

**Program Name/Purpose-** Tarjan's off-line lowest common ancestors algorithm

**Project Category-** Advanced Data Structures, Trees

**Programming Paradigm Used-** Offline Processing , Colouring, Disjoint Set Union by rank and path compression

**Prerequisites-**

- a) LCA basics- <http://www.geeksforgeeks.org/lowest-common-ancestor-binary-tree-set-1/>
- b) Disjoint Set Union by Rank and Path Compression- <http://www.geeksforgeeks.org/union-find-algorithm-set-2-union-by-rank/>

**Data Structure Used-** Disjoint Set Data Structure, Tree data structure

**What is the program about?-**

Suppose we are given a tree(can be extended to a DAG) and we have many queries of form-  $LCA(u,v)$ , i.e.- find LCA of nodes- ' $u$ ' and ' $v$ '.

How will we perform the above queries efficiently.

We can perform those queries in  $O(N+Q\log N)$  time, where  $O(N)$  time for pre-processing and  $O(\log N)$  for answering the queries, where  $N$ =number of nodes and  $Q$ =number of queries to be answered.

See this for implementation- <http://www.geeksforgeeks.org/find-lca-in-binary-tree-using-rmq/>

Can we do better than this? Can we do in linear(almost) time? Yes.

The article presents an **offline algorithm** which performs those queries in **approximately** -  $O(N+Q)$  time. Although, this is not **exactly linear**, as there is an **Inverse Ackermann function** involved in the time complexity analysis. For more details on Inverse Ackermann function see- <http://www.gabrielnivasch.org/fun/inverse-ackermann>

Just as a summary, we can say that-

“ The Inverse Ackermann Function remains less than **4**, for any value of input size that can be written in physical inverse. Thus, we consider this as almost linear. ”

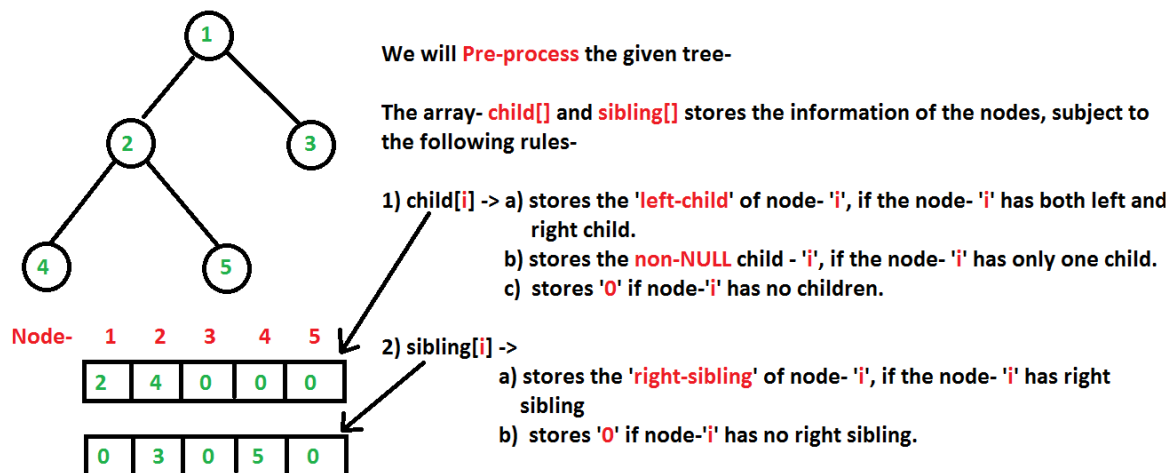
## What is an offline algorithm?

When you process input at the time they are given that approach is called online and when you store all input and process that later at once, that comes under offline category.

A famous offline algorithm is – Mo's algorithm [<http://www.geeksforgeeks.org/mos-algorithm-query-square-root-decomposition-set-1-introduction/>]

### Explanation-

We will consider the input tree as shown below. We will **Pre-Process** the tree and fill two arrays- **child[]** and **sibling[]** according to the below explanation-



Let we want to process these queries- **LCA(5,4), LCA(1,3), LCA(2,3)**

Now, after **pre-processing**, we will perform a **LCA walk** starting from the **root** of the tree(here- node '1'). But **prior** to the LCA walk, we will firstly **colour** all the nodes with **WHITE**. During the whole LCA walk, we will use three disjoint set union functions- **makeSet()**, **findSet()**, **unionSet()**. These functions uses the technique of union by rank and path compression to improve the running time. During the LCA walk, our queries gets processed and outputted (in a random order). After the LCA walk of the whole tree, all the nodes gets coloured **BLACK**.

