# Version 2.0

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#### **Preliminaries**

Welcome to wannier90! The examples contained in this tutorial are designed to help you become familiar with the procedure of generating, analysing and using maximally-localised Wannier functions (MLWFs). As a first step, install wannier90 following the instructions in the README file of the wannier90 distribution. For an introduction to the theory underlying MLWFs, you are encouraged to refer to the brief overview given in the wannier90 User Guide [1], to the two seminal papers of Refs. [2, 3], a recent review article [4] and to a paper [5] describing wannier90.

The following additional programs may be installed in order to visualise the output of wannier90 (they are optional, not all of them are necessary)

- gnuplot is used to plot bandstructures. It is available for many operating systems and is often installed by default on Unix/Linux distributions http://www.gnuplot.info
- xmgrace may also be used to plot bandstructures. http://plasma-gate.weizmann.ac.il/Grace
- XCrySDen is used to visualise crystal structures, MLWFs, and Fermi surfaces. It is available for Unix/Linux, Windows (using cygwin), and OSX. To correctly display files from wannier90, version 1.4 or later must be used. http://www.xcrysden.org
- vmd can also be used to visualise crystal structures and MLWFs. http://www.ks.uiuc.edu/Research/vmd
- python with the numpy and matplotlib modules is used in examples 17-19 http://www.python.org http://www.numpy.org

http://matplotlib.org

#### Parallel execution

Presently, wannier90.x is a serial-only executable, so it <u>cannot</u> be run in parallel using MPI libraries. On the contrary, postw90.x can be run in parallel to speed up the calculations, using the MPI libraries.

To enable the parallel version to be built, you must specify some flags in the make.sys file of wannier90 and postw90; for further information, please refer to the README.install file in the top directory of the wannier90 distribution.

Then, to run e.g. with 8 processors, you typically need to run a command similar to postw90 as follows:

```
mpirun -np 8 postw90.x seedname
```

(the mpirun command and its flags may differ depending on the MPI libraries installed on your system: refer to your MPI manual and/or to your system administrator for further information).

#### About this tutorial

The first part of this tutorial comprises four examples taken from Refs. [2, 3]: gallium arsenide, lead, silicon and copper. All of the wannier90 input files have been provided.

The second part of the tutorial covers the generation of wannier90 input files starting from a full electronic structure calculation. We have provided input files for the PWSCF interface (http://www.quantum-espresso.org) to wannier90. Therefore, you will need to install and compile elements of the quantum-espresso package, namely pw.x and pw2wannier90.x, in order to run these examples. Please visit http://www.quantum-espresso.org to download the package, and for installation instructions. The tutorial examples work with PWSCF v5.0.0 - v5.0.2 with the exception of the Berry phase related properties in examples 17-19 which require the very latest version of pw2wannier90.f90. This can be found in the directory pwscf/v5.0 in the wannier distribution. It should be moved to PP/src in the PWSCF distribution and compiled using make pp. We expect this will be included as standard in the next release of PWSCF

There are interfaces to a number of other electronic structure codes including ABINIT (http://www.abinit.org), FLEUR (http://www.flapw.de), VASP (http://www.vasp.at), and WIEN2K (http://www.wien2k.at)

For images of MLWFs, see our gallery at http://www.wannier.org/gallery.html. If you have any images that you would like to submit to the gallery, please email us.

#### Contact us

If you have any suggestions regarding ways in which this tutorial may be improved, then send us an email.

For other questions, email the wannier90 forum at wannier@quantum-espresso.org. Note that first you will need to register in order to post emails. Emails from non-registered users are deleted automatically. You can register by following the links at

http://www.wannier.org/forum.html.

#### 1: Gallium Arsenide – MLWFs for the valence bands

- Outline: Obtain and plot MLWFs for the four valence bands of GaAs.
- Generation details: From PWSCF, using norm-conserving pseudopotentials and a  $2\times2\times2$  k-point grid. Starting guess: four bond-centred Gaussians.
- Directory: examples/example1/
- Input Files
  - gaas.win The master input file
  - gaas.mmn The overlap matrices  $\mathbf{M}^{(\mathbf{k},\mathbf{b})}$
  - gaas.amn Projection  ${f A^{(k)}}$  of the Bloch states onto a set of trial localised orbitals
  - UNK00001.1 The Bloch states in the real space unit cell. For plotting only.
- 1. Run wannier90 to minimise the MLWFs spread

```
wannier90.x gaas
```

Inspect the output file gaas.wout. The total spread converges to its minimum value after just a few iterations. Note that the geometric centre of each MLWF lies along a Ga-As bond, slightly closer to As than Ga. Note also that the memory requirement for the minimisation of the spread is very low as the MLWFs are defined at each k-point by just the  $4\times4$  unitary matrices  $\mathbf{U}^{(k)}$ .

2. Plot the MLWFs by adding the following keywords to the input file gaas.win

```
wannier_plot = true
```

and re-running wannier90. To visualise the MLWFs we must represent them explicitly on a real space grid (see Ref. [1]). As a consequence, plotting the MLWFs is slower and uses more memory than the minimisation of the spread. The four files that are created (gaas\_00001.xsf, etc.) can be viewed using XCrySDen, e.g.,

```
xcrysden -xsf gaas_00001.xsf
```

For large systems, plotting the MLWFs may be time consuming and require a lot of memory. Use the keyword wannier\_plot\_list to plot a subset of the MLWFs. E.g., to plot the 1st and 3rd MLWFs use

```
wannier_plot_list = 1 3
```

The MLWFs are plotted in a supercell of the unit cell. The size of this supercell is set through the keyword wannier\_plot\_supercell. The default value is 2 (corresponding to a supercell with eight times the unit cell volume). We recommend not using values great than 3 as the memory and computational cost scales cubically with supercell size.

Plot the 3rd MLWFs in a supercell of size 3. Choose a low value for the isosurface (say 0.5). Can you explain what you see?

*Hint:* For a finite k-point mesh, the MLWFs are in fact periodic and the period is related to the spacing of the k-point mesh. For mesh with n divisions in the  $i^{\text{th}}$  direction in the Brillouin zone, the MLWFs "live" in a supercell n times the unit cell.

 $<sup>^{1}</sup>$ Once XCrySDen starts, click on Tools  $\rightarrow$  Data Grid in order to specify an isosurface value to plot.

# 2: Lead – Wannier-interpolated Fermi surface

• Outline: Obtain MLWFs for the four lowest states in lead. Use Wannier interpolation to plot the Fermi surface.

- Generation Details: From PWSCF, using norm-conserving pseudopotentials and a  $4\times4\times4$  k-point grid. Starting guess: atom-centred sp<sup>3</sup> hybrid orbitals
- Directory: examples/example2/
- Input Files
  - lead.win The master input file
  - lead.mmn The overlap matrices  $\mathbf{M^{(k,b)}}$
  - lead.amn Projection  $A^{(k)}$  of the Bloch states onto a set of trial localised orbitals
  - lead.eig The Bloch eigenvalues at each k-point. For interpolation only

The four lowest valence bands in lead are separated in energy from the higher conduction states (see Fig. 1). The MLWFs of these states have partial occupancy. MLWFs describing only the occupied states would be poorly localised.

1. Run wannier90 to minimise the MLWFs spread

```
wannier90.x lead
```

Inspect the output file lead.wout.

