

Where am I?

- **HUL242: Fundamentals of Language Sciences**
- **Syntax (Lecture-5)**
- Thursday, April 3

Review: Phrase structure rules in English

1. $CP \rightarrow (c) S \text{ or } (c)TP$
2. $TP/S \rightarrow \{NP/CP\} (T) VP$
3. $NP \rightarrow (D) (AdjP+) N (PP+) (CP)$
4. $PP \rightarrow P (NP)$
5. $AdjP \rightarrow (AdvP) Adj$
6. $AdvP \rightarrow (AdvP) Adv$
7. $VP \rightarrow (AdvP+) V (\{NP/CP\}) (\{NP/CP/PP\}) (AdvP+) (PP+) (AdvP+)$
8. $X \rightarrow X \text{ conj } X$ (to conjoin two words)
9. $XP \rightarrow XP \text{ conj } XP$ (to conjoin two phrases)

(Note: these rules can't generate all the English sentences. We still need to advance these rules, but they are enough for this course.)

Languages with a flexible word order: movement

- Possible word orders in Latin

- a) Mīlitēs urbem dēlēbunt.
Soldiers city destroy.FUT.3PL
“The soldiers will destroy the city.”
- b) Mīlitēs dēlēbunt urbem.
- c) Urbem mīlitēs dēlēbunt.
- d) Urbem dēlēbunt mīlitēs.
- e) Dēlēbunt mīlitēs urbem.
- f) Dēlēbunt urbem mīlitēs.

- What is the PS rules for TP and VP in Latin?

Idea: (a) shows the basic order: $TP \rightarrow NP VP$, $VP \rightarrow NP V$

- Others are derived by a “transformational rule” (i.e., movement).

Languages with a flexible word order: movement

- When a single pronounced item enters two (or more) dependencies in a structure, it is called ‘movement’ (also called ‘displacement’).
- **“movements” do not have to respect PS rules.**

Hindi: A flexible word order language

- Possible word orders in Hindi

1a. sipahi: jāhəʊ ko nəst̪ kəʊ d̪eːge.

b. sipahi: nəst̪ kəʊ d̪eːge jāhəʊ ko.

c. jāhəʊ ko sipahi: nəst̪ kəʊ d̪eːge.

d. jāhəʊ ko nəst̪ kəʊ d̪eːge sipahi:.

e. nəst̪ kəʊ d̪eːge sipahi: jāhəʊ ko.

f. nəst̪ kəʊ d̪eːge jāhəʊ ko sipahi:.

- What is the PS rules for TP and VP in Hindi?

Idea: (a) shows the basic order: $TP \rightarrow NP VP$, $VP \rightarrow NP V$

- Others are derived by a “transformational rule” (i.e., movement).

Movements

Some transformational rules in English

- Yes-no question : Head movement
- Wh-question : Phrasal movement
- Topicalization : Phrasal movement

Yes-no Question

Yes-no Question: Data

Declarative/Statement

1. Mary could see the cat.
2. Mary is reading a book.
3. Mary was reading a book.
4. Mary will read the book.

Yes-no question

Could Mary ___ see the cat?

Is Mary ___ reading a book?

Was Mary ___ reading a book?

Will Mary ___ read the book?

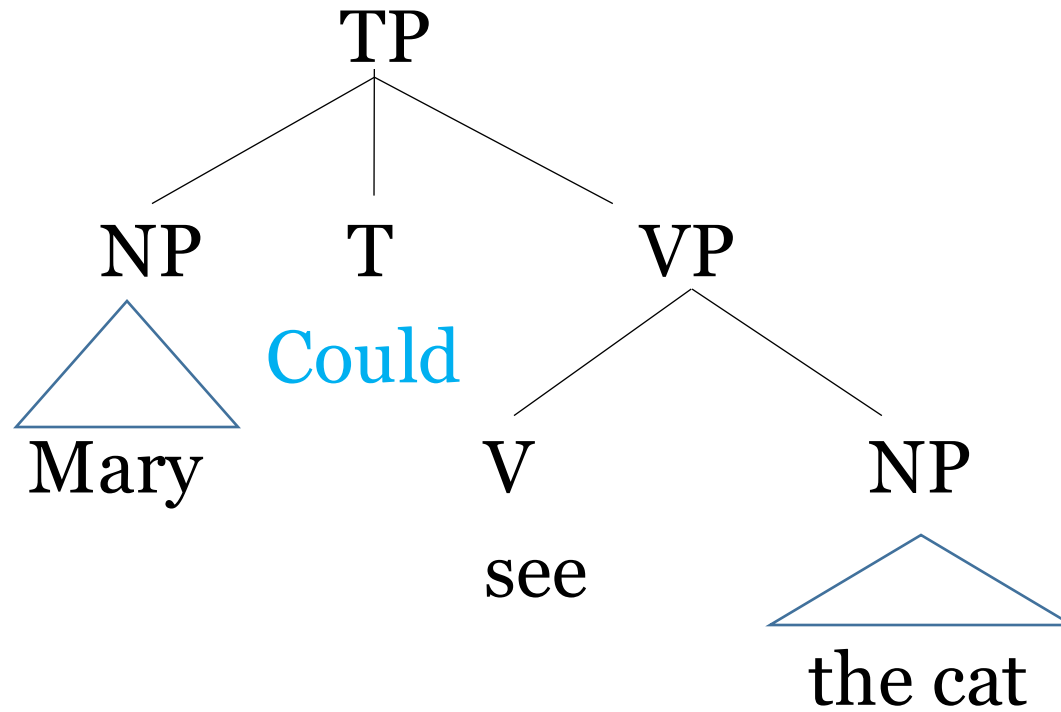
Generalization:

