

Sorting and Searching

Sorting & Searching

- Searching
 - Linear/Sequential Search
 - Binary Search
- Sorting
 - Bubble sort
 - Selection Sort
 - Insertion Sort
 - Quick Sort
 - Merge Sort

Linear/Sequential Search

- In computer science, **linear search** or **sequential search** is a method for finding a particular value in a list that consists of **checking every** one of its **elements, one at a time** and in sequence, **until the desired one is found**.
- Linear search is the simplest search algorithm.
- It is a special case of brute-force search.
- Its **worst case cost** is proportional to the **number of elements in the list**.

Sequential Search - Algorithm

```
# Input: Array A, integer key  
# Output: first index of key in A  
# or -1 if not found
```

Algorithm: Linear_Search

```
for i = 0 to last index of A:  
    if A[i] equals key:  
        return i  
  
return -1
```

Sequential Search - Example


Search for **1** in given array

2	9	3	1	8
---	---	---	---	---

Comparing value of i^{th} index with element to be search one by one until we get searched element or end of the array


Step 1: $i=0$

2	9	3	1	8
---	---	---	---	---


 i


Step 1: $i=2$

2	9	3	1	8
---	---	---	---	---


 i


Step 1: $i=1$

2	9	3	1	8
---	---	---	---	---


 i

Step 1: $i=3$

2	9	3	1	8
---	---	---	----------	---


 i

Element found at i^{th} index, $i=3$

Binary Search

- If we have an **array** that is **sorted**, we can use a much more **efficient algorithm** called a **Binary Search**.
- In binary search **each time** we **divide array** into **two equal half** and **compare middle element** with **search element**.
- Searching Logic
 - If **middle element** is **equal to search element** then we got that element and **return that index**
 - if **middle element** is **less than search element** we **look right part** of array
 - if **middle element** is **greater than search element** we look **left part** of array.

Binary Search - Algorithm

Input: Sorted Array A, integer key

Output: first index of key in A,

or -1 if not found

Algorithm: Binary_Search (A, left, right)

left = 0, right = n-1

while left < right

 middle = index halfway between left, right

 if A[middle] matches key

 return middle

 else if key less than A[middle]

 right = middle - 1

 else

 left = middle + 1

return -1

Binary Search - Algorithm

Search for **6** in given array

-1	5	6	18	19	25	46	78	102	114
----	---	---	----	----	----	----	----	-----	-----

Index 0 1 2 3 4 5 6 7 8 9

↑
left

↑
right

Key=6, No of Elements = 10, so left = 0, right=9

Step 1:

middle index = $(\text{left} + \text{right}) / 2 = (0+9)/2 = 4$

middle element value = $a[4] = 19$

Key=6 is **less than** middle element = **19**, so **right** = middle - 1 = 4 - 1 = **3**, **left** = 0

-1	5	6	18	19	25	46	78	102	114
----	---	---	----	----	----	----	----	-----	-----

Index 0 1 2 3 4 5 6 7 8 9

↑
left

↑
right

Binary Search - Algorithm

Step 2:

middle index = $(\text{left} + \text{right}) / 2 = (0+3)/2 = 1$

middle element value = $a[1] = 5$

Key=6 is **greater than** middle element = **5**, so **left** = middle + 1 = 1 + 1 = **2**, **right** = **3**

-1	5	6	18	19	25	46	78	102	114
----	---	---	----	----	----	----	----	-----	-----

Index 0 1 2 3 4 5 6 7 8 9

 ↑ ↑
left **right**

Step 3:

middle index = $(\text{left} + \text{right}) / 2 = (2+3)/2 = 2$

middle element value = $a[2] = 6$

Key=6 is **equals to** middle element = **6**, so **element found**

-1	5	6	18	19	25	46	78	102	114
----	---	---	----	----	----	----	----	-----	-----

Index 0 1 2 3 4 5 6 7 8 9

 ↑
Element Found

Binary Search - Algorithm

- Time complexity:

$$T(n) = \begin{cases} T(n/2) + 1 & , n > 2 \\ 1 & , n \leq 2 \end{cases}$$

- Assume $n = 2^k$,

$$\begin{aligned} T(n) &= T(n/2) + 1 \\ &= T(n/4) + 1 + 1 \\ &\quad \vdots \\ &= T(n/2^k) + k * 1 \\ &= k \\ &= \log n \end{aligned}$$

Selection Sort

- Selection sort is a simple sorting algorithm.
- The **list** is **divided into two parts**,
 - The **sorted part** at the **left end** and
 - The **unsorted part** at the **right end**.
 - Initially, the sorted part is empty and the unsorted part is the entire list.
- The **smallest element** is **selected** from the **unsorted array** and **swapped** with the **leftmost element**, and that element becomes a part of the sorted array.
- This process continues moving unsorted array boundary by one element to the right.
- This algorithm is **not suitable** for **large data sets** as its average and worst case complexities are of **$O(n^2)$** , where n is the number of items.

Selection Sort

Unsorted Array

5	1	12	-5	16	2	12	14
---	---	----	----	----	---	----	----

Step 1 :

Unsorted Array

5	1	12	-5	16	2	12	14
0	1	2	3	4	5	6	7

Step 2 :

Unsorted Array (elements 0 to 7)

-5	1	12	5	16	2	12	14
0	1	2	3	4	5	6	7

Swap

Min index = 0, value = 5

Find min value from
Unsorted array
Index = 3, value = -5

Selection Sort

Step 3 :

Unsorted Array (elements 1 to 7)

-5	1	12	5	16	2	12	14
0	1	2	3	4	5	6	7

Min index = 1, value = 1

Find min value from
Unsorted array
Index = 1, value = 1

No Swapping as min value is already at right place

Step 4 :

Unsorted Array
(elements 2 to 7)

-5	1	2	5	16	12	12	14
0	1	2	3	4	5	6	7

Swap

Min index = 2, value = 12

Find min value from
Unsorted array
Index = 5, value = 2

Selection Sort

Step 5 :

Unsorted Array
(elements 3 to 7)

-5	1	2	5	16	12	12	14
0	1	2	3	4	5	6	7

Min index = 3, value = 5

Find min value from
Unsorted array
Index = 3, value = 5

No Swapping as min value is already at right place

Step 6 :

