1. Prove that the complexity of the Gaussian elimination algorithm is  $O(N^3)$ .

For a 
$$N \times N$$
 matrix, when we turn 
$$\begin{pmatrix} A_{11} & A_{12} & \dots & A_{1N} \\ A_{21} & A_{22} & \dots & A_{2N} \\ \vdots & \vdots & \ddots & \vdots \\ A_{N1} & A_{N2} & \dots & A_{NN} \end{pmatrix}$$
 into

$$\begin{pmatrix} A_{11} & A_{12} & \dots & A_{1N} \\ 0 & A_{22} & \dots & A_{2N} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & A_{N2} & \dots & A_{NN} \end{pmatrix}, \text{ it takes us } N \times (N-1) \text{ additions and } N \times (N-1)$$

multiplications. Then we turn 
$$\begin{pmatrix} A_{11} & A_{12} & \dots & A_{1N} \\ 0 & A_{22} & \dots & A_{2N} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & A_{N2} & \dots & A_{NN} \end{pmatrix} \quad \text{into}$$

$$\begin{pmatrix} A_{11} & A_{12} & \dots & A_{1N} \\ 0 & A_{22} & \dots & A_{2N} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & A_{NN} \end{pmatrix} \text{ and it takes us } (N-1) \times (N-2) \text{ additions and } (N-1)$$

1)  $\times$  (N-2) multiplications. So, the times of additions we take are  $sum = \sum_{n=2}^{N} n(n-1) = \frac{1}{3}(N-1)N(N+1)$ , and it's same to multiplications. So, the times of operations we take in total are  $\frac{2}{3}(N-1)N(N+1)$ . When N is a large number, it tends to  $\frac{2}{3}N^3 \sim O(N^3)$ .

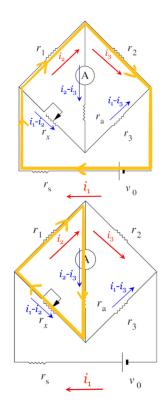
2. Solve the unbalanced Wheatstone bridge.

From the Kirchhoff Equations we know that:

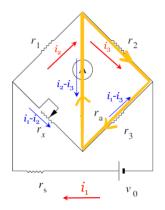
$$r_{s}i_{1} + r_{1}i_{2} + r_{2}i_{3} = v_{0}$$

$$r_1 i_2 + r_a (i_2 - i_3) - r_x (i_1 - i_2)$$

$$= -r_x i_1 + (r_1 + r_x + r_a)i_2 - r_a i_3 = 0$$



$$r_2 i_3 - r_3 (i_1 - i_3) - r_a (i_2 - i_3)$$
$$= -r_3 i_1 - r_a i_2 + (r_2 + r_3 + r_a) i_3 = 0$$



Hence, we get the equations: 
$$\begin{cases} r_s i_1 + r_1 i_2 + r_2 i_3 = v_0 \\ -r_x i_1 + (r_1 + r_x + r_a) i_2 - r_a i_3 = 0 \\ -r_3 i_1 - r_a i_2 + (r_2 + r_3 + r_a) i_3 = 0 \end{cases}$$

What we need to do is to solve the equations through its augmented matrix by using Gaussian elimination and backward substitution.

The augmented matrix of the equations:

$$\begin{pmatrix} r_{s} & r_{1} & r_{2} & v_{0} \\ -r_{x} & r_{1} + r_{x} + r_{a} & -r_{a} & 0 \\ -r_{3} & -r_{a} & r_{2} + r_{3} + r_{a} & 0 \end{pmatrix}$$

To solve this question, we need to define 3 functions. Firstly, we use function get\_parameter() to get the input. Inside this function, we set the voltage of the power  $v_0 = 5.0V$ . Actually, it doesn't matter because we will divide it anyway when we calculate the resistance  $R = \frac{v_0}{i_1}$ .

