



Methods of Artificial Intelligence

3. Advanced Constraint Satisfaction Problems

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November 18th, 2022

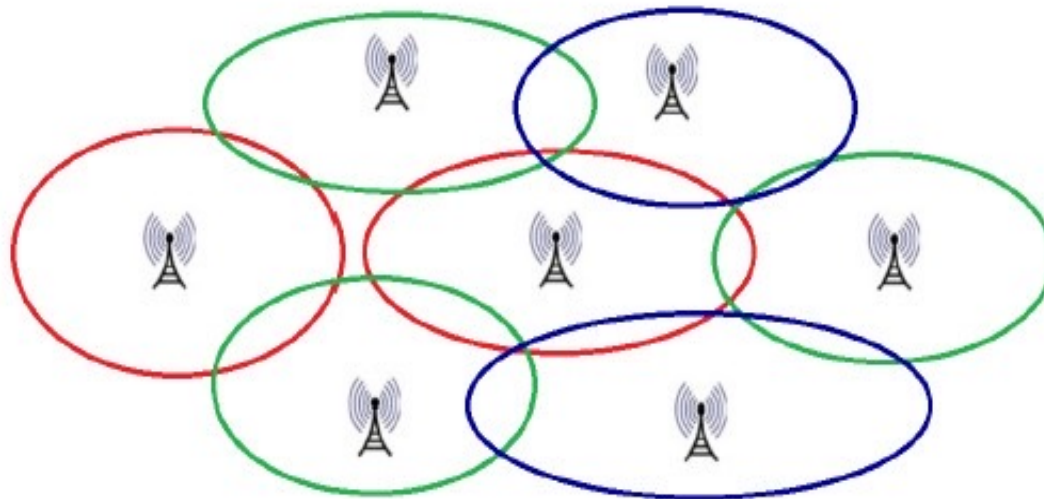
Today..

- Examples and Definitions
- Consistency Concepts for CSPs
- Local Search for CSPs

Constraint Satisfaction Problems

Examples and Definition

- Assign frequency to every radio station (**variable assignment**)
- such that stations with overlapping regions use different frequencies (**constraints**)



- Assign (time slot, room) to every course (*variable assignment*)
- such that (*constraints*)
 - No two courses take place at the same time slot in the same room*
 - Room requirements for the course are met*
 - Courses given by the same lecturer take place in different time slots*
 - Courses offered for the same study program and semester take place in different time slots*

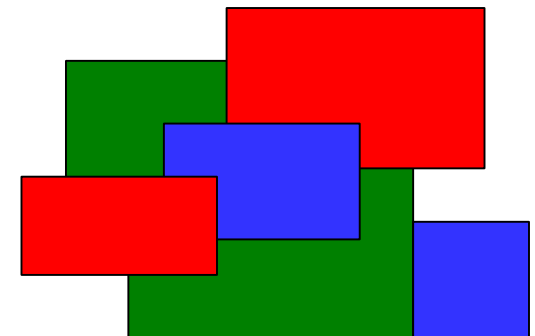
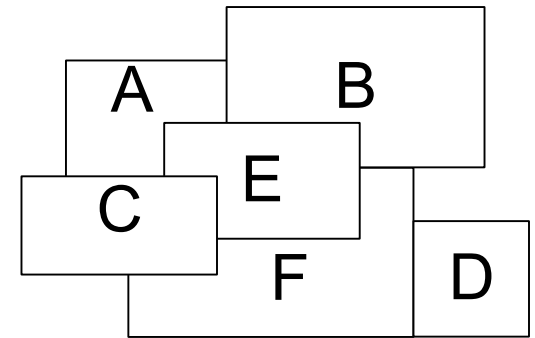
| Time | Monday 11.11.19 | Tuesday 12.11.19 | Wednesday 13.11.19 | Thursday 14.11.19 | Friday 15.11.19 |
|-------|--|--|--|--|--|
| 08:00 | | 08:00 - 10:00 5.883 CHE-FunP, Teil 2, Funktionelle Polymere (Meeting) (Beginn) | 08:00 - 10:00 5.833 CHE-AACMet, Metalle, Vorlesung (Meeting) (Haase) | 08:00 - 10:00 5.832 CHE-EACFest, Festkörperchemie (Meeting) (Haase) | |
| 09:00 | | | | | |
| 10:00 | 10:00 - 12:00 5.875 ProAllt, Chemie im Alltag, Berufsziel Fachwissenc... (Jordan) | | 10:00 - 12:00 5.812 CHE-GACNMet, Nichtmetalle, V (Meeting) (Reuter) | 10:00 - 12:00 5.802 CHE-OrgMet, Organometallchemie (Meeting) (Reuter, Beginn) | 10:00 - 12:00 8.3421 Artificial Intelligence in Education (CS-BWP-AI -... (Thelen) |
| 11:00 | | | | | |
| 12:00 | | 12:00 - 14:00 8.3413 Statistics and Data Analysis (other date) (Franke) | 12:00 - 14:00 5.888 CHE-Nano1, Eigenschaften nanokristalliner Material... (Haase, Kömpe) | 12:00 - 14:00 8.3273 Basic methods of probabilistic reasoning (Meeting)... (Potyka) | 12:00 - 14:00 5.854 CHE-GPCTherm, Thermodynamik (Übung) (Meeting) (Steinhart, Nierling) |
| 13:00 | 13:00 - 14:00 5.886 CHE-FunP, Teil 2, Übungen zur Vorlesung Funktionel... (Beginn) | | | | |
| 14:00 | 14:00 - 16:00 8.11241 Entwicklungspsychologie I (V) (Meeting) (Kotzur) | 14:00 - 16:00 5.808 CHE-GAllg, Allgemeine Chemie (Rechenübungen, Palch... (Wahlbrink) | 14:00 - 16:00 6.010 Analysis I (Meeting) (Cnewuch, Wnuk) | | 14:00 - 16:00 6.620 Künstliche Intelligenz (Meeting) (Hertzberg, Igelbrink) |
| 15:00 | | | | 15:00 - 16:00 5.8141 CHE-GACNMet, Nichtmetalle, Ü (Meeting) (Reuter) | |
| 16:00 | | 16:00 - 18:00 5.979 CHE-KoEx1 (Meeting) (Lehrende der Chemie) | 16:00 - 18:00 6.620 Künstliche Intelligenz (Meeting) (Hertzberg, Igelbrink) | 16:00 - 17:00 5.8142 CHE-GACNMet, Nichtmetalle, Ü (Meeting) (Reuter) | |

- A CSP is a tuple $(\{X_1, \dots, X_n\}, \{D_1, \dots, D_n\}, R)$ consisting of:
 - *variables* X_1, \dots, X_n
 - Each variable X_i has an associated *domain* D_i
 - E.g. $\{\text{true}, \text{false}\}$, $\{\text{red}, \text{blue}, \text{green}\}$, $[2, \dots, 10]$, N , Z , R
 - Set of *constraints* R
 - Constraints are defined on variables and restrict the possible values that can be assigned to variables. For example,
 - $X_7 \in \{\text{red}, \text{blue}, \text{green}\}$ (unary constraint)
 - $X_1 \leq X_2$ (binary constraint)
 - $X_3 + X_4 \geq 4 * X_5 + 2 * X_6$ (4-ary constraint)

