge \text{clear}(Y, S)$$

$$\text{clear}(Z, \text{put}(X, Y, S)) \quad \leftarrow \quad \text{clear}(X, S) \wedge \text{clear}(Y, S) \wedge \text{clear}(Z, S)$$

$$\text{ontable}(Y, \text{put}(X, Y, S)) \quad \leftarrow \quad \text{clear}(X, S) \wedge \text{clear}(Y, S) \wedge \text{ontable}(Y, S)$$

$$\text{ontable}(Z, \text{put}(X, Y, S)) \quad \leftarrow \quad \text{clear}(X, S) \wedge \text{clear}(Y, S) \wedge \text{ontable}(Z, S)$$

$$\text{on}(Y, Z, \text{put}(X, Y, S)) \quad \leftarrow \quad \text{clear}(X, S) \wedge \text{clear}(Y, S) \wedge \text{on}(Y, Z, S)$$

$$\text{on}(W, Z, \text{put}(X, Y, S)) \quad \leftarrow \quad \text{clear}(X, S) \wedge \text{clear}(Y, S) \wedge \text{on}(W, Z, S)$$

- When operators have been described, planning problems can be solved by
1. Defining initial state
  2. Defining goal state
  3. Applying Theorem Prover

Initial state (logical atoms)

$\text{on}(d,c,s_1)$

$\text{clear}(d,s_1)$

$\text{ontable}(a,s_1)$

$\text{on}(c,a,s_1)$

$\text{clear}(b,s_1)$

$\text{ontable}(b,s_1)$

Goal (Theorem)

$\text{on}(a,b,G) \wedge \text{on}(b,c,G)$



Consider the following **fluents** and **operator** for a warehouse system:

- **Parking(P, S)**: PSU P is parked in situation S (can be picked up)
- **Available(R, S)**: robot R is free for use in situation S
- **Carries(R, P, S)**: robot R carries PSU P in situation S
- **pick(R, P, S)**: let robot R pick PSU P in situation S

Give an example of an effect axiom and a frame axiom for the operator pick



## Some Effect Axioms

- $\text{Carries}(R, P, \text{pick}(R, P, S)) \leftarrow \text{Parking}(P, S) \wedge \text{Available}(R, S)$
- $\neg \text{Parking}(P, \text{pick}(R, P, S)) \leftarrow \text{Parking}(P, S) \wedge \text{Available}(R, S)$
- $\neg \text{Available}(R, \text{pick}(R, P, S)) \leftarrow \text{Parking}(P, S) \wedge \text{Available}(R, S)$

## Some Frame Axioms

- $\text{Carries}(R, P, \text{pick}(R2, P2, S)) \leftarrow \text{Carries}(R, P, S)$
- $\text{Parking}(P, \text{pick}(R2, P2, S)) \leftarrow \text{Parking}(P, S)$
- $\text{Available}(R, \text{pick}(R2, P2, S)) \leftarrow \text{Available}(R, S)$

# Planning Problems in Situation Calculus

**Qualification problem:** Preconditions in effect axioms can theoretically solve the qualification problem.

**Frame problem:** Frame axioms can theoretically solve the frame problem.

**Ramification problem:** Additional axioms can theoretically solve the ramification problem ("if an object is attached to another object and one of the objects is moved, the other object moves, too").

**In practice,** however, it is difficult to guarantee that all cases are covered, and runtime may suffer

# Planning with Golog

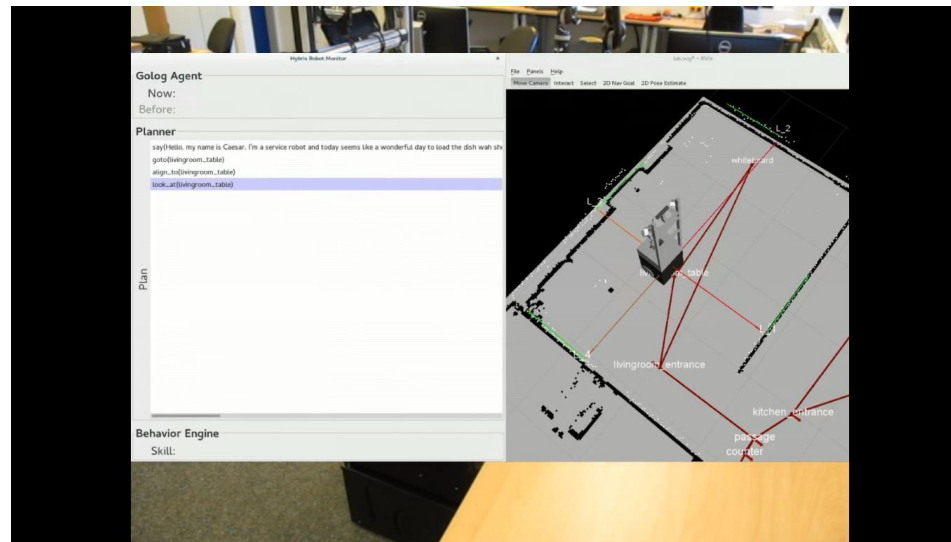
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Golog combines

