

# Symmetry-adapted Wannier functions hands-on tutorial

## School on Wannier90 v3.0 — Virtual Edition

Yusuke Nomura, Valerio Vitale

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### PART I: SAWFs for the valence bands of GaAs

Outline: *Obtain symmetry-adapted Wannier functions out of four valence bands of GaAs, starting from several different initial conditions. For the theoretical background of the symmetry-adapted Wannier functions, see R. Sakuma, Phys. Rev. B **87**, 235109 (2013).*

**Directories:** SAWF\_As\_sp/  
SAWF\_Ga\_p/  
SAWF\_Ga\_s/  
SAWF\_Ga\_sp/  
MLWF\_As\_sp\_Saddle/  
pseudo/

*Within each directory you will also find a **ref/** folder containing the reference input files for each calculation.*

#### Description of input files

◆ *DFT Input Files, common to all calculations*

GaAs.scf.in *The PWSCF input file for ground state calculation*

GaAs.nscf.in *The PWSCF input file to obtain Bloch states on a uniform grid*

◆ *Input Files, specific to each calculation. These can be found within each directory and might need to be modified depending on the calculation*

GaAs.pw2wan.in *The input file for pw2wannier90*

GaAs.win *The wannier90 input file*

#### ☞ STEP 1: Run DFT calculations

► *Run PWSCF to obtain the ground state density of GaAs*

\$> pw.x < GaAs.scf.in > GaAs.scf.out

► *Run PWSCF to obtain the Bloch states on a uniform k-point grid*

\$> pw.x < GaAs.nscf.in > GaAs.nscf.out

#### ☞ STEP 2: Compute SAWFs from *s*-like and *p*-like orbitals centred on the As atom

Outline: *Symmetry-adapted mode: one *s*-like and three *p*-like Wannier functions centered at As*

► *cd into SAWF\_As\_sp/*

- Check the additional parameters for the symmetry-adapted mode in `GaAs.win` and `GaAs.pw2wan.in`
- Run `wannier90` to generate a list of the required overlaps (written into the `GaAs.nnkp` file).  
`$> wannier90.x -pp GaAs`
- Run `pw2wannier90` to compute the overlap between Bloch states, the projections for the starting guess, and the symmetry information needed for symmetry-adapted mode written in the `GaAs.mmn`, `GaAs.amn`, and `GaAs.dmn` files, respectively. Additionally `GaAs.sym` file is also created.  
`$> pw2wannier90.x < GaAs.pw2wan.in > GaAs.pw2wan.out`
- Run `wannier90` to compute the SAWFs.  
`$> wannier90.x GaAs`
- Compare the results for a  $4 \times 4 \times 4$   $k$ -point sampling with those in Table I in "R. Sakuma, *Phys. Rev. B* 87, 235109 (2013)", which we report here See also `ref/` directory, in which one can find

| $\Omega_I$ | $\Omega_D$ | $\Omega_{OD}$ | $\Omega$ | $\Omega_n$ |       |
|------------|------------|---------------|----------|------------|-------|
|            |            |               |          | $s$        | $p$   |
| 6.124      | 0.012      | 3.502         | 9.639    | 1.450      | 2.730 |

Table 1: Spreads of the four As-centered symmetry adapted Wannier functions of GaAs in Å calculated with  $4 \times 4 \times 4$   $k$ -point sampling.  $\Omega_I$  denotes the gauge-independent part of the total spread functional  $\Omega$ ,  $\Omega_D$  denotes the diagonal part,  $\Omega_{OD}$  the off-diagonal part and  $\Omega_n$  denotes the spread of one Wannier function.

the input files (`GaAs.win` and `GaAs.pw2wan.in`) and an output file (`GaAs.wout`)

- Plot the SAWFs using `xcrysden`.  
`$> xcrysden -xsf GaAs_0000*.xsf`

### 🔑 STEP 3 (optional): Compute MLWFs from $s$ -like and $p$ -like orbitals centred on the As atom + small perturbation

Outline: Maximally-localized Wannier functions starting from As-atomic-centered projections (+ small perturbation)

