# **Assessment Multivariate Time-Series Prediction Using Deep Learning** R.M.A.Madushan (28/05/2025)

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## Introduction

This project addresses the critical challenge of predicting appliance energy consumption using multivariate time-series data and deep learning techniques. The assessment focuses on developing a comprehensive predictive model using the Appliance Energy Prediction Dataset, which contains approximately 20,000 observations recorded at 10-minute intervals. The dataset includes environmental features (indoor/outdoor temperature and humidity), weather conditions, and temporal indicators to predict energy consumption patterns. The solution implements a systematic machine learning pipeline encompassing exploratory data analysis, data preprocessing, feature engineering, and deep learning model development using LSTM neural networks. The project demonstrates proficiency in time-series forecasting, advanced feature engineering techniques, and model optimization to achieve accurate energy consumption predictions for smart building management applications. (Tzelepi, 2023) (geeksforgeeks, 2024)

# **EDA Analysis**

## 1.Time-Based Pattern Analysis

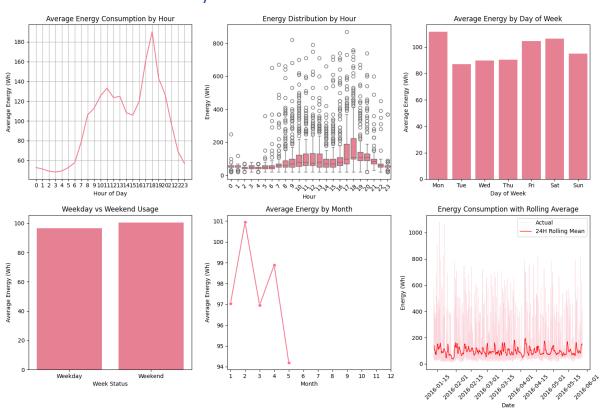


Figure 1

• Energy consumption peaks consistently around 6 PM, and is lowest during early morning hours (2–5 AM), indicating daily cyclic behaviour.

- Weekday vs. Weekend consumption shows subtle differences, with weekends slightly higher, possibly due to occupants being home.
- Hourly trends display strong periodicity, as confirmed by rolling average plots.
- These insights suggest that the hour, day of week, is\_weekend, month engineered time features will enhance prediction
- Time-based lags and rolling statistics can also help model short-term dependencies (e.g., past 3-hour average consumption).

## 2.Temperature Analysis

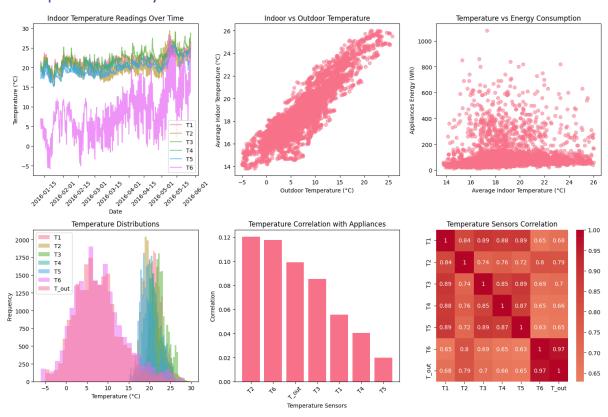


Figure 2

- Among temperature variables, T2 and T6 show the highest correlation with appliance usage, suggesting their importance.
- Outdoor temperature (T\_out) also has moderate influence, aligning with potential HVAC usage patterns.
- Some sensors (T1, T3, T5) are highly correlated, indicating redundancy. Consider dropping or combining these to reduce multicollinearity.
- Suggests that temperature dynamics inside and outside impact appliance usage, particularly heating/cooling loads.