Unsorted Array
(elements 5 to 7)

-5	1	2	5	12	16	12	14
0	1	2	3	4	5	6	7

Swap

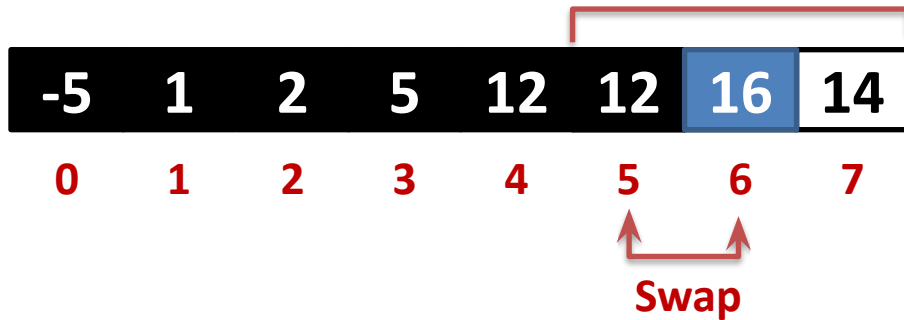
Min index = 4, value = 16

Find min value from
Unsorted array
Index = 5, value = 12

Selection Sort

Step 7 :

Unsorted Array
(elements 5 to 7)

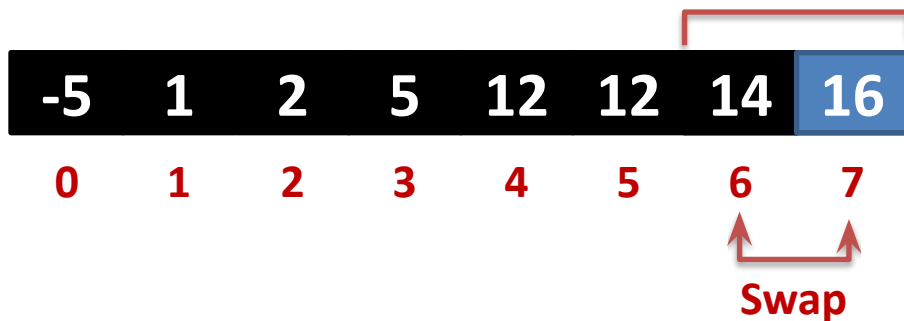


Min index = 5, value = 16

Find min value from
Unsorted array
Index = 6, value = 12

Step 8 :

Unsorted Array
(elements 6 to 7)



Min index = 6, value = 16

Find min value from
Unsorted array
Index = 7, value = 14

SELECTION_SORT(K,N)

- Given a **vector K** of **N** elements
- This procedure **rearrange** the **vector** in **ascending order** using **Selection Sort**
- The variable **PASS** denotes the **pass index** and position of the first element in the vector
- The variable **MIN_INDEX** denotes the **position of the smallest element** encountered
- The variable **I** is used to index elements

SELECTION_SORT(K,N)

1. [Loop on the Pass index]

Repeat thru step 4 for PASS = 1,2,....., N-1

2. [Initialize minimum index]

MIN_INDEX \leftarrow PASS

3. [Make a pass and obtain element with smallest value]

Repeat for I = PASS + 1, PASS + 2,, N

If K[I] < K[MIN_INDEX]

Then MIN_INDEX \leftarrow I

4. [Exchange elements]

IF MIN_INDEX \neq PASS

Then K[PASS] \leftrightarrow K[MIN_INDEX]

5. [Finished]

Return

Bubble Sort

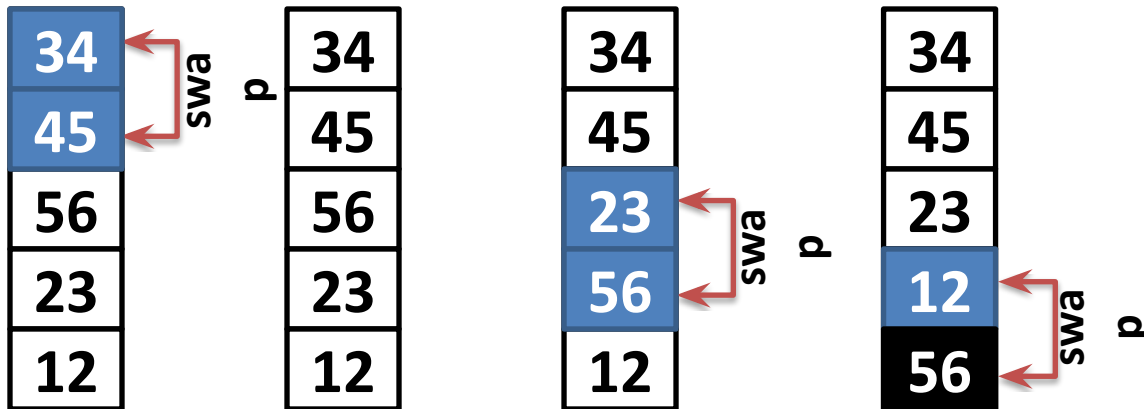
- Unlike **selection sort**, instead of finding the **smallest record** and performing the interchange, two records are **interchanged immediately** upon discovering that they are out of order
- During the **first pass** R_1 and R_2 are **compared** and **interchanged in case of** ¹ **our of order**, this process is repeated for records R_2 and R_3 , and so on.
- This method will cause records with **small key to move “bubble up”**,
- **After** the **first pass**, the record with **largest key** will be in the n^{th} position.
- On each successive pass, the records with the next largest key will be placed in position $n-1, n-2, \dots, 2$ respectively
- This approach required at most $n-1$ passes, The **complexity** of bubble sort is **$O(n^2)$**