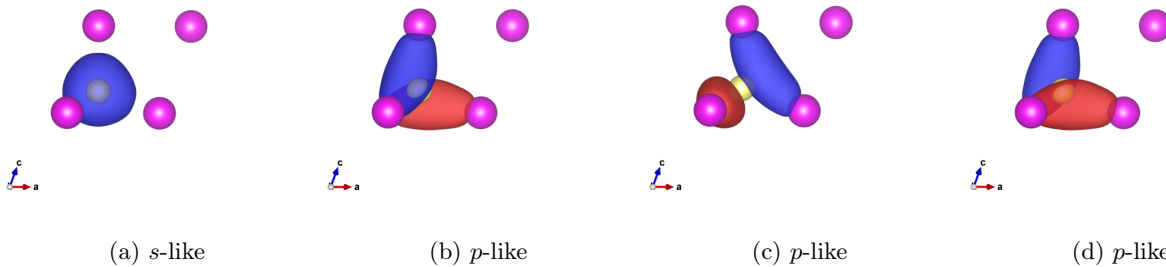


Figure 1: Four SAWFs from a) one  $s$ -like orbital and b)-d) three  $p$ -like orbitals centred on the As atom as initial projections. Ga atoms are represented by pink spheres and As atoms by yellow spheres. Isosurface value set to  $\pm 1.0 \text{ Å}^{-3/2}$ .

- ▶ *cd into MLWF\_As\_sp\_Saddle/*
- ▶ *Modify GaAs.win to turn off symmetry-adapted mode. Comment out the following two lines in GaAs.win*  
`site_symmetry = .true.`  
`symmetrize_eps= 1d-9`
- ▶ *Modify GaAs.pw2wan.in to turn off symmetry-adapted mode by setting*  
`write_dmn = .false.`
- ▶ *Put small perturbation to the center(s) of the initial projection(s).*
- ▶ *Run wannier90 to generate a list of the required overlaps (written into the GaAs.nnkp file).*  
`$> wannier90.x -pp GaAs`
- ▶ *Run pw2wannier90 to compute the overlap between Bloch states, the projections for the starting guess, and the symmetry information needed for symmetry-adapted mode written in the GaAs.mmn, GaAs.amn, and GaAs.dmn files, respectively. Additionally GaAs.sym file is also created.*  
`$> pw2wannier90.x < GaAs.pw2wan.in > GaAs.pw2wan.out`
- ▶ *Run wannier90 to compute the SAWFs.*  
`$> wannier90.x GaAs`
- ▶ *Compare the results with those in ../SAWF\_As\_sp.*
- ▶ *Plot the MLWFs using xcrysden.*  
`$> xcrysden -xsf GaAs_0000*.xsf`

#### 🔑 STEP 4: Compute SAWFs from *s*-like and *p*-like orbitals centred on the Ga atom

*Outline: Symmetry-adapted mode: one s-like and three p-like Wannier functions centered at Ga*

- ▶ *cd into SAWF\_Ga\_sp/*
- ▶ *Set num\_wann=4 and fill out the projection part in GaAs.win.*

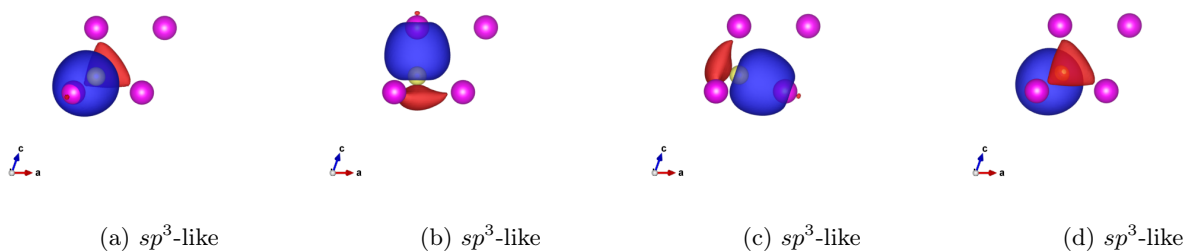


Figure 2: Four MLWFs for valence bands in GaAs, starting from a) one *s*-like and b)-d) three *p*-like orbitals centred slightly off the As atom. Ga atoms are represented by pink spheres and As atoms by yellow spheres. Isosurface value set to  $\pm 1.0 \text{ \AA}^{-3/2}$ .

