Number Theory: Lecture Notes

Anthony Dunford

Chris Nash

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1 Divisibility and Primes

1.1 Introduction

Well ordering Principle:

Let $S \neq 0$ be a set of positive integers. Then there exists $s \in S$ such that for all $a \in S, s \leq a$

Induction:

If a set s of positive integers contains the integer 1 And contains n+1 whenever it contains nThen S consists of all the positive integers

1.2 Divisibility

Definition 1.1: Divisibility

An integer b is divisible by and integer $a \neq 0$ if there is an integer x such that b = ax.

s We write a|b (a divides b)

Theorem 1.1: Properties of divisibility

- 1. $a|b \rightarrow a|bc \quad c \in \mathbb{Z}$
- 2. $a|b \& b|c \rightarrow a|c$
- 3. $a|b \& a|c \rightarrow a|(bx+cy) \quad x,y \in \mathbb{Z}$
- 4. $a|b \& b|a \to a = \pm b$
- 5. $a|b, a > 0, b > 0 \rightarrow a \le b$
- 6. $m \neq 0$, $a|b \leftrightarrow ma|mb$

Proof: Theorem 1.1(3)

 $a|b \to b = ar$ for some $r \in \mathbb{Z}$ and $a|c \to c = as$ for some $s \in \mathbb{Z}$ Hence bx + cy = a(rx + sy) and this proves that a|(bx + cy)

Theorem 1.2: The Division Algorithm

Let $a, b \in \mathbb{Z}, a > 0$.

Then there exists unique $q, r \in \mathbb{Z}$ such that $b = qa + r, \ 0 \le r < a$. If $a \nmid b$ then 0 < r < a

Proof: Theorem 1.2

Consider the arithmetic progression:

$$\dots, b-3a, b-2a, b-a, b, b+a, b+2a, b+3a, \dots$$

In the sequence select the smallest non-negative member and denote it by r. Thus by definition r satisfies the inequalities of the theorem. But also r, being in the sequence, is of the form b-qa, and thus q is defined in terms of r.

To prove uniqueness we suppose there is another pair q_1 and r_1 satisfying the same conditions. First we prove that $r = r_1$. If not, we may presume that $r < r_1$ so that $= < r_1 - r < a$ and then we see that $r_1 - r = a(q - q_1)$ and so $a|(r_1 - r)$, a contradiction to Theorem 1.1 (5). Hence $r = r_1$ and also $q = q_1$.

Note: We stated the theorem with a > 0. However this is not necessary and we may formulate as:

Given $a, b \in \mathbb{Z}$, $a \neq 0$, there exists $q, r \in \mathbb{Z}$ such that b = qa + r, $0 \leq r < |a|$.

Definition 1.2:

The integer a is a <u>common divisor</u> of b and c if a|b, a|c and at least $b \neq 0$ or $c \neq 0$, the greatest among their common divisors is called the <u>greatest common divisor</u> of b and c and is denoted by gcd(b,c) or (b,c).

Let $b_1,...,b_n \in \mathbb{Z}$, not all zero. We denote $g=(b_1,...b_n)$ to be the greatest common divisor.

Theorem 1.3:

If g = (b, c), then there exist $x_0, y_0 \in \mathbb{Z}$ such that $g = (b, c) = bx_0 + cy_0$

Proof: Theorem 1.3

Consider the linear combination bx + cy, where x, y range over all the integers. This set of integers $\{bx + cy\}$ includes positive and negative values and also 0. (x = y = 0). Choose x_0 and y_0 so that $bx_0 + cy_0$ is the least positive integer l in the set. Thus $l = bx_0 + cy_0$.

Next we prove that l|b and l|c. Assume that $l \nmid b$, then it follows that there exists integers q and r, by Theorem 1.2, such that b = lq + r with 0 < r < l. Hence we have $r = b - lq = b - q(bx_0 + cy_0) = b(l - qx_0) + c(-qy_0)$, and thus r is in the set $\{bx + cy\}$. This contradicts the fact that l is the least positive integer

in $\{bx + cy\}$. Similar proof for l|c. Now since g = (b,c) we may write b = gB, c = gC and $l = bx_0 + cy_0 = g(Bx_0 + Cy_0)$. Thus g|l and so by Theorem 1.1 (5) we conclude that $g \le l$. We know g < l is impossible since g is the greatest common divisor, so $g = l = bx_0 + cy_0$.

Theorem 1.4:

The greatest common denominator of b and c can be characterised in the following two ways:

- 1. It is the least positive value of bx + cy where $x, y \in \mathbb{Z}$
- 2. If d is any common divisor of b and c then d|g by Theorem 1.1 (3).

Proof: Theorem 1.4

- 1. Follows from Theorem 1.3
- 2. If d is any common divisor of b and c, then d|g by Theorem 1.1 (3). Moreover, there cannot be two distinct integers with property (2), because of Theorem 1.1 (4).

Note: If d = bx + cy, then d is not necessary the gcd(b,c). However, it does follow from such align that (b,c) is a divisor of d. In particular, if bx + cy = 1 for some $x,y \in \mathbb{Z}$, then (b,c) = 1.