Bubble Sort

Unsorted Array

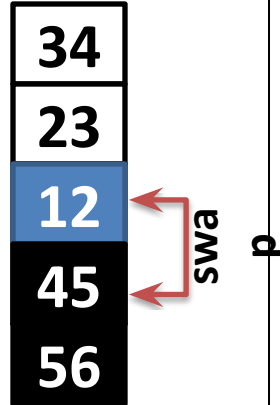
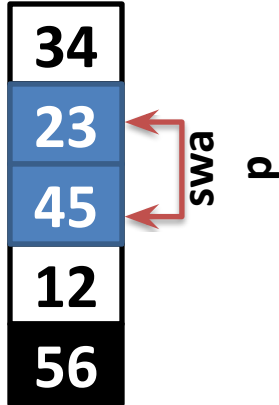
45	34	56	23	12
----	----	----	----	----

Pass 1 :

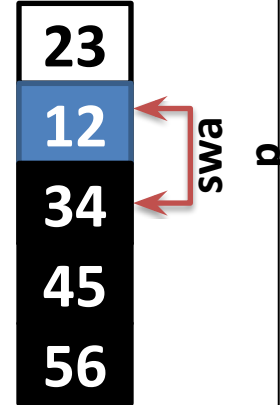
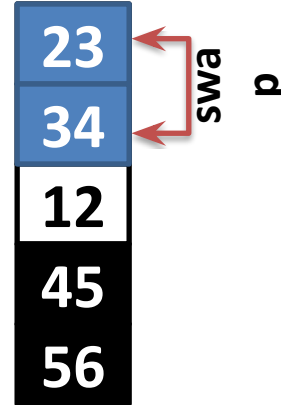


Bubble Sort

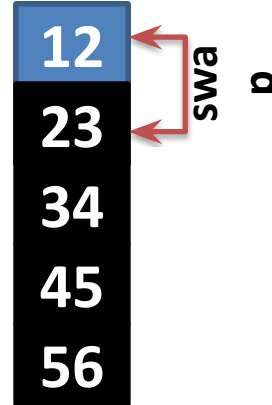
Pass 2 :



Pass 3 :



Pass 4 :



BUBBLE_SORT(K,N)

- Given a **vector K** of **N** elements
- This procedure **rearrange** the **vector** in **ascending order** using **Bubble Sort**
- The variable **PASS & LAST** denotes the **pass index** and position of the first element in the vector
- The variable **EXCHS** is used to count number of exchanges made on any pass
- The variable **I** is used to index elements

Procedure: BUBBLE_SORT (K, N)

1. [Initialize]

LAST \leftarrow N

2. [Loop on pass index]

Repeat thru step 5 for PASS = 1, 2, 3, ..., N-1

3. [Initialize exchange counter for this pass]

EXCHS \leftarrow 0

4. [Perform pairwise comparisons on unsorted elements]

Repeat for I = 1, 2,, LAST - 1

IF K[I] > K[I+1]

Then K[I] \leftrightarrow K[I+1]

EXCHS \leftarrow EXCHS + 1

5. [Any exchange made in this pass?]

IF EXCHS = 0

Then Return (Vector is sorted, early return)

ELSE LAST \leftarrow LAST - 1

6. [Finished]

Return

Merge Sort

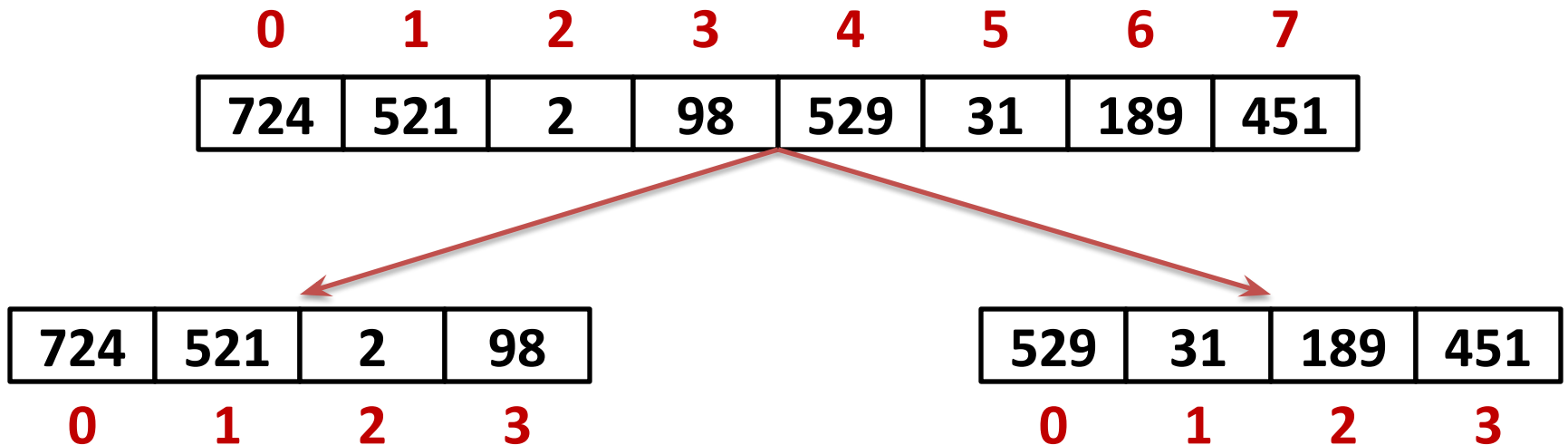
- The **operation of sorting** is closely related to **process of merging**
- Merge Sort is a **divide and conquer algorithm**
- It is based on the **idea of breaking down a list into several sub-lists** until each sub list consists of a **single element**
- **Merging those sub lists** in a manner that results into a sorted list
- **Procedure**
 - **Divide** the unsorted **list into N sub** lists, **each containing 1 element**
 - Take **adjacent pairs** of two singleton lists and **merge them** to form a **list of 2 elements**. N will now convert into N/2 lists of size 2
 - Repeat the process till a single sorted list of obtained
- Time complexity is **$O(n \log n)$**