# 3. Humidity Analysis

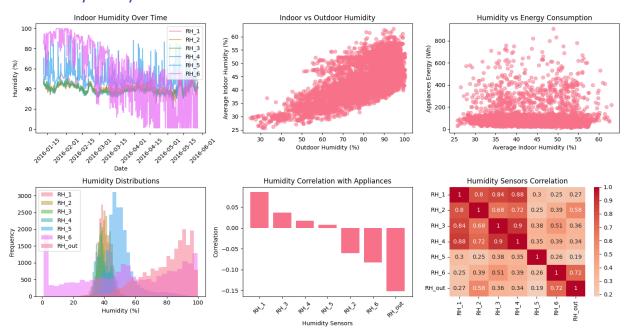


Figure 3

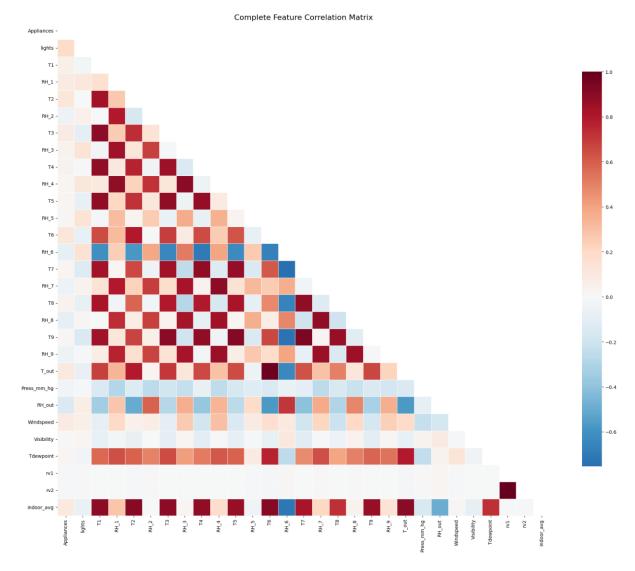


Figure 4

- Most humidity features show weak correlation with energy consumption.
  - Exception: RH\_6 which shows a moderate negative correlation may reflect room specific appliance activity (Figure 4).
- Outdoor humidity is consistently high and negatively correlated with usage.
- Weather features (e.g., windspeed, visibility, pressure) show very weak or no direct relationship with appliance usage.
  - Might be included for completeness but should not be heavily weighted in model training unless advanced modelling finds non-linear effects.

#### 4. Comprehensive Correlation Analysis

- Strong multicollinearity exists among:
  - o Temperature sensors: T1–T3–T5, T6–T\_out
  - Humidity sensors: RH\_1-RH\_3-RH\_4
- This could lead to model instability, inflated importance, and overfitting in regression models. (Chandra, 2021)
- · Recommended techniques:

- $\circ$  Correlation thresholding (e.g., remove one of any pair with |r| > 0.9)
- Correlation-Based Feature Selection with Multicollinearity Filtering used dimensionality reduction

## 5. Outlier Detection Analysis

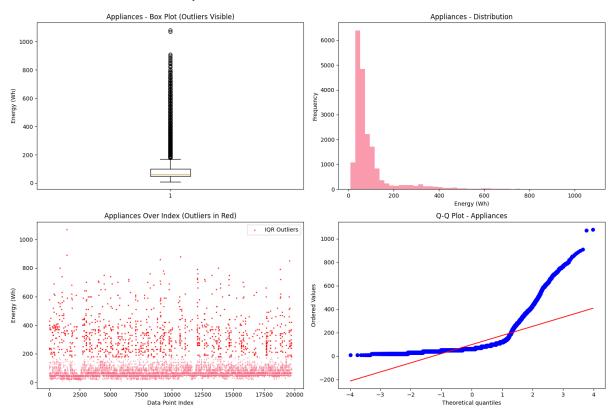


Figure 5

- Energy consumption is right-skewed with many extreme values (as seen in histograms, box plots, and Q-Q plots).
- IQR method found ~11% of data as outliers; Z-score method found ~3%.
- Outliers may be caused by:
  - Sudden heating/cooling demands
  - o Appliance malfunctions or simultaneous use of multiple devices
- Why it matters:
  - Outliers can skew model training, especially linear models or MSE-based loss functions.
- Recommended treatment strategies:
  - capping extreme values
  - Log-transformation to reduce skewness
  - o Use of robust models (like Random Forest or Huber loss in regression)

#### 6.Feature Irrelevance and Noise

- Features rv1 and rv2 show no meaningful correlation with any variable, including the target — likely random noise(Figure 4).
- Removing such features can improve model efficiency and generalization.

# Preprocessing

## 1. Data Cleaning and Preparation

The dataset consisted of 19,735 records collected at 10-minute intervals over a period of 137 days, with no missing values detected. This ensured a solid foundation for consistent and reliable model training.

To enhance predictive performance, several new features were engineered from the datetime column, including:

- o hour, minute, day, month, year
- o day\_of\_week (0 = Sunday, 6 = Saturday)
- WeekStatus (Weekday or Weekend)
- NSM (Number of Seconds elapsed in a day)

These temporal features are essential for capturing daily and weekly usage patterns of energy.

#### 2. Handling of Missing Values

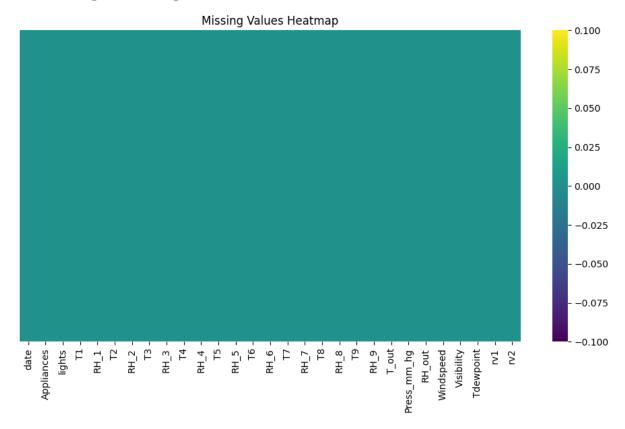


Figure 6

No missing values were found in the dataset, eliminating the need for imputation techniques. All columns were complete and consistent across the dataset.