Theorem 1.5:

Given $b_1,...,b_n \in \mathbb{Z}$ not all zero with greatest common divisor g, there exists integers $x_1,...,x_n$, such that

$$g = (b_1, ..., b_n) = \sum_{j=1}^{n} b_j x_j$$
 (1)

Furthermore, g is the least positive value of the linear form $\sum_{j=i}^{n} b_j y_j$ where the y_j runs over all integers; also g is the positive common divisor of $b_1, ..., b_n$ that is divisible by every common divisor.

Proof: Theorem 1.5

Exercise for the reader.

Theorem 1.6:

For any $m \in \mathbb{Z}, m > 0$

$$(ma, mb) = m(a, b) \tag{2}$$

Proof: Theorem 1.6

By Theorem 1.4 we have:

 $(ma, mb) = \text{least positive value of } max + mby = m \text{ } \{ \text{ least positive integer of } ax + by \} = m(a, b)$

Theorem 1.7:

If d|a, d|b and d > 0, then

$$\left(\frac{a}{d}, \frac{b}{d}\right) = \frac{1}{d}(a, b) \tag{3}$$

If (a, b) = g, then

$$\left(\frac{a}{g}, \frac{b}{g}\right) = 1\tag{4}$$

Proof: Theorem 1.7

The second assertion is the special case of the first using d=(a,b)=g. The first assertion is a direct consequence of Theorem 1.6, obtained by replacing m,a,b in Theorem 1.6 by $d,\frac{a}{d},\frac{b}{d}$ respectively.

Theorem 1.8:

If (a, m) = (b, m) = 1 then (ab, m) = 1

Proof: Theorem 1.8

Exercise for the reader.

Definition: 1.3

We say that a and b are relatively prime in case (a, b) = 1, and that $a_1, a_2, ..., a_n$ are relatively prime in the case $(a_1, a_2, ..., a_n) = 1$. We say that $a_1, a_2, ..., a_n$ are relatively prime in pairs in case $(a_i, a_j) = 1$ for all i = 1, 2, ..., n and j = 1, 2, ...n with $i \neq j$.

Note: (a, b) = 1 we also say a and b are coprime.

Theorem 1.9:

For any $x \in \mathbb{Z}$ we have

$$(a,b) = (b,a) = (a,-b) = (a,b+ax)$$
(5)

Proof: Theorem 1.9

Exercise for the reader.

Theorem 1.10: Euclid's Lemma If c|ab and (b,c) = 1, then c|a.

Proof: Theorem 1.10

By Theorem 1.6 , (ab,ac)=a(b,c)=a. By hypothesis c|ab and clearly c|ac, so c|a by Theorem 1.4 (2).

Now we observe for $c \neq 0$, we have (b, c) = (b, -c) by Theorem 1.9 and hence we may presume c > 0.

Theorem 1.11: The Euclidean Algorithm

Given $b, c \in \mathbb{Z}, c > 0$, we can make a repeated application of the division algorithm, **Theorem 1.2**, to obtain a series of aligns

$$b = cq_1 + r_1 \quad 0 < r_1 < c \tag{6}$$

$$c = r_1 q_2 + r_2 \quad 0 < r_2 < r_1 \tag{7}$$

$$r_1 = r_2 q_3 + r_3 \quad 0 < r_3 < r_2 \tag{8}$$

$$r_j = r_{j+1}q_j + r_j \quad 0 < r_j < r_{j-1} \tag{10}$$

$$r_{j-1} = r_j q_{j+1}. (11)$$

The greatest common divisor (b, c) of b and c is r_j , the last nonzero remainder in the division process. Values of x_0 and y_0 in $(b, c) = bx_0 + cy_0$ can be obtained by writing each r_i as a linear combination of b and c.

Proof: Theorem 1.11

See Theorem 1.11 in the textbook or Theorem 1.13 in the Lecture Notes.

Example 1 *gcd*(841, 160)

$$841 = 160 \times 5 + 41$$

$$160 = 41 \times 3 + 37$$

$$41 = 37 \times 1 + 4$$

$$37 = 34 \times 9 + 1$$

$$4 = 1 \times 4 + 0$$
(12)

Hence (841,160)=1 working backwards gives:

$$1 = 37 \times 1 - 4 \times 9 \tag{13}$$

$$1 = 37 \times 1 - (41 - 37) \times 9 \tag{14}$$

$$1 = 37 \times 10 - 41 \times 9 \tag{15}$$

$$1 = (160 - 3 \times 41) \times 10 - 41 \times 9 \tag{16}$$

$$1 = 160 \times 10 - 41 \times 39 \tag{17}$$

$$1 = 160 \times 10 - (841 - 160 \times 5) \times 39 \tag{18}$$

$$1 = (-39) \times 841 + 205 \times 160 \tag{19}$$

(20)

Note the solution is not unique:

$$1 = 121 \times 841 - 636 \times 160 \tag{21}$$

Example 2 Extended Algorithm

$$r_{i} = r_{i-2} - q_{i}r_{i-1}$$

$$x_{i} = x_{i-2} - q_{i}x_{i-1}$$

$$y_{i} = y_{i-2} - q_{i}y_{i-1}$$

$$r_{1} = b, r_{0} = c$$

$$x_{1} = 1, x_{0} = 0$$

$$y_{1} = 0, y_{0} = 1$$

$$(22)$$

We want to compute the gcd(841, 160) and express as a linear combination of 841 and 160.