- **(Complete) variable assignment:**
assigns to each variable a value from its domain
- **Partial variable assignment:**
assigns values to a subset of all variables
- **Consistent assignment:** does not violate any constraints of CSP
- **Solution:** consistent complete assignment
- **Consistent CSP:** there exists a solution

- **Main problem:** Given CSP,
 - find a solution or
 - report that the CSP is inconsistent

- Variables: A, B, C, D, E, F
- Domains: {red, blue, green} for all variables
- Constraints
 - $A \neq B$
 - $A \neq C$
 - $A \neq E$
 - $B \neq E$
 - $B \neq F$
 - $C \neq E$
 - $C \neq F$
 - $D \neq F$
 - $E \neq F$



□ CSPs from a logical point of view:

■ Constraints correspond to first-order predicates $P(x_1, x_2, \dots, x_n)$

■ All constraints must evaluate to true for a solution

■ $X_1 \leq X_2$

P contains all pairs (v_1, v_2) over domains of X_1 and X_2 such that $v_1 \leq v_2$

■ $X_3 + X_4 \geq 4 * X_5 + 2 * X_6$

P contains all 4-tuples (v_3, v_4, v_5, v_6) over domains of variables such that $v_3 + v_4 \geq 4 * v_5 + 2 * v_6$

□ **Explicit representation:** store all n-tuples in P

□ **Implicit representation:** implement P as a function that takes n-tuples as arguments and returns true or false

- Consider variables X_1, X_2 with domains $\{1,2,3\}$
- Consider constraint $X_1 < X_2$
- Logically, $X_1 < X_2$ is the binary predicate

$$P(X_1, X_2) = \{ (1,2), (1,3), (2,3) \}$$

- $\{ (1,2), (1,3), (2,3) \}$ is the **explicit representation** of P .

Assignment $(X_1=v_1, X_2=v_2)$ satisfies P iff $(v_1, v_2) \in P$

- the **implicit representation** of P takes a pair (v_1, v_2) as argument and returns true iff $v_1 < v_2$.

Assignment $(X_1=v_1, X_2=v_2)$ satisfies P iff $P(v_1, v_2) = \text{true}$

- Suppose X_1 and X_2 both have domain $\{1, 2, \dots, 20\}$,
- $P(X_1, X_2)$ is the constraint $X_1 < X_2$,
- v is a variable assignment for X_1 and X_2 .
- How many operations do we have to perform in the worst-case to test whether v satisfies P if
 - P is represented explicitly
 - P is represented implicitly
- Which representation is more efficient?

- Logically, v satisfies P iff $P(v(X_1), v(X_2))$ is true
- If P is represented **explicitly**, we may have to enumerate all tuples in P to test whether $(v(X_1), v(X_2))$ is in P
- In our example the explicit representation of P contains $19+18+\dots+1 = 190$ tuples that we may have to enumerate
- If P is represented **implicitly**, we only have to perform a single comparison
- Test $v(X_1) < v(X_2)$
- So the implicit representation is much more efficient

- **Remember:** if P is defined by a criterion that can be computed easily, choose implicit representation

- **Naive Approach:** Enumerate all possible variable assignments
- **Backtracking Search:** Assign values variable by variable and do backtracking (change value of last variable assignment) on violations
- runtime can depend heavily on chosen **selection functions**, e.g. :
 - **First-Fail** Variable Selection Heuristic (Minimum Remaining Values): select variable with minimal domain size
 - **Degree** Variable Selection Heuristic: select variable that is involved in largest number of constraints for unassigned variables
 - **Least Constraining Value** Value Selection Heuristic: select value that rules out fewest choices for neighbors
- But approaches do not take **implications of constraints** into account, i.e. they check too many configurations that could be ruled out in advance

- We restrict our discussion to normalized CSPs that contain only unary and binary constraints

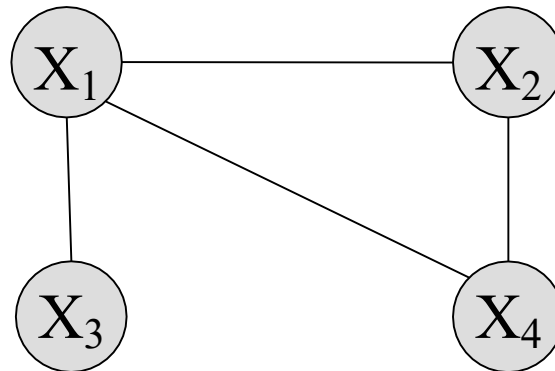
Domains: $D_1, D_2, \dots, D_n,$

Unary constraints: $P_1(X_1), P_2(X_2), \dots, P_n(X_n),$

Binary constraints: $P_{1,2}(X_1, X_2), P_{1,3}(X_1, X_3), \dots, P_{n-1,n}(X_{n-1}, X_n).$

- This does not mean any loss of generality (we can transform each CSP into an ‘equivalent’ normalized CSP in polynomial time)
(cf. e.g. <http://ktiml.mff.cuni.cz/~bartak/constraints/binary.html>)

- Normalized CSPs can be easily represented in a constraint graph



- Node X_i can be identified with **unary constraint** P_i
- Edge between X_i and X_j can be identified with **binary constraint** P_{ij}

Consistency Concepts for CSPs

Node Consistency

Arc Consistency

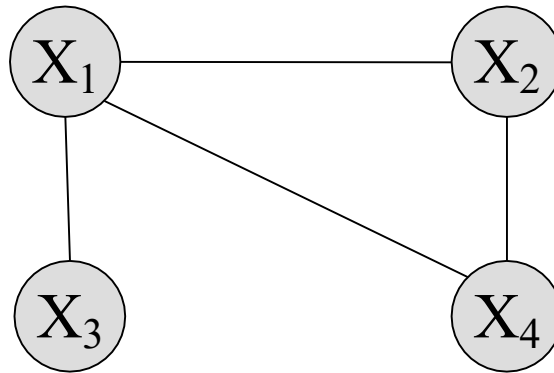
Path Consistency

k -Consistency

- **Basic idea:** delete useless elements from domains of variables
- Can be used for **pre-processing** or in backtracking search after assigning a value to a variable

- The most important **consistency concepts** are
 - **Node Consistency**
 - **Arc Consistency**
- More involved concepts exist, but become harder to compute

- **Tradeoff:** If preprocessing time exceeds time for solving CSP directly, we do not gain anything



- We call X_i node consistent iff all $x \in D_i$ are in P_i
- We call CSP node consistent iff all nodes are node consistent
- We can easily make CSP node consistent by letting $D_i = P_i$
- For example, consider frequency assignment problem: If P_i allows only particular frequencies for X_i , we just modify D_i accordingly

Consider frequency assignment problem with

- ☐ Variables: A, B, C, D, E, F
- ☐ Domains: $\{f_1, f_2, f_3\}$

- ☐ Suppose, we have a constraint $A \neq f_1$
 - ☐ Is problem node consistent?

 - ☐ How can you make CSP node consistent?