- Logic Programming and
- Situation Calculus

```
proc DeliverCoffee
  while  $\exists x. WantsCoffee(x)$  do
     $\pi x. WantsCoffee(x); ServeCoffee(x)$ 
  endWhile
  goto(Home)
endProc

proc ServeCoffee(x)
  if  $\neg HasCoffee(R)$  then goto(CoffeeM); loadCoffee endif
  goto(x); giveCoffee(x)
endProc
```



<https://www.youtube.com/watch?v=qLIYJ2NiGq0>



- **Situation Calculus** is the basic example of Deductive Planning
- States are represented by sets of **fluents** (logical atoms)
- Operators and their effects are described by **logical axioms**
- Planning can be reduced to **Theorem Proving**
- **Deductive Reasoning ability comes for free**
- **Verification and computational performance can be a problem**

# State-Based Planning: STRIPS

Basic example of State-Based Planning is **STRIPS**  
(**S**tanford **R**esearch **I**nstitute **P**roblem **S**olver)

## History:

- Developed by Fikes and Nilsson (1971)
- Foundation of modern state-based planners (PDDL)

## Features:

- States are represented by sets of atoms
- Operators act directly on states
- Planning can be performed by Classical Search or tailor-made algorithms
- STRIPS uses closed-world assumption

**State descriptions** correspond to sets of ground atoms

Domain  $D = \{a, b, c, d\}$

Predicates  $P = \{\text{ontable}^1, \text{clear}^1, \text{on}^2\}$

$s = \{\text{ontable}(a), \text{ontable}(c), \text{ontable}(d), \text{clear}(a), \text{clear}(b), \text{clear}(d), \text{on}(b,c)\}$

**Goals** are usually also sets of ground atoms

$G = \{\text{on}(b,c), \text{ontable}(c)\}.$

**Goal state:** A state  $s$  is called a goal state if  $G \subseteq s$ .

**Closed World Assumption:** all atoms in state  $s$  are assumed to be true, all other are assumed to be false

Operator scheme consists of

- An operator name followed by a sequence of variables and
- three lists that represent
  - The preconditions (**PRE**)
  - The positive effects (**ADD**)
  - The negative effects (**DEL**)

Variables serve as placeholders for domain objects

Operator *put*:

<b>Operator:</b>	put(X,Y)
<b>PRE:</b>	{ontable(X), clear(X), clear(Y)}
<b>ADD:</b>	{on(X,Y)}
<b>DEL:</b>	{ontable(X), clear(Y)}

We apply an operator by **instantiating the variables** in the operator scheme (formally, we apply a substitution)