Merge Sort

Unsorted Array

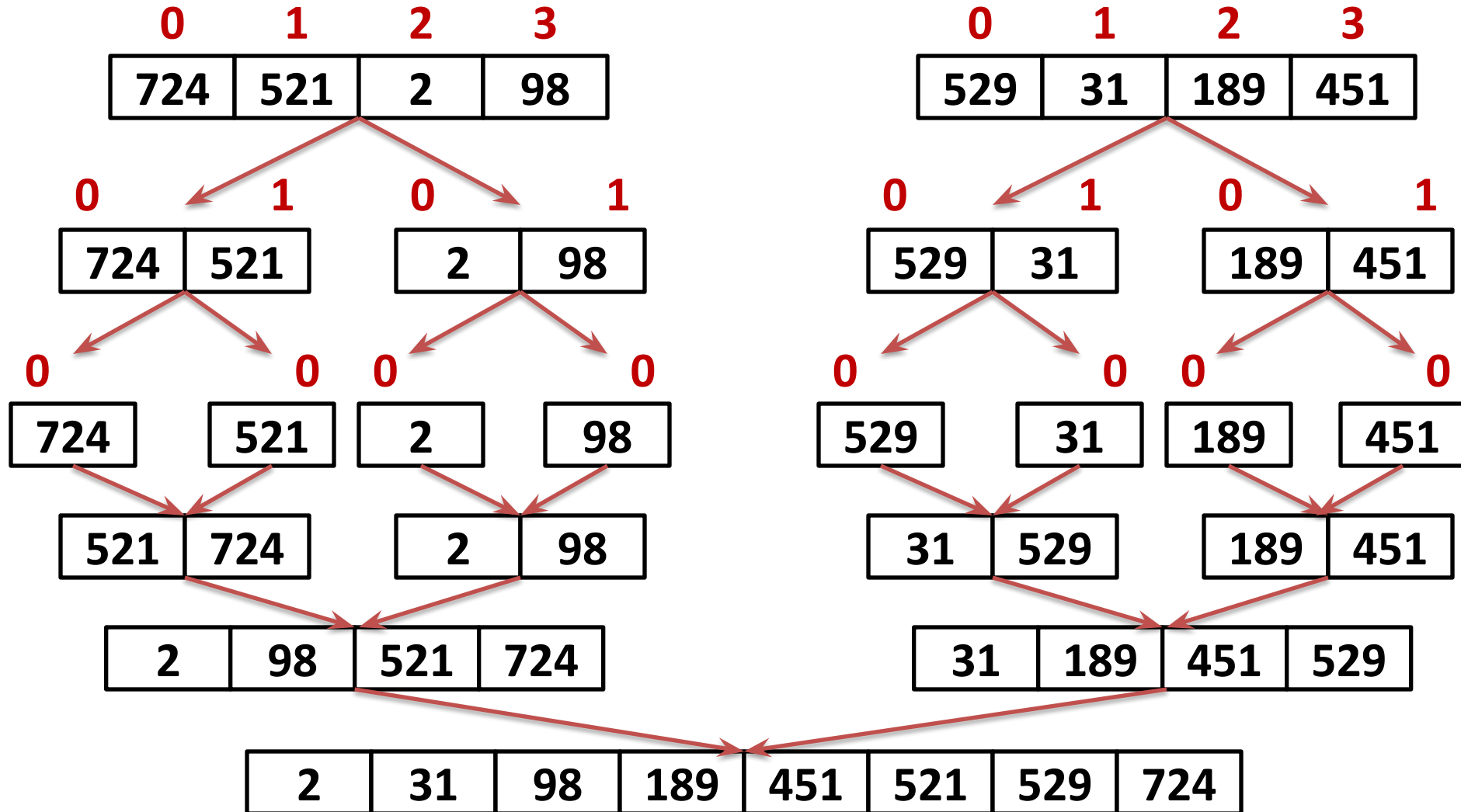
724	521	2	98	529	31	189	451
0	1	2	3	4	5	6	7

Step 1: Split the selected array (as evenly as possible)



Merge Sort

Step: Select the left subarray, Split the selected array (as evenly as possible)



Merge Sort

MERGE-SORT(A, p, r)

```
1  if  $p < r$ 
2       $q = \lfloor (p + r) / 2 \rfloor$ 
3      MERGE-SORT( $A, p, q$ )
4      MERGE-SORT( $A, q + 1, r$ )
5      MERGE( $A, p, q, r$ )
```

MERGE(A, p, q, r)

```
1   $n_1 \leftarrow q - p + 1$ 
2   $n_2 \leftarrow r - q$ 
3  create arrays  $L[1 \dots n_1 + 1]$  and  $R[1 \dots n_2 + 1]$ 
4  for  $i \leftarrow 1$  to  $n_1$ 
5      do  $L[i] \leftarrow A[p + i - 1]$ 
6  for  $j \leftarrow 1$  to  $n_2$ 
7      do  $R[j] \leftarrow A[q + j]$ 
8   $L[n_1 + 1] \leftarrow \infty$ 
9   $R[n_2 + 1] \leftarrow \infty$ 
10  $i \leftarrow 1$ 
11  $j \leftarrow 1$ 
12 for  $k \leftarrow p$  to  $r$ 
13     do if  $L[i] \leq R[j]$ 
14         then  $A[k] \leftarrow L[i]$ 
15              $i \leftarrow i + 1$ 
16     else  $A[k] \leftarrow R[j]$ 
17          $j \leftarrow j + 1$ 
```

Merge Sort

Complexity Analysis

If the running time of merge sort for a list of length n is $T(n)$, then the recurrence $T(n) = 2T(n/2) + n$

For $n = 2^m$, the recurrence $T(2^m) = 2T(2^{m-1}) + \alpha 2^m$

$$\begin{array}{llll}
 T(2^m) & = & 2T(2^{m-1}) + \alpha \cdot 2^m & \rightarrow \times 2^0 & T(2^m) - 2T(2^{m-1}) & = & \alpha \cdot 2^m \\
 T(2^{m-1}) & = & 2T(2^{m-2}) + \alpha \cdot 2^{m-1} & \rightarrow \times 2^1 & 2T(2^{m-1}) - 2^2T(2^{m-2}) & = & \alpha \cdot 2^m \\
 T(2^{m-2}) & = & 2T(2^{m-3}) + \alpha \cdot 2^{m-2} & \rightarrow \times 2^2 & 2^2T(2^{m-2}) - 2^3T(2^{m-3}) & = & \alpha \cdot 2^m \\
 \dots & & \dots & & \dots & & \dots \\
 T(2^2) & = & 2T(2^1) + \alpha \cdot 2^2 & \rightarrow \times 2^{m-2} & 2^{m-2}T(2^2) - 2^{m-1}T(2^1) & = & \alpha \cdot 2^m \\
 T(2^1) & = & 2 \underbrace{T(2^0)}_{T(1)=0} + \alpha \cdot 2^1 & \rightarrow \times 2^{m-1} & 2^{m-1}T(2^1) - \underbrace{2^m T(2^0)}_{=0} & = & \alpha \cdot 2^m
 \end{array}$$

Adding these

$$T(2^m) = \alpha \cdot 2^m \cdot m$$

So $T(n) \approx \alpha \cdot n \cdot \log_2 n$.