- Move the 'Aux/tense' to the front of the sentence.
- But where?

The rule for Yes-no question

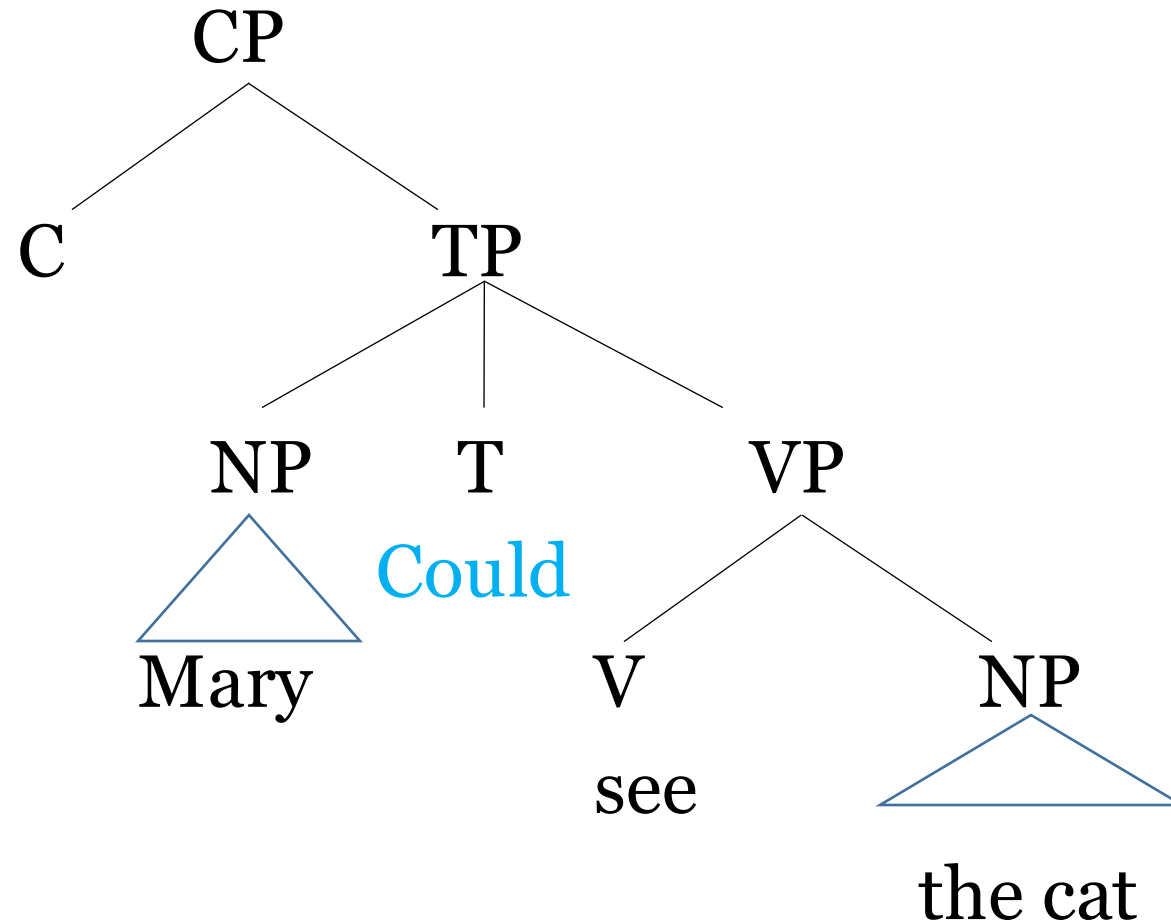
- Hypothesis:
 - Yes-no questions are derived from a declarative.
- Declarative sentences are TPs
- ‘Yes-no question’ sentences are CPs
- To make yes-no questions :
 - Move T to C, leaving a trace

