

Synchronization Graphs

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1 Introduction

In this we examine parsing streams of tagged vertices into a canonical *synchronization graph*.

2 Background

Definition 1 (Dependency Relation). A dependency relation $D \subseteq \Sigma \times \Sigma$ is a *symmetric* and *reflexive* relation on Σ .

Likewise an independence relation of D can be defined as the relative complement $I = (\Sigma \times \Sigma) \setminus D$.

Dependency relations are a general way of talking about *equivalence* relations between two streams of data $S_1, S_2 \in \Sigma^*$, where we say $S_1 \equiv_D S_2$ if S_2 can be reached from S_1 (and vice versa) by applying permutations based on the independence relation I .

Definition 2 (Tree Dependence Relation). A tree dependence relation $T \subseteq \Sigma \times \Sigma$ is a dependence relation where (Σ, T) is a connected graph with distinguished root σ_\top , with disjoint branches, and is upwards connected: if $\sigma_1 \in \mathbf{ancestors}(\sigma_2)$, then $\sigma_1 T \sigma_2$.

A tree dependency relation *looks like* a tree but is not necessarily one: although there is a tree-like structure, all vertices are connected to their ancestors.

There are a few functions we can define on T : Define the predecessor function with respect to this rooting, with $\mathbf{pred}(\sigma_\top) = \sigma_\top$; recursively define the depth function $\mathbf{depth}(\sigma_\top) = 0$ and $\mathbf{depth}(\sigma) = 1 + \mathbf{depth}(\mathbf{pred}(\sigma))$.

We define a *synchronization graph*, which is intended to model data streams that (1) are equipped with a dependence relationship and (2) have “synchronizing” (also: visibly pushdown / parallel / end-marker’d) behavior.

Definition 3 (Synchronization Graph). A synchronization graph G is a directed acyclic graph with source and sink vertices, $\mathbf{sources}(G)$ and $\mathbf{sinks}(G)$ respectively. A synchronization graph G is recursively defined as follows:

- i. (Base Case): A single vertex is a synchronization graph.
- ii. (Sequential Concatenation): If G_1 and G_2 are synchronization graphs, then $G = G_1 \cdot u \cdot G_2$ is also a synchronization graph for a new vertex u , where $G = (V, E)$ and

$$(t, u), (u, s) \in E, \quad \text{for all } t \in \mathbf{sinks}(G_1), \quad \text{for all } s \in \mathbf{sources}(G_2),$$

while $V_1 \sqcup V_2 \subseteq V$ and $E_1 \sqcup E_2 \subseteq E$.

- iii. (Parallel Union): If $G_1 = (V_1, E_1)$ and $G_2 = (V_2, E_2)$ are synchronization graphs, then $G = G_1 || G_2$ is also a synchronization graph where $G = (V_1 \sqcup V_2, E_1 \sqcup E_2)$.

Note that synchronization graphs induce a natural partial order: $u \preceq v$ if either (1) $u = v$ or (2) v is reachable from u . In formally then, $\mathbf{sources}(G)$ and $\mathbf{sinks}(G)$ are the *least* and *greatest* elements of G respectively.

3 A Parsing Problem

In this problem setting, we are given:

- i. A tree dependency relation T and its alphabet Σ
- ii. A sequence of vertices v_1, v_2, \dots each labeled with an element of Σ : that is, $\tau(v_i) \in \Sigma$ for all i . This sequence is a linearization of a stream satisfying T .

The task is to generate a *canonical* synchronization graph.

4 A Basic Algorithm

A basic algorithm we have is to iteratively grow G . Let $\mathbf{next}()$ return the next vertex in the stream; iteratively keeping track of a *frontier* of leaf vertices L , every new vertex v has one of three possibilities:

1. It depends on exactly one $l \in L$ such that $\mathbf{depth}(v) = \mathbf{depth}(l)$.
2. It depends on more than one $M \subseteq L$. Furthermore, $\mathbf{depth}(\tau(m)) < \mathbf{depth}(\tau(v))$ for all $m \in M$. In this case v acts as a common “synchronization point” for M .

Note that this can generalize case 1, but is separate purely for presentation.

3. v is *not* dependent any of L , and there exists a *most recent dependency* of v , written $u^* = \bigvee \{u \in G : \tau(u) T \tau(v)\}$ is found and v appends to this.
4. v is *not* dependent any of L , and there does *not* exist a u^* , then v is parallel unioned to G .

Initialize $(V, E) \leftarrow (\emptyset, \emptyset)$.

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while  $v \leftarrow \text{next}()$  succeeds do
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$$M \leftarrow \{l \in L : \tau(l)T\tau(v)\}$$
$$V \leftarrow V \cup \{v\}$$

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/* There is something for  $v$  to merge with */
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if $|M| > 0$ then

$$V \leftarrow V \cup \{v\}$$
$$E \leftarrow E \cup \{(m, v) : m \in M\}$$
$$L \leftarrow (L \setminus M) \cup \{v\}$$

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else
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$$u^* = \bigvee \{u \in V : \tau(u)T\tau(v)\}$$

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/* There is a ‘‘most recent dependency’’ of  $v$  to merge with */
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if u^* exists then

$$V \leftarrow V \cup \{v\}$$
$$E \leftarrow E \cup \{(u^*, v)\}$$
$$L \leftarrow L \cup \{v\}$$

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/* v is to be parallel unioned with the existing graph */
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else

$$V \leftarrow V \cup \{v\}$$
$$L \leftarrow L \cup \{v\}$$
$$L_{\text{eff}} = L \left(1 - \frac{1}{2} \frac{L}{\lambda} \right) \quad (\text{H. P.})$$