2. Use Wannier interpolation to generate the Fermi surface of lead. Rather than re-running the whole calculation we can use the unitary transformations obtained in the first calculation and restart from the plotting routine. Add the following keywords to the lead.win file:

```
restart = plot
fermi_energy = 5.2676
fermi_surface_plot = true
```

and re-run wannier90. The value of the Fermi energy (5.2676 eV) was obtained from the initial first principles calculation. wannier90 calculates the band energies, through wannier interpolation, on a dense mesh of k-points in the Brillouin zone. The density of this grid is controlled by the keyword fermi\_surface\_num\_points. The default value is 50 (i.e., 50<sup>3</sup> points). The Fermi surface file lead.bxsf can be viewed using XCrySDen, e.g.,

```
xcrysden -bxsf lead.bxsf
```

# 3: Silicon – Disentangled MLWFs

- Outline: Obtain disentangled MLWFs for the valence and low-lying conduction states of Si. Plot the interpolated bandstructure
- Generation Details: From PWSCF, using norm-conserving pseudopotentials and a  $4\times4\times4$  k-point grid. Starting guess: atom-centred sp<sup>3</sup> hybrid orbitals

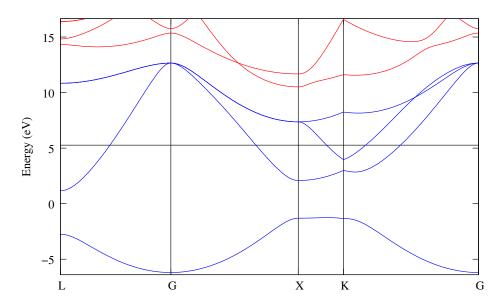


Figure 1: Bandstructure of lead showing the position of the Fermi level. Only the lowest four bands are included in the calculation.

- Directory: examples/example3/
- Input Files
  - silicon.win The master input file
  - silicon.mmn The overlap matrices  $\mathbf{M}^{(\mathbf{k},\mathbf{b})}$
  - silicon.amn Projection  $A^{(k)}$  of the Bloch states onto a set of trial localised orbitals
  - silicon.eig The Bloch eigenvalues at each k-point

The valence and lower conduction states can be represented by MLWFs with  $sp^3$ -like symmetry. The lower conduction states are not separated from the higher states by an energy gap. In order to form localised WF, we use the disentanglement procedure introduced in Ref. [3]. The position of the inner and outer energy windows are shown in Fig. 2.

#### 1. Run wannier90.

#### wannier90.x silicon

Inspect the output file silicon.wout. The minimisation of the spread occurs in a two-step procedure [3]. First, we minimise  $\Omega_{\rm I}$  – this is the extraction of the optimal subspace in the disentanglement procedure. Then, we minimise  $\Omega_{\rm D} + \Omega_{\rm OD}$ .

2. Plot the energy bands by adding the following commands to the input file silicon.win

```
restart = plot
bands_plot = true
```

and re-running wannier90. The files silicon\_band.dat and silicon\_band.gnu are created. To plot the bandstructure using gnuplot

```
myshell> gnuplot
gnuplot> load 'silicon_band.gnu'
```

The k-point path for the bandstructure interpolation is set in the kpoint\_path block. Try plotting along different paths.

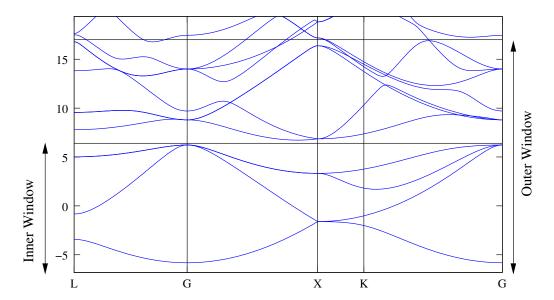


Figure 2: Bandstructure of silicon showing the position of the outer and inner energy windows.

# 4: Copper – Fermi surface, orbital character of energy bands

- Outline: Obtain MLWFs to describe the states around the Fermi-level in copper
- Generation Details: From PWSCF, using ultrasoft pseudopotentials [6] and a 4×4×4 k-point grid. Starting guess: five atom-centred d orbitals, and two s orbitals centred on one of each of the two tetrahedral interstices.
- Directory: examples/example4/
- Input Files
  - copper.win The master input file
  - copper.mmn The overlap matrices  $\mathbf{M}^{(\mathbf{k},\mathbf{b})}$
  - copper.amn Projection  $A^{(k)}$  of the Bloch states onto a set of trial localised orbitals
  - copper.eig The Bloch eigenvalues at each k-point
- 1. Run wannier90 to minimise the MLWFs spread

```
wannier90.x copper
```

Inspect the output file copper.wout.

2. Plot the Fermi surface, it should look familiar! The Fermi energy is at 12.2103 eV.

3. Plot the interpolated bandstructure. A suitable path in k-space is

4. Plot the contribution of the interstitial WF to the bandstructure. Add the following keyword to copper.win

```
bands_plot_project = 6,7
```

The resulting file  $copper_band_proj.gnu$  can be opened with gnuplot. Red lines correspond to a large contribution from the interstitial WF (blue line are a small contribution; ie a large d contribution).

Investigate the effect of the outer and inner energy window on the interpolated bands.

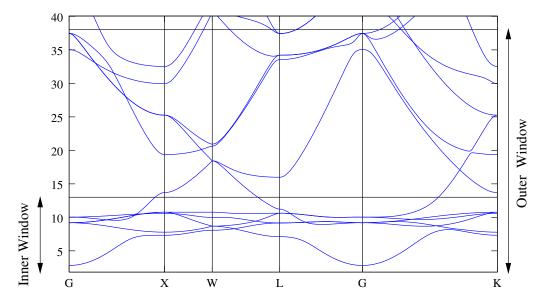


Figure 3: Bandstructure of copper showing the position of the outer and inner energy windows.

# Examples Using the PWSCF Interface

The PWSCF plane-wave, density-functional theory code, which is available as part of the QUANTUM-ESPRESSO distribution (http://www.quantum-espresso.org), is fully interfaced to wannier90 via the pw2wannier90 post-processing code that is also available as part of QUANTUM-ESPRESSO. The latest version of pw2wannier90 is included as part of the wannier90 distribution. Please see the pwscf directory for instructions on how to incorporate it into PWSCF.

Note that both the PWSCF executable pw.x and pw2wannier90.x can be run in parallel, which for large calculations can reduce the computation time very significantly. This requires compiling the code in its parallel version, using the MPI libraries. Refer to the QUANTUM-ESPRESSO package for the documentation on how to do so. Note that, unless you specify wf\_collect=.true. in your pw.x input file, you must run pw2wannier90 with the same number of processors as pw.x.

Moreover we remind here that, as discussed in the "Parallel execution" section at page 3, while the wannier90 executable is serial-only, postw90.x can be run in parallel. In this case any number of processors can be used, independently of the number used for pw.x and pw2wannier90.x.

#### 5: Diamond – MLWFs for the valence bands

- Outline: Obtain MLWFs for the valence bands of diamond
- Directory: examples/example5/
- Input Files
  - diamond.scf The PWSCF input file for ground state calculation
  - diamond.nscf The PWSCF input file to obtain Bloch states on a uniform grid
  - diamond.pw2wan The input file for pw2wannier90
  - diamond.win The wannier90 input file
- Run PWSCF to obtain the ground state of diamond pw.x < diamond.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < diamond.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the diamond.nnkp file). wannier90.x -pp diamond
- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the diamond.mmn and diamond.amn files). pw2wannier90.x < diamond.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs. wannier90.x diamond

## 6: Copper – Fermi surface

- Outline: Obtain MLWFs to describe the states around the Fermi-level in copper
- Directory: examples/example6/
- Input Files
  - copper.scf The PWSCF input file for ground state calculation
  - copper.nscf The PWSCF input file to obtain Bloch states on a uniform grid
  - copper.pw2wan Input file for pw2wannier90
  - copper.win The wannier90 input file
- Run PWSCF to obtain the ground state of copper pw.x < copper.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < copper.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the copper.nnkp file). wannier90.x -pp copper
- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the copper.mmn and copper.amn files).

  pw2wannier90.x < copper.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs. wannier90.x copper

Inspect the output file copper.wout.