The basic tree structure for (1)



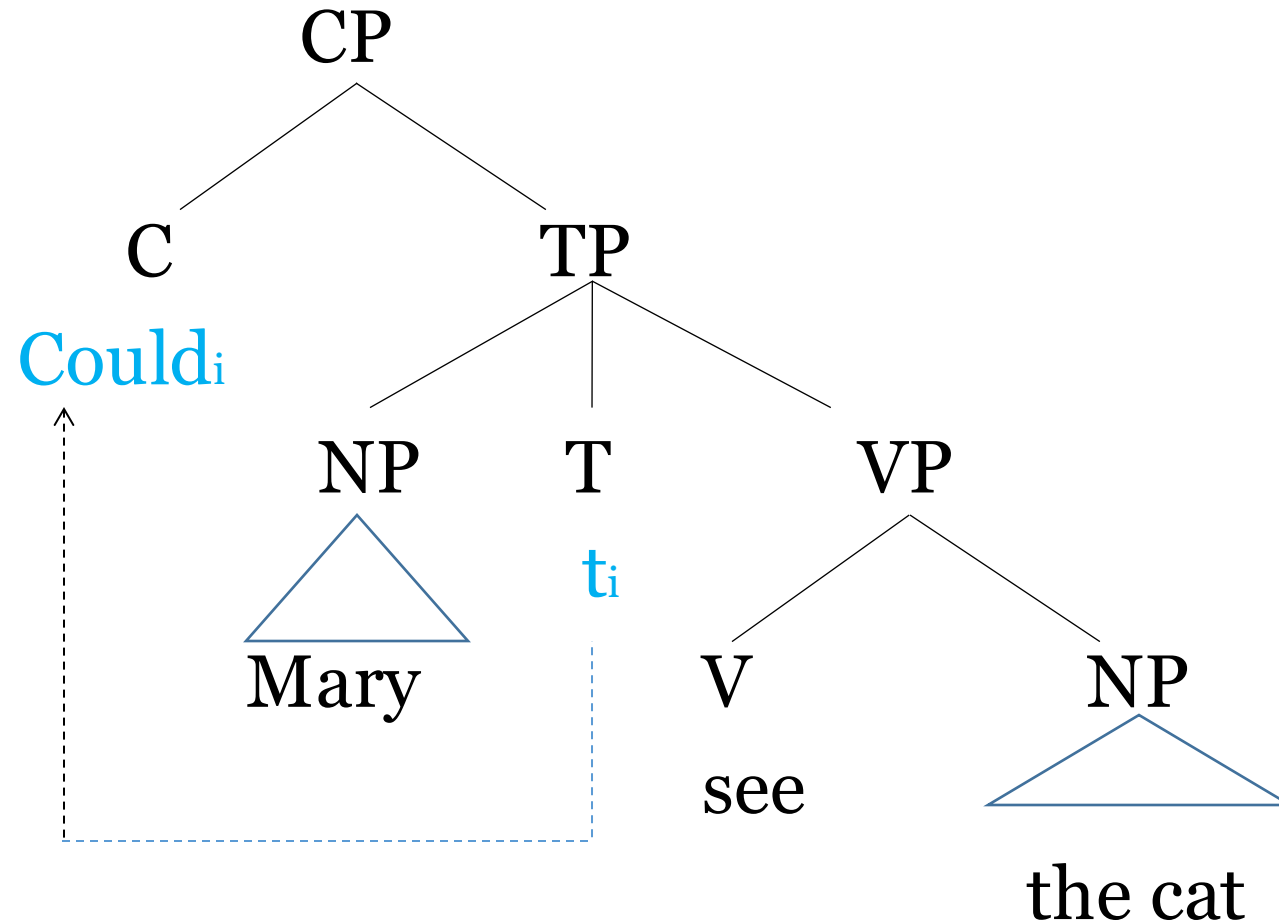
Step-1

- Create a CP node above TP



Step-2

- Move T element to C, leaving a trace (t)



More Data: A note on “Do-support”