Definition 1.4:

The integers $a_1, ..., a_n$, all different from zero, have a **common multiple** b if $a_i|b$ for i=1,...,n. The least of the positive common multiples is called the **least common multiple** and it is denoted by $[a_1,...,a_n]$ or $lcm(a_1,...,a_n)$

Theorem 1.12:

If b is any common multiple of $a_1, ..., a_n$, then $[a_1, ..., a_n] \mid b$. This is the same as saying that if $h = [a_1, ..., a_n]$ then $0, \pm h, 2 \pm h, ...$ comprise all the common multiples of $a_1, ..., a_n$.

Proof: Theorem 1.12

Let m be any common multiple and divide m and h. By Theorem 1.2, $\exists q, r$ such that m = qh + r, $0 \le r < h$. We must probe that r = 0. If $r \ne 0$ we argue as follows. For each i = 1, 2, ..., n we know that $a_i | h$ and a - i | m, so that $a_i | r$. Thus r is a positive common multiple of $a_1, a_2, ..., a_n$ contrary to the fact that h is the least of all positive common multiples.

Theorem 1.13:

If m > 0

- 1. [ma, mb] = m[a, b]
- 2. a,b = |ab|

Proof: Theorem 1.13

- 1. Let H = [ma, mb] and h = [a, b]. Then mh is a multiple of ma and mb, so that $mh \ge H$. Also, H is a multiple of both ma and mb so H/m is a multiple of a and b. Thus, $H/m \ge h$ from which it allows that mh = H.
- 2. It will suffice to prove this for $a,b \in \mathbb{Z}$ with a>0,b>0, since [a,-b]=[a,b]. We begin with the special case where (a,b)=1. Now [a,b]=1, is a multiple of a, say ma. Then b|ma and (a,b)=1, so by Theorem 1.10 we conclude that b|m. Hence $b\leq m$, $ba\leq ma$. But ba, being a positive

common multiple of 4b4 and a , cannot be less tahn the least common multiple, so ba = ma = [a, b].

Let (a,b) = g > 1. we have (a/g,b/g) = 1 by Theorem 1.7. Applying the result of the previous paragraph we have:

$$[a/g, b/g] \cdot (a/g, b/g) = ab/g \tag{23}$$

Multiplying by g^2 and using Theorem 1.6 as well as the first part (1.), we get $[a,b] \cdot (a,b) = ab$.

1.3 Primes

Definition 1.5:

An integer p > 1 is called a **prime number** if there is no divisor d of p satisfying 1 < d < p. If an integer a > 1 is not a prime, is is called a **composite number**.

Theorem 1.14:

Every integer n > 1 can be expressed as a product of primes (with perhaps only one factor).

Theorem 1.15:

If p|ab, p prime, then p|a or p|b. More generally if $p|a_1...a_n$, then p divides at least on of the factors a_i If $p \nmid a$, then (a, p) = 1 and so by **Thm 1,10**, p|b. For the general case, we use induction.

Theorem 1.16: Fundamental Theorem of Arithmatic

The factoring of any integer n > 1 into primes is unique apart from the order of the prime factors.

Definition 1.6:

We call a a square (or **perfect square**) if it can be written as $a = n^2$. By the **F.T.A.** a is a square if all the exponents $\alpha(p)$ in (1.6) are even. We say that a is **square free** if 1 is the largest square dividing a. Thus a is square free iff the exponents $\alpha(p) = 0$ or 1 If p is prime, then the assertion $p^k || a$ is equivalent to $k = \alpha(p)$.

Theorem 1.17: (Euclid)

The number of primes is infinite.

Definition 1.7:

Let $n \in \mathbb{N}$ and p a prime. Then

$$v_p(n) = \max(k \in \mathbb{N}_{\&()} : p^k | n) \tag{24}$$

where k is the unique non-negative integer such that $p^k|n$ but $p^{k+1}|n$ Equivalently $V_p(n)=k$ iff $n=p^kn'$ where $n'\in\mathbb{N}$ and $p\nmid n'$

Lemma: Let $n, m \in \mathbb{N}$ and p be a prime. then

$$v_p(mn) = v_p(m) + v_p(n) \tag{25}$$

2 Congruences

2.1 Congruences

Definition 2.1:

If $m \in \mathbb{Z}$, $m \neq 0$ is such that m|a-b, we say that a is <u>congruent to</u> b modulo m and we write $a \equiv b \pmod{m}$

Since a-b is divisible by -m, we can socus our attention to a positive modulus. We will assume in this chapter that m > 0.

Theorem 2.1: Properties of Congruences

- 1. $a \equiv b \pmod{m}$ $b \equiv a \pmod{m}$, and $a b \equiv 0 \pmod{m}$ are equivalent statements.
- 2. If $a \equiv b \pmod{m}$ and $b \equiv c \pmod{m}$, then $a \equiv c \pmod{m}$
- 3. If $a \equiv b \pmod{m}$ and $c \equiv d \pmod{m}$, then $a + c \equiv b + d \pmod{m}$
- 4. If $a \equiv b \pmod{m}$ and $c \equiv d \pmod{m}$, then $ac \equiv bd \pmod{m}$
- 5. If $a \equiv b \pmod{m}$ and d|m, d > 0, then $a \equiv b \pmod{d}$
- 6. If $a \equiv b \pmod{m}$ then $ac \equiv bc \pmod{mc}$ for c > 0

Theorem 2.2:

Let f denote a polynomial with integral coefficients. If $a \equiv b \pmod{m}$ then $f(a) \equiv f(b) \pmod{m}$