1. Use Wannier interpolation to obtain the Fermi surface of copper. Rather than re-running the whole calculation we can use the unitary transformations obtained in the first calculation and restart from the plotting routine. Add the following keywords to the copper.win file:

```
restart = plot
fermi_energy = [insert your value here]
fermi_surface_plot = true
```

and re-run wannier90. The value of the Fermi energy can be obtained from the initial first principles calculation. wannier90 calculates the band energies, through wannier interpolation, on a dense mesh of k-points in the Brillouin zone. The density of this grid is controlled by the keyword fermi\_surface\_num\_points. The default value is 50 (i.e., 50<sup>3</sup> points). The Fermi surface file copper.bxsf can be viewed using XCrySDen, e.g.,

```
xcrysden -bxsf copper.bxsf
```

2. Plot the interpolated bandstructure. A suitable path in k-space is

#### Further ideas

- Compare the Wannier interpolated bandstructure with the full PWSCF bandstructure. Obtain MLWFs using a denser k-point grid. To plot the bandstructure you can use the PWSCF tool bands.x or the small fortran program available at http://www.tcm.phy.cam.ac.uk/~jry20/bands.html.
- Investigate the effects of the outer and inner energy windows on the interpolated bands.
- Instead of extracting a subspace of seven states, we could extract a nine dimensional space (i.e., with s, p and d character). Examine this case and compare the interpolated bandstructures.

# 7: Silane (SiH<sub>4</sub>) – Molecular MLWFs using Γ-point sampling

- Outline: Obtain MLWFs for the occupied states of molecular silane.  $\Gamma$ -point sampling
- Directory: examples/example7/
- Input Files
  - silane.scf The PWSCF input file for ground state calculation
  - silane.nscf The PWSCF input file to obtain Bloch states on a uniform grid
  - silane.pw2wan Input file for pw2wannier90
  - silane.win  $\it The$  wannier90  $\it input file$
- Run PWSCF to obtain the ground state of silane pw.x < silane.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < silane.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the silane.nnkp file). wannier90.x -pp silane
- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the silane.mmn and silane.amn files).

  pw2wannier90.x < silane.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs. wannier90.x silane

# 8: Iron – Spin-polarized WFs, DOS, projected WFs versus MLWFs

- Outline: Generate both maximally-localized and projected Wannier functions for ferromagnetic bcc Fe. Calculate the total and orbital-projected density of states by Wannier interpolation.
- Directory: examples/example8/
- Input Files
  - iron.scf The PWSCF input file for the spin-polarized ground state calculation
  - iron.nscf The PWSCF input file to obtain Bloch states on a uniform grid
  - iron\_{up,down}.pw2wan Input files for pw2wannier90
  - iron\_{up,down}.win Input files for wannier90 and postw90
- Note that in a spin-polarized calculation the spin-up and spin-down MLWFs are computed separately. (The more general case of spinor WFs will be treated in Example 17.)
- Run PWSCF to obtain the ferromagnetic ground state of bcc Fe pw.x < iron.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < iron.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the .nnkp files). wannier90.x -pp iron\_up wannier90.x -pp iron\_dn
- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the .mmn and .amn files).

```
pw2wannier90.x < iron_up.pw2wan > pw2wan_up.out
pw2wannier90.x < iron_dn.pw2wan > pw2wan_dn.out
```

5. Run wannier90 to compute the MLWFs.

```
wannier90.x iron_up
wannier90.x iron_dn
```

#### Density of states

To compute the DOS using a  $25 \times 25 \times 25$  k-point grid add to the two .win files

```
dos = true
  dos_kmesh = 25

run postw90,
  postw90.x iron_up
  postw90.x iron_dn
```

and plot the DOS with gnuplot,

```
myshell> gnuplot
gnuplot> plot 'iron_up_dos.dat' u (-$2):($1-12.6256) w l,'iron_dn_dos.dat' u
2:($1-12.6256) w l
```

Energies are referred to the Fermi level (12.6256 eV, from scf.out). Note the exchange splitting between the up-spin and down-spin DOS. Check the convergence by repeating the DOS calculations with more k-points.

#### Projected versus maximally-localized Wannier functions

In the calculations above we chose s, p, and d-type trial orbitals in the .win files,

```
Fe:s;p;d
```

Let us analyze the evolution of the WFs during the gauge-selection step. Open one of the .wout files and search for "Initial state"; those are the *projected* WFs. As expected they are atom-centred, with spreads organized in three groups, 1+3+5: one s, three p, and five d. Now look at the final state towards the end of the file. The Wannier spreads have re-organized in two groups, 6+3; moreover, the six more diffuse WFs are off-centred: the initial atomic-like orbitals hybridized with one another, becoming more localized in the process. It is instructive to visualize the final-state MLWFs using XCrySDen, following Example 1. For more details, see Sec. IV.B of Ref. [7].

Let us plot the evolution of the spread functional  $\Omega$ ,

```
myshell> grep SPRD iron_up.wout > sprd_up
myshell> gnuplot
gnuplot> plot 'sprd_up' u 6 w 1
```

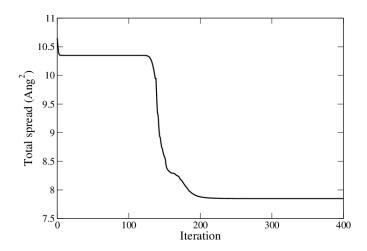


Figure 4: Evolution of the Wannier spread  $\Omega$  of the minority (spin-up) bands of bcc Fe during the iterative minimization of  $\widetilde{\Omega}$ , starting from s, p, and d-type trial orbitals.

The first plateau corresponds to atom-centred WFs of separate s, p, and d character, and the sharp drop signals the onset of the hybridization. With hindsight, we can redo steps 4 and 5 more efficiently using trial orbitals with the same character as the final MLWFs,

```
Fe:sp3d2;dxy;dxz,dyz
```

With this choice the minimization converges much more rapidly.

Any reasonable set of localized WFs spanning the states of interest can be used to compute physical quantities (they are "gauge-invariant"). Let us recompute the DOS using, instead of MLWFs, the WFs obtained by projecting onto s, p, and d-type trial orbitals, without further iterative minimization of the spread functional. This can be done by setting

```
num_iter = 0
```

But note that we still need to do disentanglement! Recalculate the DOS to confirm that it is almost identical to the one obtained earlier using the hybridized set of MLWFs. Visualize the projected WFs using XCrySDen, to see that they retain the pure orbital character of the individual trial orbitals.

#### Orbital-projected DOS and exchange splitting

With projected WFs the total DOS can be separated into s, p and d contributions, in a similar way to the orbital decomposition of the energy bands in Example 4.

In order to obtain the partial DOS projected onto the p-type WFs, add to the .win files

```
dos_project = 2,3,4
```

and re-run postw90. Plot the projected DOS for both up- and down-spin bands. Repeat for the s and d projections.

Projected WFs can also be used to quantify more precisely the exchange splitting between majority and minority states. Re-run wannier90 after setting dos=false and adding to the .win files

```
write_hr_diag = true
```

This instructs wannier90 to print in the output file the on-site energies  $\langle \mathbf{0}n|H|\mathbf{0}n\rangle$ . The difference between corresponding values in iron\_up.wout and in iron\_dn.wout gives the exchange splittings for the individual orbitals. Compare their magnitudes with the splittings displayed by the orbital-projected DOS plots. In agreement with the Stoner criterion, the largest exchange splittings occur for the localized d-states, which contribute most of the density of states at the Fermi level.

