

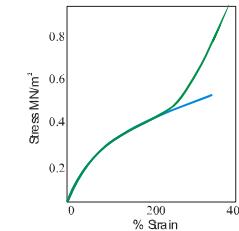
# Lecture 13

## Ch 10 Rubber Elasticity + Ch 6 Defects (vacancy) L1

F 22.08.2025

1

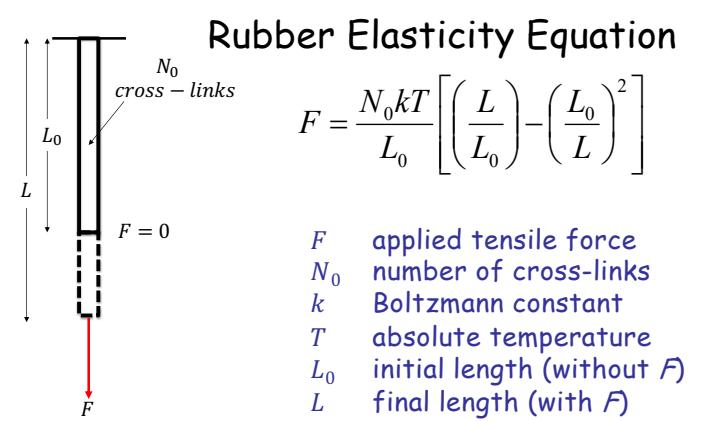
Elastomer  
Polymers with very extensive elastic deformation



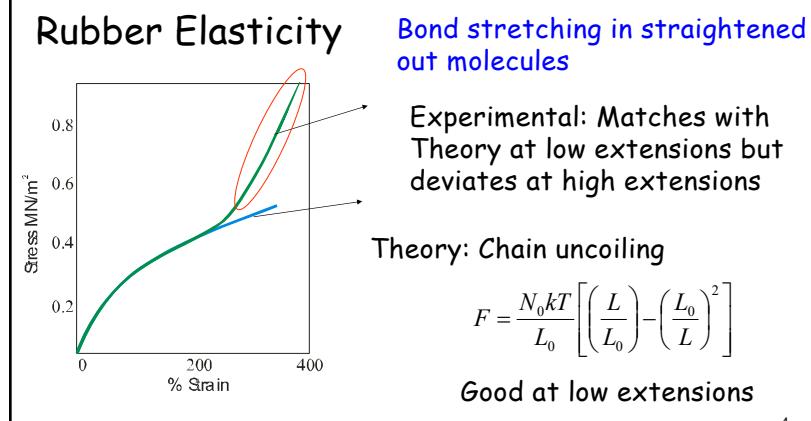
Stress-strain relationship is non-linear

Example: Rubber

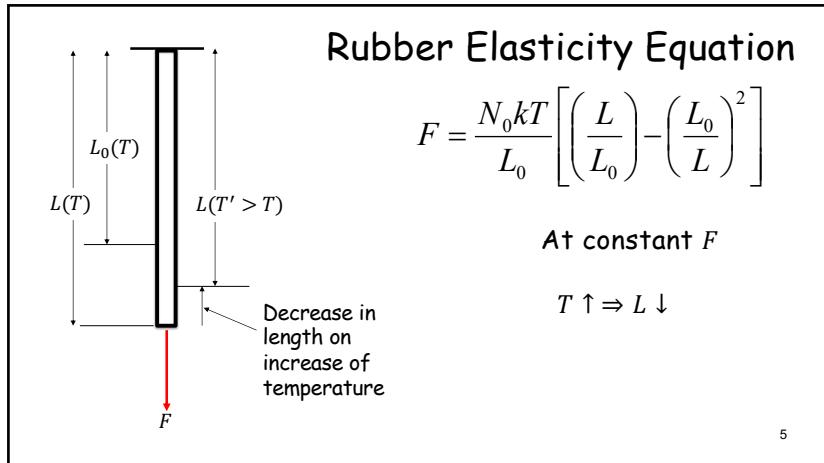
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5

Elastomers have -ve thermal expansion coefficient, i.e., they CONTRACT on heating!!

### EXPERIMENT 8

Section 10.3 of the textbook

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# Crystal Defects

## Chapter 6

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**Crystal = Lattice + Motif**

Is a lattice finite or infinite?

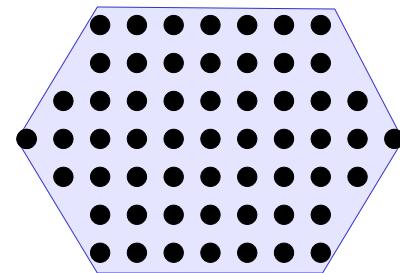
Is a lattice finite or infinite?

Abrupt ending of crystal at free surface

Free surface of a crystal is a defect

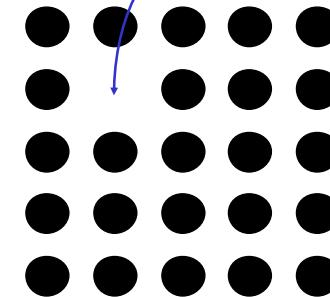
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Free surface: a 2D defect



9

Vacancy: A point defect



10

### *Defects Dimensionality Examples*

Point	0	Vacancy
Line	1	Dislocation
Surface	2	Free surface, Grain boundary Stacking Fault

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## Point Defects Vacancy

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## Point Defects: vacancy

A Guess

There **may** be some vacant sites in a crystal

*Surprising Fact*

There **must** be a certain fraction of vacant sites in a crystal in **equilibrium**.

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Equilibrium?

Equilibrium means Minimum Gibbs free energy  $G$  at constant  $T$  and  $P$

A crystal with vacancies has a lower free energy  $G$  than a perfect crystal

What is the equilibrium concentration of vacancies?

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## Gibbs Free Energy $G$ ?

$$G = H - TS \quad T \text{ Absolute temperature}$$

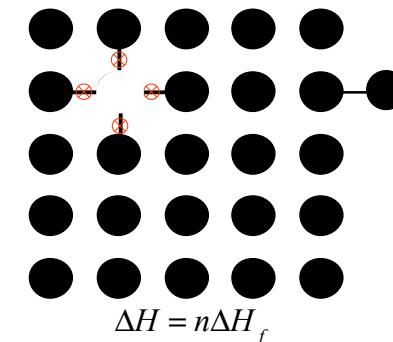
$H$  ?

1. Enthalpy  $H = E + PV$        $E$  internal energy  
 $P$  pressure  
 $V$  volume

2. Entropy  $S = k \ln W$        $k$  Boltzmann constant  
 $W$  number of microstates

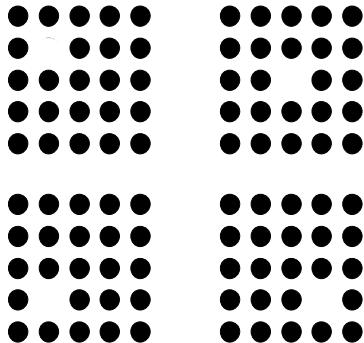
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Vacancy increases  $H$  of the crystal due to energy required to break bonds



16

Vacancy increases  $S$  of the crystal due to configurational entropy



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## Configurational entropy due to vacancy

Number of atoms:  $N$

Number of vacancies:  $n$

Total number of sites:  $N+n$

## The number of microstates:

$$W = {}^{N+n}C_n = \frac{(N+n)!}{n! N!}$$

Increase in entropy  $S$  due to vacancies:

$$\Delta S = k \ln W = k \ln \frac{(N+n)!}{n! N!} = k [\ln(N+n)! - \ln n! - \ln N!]$$

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## Stirlings Approximation

$$\ln N! \approx N \ln N - N$$

$N$	$\ln N!$	$N \ln N - N$
1	0	-1
10	15.10	13.03
100	363.74	360.51

100!=933262154439441526816992388562667004907159682643816214685\ 929638952175999322991560894146397615651828625369792082\ 722375825118521091686400

19

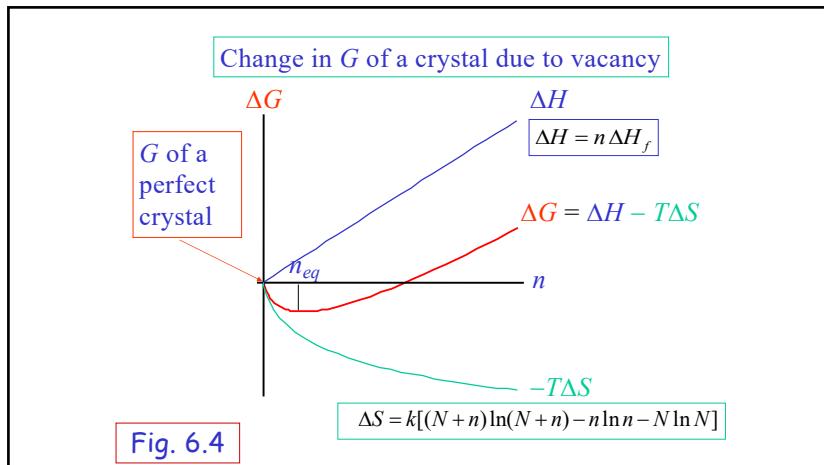
$$\Delta S = k \ln W = k[\ln(N+n)! - \ln n! - \ln N!]$$

$$\ln N! \approx N \ln N - N$$

$$\Delta S = k[(N+n)\ln(N+n) - n\ln n - N\ln N]$$

$$\Delta H = n \Delta H_f$$

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**Equilibrium concentration of vacancy**

$$\Delta S = k[(N+n)\ln(N+n) - n\ln n - N\ln N]$$

$$\Delta H = n \Delta H_f$$

$$\Delta G = n\Delta H_f - Tk[(N+n)\ln(N+n) - n\ln n - N\ln N]$$

$$\frac{\partial \Delta G}{\partial n} \Big|_{n=n_{eq}} = 0$$

$$\frac{n_{eq}}{N} = \exp\left(-\frac{\Delta H_f}{kT}\right)$$

With  $n_{eq} \ll N$

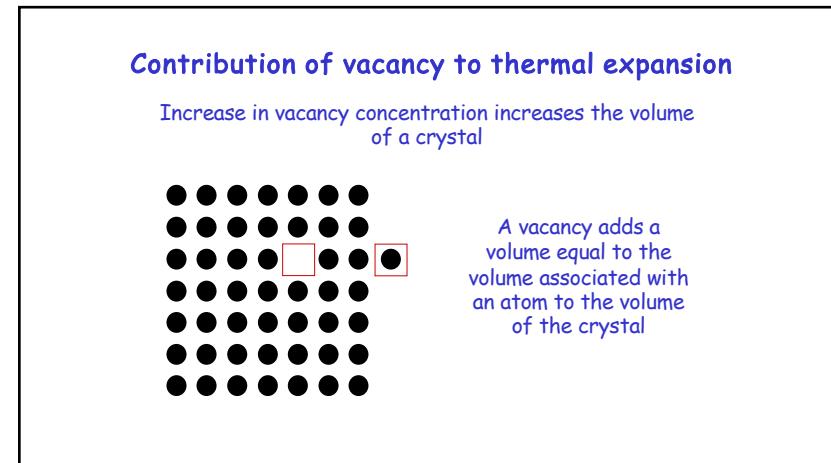
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$$\frac{n_{eq}}{N} = \exp\left(-\frac{\Delta H_f}{kT}\right)$$

Al:  $\Delta H_f = 0.70$  ev/vacancy  
Ni:  $\Delta H_f = 1.74$  ev/vacancy

$n/N$	0 K	300 K	900 K
Al	0	$1.45 \times 10^{-12}$	$1.12 \times 10^{-4}$
Ni	0	$5.59 \times 10^{-30}$	$1.78 \times 10^{-10}$

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### Contribution of vacancy to thermal expansion

Thus vacancy makes a small contribution to the thermal expansion of a crystal

Thermal expansion =

lattice parameter expansion

+

Increase in volume due to vacancy

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### Contribution of vacancy to thermal expansion

$$V = Nv$$

V=volume of crystal  
v= volume associated with one atom  
N=no. of sites (atoms+vacancy)

$$\Delta V = N \Delta v + V \Delta N$$

$$\frac{\Delta V}{V} = \frac{\Delta v}{v} + \frac{\Delta N}{N}$$

Total expansion

Lattice parameter increase

vacancy

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### Experimental determination of n/N

$$\frac{\Delta V}{V} = \frac{\Delta v}{v} + \frac{\Delta N}{N}$$

$$\frac{3\Delta L}{L} = \frac{3\Delta a}{a} + \frac{n}{N}$$

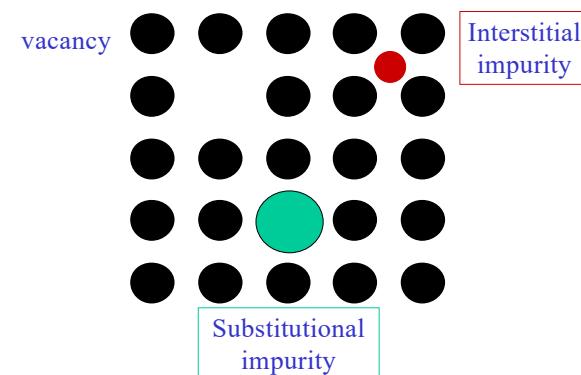
$$\frac{n}{N} = 3 \left( \frac{\Delta L}{L} - \frac{\Delta a}{a} \right)$$

Linear thermal expansion coefficient      Lattice parameter as a function of temperature  
XRD

