

Kinect and RGBD Images: Challenges and Applications

Leandro Cruz, Djalma Lucio, Luiz Velho
IMPA - VISGRAF Lab
Rio de Janeiro, Brazil
www.impa.br/~{lcruz, dlucio, lvelho}



Fig. 1. Kinect

Abstract—Kinect is a device introduced in November 2010 as an accessory of Xbox 360. The acquired data has different and complementary natures, combining geometry with visual attributes. For this reason, Kinect is a flexible tool that can be used in applications from several areas such as: Computer Graphics, Image Processing, Computer Vision and Human-Machine Interaction. In this way, the Kinect is a widely used device in industry (games, robotics, theater performers, natural interfaces, etc.) and in research.

We will initially present some concepts about the device: the architecture and the sensor. We then will discuss about the data acquisition process: capturing, representation and filtering. Capturing process consists of obtaining a colored image (RGB) and performing a depth measurement (D), with structured light technique. This data is represented by a structure called RGBD Image.

We will also talk about the main tools available for developing applications on various platforms. Furthermore, we will discuss some recent projects based on RGBD Images. In particular, those related to Object Recognition, 3D Reconstruction, Augmented Reality, Image Processing, Robotic, and Interaction.

In this survey, we will show some research developed by the academic community and some projects developed for the industry. We intend to show the basic principles to begin developing applications using Kinect, and present some projects developed at the VISGRAF Lab. And finally, we intend to discuss the new possibilities, challenges and trends raised by Kinect.

Keywords—Kinect, RGBD Images

I. INTRODUCTION

Kinect appeared on November 4, 2010, as an accessory to Xbox 360 Console. It is a device developed by the PrimeSense Company in collaboration with Microsoft. In January of 2012, more than 18 million units were sold. In February of 2012, a version for Windows was released.

Its announcement in 2009 caused great expectations in the Computer Graphics and Computer Vision academic communities. The product promised a new way to interact in games, completely based on gestures and voice (without any other type of control). Since it was presented, Kinect became a device widely used in industry (games, robotics, theater performers, natural interfaces, etc.) and in research.

The main idea of this survey is to present techniques and technologies used by Kinect and its applications in industry and research. We will discuss about the new possibilities introduced by Kinect, the main challenges and trends raised for this device.

Kinect has an RGB camera and an infrared (IR) emitter and camera. They are capable of capturing a colored image and depth of each pixel in the scene. These data contain visual and geometric informations of the scene. They are complementary and they allow us to do tasks that are difficult, if not impossible, when we use only images. Most of the image processing systems are based only on the color channels of the images. Nevertheless, others image attributes can be used for processing, for instance: depth, normal, luminance, etc. These



Fig. 2. Demos shown in Kinect launch: *Ricochet* (left), *Paint Party* (middle) and *Milo & Kate* (right).

attributes contain further information that allows implementing some procedures that are hard, when not impossible, using only colors. Accordingly, the information acquired by Kinect (RGB + Depth) has a structure that creates a new way to process images. In this survey, we will explore the new possibilities generated by this structure, called RGBD Image. An example of these possibilities is real time tracking of a human skeleton (a structure widely used on gesture-based interactions).

First, in Section II, we will present the birth and the launch of Kinect. Second, in Section III, we will show the Kinect architecture and its sensor. Third, in Section IV, we will discuss data capturing, representation and filtering. After, in Section V we will present some tools which could be used in developing of the applications using Kinect. There are several internet databases of RGBD Images. We will list some of this databases in Section VI. Beside of this, we will also discuss about some research (in Object Recognition, 3D Reconstruction, Augmented Reality, Image Processing, Robotic, and Interaction) developed using RGBD images, in Section VII. Finally, we will talk about some applications developed using Kinect, in Section VIII.

II. A BIT OF HISTORY

The depth sensor used in Kinect was developed by Zeev Zalevsky, Alexander Shpunt, Aviad Maizels and Javier Garcia, in 2005 [1]. Kinect was officially announced on June 1, 2009, under the name “Project Natal”, at E3 (Electronic Entertainment Expo). The name “Natal” was a reference to the Brazilian city Natal. One of the Microsoft directors of the project, Alex Kipman, is Brazilian and chose the city name, that means ‘birth’ in latin, to name the project.

Initially, the main functionality of the Kinect was to be a tool to the user to interact with Xbox 360 using gestures and spoken commands. For this reason, the sensor is capable of capturing data at 640x480 pixels in 30Hz. With the depth data, it is possible to obtain a skeleton of who is in front of the sensor. And with the skeleton, it is possible to define user gestures. The way how it is possible to infer user gestures using Kinect only was revealed in January 2010. In the case of launch, three demos were shown: *Ricochet*, *Paint Party* and *Milo & Kate*. The way how these demos were implemented was unknown and, because of this, caused great expectations. At E3, fifteen games for Xbox 360 that would be launched on November 2010 were announced. Figure 3 shows these games.

Ricochet is a game that has an avatar that copies the user moves. The goal is hitting virtual balls. *Paint Party* is a paint program. The user has a few brushes and fills to draw. *Milo & Kate* is the most complex demo shown in this occasion. In this demo, the user can interact with a virtual 10-year-old child (Milo) or a dog (Kate). This demo uses artificial intelligence to define the character gestures and answers.

In the Project Natal some games were adapted to use the Kinect control schemes to help evaluate usability. Two of these games were *Beautiful Katamari* and *Space Invaders Extreme*, which were demonstrated at the Tokyo Game Show in September 2009.

In the 60 first days after the launch, more than 8 millions of units were sold. Because of this, Kinect went to the Guinness Book as the “fastest selling consumer electronic device”. In January 2012, it was published that it had already sold more than 18 million units.

More recently, in June 2011, Microsoft released a SDK for Windows 7. In February 2012, it was launched the Kinect for Windows.



Fig. 3. The 15 first games released using the Kinect as control.



Fig. 4. Kinect device and chip.

A. Milo and Kate

The Project Milo (or Project Milo and Kate) was developed by Lionhead Studios to the Xbox 360 launch. It was a demo which never was released as a game. This application began as an “emotional AI” work to be introduced in some game. In this game, the user can interact with a 10-year-old child (Milo or Millie) and a dog (named Kate). The user and the character interact through a story.

The character has an AI structure and it responds to human spoken words, gestures or predefined actions in dynamic situations. It is capable to talk with the user through a procedural generation system which is constantly updating a built-in “dictionary”. In this way, it is capable of matching key words in talk with inherent voice-acting clips to simulate lifelike conversations.

This demo caused great expectations. It presented several very difficult tasks like human machine interaction based on gestures and spoken words.

B. Kinect Hacking

On the day of the launch Adafruit Industries announced that they would give \$1000,00 to the first person who gets a Kinect running on Windows, on another operating system. Just hours after the bounty announcement, Microsoft said it did not condone modification of the Kinect and would “work closely with law enforcement... to keep Kinect tamper-resistant”. And that evening a note appeared on the Adafruit blog: “Ok fine, the bounty is now double, \$2000,00”.

By Saturday 6 November, a hacker with the name AlexP had gained control of the Kinect’s motors. Microsoft tried to quash the news, saying the Kinect had not been hacked “in any way”. The hacking community took it as an affront. “This is silly, so now we’ve made it \$3k,” wrote Adafruit. Two days after AlexP posted a video that demonstrated his control of Kinect’s video and depth-sensing system, but refused to release

his code unless the community put together \$10.000,00 to fund his research.

While other hackers were still working on getting the Kinect working on the November 9, Héctor blew past everyone when Kinect was released in Spain on November 10th. At 10 am, he bought his Kinect. At 11 am, he caught up with the current level of work. At noon, he claimed victory, demonstrating video and depth data streaming from the device.