Then we define the function Gauss(x,n), which needs 2 inputs, x is the matrix which needs to be Gaussian eliminated and n is the order of the coefficient matrix. Inside the function, we firstly need a for-loop, i starts from 0 to n-1. When i=0, it means we are turning:

$$\begin{pmatrix} A_{00} & A_{01} & \dots & A_{0,n-1} \\ A_{10} & A_{11} & \dots & A_{1,n-1} \\ \vdots & \vdots & \ddots & \vdots \\ A_{n-1,0} & A_{n-1,1} & \dots & A_{n-1,n-1} \end{pmatrix} \rightarrow \begin{pmatrix} A_{00} & A_{01} & \dots & A_{0,n-1} \\ 0 & A_{11} & \dots & A_{1,n-1} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & A_{n-1,1} & \dots & A_{n-1,n-1} \end{pmatrix}$$

Inside the for-loop, we firstly need to make sure that the diagonal element is not 0 (we check  $A_{ii}$  in i-th loop). When  $A_{ii}=0$ , we need a for-loop to search for a non-zero element in i-th column, and then we interchange the two rows. After  $A_{ii} \neq 0$ , we use another for-loop to eliminate the  $A_{ki}$  (for k > i), and the ratio is  $-\frac{A_{ki}}{A_{ii}}$ . We

multiply the i-th row by the ratio and add it to the k-th row. After this process, we will get a row-echelon matrix, from which we can easily derive the solutions of the equations.

At last, we define the function Back\_sub(x,n), which use backward substitutions to solve the equations. The function needs 2 inputs, x is the row-echelon matrix we derive from Gauss(x,n), and n is the order of the coefficient matrix. Firstly, we need a for-loop to go through the last row to the first row. Inside i-th loop, we need another loop to calculate the i-th solution.  $solution[i] = (A_{i,n} - \sum_{j=i+1}^{n-1} A_{i,j} \times solution[j])/A_{i,i}$ . The function returns a matrix which stores the solutions.

The question is easily solved after we combine the 3 functions together.

Input:  $r_s, r_x, r_a, r_1, r_2, r_3$  /\* Actually, we input a matrix of  $r^*$ /

Output: The effective resistance of the circuit

- 1. **Function** Gauss(matrix, n)
- 2. For  $i \leftarrow 0$  to (n-1) Do
- 3. **For**  $j \leftarrow (i+1)$  to (n-1) **Do**

4. 
$$ratio \leftarrow -\frac{matrix[j][i]}{matrix[i][i]}$$

- 5. For  $k \leftarrow 0$  to n Do
- 6.  $matrix[j][k] \leftarrow matrix[j][k] + ratio \times matrix[i][k]$
- 7. **Return** matrix
- 8. Function Back sub(matrix, n)
- 9.  $solution \leftarrow \{0 \dots 0\}$  /\*n zeros in the set\*/
- 10. For  $i \leftarrow (n-1)$  to 0 Do
- 11.  $solution[i] \leftarrow matrix[i][n]$
- 12. For  $j \leftarrow (i+1)$  to (n-1) Do
- 13.  $solution[i] \leftarrow matrix[i] matrix[i][j] \times solution[j]$
- 14.  $solution[i] \leftarrow \frac{solution[i]}{matrix[i][i]}$
- 15. Return solution

```
C: >Users >11765 >Desktop >学习 >物理 >计算物理 >作业 >homework3 > ◘ 3_2_17307110134.py >...
       def main():
           matrix = get_parameter()
            equation = Gauss(matrix,3)  # The function Gauss() can Gaussian eliminate the matrix.
solution = Back_sub(equation,3) # The function Back_sub() finishes the backward substitutions.
           resistance = 5.0/solution[0] # Solution is a matrix which stores the values of the current i1, i2 and i3. print('The effective resistance of the circuit is %s' % resistance)
           equation = np.asarray(x,dtype=float)
            for i in range(n):
                if equation[i][i] == 0.0:
                     for j in range(i+1,n):
                           if equation[j][i] != 0.0:
                          equation[i],equation[j] = equation[j],equation[i]
break
               for k in range(i+1,n):
                 ratio = -(equation[k][i] / equation[i][i])
# Ratio is the number
                     for 1 in range(n+1):
                          equation[k][1] = equation[k][1] + ratio*equation[i][1]
       def Back_sub(x,n):
            matrix = x.copv()
                 for j in range(i+1,n):
                      solution[i] = solution[i] - matrix[i][j]*solution[j]
```