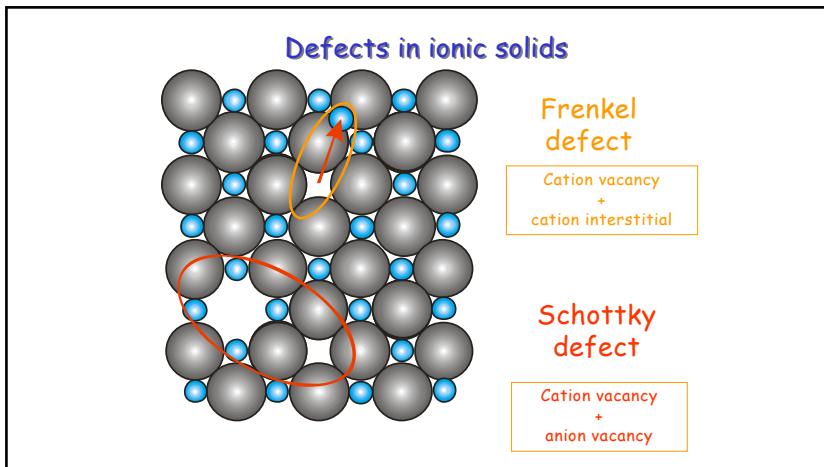
Problem 6.2

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### Point Defects



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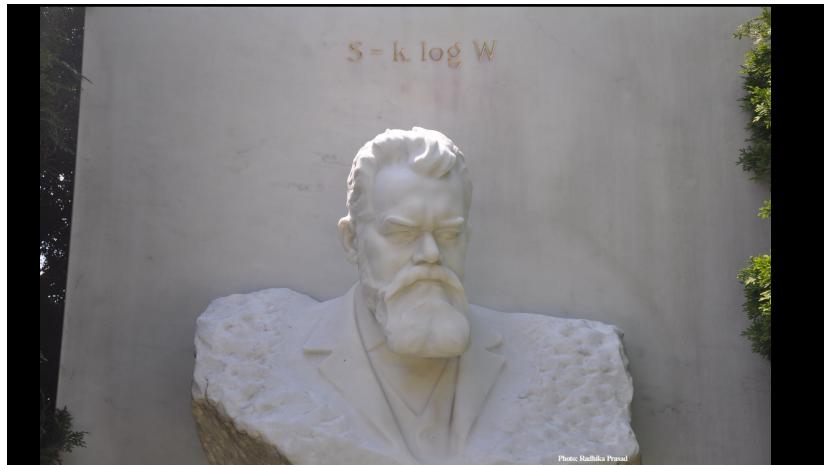
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# Boltzmann's Tomb

# Central Cemetery, Vienna, Austria





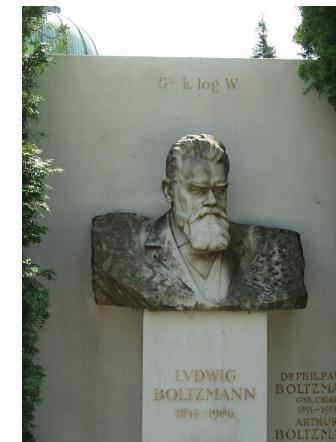
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### Boltzmann's Epitaph

$$S = k \ln W$$

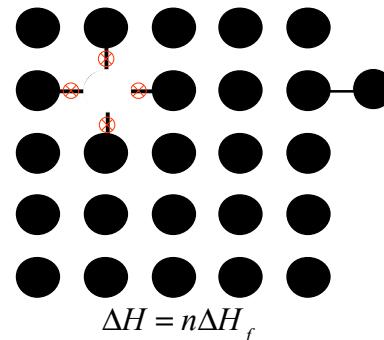
(2.5)

$W$  is the number of microstates corresponding to a given macrostate



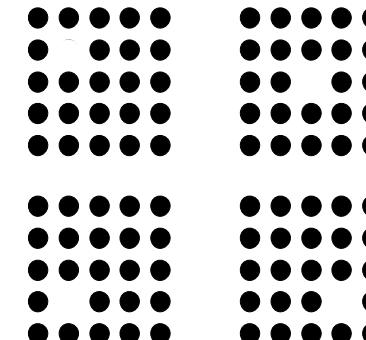
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Vacancy increases  $H$  of the crystal due to energy required to break bonds



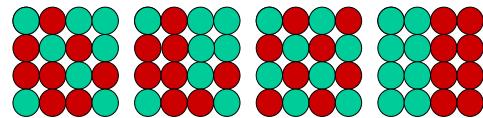
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Vacancy increases  $S$  of the crystal due to configurational entropy



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$$W = {}^N C_n = \frac{N!}{n!(N-n)!} \quad (2.9)$$



$N=16$ ,  $n=8$ ,  $W=12,870$

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## Stirlings Approximation

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722375825118521091686400

39

## Configurational entropy due to vacancy

Number of atoms:  $N$

Number of vacancies:  $n$

Total number of sites:  $N+n$

The number of microstates:

$$W = {}^{N+n}C_n = \frac{(N+n)!}{n!N!}$$

Increase in entropy  $S$  due to vacancies:

$$\Delta S = k \ln W = k \ln \frac{(N+n)!}{n! N!} = k [\ln(N+n)! - \ln n! - \ln N!]$$

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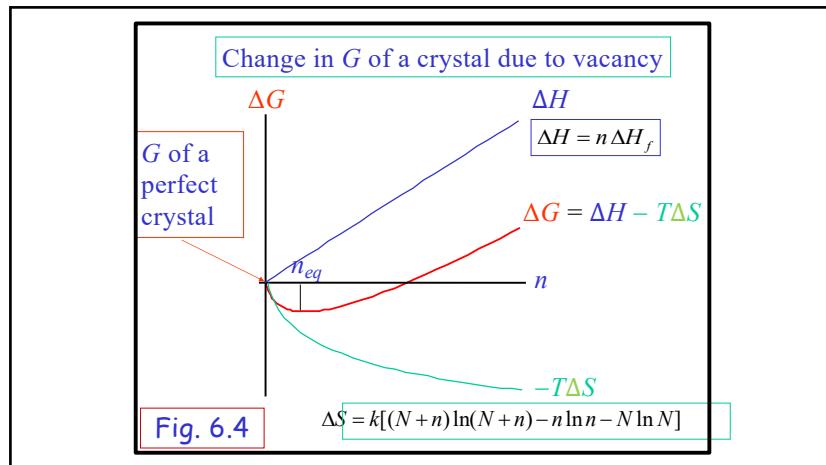
$$\Delta S = k \ln W = k[\ln(N+n)! - \ln n! - \ln N!]$$

$$\ln N! \approx N \ln N - N$$

$$\Delta S = k[(N+n)\ln(N+n) - n\ln n - N\ln N]$$

$$\Delta H = n \Delta H_f$$

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**Equilibrium concentration of vacancy**

$$\Delta S = k[(N+n)\ln(N+n) - n\ln n - N\ln N]$$

$$\Delta H = n \Delta H_f$$

$$\Delta G = n \Delta H_f - T k[(N+n)\ln(N+n) - n\ln n - N\ln N]$$

$$\frac{\partial \Delta G}{\partial n} \Big|_{n=n_{eq}} = 0$$

$$\frac{n_{eq}}{N} = \exp\left(-\frac{\Delta H_f}{kT}\right)$$

With  $n_{eq} \ll N$

42

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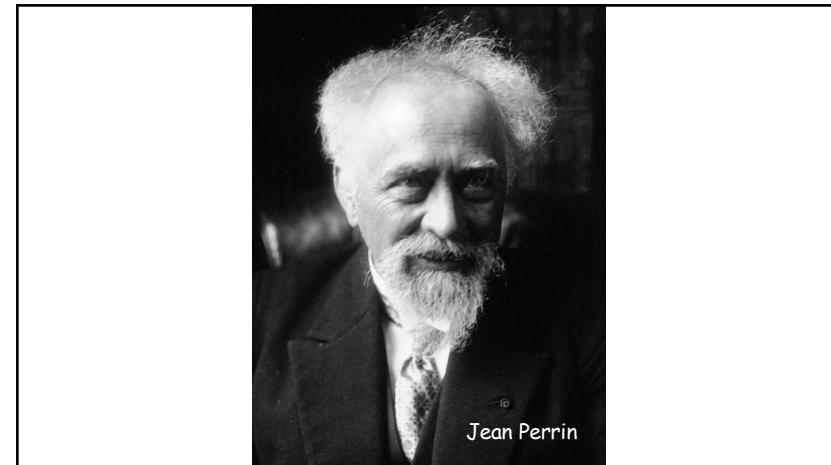
$$\frac{n_{eq}}{N} = \exp\left(-\frac{\Delta H_f}{kT}\right) \quad \Delta H_f \text{ per vacancy}$$

$$\frac{n_{eq}}{N} = \exp\left(-\frac{\Delta H_f}{RT}\right) \quad \Delta H_f \text{ per mole of vacancy}$$

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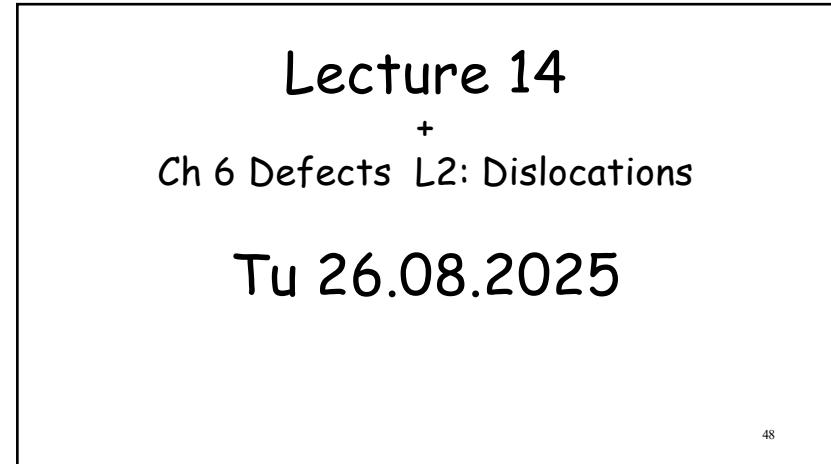
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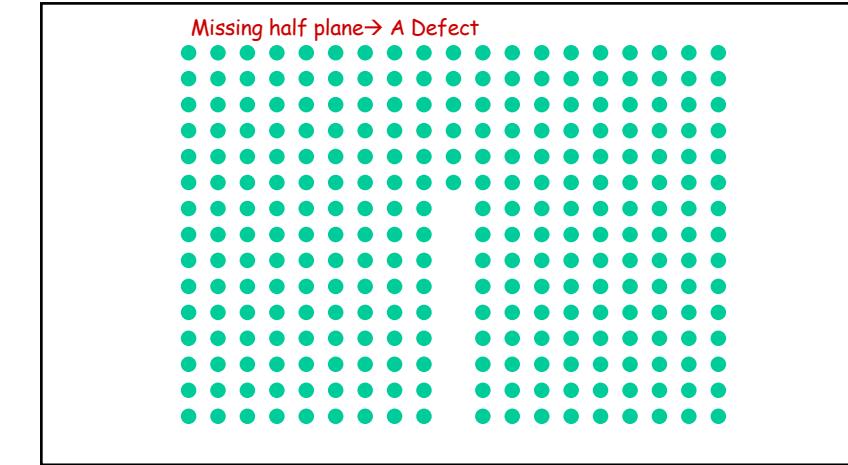
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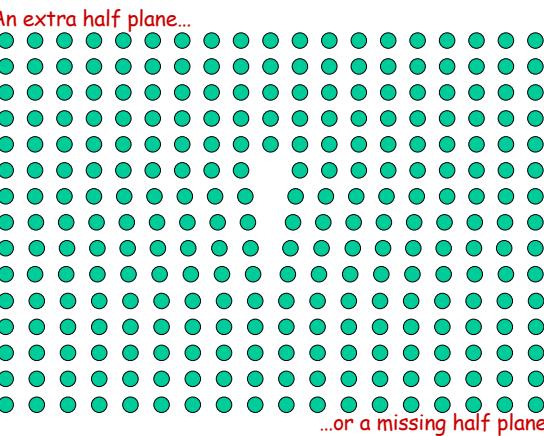
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# Line Defects Dislocations

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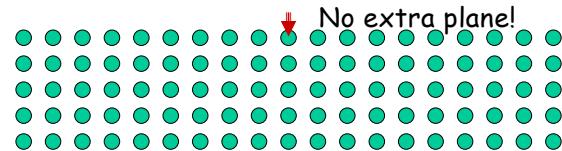
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What kind of defect is this?

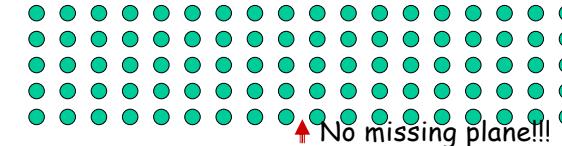
A line defect?

Or a planar defect?