**put**(X,Y)

**PRE:** {ontable(X), clear(X), clear(Y)}

**ADD:** {on(X,Y)}

**DEL:** {ontable(X), clear(Y)}

$X \leftarrow A, Y \leftarrow B$

$X \leftarrow B, Y \leftarrow C$

**put**(a,b)

**PRE:** {ontable(a), clear(a), clear(b)}

**ADD:** {on(a,b)}

**DEL:** {ontable(a), clear(b)}

**put**(b,c)

**PRE:** {ontable(b), clear(b), clear(c)}

**ADD:** {on(b,c)}

**DEL:** {ontable(b), clear(c)}

- An instantiated operator  $o$  **can be applied** in a state  $s$  if

$$\text{Pre}(o) \subseteq s$$

- **Applying**  $o$  will change the current state  $s$  to a new state  $s'$

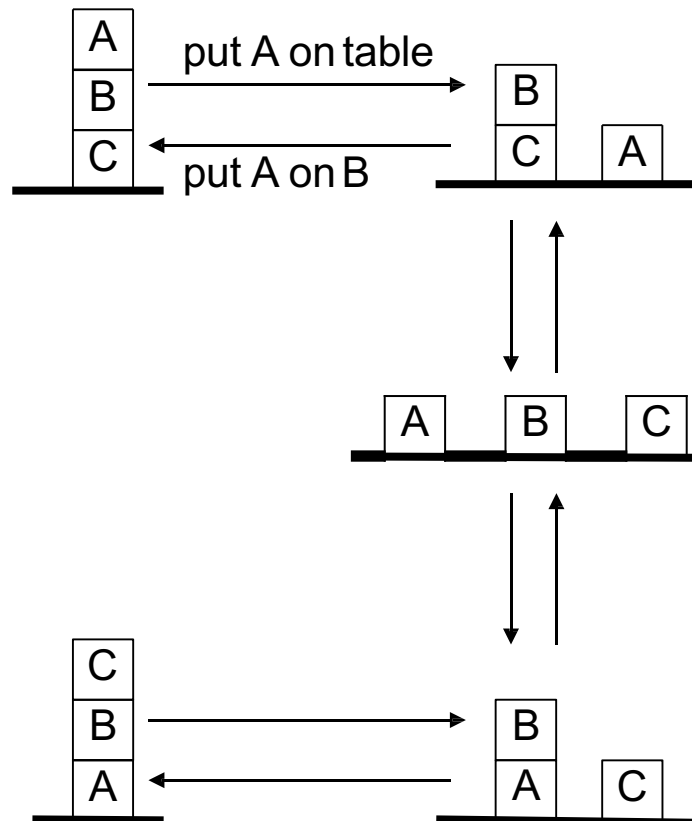
$$s' = (s \setminus \text{Del}(o)) \cup \text{Add}(o)$$

*(delete elements from del-list and add elements from add-list)*

# Example: State-Space Model

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- Operator application spans a search space
- $s_i$  is connected to  $s_j$  iff  $s_j$  results from  $s_i$  when applying a single operator





# Example: Blocksworld Domain

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The put operator for two different situations:

**put(X, Y)**  
**PRE:** {**ontable**(X),  
clear(X), clear(Y)}  
**ADD:** {on(X, Y)}  
**DEL:** {ontable(X), clear(Y)}

**put(X, Y)**  
**PRE:** {**on**(X, Z),  
clear(X), clear(Y)}  
**ADD:** {on(X, Y), clear(Z)}  
**DEL:** {on(X, Z), clear(Y)}

The put\_on\_table operator:

**put\_on\_table(X)**  
**PRE:** {clear(X), on(X, Y)}  
**ADD:** {ontable(X), clear(Y)}  
**DEL:** {on(X, Y)}

Example of a Blocksworld Problem:

Blocksworld domain

**GOAL:** {on(A, B),  
on(B, C)}

**INITIAL STATE:**  
{on(D, C), on(C, A),  
clear(D), clear(B),  
ontable(A), ontable(B)}



Consider again the atoms:

- **Parking(P)**: PSU P can be picked up
  - **Available(R)**: robot R is available for transportation
  - **Carries(R, P)**: robot R carries PSU P
- 
- Define a Strips-Operator **pick(R, P)** that lets robot R pick PSU P
  - How can you solve the frame problem for pick?



**pick(?R, ?P, ?S)**

**PRE:** {Parking(P), Available(R)}

**ADD:** {Carries(R,P)}

**DEL:** {Parking(P), Available(R)}

In STRIPS, everything that we do not change explicitly, remains unchanged – therefore we do not need any frame axioms

# Comparison

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## Situation Calculus

### Effect Axioms

- $\text{Carries}(R, P, \text{pick}(R, P, S)) \leftarrow \text{Parking}(P, S) \wedge \text{Available}(R, S)$
- $\neg \text{Parking}(P, \text{pick}(R, P, S)) \leftarrow \text{Parking}(P, S) \wedge \text{Available}(R, S)$
- $\neg \text{Available}(R, \text{pick}(R, P, S)) \leftarrow \text{Parking}(P, S) \wedge \text{Available}(R, S)$