C. Tools Chronology

Hector Martin open sourced his libfreenect code and made it available on Github. The initial commit to OpenKinect/libfreenect was made on November 10th 2010.

About a month later, on December 9th, PrimeSense, the manufacturer of the PrimeSensor camera reference design used by Microsoft to create the Kinect, acknowledging the interest and achievements of the open source community, decided to open source its own driver and framework API (OpenNI), and to release binaries for their NITE skeletal tracking module and other applications.

On February 21, 2011 Microsoft announced that it would release a non-commercial Kinect software development kit for Windows in spring 2011, which was released for Windows 7 on June 16, 2011 in 12 countries. In March 2012, Microsoft announced that next version of the Kinect for Windows would be available in May 2012. Kinect for Windows 1.5 was released on May 21, 2012.

D. Awards

In 2011, Kinect won the MacRobert award for engineering innovation, for the work on machine learning on motion capture. In the same year, it won T3’s “Gadget of Year” and “Gaming Gadget of the year”. The Microsoft SDK was ranked second in “The Most Innovative Tech Products of 2011” at Popular Mechanics Breakthrough Awards ceremony.

III. THE KINECT DEVICE

In Kinect origin, the software technology was internally developed by Rare, a subsidiary of Microsoft Game Studios, and the depth camera technology by Israeli developer PrimeSense. Kinect provides a depth sensor, an RGB camera, an accelerometer, a motor and a multi-array microphone. And the PrimeSense Chip is Kinect processing core. Figure 4 shows the kinect components and chip architecture.

A. Depth Sensing System

It consists of the IR laser emitter and the IR camera. The IR laser emitter creates a known noisy pattern of structured IR light.

The IR camera operates at 30 Hz and pushes images with 1200x960 pixels. This images are downsampled to 640x480 pixels with 11-bits, which provides 2048 levels of sensitivity. Nevertheless, since the USB 2.0 has a small throughput, all kinect data (depth, RGB, sound and acelerometer) takes about 70% of single hub to transmit its data. Hence, there are few band to others devices (like mouse, keyboard, etc). And, because of this, it is not possible to use simultaneously two Kinects on the same hub.

The field of view in the system is 58 degrees horizontal, 45 degrees vertical, 70 degrees diagonal, and the operational range is between 0.8 meters (2.6 ft) and 3.5 meters (11 ft).

The depth sensing uses structured light method to measure the depth. A known pattern of dots is projected from the IR laser emitter. These dots are recorded by the IR camera and then compared to the known pattern. Any disturbances are known to be variations in the surface and can be detected as closer or further away.

B. RGB Camera

The RGB camera, which operates at 30 Hz, can push images at 640x480 pixels with 8-bit per channel. Kinect also has the option to switch the camera to high resolution, running at 10 fps at 1280x1024 pixels. The camera itself possesses a set of features including automatic white balancing, black reference, flicker avoidance, color saturation, and defect correction. The output of the RGB camera is bayered with a pattern of RG, GB.

C. Motor, Accelerometer and Microphones

Kinect has two inter-related and important systems inside: a method to tilt the Kinect head to up and to down, and an accelerometer. The head tilting is done by a motor with some gearing to drive the head up and down. The accelerometer is the way used by Kinect to determine what position the head is in.

An accelerometer is a device that measures acceleration. The accelerometer tells the system which way is down by measuring the acceleration due to gravity. This allows the system to set its head at exactly level and to calibrate to a value so the head can be moved at specific angles.

The microphone array features four microphone capsules and operates with each channel processing 16-bit audio at a sampling rate of 16 kHz.

IV. DATA ACQUISITION

The colored image is obtained by a RGB camera. The depth measurement is done using an infrared emitter and camera. The computation is done using structured light. Because Kinect uses infrared light it is an indoor device (since outdoor the depth measurement quality is affected). Another infrared problem is that some materials do not reflect well this light. Beside this, it is advised to stay about 1.8m away from Kinect, in the case of using it for interaction.

In this section, we will discuss the capturing process (mainly depth measurements with structured light method), the data representation and data filtering.

A. Capturing

As said, the depth measurement is done using a structured light technique. This approach consists in projecting a pattern of pixels in the scene and capturing the deformation of projection, that will allows us to compute the pixel distances (depths). It is necessary to calibrate the IR emitter and camera to perform this calculation (the distance between Kinect IR emitter and camera is 7.5cm). This calculation consists of a triangulation based in emitter, camera and pixel positions.

The pattern used in Kinect is a PrimeSense patent. Some people did some experiments to try understand better this one. It is known that the pattern is based on speckle of infrared lights. It is generated from a set of diffraction gratings, with special care to lessen the effect of zero-order propagation of a center bright dot.

For each pixel in the IR image, a small correlation window (9x9 or 9x7) is used to compare the local pattern at that pixel with the memorized pattern at that pixel and 64 neighboring pixels in a horizontal window. The best match gives an offset from the known depth, in terms of pixels: this is called disparity. The Kinect device performs a further interpolation of the best match to get sub-pixel accuracy of 1/8 pixel. Given the known depth of the memorized plane, and the

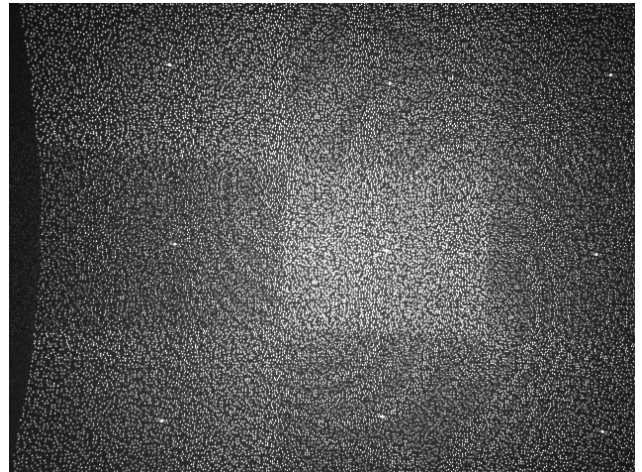


Fig. 6. Infrared pattern.



Fig. 5. Kinect provided data: RGB image (left), depth (middle) and infrared image (right).

disparity, an estimated depth for each pixel can be calculated by triangulation.

A pixel in the RGB image refers to a different point of the same pixel in depth image. It is because the difference of position of this two cameras (2.5cm). To correct for this difference it is necessary to calibrate these cameras. Some tools, like openNI, can be used to do this correction.

B. RGBD Representation

The most natural data representation obtained with Kinect is an RGBD Image, i.e., a combination of three color channels (one for each color: red, green and blue) and another for the depth data. The color channels can be represented by a matrix of integers of 8 bits (capable to represent 256 colors each one). The depth data can be represented by a matrix of integers of 16 bits. The depth device representation uses integer of 16 bits but transfer the data with integers of 11 bits, to save space. Kinect quantizes the depth measurement in a range from 1 to 10.000 values, representing the depth distance in millimeters (from 1 to 10.000mm).

The different nature of colors (visual) and depth (geometric) allow us to use the RGBD data to do some tasks that were difficult before, when not impossible. For instance, real time object segmentation, pose recognition (marker-free), etc.

Beside these attributes, we can add to the data representation visual information like: the alpha channel, luminance; or geometric informations like: normal, gradients, etc. The visual information could be calculated in the same way of image processing system. The geometric information could (in fact, should) be obtained using the depth data.