- ▶ *Modify GaAs.win to turn on symmetry-adapted mode. Uncomment the following two lines in GaAs.win*  
`!site_symmetry = .true.`  
`!symmetrize_eps= 1d-9`
- ▶ *Modify GaAs.pw2wan.in to turn on symmetry-adapted mode by setting*  
`write_dmn=.true.`
- ▶ *Run wannier90 to generate a list of the required overlaps (written into the GaAs.nnkp file).*  
`$> wannier90.x -pp GaAs`
- ▶ *Run pw2wannier90 to compute the overlap between Bloch states, the projections for the starting guess, and the symmetry information needed for symmetry-adapted mode written in the GaAs.mmn, GaAs.amn, and GaAs.dmn files, respectively. Additionally GaAs.sym file is also created.*  
`$> pw2wannier90.x < GaAs.pw2wan.in > GaAs.pw2wan.out`
- ▶ *Run wannier90 to compute the SAWFs.*  
`$> wannier90.x GaAs`
- ▶ *Compare the results for a  $4 \times 4 \times 4$   $k$ -point sampling with those in Table I in "R. Sakuma, Phys. Rev. B 87, 235109 (2013)", which we report here See also ref/ directory, in which one can find*

| $\Omega_I$ | $\Omega_D$ | $\Omega_{OD}$ | $\Omega$ | $\Omega_n$ |       |
|------------|------------|---------------|----------|------------|-------|
|            |            |               |          | $s$        | $p$   |
| 6.124      | 0.151      | 7.648         | 13.924   | 2.448      | 3.825 |

Table 2: Spreads of the four Ga-centered symmetry adapted Wannier functions of GaAs in Å calculated with  $4 \times 4 \times 4$   $k$ -point sampling. See caption in Tab. 1 for definition of symbols.

the input files (GaAs.win and GaAs.pw2wan.in) and an output file (GaAs.wout)

- ▶ *Plot the SAWFs using xcrysden.*  
`$> xcrysden -xsf GaAs_0000*.xsf`

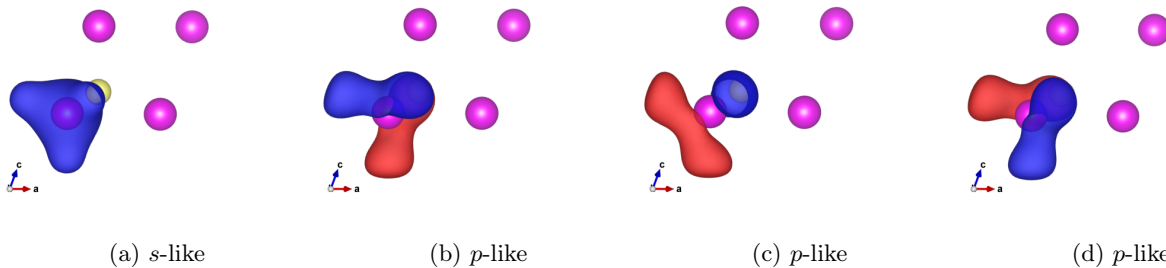


Figure 3: Four SAWFs from a) one  $s$ -like orbital and b)-d) three  $p$ -like orbitals centred on the As atom as initial projections. Ga atoms are represented by pink spheres and As atoms by yellow spheres. Isosurface value set to  $\pm 1.0 \text{ \AA}^{-3/2}$ .