Consider frequency assignment problem with

- Variables: A, B, C, D, E, F
- Domains: $\{f_1, f_2, f_3\}$

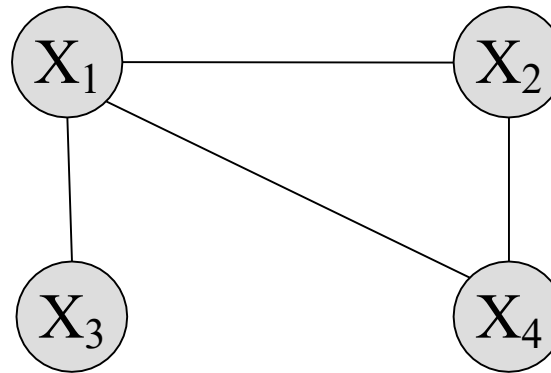
- Suppose, we have a constraint $A \neq f_1$

- Is problem node consistent?

Answer: No because the domain of A contains f_1 .

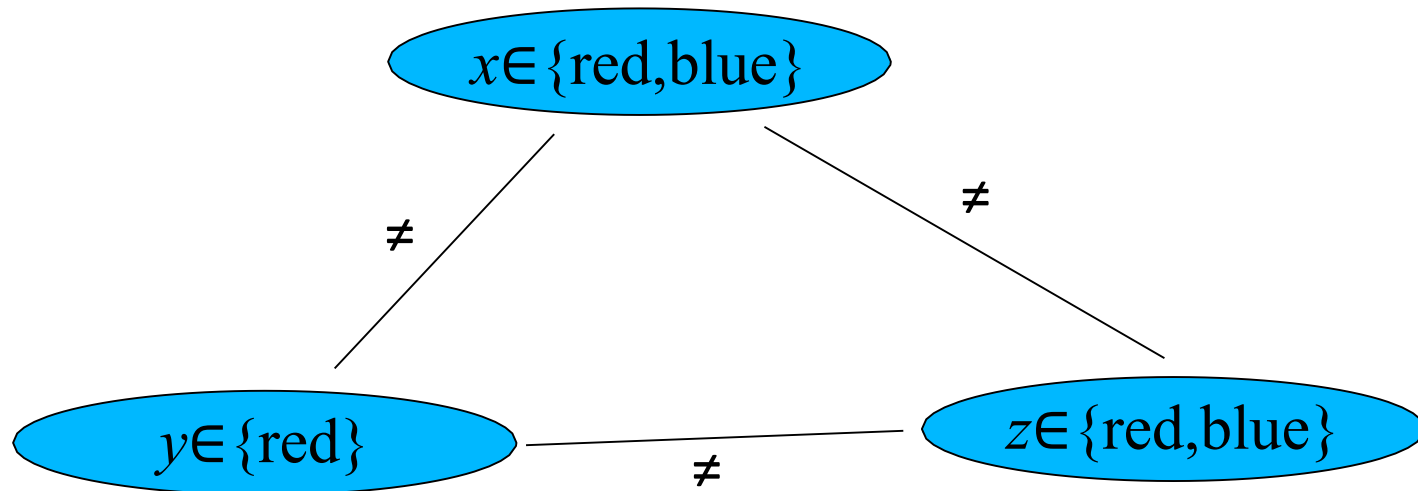
- How can you make CSP node consistent?

Answer: We set the domain of A to $\{f_2, f_3\}$ (delete f_1)

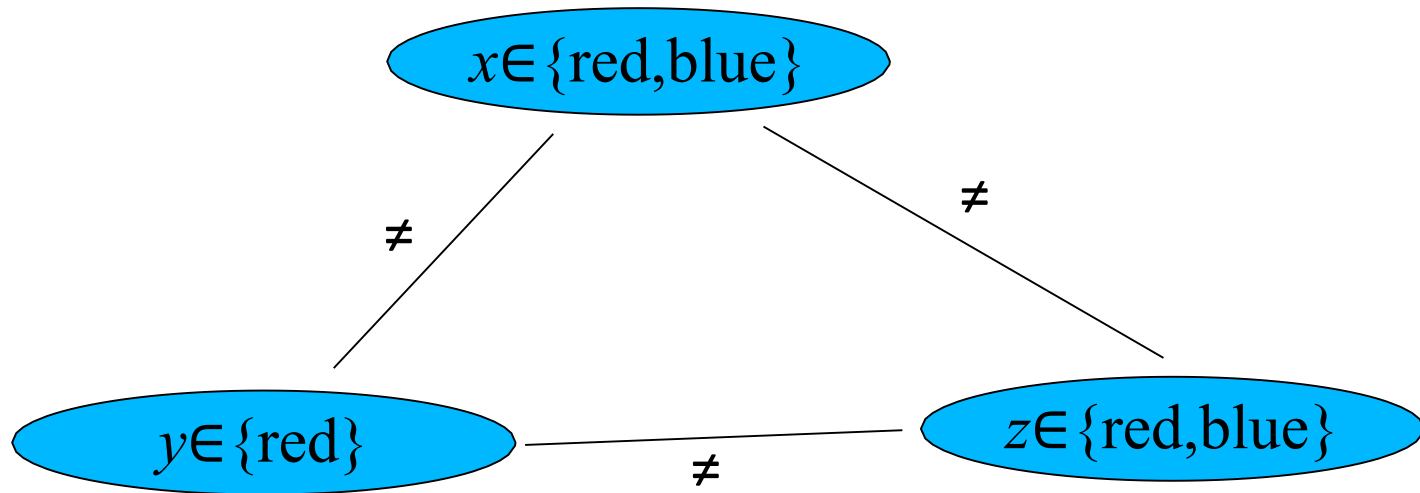


- We call X_i arc consistent with X_j iff for all $x \in D_i$ there is a $y \in D_j$ such that (x,y) is in P_{ij}
- Intuitively, for each value that X_i can take, X_j can take a value such that the binary constraint between X_i and X_j is satisfied
- We call CSP arc consistent iff all pairs of nodes are arc consistent
- Maintaining arc-consistency is more sophisticated

- Consider the following Graph Coloring Problem



- What variables are arc consistent with each other?
- Is the CSP arc consistent?



- ☐ x is arc consistent with z
- ☐ y is arc consistent with x and z
- ☐ z is arc consistent with x
- ☐ x is not arc consistent with y
- ☐ z is not arc consistent with y
- ☐ Hence, the CSP is not arc consistent

- AC-1 is the easiest (but not the most efficient) algorithm for making CSPs arc consistent