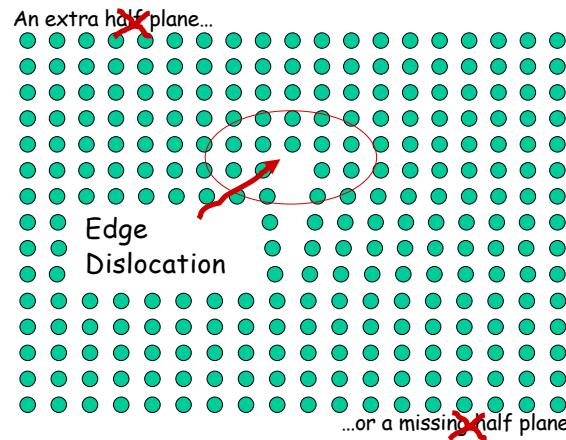
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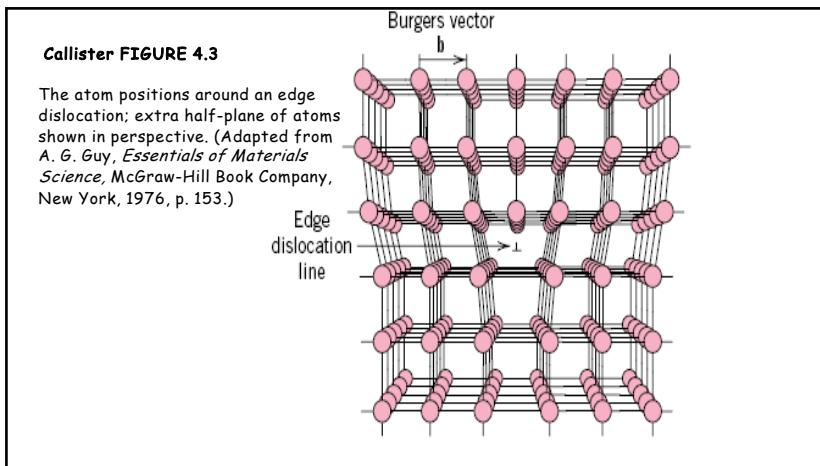
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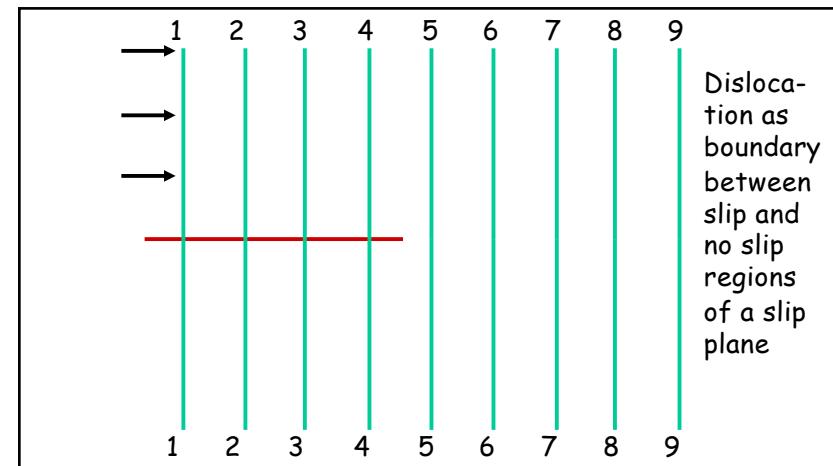
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If a plane ends abruptly inside a crystal, we have a defect.  
The whole of abruptly ending plane is not a defect  
Only the edge of the plane can be considered as a defect  
This is a line defect called an **EDGE DISLOCATION**

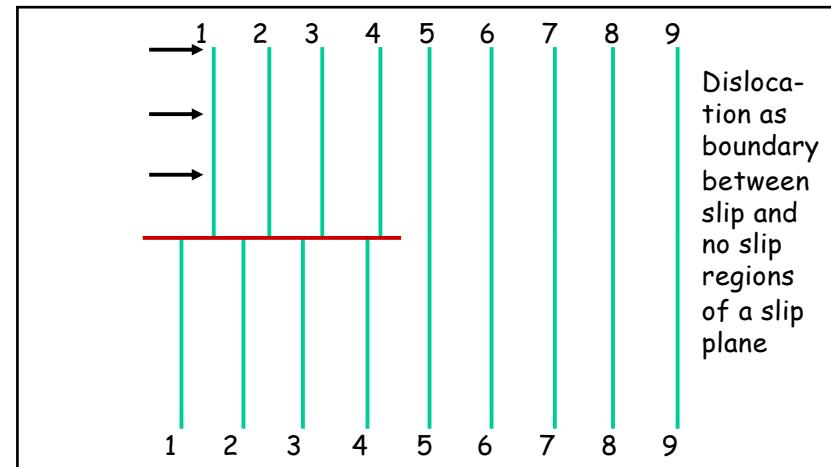
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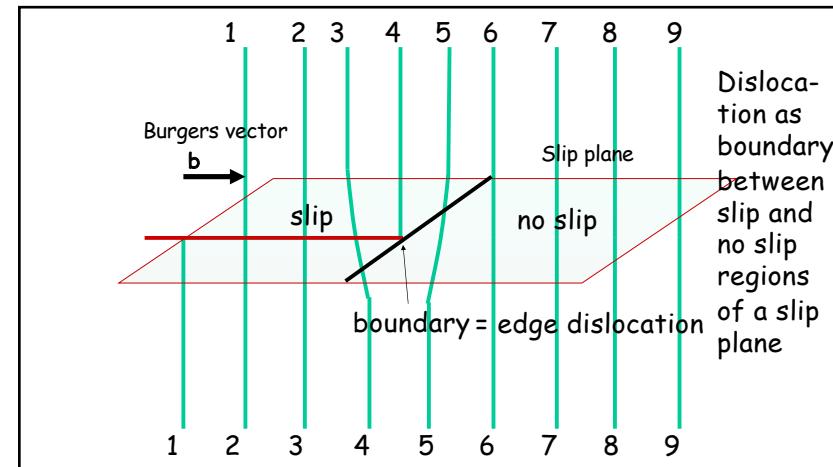
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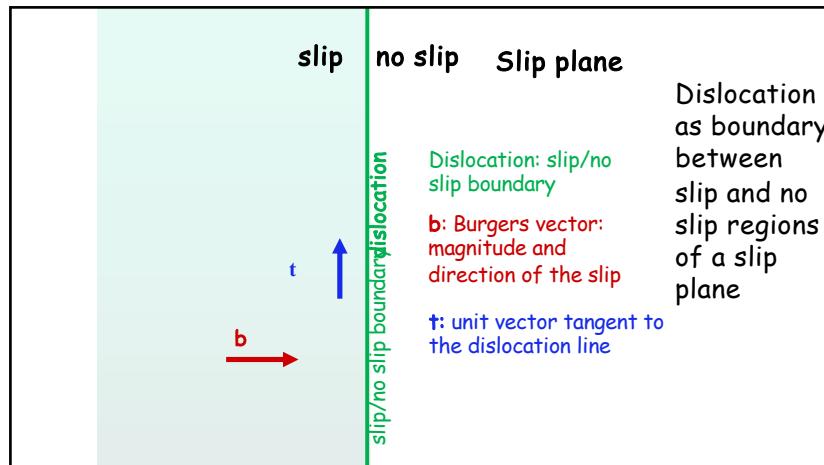
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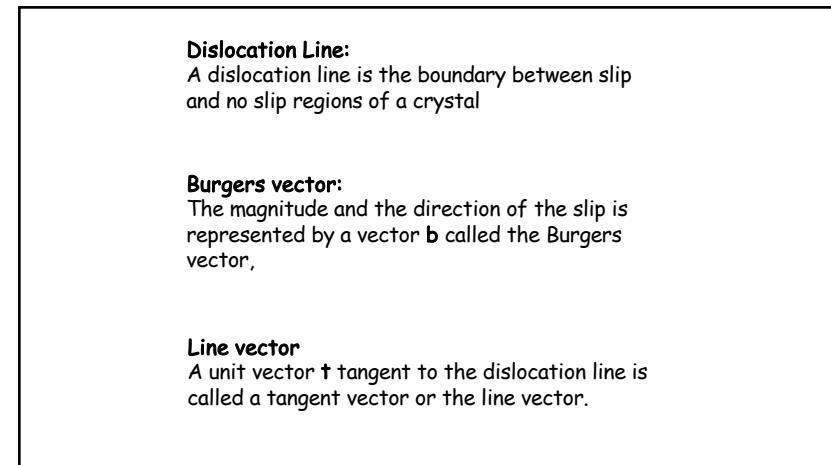
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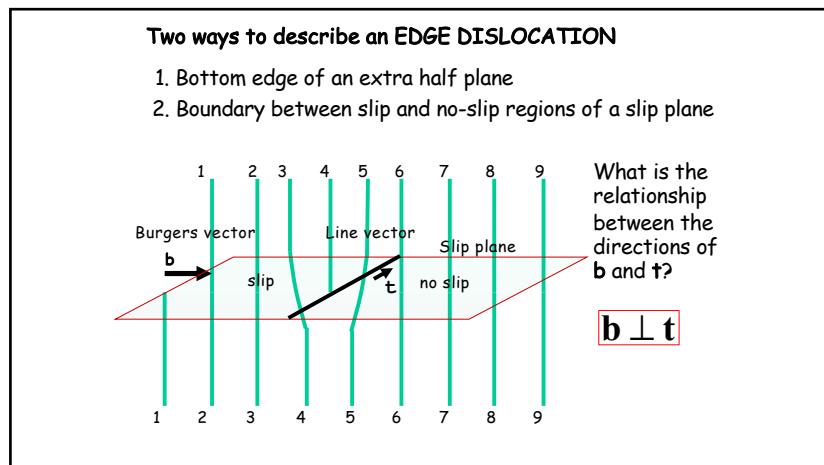
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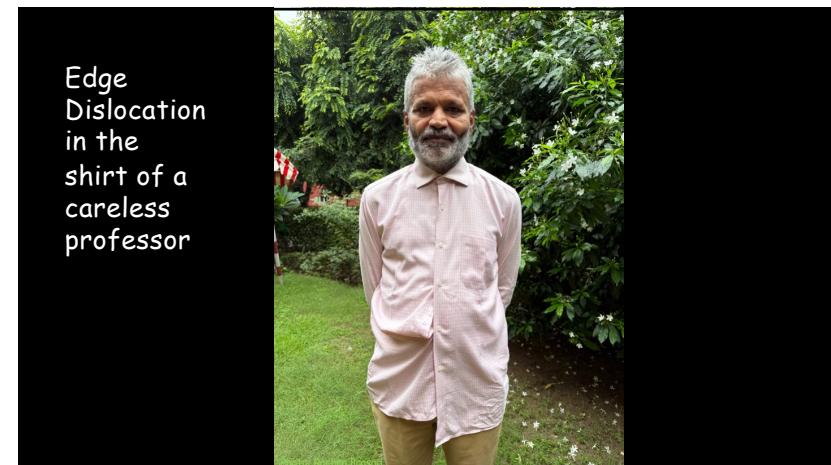
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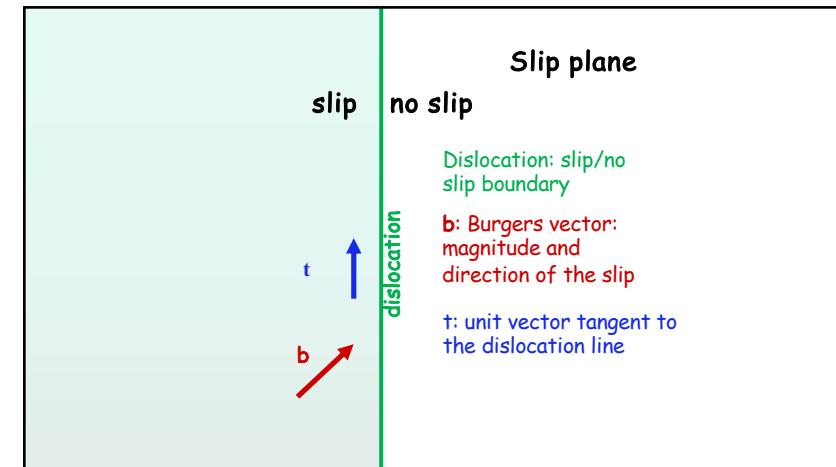
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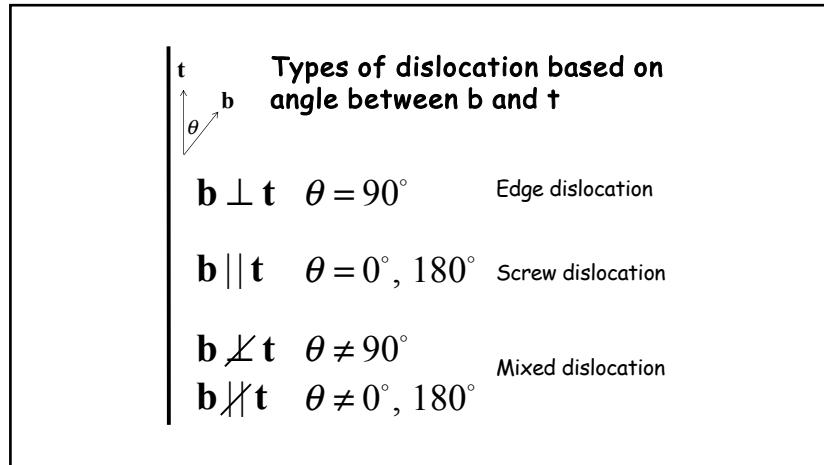
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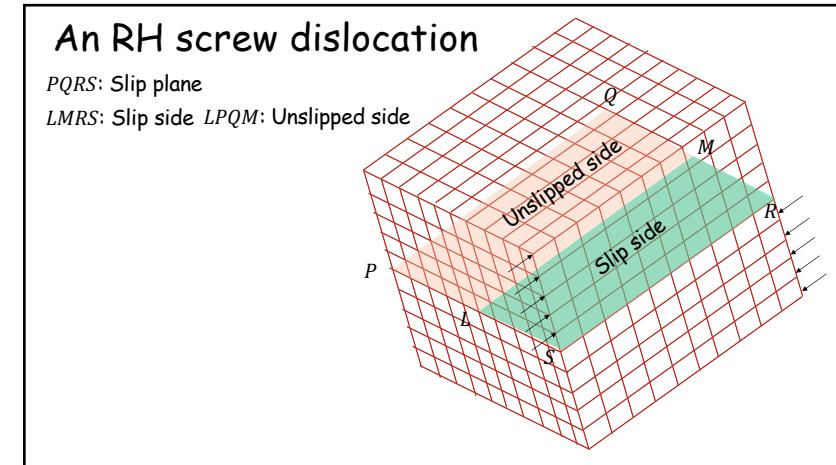
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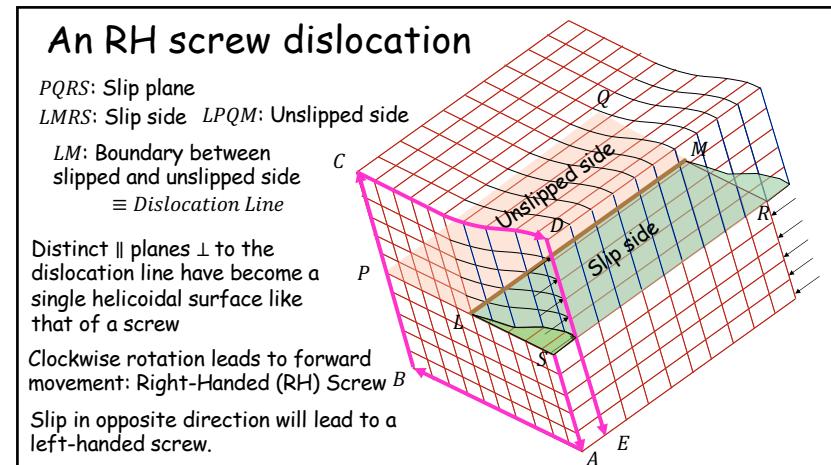
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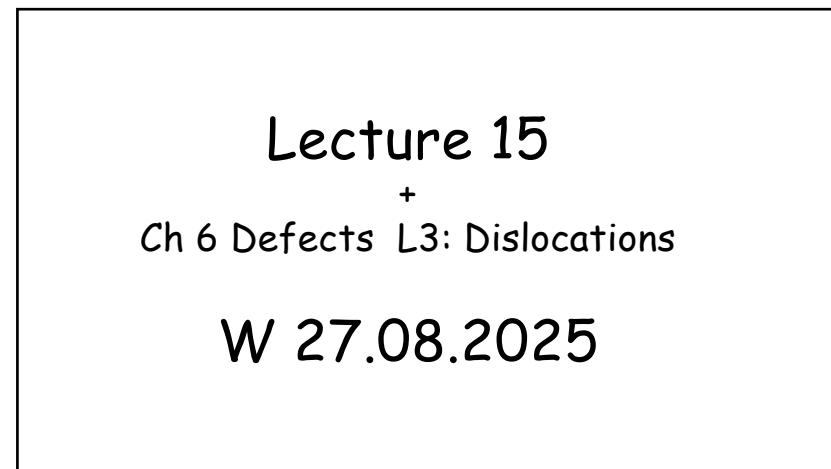
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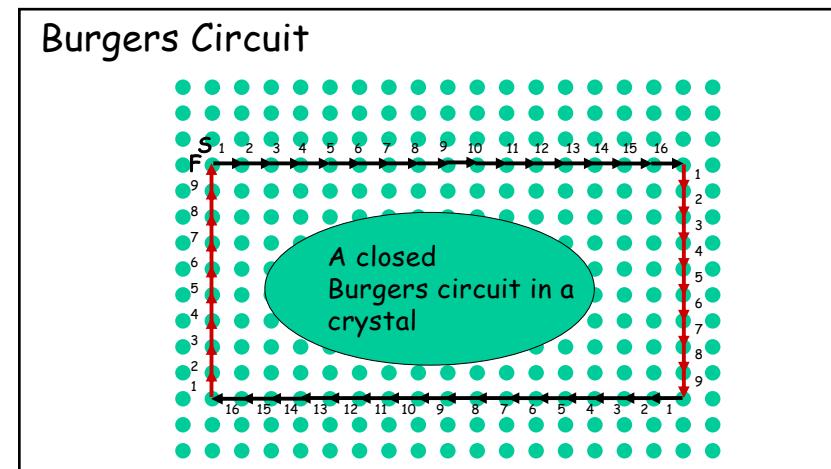
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	Positive	Negative
Edge Dislocation	Extra half plane <i>above</i> the slip plane	Extra half plane <i>below</i> the slip plane
Screw Dislocation	Left-handed	Right-handed
	Left-handed screw	Right-handed screw