## ☞ STEP 5: Compute SAWFs from one *s*-like orbital centered on the Ga atom

*Outline: Symmetry-adapted mode: one s-like Wannier function centered at Ga*

- ▶ *cd into SAWF\_Ga\_s/*
- ▶ *Set num\_wann=1 and fill out the projection part in GaAs.win.*
- ▶ *Modify GaAs.win to turn on symmetry-adapted mode. Uncomment the following two lines in GaAs.win*  

```
!site_symmetry = .true.
!symmetrize_eps= 1d-9
```
- ▶ *Modify GaAs.pw2wan.in to turn on symmetry-adapted mode by setting write\_dmn=.true.*
- ▶ *Run wannier90 to generate a list of the required overlaps (written into the GaAs.nnkp file).*  

```
$> wannier90.x -pp GaAs
```
- ▶ *Run pw2wannier90 to compute the overlap between Bloch states, the projections for the starting guess, and the symmetry information needed for symmetry-adapted mode written in the GaAs.mmn, GaAs.amn, and GaAs.dmn files, respectively. Additionally GaAs.sym file is also created.*  

```
$> pw2wannier90.x < GaAs.pw2wan.in > GaAs.pw2wan.out
```
- ▶ *Run wannier90 to compute the SAWF.*  

```
$> wannier90.x GaAs
```
- ▶ *Plot bands.*  

```
$> gnuplot
gnuplot> load "GaAs_band.gnu"
gnuplot> replot "../DFT_band.dat" w l lt -1, "GaAs_band.dat" lt 1
```

*See also ref/ directory, in which one can find the input files (GaAs.win and GaAs.pw2wan.in) and an output file (GaAs.wout)*
- ▶ *Compare the results with those in Figure 1 and Table I in "R. Sakuma, Phys. Rev. B 87, 235109 (2013)".*
- ▶ *Plot the SAWF using xcrysden.*  

```
$> xcrysden -xsf GaAs_00001.xsf
```

## ☞ STEP 6: Compute SAWFs from three *p*-like orbitals centred on the Ga atom

*Outline: Symmetry-adapted mode: three p-like Wannier functions centered at Ga*

- ▶ *cd into SAWF\_Ga\_p/*
- ▶ *Set num\_wann=3 and fill out the projection part in GaAs.win.*
- ▶ *Modify GaAs.win to turn on symmetry-adapted mode. Uncomment the following two lines in GaAs.win*  

```
!site_symmetry = .true.
!symmetrize_eps= 1d-9
```
- ▶ *Modify GaAs.pw2wan.in to turn on symmetry-adapted mode by setting write\_dmn=.true.*

- ▶ *Run wannier90 to generate a list of the required overlaps (written into the GaAs.nnkp file).*  
`$> wannier90.x -pp GaAs`
- ▶ *Run pw2wannier90 to compute the overlap between Bloch states, the projections for the starting guess, and the symmetry information needed for symmetry-adapted mode written in the GaAs.mmn, GaAs.amn, and GaAs.dmn files, respectively. Additionally GaAs.sym file is also created.*  
`$> pw2wannier90.x < GaAs.pw2wan.in > GaAs.pw2wan.out`
- ▶ *Run wannier90 to compute the SAWFs.*  
`$> wannier90.x GaAs`
- ▶ *Plot bands.*  
`$> gnuplot`  
`gnuplot> load "GaAs_band.gnu"`  
`gnuplot> replot "../DFT_band.dat" w l lt -1, "GaAs_band.dat" lt 1`  
*See also ref/ directory, in which one can find the input files (GaAs.win and GaAs.pw2wan.in) and an output file (GaAs.wout)*
- ▶ *Compare the results with those in Figure 1 and Table I in "R. Sakuma, Phys. Rev. B 87, 235109 (2013)".*
- ▶ *Plot the SAWFs using xcrysden.*  
`$> xcrysden -xsf GaAs_0000*.xsf`

## PART II: SAWFs for Cu

*Outline: Compute symmetry-adapted Wannier functions for Cu. By symmetry-adapted mode, for example, we can make atomic centered s-like Wannier function, which is not possible in the usual procedure to create maximally localized Wannier functions. For the theoretical background of the symmetry-adapted Wannier functions, see R. Sakuma, Phys. Rev. B **87**, 235109 (2013).*

- ▶ **Directories:** pseudo/

### Description of input files

- ◆ *DFT Input Files, common to all calculations*

`Cu.scf.in` *The PWSCF input file for ground state calculation*

`Cu.nscf.in` *The PWSCF input file to obtain Bloch states on a uniform grid*

- ◆ *Input Files, specific to each calculation. These might need to be modified depending on the calculation*

`Cu.pw2wan.in` *The input file for pw2wannier90*

`Cu.sym` *pw2wannier90 reads this file when “read\_sym = .true.” in Cu.pw2wan. By default, “read\_sym = .false.” and Cu.sym is the output of pw2wannier90, in which the symmetry operations employed in the calculation are written for reference.*

