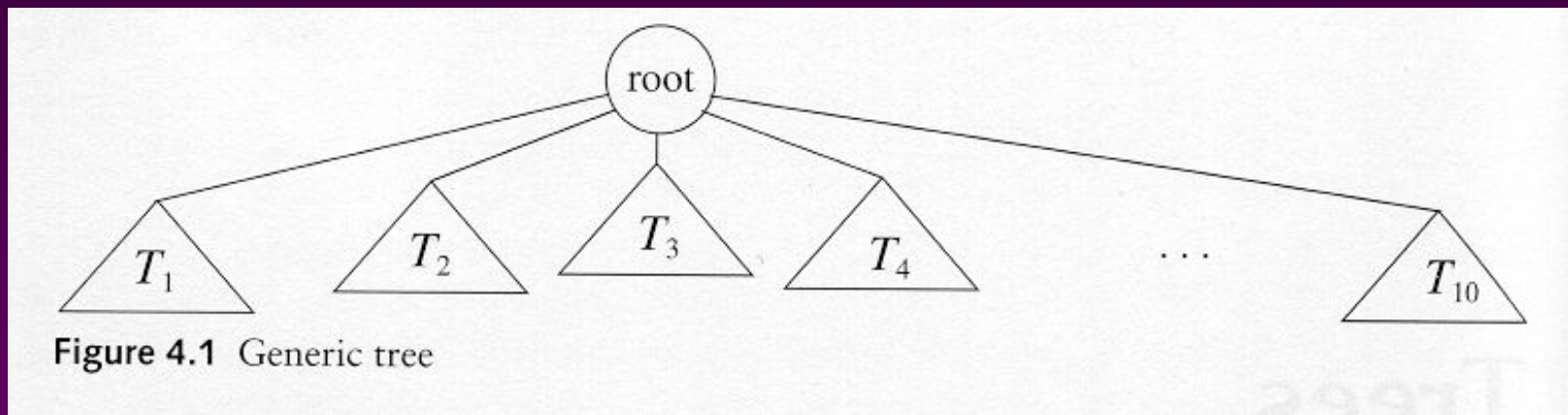


# Trees

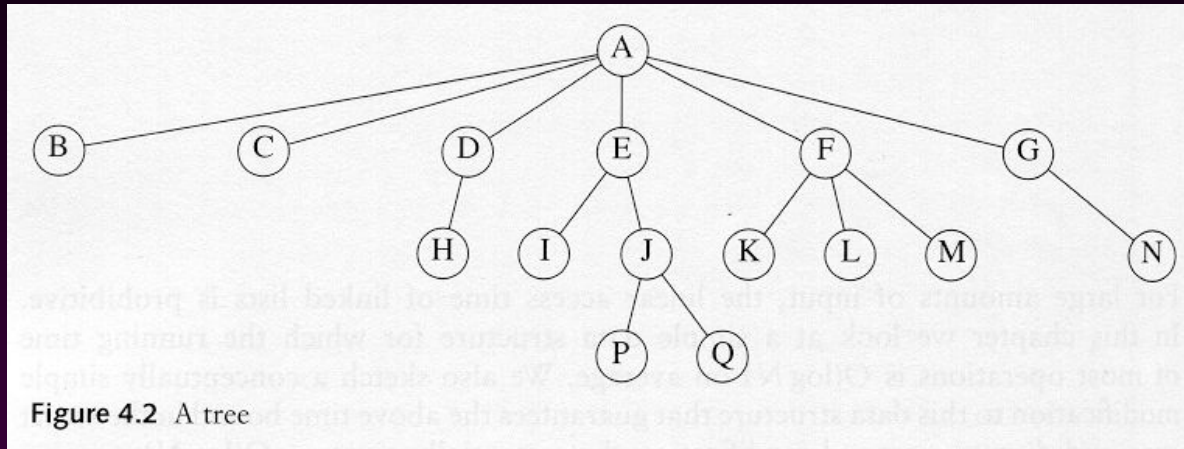
- So far we have discussed mainly linear data structures – strings, arrays, lists, stacks and queues
- Now we will discuss a non-linear data structure called tree.
- Trees are mainly used to represent data containing a hierarchical relationship between elements, for example, records, family trees and table of contents.

# Trees

- A tree is a collection of nodes
  - The collection can be empty
  - (recursive definition) If not empty, a tree consists of a distinguished node  $r$  (the *root*), and zero or more nonempty *subtrees*  $T_1, T_2, \dots, T_k$ , each of whose roots are connected by an *edge* from  $r$