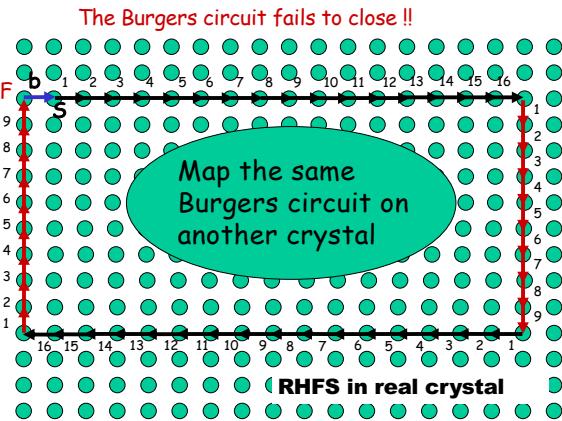
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## Two interpretations of Burgers Vector

Magnitude and direction of slip

Closure failure of a Burgers circuit

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## Burgers vector



Burgers vector

## Burgers Circuit and Burgers Vector

Circuit closed in an ideal crystal, Or vice-versa  
Fails to close in a real crystal

The closure failure → dislocation

Finish to Start vector → Burgers vector

Or Start to Finish

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Attendance on

<https://rollcall.iitd.ac.in>

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## Conventions for Burgers vector

Closure failure: Real crystal or Ideal crystal

RH or LH

MLL100  
RHFS Real Crystal

F->S or S->F

2x2x2=8 conventions

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### **b** for an RH screw dislocation

PQRS: Slip plane

LMRS: Slip side LPQM: Unslipped side

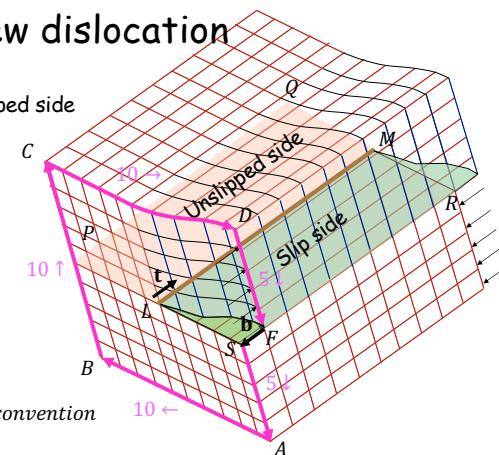
LM: Boundary between  
slipped and unslipped side  
 $\equiv$  Dislocation Line

t: tangent vector

SABCDF: RH Burgers  
circuit wrt to t

FS: Burgers Vector **b**

$$\mathbf{b} = -bt \quad \text{in our RHFS convention}$$



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## Two equivalent classes of **b** conventions

For RH screw dislocation

$$\mathbf{b} = -bt$$

**b** antiparallel to t

$$\mathbf{b} = bt$$

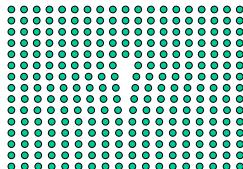
**b** parallel to t

**RHFS convention of MLL100**

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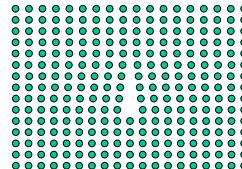
## Two types of edge dislocations

+ve ( $\perp$ )



Half plane above the slip plane

-ve ( $\top$ )

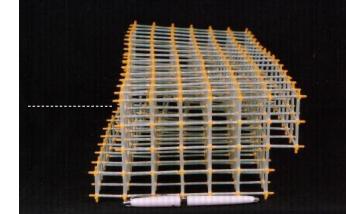


You can rotate a +ve edge dislocation to become a -ve edge dislocation

Half plane below the slip plane



Prof. S. Ranganathan  
IISc, Bangalore



SR: Is this an RH or LH dislocation?

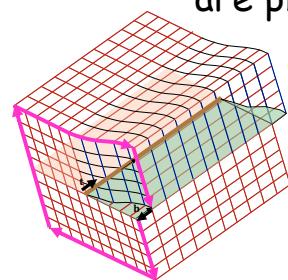
RP: Sir, that depends upon the way you look at it.

Conference on Perspectives in Physical Metallurgy and Materials Science,  
Indian Institute of Science, Bangalore, 12-14 July, 2001.  
R. Prasad, "Dislocation Models for Classroom Demonstrations"

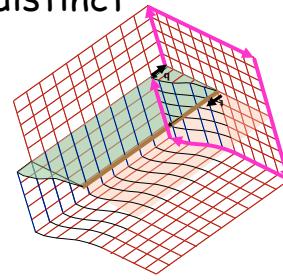
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RH and LH Screw dislocations are physically distinct



180°



An RH screw dislocation remains RH even after any rotation

Positive and negative edge dislocations can be superimposed on each other by 180° rotation about the dislocation line

LH and RH screw dislocations cannot be superimposed on each other by rotation.

They can be superimposed on each other by a reflection. Hence, they are chiral pair.

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## Slip Plane

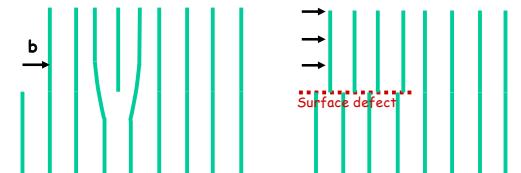
A plane containing  $b$  and  $t$  is called the slip plane of the dislocation line.

**Edge dislocation:**  $b \perp t \Rightarrow$  A unique slip plane

**Screw dislocation:**  $b \parallel t \Rightarrow$  Non unique slip planes: Any plane passing through both  $b$  and  $t$  is possible slip plane

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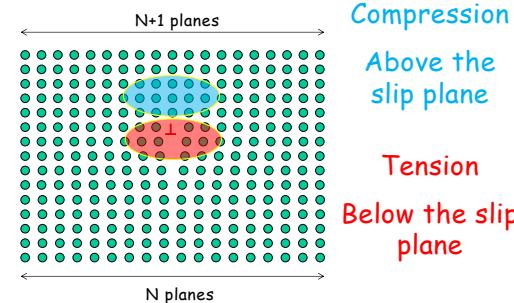
## $b$ is a lattice translation



If  $b$  is not a complete lattice translation then a surface defect (stacking fault) will be associated along with the line defect.

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## Elastic strain field associated with an edge dislocation



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## Line energy of a dislocation

Elastic energy per unit length of a dislocation line

$$E = \frac{1}{2} \mu b^2$$

$\mu$  Shear modulus of the crystal  
 $b$  Length of the Burgers vector

Unit:  $J m^{-1}$

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**b is the shortest lattice translation**

Energy of a dislocation line  
is proportional to  $b^2$ .       $E = \frac{1}{2} \mu b^2$

Thus dislocations with  
short  $b$  are preferred.

**b is a lattice translation**

**b is the shortest lattice  
translation**

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**b is the shortest lattice translation**

SC                     $\langle 100 \rangle$

BCC                 $\frac{1}{2}\langle 111 \rangle$

FCC                 $\frac{1}{2}\langle 110 \rangle$

DC                    $\frac{1}{2}\langle 110 \rangle$

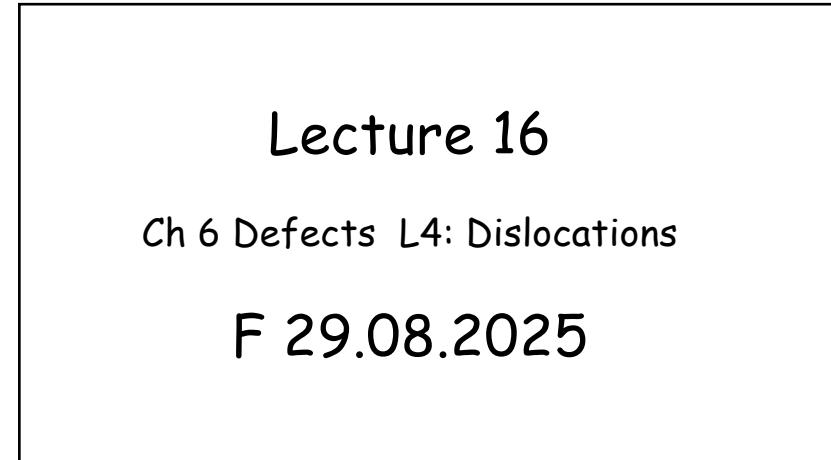
NaCl                $\frac{1}{2}\langle 110 \rangle$

CsCl                $\langle 100 \rangle$

90

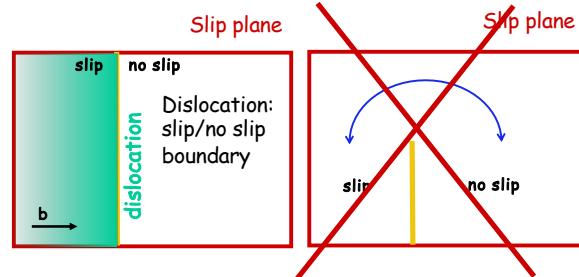


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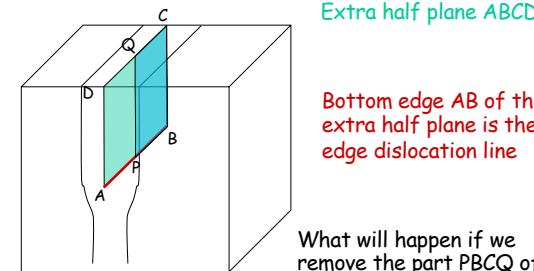
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A dislocation line cannot end abruptly inside a crystal



93

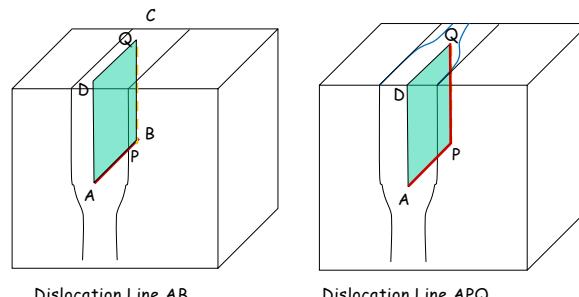
A dislocation line cannot end abruptly inside a crystal



What will happen if we remove the part PBCQ of the extra half plane??