Insertion Sort

In insertion sort, **every iteration moves** an **element** from **unsorted portion** to **sorted portion** until all the elements are sorted in the list.

Steps for Insertion Sort

1

Assume that **first element** in the list is in **sorted portion** of the list and **remaining all elements** are in **unsorted portion**.

2

Select **first element** from the **unsorted list** and **insert** that element **into the sorted** list in **order specified**.

3

Repeat the above process **until all** the **elements** from the **unsorted list** are **moved into** the **sorted list**.

This algorithm is not suitable for large data sets

Insertion Sort cont.

Complexity of the Insertion Sort Algorithm

To sort a **unsorted list** with 'n' number of **elements** we need to make $(1+2+3+\dots+n-1) = (n(n-1))/2$ number of **comparisons** in the worst case.

If the list **already sorted**, then it requires '**n**' number of **comparisons**.

- Worst Case : $\Theta(n^2)$
- Best Case : $\Omega(n)$
- Average Case : $\Theta(n^2)$

Insertion Sort Example

Sort given array using Insertion Sort

6	5	3	1	8	7	2	4
---	---	---	---	---	---	---	---

Pass - 1 : Select First Record and considered as Sorter Sub-array

6	5	3	1	8	7	2	4
Sorted	Unsorted						

Pass - 2 : Select Second Record and Insert at proper place in sorted array

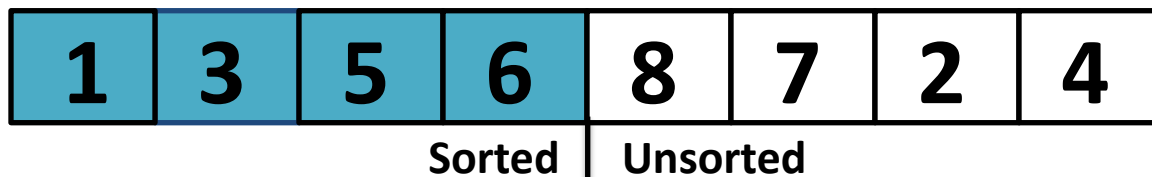
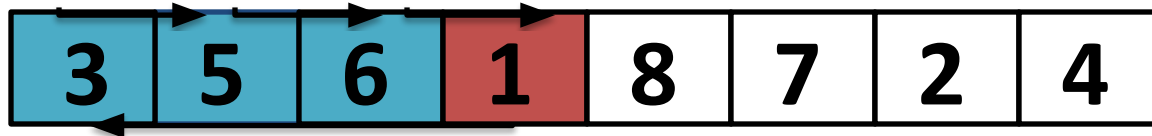
6	5	3	1	8	7	2	4
5	6	3	1	8	7	2	4
Sorted		Unsorted					

Insertion Sort Example Cont.

Pass - 3 : Select Third record and Insert at proper place in sorted array



Pass - 4 : Select Forth record and Insert at proper place in sorted array

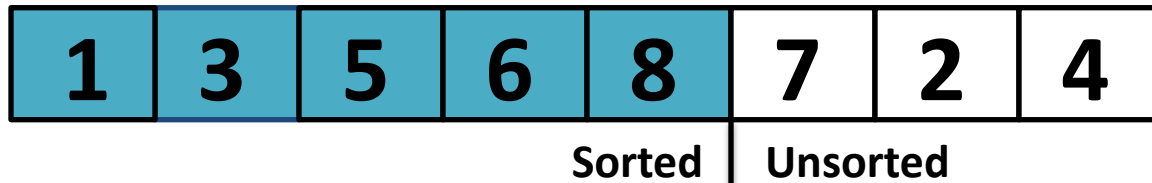


Insertion Sort Example Cont.

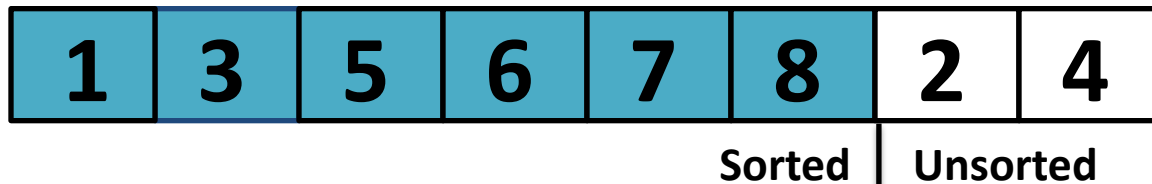
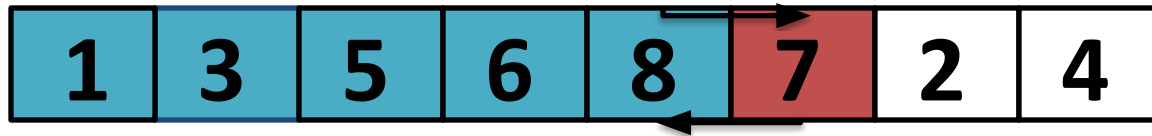
Pass - 5 : Select Fifth record and Insert at proper place in sorted array