### Frame Axioms

- $\text{Carries}(R, P, \text{pick}(R_2, P_2, S)) \leftarrow \text{Carries}(R, P, S)$
- $\text{Parking}(P, \text{pick}(R_2, P_2, S)) \leftarrow \text{Parking}(P, S)$
- $\text{Available}(R, \text{pick}(R_2, P_2, S)) \leftarrow \text{Available}(R, S)$

## STRIPS

**pick**(?R, ?P, ?S)

**PRE:** {Parking(P), Available(R)}

**ADD:** {Carries(R,P)}

**DEL:** {Parking(P), Available(R)}

Implicit in STRIPS

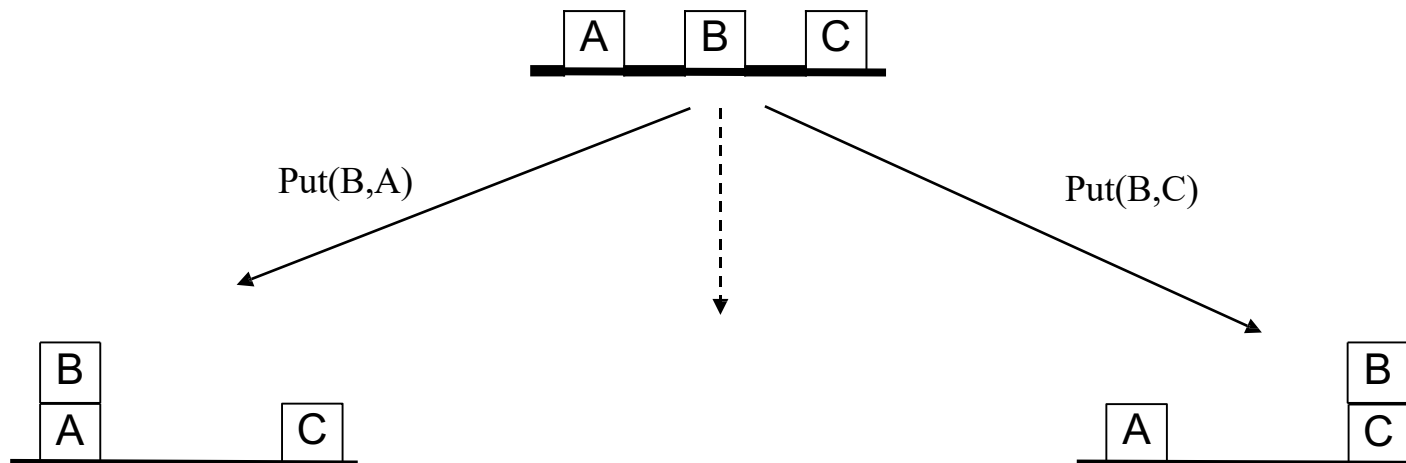
**Qualification problem:** Pre-list.

**Frame problem:** STRIPS transfers everything to the next state automatically if not stated otherwise (del-list)

**Ramification problem:** Add-list, Del-List

STRIPS 'solves' the Frame problem and allows a more convenient problem representation

- Planning in STRIPS can be seen as a **classical search problem**
- **States** are sets of atoms
- **Successors** in search space are obtained by applying operators
  - one successor for each applicable operator
  - successor state is obtained from current state by deleting elements from del-list and adding elements from add-list



## Classical Search (**Forward Planning**):

- uninformed search: usually variants of depth-first search (performance)
- informed search with variants of  $A^*$