### 9: Cubic BaTiO<sub>3</sub>

- Outline: Obtain MLWFs for a perovskite
- Directory: examples/example9/
- Input Files
  - batio3.scf The PWSCF input file for ground state calculation
  - batio3.nscf The PWSCF input file to obtain Bloch states on a uniform grid

```
- batio3.pw2wan Input\ file\ for\ pw2wannier90
```

```
- batio3.win The wannier90 input file
```

To start with, we are going to obtain MLWFs for the oxygen 2p states. From the bandstructure [8], these form an isolated group of bands. We use the wannier90 keyword exclude\_bands to remove all but the 2p bands from the calculation of the overlap and projection matrices (we don't have to do this, but it saves time).

- Run PWSCF to obtain the ground state of BaTiO<sub>3</sub>
   pw.x < BaTiO<sub>3</sub>.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < BaTiO3.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the BaTiO3.nnkp file). wannier90.x -pp BaTiO3
- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the BaTiO3.mmn and BaTiO3.amn files).

  pw2wannier90.x < BaTiO3.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs. wannier90.x BaTiO3

Inspect the output file BaTiO3.wout.

Plot the second MLWF, as described in Section 1, by adding the following keywords to the input file BaTiO3.win

```
wannier_plot = true
restart = plot
wannier_plot_list = 2
wannier_plot_supercell = 3
```

and re-running wannier90. Visualise it using XCrySDen,

```
xcrysden -xsf BaTiO3_00002.xsf
```

We can now simulate the ferroelectric phase by displacing the Ti atom. Change its position to

```
Ti 0.505 0.5 0.5
```

and regenerate the MLWFs (i.e., compute the ground-state charge density and Bloch states using PWSCF, etc.) and look at the change in the second MLWF.

#### Further ideas

- Look at MLWFs for other groups of bands. What happens if you form MLWFs for the whole valence manifold?
- Following Ref. [8], compute the Born effective charges from the change in Wannier centres under an atomic displacement.

## 10: Graphite

- Outline: Obtain MLWFs for graphite (AB, Bernal)
- Directory: examples/example10/
- Input Files
  - graphite.scf The PWSCF input file for ground state calculation
  - graphite.nscf The PWSCF input file to obtain Bloch states on a uniform grid
  - graphite.pw2wan Input file for pw2wannier90
  - graphite.win The wannier90 input file
- Run PWSCF to obtain the ground state of graphite
   pw.x < graphite.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < graphite.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the graphite.nnkp file). wannier90.x -pp graphite
- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the graphite.mmn and graphite.amn files). pw2wannier90.x < graphite.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs. wannier90.x graphite

## 11: Silicon – Valence and low-lying conduction states

#### Valence States

- Outline: Obtain MLWFs for the valence bands of silicon.
- Directory: examples/example11/
- Input Files
  - silicon.scf The PWSCF input file for ground state calculation
  - silicon.nscf The PWSCF input file to obtain Bloch states on a uniform grid
  - silicon.pw2wan Input file for pw2wannier90
  - silicon.win The wannier90 input file
- Run PWSCF to obtain the ground state of silicon pw.x < silicon.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid. Note that we request the lower 4 (valence) bands

```
pw.x < silicon.nscf > nscf.out
```

3. Run wannier90 to generate a list of the required overlaps (written into the silicon.nnkp file). wannier90.x -pp silicon

- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the silicon.mmn and silicon.amn files). pw2wannier90.x < silicon.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs. wannier90.x silicon

Inspect the output file silicon.wout. The total spread converges to its minimum value after just a few iterations. Note that the geometric centre of each MLWF lies at the centre of the Si-Si bond. Note also that the memory requirement for the minimisation of the spread is very low as the MLWFs are defined by just the  $4\times4$  unitary matrices  $\mathbf{U}^{(\mathbf{k})}$ .

Plot the MLWFs by adding the following keywords to the input file silicon.win

```
wannier_plot = true
```

and re-running wannier90. Visualise them using XCrySDen, e.g.,

```
xcrysden -xsf silicon_00001.xsf
```

#### Valence + Conduction States

- Outline: Obtain MLWFs for the valence and low-lying conduction-band states of Si. Plot the interpolated bandstructure. Apply a scissors correction to the conduction bands.
- Input Files
  - silicon.scf The PWSCF input file for ground state calculation
  - silicon.nscf The PWSCF input file to obtain Bloch states on a uniform grid
  - silicon.pw2wan Input file for pw2wannier90
  - silicon.win The wannier90 input file

The valence and lower conduction states can be represented by MLWFs with  $sp^3$ -like symmetry. The lower conduction states are not separated by an energy gap from the higher states. In order to form localised WF we use the disentanglement procedure introduced in Ref. [3]. The position of the inner and outer energy windows are shown in Fig. 2.

- 1. Modify the input file and run PWSCF and wannier90. Inspect the output file silicon.wout. The minimisation of the spread occurs in a two-step procedure. First, we minimise  $\Omega_{\rm I}$  this is the extraction of the optimal subspace in the disentanglement procedure. Then, we minimise  $\Omega_{\rm O} + \Omega_{\rm OD}$ .
- 2. Plot the bandstructure by adding the following commands to the input file silicon.win

```
restart = plot
bands_plot = true
```

and re-running wannier90. The files silicon\_band.dat and silicon\_band.gnu are created. To plot the bandstructure using gnuplot

```
myshell> gnuplot
gnuplot> load 'silicon_band.gnu'
```

The k-point path for the bandstructure interpolation is set in the kpoint\_path block. Try plotting along different paths.

#### Further ideas

- Compare the Wannier-interpolated bandstructure with the full PWSCF bandstructure. Recompute the MLWFs using a finer k-point grid (e.g.,  $6 \times 6 \times 6$  or  $8 \times 8 \times 8$ ) and note how the accuracy of the interpolation increases [9].
- Compute four MLWFs spanning the low-lying conduction states (see Ref. [3]).

## 12: Benzene – Valence and low-lying conduction states

#### Valence States

- Outline: Obtain MLWFs for the valence states of benzene
- Directory: examples/example12/
- Input Files
  - benzene.scf The PWSCF input file for ground state calculation
  - benzene.pw2wan Input file for pw2wannier90
  - benzene.win The wannier90 input file
- Run PWSCF to obtain the ground state of benzene
   pw.x < benzene.scf > scf.out
- 2. Run wannier90 to generate a list of the required overlaps (written into the benzene.nnkp file). wannier90.x -pp benzene
- 3. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the benzene.mmn and benzene.amn files).

  pw2wannier90.x < benzene.pw2wan > pw2wan.out
- 4. Run wannier90 to compute the MLWFs. wannier90.x benzene

Inspect the output file benzene.wout. The total spread converges to its minimum value after just a few iterations.

Plot the MLWFs by adding the following keywords to the input file benzene.win

```
restart = plot
wannier_plot = true
wannier_plot_format = cube
wannier_plot_list = 2-4
```

and re-running wannier90. Visualise them using, e.g., XCrySDen.

#### Valence + Conduction States

- Outline: Obtain MLWFs for the valence and low-lying conduction states of benzene.
- Input Files
  - benzene.scf The PWSCF input file for ground state calculation
  - benzene.nscf The PWSCF input file to obtain Bloch states for the conduction states
  - benzene.pw2wan Input file for pw2wannier90
  - benzene.win The wannier90 input file

In order to form localised WF we use the disentanglement procedure. The position of the inner energy window is set to lie in the energy gap; the outer energy window is set to 4.0 eV. Modify the input file appropriately.