Revise(X_i, X_j) *(make X_i arc-consistent with X_j)*

for each v_i in D_i

if there is no v_j in D_j such that (v_i, v_j) in P_{ij}

delete v_i from D_i

AC-1(X, D, R) *(make all variables arc-consistent)*

do

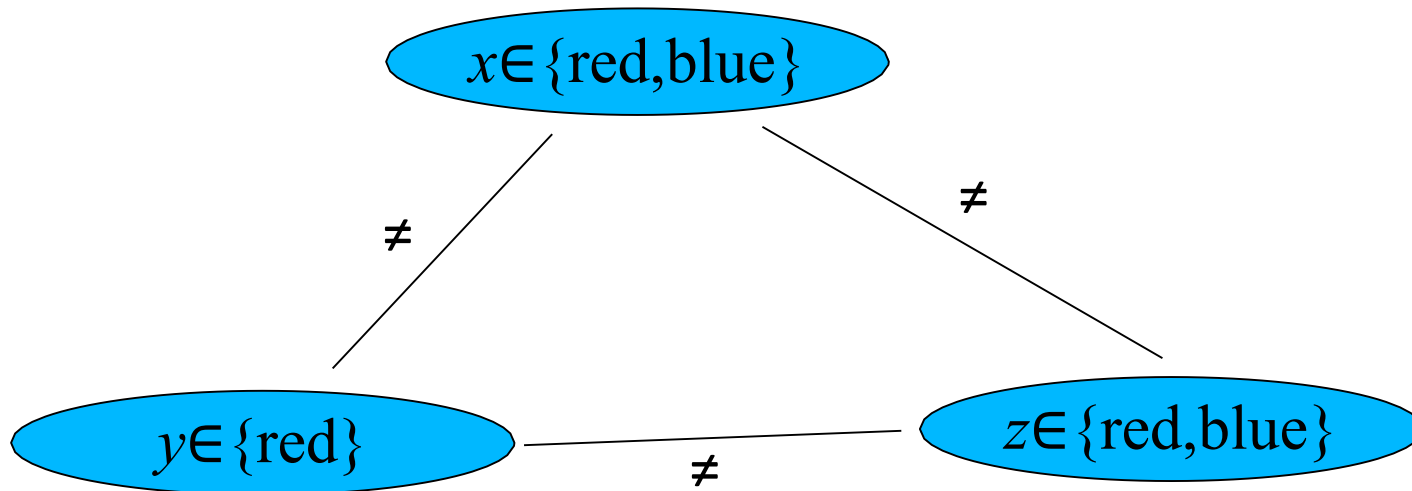
for each binary constraint P_{ij}

Revise(X_i, X_j)

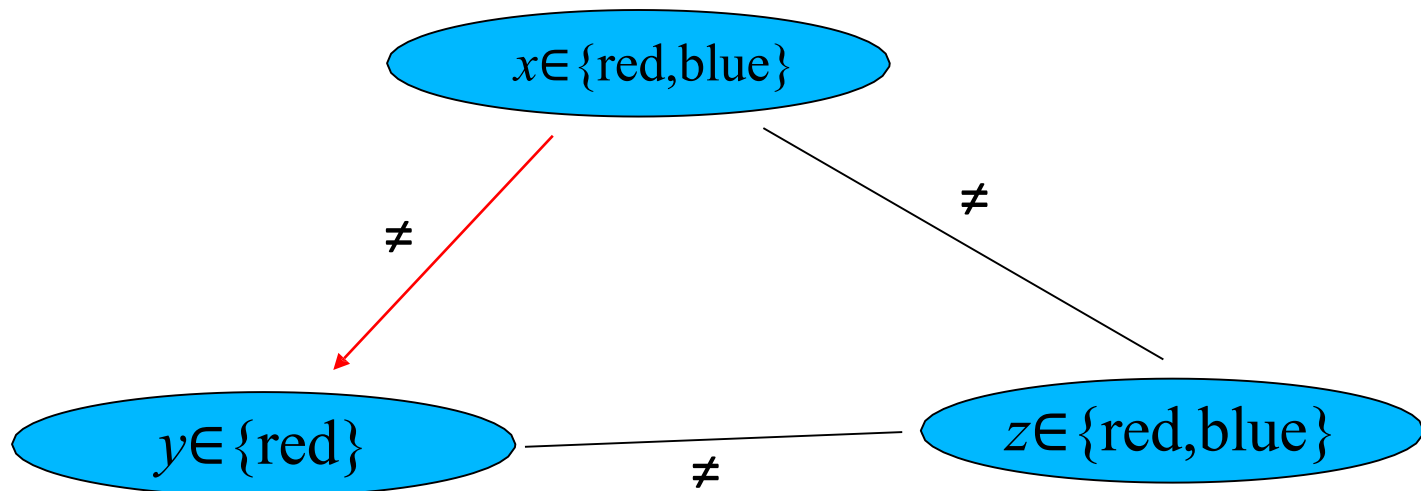
Revise(X_j, X_i)

until all domains remain unchanged or domain becomes empty

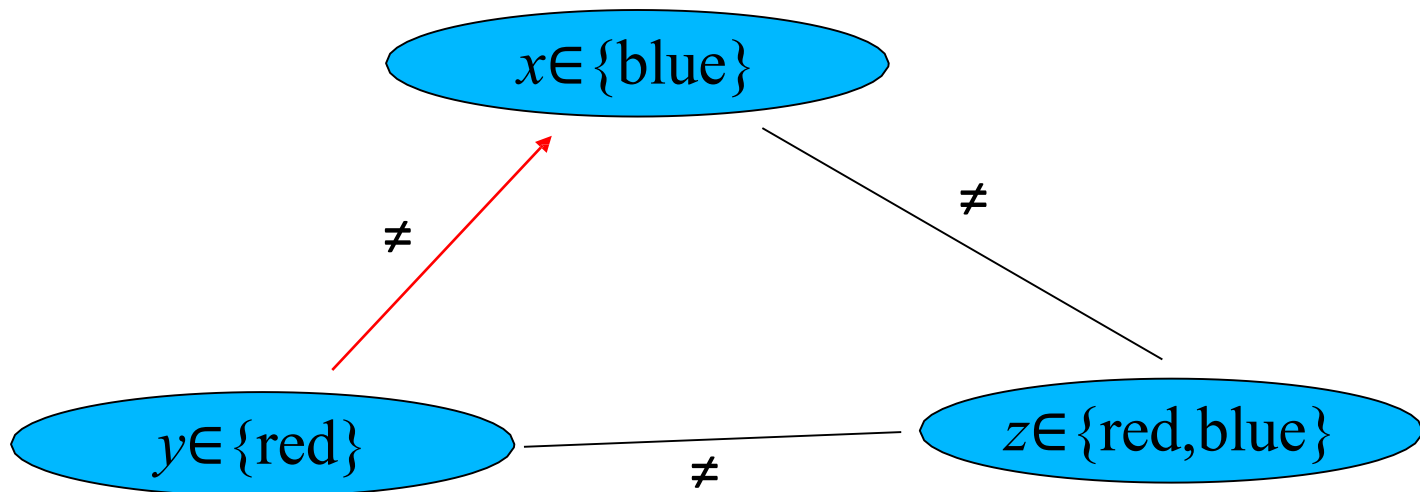
- Consider again the following Graph Coloring Problem