## Source code.

```
PS C:\Users\11765\Desktop\学习\物理\计算物理\作业\homework3>launcher''60419''--''c:\Users\11765\Desktop\学习\物理\计算Please input the value of resistor r_s:1
Please input the value of resistor r_x:2
Please input the value of resistor r_a:3
Please input the value of resistor r_1:4
Please input the value of resistor r_2:5
Please input the value of resistor r_3:6
The effective resistance of the circuit is 5.136752136752139
PS C:\Users\11765\Desktop\学习\物理\计算物理\作业\homework3>
```

We input the values of the resistor, and we get the effective resistance of the circuit.

3. (题目太复杂,用英语解释不清)第三题的核心思路是利用 QR 分解计算矩阵本征值,而 QR 分解又首先需要对矩阵进行 Gram-Schmidt 正交化。首先定义一些常用的线性代数功能函数:

dot()点积,对两个维度相等的向量,将索引相等的元素相乘后再将乘积求和,即可得到点积。

norm()求模,将向量与自身点积,再开方即可得到向量的模。

求逆,对矩阵求逆可以利用第二题已经完成的高斯消元法的思路。将单位阵作为辅助矩阵扩充原先的矩阵,再利用高斯消元法和回代将原先的矩阵变为单位阵,此时辅助矩阵即变为逆矩阵。为了节约时间并增加代码的可读性,在第三题内调用 numpy. linalg. inv()函数来求矩阵的逆矩阵。

Schmidt () 将矩阵 Gram-Schmidt 正交化。由于是将矩阵的列向量 Schmidt 正交化,而矩阵对行进行遍历操作更方便,所以先将矩阵转置得到  $x_T$ 。初始化一个与  $x_T$  行数列数相等的零矩阵 init。利用 for 循环进入  $x_T$  的 i-th 行,内部再利用 for 循环进入 j-th 列,即  $x_T$  第 i 行第 j 列的元素,令临时变量  $temp=x_T[i][j]$ 。对于第 j 列的元素,对  $x_T[i][j]$ 之前的每一个元素, $temp=temp-\frac{(x_T[i],init[k])}{(init[k],init[k])} \times init[k][j]$ ,再将 temp 的值赋给 init[i][j]。这样 init 矩阵就变为  $x_T$  矩阵在 schmidt 正交化之后的矩阵。再把第 i 行的每一个元素 schmidt initschmidt schmidt sch

QR(x)将矩阵 x 进行 QR 分解 x = QR。如果 x 是 m×n 矩阵,那么 Q 也是 m×n 矩阵,且 Q 的每一列都是 x 矩阵列的正交基; R 是 n×n 的上三角矩阵。利用 Schmidt 正交化, $Q = Schmidt(x), R = Q^T x$ 。

eigenvalue() 求本征值。QR 分解, $A_k=Q_kR_k$ ,由于 Q 是正交矩阵, $A_{k+1}=R_kQ_k=Q_k^{-1}Q_kR_kQ_k=Q_k^{-1}A_kQ_k$ 。经过不断迭代即可得到一个对角矩阵,其对角元是A的本征值,迭代的次数由函数中的 time 决定。

eigenvector() 求本征向量。通过 eigenvalue(A) 求得本征值后, 我们得到矩

阵 A 以及对角元为 A 的本征值的对角矩阵
$$B=\begin{pmatrix} \lambda_1 & 0 & ... & 0 \\ 0 & \lambda_2 & ... & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & ... & \lambda_n \end{pmatrix}$$
,那么本征向

量即可通过 $C = (A - B)^{-1}$ 求得,C矩阵的每一个列向量都是一个 A的本征向量。

接下来计算H矩阵的矩阵元 $H_{jk}=\int_{-\infty}^{\infty}\Phi^*_{j}(x)H\Phi_{k}(x)dx$ ,由于高斯基不正交,还需要计算 S 矩阵的矩阵元 $S_{jk}=\int_{-\infty}^{\infty}\Phi^*_{j}(x)\Phi_{k}(x)dx$ 。通过 Mathematica 计算得到:

```
## Supply | ・ Supply | Supply | ・ Supply | Supply | ・ Supply | Supply | ・ Supply | Supply | ・ Supply | Supply | ・ Supply | Supply | ・ Supply |  Supply | ・ Supply |
```