8 is at proper position

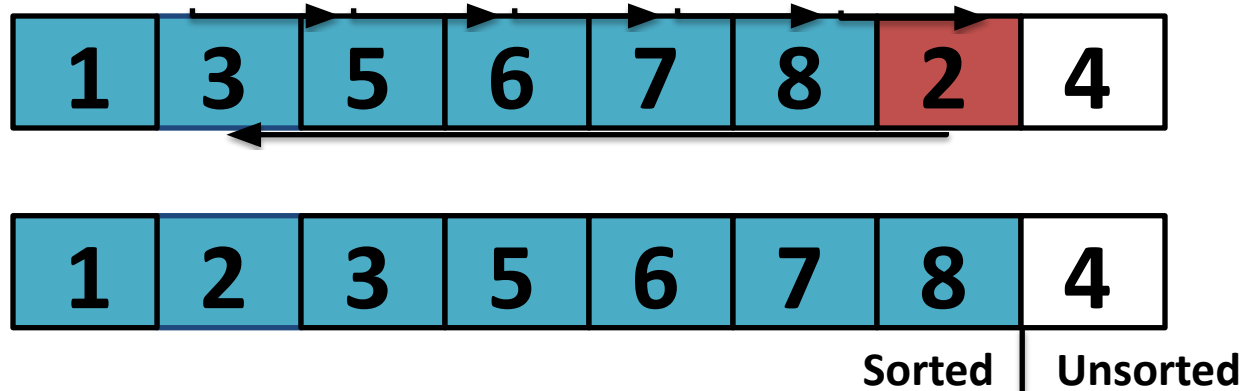


Pass - 6 : Select Sixth Record and Insert at proper place in sorted array

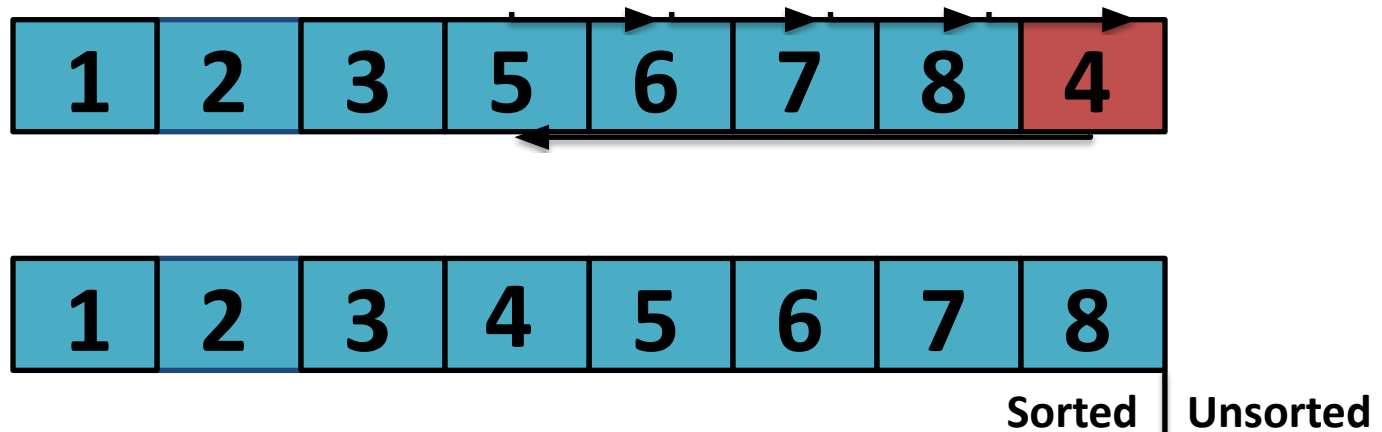


Insertion Sort Example Cont.

Pass - 7 : Select Seventh record and Insert at proper place in sorted array



Pass - 8 : Select Eighth Record and Insert at proper place in sorted array



Quick Sort

- **Quick sort** is a highly efficient sorting algorithm and is based on **partitioning of array** of data into **smaller arrays**.
- Quick Sort is **divide and conquer** algorithm.
- At each step of the method, the goal is to place a particular record in its final position within the table,
- In doing so all the records which precedes this record will have smaller keys, while all records that follows it have larger keys.
- This particular records is **termed pivot element**.
- The same process can then be applied to each of these subtables and repeated until all records are placed in their positions

Quick Sort

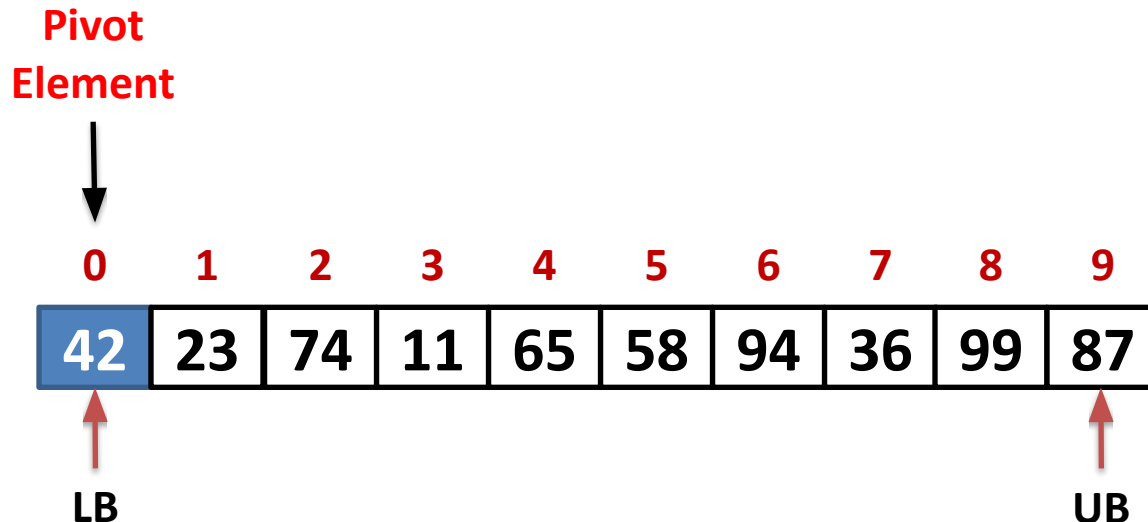
- There are many **different versions** of Quick Sort **that pick pivot** in different ways.
 - Always pick **first element as pivot**. (in our case we have consider this version).
 - Always pick **last element as pivot** (implemented below)
 - Pick a **random element as pivot**.
 - Pick **median as pivot**.
- Quick sort partitions an array and then calls itself recursively twice to sort the two resulting sub arrays.
- This algorithm is quite **efficient for large-sized data sets**
- Its average and **worst case complexity** are of **$O(n^2)$** , where n is the number of items.