Declaratives/Statements

1. Mary reads a book.
2. I ate a banana.

Yes-no questions

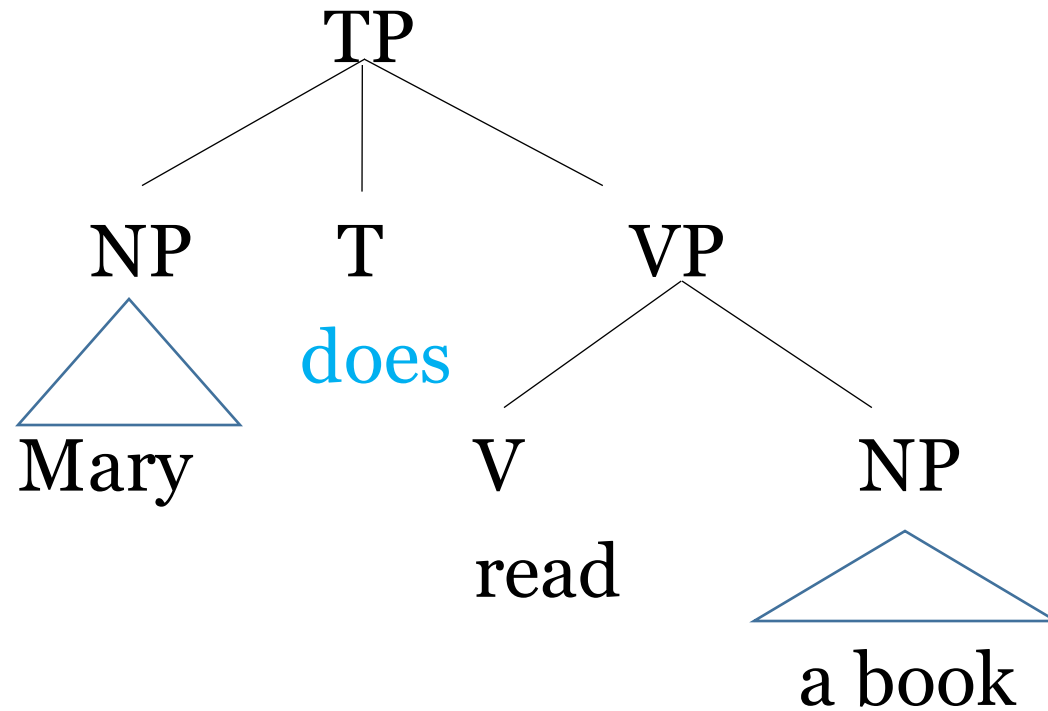
- Does Mary ___ read a book?
- Did I ___ eat a banana ?

○ Generalization:

If there is no overt tense element, English uses “do-support”.