可以看到,当我们保持高斯函数 $\Phi_j$ , $\Phi_k$ 中的 $v_k$ , $s_k$ , $v_j$ , $s_j$ 均为变量时,积分得到的结果已经过于复杂。我们需要设定一些常数以简化问题。令 $v_k=v_j=2$ ,再次用 Mathematica 积分得到:

In[3]:= 
$$\phi 2j = \text{Sqrt}[2/\text{Pi}] * \text{Exp}[-2*(x-sj)^2]$$
[平方根 [… [指数形式]]

Out[3]:=  $\phi^2 k = \text{Sqrt}[2/\text{Pi}] * \text{Exp}[-2*(x-sk)^2]$ 
[平方根 [… [指数形式]]

Out[2]:=  $\phi^2 k = \text{Sqrt}[2/\text{Pi}] * \text{Exp}[-2*(x-sk)^2]$ 
[平方根 [… [指数形式]]

Out[2]:=  $e^{-2(-sk+x)^2} \sqrt{\frac{2}{\pi}}$ 

In[6]:= Integrate  $[\phi^2 j * (\alpha * D[D[\phi^2 k, x], x] + \beta * x^2 * \phi^2 k), \{x, -\text{Infinity}, \text{Infinity}\}]$ 
[形分 [元穷大 [无穷大]]

Out[6]:=  $\frac{e^{-(sj-sk)^2} \left(16(-1+2(sj-sk)^2)\alpha + \beta + 2(sj+sk)^2\beta\right)}{8\sqrt{\pi}}$ 

In[4]:= Integrate  $[\phi^2 j * \phi^2 k, \{x, -\text{Infinity}, \text{Infinity}\}]$ 
[形分 [无穷大]

Out[4]:=  $\frac{e^{-(sj-sk)^2}}{\sqrt{\pi}}$ 

虽然结果仍然有点复杂,但已经大大简化。  $H_{ik}$  =

$$\frac{\alpha e^{-\left(s_{j}-s_{k}\right)^{2}}\left(16\left(2\left(s_{j}-s_{k}\right)^{2}-1\right)\right)+\beta+2\left(s_{j}+s_{k}\right)^{2}\beta}{8\sqrt{\pi}}, \not\exists +\alpha=-\frac{\hbar^{2}}{2m}, \beta=\frac{1}{2}m\omega^{2}, S_{jk}=\frac{e^{-\left(s_{j}-s_{k}\right)^{2}}}{\sqrt{\pi}}.$$

取合适的
$$m, \omega$$
使 $\alpha = -1, \beta = 1$ ,得到:  $H_{jk} = \frac{-e^{-\left(s_j - s_k\right)^2}\left(16\left(2\left(s_j - s_k\right)^2 - 1\right)\right) + 1 + 2\left(s_j + s_k\right)^2}{8\sqrt{\pi}}$ 。

定义 calculat\_H(x, y) 函数计算 H 矩阵中的元素。在函数中:  $temp1 = e^{-(x-y)^2}$ ,  $temp2 = 16(2(x-y)^2-1)$ ,  $temp3 = 2(x+y)^2$ ,  $temp4 = 8\sqrt{\pi}$ 。将四个临时变量组合在一起即可得到 $H(x,y) = \frac{temp1 \times temp2 + 1 + temp3}{temp4}$ 。

定义 calculat\_S(x, y) 函数计算 S 矩阵中的元素。同样的,在函数中:  $temp1 = e^{-(x-y)^2}, temp2 = \sqrt{\pi}$ 。将临时变量组合在一起得到 $S(x,y) = \frac{temp1}{temp2} = \frac{e^{-(x-y)^2}}{\sqrt{\pi}}$ 。