# Some Terminologies

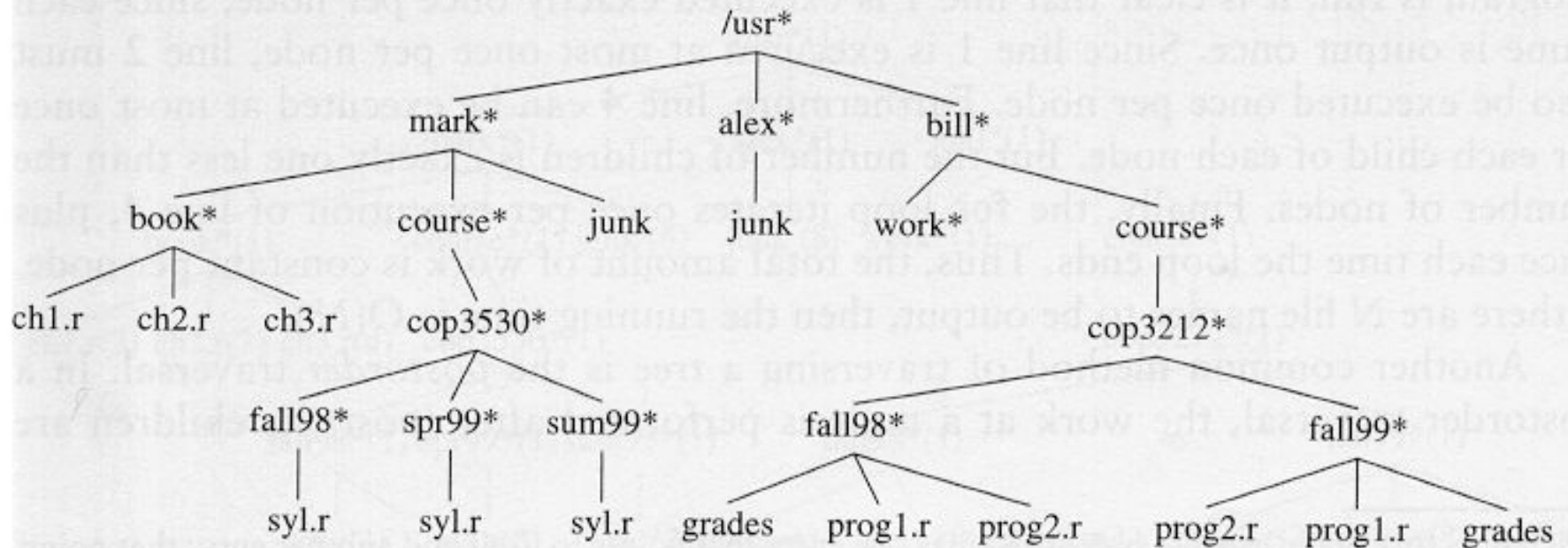


- *Child and parent*
  - Every node except the root has one parent
  - A node can have an arbitrary number of children
- *Leaves*
  - Nodes with no children
- *Sibling*
  - nodes with same parent

# Some Terminologies

- *Path*
- *Length*
  - number of edges on the path
- *Depth of a node*
  - length of the unique path from the root to that node
  - *The depth of a tree* is equal to the depth of the deepest leaf
- *Height of a node*
  - length of the longest path from that node to a leaf
  - all leaves are at height 0
  - *The height of a tree* is equal to the height of the root