94

A dislocation line cannot end abruptly inside a crystal



95

Do Din me hum kya kya padhen?

Quiz 3 on Wednesday  
Syllabus:

L1-L12: Up to structure of solids  
Exp 1 to Exp 5

96

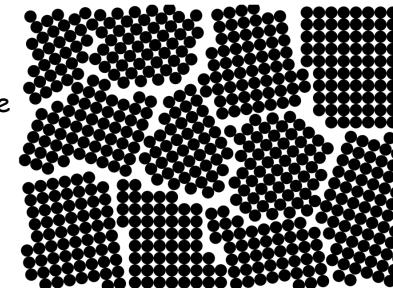
Attendance on rollcall.iitd.ac.in

97

## Polycrystals

Differently oriented crystal in the same sample

Individual crystals are called grains

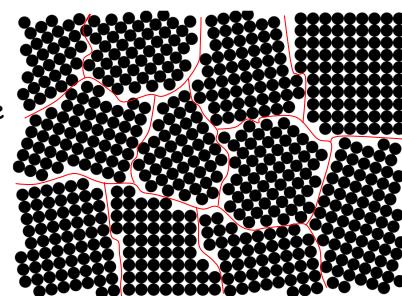


98

## Grain boundary

Differently oriented crystal in the same sample

Individual crystals are called grains



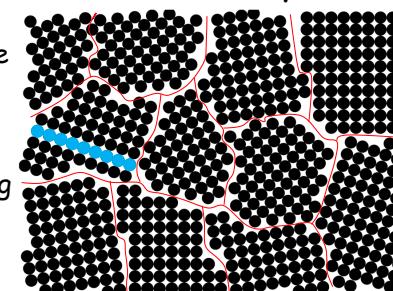
Boundary between two crystals of different orientations (i.e. grains) are called grain boundary

In 3D Grain boundaries are 2D surface defects

99

## Dislocation can end on a grain boundary

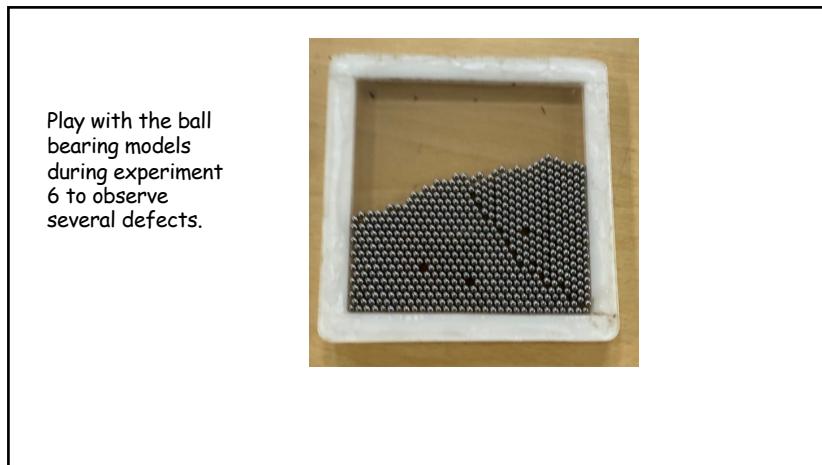
The blue atoms are the bottom edge of an extra half plane, i.e. they are along the dislocation line.



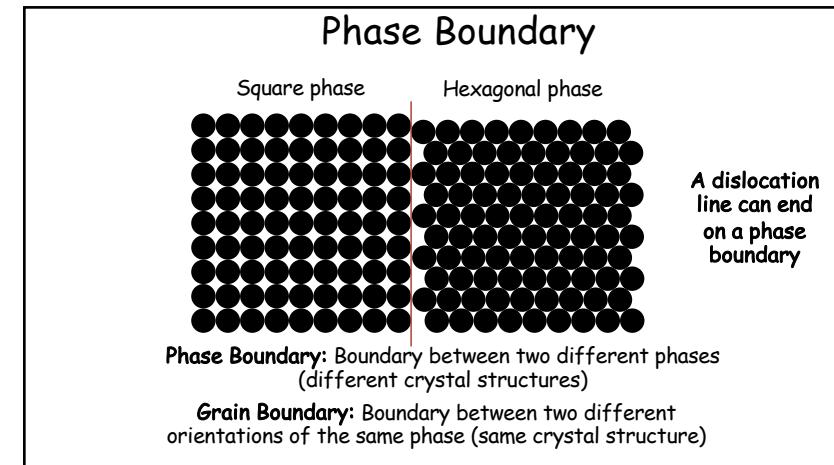
Boundary between two crystals of different orientations (i.e. grains) are called grain boundary

The dislocation line ends abruptly at a grain boundary

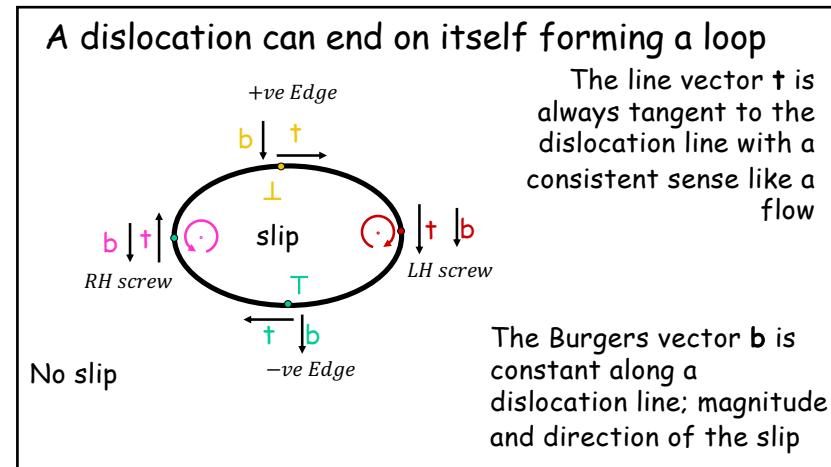
100



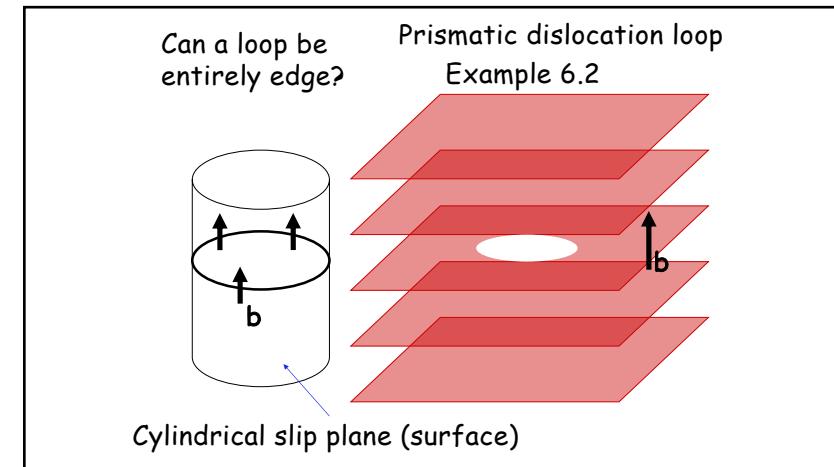
101



102

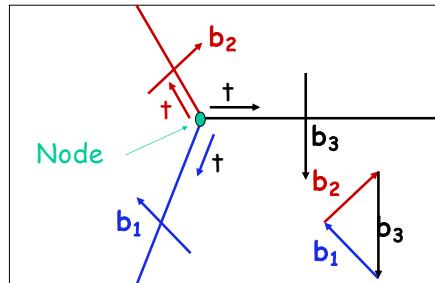


103



104

A dislocation can end on another dislocation: Node



$$\mathbf{b}_1 + \mathbf{b}_2 + \mathbf{b}_3 = \mathbf{0} \quad \text{Frank's Rule}$$

All  $\mathbf{t}$  vectors  
should either  
point to the node  
or away from the  
node.

A dislocation line cannot end  
abruptly inside a crystal

It can end on

Free surfaces

Grain boundaries or phase boundaries

On itself forming a loop

On other dislocations at a point called a node

105

106

107

108

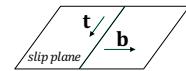
## Lecture 17

Ch 6 Defects L5: Dislocations

Sat 29.08.2025 (as F)

## Slip plane

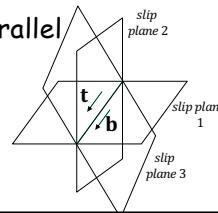
The plane containing the Burgers vector  $\mathbf{b}$  and the line vector  $\mathbf{t}$  is called the slip plane of a dislocation line.



An edge or a mixed dislocation having non-parallel  $\mathbf{b}$  and  $\mathbf{t}$  ( $\mathbf{b} \neq bt$ ) have a unique slip plane.

A screw dislocation having parallel or antiparallel  $\mathbf{b}$  and  $\mathbf{t}$  ( $\mathbf{b} = \pm bt$ ) does not have a unique slip plane.

Any plane passing through a screw dislocation is a possible slip plane



109

## Dislocation Motion

Glide (for edge, screw or mixed)

Cross-slip (for screw only)

Climb (or edge only)

110

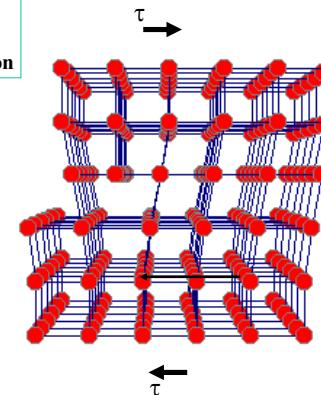
## Dislocation Motion: Glide

Glide is a motion of a dislocation in its own slip plane.

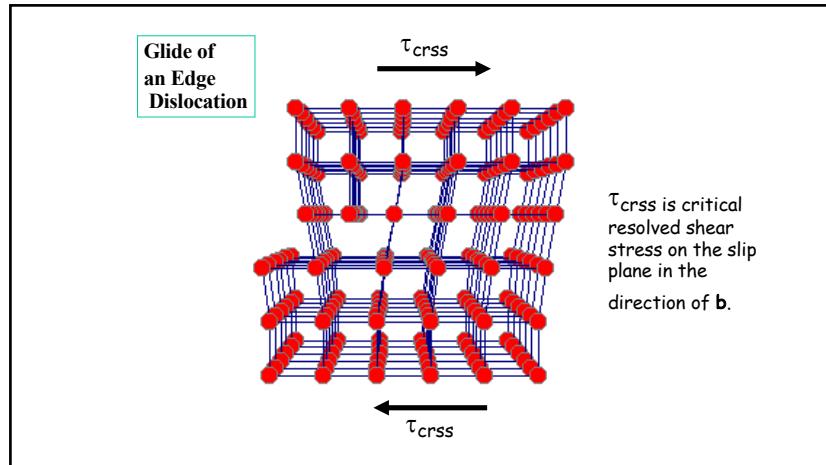
All kinds of dislocations, edge, screw and mixed can glide.