Theorem 2.3:

- 1. If $ax \equiv by \pmod{m}$ and $x \equiv y \pmod{fracm(a, m)}$
- 2. $ax \equiv by \pmod{m}$ and (a, m) = 1, then $x \equiv y \pmod{m}$
- 3. $x \equiv y \pmod{m_i}$ for i = 1, ..., r iff $x \equiv y \pmod{[m_1, ..., m_r)}$

Definition 2.2:

If $x \equiv y \pmod{m}$ then y is called a <u>residue</u> of $x \pmod{m}$. A set $x_1, ..., x_m$ is called a <u>complete residue system modulo m</u> if for every integer y, there is one and only one x_j such that $y = x_j \pmod{m}$

Theorem 2.4:

If $b \equiv c \pmod{m}$, then (b, m) = (c, m).

Definition 2.3:

A reduced residue system modulo m is a set of integers r_i such that $(r_i, m) = 1, r_i \not\equiv r_j, \pmod{m}$ if $i \neq j$, and such that every x prime to m (coprime) is congruent modulo m to some member r_i of the set.

- You can obtains a reduced residue system by deleting from a complete residue system modulo m those members that are not relatively prime to m.
- We will denote by $\Phi(m)$ to be the number of elements of a reduced residue system modulo m.
- \bullet All reduced reside system modulo m have the same number of elements.
- $\Phi(m)$ is called the Euler's Φ -function or Euler's totient-function

Theorem 2.5:

The number $\Phi(m)$ is the number of positive integers less than or equal to m are relatively prime to m.

Theorem 2.6:

Let (a, m) = 1. Let $r_1, ..., r_n$ be a complete, or a reduced, residue system modulo m. Then $ar_1, ..., ar_n$ is a complete, or a reduced, residue system, respectively, modulo m.

Theorem 2.7: Fermat's Theorem

Let p denote a prime. If $p \nmid a$ then $a^{p-1} \equiv 1 \pmod{p}$. For every integer a, $a^p \equiv a \pmod{p}$.

Theorem 2.8: Euler's Generalization of Fermat's Theorem

If (a, m) = 1, then

$$a^{\phi}(m) \equiv 1 \pmod{m} \tag{26}$$

Theorem 2.9:

If (a, m) = 1 then there is an x such that $ax \equiv 1 \pmod{m}$. Any two such x are congruent \pmod{m} . If (a, m) > 1 then there is no such x.

Lemma 2.10:

Let p be a prime number. Then $x^2 \equiv 1 \pmod{p}$ iff $x \equiv \pm 1 \pmod{p}$.

Theorem 2.11: Wilson's Theorem

If p is prime, then $(p-1)! \equiv -1 \pmod{p}$

Theorem 2.12:

Let p denote a prime. Then $x^2 \equiv -1 \pmod{p}$ has solutions iff p = 2 or $p \equiv 1 \pmod{4}$.

Proof: Theorem

Theorem 2.13:

If p is prime and $p \equiv 1 \pmod{4}$, then there exists positive integers a and b such that $a^2 + b^2 = p$.

Lemma 2.14:

Let q be a prime factor of $a^2 + b^2$. If $q \equiv 3 \pmod{4}$ then q|a and q|b.

Theorem 2.15: (Fermat)

Let

$$n = 2^{\alpha} \prod_{p \equiv 1(4)} p^{\beta} \prod_{q \equiv 3(4)} q^{\gamma}$$
 (27)

Then n can be expressed as a sum of two squares iff all the exponents of γ are even.

2.2 Solutions of Congruences

• Let f(x) denote a polynomial, e.g.

$$f(x) = a_n x^n + \dots + a_0 (28)$$

- if $u \in \mathbb{Z}$ such that $f(u) \equiv 0 \pmod{m}$ then we say that u is a solution of the congruence $f(x) \equiv 0 \pmod{m}$
- If u is a solution of $f(x) \equiv 0 \pmod{m}$ and if $v \equiv u \pmod{m}$, then theorem 2.2 shows that v is also a solution.
 - $-x \equiv u \pmod{m}$ is a solution of $f(x) \equiv 0 \pmod{m}$ meaning that every integer congruent to u modulo m satisfied $f(x) \equiv 0 \pmod{m}$.

Definition 2.4:

Let $r_1, ..., r_m$ denote a complete residue system modulo m.

The <u>number of solutions</u> of $f(x) \equiv 0 \pmod{m}$ is the number of the r_i such that $f(r_i) \equiv 0 \pmod{m}$

Definition 2.5:

Let $f(x) = a_n x^n + ... + a_0$. If $a_n \equiv 0 \pmod{m}$ the degree of the congruence $f(x) \equiv 0 \pmod{m}$ is n. If $a_n \equiv 0 \pmod{m}$, let j be the largest integer such that $a_j \not\equiv 0 \pmod{m}$; then the degree of the congruence is j. If there is no such integer j, then no degree is assigned to the congruence.