C. Filtering

The data obtained with Kinect has some problems. The RGB image and the depth data have both noises. Beside this, it is necessary to calibrate the cameras in order to merge correctly the RGB image with the depth data.

The image can be captured with 640x480 or 1280x1024 resolution. Both present color noise and aliasing. Analogously, the depth data has noise and there are pixels observed by the RGB camera that has not depth information (hole). This hole results from the difference of position of infrared emitter to the camera.

We can filter the RGB channels and the depth channel using Image Processing methods. In this case, we can think of the depth data as a monochromatic image. It is not the goal of this survey discuss these methods. On the other hand, we will focus on methods that exploit the geometric structure improved by the depth data.

A naive approach to reduce the noise in the depth data is to apply a Gaussian (or an average, or a median) filter. This approach is very simple, and analogous to image filtering. However, it could change low frequency structure (edges in the raw depth). Another approach is temporal filtering. In this case we can calculate for each pixel, an average of pixels in the time interval. If all objects in the scene and the camera were fixed, this process is optimal. It maintains low frequency informations and removes high frequency noise. A natural problem is, in almost all cases, an impossible assumption.

Both previous approaches do not guarantee hole filling. We can use techniques applied to fill holes in meshes. Assuming that the depth data is a continuous surface, a naive technique to fill holes is to calculate an average of its non null neighbors (the neighborhood radius could be fixed or adaptive).

An important approach to filter data is presented by Newcombe et. al. [2]. In this paper, the authors presented a technique to mapping complex and arbitrary indoor scenes in variable lighting conditions. It is a parallelizable technique that could be implemented using GPUs. Figure 7 shows that Kinect raw data has a lot of noise and holes. This technique obtains a better surface, without noise and holes. This approach



Fig. 7. Data with noise and hole filtered with KinectFusion technique.

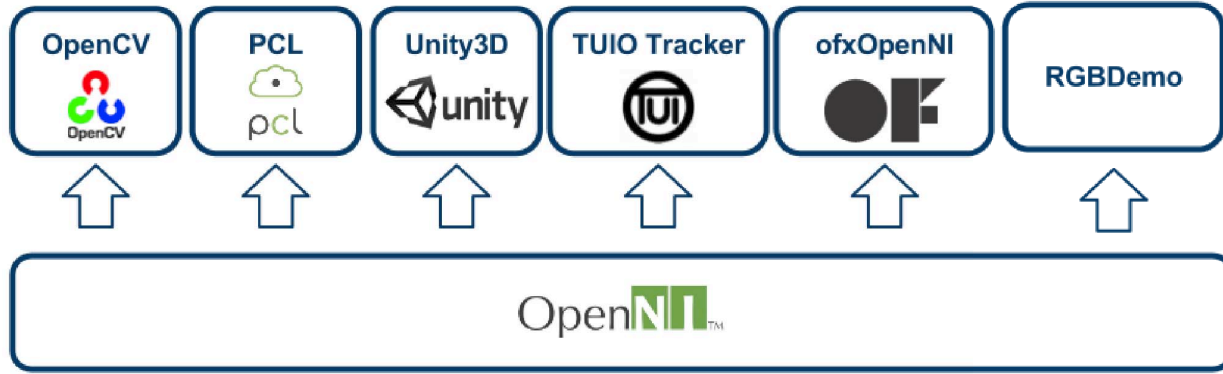


Fig. 8. Libraries that uses openNI

combines the depth data obtained in several images streamed from Kinect in a single global implicit surface model. This technique consists of tracking the camera and poses the depth data in model space. It uses a coarse-to-fine Iterative Closest Point (ICP) algorithm, combining the current depth frame with all other previous observed data. For each vertex, the result on processing depends of the number of frames captured which it contains. The filtering processing is based on the Signed Distance Function, shown by Curless and Levoy [3]. This function was introduced to join data provided from a scanner. Hernandez et. al. [4] proved that, the Bayesian Probabilistic guarantee the optimal surface reconstruction, since we use a simple Gaussian Noise Model, on the depth measurement, for vertices that are visible in all frames. It results in a simple algorithm of averaging weighted signed distance function into a global frame. In our case, we are only interested in the local version of this result, because the surface occlusion and SDF truncations.

First of all, they apply a bilateral filter to the raw depth, in each frame, to obtain a data with less noise, but preserving the discontinuities. Since the data is a regular grid it is very easy to construct a surface based on the pixels grid. With the filtered vertex, they construct a surface and they calculate the normal for each vertex (an average of all incident polygons normal, in the neighbourhood of the vertex). After this, they define a validity mask for the vertex. They compute a multi-scale representation of the surface measurement in the pyramid form (to vertex and normal map).

After surface measurement, this work applies the mapping and surface reconstruction. Each consecutive frame is fused into the single 3D reconstruction using the volumetric Truncated Signed Distance Function (TSDF) [3]. Figure 7 shows an example of object obtained by KinectFusion process. Observe that, although the original frame is noisy and has holes (left) the resulting surface is connex and smooth. The middle image is the normal map of the surface and the right image is the shaded using the Phong model.

V. TOOLS

The main functionalities used on applications and libraries development, for Kinect, are available on following projects: OpenNI, OpenKinect and Microsoft Kinect for Windows. Some of libraries which use these projects as their backend implementations are OpenCV, Unity3D, PCL, RGBDemo, openframeworks, etc.

We will talk more about the OpenNI project because this is the biggest and the most used. In particular, this tool was used on the VISGRAF Lab projects (see Section VIII).

A. OpenKinect

OpenKinect is an open source project that uses only open source libraries that enable Kinect to be used with Linux, Mac and Windows. The primary OpenKinect focus is the libfreenect software. The libfreenect software is the core library for accessing the Microsoft Kinect USB camera. The library supports access to RGB and depth images, Motors, Accelerometer and LED. The libfreenect library is written in C, but provides wrappers to several languages such as Python, ActionScript, C++, C# and Java.

The library is used as a base for some projects such as ofxKinect(openFrameworks addon) and RGBDemo. There are samples that use libfreenect as backend for PCL.

B. Microsoft Kinect for Windows

Kinect for Windows consists of Kinect hardware and Kinect for Windows SDK, which supports applications built with C++, C# or Visual Basic. The Kinect for Windows SDK offers several capabilities like seated skeleton recognition, skeleton tracking, facial tracking and speech recognition.

C. OpenNI

OpenNI (Open Natural Interaction) is an open source multi-language, cross-platform framework that defines an API for writing applications utilizing Natural Interaction. The main purpose is to make a standard API that enables communication with visual and audio sensors and visual and audio perception middleware.

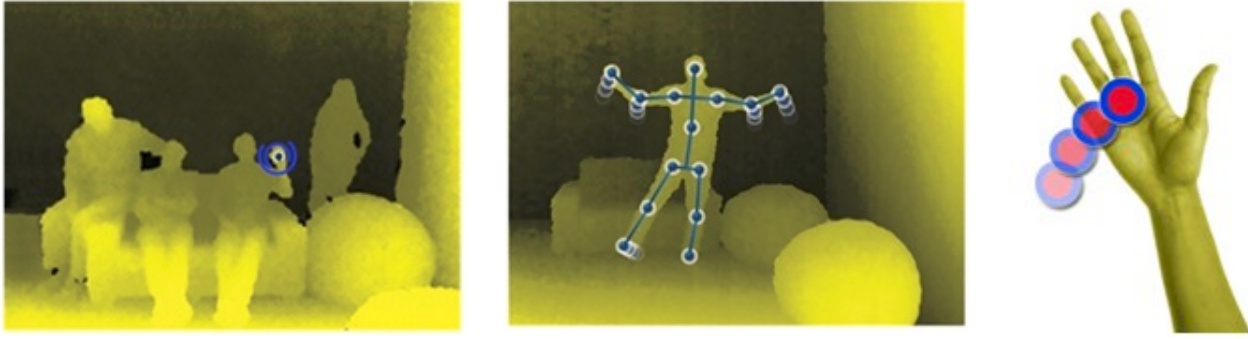


Fig. 9. Some openNI functionalities: hand location (left), skeleton (middle) and hand tracking (right).