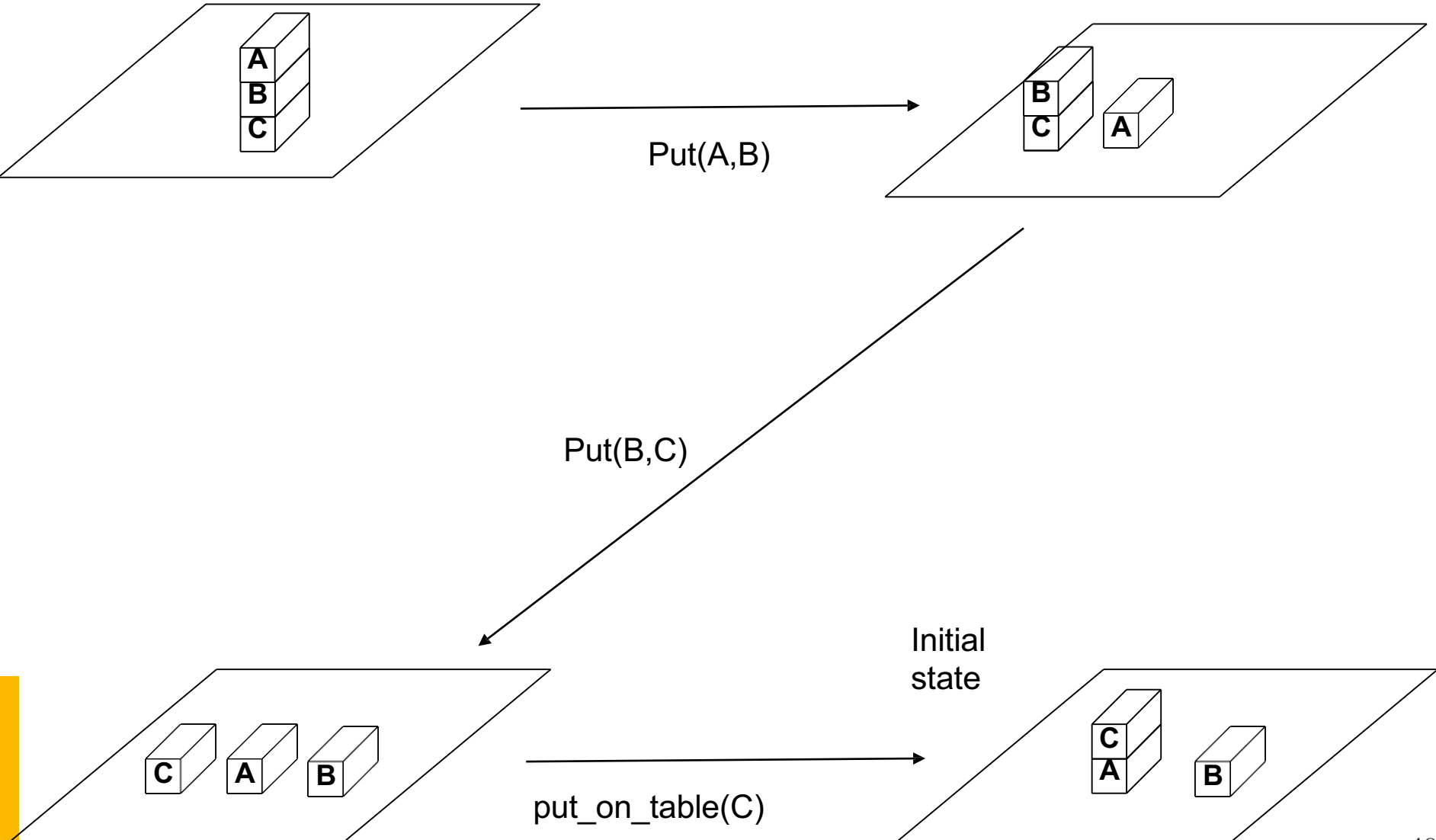
## **Backward Planning** (relevant-state search):

- Start from goal and apply operators backwards until a sequence of operators is found that reaches an initial state
- **Advantage**: may consider less irrelevant actions