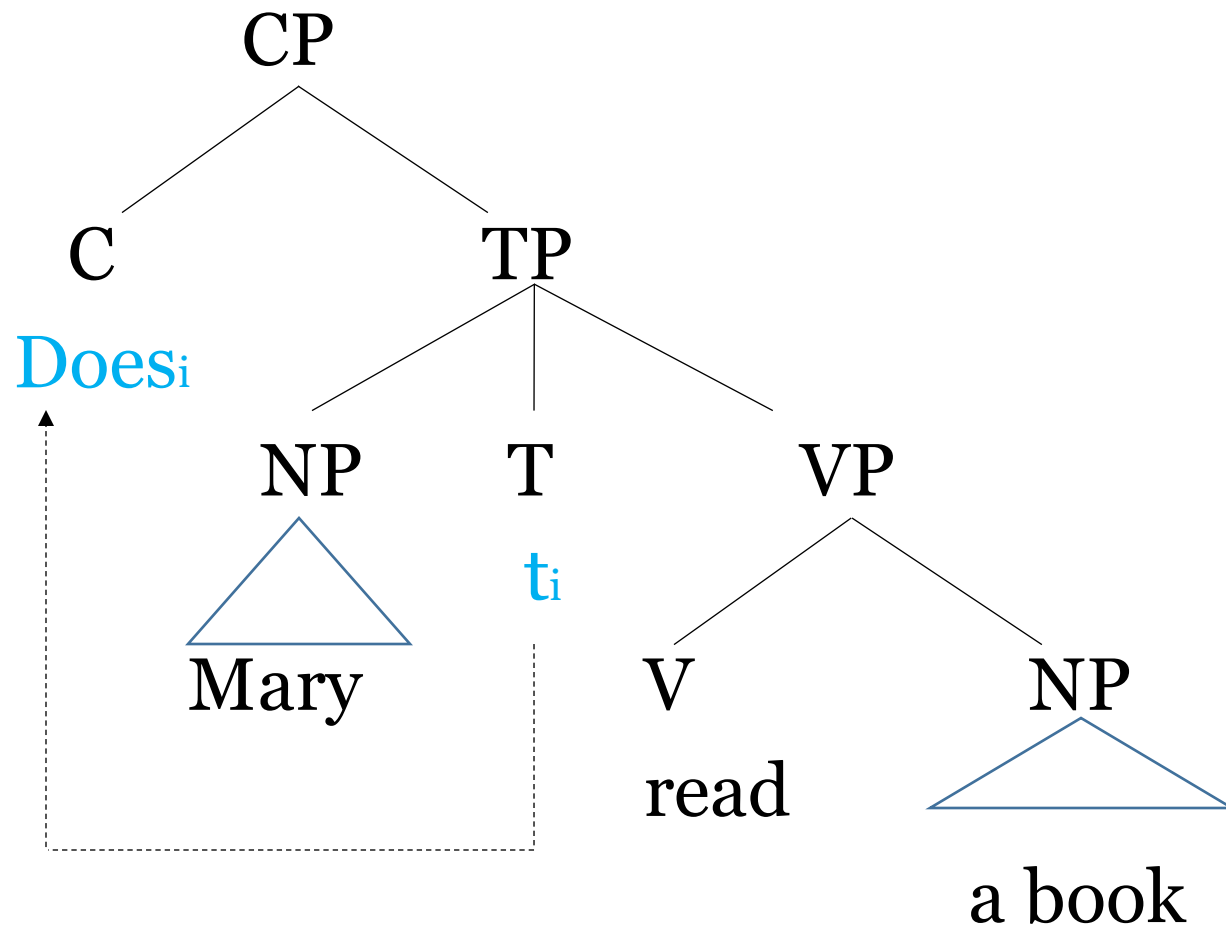
The basic tree structure

- T hosts the auxiliary 'do' in such cases.



The final tree

- T moving to C



Wh-question

Wh-question: Data

Declarative/Statement

1. Mary can bake a bread.
2. Mary will read the book.
3. Mary reads a book.

Wh-question (of the object)

What can Mary ___ bake ___ ?

What will Mary ___ read ___ ?

What does Mary ___ read ___ ?

Generalization:

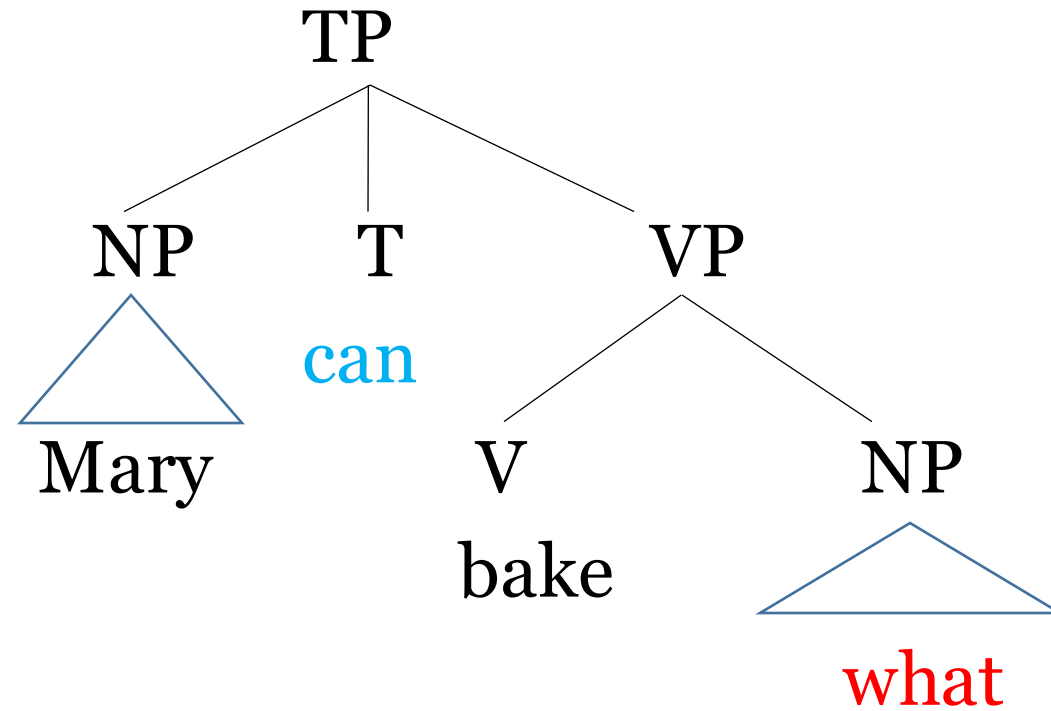
- 'Aux/tense' moves before the subject
- Wh-word moves before the aux/tense

○ But where?