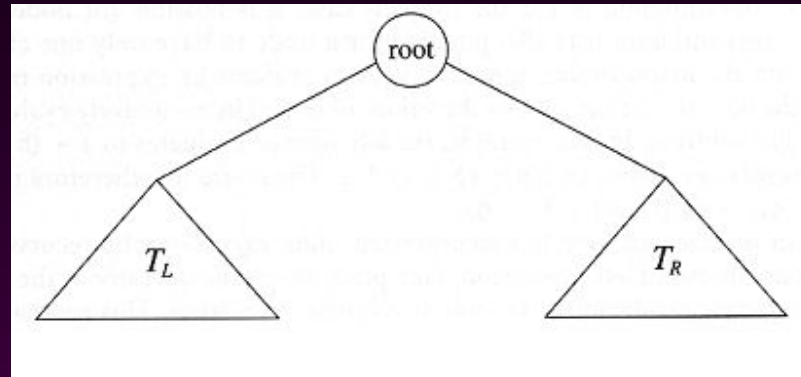
# Example: UNIX Directory



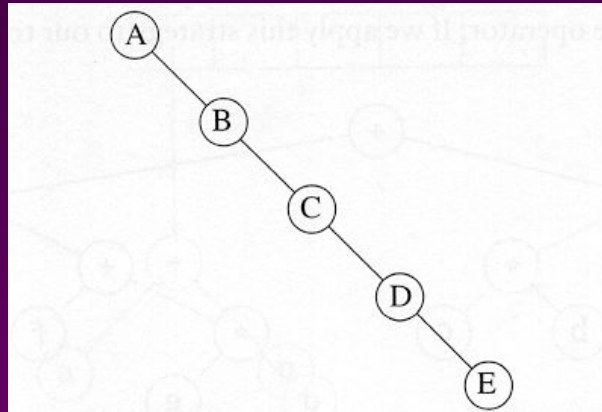
**Figure 4.5** UNIX directory

# Binary Trees

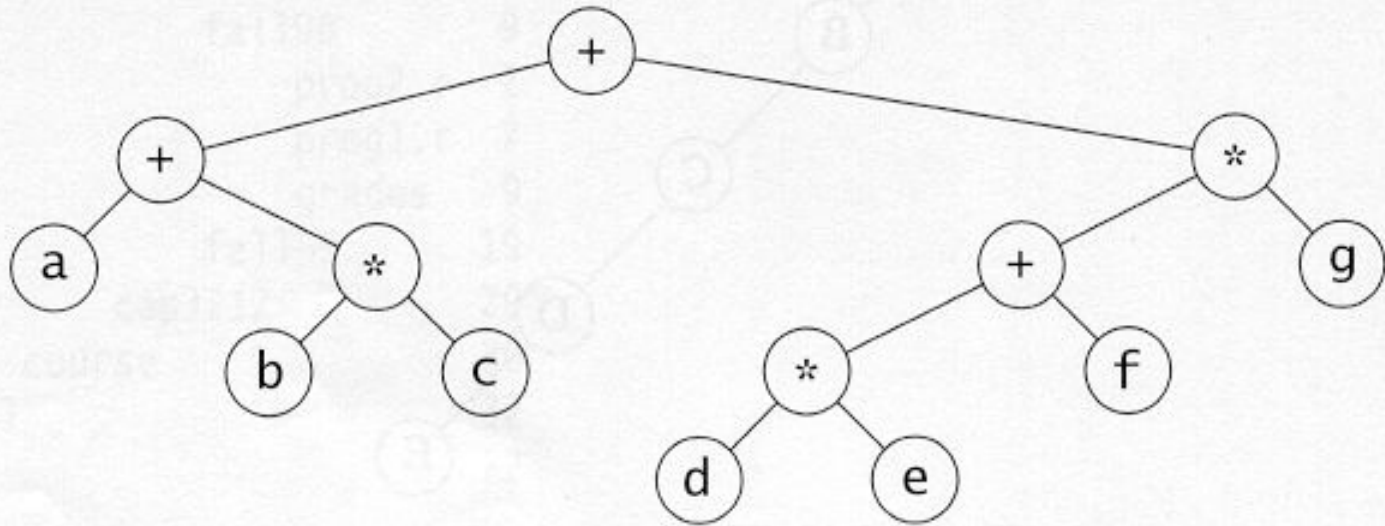
- A tree in which no node can have more than two children



- The depth of an “average” binary tree is considerably smaller than  $N$ , even though in the worst case, the depth can be as large as  $N - 1$ .



# Example: Expression Trees



**Figure 4.14** Expression tree for  $(a + b * c) + ((d * e + f) * g)$

- Leaves are operands (constants or variables)
- The other nodes (internal nodes) contain operators
- Will not be a binary tree if some operators are not binary

# Tree traversal

- Used to print out the data in a tree in a certain order
- Pre-order traversal
  - Print the data at the root
  - Recursively print out all data in the left subtree
  - Recursively print out all data in the right subtree



# Preorder, Postorder and Inorder

- Preorder traversal
  - node, left, right
  - prefix expression
    - 📁 ++a\*bc\*+\*defg