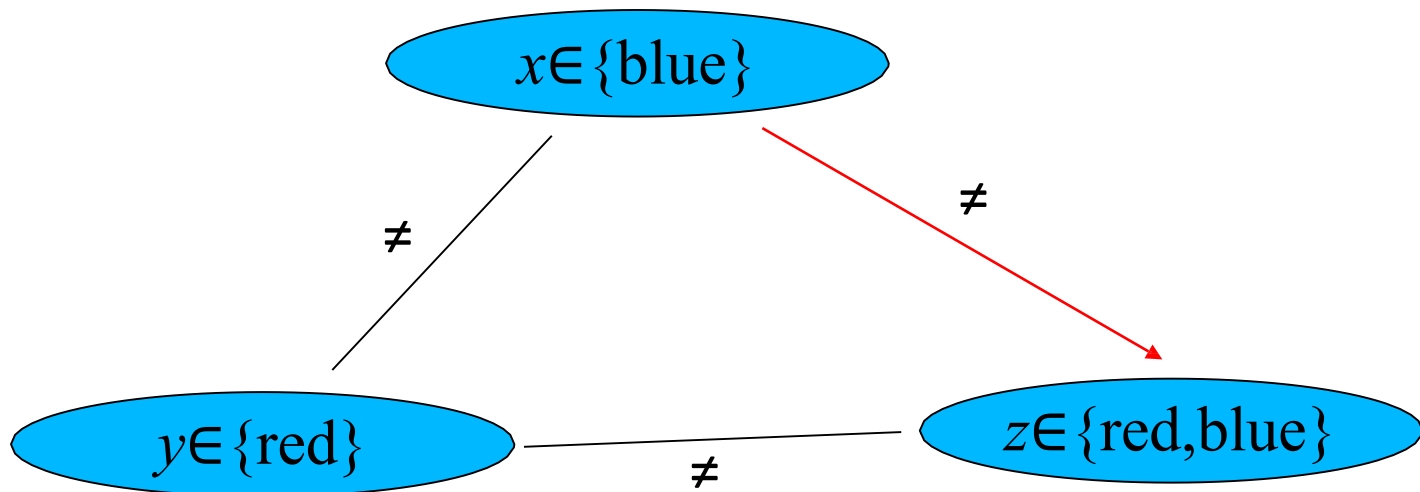
- We start with P_{xy}
- We call $\text{Revise}(x,y)$
- For red in D_x there is no value v in D_y such that $\text{red} \neq v$
- Hence, we delete red from D_x
- For blue in D_x , we can select red in D_y



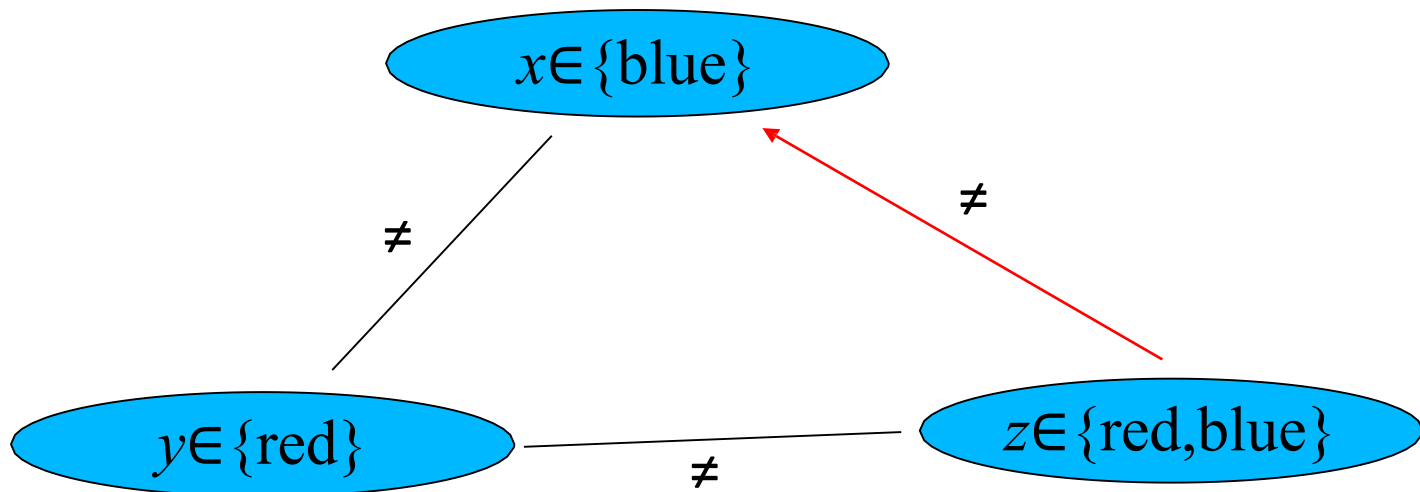
- We now call $\text{Revise}(y,x)$
- For red in D_y , we can select blue in D_x



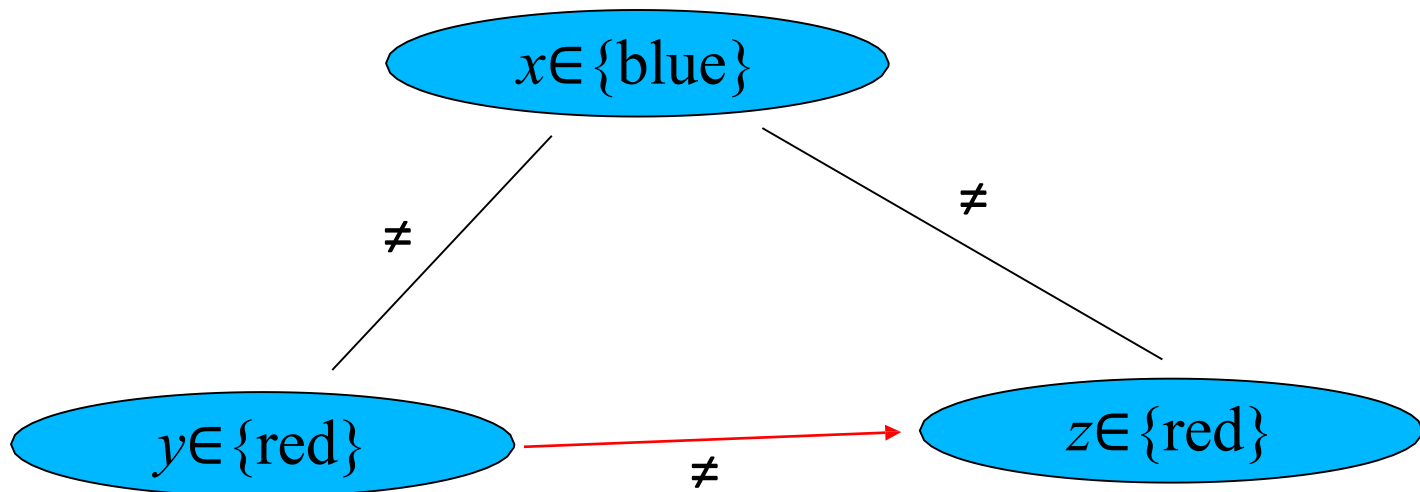
- We next look at P_{xz}
- We call $\text{Revise}(x,z)$
- For blue in D_x , we can select red in D_z