Theorem 2.16:

If d|m, d > 0, and if u is a solution of $f(x) \equiv 0 \pmod{m}$, then u is a solution of $f(x) \equiv 0 \pmod{d}$

- We say that $f(x) \equiv 0 \pmod{m}$ is an <u>identical congruence</u> if it holds for all integers x
 - If f(x) is a polynomial whose coefficients are divisible by m, then $f(x) \equiv 0 \pmod{m}$ is an identical congruence
 - e.g. $x^p \equiv x \pmod{p}$ is true for all integers x by theorem 2.5

Theorem 2.17: Linear Congruences

Let a, b and m > 0 be given integers, and put g = (a, m). The congruence $ax \equiv b \pmod{m}$ has a solution iff g|b. If this condition is met, then the solution forms an arithmetic progression with common difference $\frac{m}{g}$, giving g solutions \pmod{m} .

How to solve general linear congruences: Let $a, b \in \mathbb{Z}$ and let $n \in \mathbb{N}$. Suppose we wish to solve the linear congruence

$$ax \equiv b \pmod{n} \tag{29}$$

Firstly apply the Extended Euclidean Algorithm to compute d = gcd(a, n) and find $x', y' \in \mathbb{Z}$ such that

$$ax' + ny' = d (30)$$

If $d \nmid b$ then there are no solutions by theorem 2.17. Otherwise, there are exactly d solutions modulo n by theorem 2.17, which we can find as follows.

Write

$$a = da', \quad b = db', \quad n = dn'$$
 (31)

Dividing (18) by d gives

$$a'x' + n'y' = 1 (32)$$

Thus reducing mod n' gives $a'x' \equiv 1 \pmod{n'}$ and multiplying by b' gives $a'(b'x') \equiv b' \pmod{n'}$. Therefore t := b'x' is the unique solution to $a'x \equiv b' \pmod{n'}$. Now by theorem 2.17 the solutions to (17) are t, t+n', ..., t+(d-1)n'

2.3 The Chinese Remainder Theorem

Solve Simultaneous Congruences

Find x (is there are any) that satisfies

$$x \equiv a_1 \pmod{m_1}$$

$$x \equiv a_2 \pmod{m_2}$$
...
$$x \equiv a_r \pmod{m_r}$$
(33)

Theorem 2.18: The Chinese Remainder Theorem

Let $m_1, ..., m_r$ denote r positive integers that are relatively prime in pairs, and let $a_1, ..., a_r \in \mathbb{Z}$. Then the congruences (21) have have common solutions. If x_0 is one such solution, then an integer x satisfies the congruences (21) iff $x = x_0 + km$ for some integer k. Here $m - m_1 m_2 ... m_r$

• $m_1, ..., m_r$ positive integers relatively prime in pairs

- $m = m_1 m_2 ... m_r$
- Instead of considering just one set of aligns (21), we will consider all possible systems of this type
- Let

$$a_{1} \in \{1, ..., m_{1}\}$$
 $a_{2} \in \{1, ..., m_{2}\}$
...
$$a_{r} \in \{1, ..., m_{r}\}$$
(34)

- The number of such r-tuples $(a_1, ..., a_r)$ is $m = m_1 m_2 ... m_r$.
- By the C.R.T. each r-tuple determines precisely one residue class x modulo m.
 - Moreover, distinct r-tuples determine different residue classes. To see this, suppose that $(a_1, ..., a_r) \neq (a'_1, ..., a'_r)$. then $a_i \neq a'_i$ for some i, and we see that no integer x satisfies both the congruences $x \equiv a_i \pmod{m_i}$ and $x \equiv a'_i \pmod{m_i}$
- This we have a one-to-one correspondence between the r-tuples $(a_1, ..., a_r)$ and a complete residue system modulo m, such as the integers 1, ..., m

Theorem 2.19:

If m_1 , $m_2 > 0$, $(m_1, m_2) = 1$, then $\phi(m_1, m_2) = \phi(m_1)\phi(m_2)$ moreover, if $m = \prod p^{\alpha}$ then

$$\phi(m) = \prod_{p|m} (p^{\alpha} - p^{\alpha - 1}) = m \prod p|m(1 - \frac{1}{p})$$
(35)

Theorem 2.20:

Let f(x) be a fixed polynomial with integral coefficients, and for any positive integer m let N(m) denote the number of solutions of the congruence $f(x) \equiv 0 \pmod{m}$. If $m = m_1 m_2$ where $(m_1, m_2) = 1$, then $N(m) = N(m_1)N(m_2)$. If $m = \prod p^{\alpha}$, then $N(m) = \prod N(p^a l p h a)$

2.4 Public-key Cryptography

Lemma 2.22:

Suppose $m \in \mathbb{Z}$, m > 0, (a, m) = 1. If $k, \overline{k}\mathbb{Z}$ and $k, \overline{k} > 0$ such that $k, \overline{k} \equiv 1 \pmod{\phi(m)}$, then $a^{k\overline{k}} \equiv a \pmod{m}$.