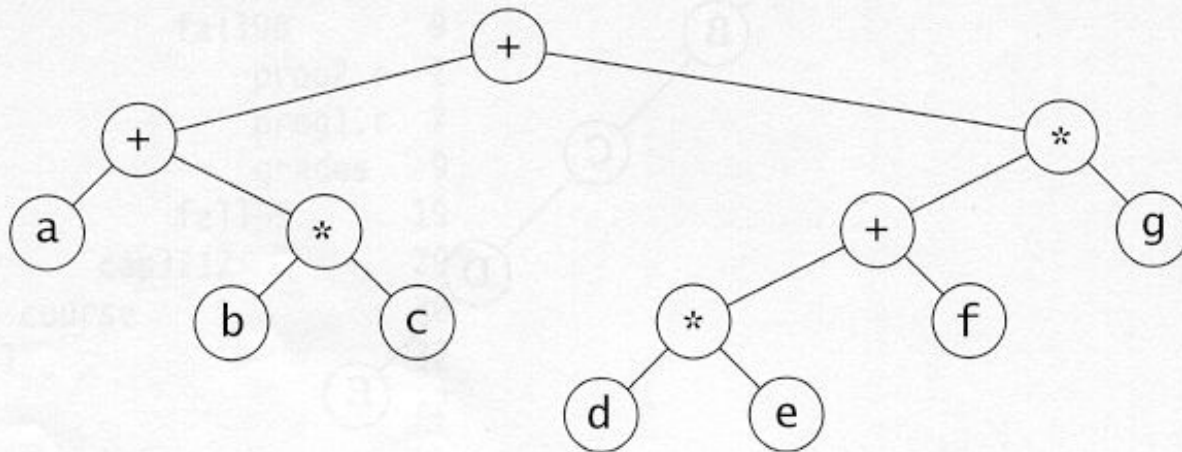


Figure 4.14 Expression tree for  $(a + b * c) + ((d * e + f) * g)$

# Preorder, Postorder and Inorder

- Postorder traversal

- left, right, node
- postfix expression

abc\*+de\*f+g\*+

- Inorder traversal

- left, node, right.
- infix expression

a+b\*c+d\*e+f\*g

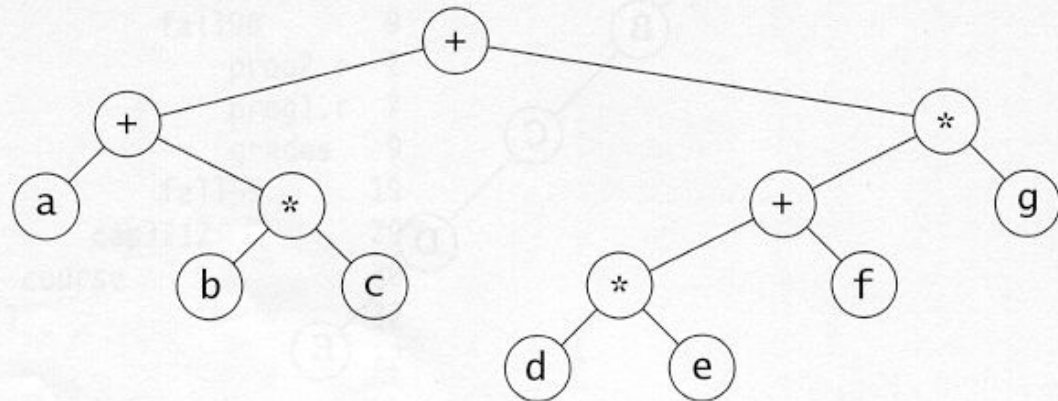


Figure 4.14 Expression tree for  $(a + b * c) + ((d * e + f) * g)$

# Preorder, Postorder and Inorder

## **Algorithm** *Preorder*( $x$ )

**Input:**  $x$  is the root of a subtree.

1.   **if**  $x \neq \text{NULL}$
2.       **then** output key( $x$ );
3.           *Preorder*(left( $x$ ));
4.           *Preorder*(right( $x$ ));

## **Algorithm** *Postorder*( $x$ )

**Input:**  $x$  is the root of a subtree.

1.   **if**  $x \neq \text{NULL}$
2.       **then** *Postorder*(left( $x$ ));
3.           *Postorder*(right( $x$ ));
4.           output key( $x$ );

## **Algorithm** *Inorder*( $x$ )

**Input:**  $x$  is the root of a subtree.

1.   **if**  $x \neq \text{NULL}$
2.       **then** *Inorder*(left( $x$ ));
3.           output key( $x$ );
4.           *Inorder*(right( $x$ ));

# Binary Trees

- Possible operations on the Binary Tree ADT
  - parent
  - left\_child, right\_child
  - sibling
  - root, etc
- Implementation
  - Because a binary tree has at most two children, we can keep direct pointers to them

```
struct BinaryNode
{
    Object      element;           // The data in the node
    BinaryNode *left;              // Left child
    BinaryNode *right;             // Right child
};
```

# Properties of Binary Trees

- **Lemma 5.1 [Maximum number of nodes]:**
  1. The maximum number of nodes on level  $i$  of a binary tree is  $2^{i-1}$ ,  $i \geq 1$ .
  2. The maximum number of nodes in a binary tree of depth  $k$  is  $2^k - 1$ ,  $k \geq 1$ .
- **Lemma 5.2 [Relation between number of leaf nodes and degree-2 nodes]:**

For any nonempty binary tree,  $T$ , if  $n_0$  is the number of leaf nodes and  $n_2$  is the number of internal nodes then  $n_0 = n_2 + 1$ .

# Types of Binary Trees

## Full Binary Tree

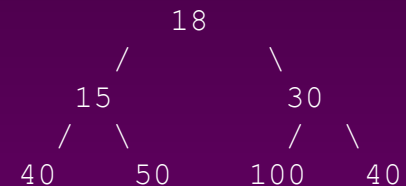
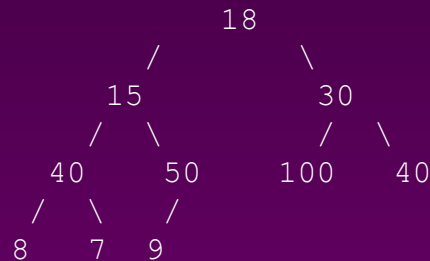
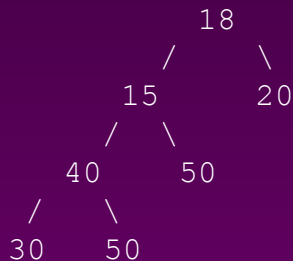
A Binary Tree is a full binary tree if every node has 0 or 2 children.

## Complete Binary Tree

A Binary Tree is a Complete Binary Tree if all the levels are completely filled except possibly the last level and the last level has all keys as left as possible

## Perfect Binary Tree

A Binary tree is a Perfect Binary Tree in which all the internal nodes have two children and all leaf nodes are at the same level.