The visual and audio sensors are the devices that “see” and “hear” the figures and their surroundings. The visual and audio perception middleware are software components that analyses and understands the audio and visual data that is recorded from the scene. OpenNI is composed of two set of APIs, one to be implemented by the sensor devices and one implemented by the middleware components. OpenNI’s API breaks the dependency between sensors and middleware, enabling applications to be written with no additional effort on top of different middleware modules. OpenNI’s API also enables middleware developers to write algorithms on top of raw formats, regardless with sensor devices had produced them, and offers sensor manufacturers the capability to build sensors that power any OpenNI compliant application. Hence, the applications can be written regardless of the sensor and middleware providers.

OpenNI is used as a backend for many projects such as OpenCV, Unity3D, TUIO tracker implementations, PCL, openFrameworks etc.

1) *Concepts*: The OpenNI Concepts can be seen as a three-layered view, with each layer representing an integral part. The top layer represents the software that implements natural interaction applications. The middle layer is the OpenNI itself, providing communication interfaces that interact with both the sensors and middleware components. The bottom layer are the hardware devices that capture the audio and visual elements.

2) *Modules*: The abstract layer supplied by OpenNI Framework provides the interface for both physical devices and middleware components. The API enables multiple components to be registered in the OpenNI Framework. These components are referred as modules, and are used to produce or process the sensory data. Sensor modules and middleware components are the modules currently supported.

The sensor modules have a 3D sensor, RGB camera, IR camera and Audio device (microphone or an array of microphones). There are four middleware components that processes sensory data, which are:

- full body analysis: it generates body related information (typically data structure that describes joints, orientation, center of mass, and so on)

- hand point analysis: it generates the location of a hand point
- gesture detection: it identifies predefined gesture (e.g. waving hand) and alerts the applications
- scene analyser: it analyses the image of the scene in order to produce such information as:
 - The separation between the foreground and background
 - The coordinate of the floor plane
 - The individual identification of the figures in the scene

3) *Production Nodes*: Production nodes are defined by OpenNI as a set of components that have a productive role in the data creation process required by natural interaction based applications. Each production node encapsulates the functionality that relates to the generation of a specific data type. However, the API of production nodes only defines the language. The logic of data generation must be implemented by the modules that plug into OpenNI.

Each production node is a standalone unit that generates a specific type of data and can provide it to any object, whether it is another production node or the application itself. The types of production nodes are Sensor and Middleware.

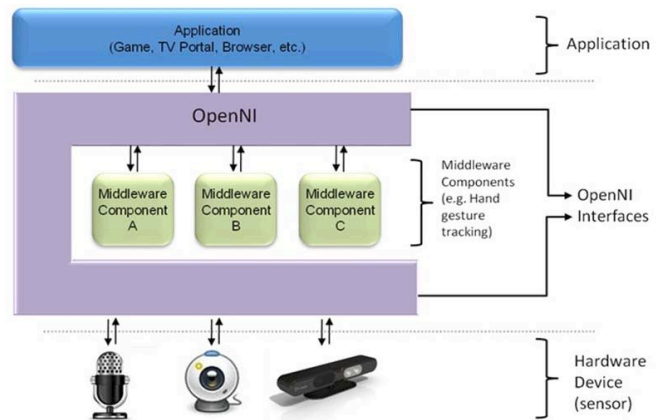


Fig. 10. Abstract Layered View.

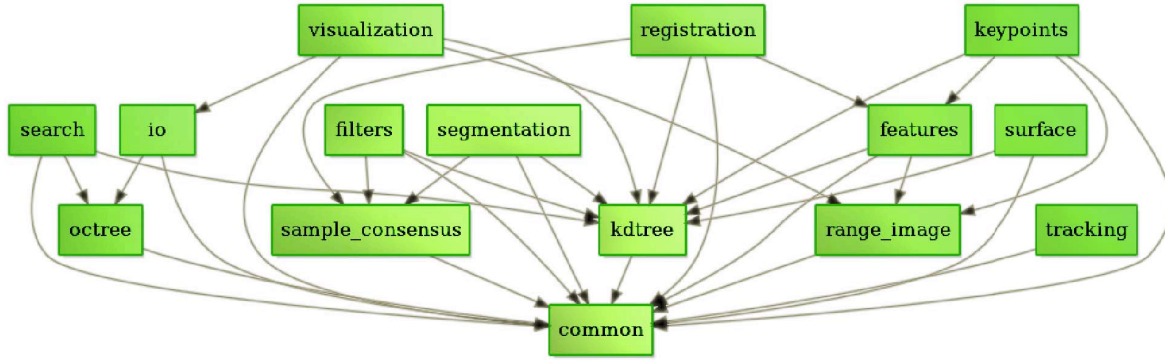


Fig. 11. PCL dependency graph.

Sensor related production nodes:

- Device: it represents a physical device (e.g. depth sensor or a RGB camera). The main role of this node is to enable device configuration.
- Depth Generator: it generates a depth-map.
- Image Generator: it generates colored image-maps.
- IR Generator: it generates IR image-maps
- Audio Generator: it generates an audio stream.

Middleware related production nodes:

- Gesture Alert Generator: it generates callbacks to the application when specific gestures are identified.
- Scene Analyser: it analyses a scene, including separation of the foreground from the background, identification of figures in the scene, and detection of the floor plane. The main output is labelled depth map, in which each pixel holds a label that states represents a figure or it is part of background.
- Hand Point Generator: it supports hands detection and tracking. This node generates callbacks that provide alerts when a hand point is detected, and when a hand point currently being tracked changes its location.
- User Generator: it generates a representation of a (full or partial) body in 3D scene.

4) *Production Chains*: The dependency of a node with each other to produce the required data for application is called Production Chains. Typically an application is only interested in the top of production node of each chain. This is the node that outputs the required data on a practical level, for example a hand point generator.

5) *Capabilities*: Capabilities reveal additional functionality, enabling providers to decide individually whether to implement an extension. A production node can be asked whether it supports a specific capability. If it does, those functions can be called for that specific node.

Each module can declare the capabilities it supports. Furthermore, when requesting enumeration of production chains, the application can specify the capabilities that should be supported as criteria. Only modules that support the requested capability are returned by the enumeration.

Supported capabilities:

- Alternative View: it enables any type of map generator (depth, image, IR) to transform its data to appear as if the sensor was placed in another location.
- Cropping: it enables a map generator (depth, image, IR) to output a selected area of the frame as opposed to the entire frame.
- Frame Sync: it enables two sensors producing frame data (for example, depth and image) to synchronize their frames so that they arrive at the same time.
- Mirror: it enables mirroring of the data produced by a generator.
- Pose Detection: it enables a user generator to recognize when the user is posed in a specific position.
- Skeleton: it enables a user generator to output the skeletal data of the user. This data includes the location of the skeletal joints, the ability to track skeleton positions and the user calibration.
- User Position: it enables a Depth Generator to optimize the output depth map that is generated for a specific area of the scene.
- Error State: it enables a node to report that it is in error status.
- Lock Aware: it enables a node to be locked outside the context boundary.
- Hand Touching FOV Edge: it alerts when the hand point reaches the boundaries of the field of view.