111

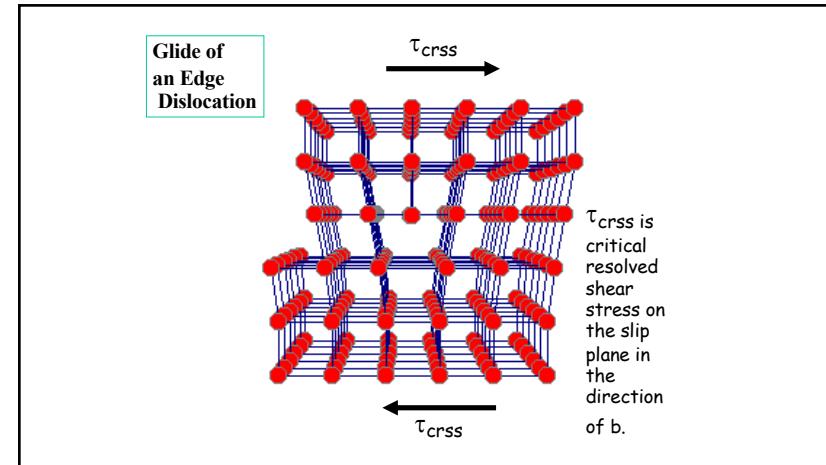
Glide of an Edge Dislocation



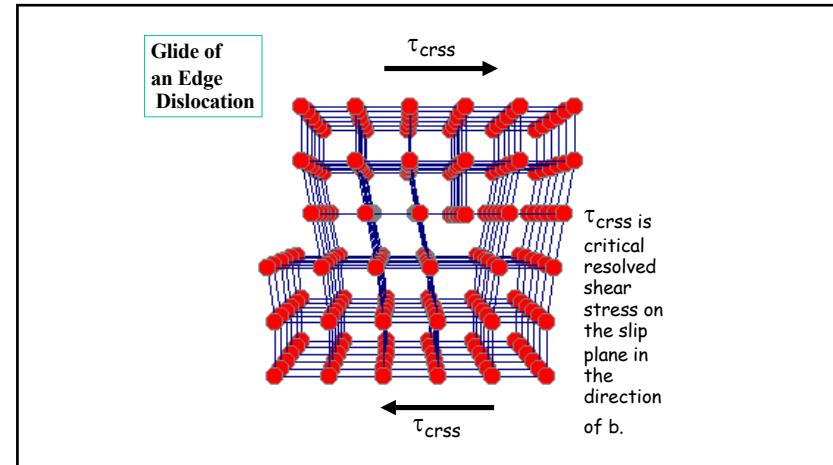
112



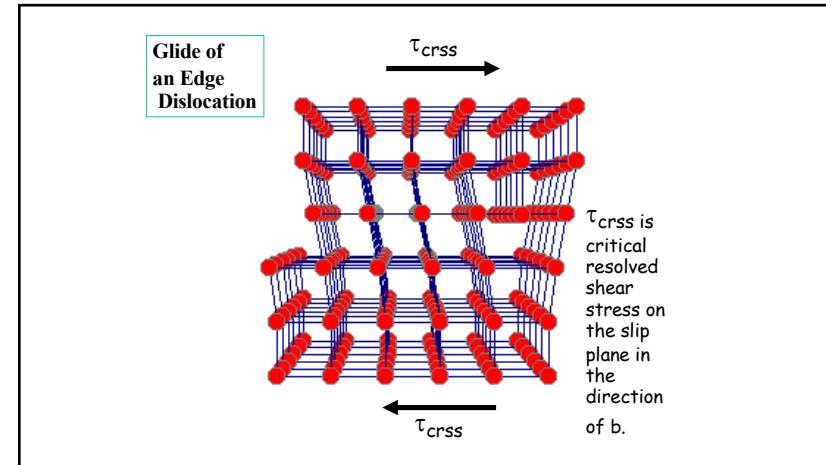
113



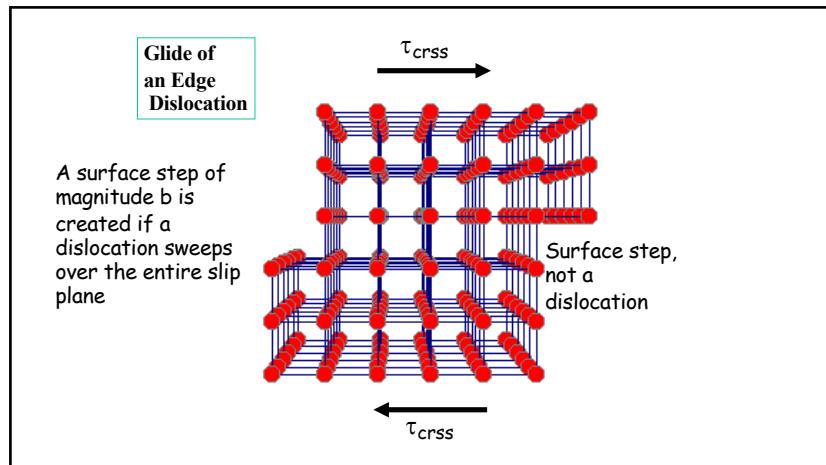
114



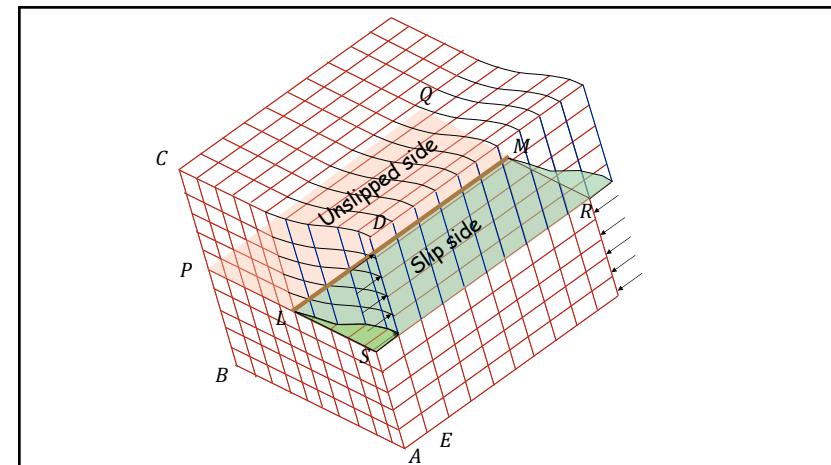
115



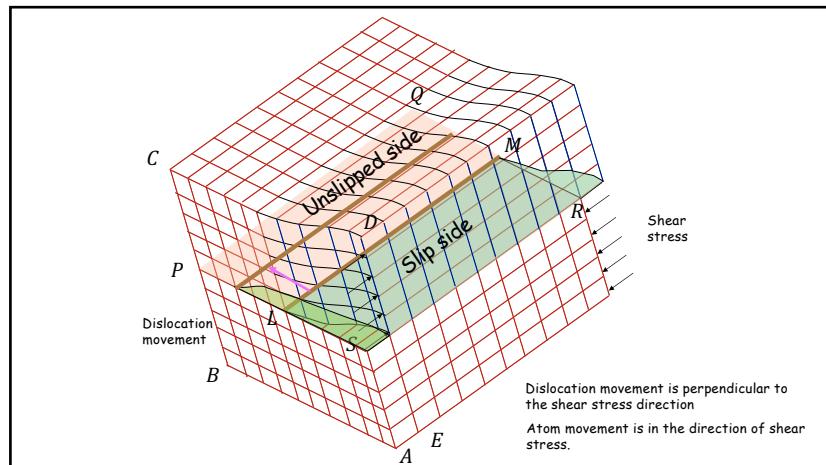
116



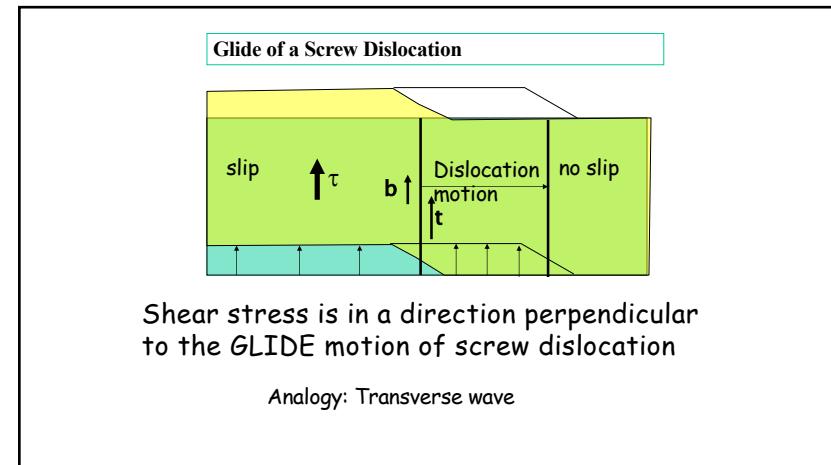
117



118



119



120

### Glide Motion and the Shear Stress

For both edge and screw dislocations the glide motion is perpendicular to the dislocation line

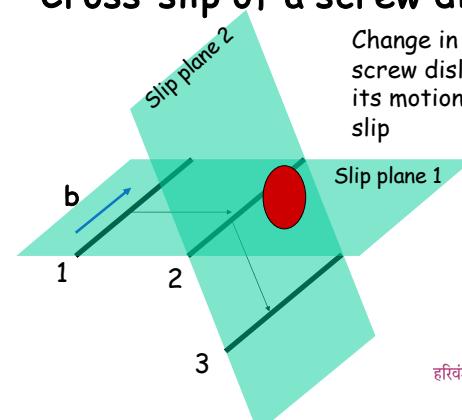
The shear stress causing the motion is in the direction of motion for edge but perpendicular to it for screw dislocation

However, for both edge and screw dislocations the shear stress is in the direction of  $\mathbf{b}$  as this is the direction in which atoms move

121

### Cross-slip of a screw dislocation

Change in slip plane of a screw dislocation during its motion is called cross-slip



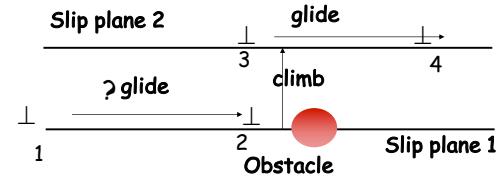
यह बुरा है कि अच्छा  
व्यर्थ दिन इस पर बिताना  
अब असमय छोड़ यह पथ  
दूसरे पर पा बढ़ाना

हरिवंशराय बच्चन | पथ की पहचान

122

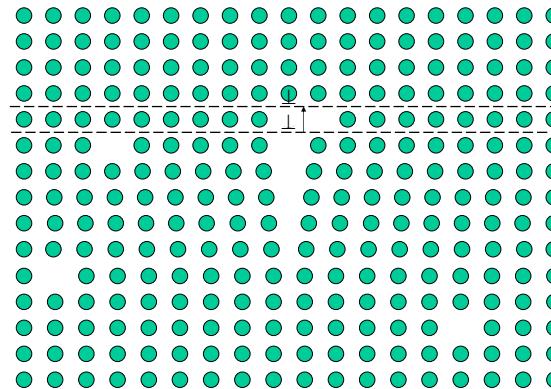
### Climb of an edge dislocation

The motion of an edge dislocation from its slip plane to an adjacent parallel slip plane is called CLIMB



123

### Atomistic mechanism of climb



124

### Climb of an edge dislocation

Climb up

Half plane shrinks

Atoms move away from the edge to nearby vacancies

Vacancy concentration goes down

Climb down

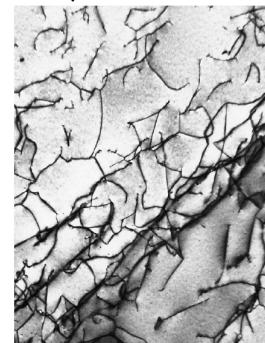
Half plane stretches

Atoms move toward the edge from nearby lattice sites

Vacancy concentration goes up

125

### Dislocations in a real crystal can form complex networks

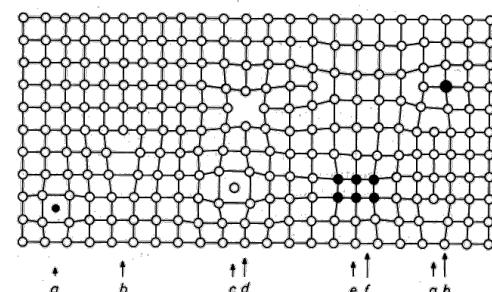


**FIGURE 4.6** A transmission electron micrograph of a titanium alloy in which the dark lines are dislocations. 51,450 $\times$ . (Courtesy of M. R. Plichta, Michigan Technological University.)

From Callister

126

### A nice diagram showing a variety of crystal defects



[http://www.tf.uni-kiel.de/mawiis/amat/def\\_en/index.html](http://www.tf.uni-kiel.de/mawiis/amat/def_en/index.html)

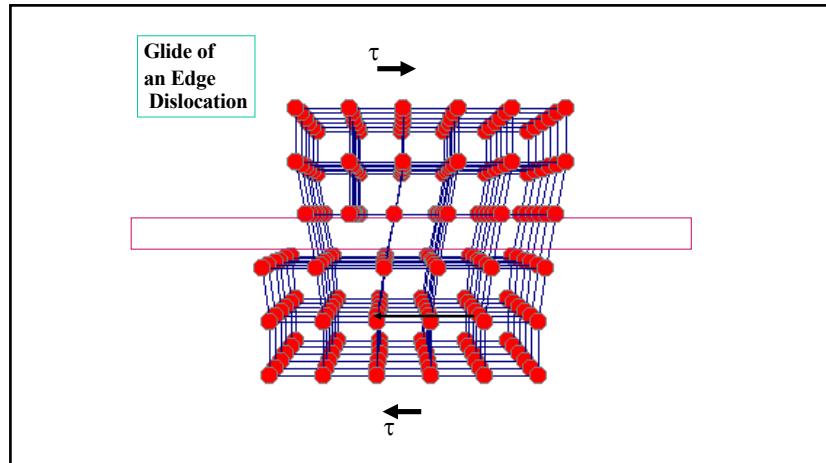
127

### Dislocation Motion: Glide

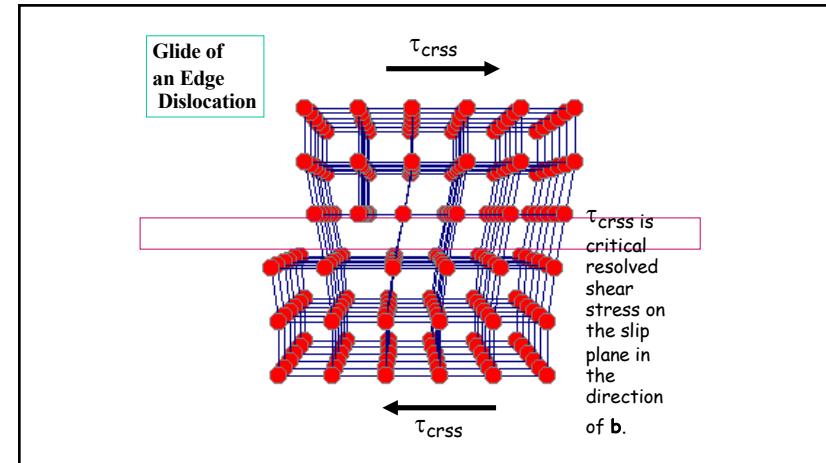
Glide is a motion of a dislocation in its own slip plane.