The rule for wh-questions

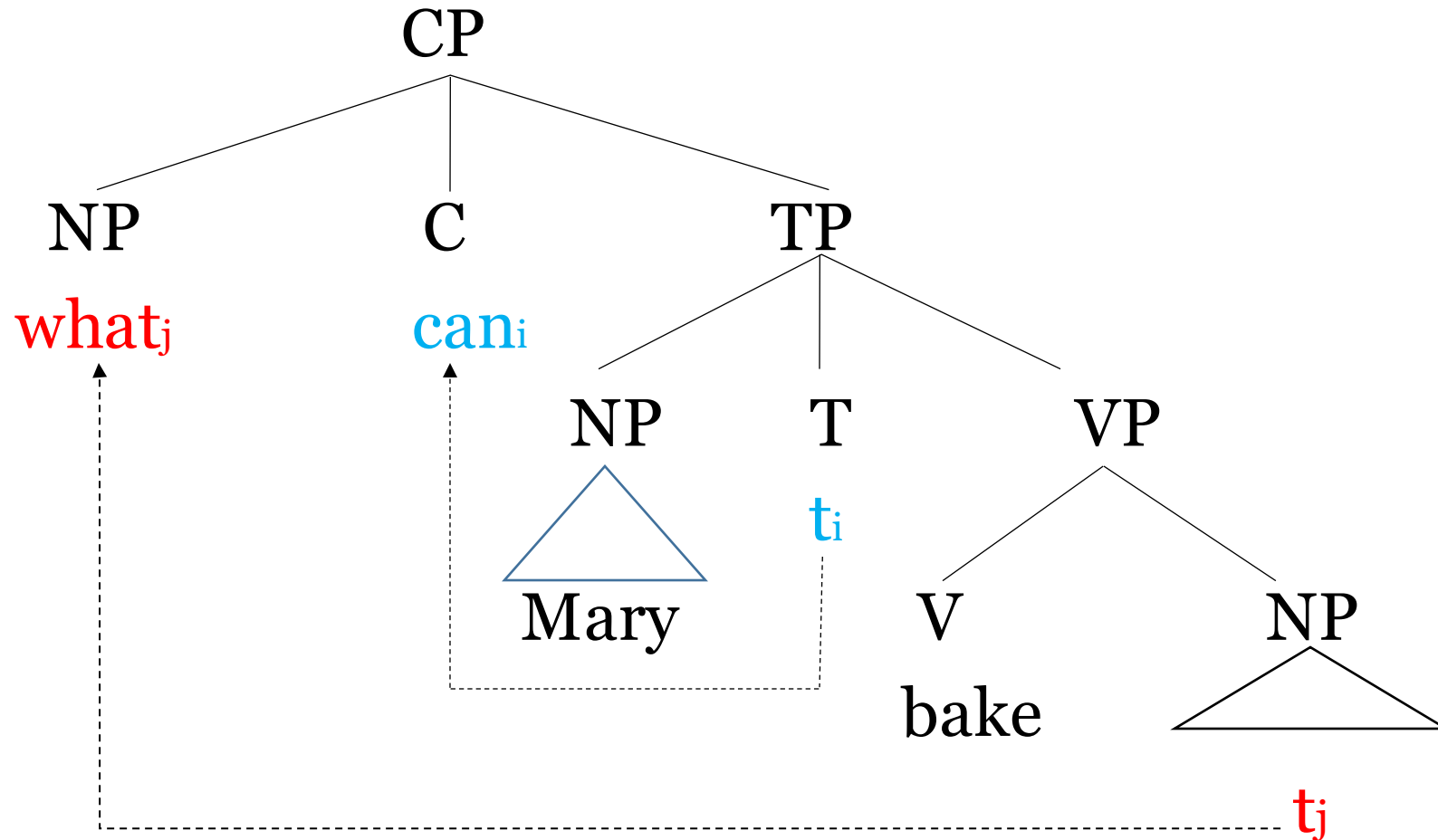
- Declarative sentences are TPs .
- ‘wh-questions’ are CPs
- The wh-question rule
 - Draw a CP node above the TP.
 - Move T to the C, leaving a trace.
 - Move the wh-word to the left of the moved T, leaving a trace.