6) *Licensing*: OpenNI provides a simple licensing mechanism that can be used by modules and applications. A license is composed of a vendor name and a license key. Vendors who want to use this mechanism can utilize their own proprietary format for the key.

The license mechanism is used by modules, to ensure that they are only used by authorized applications.

7) *Framework Utilities*: Some OpenNI utilities are:

- USB access abstract layer
- Basic data type implementation (list, hash and so on)
- Log and dump system
- Memory and performance profiling
- Events
- Scheduling of tasks

D. PCL

The Point Cloud Library (PCL) is a large scale open project for 2D/3D images and point cloud processing. PCL presents an advanced and extensive approach to the subject of 3D perception, and it is meant to provide support for all the common 3D building blocks that applications need. The library contains algorithms for filtering, feature estimation, surface reconstruction, registration, model fitting, segmentation etc.

PCL is split into a series of smaller libraries to provide modularity, thus reducing computational and size constraints.

The following describes some libraries:

- filters: it implements data filters such as downsampling, outlier removing, index extraction, projections, etc.
- features: it implements surface normals and curvatures, boundary point estimation, moment invariants, principal curvatures, PFH and FPFH descriptors, spin images, integral images, RIFT, RSD, VFH, SIFT on intensity data, etc.
- io: it implements I/O operations such as writing/reading point cloud data files etc.
- segmentation: it implements cluster extraction, model fitting, polygonal prism extraction.
- registration: it implements point cloud registration methods such as ICP, etc
- keypoints: it implements different keypoint extraction methods that can be used as a preprocessing step to decide where to extract feature descriptors.
- range image: it implements supports for range images created from point cloud datasets.

E. Comparing tools

The Table 1 shows a comparison between some tools that could be used to access and process Kinect data.

TABLE 1

	OpenNI	libfreenect	MS Kinect SDK	PCL
Skeleton	X		X	
Hand location	X			
Face tracking			X	
Body gesture recognition	X		X	
Hand gesture recognition	X			
Scene analyzer	X		X	
Speech recognition			X	
3D reconstruction				X
Filtering				X
Multi-device	X			X
Camera calibration	X		X	
Motor control		X		
Multi-platform	X	X		X

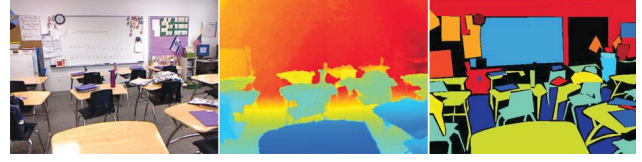


Fig. 12. An instance of NYU RGBD dataset.

VI. RGBD DATABASE

We said that the depth information improves new possibilities in image processing and computer vision. Although, a drawback is to capture this data. This is not a big problem for two reasons: Kinect is a low cost device, and there are several RGBD datasets available on Internet. For instance, we can cite the NYU Depth Dataset [5], RGB-D Object Dataset [6], Cornell-RGBD-Dataset [7], among others [8], [9], [10]. With this data, it is possible to do a lot of research (about RGBD Image and Video Processing, Pattern Recognition, etc.) even if the person has not access to a Kinect. In general, each dataset was constructed with an specific goal, and besides of this they have specific features.

The NYU Depth Dataset [5], in its second edition, is a database of indoor scenes. The data consists of a colored image, a depth image and a labeled image. The last one is an image with dense pixel clusters, each one referred to an object in the scene. The main goal of the research that motivates this dataset is the work by Sberman et. al. [11] to segment indoor scene. Figure 12 shows a data instance: the colored image (left), the depth (middle) and the labels (right).

The RGB-D Object Dataset [6] shows 300 common household objects, captured from a short distance, placed in a turntable. These objects are organized in 51 categories. For each object, there are captured instances at three different heights and different angles with horizon. Each class is composed by different objects (for instance, a glass class is com-

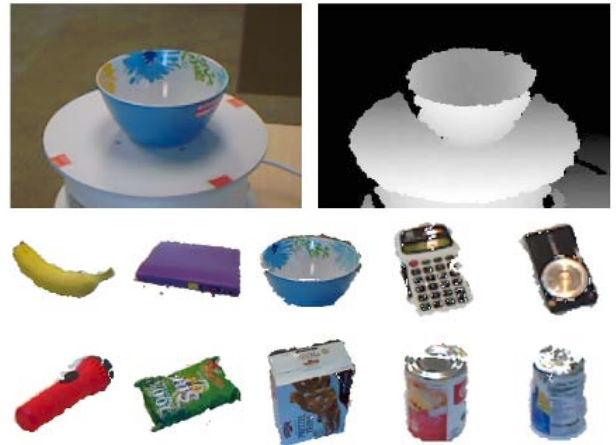


Fig. 13. Instances of the Washington RGB-D Object Dataset.

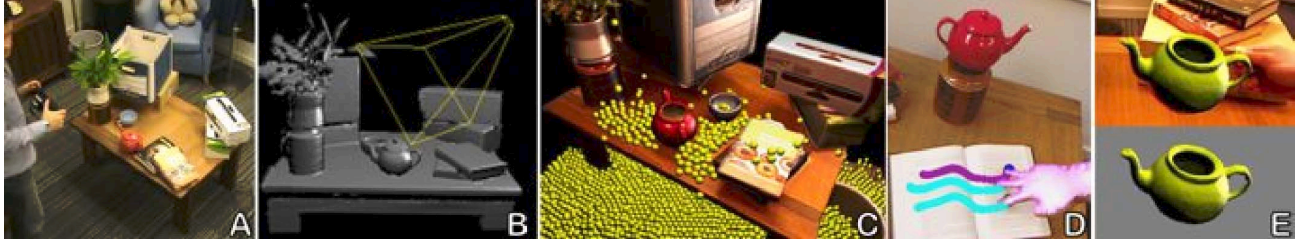


Fig. 14. KinectFusion Applications.

posed by instances on different viewpoint of several glasses). Each instance has an RGB image and the depth. Beside of these 300 objects there are 8 annotated videos of indoor natural scenes. This dataset was developed by Computer Science and Engineering of University of Washington and Intel Labs Seattle it was used in several works [12], [13], [14], [15], [16], [17], [18], [19], [20]. Figure 13 shows an example of an instance of a tea-cup and some segmented objects.

Another database is Cornell-RGBD-Dataset [7]. This dataset is part of the Personal Robotics Project, developed by the Robot Learning Lab from Cornell University. It is composed by 24 labeled office scenes and 28 labeled home scenes. This data is priority oriented to scene understanding and robotic navigation [21], [22], [23].

VII. RGBD RESEARCHES

A. 3D Reconstruction

3D scanning has been a very popular task in the last years. There are several technologies that could be used to capture the geometry of an object (or a scene), such that: LIDAR, time of flight, stereo cameras, and structured light. Nevertheless, a scanner is an expensive and a big machine. On the other hand, Kinect is a low cost and handheld device capable of capturing, in real time, geometry and colors of a scene. Naturally, there is a tradeoff. Kinect data resolution is typically 640x480. It is lower than most of the scanners. However, it is enough for several applications. Furthermore, we can infer better data from the captured one, i.e., reconstructing a surface from the depth data.

3D reconstruction is a task that has motivated much research in the last years. KinectFusion [2], [24] is a 3D reconstruction project that creates a dense surface, without artifacts, from a set of Kinect frames. We can apply this 3D model in several applications in Augmented Reality, Robotic Navigation, Image Processing, etc.