# Example: Backward Search (idealized)

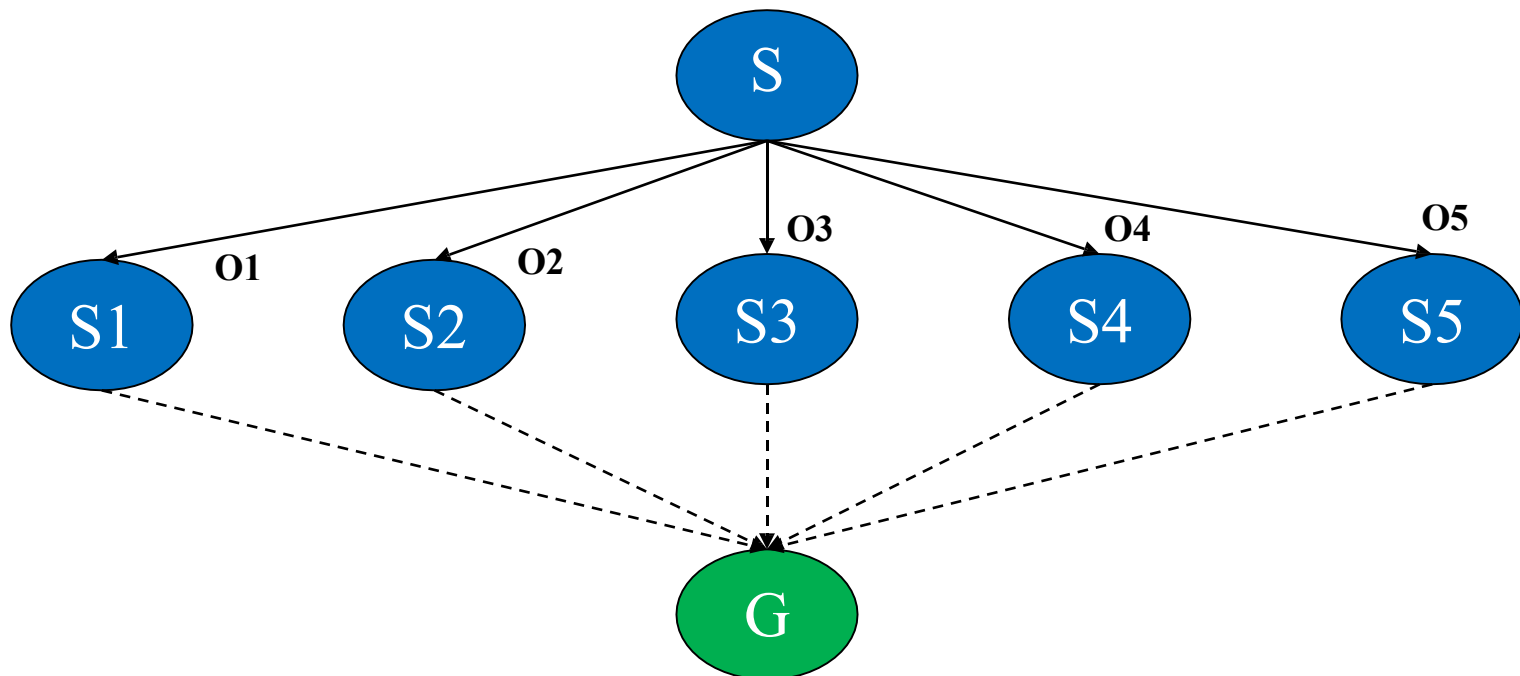
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Goal:  $\text{on}(A,B)$  and  $\text{on}(B,C)$





- search must be guided by good heuristics
- **Heuristic: estimates the cost** to from state to goal state
- This can be achieved by relaxing the problem to an easier problem and solving the **relaxed problem**

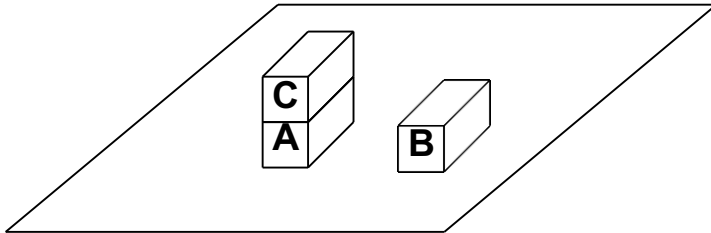


- **Ignore Pre-Del Heuristic:**
  - relax problem by ignoring pre- and del-list of operators
  - each operator then satisfies a number of goals (0, 1, 2, ...)
  - given a state, compute minimal number of operators that must be applied to satisfy goal
  - this corresponds to the **set-cover** problem (that is still **NP-hard**)
- we can do better by using an **approximate greedy solution** that can be computed in **polynomial time** (or apply local search)
- however, the greedy solution may overestimate the cost
- hence, the corresponding heuristic is **not admissible**  
(recall that A\* guarantees optimality only for admissible heuristics)