All kinds of dislocations, edge, screw and mixed can glide.

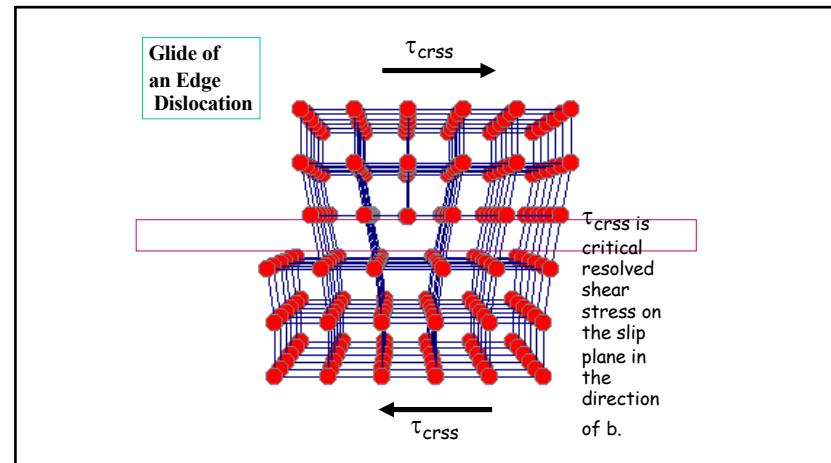
128



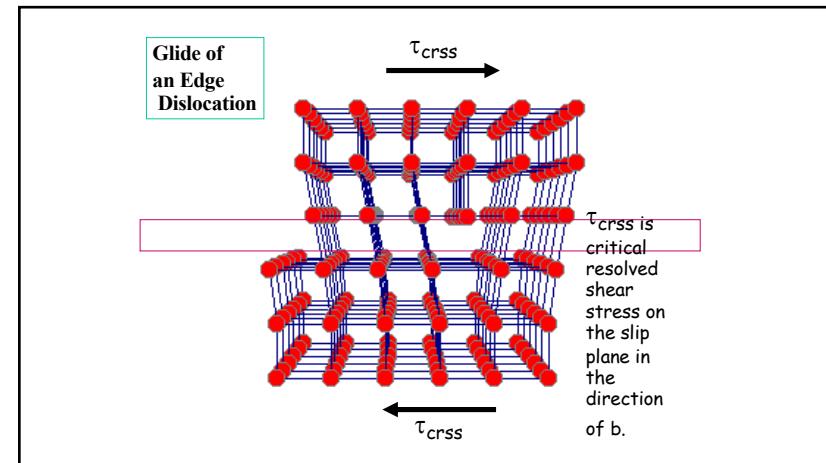
129



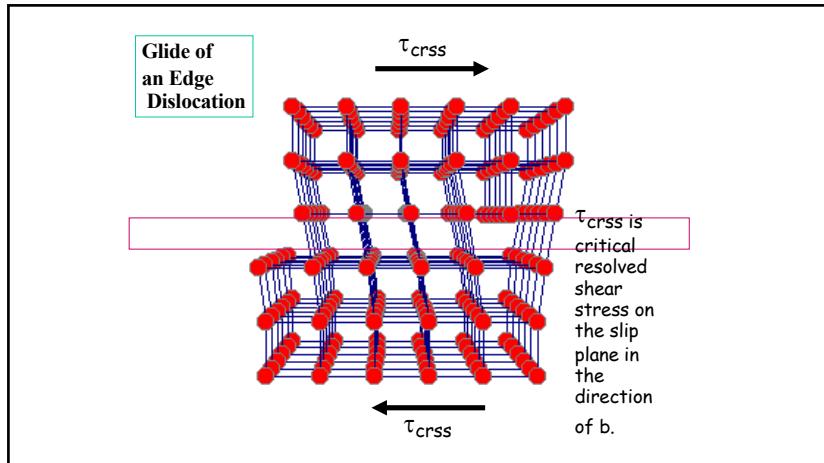
130



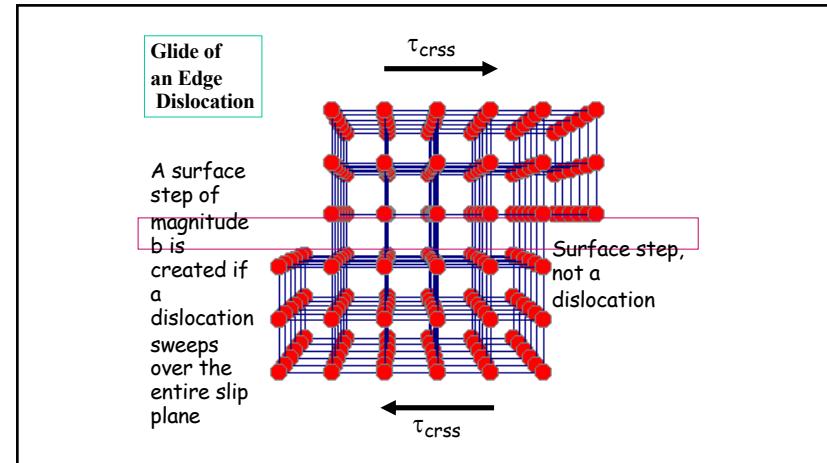
131



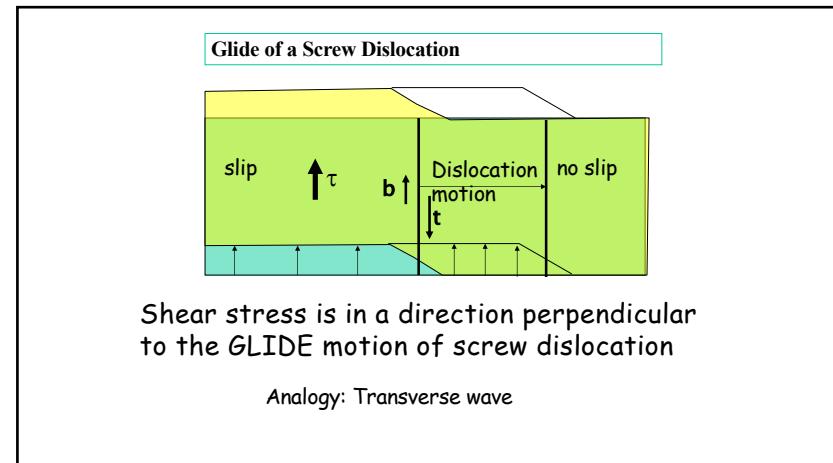
132



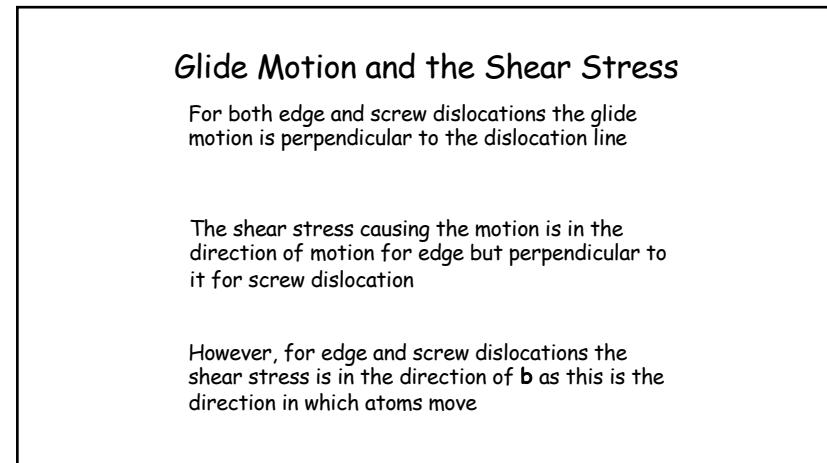
133



134

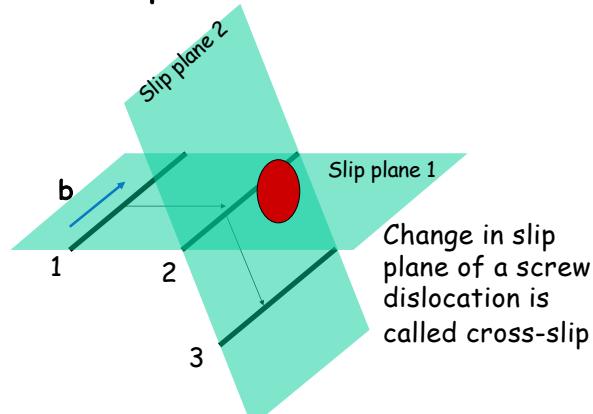


135



136

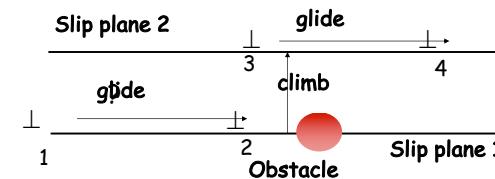
### Cross-slip of a screw dislocation



137

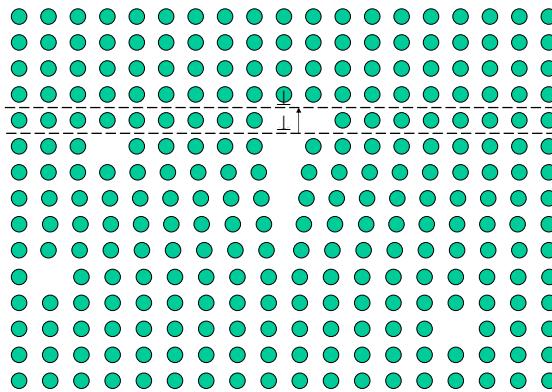
### Climb of an edge dislocation

The motion of an edge dislocation from its slip plane to an adjacent parallel slip plane is called **CLIMB**



138

### Atomistic mechanism of climb



139

### Climb of an edge dislocation

#### Climb up

Half plane shrinks

Atoms move away from the edge to nearby vacancies

Vacancy concentration goes down

#### Climb down

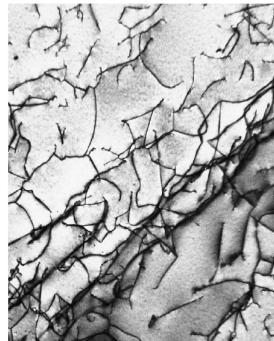
Half plane stretches

Atoms move toward the edge from nearby lattice sites

Vacancy concentration goes up

140

Dislocations in a real crystal can form complex networks

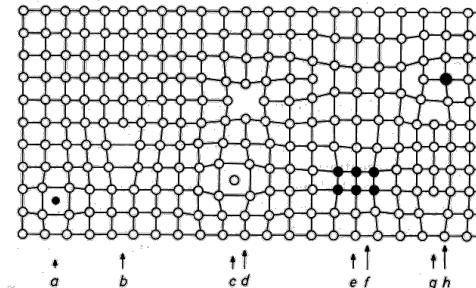


**FIGURE 4.6** A transmission electron micrograph of a titanium alloy in which the dark lines are dislocations. 51,450 $\times$ . (Courtesy of M. R. Plichta, Michigan Technological University.)