`Cu.win` *The wannier90 input file*

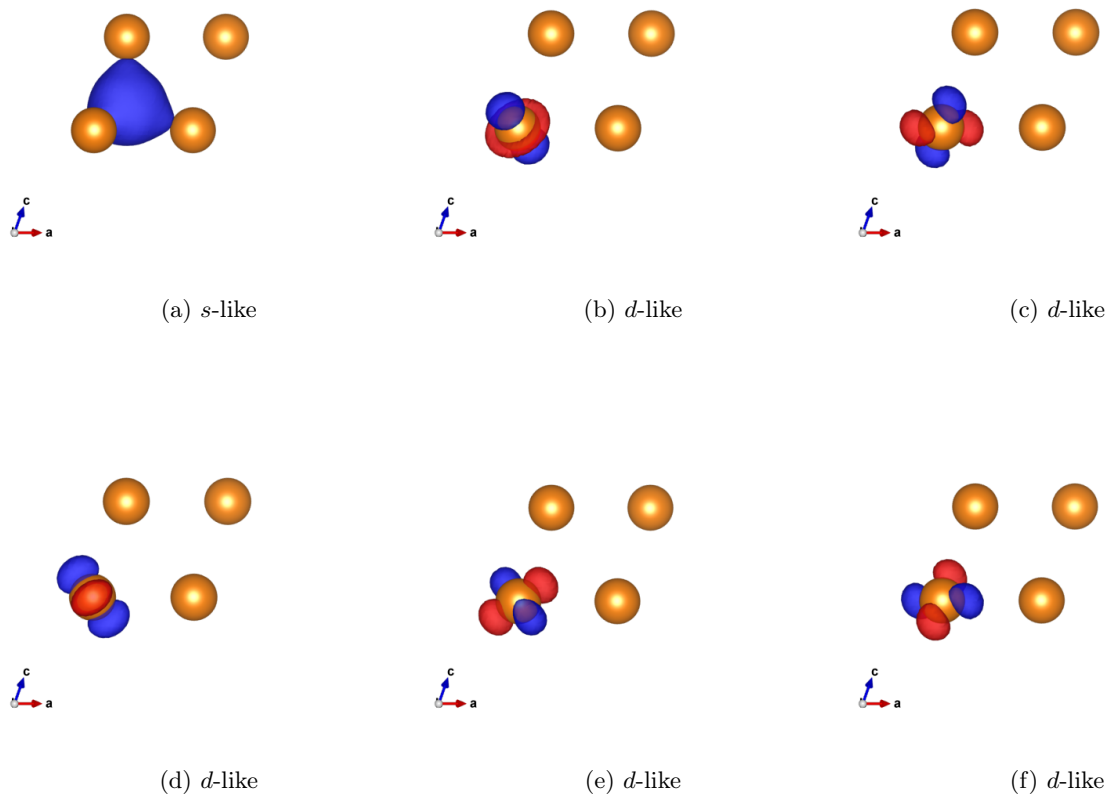


Figure 4: Six SAWFs from a) one *s*-like orbital and b)-f) 5 *d*-like orbitals centred on the Cu atom as initial projections. Cu atoms are represented by orange spheres. Isosurface value set to  $\pm 1.0 \text{ \AA}^{-3/2}$ .

### 🔧 STEP 1: Run DFT calculations

- ▶ Run PWSCF to obtain the ground state of Cu  
`pw.x < Cu.scf.in > Cu.scf.out`
- ▶ Run PWSCF to obtain the Bloch states on a uniform *k*-point grid  
`pw.x < Cu.nscf.in > Cu.nscf.out`

### 🔧 STEP 2: Compute SAWFs for Cu from five *d*-like orbitals centred on Cu and one *s*-like orbital centred in the interstitial site

Outline: Symmetry-adapted Wannier functions for Cu (*s*-like Wannier function centered at  $(1/4, 1/4, 1/4)$  + atomic-centered *d*-like Wannier functions)