Proof: Theorem 2.22

Write $k\overline{k} = 1 + r\phi(m)$ for some $r \in \mathbb{Z}$. Then by Euler's congruence

$$a^{k\overline{k}} = aa^{r\phi(m)} = a(a^{\phi(m)})^r \equiv a \cdot 1^r = a \ (mod \ m)$$

- If (a, m) = 1, k > 0, then $(a^k, m) = 1$. Thus if $n = \phi(m)$ and $r_1, ..., r_n$ is a system of reduced residues $(mod\ m)$, then the numbers $r_1^k, ..., r_n^k$ are also relatively prime to m. These k^{th} powers may not all be distinct $(mod\ m)$, as we see by considering the case $k = \phi(m)$. On the other hand, from lemma 2.22, we can deduce that these k^{th} powers are distinct $(mod\ m)$ provided that $(k, \phi(m)) = 1$.
- Suppose that $r_i^k \equiv r_j^k \pmod{m}$ and $(k, \phi(m)) = 1$. By theorem 2.9 we may find $\overline{k} > 0$ such that $k\overline{k} \equiv 1 \pmod{\phi m}$ and then it follows from the lemma that

$$r_i \equiv r_i^{k\overline{k}} = (r_i^k)^{\overline{k}} \equiv (r_j^k)^{\overline{k}} = r_j^{k\overline{k}} \equiv r_j \pmod{m}$$
 (36)

This implies that i=j. We will show later that the converse also holds: the numbers $r_i^k, ..., r_n^k$ are distinct $(mod\ m)$ only if $(k, \phi(m)) = 1$. Suppose that $(k, \phi(m)) = 1$. Since the numbers $r_1, ..., r_n^k$ are distinct $(mod\ m)$, they form a system of reduced residues $(mod\ m)$. That is the map $a \mapsto a^k$ permutates the reduced residues $(mos\ m)$ if $(k, \phi(m)) = 1$. The significance of the lemma is that the further map $b \mapsto b^{\overline{k}}$ is the inverse permutation.

- To apply these observations to cryptography, we take two distinct large primes, p_1, p_2 , say each one with about 100 digits.
 - So $m = p_1 p_2$ has about 200 digits.
 - Since we know the prime factorisation of m, from theorem 2.19 we have that $\phi(m) = (p_1 1)(p_2 1)$
 - $-\operatorname{So} \phi(m) < m$
 - we choose now a big number k, 0 < k, $\phi(m)$ and check by the Euclidean algorithm that $(k, \phi(m)) = 1$. We try until we get such a k.
 - We make the numbers m and k publicly available, by keep p_1, p_2 and $\phi(m)$ secret.
 - suppose now that some associate of ours wants to send us a message, say 'Gauss was a genuis!'. The associate first converts the characters to number in some standard way, say by emplying (ASCII). Then $G=071,\ a=097,\ldots,\ !=033.$ Then concatenate these codes to form a number

a = 071097117115115126119097115126097126103101110105117115033

- if the message were longer, it could be ficided into a number of blocks.
- the associate could send the number a and we could reconstruct the message. But suppose that message has some sensitive information. In that case the associate would use the number k and m that we have provided.
- Our associate quickly finds the unique number $b, 0 \le b < m$ such that $b \equiv a^k \pmod{m}$ and sends this b to us.
- We use Euclidean Algorithm to find $\overline{k} > 0$ such that $k\overline{k} \equiv 1 \pmod{\phi(m)}$ and then we find the unique c such that $0 \le c < m$, $c \equiv b^{\overline{k}} \pmod{m}$. From lemma 2.22 we deduce that a = c.

• In theory it might happen that (a,m)>1 in which case the lemma does not apply, but the chances of this is $\approx \frac{1}{p_i} \approx 10^{-100}$. Suppose that some third party gain access to the numbers m, k and b, and seeks to recover the number a. In principle, all that needs to be done is to factor m, which yields $\phi(m)$, and hence \overline{k} . The problem of locating the factors of m for a big number is not easy.

2.5 Prime Power Moduli

Let f(x) be a polynomial with integer coefficients. Let N(m) denote the number of solutions of $f(x) \equiv 0 \pmod{m}$. Suppose that $m = m_1 m_2$, where $(m_1, m_2) =$. With a "little work", theorem 2.19 shows that the roots of the congruence $f(x) \equiv 0 \pmod{m}$ are in one-to-one correspondence with pairs (a_1, a_2) in whic a_1 runs over all roots of the congruences $f(x) \equiv 0 \pmod{m_1 andin} \ a_2$ runs over all roots of the congruence $f(x) \equiv 0 \pmod{m_2}$.

• From theorem 2.16 and theorem 2.20 we have that the congruence $f(x) \equiv 0 \pmod{m}$ has solutions iff it has solutions $\pmod{p^{\alpha}}$ for each prime power p^{α} exactly dividing m.

Example: Let $f(x) = x^2 + x + 7$. Find all roots of $f(x) \equiv 0 \pmod{189}$, given that $189 = 3^3 \cdot 7$, that all roots $\pmod{27}$ are 4, 13, and 22, and that the roots $\pmod{7}$ are 0 and 6.

Solution: By the Eucliean algorithm and (2.2), we find that $x \equiv a_1 \pmod{27}$ and that $x \equiv a_2 \pmod{7}$ iff $x \equiv 28a_1 - 27a_2 \pmod{189}$. We let $a_1 = 4, 13, 22$ and $a_2 = 0, 6$. Thus we obtain the six solutions 13, 49, 76, 112, 139, 175 $\pmod{189}$

- The problem of solving a congruence is now reduced to the case of a prime-power modulus.
 - To solve $f(x) \equiv 0 \pmod{p^k}$ we start with a solutions modulo p and then move to $p^2, p^3, ..., p^k$.