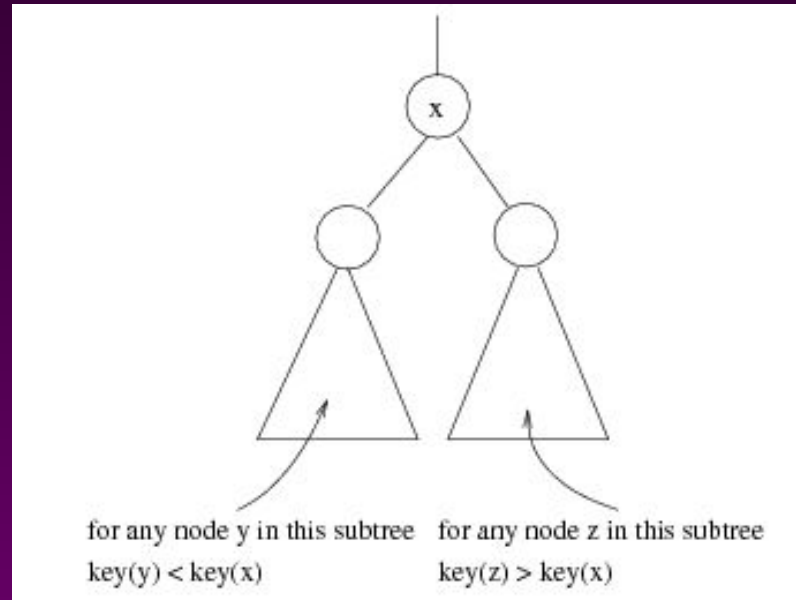


# Binary Search Trees

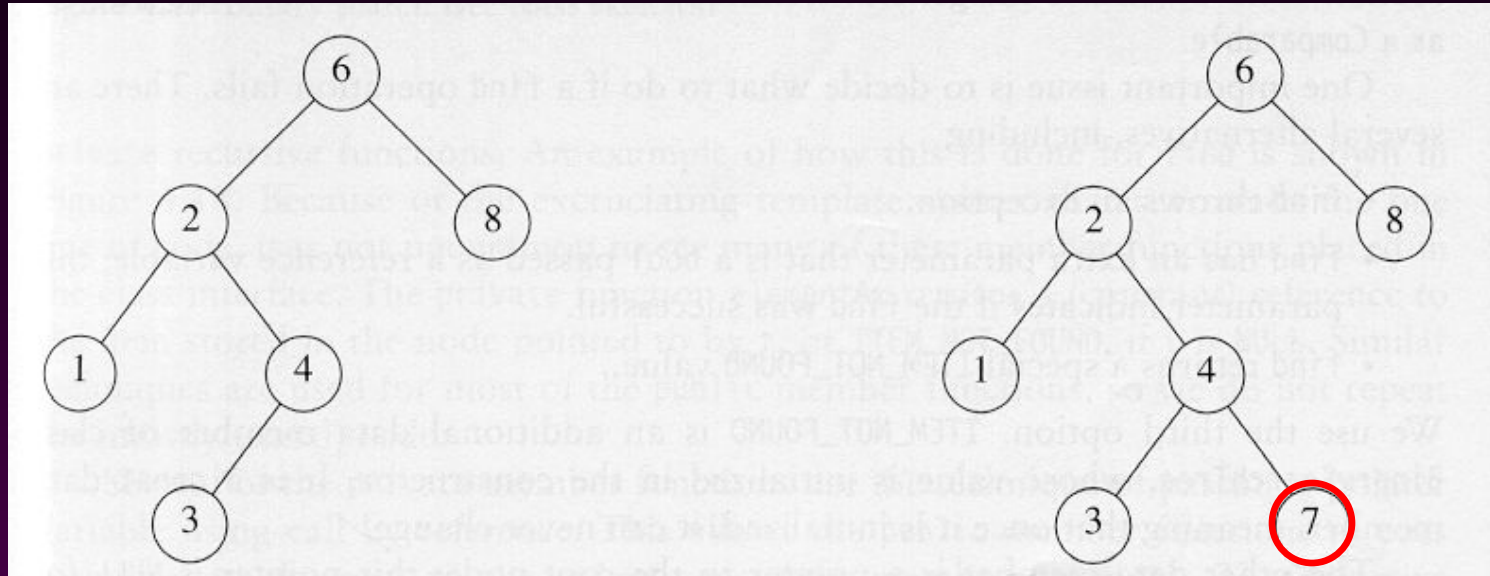
- Stores keys in the nodes in a way so that searching, insertion and deletion can be done efficiently.

## Binary **search** tree property

- For every node  $X$ , all the keys in its left subtree are smaller than the key value in  $X$ , and all the keys in its right subtree are larger than the key value in  $X$



