# Findings

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#### 0.1 Abstract

#### 0.2 Introduction

This project started with a simple Idea – A calculator that rather than working systematically, predicts your answer using a neural network. The question which neural network architecture would fit this job best is what what truly propelled this project.

This document presents the findings, which have been learned with the project: A Predictive Calculator.

### 0.3 Feed-forward Neural Networks (FNNs)

Since the functionality of a FNN has already been discussed in the literature study, were mentioned in the methodology document and no new information on FNNs has been found since then by the author, this topic will not be discussed in this document.

Though, FNNs will make an appearance later on in this document.

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### 1 RNN

Recurrent Neural Networks (RNNs) work similar to FNNs with one key difference: There is a vector called the hidden-state. This vector contains information about previous inputs. The hidden-state of the previous time-step, in addition to the input of the current time-step, is fed into a model which computes the hidden-state of the present time-step. The output of each time-step is calculated by feeding the respective hiddenstate to a model.

#### 1.1 Numerical Visualization of a RNN:

Let:

- $x_t$ : Input at time step t
- $h_t$ : Hidden state at time step t
- $y_t$ : Output at time step t
- $W_{xh}$ : Weight matrix connecting input to hidden state
- $W_{hh}$ : Weight matrix connecting previous hidden state to current hidden state (recurrent weights)
- $W_{hy}$ : Weight matrix connecting hidden state to output
- $b_h$ : Bias vector for the hidden layer
- $b_y$ : Bias vector for the output layer
- $\sigma$ : Activation function (in our case: PReLU)
- $\sigma_{out}$ : Activation function for the output (linear for regression)

$$h_t = \sigma(W_{xh}x_t + W_{hh}h_{t-1} + b_h)$$

$$y_t = \sigma_{out}(W_{hy}h_t + b_y)$$

#### 1.2 Relevant Takeaway

For us this means, the RNN doesn't process an expression as a whole, but rather one part after another. Also, because of how the formula works, Tokens, which are later in the sequence, play a more impactful role on the models prediction, than earlier tokens. This means the output number will almost always be closer to the last number of the expression, than the first.

This is a common issue with RNNs, not only prevelant in this project. It is more widely known as the vanishing gradient problem.

# 2 Other Types of RNNs

To solve the problem described above, different methods have been adopted like for example the Long Short-Term Memory (LSTM) proposed by Hochreiter and Schmidhuber, 1997, the Gated Recurrent Unit (GRU) proposed by Cho et al., 2014 or later even the concept of attention proposed by Bahdanau et al., 2016.

## 2.1 Long Short-Term Memory (LSTM)

The LSTM architecture solves the gradient vanishing problem by using a memory cell. The model can use this to store (5), forget (1) and pass information from the memory cell to the hidden state (6). Let:

- $\sigma(\cdot)$  denotes the sigmoid activation function,
- $tanh(\cdot)$  is the hyperbolic tangent function,
- ⊙ represents element-wise (Hadamard) multiplication,
- $[h_{t-1}, x_t]$  is the concatenation of the previous hidden state  $h_{t-1}$  and the current input  $x_t$ ,
- $W_f, W_i, W_C, W_o$  are trainable weight matrices,
- $b_f, b_i, b_C, b_o$  are trainable bias vectors,
- $C_t$  is the current memory cell state,
- $\tilde{C}_t$  is the candidate cell state,

$$f_t = \sigma(W_f \cdot [h_{t-1}, x_t] + b_f) \tag{1}$$

$$i_t = \sigma(W_i \cdot [h_{t-1}, x_t] + b_i) \tag{2}$$

$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C) \tag{3}$$

$$o_t = \sigma(W_o \cdot [h_{t-1}, x_t] + b_o) \tag{4}$$

$$C_t = f_t \odot C_{t-1} + i_t \odot \tilde{C}_t \tag{5}$$

$$h_t = o_t \odot \tanh(C_t) \tag{6}$$

Source for the equations: GeeksforGeeks, 2025a

In the equations you can see the forget gate activation (1), the input gate activation (2), the candidate cell state (3) and the output gate activation (4).

The cell state is calculated in (5). There, the forget gate which scales the previous cell state is combined with the input gate.

The hidden state is calculated in (6), where the output activation is applied to the cell state.

## 2.2 Gated Recurrent Unit (GRU)

The GRU architecture works in a similar way to the LSTM. Instead of utilizing memory cells, they directly use the hidden state.

Let:

- $\sigma(\cdot)$  is the sigmoid activation function,
- $tanh(\cdot)$  is the hyperbolic tangent function,
- ① denotes element-wise (Hadamard) multiplication,
- $[h_{t-1}, x_t]$  is the concatenation of the previous hidden state and current input,
- $W_z, W_r, W_h$  are trainable weight matrices,
- $b_z, b_r, b_h$  are trainable bias vectors,
- $h_t$  is the candidate hidden step

•  $h_t$  is the current hiddenstep

$$z_t = \sigma(W_z[h_{t-1}, x_t] + b_z) \tag{7}$$

$$r_t = \sigma(W_r[h_{t-1}, x_t] + b_r) \tag{8}$$

$$\tilde{h}_t = \tanh(W_h[r_t \odot h_{t-1}, x_t] + b_h) \tag{9}$$

$$h_t = (1 - z_t) \odot h_{t-1} + z_t \odot \tilde{h}_t \tag{10}$$

Source for the equations: GeeksforGeeks, 2025b

Above you can see the update gate activation (7), as well as the reset gate activation (8).

Further down, the reset gate activation is applied to the previous hidden step to calculate the candidate hidden state (9).

Lastly the hidden step can be calculated by applying (1 - the update gate activation) to the previous hidden step and combining it with the update gate activation applied to the hidden state candidate (10). Depending on whether the update gate activation is larger or smaller, the previous hidden step or the candidate hidden state will weigh in more on the current hidden step.

#### 2.3 Bidirectional LSTM with Attention

An architecture of this type consists in part of a bidirectional LSTM, meaning two LSTMs working in parallel, one of who process data from front to back, the other from back to front, their results are afterwards concatenated together and passed on.

The other part of this architecture is the attention mechanism. Here it is, as described by Bahdanau et al., 2016.

Let:

- $\mathbf{h}_t \in \mathbb{R}^{128}$  are the hiddenstates for each timestep t, the output from the bidirectional LSTM,
- $\mathbf{W} \in \mathbb{R}^{128 \times 128}$  is a trainable weight matrix,
- $\mathbf{b} \in \mathbb{R}^{128}$  is a bias vector.
- $\mathbf{u} \in \mathbb{R}^{128}$  is a trainable context vector.

For each time step t, compute:

$$\mathbf{v}_t = \tanh(\mathbf{W}\mathbf{h}_t + \mathbf{b}) \tag{11}$$

$$e_t = \mathbf{u}^\top \mathbf{v}_t \tag{12}$$

Normalize scores using softmax:

$$\alpha_t = \frac{\exp(e_t)}{\sum_{i=1}^T \exp(e_i)} \tag{13}$$

The attention weights  $\alpha = [\alpha_1, \dots, \alpha_T]$  indicate the importance of each time step.

Then compute the context vector:

$$\mathbf{c} = \sum_{t=1}^{T} \alpha_t \mathbf{h}_t \tag{14}$$

Source for equations: Cristina, 2023

An attention score is calculated for each timestep in (11), (12), it is then normalized (13). Finally the attention weights scale their respective hiddenstates from the LSTMs, to compute the context vector (14).

### References

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