Suppose that x = a is a solution of $f(x) \equiv 0 \pmod{p^j}$ and we want to use it to get a solution modulo p^{j+1} . The idea is to try to get a solution $x = a + tp^j$, where t is to be determined, by use of Taylor's expansion

$$f(a+tp^{j}) = f(a) + tp^{j}f'(a) + t^{2}p^{2j}\frac{f''(a)}{2!} + \dots + t^{n}p^{nj}\frac{f^{(n)}(a)}{n!}$$
(37)

where n = degree of f(x). All derivatives beyond the n^{th} are identically zero. Now with respect to the modulus p^{j+1} , equation (37) gives

$$f(a+tp^j) \equiv f(a) + tp^j f'(a) \pmod{p^{j+1}}$$

as the following argument shows. What we want to establish is that the coefficients of $t^1, t^3, ..., t^n$ in (37) are divisible by p^{j+1} and so can be ommitted in (38). This is almost obvious because the powers of p in those

terms. The explanation is that $\frac{f^{(k)}(a)}{k!}$ is an integer for each value of k, $2 \le k \le n$. To see this, let cx^r be a representative term from f(x). The corresponding term in $f^{(k)}(a)$ is $cr(r-1)(r-2)...(r-k+1)a^{r-k}$.

We now use the fact (without proof), that the product of k consecutive integers is divisible by k!, and the argument is complete. Thus, we have proved that the coefficients of $t^2, t^3, ..., t^n$ in (37) are divisible by p^{j+1} . The congruence (38) reveals how t should be chosen if $x = a + tp^j$ is to be a solution of $f(x) \equiv 0 \pmod{p^{j+1}}$. We want t to be a solution of

$$f(a) + tp^{j}f'(a) \equiv 0 \pmod{p^{j+1}}$$
 (38)

Since $f(x) \equiv 0 \pmod{p^j}$ have the solutions x = a, we see that p^j can be removed as a factor to given

$$tf'(a) \equiv -\frac{f(a)}{p^j} \pmod{p} \tag{39}$$

Which is a linear congruence in t. This congruence may have no solution, one solutions, or p solutions. If $f'(a) \equiv 0 \pmod{p}$, then this congruence has exactly one solution, and we obtain

Theorem 2.3: Hansel's Lemma:

Suppose that f(x) is a polynomial with integral coefficients. If $f(a) \equiv 0 \pmod{p^j}$ and $f'(a) \not\equiv 0 \pmod{p}$ then there is a unique $t \pmod{p}$ such that $f(a+tp^j) \equiv 0 \pmod{p^{j+1}}$

- If $f(a) \equiv 0 \pmod{p^j}$, $f(b) \equiv 0 \pmod{p^k}$, j < k and $a \equiv b \pmod{p^j}$, then we say that \underline{b} lies above \underline{a} , or \underline{a} lifts to \underline{b} .
- If $a \equiv b \pmod{p^j}$, then a is called a <u>nonsingular</u> root if $f'(a) \not\equiv 0 \pmod{p}$; otherwise it is <u>singular</u>.
- By Hensel's lemma we see that a nonsingular root $a \pmod{p}$ lifts to a unique root $a_2 \pmod{p^2}$. Since $a_2 \equiv a \pmod{p}$ it follows by theorem 2.2 that $f'(a_2) \equiv f'(a) \not\equiv 0 \pmod{p}$. By a second application of Hensel's lemma we may lift a_2 to form a root a_3 of f(x) modulo p^3 , and so on.
- In general we find that a nonsingular root a modulo p lifts to a uniques root a_j modulo p^j ofr j = 2, 3, ... by (2.5) we see that this sequence is generated bby means of the recursion

$$a_{j+1} = a_j - f(a_j)\overline{f'(a)} \tag{40}$$

where f'(a) is an integer chosen so that $f'(a)\overline{f'(a)} \equiv 1 \pmod{p}$.

Example: Solve $x^2 + x + 47 \equiv 0 \pmod{7^3}$

Solution: First we note that $x \equiv 1 \pmod{7}$ and $x \equiv 5 \pmod{7}$ are the only solutions of $x^2 + x + 47 \equiv 0 \pmod{7}$. Since f'(x) = 2x + 1, we see that

- $f'(1) = 3 \equiv 0 \pmod{7}$
- $f'(5) = 11 \equiv 0 \pmod{7}$

(So these roots are non singular)

Taking f'(1) = 5, we see by (40) that the root $a \equiv 1 \pmod{7}$ lifts to $a_2 = 1$. Since a_2 is considered $\pmod{7^2}$, we may take instead $a_2 = 1$. Then $a_3 = 1 - 49 \cdot 5 \equiv 99 \pmod{7^3}$. Similarly, we take f'(5) = 2 and see by (40) that the root $5 \pmod{7}$ lifts to $5 - 77 \cdot 2 = -149 \equiv 47 \pmod{7^2}$ and that $47 \pmod{7^2}$ lifts to $47 - f(47) \cdot 2 = 47 - 2303 \cdot 2 = -4599 \equiv 243 \pmod{7^3}$. Thus we conclude that 99 and 243 are the desired roots and that there are no others.

2.6 Prime Modulus

 $f(x) \equiv 0 \pmod{m} \rightarrow f(x) \equiv 0 \pmod{p}$ (reduced) (No general mathod exists to solve such congruences)

Question:

Given a polynomial congruence $f(x) \equiv 0 \pmod{m}$ is there an analogue to the result in algebra which says that a polynomial equation of degree n with complex coefficients has exactly n roots?