# Binary Search Trees



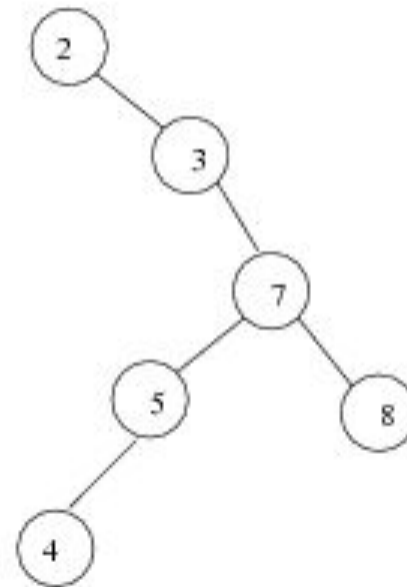
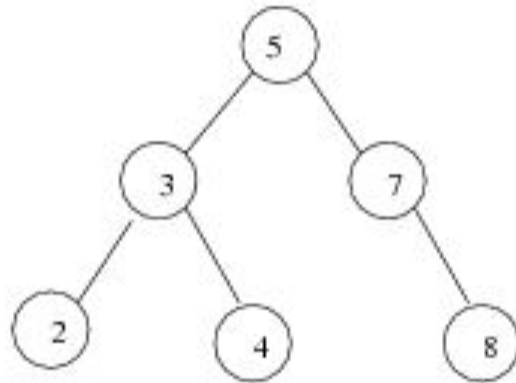
**A binary search tree**

**Not a binary search tree**



# Binary search trees

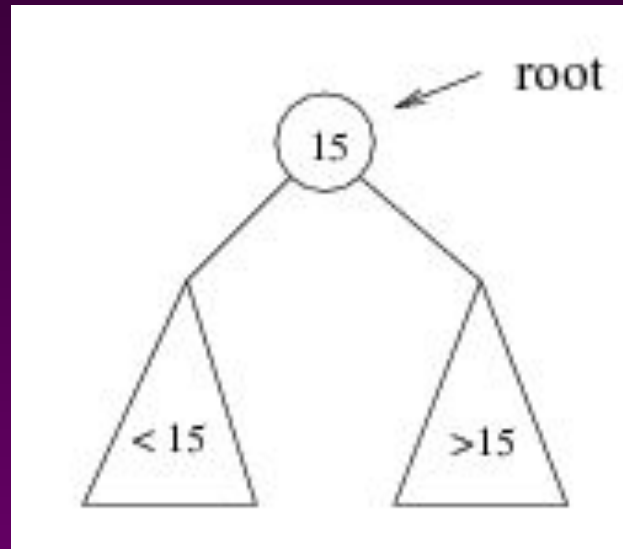
**Two binary search trees representing the same set:**



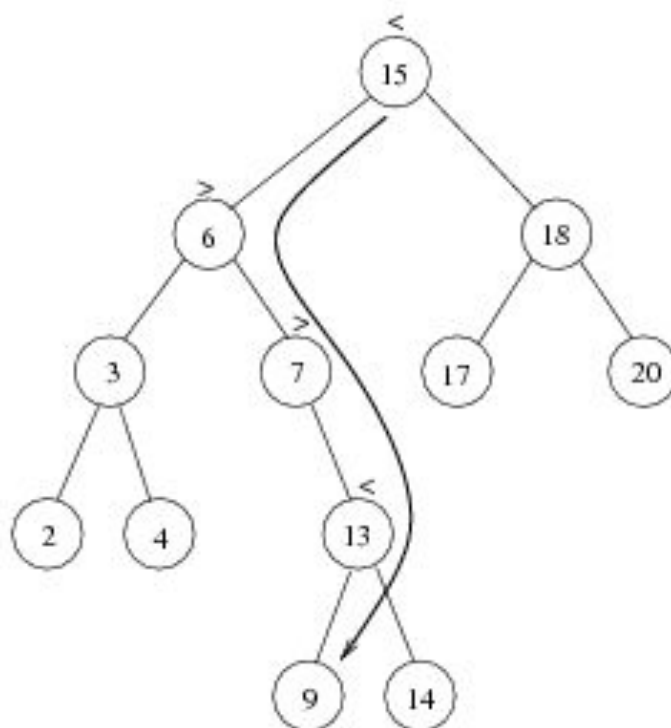
- Average depth of a node is  $O(\log N)$ ; maximum depth of a node is  $O(N)$

# Searching BST

- If we are searching for 15, then we are done.
- If we are searching for a key  $< 15$ , then we should search in the left subtree.
- If we are searching for a key  $> 15$ , then we should search in the right subtree.



*Example: Search for 9 ...*



Search for 9:

1. compare 9:15(the root), go to left subtree;
2. compare 9:6, go to right subtree;
3. compare 9:7, go to right subtree;
4. compare 9:13, go to left subtree;
5. compare 9:9, found it!