From Callister

141

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[http://www.tf.uni-kiel.de/matwiss/amat/def\\_en/index.html](http://www.tf.uni-kiel.de/matwiss/amat/def_en/index.html)

142

143

## Lecture 18

Ch 6 Defects L6: Surface Defects

Tu 02.09.2025

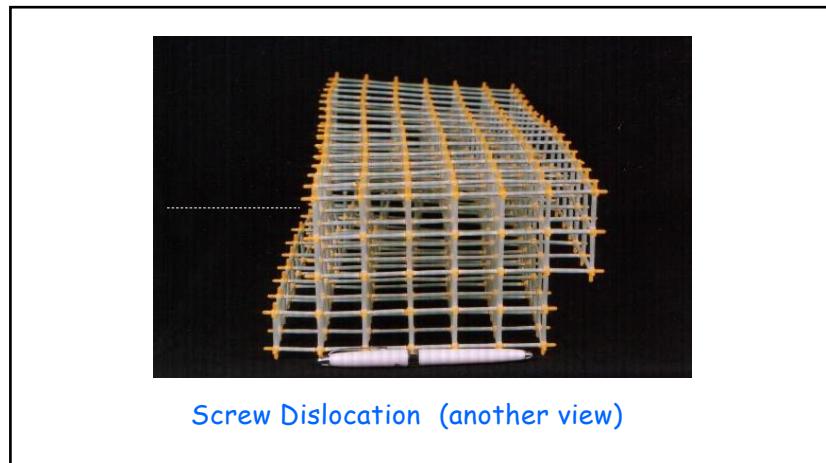
144



145



146



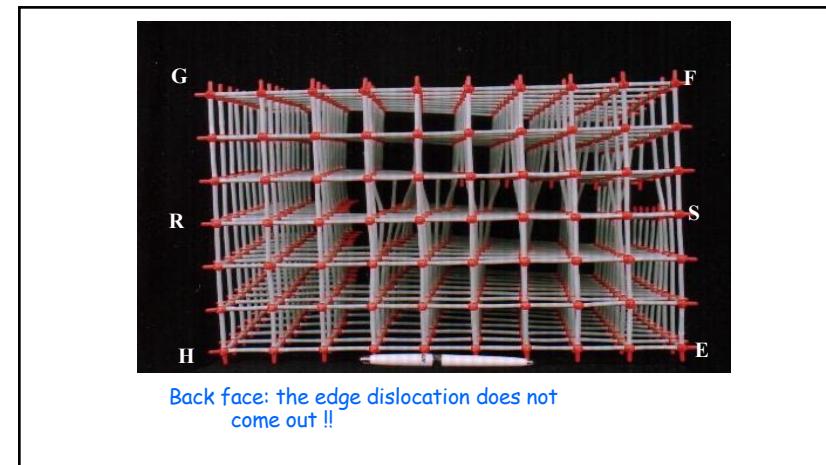
147

- ❖ A dislocation cannot end abruptly inside a crystal
- ❖ Burgers vector of a dislocation is constant

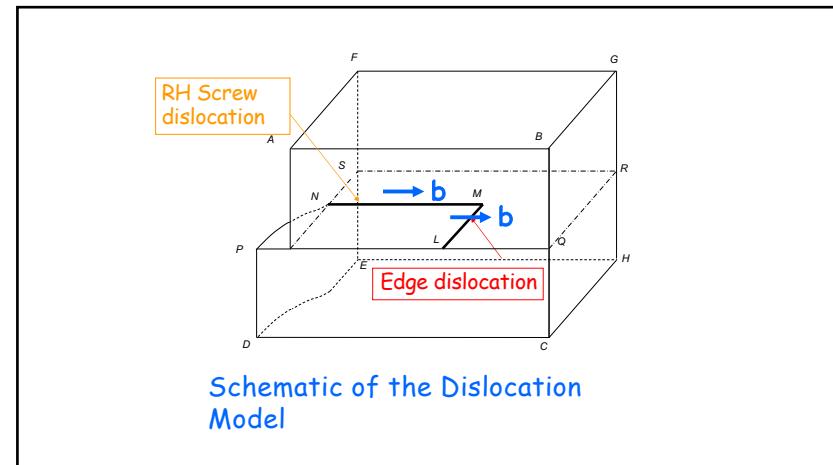
148



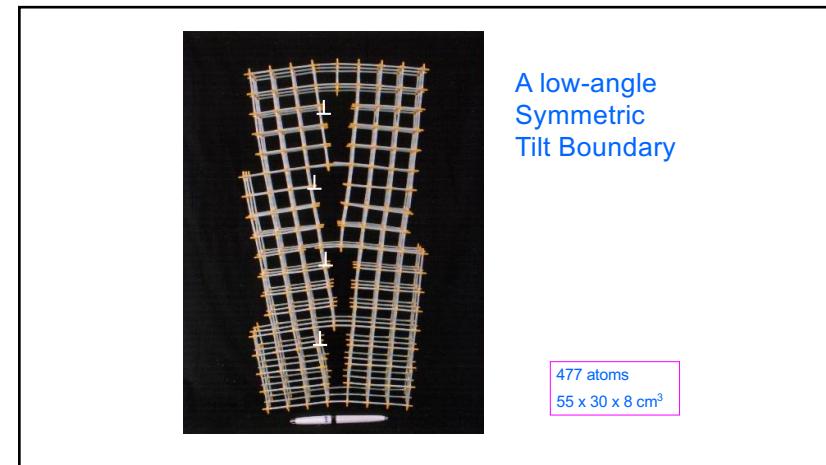
149



150



151



152

**MODELS OF DISLOCATIONS FOR  
CLASSROOM\*\*\***

R. Prasad

*Journal of Materials Education Vol. 25 (4-6):  
113 - 118 (2003)*

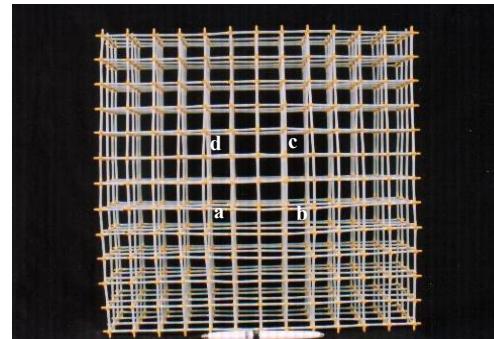
International Council of Materials Education

Editors:

John E.E. Baglin , IBM

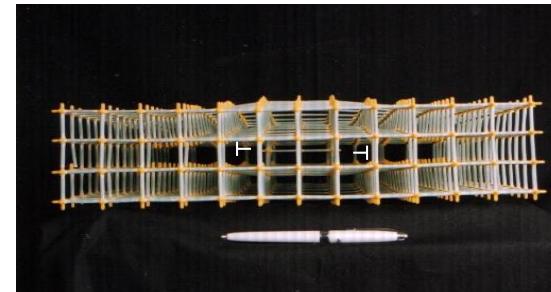
Prof. James A. Clum, Univ. of Wisconsin

153



A Prismatic Dislocation Loop  
Top View

155



A Prismatic Dislocation Loop

685 atoms  
38 x 38 x 12 cm<sup>3</sup>

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[Welcome to Cochrane's](#)  
Manufacturers of a range of quality, affordable educational equipment, Toys and Kits since 1982. Our reputation is based on innovation, quality and value.  
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## Resources

The following resources are available:

[Crystal Dislocation Models for Teaching](#)

Three-dimensional models for dislocation studies in crystal structures ...

Format: PDF | Category: Teaching resources [Click here to open](#)

<https://www.cochrane.co.uk/resources/jmepaper.pdf>

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# Surface Defects

158



159



160

**Crystal Combinations**  
Adding Crystal Forms Together

In nature, a single crystal form can easily be found in a single crystal. You will regularly find pyrite crystals in the form of pyrohedron. Or, you will find a perfectly cubic crystal of galena or fluorite. Also, it is not rare to find a dodecahedral garnet crystal. Beautiful, simple hexagonal prisms are commonly found in beryl crystals like aquamarine.

As you study the crystals you see at mineral museums, as well as crystals in books and in your own collection, you will find that many crystals are actually *combinations* of two or more basic crystal forms.

Here we call it "Crystal Mathematics" where two or more crystal forms are added together.

26

161

### Surface Defects

External	Internal
Free surface	Grain boundary
	Stacking fault
	Twin boundary
	Interphase boundary
	Same phase
	Different phases

162

**External surface: Free surface**

If bond are broken over an area A then two free surfaces of a total area  $2A$  is created

163

**External surface: Free surface**

$n_A$ =no. of surface atoms per unit area  
 $n_B$ =no. of broken bonds per surface atom  
 $\epsilon$ =bond energy per atom

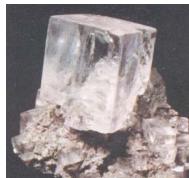
$$\gamma = \frac{1}{2} n_A n_B \epsilon$$

Surface energy per unit area

If bond are broken over an area A then two free surfaces of a total area  $2A$  is created

164

What is the shape of a naturally grown salt crystal?



Why?

165

### Surface energy is anisotropic

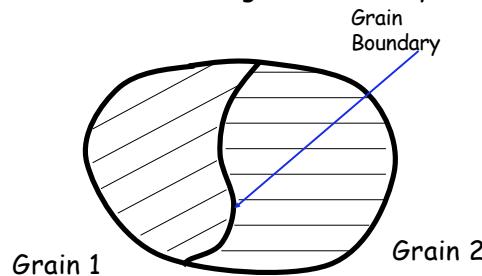
Surface energy depends on the orientation, i.e., the Miller indices of the free surface

$n_A, n_B$  are different for different surfaces

Example 6.5 & Problem 6.16

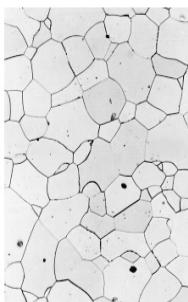
166

Internal surface: grain boundary



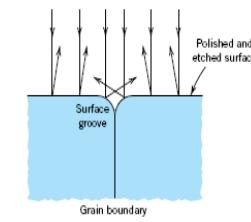
A grain boundary is a boundary between two regions of identical crystal structure but different orientation

167



Photomicrograph an iron chromium alloy. 100X.

Optical Microscopy,  
Experiment 8



Callister, Fig. 4.12

168

### Grain Boundary: low and high angle

One grain orientation can be obtained by rotation of another grain across the grain boundary about an axis through an **angle**

If the angle of rotation is high, it is called a high angle grain boundary

If the angle of rotation is low it is called a low angle grain boundary

169

### Grain Boundary: tilt and twist

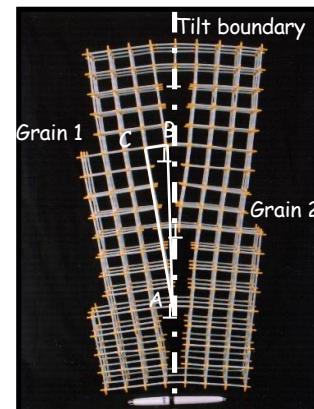
One grain orientation can be obtained by rotation of another grain about an **axis** through an angle

If the axis of rotation lies in the boundary plane it is called a **tilt boundary**

If the axis of rotation is perpendicular to the boundary plane it is called a **twist boundary**

170

### Edge dislocation model of a small angle tilt boundary



$$\frac{b}{2h} = \sin \frac{\theta}{2}$$

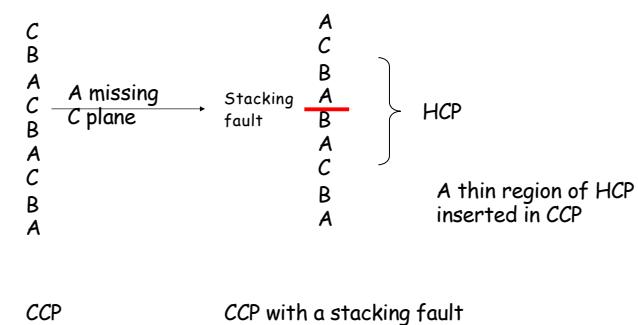
Or approximately

$$\frac{b}{h} = \tan \theta$$

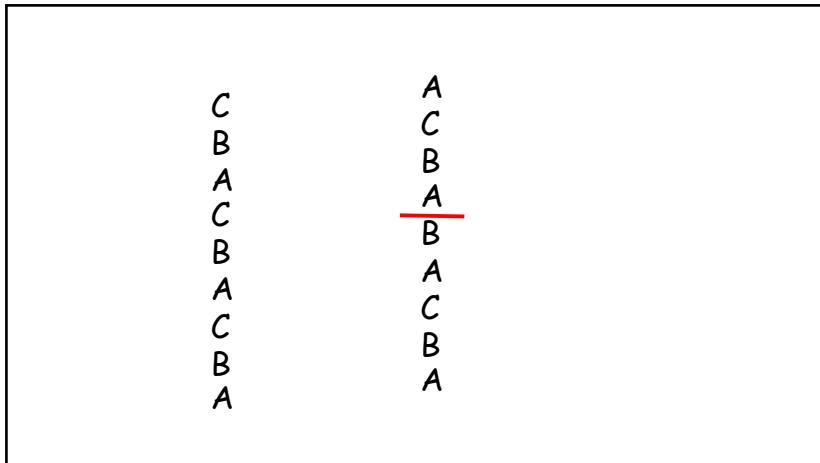
Eqn. 6.7

171

### Stacking fault



172



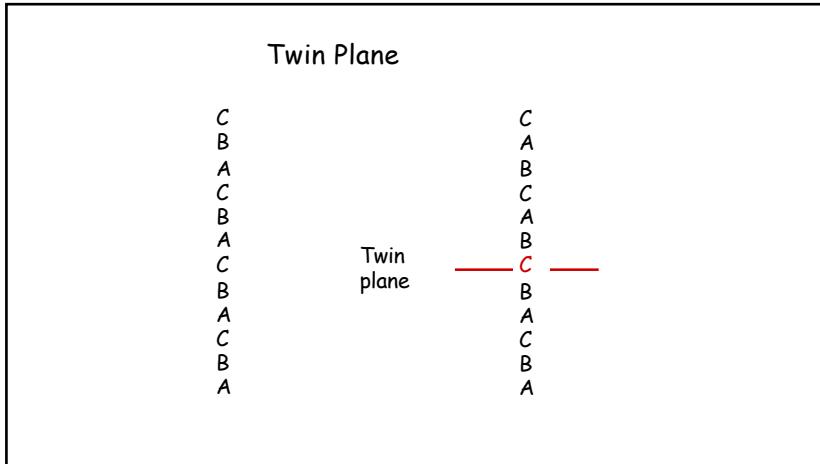
173

A stacking fault is a translation boundary. Crystal on one side of a boundary is translated wrt that on the other side by a non lattice translation.

Q1: Why not a lattice translation?

Q2: Describe the translation vector which will give a stacking fault corresponding to a missing C plane in a CCP crystal (previous slide)

174



175

A twin plane is a grain boundary such that crystal on side of the boundary appears to be mirror image of the crystal on the other side of the boundary (although the crystal structure does not change, only the orientation changes)

176