Quick Sort

Sort Following Array using Quick Sort Algorithm

We are considering **first element as pivot element**, so **Lower bound** is **First Index** and **Upper bound** is **Last Index**

We need to find our proper position of Pivot element in sorted array and perform same operations recursively for two sub array



Quick Sort

FLAG \square true

IF LB < UB

Then

I \square LB

J \square UB + 1

KEY \square K[LB]

Repeat While FLAG = true

I \square I+1

Repeat While K[I] < KEY

I \square I + 1

J \square J - 1

Repeat While K[J] > KEY

J \square J - 1

IF I < J

Then K[I] \square --- \square K[J]

Else FLAG \square FALSE

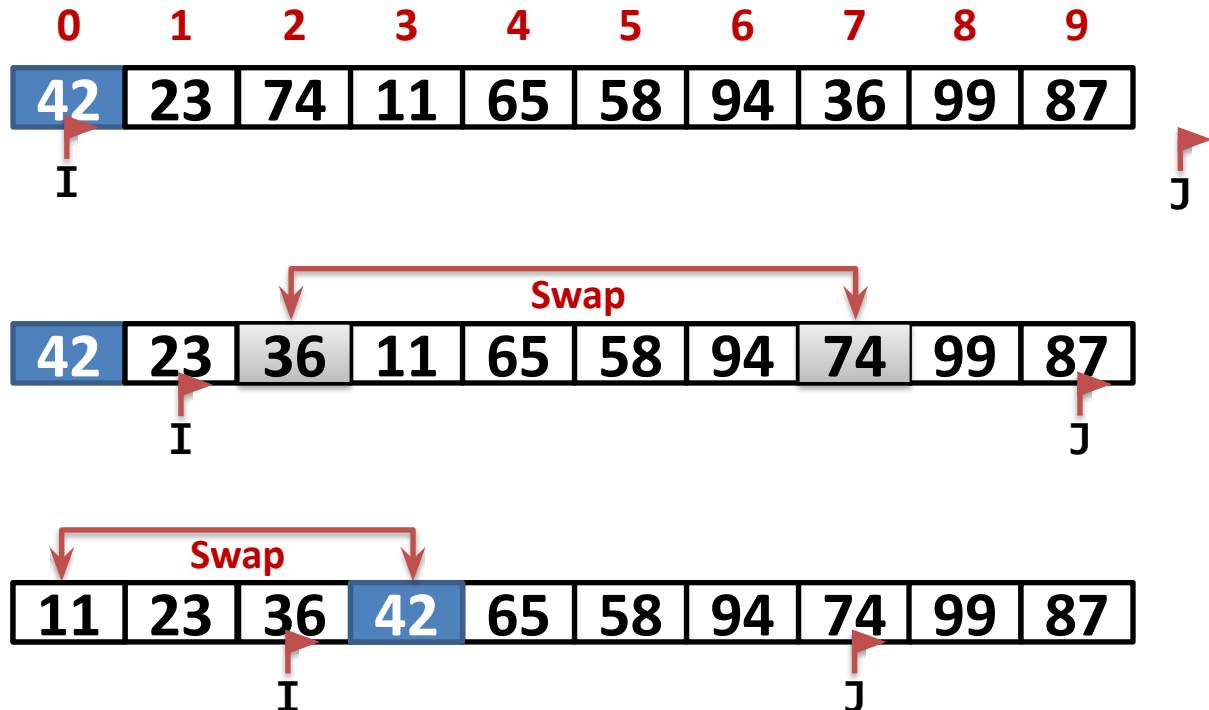
K[LB] \square --- \square K[J]

LB = 0, UB = 9 KEY = 42

I = 0

J = 10

FLAG = true



Quick Sort

FLAG \square true

IF LB < UB

Then

I \square LB

J \square UB + 1

KEY \square K[LB]

Repeat While FLAG = true

I \square I+1

Repeat While K[I] < KEY

I \square I + 1

J \square J - 1

Repeat While K[J] > KEY

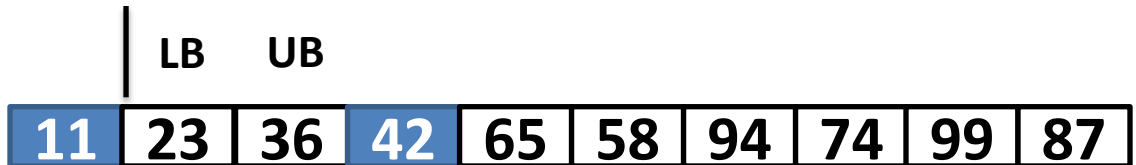
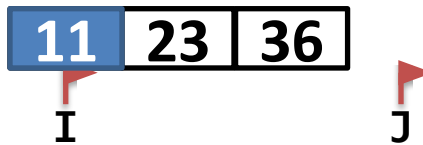
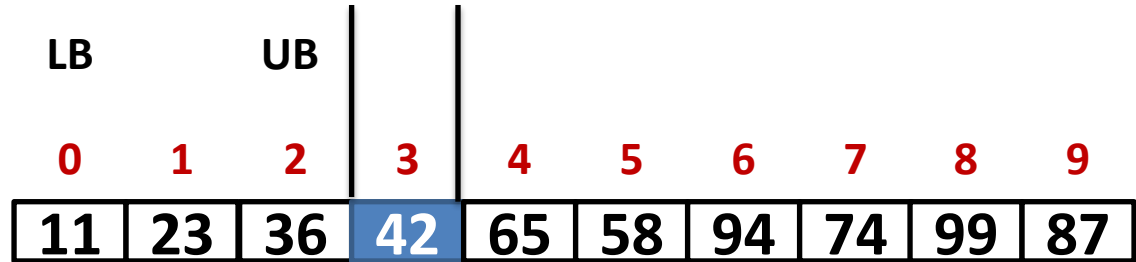
J \square J - 1

IF I < J

Then K[I] \square --- \square K[J]

Else FLAG \square FALSE

K[LB] \square --- \square K[J]