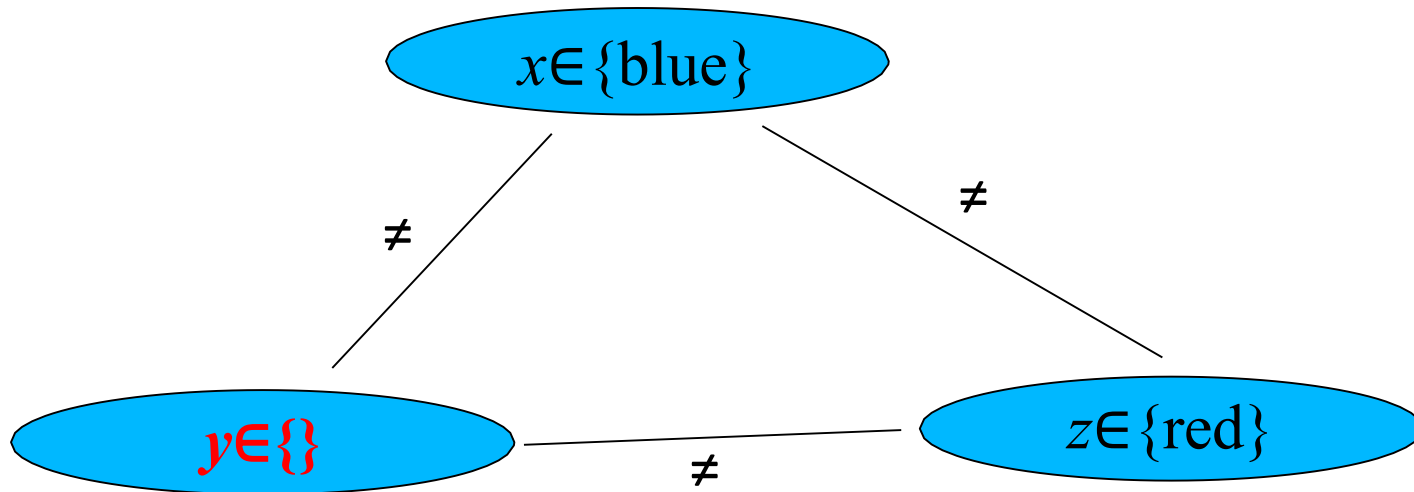
- We now call $\text{Revise}(z,x)$
- For red in D_z , we can select blue in D_x
- For blue in D_z , there is no v in D_x such that $\text{blue} \neq v$
- Hence, we delete blue from D_z



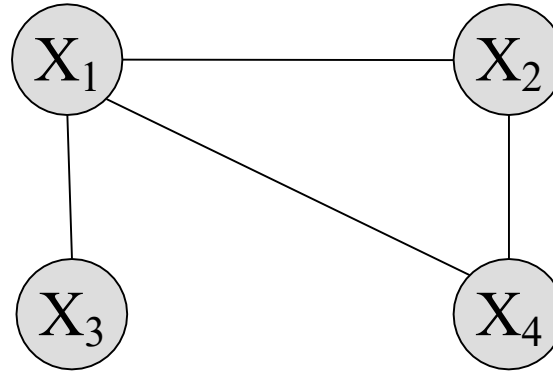
- We next look at P_{yz}
- We call $\text{Revise}(y,z)$
- For red in D_y , there is no v in D_z such that $\text{red} \neq v$
- Hence, we delete red from D_y



- The domain of y is now empty
- Therefore, we know that the CSP is inconsistent and can stop

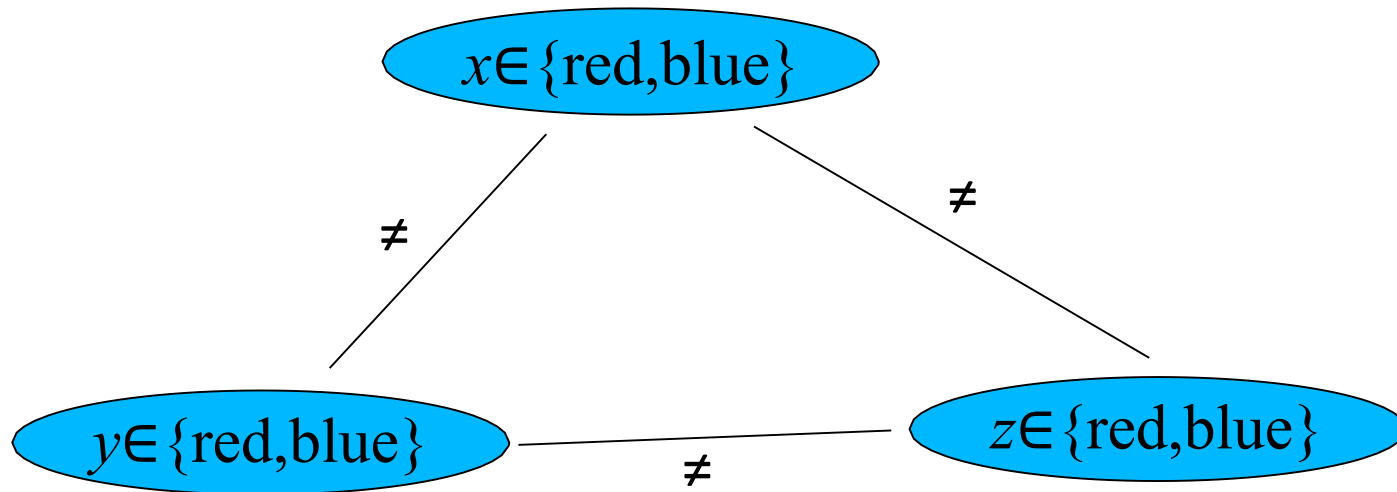


- **Note:** we found inconsistency without assigning any values

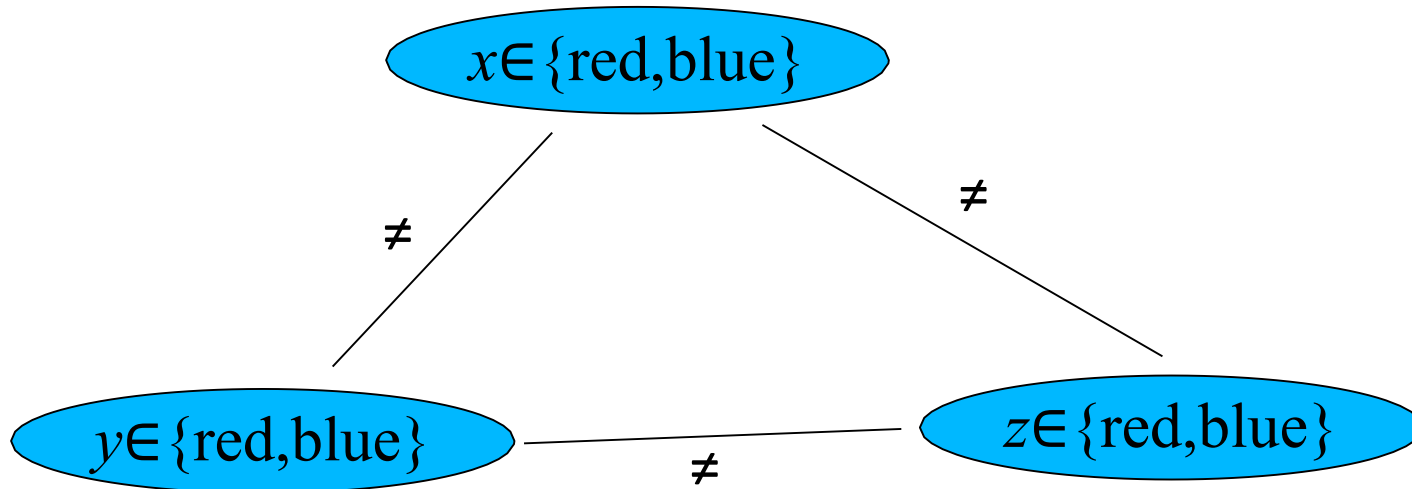


- If we get an **empty domain**, while establishing node consistency or arc consistency, our CSP **must be inconsistent**
- However, if a CSP is **node consistent and arc consistent** and has non-empty domains it is **not necessarily (globally) consistent**