- ▶ Modify `Cu.win` to turn on symmetry-adapted mode. Uncomment the following two lines in `Cu.win`  
`!site_symmetry = .true.`  
`!symmetrize_eps= 1d-9`
- ▶ Modify `Cu.pw2wan.in` to turn on symmetry-adapted mode by setting  
`write_dmn=.true.`
- ▶ Modify `Cu.pw2wan.in` to use customized symmetry operations compatible to site-symmetry group

at  $(1/4, 1/4, 1/4)$ . *N.B. inversion symmetry needs to be removed! In Cu.pw2wan.in set read\_sym=.true. (then pw2wannier.x will require Cu.sym)*

- ▶ Prepare Cu.sym file.  
\$> cp ../GaAs/SAWF\_As\_sp/GaAs.sym Cu.sym *(symmetry operations taken from the GaAs example. N.B. GaAs does not have inversion symmetry!)*
- ▶ Run wannier90 to generate a list of the required overlaps (written into the Cu.nnkp file).  
wannier90.x -pp Cu
- ▶ Run pw2wannier90 to compute the overlap between Bloch states, the projections for the starting guess, and the symmetry information needed for symmetry-adapted mode (written in the Cu.mmn, Cu.amn, and Cu.dmn files, respectively).  
pw2wannier90.x < Cu.pw2wan.in > Cu.pw2wan.out
- ▶ Run wannier90 to compute the SAWFs.  
wannier90.x Cu
- ▶ Plot bands.  
\$> gnuplot  
gnuplot> load "Cu\_band.gnu"  
gnuplot> replot "DFT\_band.dat" w l lt -1, "Cu\_band.dat" lt 1  
*See also ref directory, in which one can find the input files (Cu.win and Cu.pw2wan.in) and an output file (Cu.wout)*
- ▶ Compare the results with those in Fig.3 and Table II in "R. Sakuma, Phys. Rev. B 87, 235109 (2013)", which we report here

| Energy window [ $E_f - 10$ (eV): $E_f + 10$ (eV)] |            |               |          |            |          |       |
|---|------------|---------------|----------|------------|----------|-------|
| $\Omega_I$  | $\Omega_D$ | $\Omega_{OD}$ | $\Omega$ | $\Omega_n$ |          |       |
|   |            |               |          | $s$        | $t_{2g}$ | $e_g$ |
| 3.968   | 0.107      | 0.511         | 4.587    | 2.042      | 0.534    | 0.471 |

Table 3: Spreads of six symmetry adapted Wannier functions (from one  $s$ -like + five  $d$ -like orbitals) in Cu in Å calculated with  $8 \times 8 \times 8$   $k$ -point sampling. See caption in Tab. 1 for definition of  $\Omega$  symbols. From crystal field theory we know that the 5  $d$  orbitals split into two sets (3+2).  $t_{2g}$  is the Mulliken symbol for the three-fold degenerate subspace.  $e_g$  is the Mulliken symbol for the doubly-degenerate subspace.

- ▶ Plot the SAWFs using xcrysden.  
\$> xcrysden -xsf Cu\_0000\*.xsf
- 🔗 Change the upper bound of the disentanglement window as  $E_f + 20$  (eV) and leave the lower bound equal to  $E_f - 10$  (eV) and recompute the bandstructure and the SAWFs.