The basic structure



The Final structure

- Move the T element to C and the wh-word to CP



Topicalization

Topicalization : Data

1. I like donuts. (I don't like bagels,)

2. Donuts, I like. (Bagels, I don't.)

- Similarity between (1) and (2):

- They have the same meaning (in (2), the NP “donuts” becomes the topic)

- Difference between them:

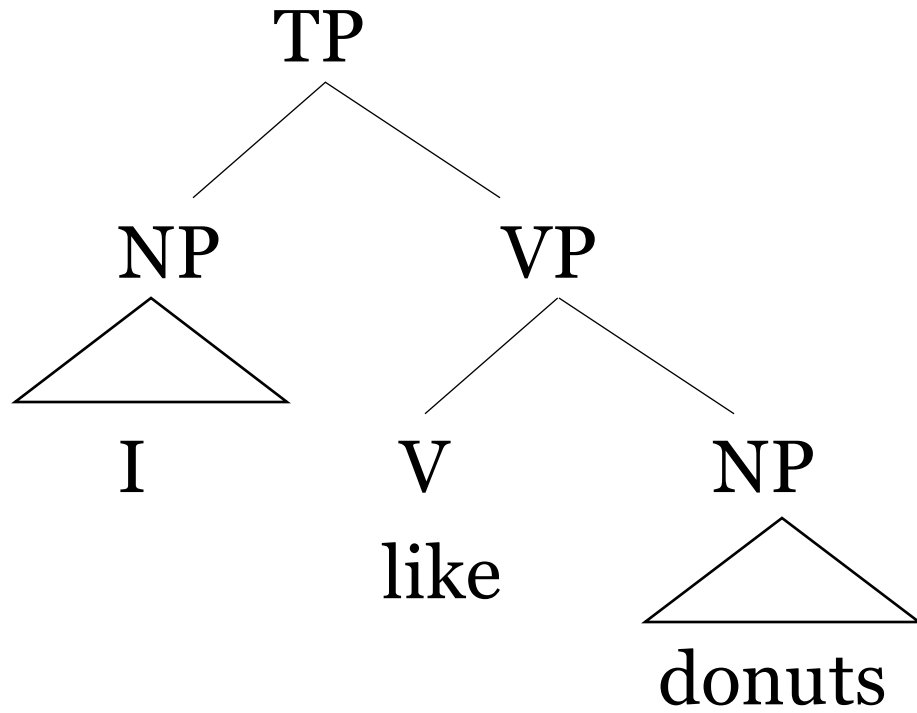
- Object is fronted to the beginning of the sentence

- (2) is derived from (1).