- 1. Run PWSCF and wannier90. Inspect the output file benzene.wout. The minimisation of the spread occurs in a two-step procedure. First, we minimise  $\Omega_{\rm I}$ . Then, we minimise  $\Omega_{\rm O} + \Omega_{\rm OD}$ .
- 2. Plot the MLWFs by adding the following commands to the input file benzene.win

```
restart = plot
wannier_plot = true
wannier_plot_format = cube
wannier_plot_list = 1,7,13
```

and re-running wannier90. Visualise them using, e.g., XCrySDen.

# 13: (5,5) Carbon Nanotube – Transport properties

- Outline: Obtain the bandstructure, quantum conductance and density of states of a metallic (5,5) carbon nanotube
- Directory: examples/example13/
- Input Files
  - cnt55.scf The PWSCF input file for ground state calculation
  - cnt55.nscf The PWSCF input file to obtain Bloch states for the conduction states
  - cnt55.pw2wan Input file for pw2wannier90
  - cnt55.win The wannier90 input file

In order to form localised WF that describe both the occupied and unoccupied  $\pi$  and  $\pi^*$  manifolds, we use the disentanglement procedure to extract a smooth manifold of states that has dimension equal to 2.5 times the number of carbon atoms per unit cell [10]. The positions of the energy windows are shown in Fig. 5.

The part of the wannier90 input file that controls the transport part of the calculation looks like:

```
transport = true
transport_mode = bulk
one_dim_axis = z
dist_cutoff = 5.5
fermi_energy = -1.06
tran_win_min = -6.5
tran_win_max = 6.5
tran_energy_step = 0.01
dist_cutoff_mode = one_dim
translation_centre_frac = 0.0 0.0 0.0
```

Descriptions of these and other keywords related to the calculation of transport properties can be found in the User Guide.

- 1. Run PWSCF and wannier90. Inspect the output file cnt55.wout. The minimisation of the spread occurs in a two-step procedure. First, we minimise  $\Omega_{\rm I}$ . Then, we minimise  $\Omega_{\rm O} + \Omega_{\rm OD}$ .
- 2. Note that the initial  $p_z$  projections on the carbon atoms are oriented in the radial direction with respect to the nanotube axis.
- 3. The interpolated bandstructure is written to cnt55\_band.agr (since bands\_plot\_format = xmgr in the input file).
- 4. The quantum conductance and density of states are written to the files cnt55\_qc.dat and cnt55\_dos.dat, respectively. Note that this part of the calculation may take some time. You can follow its progress by monitoring the output to these files. Use a package such as gnuplot or xmgrace in order to visualise the data. You should get something that looks like Fig. 6.

# 14: Linear Sodium Chain – Transport properties

- Outline: Compare the quantum conductance of a periodic linear chain of Sodium atoms with that of a defected chain
- Directories: examples/example14/periodic examples/example14/defected
- Input Files
  - Na\_chain.scf The PWSCF input file for ground state calculation
  - Na\_chain.nscf The PWSCF input file to obtain Bloch states for the conduction states

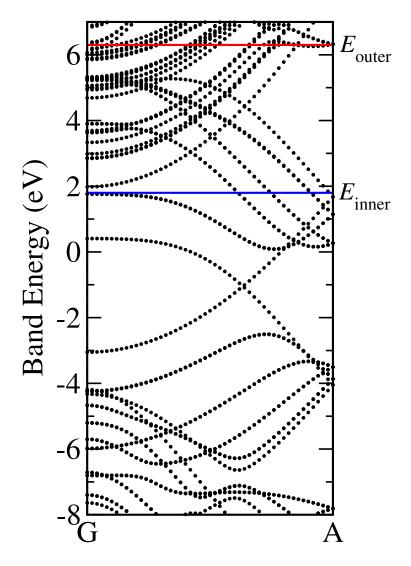


Figure 5: Bandstructure of (5,5) carbon nanotube showing the position of the outer and inner energy windows.

- Na\_chain.pw2wan Input file for pw2wannier90
- Na\_chain.win The wannier90  $input\ file$

The periodic system contains two unit cells evenly distributed along the supercell. Transport calculations are performed using transport\_mode = bulk and so the resulting quantum conductance represents that of an infinite periodic chain.

The part of the wannier90 input file that controls the transport part of the calculation looks like:

```
transport = true
transport_mode = bulk
tran_read_ht = false
one_dim_axis = x
fermi_energy = -2.7401
tran_win_min = -5.0
```

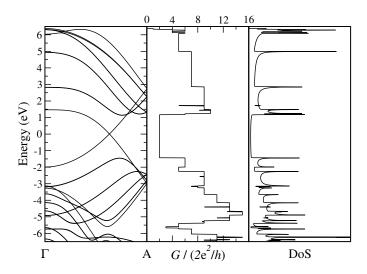


Figure 6: Wannier interpolated bandstructure, quantum conductance and density of states of (5,5) carbon nanotube. Note that the Fermi level has been shifted by 1.06eV with respect to Fig. 5.

```
tran_win_max = 5.0
tran_energy_step = 0.01
translation_centre_frac = 0.5 0.5 0.5
tran_num_bb = 2
```

The defected system uses a 13 atom supercell with the central atom position altered to break symmetry. Setting transport\_mode = lcr with tell wannier90 to treat the system as an infinite system with the defect at its centre. The supercell is chosen so that is conforms to the 2c2 geometry (see User Guide for details). Each principal layer is 2 atoms long so that the conductor region contains the defected atom plus a single atom on either side.

The transport section of the input file contains these key differences:

```
transport_mode = lcr
tran_num_ll = 2
tran_num_cell_ll = 2
```

Descriptions of these and other keywords related to the calculation of transport properties can be found in the User Guide.

- 1. Run PWSCF and wannier90 for the periodic system.
- 2. Run PWSCF and wannier90 for the defected system.
- 3. The quantum conductance is written to the files periodic/Na\_chain\_qc.dat and defected/Na\_chain\_dos.dat, respectively. Compare the quantum conductance of the periodic (bulk) calculation with the defected (LCR) calculation. Your plot should look like Fig. 7.

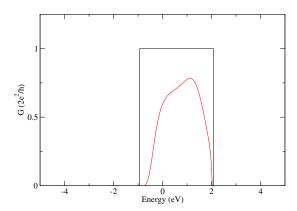


Figure 7: Quantum conductance of periodic Sodium chain (black) compared to that of the defected Sodium chain (red).

# 15: (5,0) Carbon Nanotube – Transport properties

Note that these systems require reasonably large-scale electronic structure calculations.

#### **Bulk Transport properties**

- Outline: Obtain the quantum conductance of a pristine single-walled carbon nanotube
- Directory: examples/example14/periodic
- Input Files
  - cnt.scf The PWSCF input file for ground state calculation
  - cnt.nscf The PWSCF input file to obtain Bloch states for the conduction states
  - cnt.pw2wan  $Input \ file \ for \ pw2wannier90$
  - cnt.win The wannier90 input file

First we consider a single unit cell, with 10 k-points. With transport\_mode = bulk we compute the transport properties of a pristine, infinite, periodic (5,0) carbon nanotube. Later, we will compare the quantum conductance of this system with a defected nanotube.

- 1. Run PWSCF and wannier90.
- 2. The quantum conductance and density of states are written to the files cnt\_qc.dat and cnt\_dos.dat, respectively.