# Searching (Find)

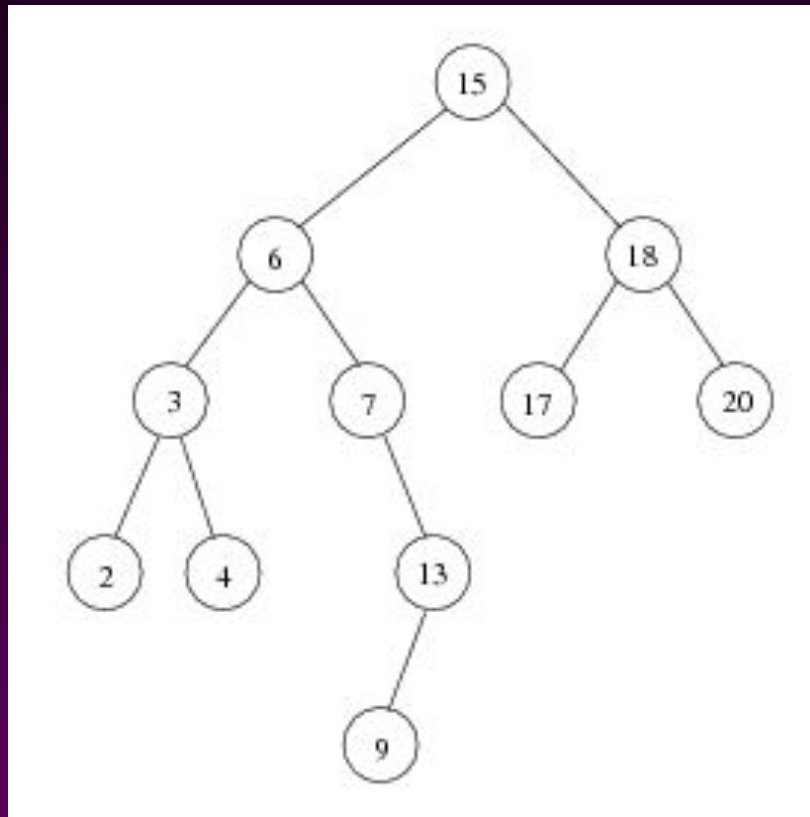
- Find X: return a pointer to the node that has key X, or NULL if there is no such node

```
template <class Comparable>
BinaryNode<Comparable> *
BinarySearchTree<Comparable>::
find( const Comparable & x, BinaryNode<Comparable> *t ) const
{
    if( t == NULL )
        return NULL;
    else if( x < t->element )
        return find( x, t->left );
    else if( t->element < x )
        return find( x, t->right );
    else
        return t;    // Match
}
```

- Time complexity
  - $O(\text{height of the tree})$

# Inorder traversal of BST

- Print out all the keys in sorted order



**Inorder: 2, 3, 4, 6, 7, 9, 13, 15, 17, 18, 20**

# findMin/ findMax

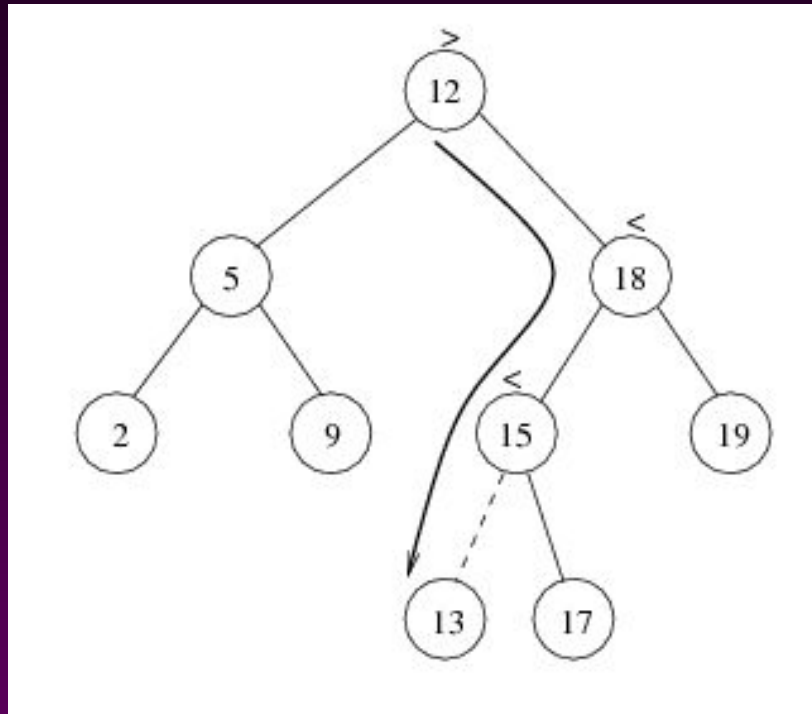
- Return the node containing the smallest element in the tree
- Start at the root and go left as long as there is a left child. The stopping point is the smallest element

```
template <class Comparable>
BinaryNode<Comparable> *
BinarySearchTree<Comparable>::findMin( BinaryNode<Comparable> *t ) const
{
    if( t == NULL )
        return NULL;
    if( t->left == NULL )
        return t;
    return findMin( t->left );
}
```

- Similarly for findMax
- Time complexity =  $O(\text{height of the tree})$

# insert

- Proceed down the tree as you would with a find
- If X is found, do nothing (or update something)
- Otherwise, insert X at the last spot on the path traversed



- Time complexity =  $O(\text{height of the tree})$

# delete

- When we delete a node, we need to consider how we take care of the children of the deleted node.
  - This has to be done such that the property of the **search tree** is maintained.