我们还需要一个函数来完成以下过程:

$$HC = ESC \Longrightarrow (S^{-1/2}HS^{-1/2})(S^{1/2}C) = E(S^{1/2}C)$$

$$H' \qquad C' = EC'$$

定义  $\text{new_H}(x, y)$  函数计算 H'矩阵。 $temp\_mat = \left(y^{\frac{1}{2}}\right)^{-1} = y^{-\frac{1}{2}}$ ,因此 $H' = y^{-\frac{1}{2}}xy^{-\frac{1}{2}} = S^{-\frac{1}{2}}xS^{-\frac{1}{2}}$ 。

定义函数  $1ist_s(x)$  为 $s_j$ ,  $s_k$ 赋值,选取j, k的数量为 100, H, S 以及 H'将是  $100 \times 100$  的矩阵。令 $s_j$ ,  $s_k$ 为等差数列,差值即步长由输入函数的 x 控制,生成的等差数列 $s_i$ ,  $s_k$ 储存在列表中返回。

定义 generator (x, n)函数,x 即为 $s_j, s_k$ 的步长,n 是矩阵的行数/列数,在上文中我们已经定为 100。通过 calculat\_H(x, y)函数和 calculat\_S(x, y)函数 算出 H矩阵和 S矩阵的每一个矩阵元,并通过  $new_H(x, y)$ 函数求出 $H' = S^{-\frac{1}{2}}HS^{-\frac{1}{2}}$ 。

#刚得知可以使用库,所以改用 numpy 库来求解矩阵本征值,源代码主要看main()函数的部分!!:

由于用 numpy 的 linalg.eig()函数求解本征值会返回一个元素全为本征值

的列表,在这个列表中寻找实数本征值,即为我们所要找的 E。由于计算机进行的是数值计算,所以我们需要寻找的是虚部特别小的本征值,这些本征值可近似认为是实数。

在程序中取步长从 0.001—0.01,在所有本征值中查找实数本征值,本征值在 0.004 附近取得极小值, $\lambda=1.12999$ ,可近似认为是 1。而通过量子力学方法求得的 $E_0=\frac{1}{2}\hbar\omega$ 。上文中为计算简便,我设定了 $\alpha=-\frac{\hbar^2}{2m}=-1$ , $\beta=\frac{1}{2}m\omega^2=1$ ,通过这样设定的常数计算得到 $\hbar\omega=2$ ,第一个本征值恰好为 1,符合程序的结果。输出最后一个本征值对应的本征向量:

```
[-0.0289899 -0.03758006j 0.04816188-0.00542474j 0.04975687+0.02871325j
 -0.02200209+0.01056744j -0.05247667-0.04725808j 0.0595562 +0.01168456j
 0.00917092+0.01655222j 0.03839836+0.04768383j 0.08702035+0.07010918j
-0.05186959-0.06831658j -0.07843137+0.02522146j -0.0288709 +0.05855456j
-0.00568356-0.05087341j 0.07307395+0.04285388j 0.07490321-0.04197436j
-0.04904062+0.00543843j 0.02532955-0.05007408j -0.06345521-0.11094469j
-0.06770045+0.06503411j -0.00117193-0.04842331j 0.02028493-0.01153665j
-0.04657557-0.08545115j 0.03937 +0.01276646j -0.02634882+0.05671191j
 0.04940478+0.04530479j 0.12487895+0.04005837j -0.04133209-0.00064578j
-0.06247341-0.13049761j -0.09566759-0.11295219j -0.04789592-0.09481608j
-0.04647953-0.00801349j 0.00928324+0.02134774j -0.04998651-0.10792887j
 0.02299625-0.01656454j -0.02026618+0.07122859j 0.00341922-0.03764679j
 0.01673087-0.01150612j -0.01077509-0.04117768j 0.08014544+0.07479922j
0.05389867+0.05372473j 0.03010918-0.06081477j -0.00669829+0.01567109j -0.03103677-0.00315144j 0.09452797+0.02476705j 0.0105507 -0.02415321j
 0.06744642+0.10792444j -0.06500045+0.06463308j 0.05347822-0.00086762j
 0.00865943+0.03826947j -0.05066388-0.07269376j -0.02678506-0.01410612j
 -0.01041171+0.01574742j -0.07583256+0.03507661j 0.0364618 +0.01510685j
-0.03148484+0.0266038j 0.00969481+0.05797885j 0.00432608+0.0217473j
 0.01090302+0.01167847j -0.05850173-0.04295407j 0.10508975+0.02471655j
 0.24526685+0.j -0.07030084+0.02375595j -0.00292901-0.03506524j
 0.07148794-0.01868239j -0.00764768-0.01937002j 0.00411889-0.01286223j
 0.0746774 -0.06315108j 0.1126031 +0.01667213j -0.01663403+0.00953474j
-0.00887345-0.01063119j 0.0894862 +0.02896751j -0.09363479+0.07679199j
-0.07487073+0.07517074j -0.0434931 -0.06303731j -0.01338464-0.00092495j
 0.01461868-0.00968609j 0.00397689-0.00879931j 0.00051084+0.00712938j
 0.04559763-0.05500809j -0.03722695-0.10514115j 0.06099622-0.05128554j
-0.24861528+0.08512897j -0.03851861-0.03366928j 0.07878591-0.0087414j
-0.02473575-0.0151515j 0.17136081+0.05818863j 0.08838769-0.00375214j
 0.00359035+0.06265193j -0.01679901+0.05582031j -0.00483769-0.01382183j
-0.052105 +0.05793621j -0.2999263 -0.00515482j -0.0202119 -0.0062881j
-0.01532408-0.05056375j -0.01743795-0.00818931j 0.04688981+0.01082894j
-0.00301287+0.01756036j 0.13912698-0.0076761j -0.01224988+0.02863834j
 0.13258589+0.02306631j]
```