 \rightarrow for congruences the solution is more complicated.

e.g. For any m > 1, there are f(x) such that $f(x) \equiv 0 \pmod{m}$ has no solutions.

e.g. $2x^p - x + 1 \equiv 0 \pmod{m}$, where p is a prime factor of m has no solutions because $x^p - x + 1 \equiv 0 \pmod{p}$ has none, by Fermat's Theorem.

 $f(x) = a_n x^n + a_{n-1} x^{n-1} + ... + a_1 x + a_0$ and we assume $p \nmid a_n$ so that the congruence $f(x) \equiv 0 \pmod{p}$ has degree n.

Theorem 2.25:

If the degree n of $f(x) \equiv 0 \pmod{p}$ is greater than or equal to p, then either every integer is a solution of $f(x) \equiv 0 \pmod{p}$ or there is a polynomial g(x) having integral coefficients, with leading coefficient 1, such that $g(x) \equiv 0 \pmod{p}$ is of degree less than p and the solutions of $g(x) \equiv 0 \pmod{p}$ are precisely those of $f(x) \equiv 0 \pmod{p}$.

Proof: Theorem 2.25

Dividing f(x) by $x^p - x$ we get a quotient q(x) and a remainder r(x) such that $f(x) = (x^-x)q(x) + r(x)$. here q(x) and r(x) are polynomials with integral coefficients, and r(x) = 0 or degree r(x) < p. Since every integer is a solutions of $x^p \equiv x \pmod{p}$ are the same as those of $r(x) \equiv 0 \pmod{p}$ by Fermat's theorem, we see that the solutions of $f(x) \equiv 0 \pmod{p}$ are the same as those of $r(x) \equiv 0 \pmod{p}$. If r(x) = 0 or if every coefficient of r(x) is divisible by p, then every integer is a solution of $f(x) \equiv 0 \pmod{p}$.

On the other hand, if at least one coefficient of r(x) is not divisible by p, then the congruence $r(x) \equiv 0 \pmod{p}$ has a degree, and that degree is less than p. The

polynomial g(x) in the theorem can be obtained from r(x) by getting leading coefficient 1, as follows. We may discard all terms in r(x) whose coefficients are divisible by p, since the congruence properties modulo p are unaltered. Then let bx^m be the term of the highest degree in r(x), with (b,p)=1. Choose \bar{b} so that $b\bar{b}\equiv 1\pmod{p}$, and note that $(\bar{b},b)=1$ also. Then the congruence $\bar{b}r(x)\equiv 0\pmod{p}$ has the same solutions as $r(x)\equiv 0\pmod{p}$, and so has the same solutions as $f(x)\equiv 0\pmod{p}$. Define $g(x)=\bar{b}r(x)$ with its leading coefficient $b\bar{b}$ replaced by 1, that is,

$$g(x) = \overline{b}r(x) - (b\overline{b} - 1)x^m \tag{41}$$

Theorem 2.26:

The congruence $f(x) \equiv 0 \pmod{p}$ of degree n has at most n solutions.

Proof: Theorem 2.26

The proof is by induction on the degree of $f(x) \equiv 0 \pmod{p}$. If n = 0, the polynomial $f(x) = a_0$ with $a_0 \not\equiv 0 \pmod{p}$ and hence the congruence has no solutions. If n = 1, the congruence has exactly one solutions by theorem 2.17. Assume the truth of the theorem for all congruences of degree < n, supppose that there were more than n solutions of the congruence $f(x) \equiv 0 \pmod{p}$ of degree n. Let the leading term of f(x) be $a_n x^n$ and let $u_1, ..., u_{n+1}$ be solutions of the congruence with $u_1 \not\equiv u_j \pmod{p}$ for $i \not\equiv j$. We define g(x) by

$$g(x) = f(x) - a_n(x - u_1)...(x - u_n)$$
(42)

noting the cancellation of $a_n x^n$ on the right.

Note that $g(x) \equiv 0 \pmod{p}$ has at least n solutions, namely $u_1, ..., u_n$. We cansider two cases:

- 1. every coefficient. of g(x) is divisible by p
- 2. at least one coefficient is not divisible by p

For (i), every integer is a solution of $g(x) \equiv 0 \pmod{p}$, and since $f(u_{n+1}) \equiv 0 \pmod{p}$ by assumption, it follows that $x = u_{n+1}$ is a solutions of

$$a_n(x - u_1)...(x - u_n) \equiv 0 \pmod{p}$$
 (43)

This contradicts theorem 1.15.

For (ii), we note that $g(x) \equiv 0 \pmod{p}$ has a degree and that degree is < n. By the induction hypothesis, this congruence has fewer than n solutions. This contradicts the earlier observation that this congruence has at least n solutions. Thus the proof is complete.

Corollary 2.27: If $b_n x^n + b_{n-1} x^{n-1} + ... + b_0 \equiv 0 \pmod{p}$ has more than n solutions, then all the coefficients b_j are divisible by p.

Theorem 2.28:

If F(x) is a function that maps residue classes (mod p) to residue classes (mod p),

then there is a polynomial f(x) with integral coefficients and degree at most p-1 such that $f(x) \equiv F(x) \pmod{p}$ for all residue classes $x \pmod{p}$.

Theorem 3.2: Gauss' Lemma

Let p be an odd prime and (a, p) = 1.

$$a, 2a, 3a, ..., \frac{p-1}{2}a$$
 (44)

and their least positive residues