#### LCR transport properties – Defected nanotube

- Outline: Use the automated LCR routine to investigate the effect of a single silicon atom in a infinite (5,0) carbon nanotube.
- Directory: examples/example15/defected

- Input Files
  - cnt+si.scf The PWSCF input file for ground state calculation
  - cnt+si.nscf The PWSCF input file to obtain Bloch states for the conduction states
  - cnt+si.pw2wan Input file for pw2wannier90
  - cnt+si.win The wannier90 input file

In this calculation an 11-atom supercell is used with a single silicon substitutional defect in the central unit cell. The supercell is chosen so that is conforms to the 2c2 geometry (see User Guide for details) with principal layers set to be two unit cells long.

- 1. Run PWSCF and wannier90. Again these are large calculations, progress can be monitored by viewing respective output files.
- 2. The quantum conductance is written to cnt+si\_qc.dat. Compare the quantum conductance with the periodic (bulk) calculation. Your plot should look like Fig. 8.

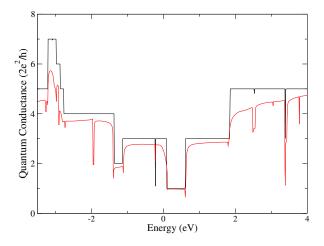


Figure 8: Quantum conductance of infinite pristine nanotube (black) compared to that of the infinite nanotube with the substitutional silicon defect (red).

#### Further ideas

- Set hr\_plot = true in the bulk case. Consider the magnitude of Hamiltonian elements between Wannier functions in increasingly distant unit cells. Are two unit cell principal layers really large enough, or are significant errors introduced?
- Does one unit cell either side of the defected unit cell shield the disorder so that the leads are ideal? Does the quantum conductance change if these 'buffer' regions are increased?

# 16: Silicon – Boltzmann transport

• Outline: Obtain MLWFs for the valence and low-lying conduction states of Si. Calculate the electrical conductivity, the Seebeck coefficient and the thermal conductivity in the constant relaxation time approximation using the BoltzWann module.

- Directory: examples/example16/
- Input Files
  - Si.scf The PWSCF input file for ground state calculation
  - Si.nscf The PWSCF input file to obtain Bloch states on a uniform grid
  - Si.pw2wan Input file for pw2wannier90
  - Si.win The wannier90 and postw90 input file

Note the first five steps in the following are the same of Example 11. If you have already run Example 11 (in particular, the section "Valence + Conduction States") you can start from those files and continue from point 6, after having added the BoltzWann flags to the input file.

Note that in the following, steps 1, 2, 4 and 6 can be possibly run in parallel on multiple processors (using mpirun), while steps 3 and 5 must be run in serial.

- Run PWSCF to obtain the ground state of silicon pw.x < Si.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid. Details on the disentanglement procedure are discussed in Example 11.

```
pw.x < Si.nscf > nscf.out
```

- 3. Run wannier90 to generate a list of the required overlaps (written into the Si.nnkp file). wannier90.x -pp Si
- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the Si.mmn and Si.amn files).
  pw2wannier90.x < Si.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs.

```
wannier90.x Si
```

Inspect the output file Si.wout and check if the convergence was reached both in the disentanglement and in the wannierisation steps (as discussed in further detail in Example 11). You may also want to plot the Wannier functions and the interpolated band structure.

6. Run postw90 to calculate the transport coefficients. postw90.x Si (serial execution) mpirun -np 8 postw90.x Si (example of parallel execution with 8 MPI processes)

Inspect the output file Si.wpout. It summarizes the main details of the calculation (more details can be obtained by setting a larger value of the iprint flag). Check if no warnings are issued. Note that if no special flags are passed to BoltzWann, it assumes that the ab-initio calculation did not include magnetization effects, and thus it sets to 2 the number of electrons per state.

Note also that the value of the relaxation time  $\tau = 10$  fs in the example is set only as a representative value; note also that only the electrical and thermal conductivity depend on  $\tau$ , while the Seebeck coefficient is independent of  $\tau$ .

Using your favourite plotting program, plot the Si\_boltzdos.dat file to inspect the DOS.

Using your favourite plotting program, plot columns 1 and 3 of the Si\_seebeck.dat file to inspect the  $S_{xx}$  component of the Seebeck coefficient as a function of the chemical potential  $\mu$ , at T = 300 K.

#### Further ideas

- Change the interpolation to a  $60 \times 60 \times 60$  mesh and run again postw90 to check if the results for the transport properties are converged.
- Change the Si.win input file so that it calculates the transport coefficients for temperatures from 300 to 700 K, with steps of 200 K. Rerun postw90 and verify that the increase in execution time is neglibile (in fact, most of the time is spent to interpolate the band structure on the k mesh).

Plot the Seebeck coefficient for the three temperatures T=300 K, T=500 K and T=700 K. To do this, you have to filter the Si\_seebeck.dat to select only those lines where the second column is equal to the required temperature. A possible script to select the  $S_{xx}$  component of the Seebeck coefficient for T=500 K using the awk/gawk command line program is the following:

Then, you can plot columns 1 and 2 of the output file Si\_seebeck\_xx\_500K.dat.

• Try to calculate the Seebeck coefficient as a function of the temperature, for a n-doped sample with, e.g.,  $n = 10^{18}$  cm<sup>-3</sup>. Note that to this aim, you need to calculate consistently the value  $\mu(T)$  of the chemical potential as a function of the temperature, so as to reproduce the given value of n. Then, you have to write a small program/script to interpolate the output of BoltzWann, that you should have run on a suitable grid of  $(\mu, T)$  points.

# 17: Iron – Spin-orbit-coupled bands and Fermi-surface contours

Note: It is recommended that you go through Example 8 first (bcc Fe without spin-orbit).

Note: This example requires a recent version of the pw2wannier90 interface.

- Outline: Plot the spin-orbit-coupled bands of ferromagnetic bcc Fe. Plot the Fermi-surface contours on a plane in the Brillouin zone.
- Directory: examples/example17/
- Input files
  - Fe.scf The PWSCF input file for ground state calculation
  - Fe.nscf The PWSCF input file to obtain Bloch states on a uniform grid
  - Fe.pw2wan The input file for pw2wannier90

- Fe.win The wannier90 and postw90 input file

Note that num\_wann =18 in Fe.win, but only nine trial orbitals are provided. The line

```
spinors = true
```

tells wannier90 to use in step 3 below the specified trial orbitals on both the up- and down-spin channels, effectively doubling their number.

- Run PWSCF to obtain the ferromagnetic ground state of iron<sup>2</sup> pw.x < Fe.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < Fe.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the Fe.nnkp file) wannier90.x -pp Fe
- 4. Run pw2wannier90 to compute:
  - The overlaps  $\langle u_{n\mathbf{k}}|u_{m\mathbf{k}+\mathbf{b}}\rangle$  between spinor Bloch states (written in the Fe.mmn file)
  - The projections for the starting guess (written in the Fe.amn file)
  - The spin matrix elements  $\langle \psi_{n\mathbf{k}} | \sigma_i | \psi_{m\mathbf{k}} \rangle$ , i = x, y, z (written in the Fe.spn file)

```
pw2wannier90.x < Fe.pw2wan > pw2wan.out
```

5. Run wannier90 to compute the MLWFs.

```
wannier90.x Fe
```

6. Run postw90 to compute the energy eigenvalues and spin expectation values. postw90.x Fe (serial execution)

Note: the routines for kpath=true and kslice=true are currently not parallelized over k-points.