The two main contributions of the work by Newcombe et. al. [2] is dense surface mapping and camera tracking. This work creates a dense surface fusing the live depth frame to the already construct 3D model. The sensor tracking relative to global model uses a coarse-to-fine Iterative Closest Point (ICP) [25], [26] to calculate the 6 degrees of freedom of the camera. The surface mapping is done using a Signed Distance Function [3].

This method consists of four steps:

- **Surface Measurement:** creation of vertex and normal map pyramid of the depth that comes from Kinect;
- **Surface Reconstruction Update:** The fusion of current frame with global model already reconstructed;
- **Surface Prediction:** Closing loop between mapping and localization by tracking the position of the current frame against the global model;
- **Sensor Pose Estimation:** camera tracking.

Izadi et. al. [24] shows how to implement the KinectFusion procedures using generic programming in the GPU. It enables a real-time 3D reconstruction system.

The KinectFusion algorithm to handheld scanning scenes with Kinect this is available in PCL library.

B. Augmented Reality

The depth data can also be used to help the creation of systems of Augmented Reality (AR). Using this data it is possible to construct a marker-free application to AR. The 3D scene model obtained by KinectFusion is an example of this help.

The Figure 14 shows three examples of Augmented Reality applications developed using the KinectFusion system. In the first example, the authors captured a scene (Figure 14A shows the scene and Figure 14B shows its 3D model and the camera position) and added a lot of artificial spheres (Figure 14C). These spheres interact among themselves and with the real objects in scene. After reconstruct the scene model, an user could interact with this elements. The Figure 14D shows an example of multitouch system. In this example, they tracked the user hand and mapping his finger track on the object surface. On the last example, the user touched in an object (teapot) and the system creates an image with only the respective segment (Figure 14E).

Another Augmented Reality example, using Kinect, is the MirageTable [27]. This work combines a depth camera with an stereoscopic projector to create an augmented reality system in a curved screen. The depth camera tracks the user position (mainly eyes position) and gestures.

It is a complete immersive system, because combines gestures interaction with stereoscopic visualization. The authors show how to use the MirageTable technique in applications like games, virtual 3D model creation, and 3D teleconferencing experience.

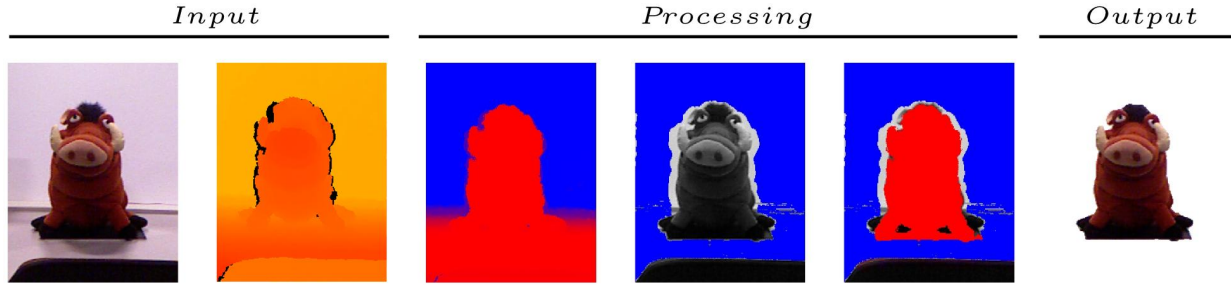


Fig. 15. The RGBD image segmentation pipeline.

C. Image Processing

A difficult task in Image Processing is Image Segmentation (using only the color information). Adding the depth channel, this problem becomes simpler because we can define the segment boundary like the edges on depth data. However, as already mentioned, the depth data has several problems, such as noise and holes. In particular, in the silhouettes of these objects, these problems are very recurring.

If we have a good method to fix the depth problems, the segmentation task becomes easy. Otherwise, we can use the depth data to help traditional segmentation methods like Intelligent Scissor or Graph Cut.

Prada et. al. [28] modify the Grab Cut method to use the depth data. This method is based in some priors that are combined in the method. Figure 15 shows the pipeline of this work. It shows the input data (RGB color and depth), the priors processing (Depth Range Estimation, Background Planar Surfaces and foreground and background seeds) and the output (segmented image). Another segmentation work was introduced by Silberman et. al. [11].

With segmentation, we can apply effects like filtering in certain regions. This filtering could simulate camera focus, or could be style filters, among others. The Figure 16 shows an example of image which only the boy is on focus.

D. Interaction

The main motivation on Kinect creation was to do a device to control the Xbox 360 with natural gestures, ie, an interaction tool. Shotton et. al. [29] to infer the skeleton of persons in front of Kinect. The Figure 17 shows the depth data comes from Kinect, the identified body parts and its joint. The authors use an object recognition approach, designing an intermediate body parts representation. They describe their dataset as large and highly varied training and argue that because of this features they can define a classifier to estimate a body parts invariant to pose, body shape, clothing, etc. The algorithm presented by Shotton et. al. is used on Kinect gaming platform.

In this method, each depth frame is segmented into a dense probabilistic body part labeling. Each part is defined to be near of skeletal joints. Each pixel is separately evaluated, avoiding a combinatorial search over the different body joints.

Shotton et. al. train a deep randomized decision forest classifier. They used hundreds of thousands of training images

to avoid overfitting. They use a 3D translation invariant method, from a simple, discriminative depth comparison image features. As this method is calculated for each pixel, it could be parallelized. An optimized implementation runs under 200 fps on the Xbox 360 GPU.

With the skeleton it is possible to define user gestures. Nowadays, we have not yet an official body gestures vocabulary, like we have for other natural interfaces (like multitouch device). This is a challenge for developers (that have always to think in gestures they will define) and users (that have always to learn new gestures). Nevertheless, the applications using body gestures try to use gestures that are natural on user life.

Another interesting possibility of skeleton use is to do an user head tracking. It provides new possibilities on visualization.



Fig. 16. Image Effect.

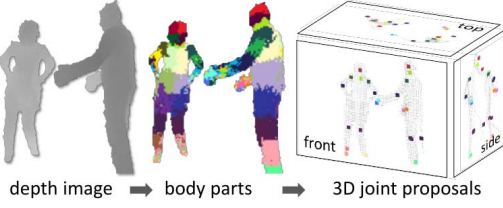


Fig. 17. Human Pose Recognition.

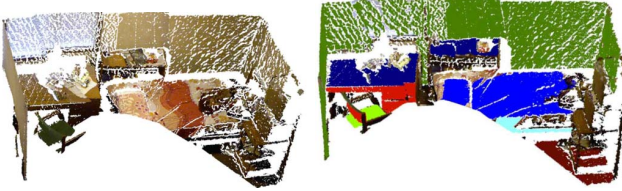


Fig. 18. The input point cloud (left) and the predicted labels (right).

E. Robotic

Kinect has been also used in robotic navigation. If we have an environment model, we can use it to control the robot moves. The KinectFusion [24] shows a technique to use this data with the Simultaneous Localisation and Mapping (SLAM) method.

A more complex possibility is to recognize the objects in visible scene to decide the new robot action [21]. The Figure 18 shows an example of points cloud labeling obtained by this method.

It enables more than navigation, but the robot can interact with environment objects. Jiang et al [23] introduced a way to a robot learn how to place new objects in an environment.

F. Object Recognition

A lot of works were developed using the depth data to help the object recognition task. Lai et. al. [17] use a sliding window detectors trained from object views to define a probabilities of the window's pixels belongs to objects classes previously defined. They use a Markov Random Field over voxels (the scene model is represented by voxels), combining cues from view-based detection and 3D shape, to label the scene.