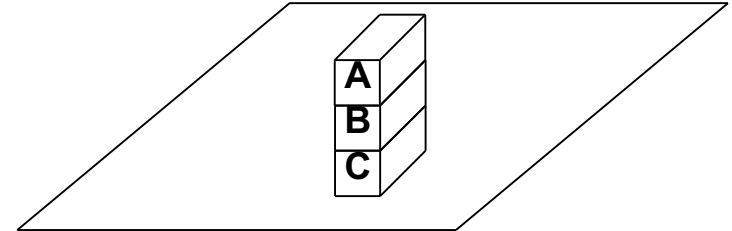
# Example: Blocksworld

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Initial state



Goal:  $\text{on}(A,B)$  and  $\text{on}(B,C)$



- In Blocksworld, **goal can be identified with**  
 $U = \{\text{onTable}(C), \text{on}(B,C), \text{on}(A,B)\}$
- Each **operator can be identified with** a subset of  $U$ 
  - $\text{Put}(A,B): \{\text{on}(A,B)\}$
  - $\text{Put}(A,C): \{\}$
  - $\text{Put}(B,C): \{\text{on}(B,C)\}$
  - ...
- Estimated cost comes down to number of unsatisfied literals (why?)

# Example: Warehouse

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- Again **goal can be identified with** set (e.g. order at location)  $U = \{ \text{ItemAt}(i1, x, y), \text{ItemAt}(i2, x, y), \text{ItemAt}(i3, x, y) \}$
- Intuitively, we can think of  $U$  as a set of items
- PSUs correspond to subsets of  $U$  (the items in  $U$  that they carry)
- The **minimal set cover** of  $U$  corresponds to PSUs that we have to carry to location  $(x,y)$
- **Estimated cost** comes down to minimal number of PSUs that we have to carry

- **Ignore Del Heuristic:**
  - relax problem by ignoring only del-list of operators
  - no operator will undo goals in relaxed problem
  - relaxed problem is still **NP-hard**
- **approximate solution** can again be computed in **polynomial time**
- corresponding heuristic is again **not admissible**

- **STRIPS** is the basic example of State-Based Planning
- States are represented by sets of atoms
- Operators are described by 3 lists (pre, add, del)
- Frame problem is 'solved' by leaving everything unchanged that is not changed explicitly
- Planning comes down to classical search
- State-based planning can be more efficient than deductive planning, but planning remains hard in general

# PDDL

- **Planning Domain Definition Language (PDDL)** extends STRIPS significantly
- Development is driven by the **International Planning Competition (IPC)** since 1998

PDDL supports in particular

- **Numeric fluents**
  - `Contains(P, I, 100)`: PSU P contains 100 instances of item I
- **Derived Predicates**
  - `PSUAt(P, X, Y) :- Carries(R, P), RobotAt(R, X, Y).`
  - `ItemAt(I, X, Y) :- Contains (P,I, N), N>0, PSUAt(P, X, Y).`



# PDDL Syntax basics

- **Planning Domain Definition Language** (PDDL) syntax is LISP-like and might look unfamiliar at first
- The syntax is very simple:
  - Instead of  $f(x, y, z)$  as in modern languages
  - you have  $(f\ x\ y\ z)$
- A PDDL representation contains at least:
  - a domain definition (types, actions)
  - a problem definition (initial state, goal)
- PDDL is a declarative language that represents knowledge
- Can be used with many different search and planning algorithms