In this example we use the module kpath to plot the energy bands coloured by the expectation value of the spin along [001]:

```
kpath = true
kpath_task = bands
kpath_bands_colour = spin
kpath_num_points=500
```

To plot the bands using gnuplot (version 4.2 or higher) issue

```
myshell> gnuplot
gnuplot> load 'Fe-bands.gnu'
```

<sup>&</sup>lt;sup>2</sup>Please note the following counterintiutive feature in pwscf: in order to obtain a ground state with magnetization along the *positive z*-axis, one should use a *negative* value for the variable starting\_magnetization.

or, using python,

```
myshell> python Fe-bands.py
```

Next we plot the Fermi-surface contours on the (010) plane  $k_y = 0$ , using the kslice module. Set kpath = false and uncomment the following instructions in Fe.win,

```
kslice = true
kslice_task = fermi_lines
kslice_fermi_level = [insert your value here]
kslice_corner = 0.0 0.0 0.0
kslice_b1 = 0.5 -0.5 -0.5
kslice_b2 = 0.5 0.5 0.5
kslice_2dkmesh = 200 200
```

taking the Fermi level value from scf.out. The energy eigenvalues are computed on a  $200 \times 200$  k-point grid covering the BZ slice. The lines of intersection between the Fermi surface and the (010) plane can be visualized with the gnuplot or python scripts generated at runtime,

```
myshell> gnuplot
gnuplot> load 'Fe-kslice-fermi_lines.gnu'
```

myshell> python Fe-kslice-fermi\_lines.py

The Fermi lines can be colour-coded by the spin expectation value  $\langle S_z \rangle$  of the states on the Fermi surface. Add to Fe.win the line

```
kslice_fermi_lines_colour = spin
```

and re-run postw90. The names of the gnuplot and python scripts generated at runtime are unchanged. (However, the plotting algorithm is different in this case, and the lines are not as smooth as before. You may want to increase kslice\_2dkmesh.)

#### Further ideas

or

• Redraw the Fermi surface contours on the (010) plane starting from a calculation without spinorbit coupling, by adding to the input files iron\_{up,down}.win in Example 8 the lines

```
kslice = true
kslice_task = fermi_lines
kslice_fermi_level = [insert your value here]
kslice_corner = 0.0 0.0 0.0
kslice_b1 = 0.5 -0.5 -0.5
kslice_b2 = 0.5 0.5 0.5
kslice_2dkmesh = 200 200
```

before running postw90,

```
postw90.x iron_up
postw90.x iron_dn
```

The python scripts generated at runtime draw the up- and down-spin Fermi lines on separate figures. To draw them together, use the script <code>iron\_updn-kslice-fermi\_lines.py</code> provided with Example 17 (or merge the two generated scripts). Compare the Fermi lines with and without spin-orbit, and note the spin-orbit-induced avoided crossings.

• In Example 8 we obtained MLWFs separately for the up- and down-spin channels of bcc Fe without spin-orbit. The Wannier-interpolated DOS was therefore automatically separated into minority and majority contributions. For a spinor calculation we can still spin-decompose the DOS, using

```
dos = true
spin_decomp = true
dos_kmesh = 25 25 25
```

The data file Fe-dos.dat created by postw90 contains the up-spin and down-spin contributions in the third and fourth columns,

```
myshell> gnuplot
gnuplot> plot 'Fe-dos.dat' u (-$3):($1-12.6285) w l,'Fe-dos.dat' u ($4):($1-12.6285)
w l
```

(You should replace 12.6285 with your value of the Fermi energy). An alternative approach is to project the DOS onto the up-spin and down-spin WFs separately. To find the DOS projected onto the up-spin (odd-numbered) WFs replace spin\_decomp = true with

```
dos_project = 1,3,5,7,9,11,13,15,17
```

and re-run postw90. This approach has the advantage that it does not require the Fe.spn file.

# 18: Iron – Berry curvature, anomalous Hall conductivity and optical conductivity

Note: This example requires a recent version of the pw2wannier90 interface.

- Outline: Calculate the Berry curvature, anomalous Hall conductivity, and (magneto)optical conductivity of ferromagnetic bcc Fe with spin-orbit coupling. In preparation for this example it may be useful to read Ref. [11] and Ch. 11 of the User Guide.
- Directory: examples/example18/
- Input files
  - Fe.scf The PWSCF input file for ground state calculation
  - Fe.nscf The PWSCF input file to obtain Bloch states on a uniform grid
  - Fe.pw2wan The input file for pw2wannier90

- Fe.win The wannier90 and postw90 input file

The sequence of steps below is the same of Example 17. If you have already run that example, you can reuse the output files from steps 1–5, and only step 6 must be carried out again using the new input file Fe.win.

- Run PWSCF to obtain the ground state of iron pw.x < Fe.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < Fe.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the Fe.nnkp file) wannier90.x -pp Fe
- 4. Run pw2wannier90 to compute the overlaps between Bloch states and the projections for the starting guess (written in the Si.mmn and Si.amn files)
  pw2wannier90.x < Fe.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs wannier90.x Fe
- 6. Run postw90
  postw90.x Fe (serial execution)
  mpirun -np 8 postw90.x Fe (example of parallel execution with 8 MPI processes)

#### Berry curvature plots

The Berry curvature  $\Omega_{\alpha\beta}(\mathbf{k})$  of the occupied states is defined in Eq. (11.18) of the User Guide. The following lines in Fe.win are used to calculate the energy bands and the Berry curvature (in bohr<sup>2</sup>) along high-symmetry lines in k-space.

```
fermi_energy = [insert your value here]
berry_curv_unit = bohr2
kpath = true
kpath_task = bands+curv
kpath_bands_colour = spin
kpath_num_points = 1000
```

After executing postw90, plot the Berry curvature component  $\Omega_z(\mathbf{k}) = \Omega_{xy}(\mathbf{k})$  along the magnetization direction using the script generated at runtime,

```
myshell> python Fe-bands+curv_z.py
```

and compare with Fig. 2 of Ref. [11].

In Example 17 we plotted the Fermi lines on the (010) plane  $k_y = 0$ . To combine them with a heatmap plot of (minus) the Berry curvature set kpath = false, uncomment the following lines in Fe.win,

```
kslice = true
kslice_task = curv+fermi_lines
kslice_corner = 0.0 0.0 0.0
kslice_b1 = 0.5 -0.5 -0.5
kslice_b2 = 0.5 0.5 0.5
kslice_2dkmesh = 200 200
```

re-run postw90, and issue

```
myshell> python Fe-kslice-curv_z+fermi_lines.py
```

Compare with Fig. 3 in Ref. [11]. Note how the Berry curvature "hot-spots" tend to occur near spin-orbit-induced avoided crossings (the Fermi lines with and without spin-orbit were generated in Example 17).

## **Anomalous Hall conductivity**

The intrinsic anomalous Hall conductivity (AHC) is proportional to the BZ integral of the Berry curvature. In bcc Fe with the magnetization along  $\hat{\mathbf{z}}$ , the only nonzero components are  $\sigma_{xy} = -\sigma_{yx}$ . To evaluate the AHC using a  $25 \times 25 \times 25$  k-point mesh, set kslice = false, uncomment the following lines in Fe.win,

```
berry = true
berry_task = ahc
berry_kmesh = 25 25 25
```

and re-run postw90. The AHC is written in the output file Fe.wpout in vector form. For bcc Fe with the magnetization along [001], only the z-component  $\sigma_{xy}$  is nonzero.

As a result of the strong and rapid variations of the Berry curvature across the BZ, the AHC converges rather slowly with k-point sampling, and a  $25 \times 25 \times 25$  does not yield a well-converged value.

- Increase the BZ mesh density by changing berry\_kmesh.
- To accelerate the convergence, adaptively refine the mesh around spikes in the Berry curvature, by adding to Fe.win the lines

```
berry_curv_adpt_kmesh = 5
berry_curv_adpt_kmesh_thresh = 100.0
```

This adds a  $5 \times 5 \times 5$  fine mesh around those points where  $|\Omega(\mathbf{k})|$  exceeds 100 bohr<sup>2</sup>. The percentage of points triggering adaptive refinement is reported in Fe.wpout.