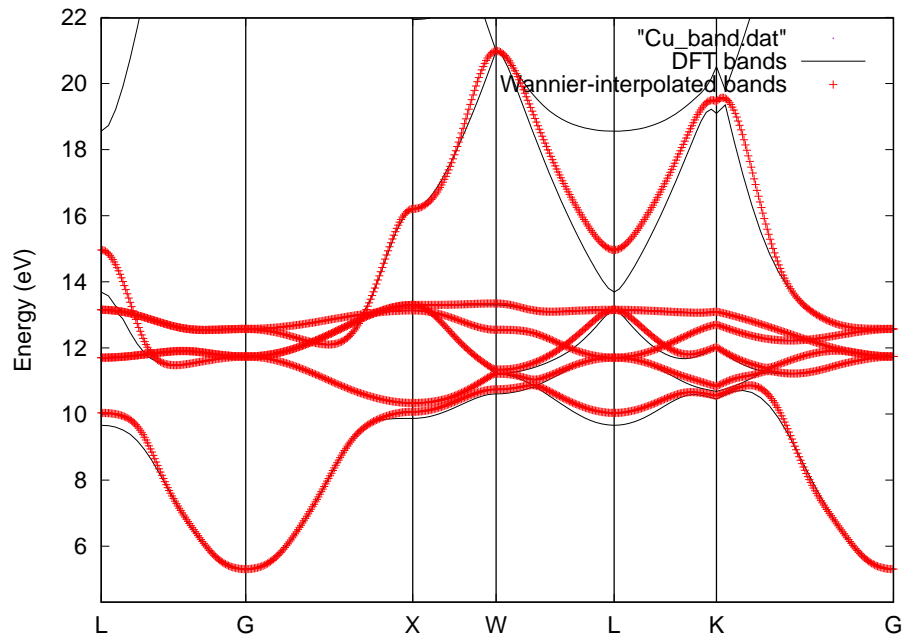


Figure 5: Bandstructure of Cu. DFT bands (solid lines), Wannier-interpolated bands (red plus).

## PART III: SAWFs for $H_3S$

*Outline: Compute symmetry-adapted Wannier functions for  $H_3S$ . For the theoretical background of the symmetry-adapted Wannier functions, see R. Sakuma, Phys. Rev. B **87**, 235109 (2013).*

- **Directories:** MLWF\_12band/  
SAWF\_12band/  
SAWF\_7band/  
pseudo/

### Description of input files

- ◆ *DFT Input Files, common to all calculations*

H3S.scf.in *The PWSCF input file for ground state calculation*

H3S.nscf.in *The PWSCF input file to obtain Bloch states on a uniform grid*

- ◆ *Input Files, specific to each calculation. These can be found within each directory and might need to be modified depending on the calculation*

H3S.pw2wan.in *The input file for pw2wannier90*

H3S.win *The wannier90 input file*

### ☞ STEP 1: Run DFT calculations

- *Run PWSCF to obtain the ground state of  $H_3S$*   
pw.x < H3S.scf.in > H3S.scf.out

- ▶ Run PWSCF to obtain the Bloch states on a uniform  $k$ -point grid  
`pw.x < H3S.nscf.in > H3S.nscf.out`

## 🔑 STEP 2: Compute MLWFs for H<sub>3</sub>S for 12 bands

*Outline: Maximally-localized Wannier functions: H<sub>3</sub>S, 12 band model*

- ▶ Run wannier90 to generate a list of the required overlaps (written into the H3S.nnkp file).  
`wannier90.x -pp H3S`
- ▶ Run pw2wannier90 to compute the overlap between Bloch states, the projections for the starting guess, and the symmetry information needed for symmetry-adapted mode (written in the H3S.mmn, H3S.amn, and H3S.dmn files, respectively).  
`pw2wannier90.x < H3S.pw2wan.in > H3S.pw2wan.out`
- ▶ Run wannier90 to compute the MLWFs.  
`wannier90.x H3S`
- ▶ Check Wannier centers and spreads (see H3S.wout).
- ▶ Plot bands.  

```
$> gnuplot
gnuplot> load "H3S_band.gnu"
gnuplot> replot "../DFT_band.dat" w l lt -1, "H3S_band.dat" lt 1
```

See also ref directory, in which one can find the input files (H3S.win and H3S.pw2wan.in) and an output file (H3S.wout)

## 🔑 STEP 2: Compute SAWFs for H<sub>3</sub>S for 12 bands

*Outline: Symmetry-adapted Wannier functions: H<sub>3</sub>S, 12 band model*

- ▶ Modify H3S.win to turn on symmetry-adapted mode. Uncomment the following two lines in Cu.win  
`!site_symmetry = .true.`  
`!symmetrize_eps= 1d-9`
- ▶ Modify H3S.pw2wan.in to turn on symmetry-adapted mode by setting  
`write_dmn=.true.`
- ▶ Run wannier90 to generate a list of the required overlaps (written into the H3S.nnkp file).  
`wannier90.x -pp H3S`
- ▶ Run pw2wannier90 to compute the overlap between Bloch states, the projections for the starting guess, and the symmetry information needed for symmetry-adapted mode (written in the H3S.mmn, H3S.amn, and H3S.dmn files, respectively).  
`pw2wannier90.x < H3S.pw2wan.in > H3S.pw2wan.out`
- ▶ Run wannier90 to compute the SAWFs.  
`wannier90.x H3S`
- ▶ Check Wannier centers and spreads (see H3S.wout) & Compare the results with those in "../MLWF\_12band/".
- ▶ Plot bands.  

```
$> gnuplot
gnuplot> load "H3S_band.gnu"
gnuplot> replot "../DFT_band.dat" w l lt -1, "H3S_band.dat" lt 1
```