Quick Sort

FLAG \square true

IF LB < UB

Then

I \square LB

J \square UB + 1

KEY \square K[LB]

Repeat While FLAG = true

I \square I+1

Repeat While K[I] < KEY

I \square I + 1

J \square J - 1

Repeat While K[J] > KEY

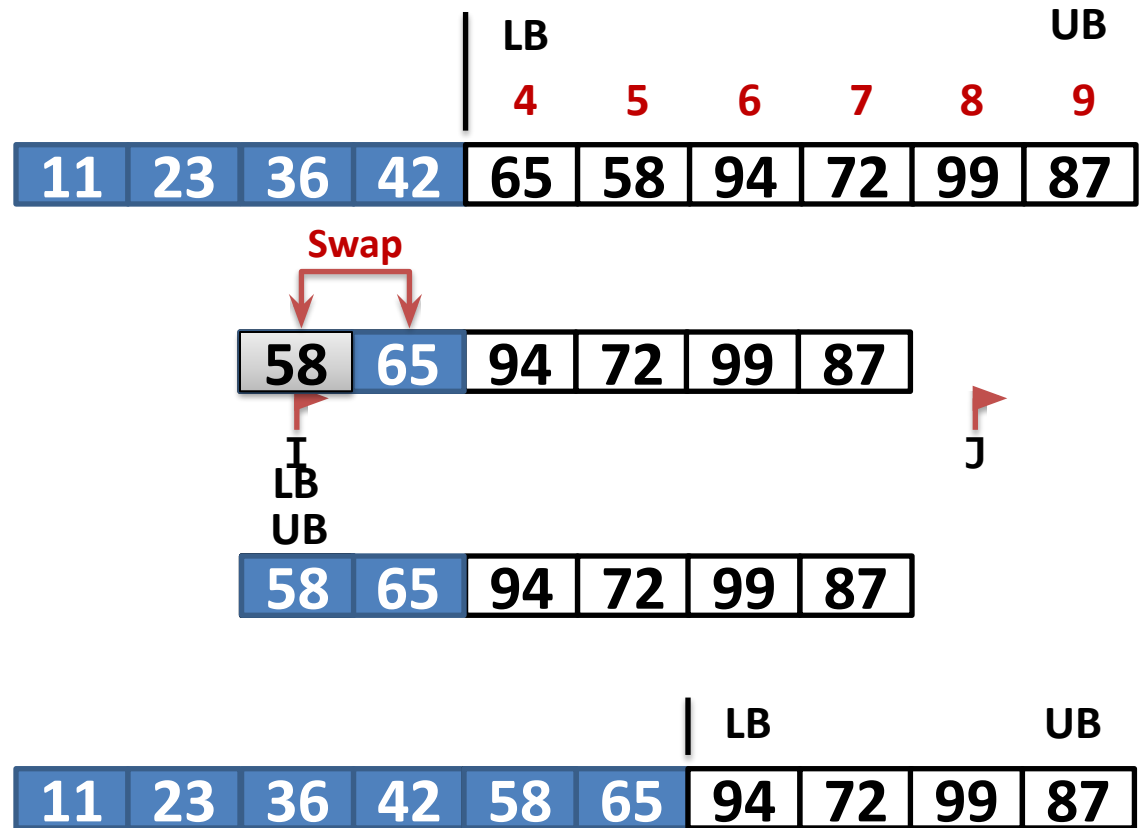
J \square J - 1

IF I < J

Then K[I] \square --- \square K[J]

Else FLAG \square FALSE

K[LB] \square --- \square K[J]

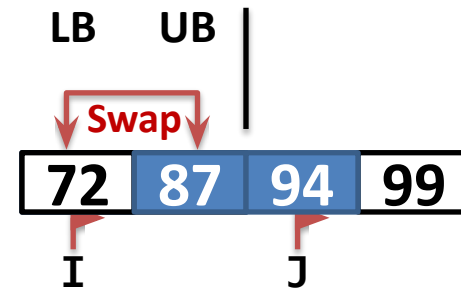
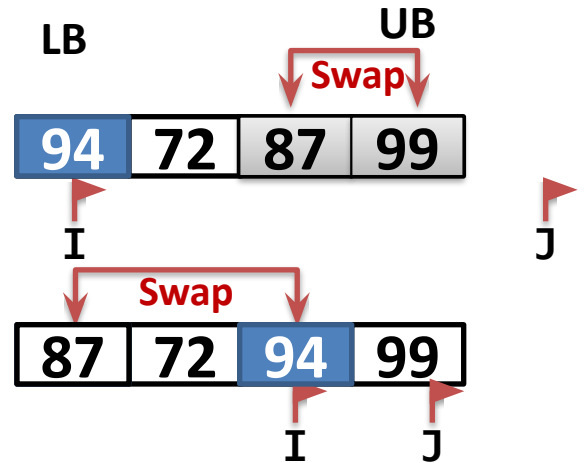


Quick Sort

```

FLAG  $\square$  true
IF LB < UB
Then
  I  $\square$  LB
  J  $\square$  UB + 1
  KEY  $\square$  K[LB]
  Repeat While FLAG = true
    I  $\square$  I+1
    Repeat While K[I] < KEY
      I  $\square$  I + 1
    J  $\square$  J - 1
    Repeat While K[J] > KEY
      J  $\square$  J - 1
    IF I < J
    Then K[I]  $\square$  ---  $\square$  K[J]
    Else FLAG  $\square$  FALSE

  K[LB]  $\square$  ---  $\square$  K[J]
  
```



Algorithm: QUICK_SORT(K, LB, UB)

1. [Initialize]

FLAG \square true

2. [Perform Sort]

IF LB < UB

Then I \square LB

J \square UB + 1

KEY \square K[LB]

Repeat While FLAG = true

I \square I+1

Repeat While K[I] < KEY

I \square I + 1

J \square J - 1

Repeat While K[J] > KEY

J \square J - 1

IF I < J

Then K[I] \square --- \square K[J]

Else FLAG \square FALSE

K[LB] \square --- \square K[J]

CALL QUICK_SORT(K, LB, J-1)

CALL QUICK_SORT(K, J+1, UB)

3. [Finished]

Return