- The following CSP is both node consistent and arc consistent



- However, since there are only two values and the variables must take pairwise distinct values, the CSP is inconsistent
- Hence, node consistency and arc consistency are not sufficient for testing consistency



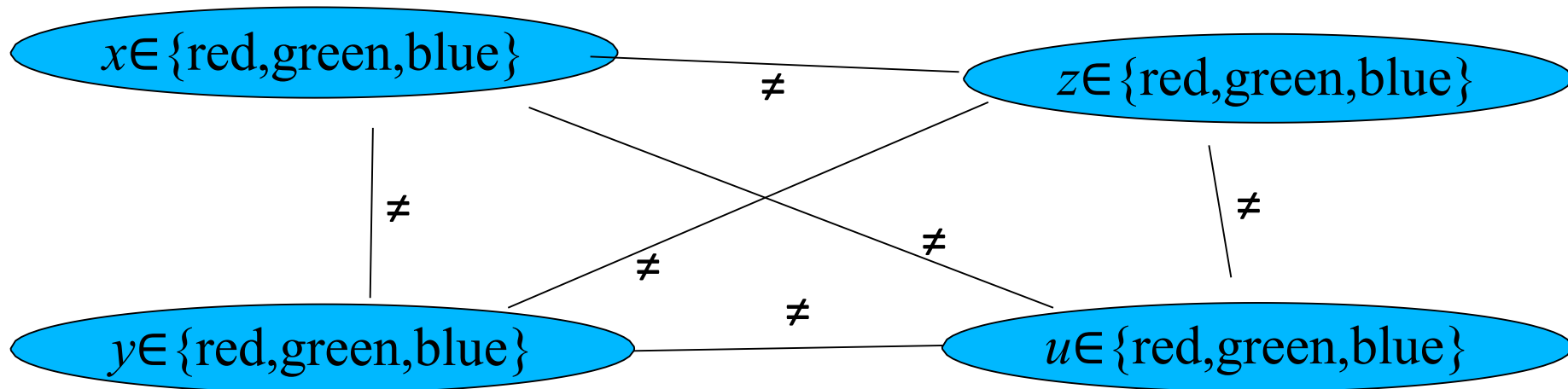
- Nodes i and j are path consistent with node m iff:

For every arc consistent assignment of i and j , there is an assignment to m that is arc consistent with both i and j

- x and y are not path consistent with z

- $(x=\text{red}, y=\text{blue})$ is arc-consistent assignment. However, $z=\text{red}$ is not arc-consistent with x and $z=\text{blue}$ is not arc-consistent with y

The following CSP is node, arc and path consistent



- However, since there are only three values and the variables must take pairwise distinct values, the CSP is inconsistent

k-consistency

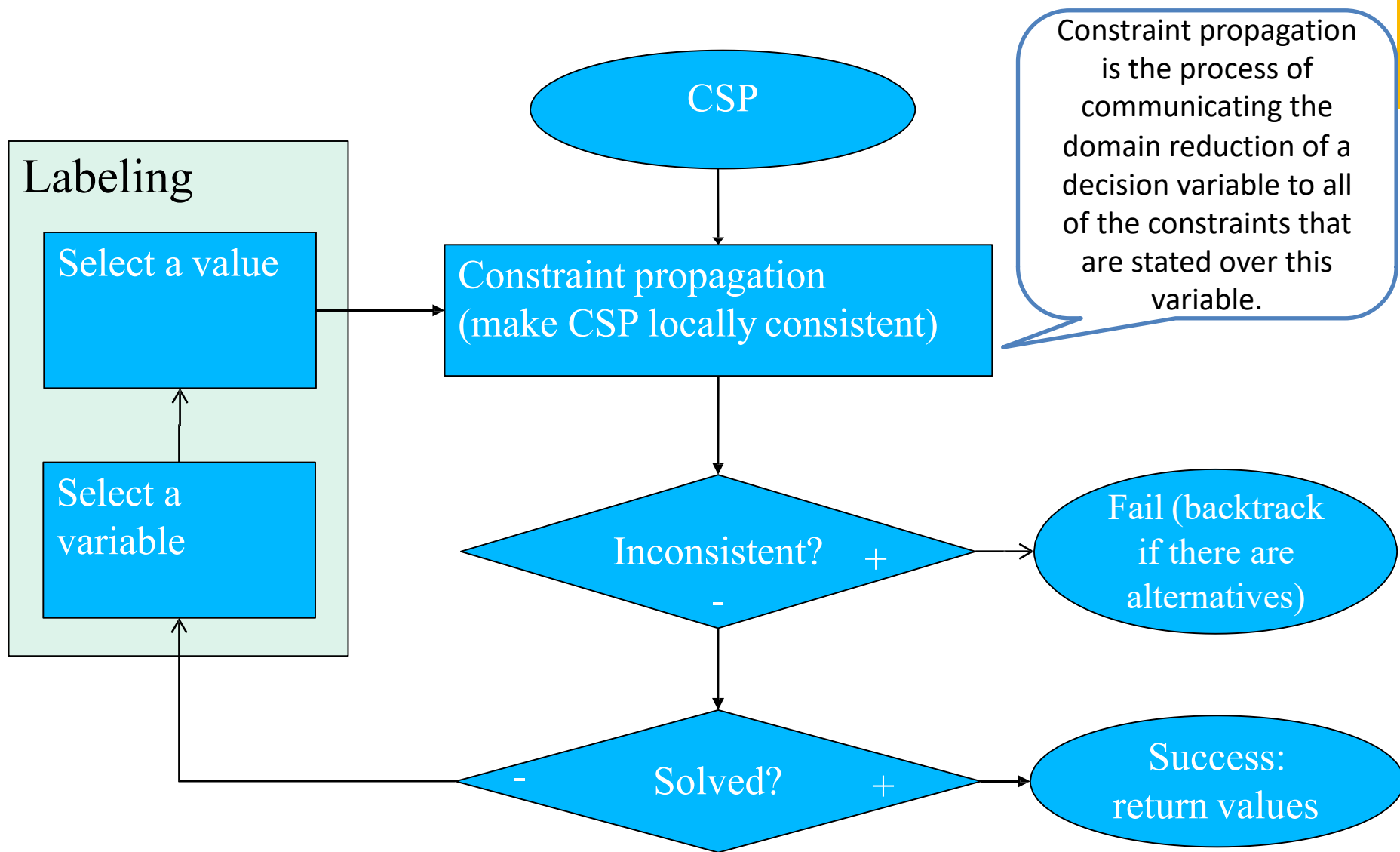
Every consistent $(k-1)$ -assignment can be extended to a consistent k -assignment

Intuitively: (1-consistency = node consistency); (2-consistency = arc consistency) and (3-consistency = path consistency) provided node consistency is guaranteed

Strong k-consistency

The problem is *j-consistent* for all $j \leq k$

Solving CSPs with Backtracking Search



Local Search for CSPs

- Give a local search formulation for a general CSP
- Given variables with corresponding domains and constraints, define
 - State space
 - Neighborhood
 - Objective function