**Tarjan Offline LCA Algorithm steps-** (Reference- CLRS, Section-21-3, Pg 584, 2<sup>nd</sup> / 3<sup>rd</sup> edition)

### 21-3 Tarjan's off-line least-common-ancestors algorithm

The *least common ancestor* of two nodes  $u$  and  $v$  in a rooted tree  $T$  is the node  $w$  that is an ancestor of both  $u$  and  $v$  and that has the greatest depth in  $T$ . In the *off-line least-common-ancestors problem*, we are given a rooted tree  $T$  and an arbitrary set  $P = \{\{u, v\}\}$  of unordered pairs of nodes in  $T$ , and we wish to determine the least common ancestor of each pair in  $P$ .

To solve the off-line least-common-ancestors problem, the following procedure performs a tree walk of  $T$  with the initial call  $\text{LCA}(T.\text{root})$ . We assume that each node is colored WHITE prior to the walk.

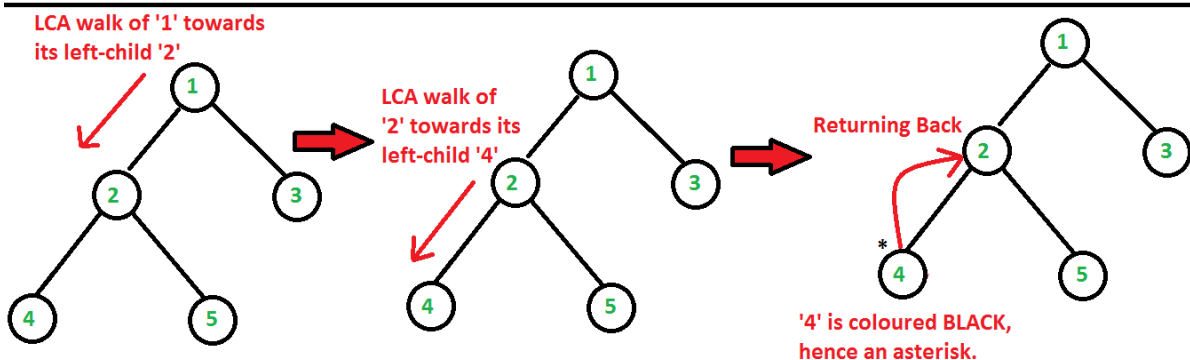
$\text{LCA}(u)$

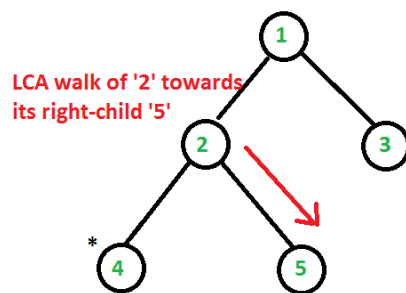
```
1  MAKE-SET( $u$ )
2  FIND-SET( $u$ ).ancestor =  $u$ 
3  for each child  $v$  of  $u$  in  $T$ 
4      LCA( $v$ )
5      UNION( $u, v$ )
6      FIND-SET( $u$ ).ancestor =  $u$ 
7   $u.\text{color} = \text{BLACK}$ 
8  for each node  $v$  such that  $\{u, v\} \in P$ 
9      if  $v.\text{color} == \text{BLACK}$ 
10         print "The least common ancestor of"
               $u$  "and"  $v$  "is" FIND-SET( $v$ ).ancestor
```

**Note**-The above algorithm is an offline algorithm. Hence, the queries may not be outputted in the original order. We can easily sort them according to the input order.

Since, this is a **recursive function**, so we will explain this algorithm step-by-step on our input tree using these pictures. The below pictures clearly depict all the steps happening. The red arrow shows the direction of travel of our recursive function.

Initially, prior to the LCA walk, all nodes are coloured **WHITE**, and during the LCA walk the nodes get coloured **BLACK**. We denote a node to be coloured **BLACK**, by putting an asterisk '\*' beside that node, and a **WHITE** node doesn't have an asterisk '\*' beside it. Note that LCA walk of a node consists of two parts- **towards its left-child** and **towards its right-child**.