Spinello and Arras [30] introduced a work to detect people in RGBD data. The authors combine Histogram of Oriented Depths and Histogram of Oriented Gradients to create a probabilistic function to detect people. This method locally encodes the direction of depth changes. The Figure 20 shows an example of RGBD image with detected peoples. The authors achieve a rate of 85% of success.

Bo et. al. [12] introduced an Unsupervised Feature Learning work. This method relies on hierarchical matching pursuit for RGBD data. It uses a sparse coding to learn hierarchical feature representation from raw RGBD data, provided from Kinect, in an unsupervised way.

VIII. APPLICATIONS

Although the main motivation of Kinect is its use on games of Xbox 360, it is not only limited to this kind of applications. There are several other possibilities of to use the Kinect: robot navigation and control, entertainment (like shows), and interaction (on other platforms besides Kinect).

We can cite some specific examples, such as, a police officer using gestures and word commands to remotely control a robot, exploring a building that may contain explosives. In the healthcare industry, you can envision a doctor gesturing to go through a series of X-rays. In a factory or any other environment where change management is critical, you can envision Kinect being used for simulations of specific tasks. An architect could use Kinect to spin virtual models and manipulate them with his hands.

So the Kinect can be used in various contexts. Enjoying this possibilities, at VISGRAF Lab, there were developed several applications, such as, Lambe-lambe 3D, Kinect Stereo Viewer, GrabCut+D, Feature track and detection, AC/DC Bot and INTEGRARTE ENTREGARTE.

A. VISGRAF Lab Projects

1) *Lambe-lambe 3D*: The project [31] is about use of the Kinect device as a 3D face scanner. The image captured by the device will be converted into a triangle mesh that is subsequently adjusted and applied some shading. Figure 19a shows a face scanned with this application.

2) *Kinect Stereo Viewer*: The project [32] goal is the implementation of a viewer who is able to show the data generated by Kinect (depth map and texture) combined in the form of a cloud of points in 3D stereo format, such as, anaglyphic, quad-buffer, vertical and horizontal split. The Figure 19b shows two visualization way.

3) *GrabCut+D*: This project [28] Prada creates an image segmentation application adding, besides the RGB data, the depth data to define three Grab-Cut like optimization methods. With the depth data, the author defines some priors that are combined on optimization problem, in each method. The Figure 19c shows an image segmented with this application.

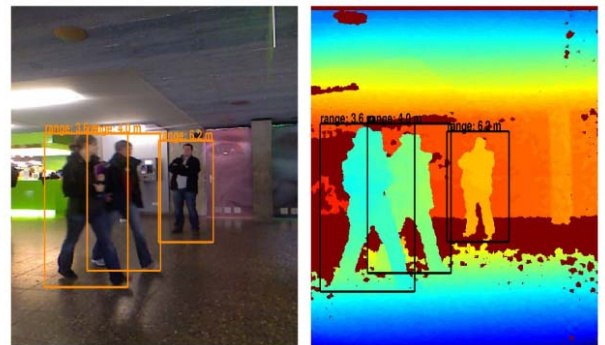


Fig. 20. People detection in RGB-D data.

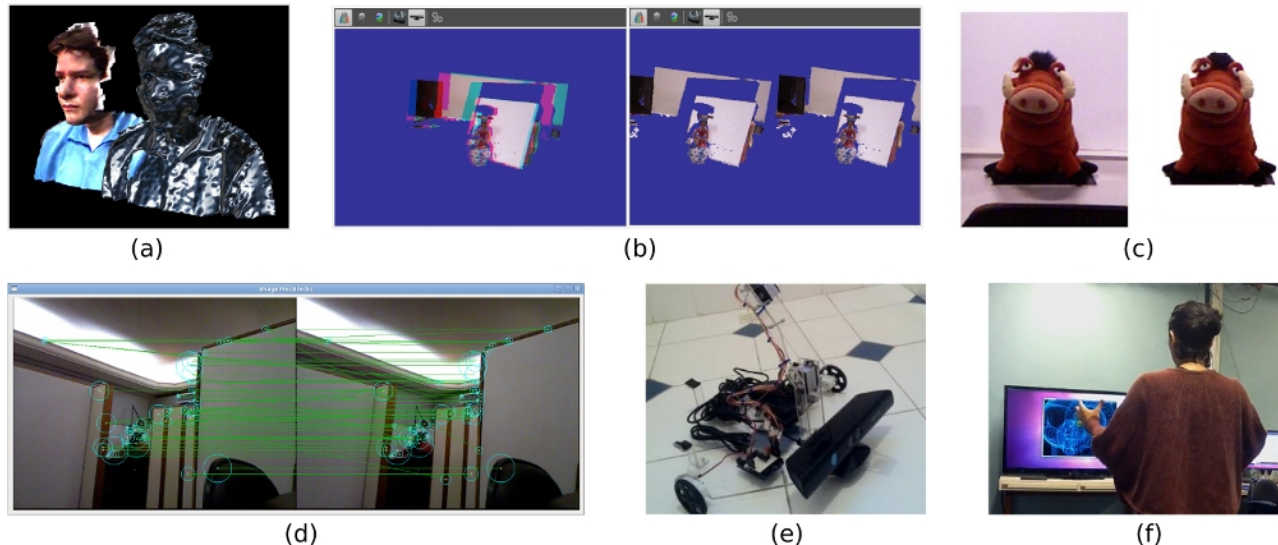


Fig. 19. Visgraf Lab applications: (a) Lambe-lambe 3D, (b) Kinect Stereo Viewer, (c) GrabCut+D, (d) Feature track and detection, (e) ACDC and (f) Integrarte Entregarte.

4) *Feature track and detection: detect and track features in a RGBD video:* The project [33] goal is obtain some features on RGBD images. This features are defined using methods like SIFT and SURF. Before defined, the author track this features along an RGBD Video. The Figure 19d shows some features tracked along two different frames.

5) *ACDC:* In this project [34] was developed a robot that can move around a flat floor using motorized wheels, and by analyzing the image that provides him a Kinect camera, can recognize the 3D environment around. This robot provides a mechanic arm that let him to connect him to power supplies in the wall. To do that it need to analyze the image from the camera, and recognize these power connectors in the space. The Figure 19e shows the robot created, using Kinect, on this project.

6) *INTEGRARTE ENTREGARTE:* This project [35] explores the body and its possible visual and audible outspread. The person moves were converted in visual shapes and sounds, from different body relations. The application was implemented with Processing programming language, and SimpleOpenNI library, which is used to access the data provided by Kinect. The Figure 19 the author using the application.

IX. OTHER DEVICES

There are other devices with the same purpose of Kinect, such as Asus XTion PRO and 3D PrimeSense Sensor. Before these devices, many others devices have been used to generate 3D point clouds. The devices are classified as Time-Of-Flight (TOF) cameras, 2D and 3D scanning laser, Structured light scanner, Forward Looking Infrared (FLIR) cameras, Stereo-on-a-chip (STOC) cameras and Mobile GeoSpatial Imaging System.

Figure 21 shows some of these devices.

X. CONCLUSION

Along this survey, we introduced the Kinect. This device was developed to be a control tool to Xbox 360 Console. Besides its original goal (games), nowadays Kinect is used in industry and research.

This survey was motivated by recently developed research at VISGRAF Lab using Kinect. It began with an Image Processing course [36] and the projects developed by students.

In this survey, we discussed the Kinect architecture and sensor. We show that Kinect provides a depth sensor, an RGB camera, an accelerometer, a motor and a multiarray of microphones. The captured data is an RGB image and a depth measurement.

Unfortunately the Kinect depth has some problems like noise and holes. We presented some filtering approaches, from the naive solution to the more advanced filtering techniques.