Compare the converged AHC value with those obtained in Refs. [7] and [11].

The Wannier-interpolation formula for the Berry curvature comprises three terms, denoted D-D, D- $\overline{A}$ , and  $\overline{\Omega}$  in Ref. [7], and J2, J1, and J0 in Ref. [12]. To report in Fe.wpout the decomposition of the total AHC into these three terms, set iprint (verbosity level) to a value larger than one in Fe.win.

#### Optical conductivity

The optical conductivity tensor of bcc Fe with magnetization along  $\hat{\mathbf{z}}$  has the form

$$oldsymbol{\sigma} = oldsymbol{\sigma}^{\mathrm{S}} + oldsymbol{\sigma}^{\mathrm{A}} = \left(egin{array}{ccc} \sigma_{xx} & 0 & 0 \ 0 & \sigma_{xx} & 0 \ 0 & 0 & \sigma_{zz} \end{array}
ight) + \left(egin{array}{ccc} 0 & \sigma_{xy} & 0 \ -\sigma_{xy} & 0 & 0 \ 0 & 0 & 0 \end{array}
ight)$$

where "S" and "A" stand for the symmetric and antisymmetric parts and  $\sigma_{xx} = \sigma_{yy} \neq \sigma_{zz}$ . The dc AHC calculated earlier corresponds to  $\sigma_{xy}$  in the limit  $\omega \to 0$ . At finite frequency  $\sigma_{xy} = -\sigma_{yx}$  acquires an imaginary part which describes magnetic circular dichoism (MCD).

To compute the complex optical conductivy for  $\hbar\omega$  up to 7 eV, replace

with

add the line

$$kubo_freq_max = 7.0$$

and re-run postw90. Reasonably converged spectra can be obtained with a  $125 \times 125 \times 125$  k-point mesh. Let us first plot the ac AHC in S/cm, as in the lower panel of Fig. 5 in Ref. [11],

```
myshell> gnuplot
gnuplot> plot 'Fe-kubo-A_xy.dat' u 1:2 w 1
```

Comapare the  $\omega \to 0$  limit with the result obtained earlier by integrating the Berry curvature.<sup>3</sup>

Next we plot the MCD spectrum. Following Ref. [11], we plot  $\text{Im}[\omega \sigma_{xy}(\hbar \omega)]$ , in units of  $10^{29} \text{ sec}^{-2}$ . The needed conversion factor is  $9 \times 10^{-18} \times e/\hbar \simeq 0.0137$  (e and  $\hbar$  in SI units),

```
gnuplot> set yrange[-5:15]
gnuplot> plot 'Fe-kubo-A_xy.dat' u 1:($1)*($3)*0.0137 w 1
```

#### Further ideas

- Recompute the AHC and optical spectra of bcc Fe using projected s, p, and d-type Wannier functions instead of the hybridrized MLWFs (see Example 8), and compare the results.
- A crude way to model the influence of heterovalent alloying on the AHC is to assume that its only effect is to donate or deplete electrons, i.e., to shift the Fermi level of the pure crystal [13]. Recalculate the AHC of bcc Fe for a range of Fermi energies within ±0.5 eV of the true Fermi level. This calculation can be streamlined by replacing in Fe.win

<sup>&</sup>lt;sup>3</sup>The calculation of the AHC using berry\_task = kubo involves a truncation of the sum over empty states in the Kubo-Greenwood formula: see description of the keyword kubo\_eigval\_max in the User Guide. As discussed around Eq. (11.17) of the User Guide, no truncation is done with berry\_task = ahc.

```
with  \begin{aligned} &\text{fermi\_energy} = [\text{insert your value here}] \\ &\text{with} \\ &\text{fermi\_energy\_min} = [\text{insert here your value minus 0.5}] \\ &\text{fermi\_energy\_max} = [\text{insert here your value plus 0.5}] \end{aligned}  Use a sufficiently dense BZ mesh with adaptive refinement. To plot \sigma_{xy} versus \varepsilon_F, issue myshell> gnuplot gnuplot> plot 'Fe-ahc-fermiscan.dat' u 1:4 w lp
```

## 19: Iron – Orbital magnetization

Note: This example requires a recent version of the pw2wannier90 interface.

- Outline: Calculate the orbital magnetization of ferromagnetic bcc Fe by Wannier interpolation.
- Directory: examples/example19/
- Input files
  - Fe.scf The PWSCF input file for ground state calculation
  - Fe.nscf The PWSCF input file to obtain Bloch states on a uniform grid
  - Fe.pw2wan The input file for pw2wannier90
  - Fe.win The wannier90 and postw90 input file

The sequence of steps below is the same of Examples 17 and 18. If you have already run one of those examples, you can reuse the output files from steps 1–3 and 5. Steps 4 and 6 should be carried out again using the new input files Fe.pw2wan and Fe.win.

- Run PWSCF to obtain the ground state of iron pw.x < Fe.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < Fe.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the Fe.nnkp file). wannier90.x -pp Fe
- 4. Run pw2wannier90 to compute:
  - The overlaps  $\langle u_{n\mathbf{k}}|u_{m\mathbf{k}+\mathbf{b}}\rangle$  (written in the Fe.mmn file)
  - The projections for the starting guess (written in the Fe.amn file)
  - The matrix elements  $\langle u_{n\mathbf{k}+\mathbf{b_1}}|H_{\mathbf{k}}|u_{m\mathbf{k}+\mathbf{b_2}}\rangle$  (written in the Fe.uHu file)

```
pw2wannier90.x < Fe.pw2wan > pw2wan.out
```

5. Run wannier90 to compute the MLWFs. wannier90.x Fe

6. Run postw90 to compute the orbital magnetization.

```
postw90.x Fe (serial execution)
mpirun -np 8 postw90.x Fe (example of parallel execution with 8 MPI processes)
```

The orbital magnetization is computed as the BZ integral of the quantity  $\mathbf{M}^{\mathrm{orb}}(\mathbf{k})$  defined in Eq. (11.20) of the User Guide. The relevant lines in Fe.win are

```
berry = true
berry_task = morb
berry_kmesh = 25 25 25
fermi_energy = [insert your value here]
```

After running postw90, compare the value of the orbital magnetization reported in Fe.wpout with the spin magnetization in scf.out. Set iprint = 2 to report the decomposition of  $\mathbf{M}^{\text{orb}}$  into the terms J0, J1, and J2 defined in Ref. [12].

To plot  $M_z^{\text{orb}}(\mathbf{k})$  along high-symmetry lines set berry = false and uncomment in Fe.win the block of instructions containing

```
kpath = true
kpath_task = bands+morb
```

After running postw90, issue

```
myshell> python Fe-bands+morb_z.py
```

Compare with Fig. 2 of Ref. [12], bearing in mind the factor of -1/2 difference in the definition of  $\mathbf{M}^{\text{orb}}(\mathbf{k})$  (see Ch. 11 in the User Guide).

To plot  $M_z^{\text{orb}}(\mathbf{k})$  together with the Fermi contours on the (010) BZ plane set kpath = false, uncomment in Fe.win the block of instructions containing

```
kslice = true
kslice_task = morb+fermi_lines
```

re-run postw90, and issue

```
myshell> python Fe-kslice-morb_z+fermi_lines.py
```

 $M_z^{\text{orb}}(\mathbf{k})$  is much more evenly distributed in k-space than the Berry curvature (see Example 18). As a result, the integrated orbital magnetization converges more rapidly with the BZ sampling.

#### References

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