### 🔧 STEP 3: Compute SAWFs for $H_3S$ for 7 bands

*Outline: Symmetry-adapted Wannier functions:  $H_3S$ , 7 band model (atomic-centered s-like orbital at H and atomic-centered s-like and p-like orbitals at S)*

- ▶ Set `num_wann=7` and fill out the projection part in `H3S.win`.
- ▶ Modify `H3S.win` to turn on symmetry-adapted mode. Uncomment the following two lines in `H3S.win`

```
!site_symmetry = .true.
!symmetrize_eps= 1d-9
```
- ▶ Modify `H3S.pw2wan.in` to turn on symmetry-adapted mode by setting `write_dmn=.true.`
- ▶ Run `wannier90` to generate a list of the required overlaps (written into the `H3S.nnkp`).

```
$> wannier90.x -pp H3S
```
- ▶ Run `pw2wannier90` to generate `H3S.amn`, `H3S.mmn`, `H3S.dmn`, and `H3S.eig` files (additionally `H3S.sym` file is also created).

```
$> pw2wannier90.x < H3S.pw2wan.in > H3S.pw2wan.out
```
- ▶ Run `wannier90` to construct SAWFs.

```
$> wannier90.x H3S
```
- ▶ Check Wannier centers and spreads (see `H3S.wout`).

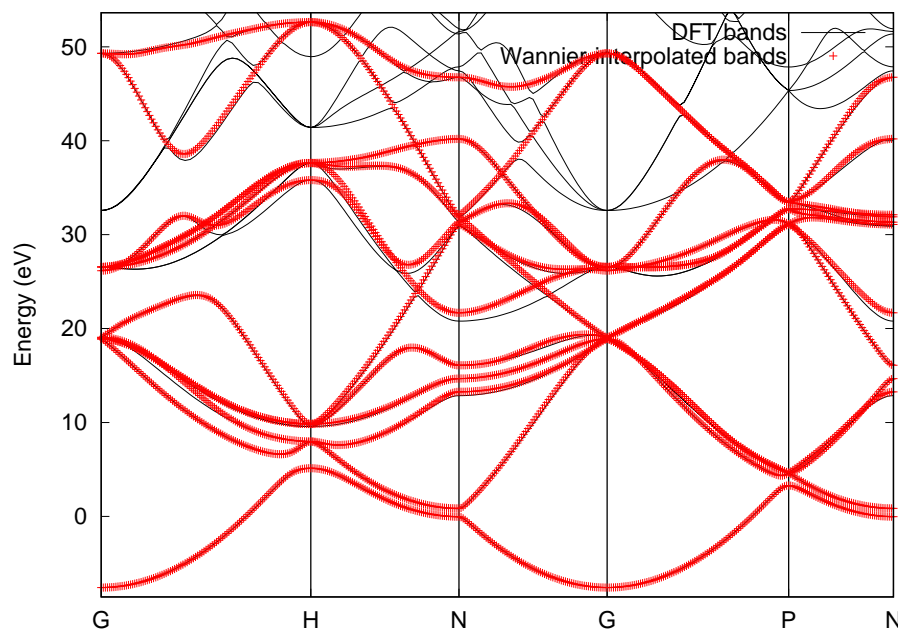


Figure 6: Bandstructure of  $H_3S$ . DFT bands (solid lines), Wannier-interpolated bands (red plus).

► *Plot bands.*

```
$> gnuplot
```

```
gnuplot> load "H3S_band.gnu"
```

```
gnuplot> replot "../DFT_band.dat" w l lt -1, "H3S_band.dat" lt 1
```