There are some tools, such as OpenNI, libfreenect and Microsoft Kinect for Windows that make it easy developing applications for this device in various platforms. These help the development of many projects based on RGBD Images, besides games.

Despite the fact that Kinect is a device that was only recently launched (November 2010), this data already was used in several areas, such as 3D reconstruction, robotic, image processing, augmented reality, interaction, object recognition, etc. Beside these, we can cite its natural application on games (in particular, in the Xbox 360 console).

The main reason for the success of this device is the different nature of its data (visual and geometric). It opens new processing possibilities. The RGBD images enable to attach old problems with new approaches.

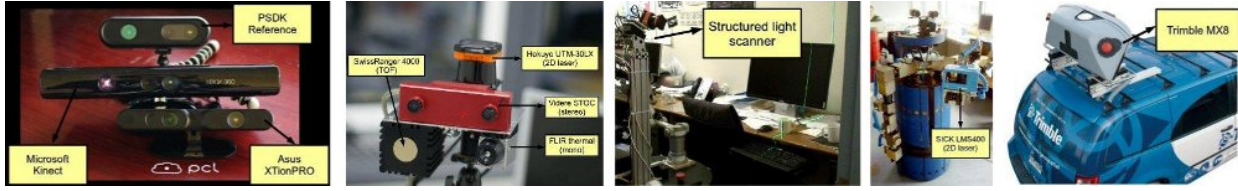


Fig. 21. Other devices.

ACKNOWLEDGMENT

We would like to thank the CNPq, for funding the first author of this article, and the VISGRAF Lab, at IMPA, by providing an environment for fruitful research.

REFERENCES

- [1] "The kinect patent - method and system for object reconstruction," 2005, <http://www.wipo.int/patentscope/search/en/WO2007043036>.
- [2] R. Newcombe, S. Izadi, O. Hilliges, D. Molyneaux, D. Kim, A. Davison, P. Kohli, J. Shotton, S. Hodges, and A. Fitzgibbon, "Kinectfusion: Real-time dense surface mapping and tracking." IEEE International Symposium on Mixed and Augmented Reality, 2011.
- [3] B. Curless and M. Levoy, "A volumetric method for building complex models from range images." ACM SIGGRAPH, 1996.
- [4] C. Hernandez, G. Vogiatzis, and R. Cipolla, "Probabilistic visibility for multi-view stereo," in *Computer Vision and Pattern Recognition*, 2007.
- [5] "Nyu depth dataset," http://cs.nyu.edu/~silberman/datasets/nyu_depth_v2.html.
- [6] "Rgb-d object dataset," <http://www.cs.washington.edu/rgbd-dataset/>.
- [7] "Cornell-rgbd-dataset," <http://pr.cs.cornell.edu/scenunderstanding/data/data.php>.
- [8] "B3do: Berkeley 3-d object dataset," <http://kinectdata.com/>.
- [9] "Iros 2011 paper kinect dataset," <http://projects.asl.ethz.ch/datasets/doku.php?id=kinect:iros2011kinect>.
- [10] A. Janoch, S. Karayev, Y. Jia, J. Barron, M. Fritz, K. Saenko, and T. Darrell, "A category-level 3-d object dataset: Putting the kinect to work." ICCV - WDCCV, 2011.
- [11] N. Silberman and R. Fergus, "Indoor scene segmentation using a structured light sensor." International Conference on Computer Vision - Workshop on 3D Representation and Recognition, 2011.
- [12] L. Bo, X. Ren, , and D. Fox, "Unsupervised feature learning for rgb-d based object recognition." ISER, 2012.
- [13] —, "Hierarchical matching pursuit for image classification: Architecture and fast algorithms." NIPS, 2011.
- [14] —, "Depth kernel descriptors for object recognition." Proceedings of the International Conference on Intelligent Robots and Systems, 2011.
- [15] L. Bo, K. Lai, X. Ren, , and D. Fox, "Object recognition with hierarchical kernel descriptors." Proceedings of the International Conference on Intelligent Robots and Systems, 2011.
- [16] L. Bo, X. Ren, , and D. Fox, "Kernel descriptors for visual recognition." NIPS, 2010.
- [17] K. Lai, L. Bo, X. Ren, , and D. Fox, "Detection-based object labeling in 3d scenes." Proceedings of the International Conference on Robotics and Automation, 2012.
- [18] —, "A scalable tree-based approach for joint object and pose recognition." AAAI, 2011.
- [19] —, "Sparse distance learning for object recognition combining rgb and depth information." ICRA, 2011.
- [20] —, "A large-scale hierarchical multi-view rgb-d object dataset." ICRA, 2011.
- [21] H. S. Koppula, A. Anand, T. Joachims, and A. Saxena, "Semantic labeling of 3d point clouds for indoor scenes." Proceedings of the Advances in Neural Information Processing Systems, 2011.
- [22] Y. Jiang, M. Stephen, and A. Saxena, "Efficient grasping from rgb-d images: Learning using a new rectangle representation." ICRA, 2011.
- [23] Y. Jiang, M. Lim, C. Zheng, and A. Saxena, "Learning to place new objects in a scene." International Journal of Robotics Research, 2012.
- [24] S. Izadi, R. Newcombe, D. Kim, O. Hilliges, D. Molyneaux, S. Hodges, P. Kohli, A. Davison, and A. Fitzgibbon, "Kinectfusion: Real-time dynamic 3d surface reconstruction and interaction." ACM SIGGRAPH, 2011.
- [25] S. Rusinkiewicz and M. Levoy, "Efficient variants of the icp algorithm." IEEE 3-D Digital Imaging and Modeling, 2001.
- [26] F. Pomerleau, S. Magnenat, F. Colas, M. Liu, and R. Siegwart, "Tracking a depth camera: Parameter exploration for fast icp." IEEE Intelligent Robots and Systems, 2011.
- [27] H. Benko, R. Jota, and A. Wilson, "Miratable: freehand interaction on a projected augmented reality tabletop." Conference on Human Factors in Computing Systems, 2012.
- [28] F. Prada and L. Velho, "Grabcut+d." VISGRAF PROJECT, 2011, <http://www.impa.br/~faprada/courses/procImagenes/>.
- [29] J. Shotton, A. Fitzgibbon, M. Cook, T. Sharp, M. Finocchio, R. Moore, A. Kipman, and A. Blake, "Real-time human pose recognition in parts from a single depth image." IEEE CVPR, 2011.
- [30] L. Spinello and K. Arras, "People detection in rgb-d data." International Conference on Intelligent Robots and Systems, 2011.
- [31] D. Lucio and L. Velho, "Lambe-lambe 3d." VISGRAF PROJECT, 2011, <http://dlucio.impa.br/wiki/pmwiki.php/Sg3d/Projeto>.
- [32] —, "Kinect stereo viewer." VISGRAF PROJECT, 2011, <http://dlucio.impa.br/wiki/pmwiki.php/ProcessamentoDeImagens/Projeto>.
- [33] F. Benavides and L. Velho, "Feature track and detection: detect and track features in a rgbd video." VISGRAF PROJECT, 2011, <http://www.impa.br/~francisc/IProc/report.html.LyXconv/report.html>.
- [34] J. Lucio and L. Velho, "Acde." VISGRAF PROJECT, 2011, <http://www.juliolucio.com/ACDCBot/>.
- [35] B. Castro and L. Velho, "Integrarte entegarte." VISGRAF PROJECT, 2011, <http://ctrlbarbara.wordpress.com/category/integrarte-entegarte/>.
- [36] "Fundamentals and trends in image processing: Rgb-d video - 2011," <http://lvelho.impa.br/ip11/>.