# delete

Three cases:

(1) the node is a leaf

- Delete it immediately

(2) the node has one child

- Adjust a pointer from the parent to bypass that node

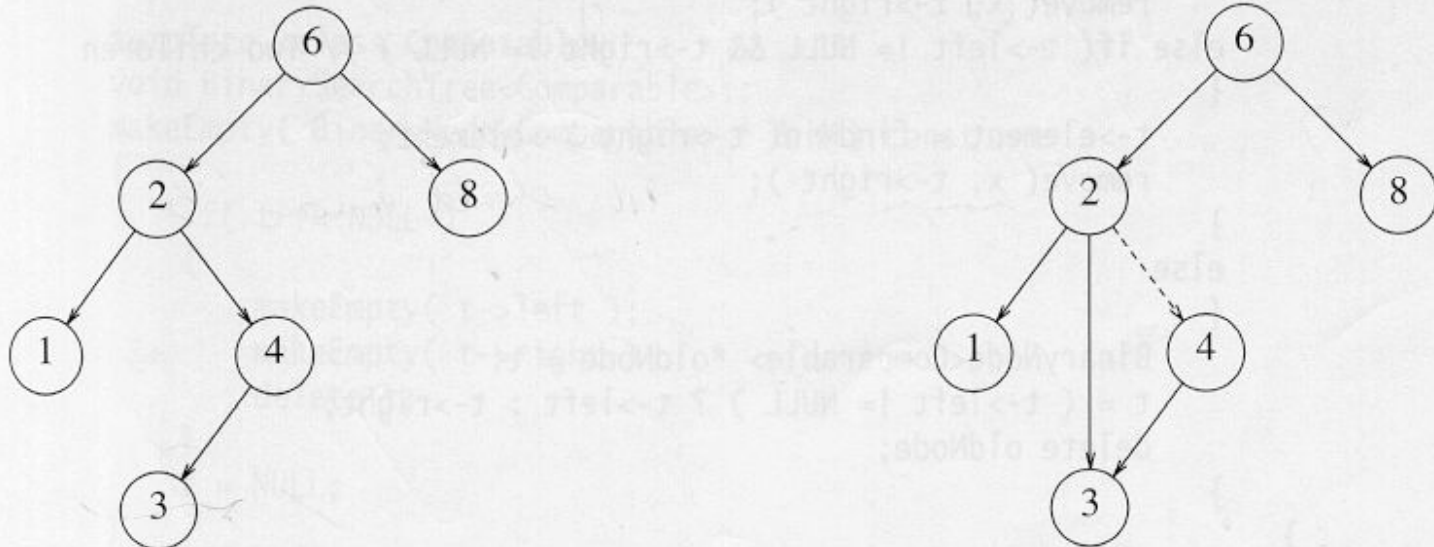
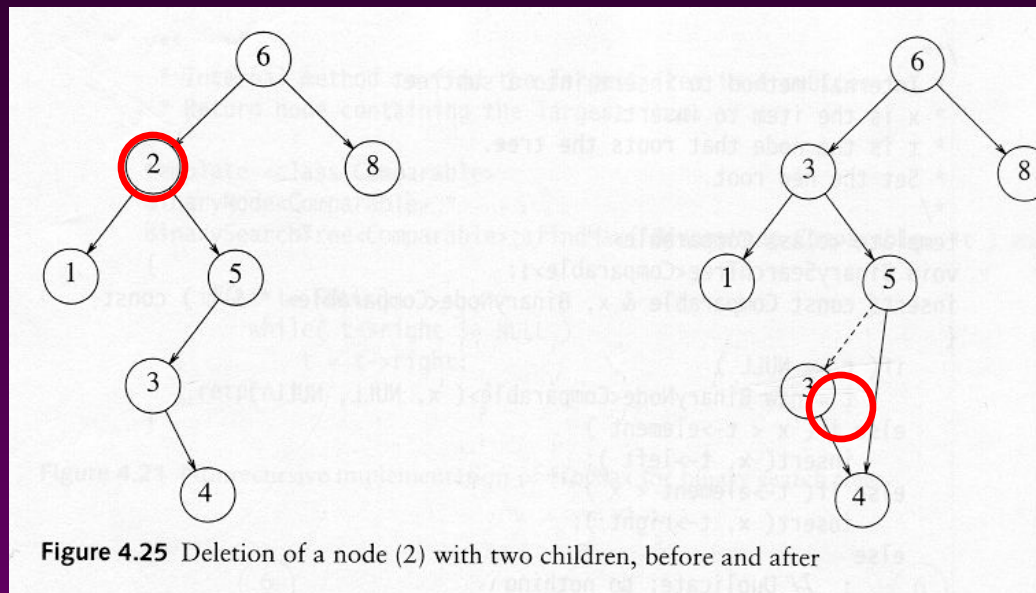


Figure 4.24 Deletion of a node (4) with one child, before and after

# delete

## (3) the node has 2 children

- replace the key of that node with the minimum element at the right subtree
  - delete the minimum element
- 📁 Has either no child or only right child because if it has a left child, that left child would be smaller and would have been chosen. So invoke case 1 or 2.



- Time complexity =  $O(\text{height of the tree})$

# Time Complexities

	Array	Linked List	BST
Insert	$O(1)$	$O(1)$	$O(\log n)$
Delete	$O(n)$	$O(n)$	$O(\log n)$
Search	$O(n)$	$O(n)$	$O(\log n)$