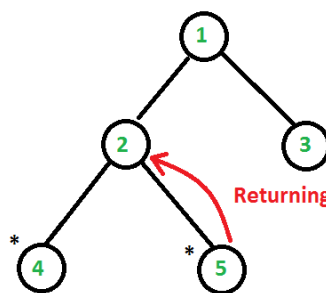




LCA walk of '2' towards its right-child '5'

Steps happening-

- 1) Union of 2 and 4
- 2) ancestor[2]=2



Returning back

Steps happening-

- 1) 5 is coloured BLACK
- 2)  $LCA(5,4) = \text{ancestor}[\text{find}(4)] = \text{ancestor}[2] = 2$

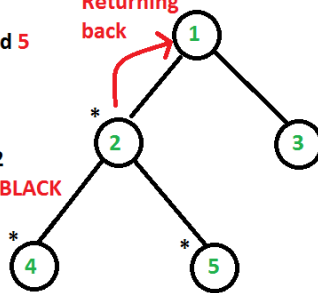
Steps Happening-

- 1) Union of 2 and 5

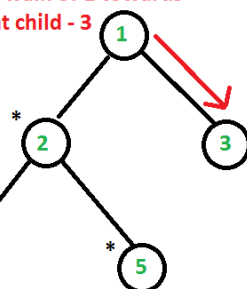


- 2) ancestor[2]=2
- 3) 2 is coloured BLACK

Returning back



LCA walk of 1 towards right child - 3

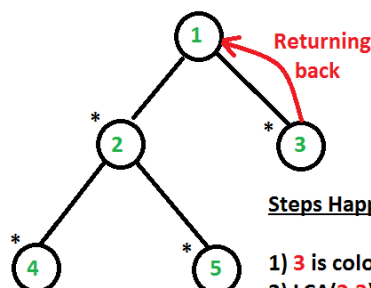


Steps Happening-

- 1) Union of 1 and 2

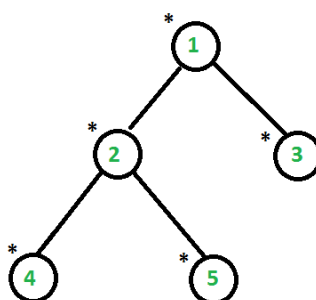


- 2) ancestor[2] = 1



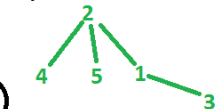
Steps Happening-

- 1) 3 is coloured BLACK
- 2)  $LCA(2,3) = \text{ancestor}[\text{find}(2)] = \text{ancestor}[2] = 1$



Steps Happening-

- 1) Union of 1 and 3



- 2) ancestor[2] = 1
- 3) 1 is coloured BLACK
- 4)  $LCA(1,3) = \text{ancestor}[\text{find}(3)] = \text{ancestor}[2] = 1$

As, we can clearly see from the above pictures, the queries are outputted in the following order- **LCA(5,4), LCA(2,3), LCA(1,3)** which is not in the same order as the input(**LCA(5,4), LCA(1,3), LCA(2,3)**).

### Time Complexity-

**Super-linear**, i.e- barely slower than **linear.  $O(N+Q)$  time**, where  **$O(N)$**  time for pre-processing and almost  **$O(1)$  time** for answering the queries, where **N**=number of nodes and **Q**=number of queries to be answered.

### Space Complexity-

We will use a many arrays- **parent[], rank[], ancestor[]** which will be used in Disjoint Set Union Operations each with the size equal to the number of nodes. We will also use the arrays- **child[], sibling[], color[]** which is useful in this offline algorithm. Hence, we use  **$O(N)$**

For convenience, all these arrays are put up in a structure- **struct subset** to hold these arrays.

### References-

[https://en.wikipedia.org/wiki/Tarjan%27s\\_off-line\\_lowest\\_common\\_ancestors\\_algorithm](https://en.wikipedia.org/wiki/Tarjan%27s_off-line_lowest_common_ancestors_algorithm)

CLRS, Section-21-3, Pg 584, 2<sup>nd</sup>/3<sup>rd</sup> edition

[http://wcipeg.com/wiki/Lowest\\_common\\_ancestor#Offline](http://wcipeg.com/wiki/Lowest_common_ancestor#Offline)