$$HC = ESC \Longrightarrow (S^{-1/2}HS^{-1/2})(S^{1/2}C) = E(S^{1/2}C)$$
  
 $H' \qquad C' = EC'$ 

这个本征向量即为C',我们需要解得C以构造波函数, $C = S^{-\frac{1}{2}}C'$ 。

```
#求S矩阵及S^(-1/2)矩阵
list1 = list_s(0.004)
mat_S = np.zeros([100,100])
for i in range(100):
    for j in range(100):
        mat_S[i][j] = calculate_S(list1[i],list1[j])

temp_mat = np.linalg.inv(sqrtm(mat_S))
new_eigenvector = np.matmul(temp_mat,eigenvector1)
```

这样就求得了本征向量C,这样即可画出波函数 $\Psi = \sum_i c_i \Phi_i$ 。

由于源代码的大部分内容都是在写点积、模、Gram-Schmidt 正交化、QR 分解和 eigenvalue()求本征值,这部分内容伪代码不再展示。

- 1. Function generator(x, n)
- 2.  $list1 \leftarrow [0, x, 2x, ..., (n-1)x]$

3. 
$$mat_H \leftarrow \begin{pmatrix} 0 & 0 & \dots & 0 \\ 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 0 \end{pmatrix} /*n \times n \text{ matrix*/}$$

4. 
$$mat\_S \leftarrow \begin{pmatrix} 0 & 0 & \dots & 0 \\ 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 0 \end{pmatrix} /*n \times n \text{ matrix*/}$$

- 5. For  $i \leftarrow 0$  to (n-1) **Do**
- 6. For  $j \leftarrow 0$  to (n-1) **Do**

7.

$$mat\_H[i][j] \leftarrow \frac{-e^{-(list1[i]-list1[j])^2} \Big(16 \big(2(list1[i]-list1[j])^2-1\big)\Big) + 1 + 2(list1[i]+list[j])^2}{8\sqrt{\pi}}$$

8. 
$$mat\_S[i][j] \leftarrow \frac{e^{-(list1[i]-list1[j])^2}}{\sqrt{\pi}}$$

- 9.  $matrix \leftarrow (mat\_S)^{-\frac{1}{2}}(mat\_H)(mat\_S)^{-\frac{1}{2}}$
- 10. Return matrix

main()函数就是由 generator()函数生成矩阵H',再求本征值、本征向量即可。