# PDDL simple example

Methods of Artificial Intelligence WS 2022/2023

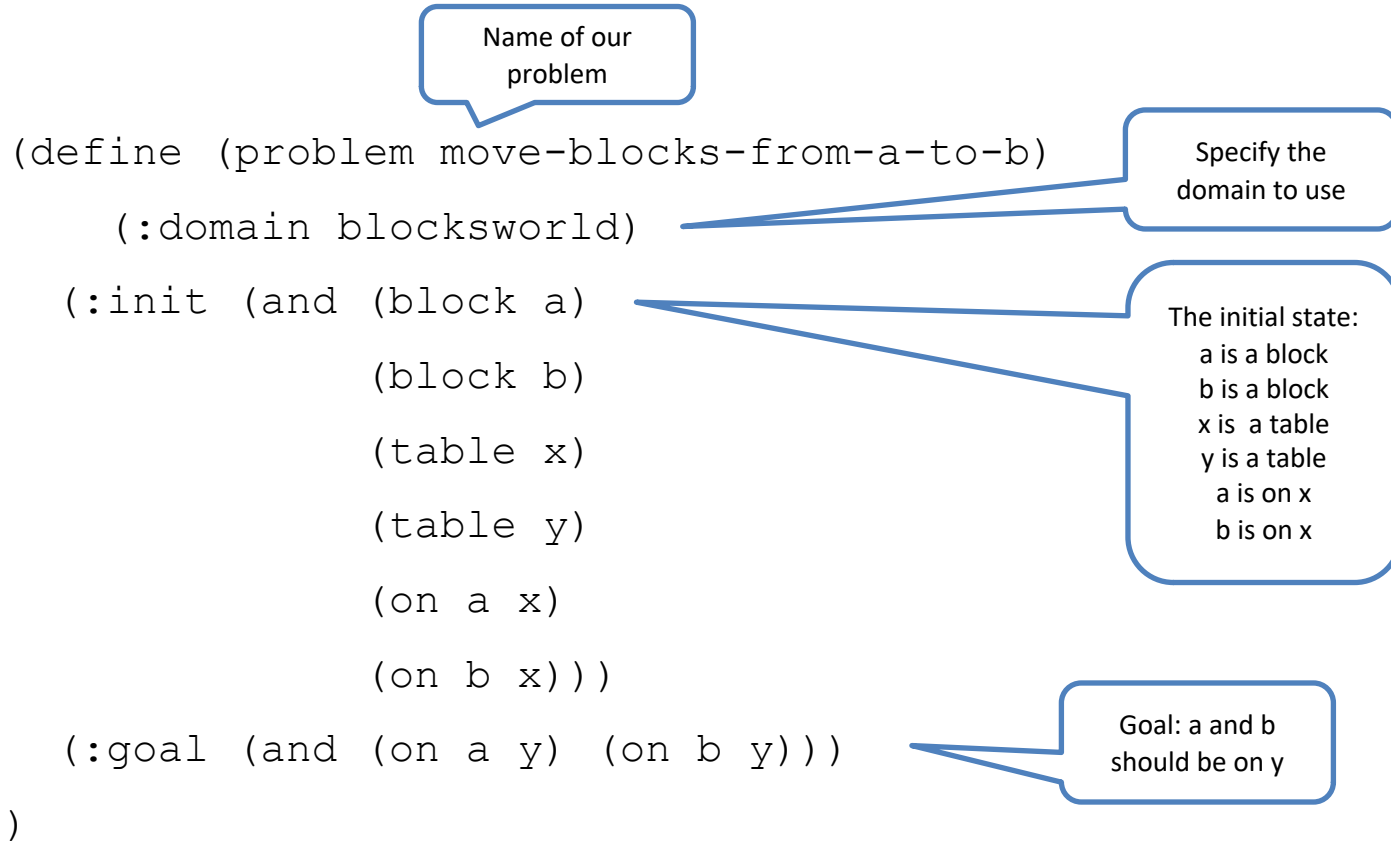
```
(define (domain blocksworld)
  (:requirements :strips)
  (:action move
    :parameters (?b ?t1 ?t2)
    :precondition (and (block ?b) (table ?t1) (table ?t2)
                       (on ?b ?t1) (not (on ?b ?t2)))
    :effect (and (on ?b ?t2) (not (on ?b ?t1))))
)
```

Diagram annotations:

- Name of our domain (points to `blocksworld`)
- Configure syntax and language options (points to `:strips`)
- Define action „move“ (points to `move`)
- with 3 parameters (points to `?b ?t1 ?t2`)
- List of 5 AND-connected preconditions (points to the `and` block in the precondition)
- Combined ADD and DEL list (points to the `and` block in the effect)

# PDDL simple example

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Demo: <https://stripsfiddle.herokuapp.com/> (Blocks World 1)

# PDDL example: Magic World

Use typed objects

```
(define (domain magic-world)

  (:requirements :strips :typing)

  (:types player location monster element chest)

  (:action move

    :parameters (?p - player ?l1 - location ?l2 - location)

    :precondition (and (at ?p ?l1) (border ?l1 ?l2) (not (guarded ?l2)))

    :effect (and (at ?p ?l2) (not (at ?p ?l1)))

  )

)
```

# PDDL example: Magic World

```
(define (problem move-to-castle)

  (:domain magic-world)

  (:objects

    npc - player

    town field castle - location

  )

  (:init

    (border town field)

    (border field castle)

    (at npc town)

  )

  (:goal (and (at npc castle)))

)
```

Try it on

<https://stripsfiddle.herokuapp.com/>

Exercise:

Introduce fighting