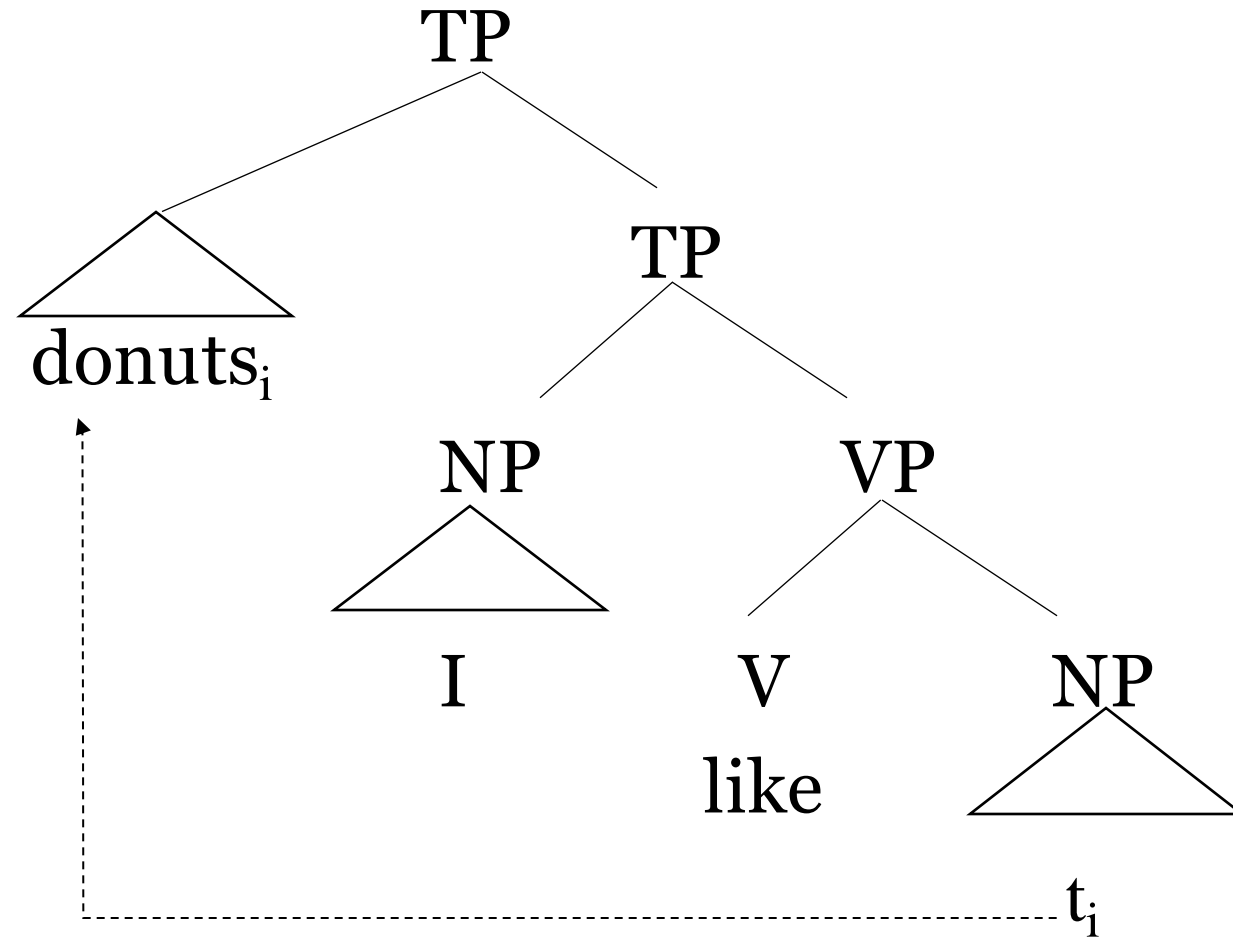
Steps for topicalization

- Draw a new TP node on top
- Move the object being topicalized above the subject, leaving a trace.

The basic tree



The rule for topicalization



Constituency

Constituents, intuitively

- A group of words that functions together as a unit in the Syntax
 - John told Mary that he was watching a nice movie.
- In the above example, we have an intuition that certain words and groups of words are tied together more closely than others.

Constituents, intuitively

- John told Mary that he was watching a nice movie.

- that he was watching a nice movie
- he was watching a nice movie
- watching a nice movie
- a nice movie
- Nice

but not that he was

but not that he

but not watching a

but not a nice

- These natural groupings of the parts of a sentence are **constituents**.
Phrases are constituents.

Tests for constituency

- There's something natural about constituency-
 - The constituents in a sentence “hang together” in a way the non-constituents do not.
- Let's see some properties that constituents share, which you can use as **constituency tests**.

The fragment test: Stand alone

- If a group of words can stand alone as an answer to a question, they form a constituent.

1. What did John buy?

a red hat/*a red

2. Where are you?

at the beach/*at the

Q: What phrases are these constituents?

NP in (1), PP in (2)

Substitution/replacement test

- If a group of words can be replaced by a single word (of the same category), they form a constituent.
 1. John bought **three red hats**.
 2. John bought **them**.
 3. **The Republican candidate for president** only eats fast food.
 4. **He** only eats fast food.

Q: What phrases are these constituents?

NP

- Keep in Mind: **Pronouns are NPs**

Substitution/replacement test

- If a group of words can be replaced by a single word (of the same category), they form a constituent.
 1. If Mary went to the park, then John **went to the park**
 2. If Mary went to the park, then John **did**.

Q: What phrases are these constituents?
VP

Movement test

- If a group of words can be moved together, they form a constituent.

- **Passivization:**

The news surprised every student in the class.

Every student in the class was surprised ____ by the news.

- **Clefting:**

I watched the parade from my window.

It was from my window that I watched the parade ____.

- **Topicalization:**

I like nice people. I don't like mean people

Nice people, I like _____. Mean people, I don't _____.

Summary: constituency tests

- The fragment test
- Substitution/replacement test
- Movement tests

How to test: Our earlier example

1. John told Mary that he was watching a nice movie.

Q: Is ‘**a nice movie**’ a constituent in (1)?

Fragment test:

- What did John tell Mary that he was watching?

Ans: A nice movie

(passed the test)

The replacement test:

1. John told Mary that he was watching a nice movie.
2. John told Mary that he was watching **it**.

(passed the test)

Movement test:

- **A nice movie**, John told Mary that he was watching ____.

(passed the test)

Constituency test

1. John told Mary that he was watching a nice movie

○ What about '**a nice**' ? Is '*a nice*' a constituent in

Fragment test:

➤ What did John tell Mary that he was watching?

*a nice

(failed the test)

The replacement test:

➤ *John told Mary that he was watching **it** movie.

(failed the test)

Movement test:

➤ **A nice**, John told Mary that he was watching ____ movie.

(failed the test)

Constituency arguments

- Constituents will pass at least one constituency test.
 - To prove that something's a constituent, construct an example that shows it passes a constituency test.
- Non-constituents will fail every constituency test.
 - To argue that something's not a constituent, check that it fails all the tests.

Next Class

- The PS rules and the tree structure (i.e., flat tree structure) that we developed so far could not represent ‘constituents’ correctly.
- We will develop X-bar structure