- **State space:** each complete variable assignment is a state
- **Neighborhood:** neighbors of a state (variable assignment) are obtained by changing the assignment of an arbitrary variable
- Hence, we have one neighbor for each
 - Variable and
 - each value in the domain of the variable (other than the current one)
- **Objective function:** value of state (variable assignment) is defined as
 - Number of constraints that are satisfied in state

- define a genetic algorithm for a general CSP
- Given variables with corresponding domains and constraints, define
 - Genes
 - Chromosomes
 - Fitness (value) of individuals
 - Mutation operation
- Illustrate reproduction by means of a small example

- **Genes:** domain elements of all variables
- **Chromosomes** correspond to variable assignments
- **Fitness:** number of constraints that are satisfied
- **Mutation operation:** change a random gene
- **Illustration:** see Genetic Algorithms slides (8Queens, Traveling Salesman Problem)

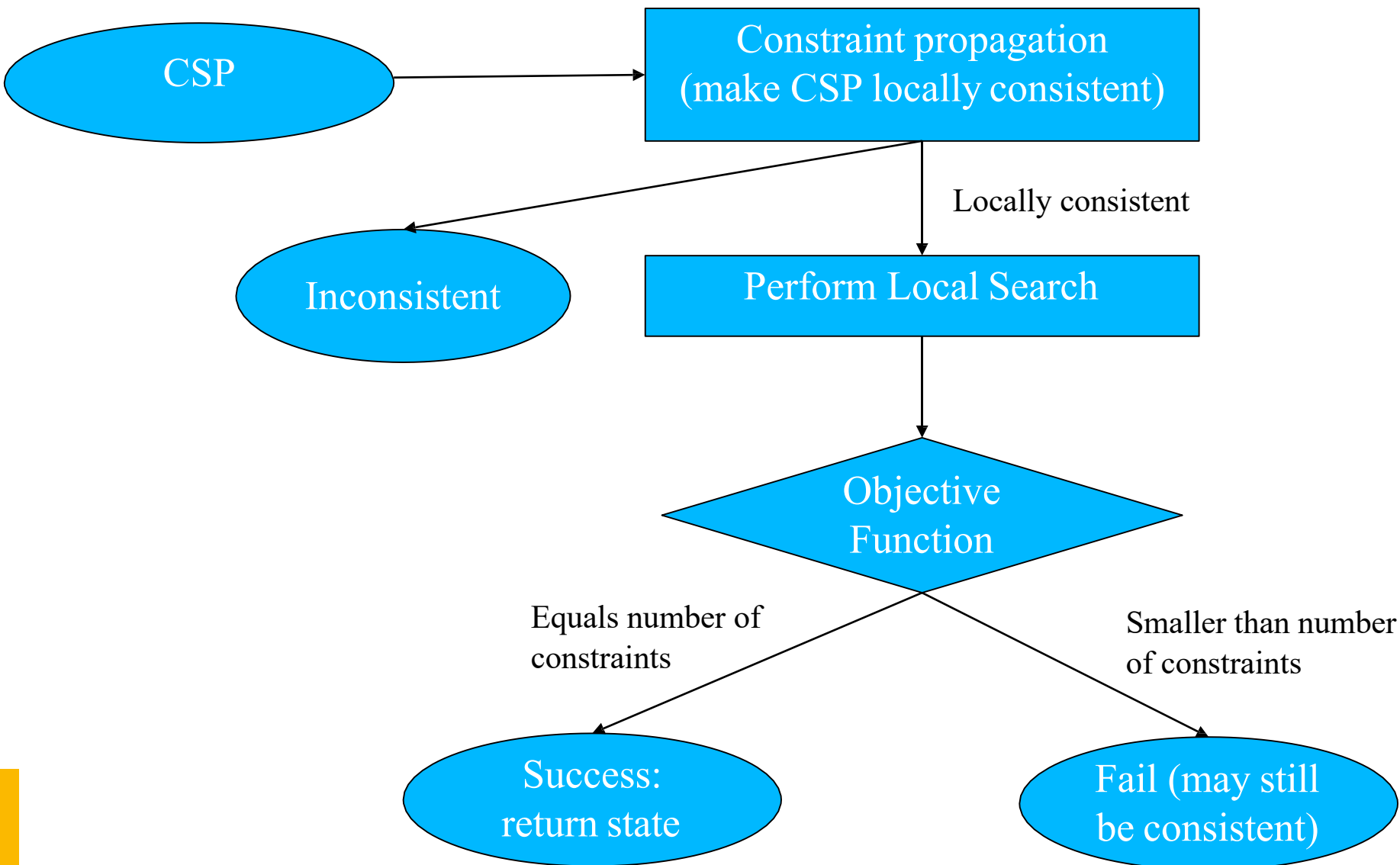
- What can you conclude if local search algorithm finds
 - Globally optimal solution? $(f(s) = \text{number of constraints})$
 - Locally optimal solution? $(f(s) < \text{number of constraints})$

- Globally optimal solution

- globally optimal solution satisfies all constraints by definition
- Hence, we found a solution for the CSP (CSP is consistent)

- Locally optimal solution

- Locally optimal solution violates some constraints
- The CSP may be inconsistent, but we may also just got stuck in a local optimum
- We cannot conclude anything in this case
- However, solution may be sufficient (soft constraints)



Summary

- A constraint satisfaction problem (CSP) is given by
 - A set of *variables* $\{X_1, \dots, X_n\}$
 - a *domain* D_i for each variable (the possible values), where the whole space $D = D_1 \times \dots \times D_n$ is the assignment space
 - A set of *constraints*, i.e. relations $R_k \subseteq D_{k_1} \times \dots \times D_{k_m}$ for some domains D_{k_1}, \dots, D_{k_m}
- The goal is to find an *assignment* that satisfies all constraints
- If no such assignment exists, the CSP is called *inconsistent* (and *consistent* otherwise)

□ Naïve Approach

- Generate the whole search tree and test
- usually not practical because of tree size

□ Backtracking Search

- start with empty assignment
- Systematically extend assignment using heuristics

□ Local Search

- Move through space of complete variable assignment
- Maximize number of satisfied constraints

□ Consistency Concepts

- can be applied to simplify problem initially (preprocessing)
- can be applied to simplify problem during backtracking search

- Node consistency: $\forall x : x \in D_i \rightarrow P_i(x)$
- Arc consistency: $\forall x : x \in D_i \rightarrow (\exists y \in D_j : P_{i,j}(x,y))$
- Path consistency:
$$\forall x \in D_i, z \in D_j : P_{i,j}(x,z) \rightarrow (\exists y \in D_m : P_{i,m}(x,y) \wedge P_{m,j}(y,z))$$
- *k*-consistency
 - Any solution for *k*-1 variables can be extended to a solution for *k* variables
- Strong *k*-consistency
 - The problem is *j*-consistent for all $j \leq k$

The presented slides are mainly Dr. Tobias Thelen slides

Most topics of this week can be found in:

*Russell, S., Norvig, P. Artificial Intelligence - A modern approach.
Pearson Education: 2010.*

More details on representing and solving CSPs can be found in:

Dechter, R. Constraint processing. Morgan Kaufmann: 2003.

*Rossi, F., Van Beek, P., & Walsh, T. Handbook of constraint programming.
Elsevier: 2006.*