#### 3. Outlier Detection and Treatment

#### Outlier Analysis:

Two methods were applied to detect outliers in the target variable:

- IQR method: Detected 2138 outliers (10.83%)
- Z-score method: Detected 648 outliers (3.28%)

Outliers had a significant impact on the distribution and could potentially bias the model.

#### Outlier Treatment Strategy;

To address outliers without losing valuable data:

 Capping was applied at the 1st and 99th percentiles of the target variable distribution.

This approach preserved the entire dataset while minimizing the influence of extreme values. Post-treatment, the max value was reduced from 1080 Wh to 576.6 Wh, improving stability during training.

#### 4. Data Scaling and Normalization

To prepare the data for neural networks, z-score normalization (StandardScaler) was applied to 27 continuous features, including:

- Temperature features (T1–T9)
- Humidity features (RH 1–RH 9)
- Weather indicators (Windspeed, Visibility, Press\_mm\_hg)
- o Random variables (rv1, rv2)

Scaling was necessary due to differing units and ranges across features, which could otherwise bias the model.

#### 5. Temporal Data Splitting

A chronological split was used to avoid data leakage:

- Training set: 15,788 records (2016-01-11 to 2016-04-30)
- Testing set: 3,947 records (2016-04-30 to 2016-05-27)

This method ensures that the model generalizes to unseen future data rather than memorizing patterns.

#### 6.Preprocessed datasets saved:

- train data preprocessed.csv
- test data preprocessed.csv

# Feature Engineering

#### 1. Enhanced Time-Based Features

To capture cyclical and behavioural time patterns influencing energy consumption, we engineered 13 new time-based features:

- Cyclical Features: Transformed hour, day, and month into sine and cosine components to represent their periodic nature.
- Time of Day Binning: Segmented the day into Morning, Afternoon, Evening, and Night, followed by one-hot encoding.
- Business Logic Indicators:
  - o is working hours (9 AM-5 PM)
  - o is peak energy hour (5 PM-7 PM)
  - weekend\_evening\_flag (Weekends, 6 PM-8 PM)

Justification: These features help the model learn time-based human behaviour, working schedules, and daily routines, which are critical drivers of residential energy consumption.

#### 2. Rolling Averages and Moving Windows

We created 25 rolling features based on hourly statistics of energy and environmental variables:

- Rolling Mean/Std/Min/Max for various windows (1h, 3h, 6h, 12h, 24h)
- Applied across energy consumption (Appliances) and external variables (temperature, humidity, etc.)

Justification: These features smooth noise, reveal temporal consumption trends, and capture fluctuation levels, improving temporal pattern recognition in the model.

#### 3. Autocorrelation Analysis and Lag Feature Selection

A detailed autocorrelation analysis on Appliances energy data revealed 129 significant lags, with the top 20 lags ranging from 10 minutes to 3 hours and 20 minutes showing correlations  $\geq$  0.35.

Strong autocorrelations indicate that recent energy usage significantly influences current consumption. This insight guided lag-based feature engineering.

#### 4. Lagged and Derived Features

We constructed 21 lag and derived features:

- Lag Features: Appliances lag 1 to Appliances lag 15
- Difference Features: Changes over key time windows (diff 1, diff 6, diff 144)
- Ratio Features: Normalized energy shifts (ratio\_1h, ratio\_24h)

Justification: These features capture temporal dependencies, short-term trends, and energy usage volatility, supporting better forecasting.

#### 5. Interaction Features Engineering

A total of 11 interaction features were created to capture cross-variable relationships:

- temp\_humidity\_T1\_RH1 Proxy for room1 (living room) thermal comfort
- temp\_humidity\_T2\_RH2 Proxy for room2 (kitchen) thermal comfort
- temp\_humidity\_T3\_RH3 Proxy for room3 (laundry room) thermal comfort
- temp humidity T4 RH4 Proxy for room4 (office room) thermal comfort
- temp\_humidity\_T7\_RH7 Proxy for room5 (ironing room) thermal comfort
- temp\_humidity\_T9\_RH9 Proxy for room6 (parent room) thermal comfort
- outdoor discomfort Proxy for outdoor weather discomfort
- avg indoor temp Mean of all indoor temperatures
- avg indoor humidity Mean of all indoor relative humidities

- temp\_diff\_indoor\_outdoor Difference between average indoor temperature and outdoor temperature
- humidity\_diff\_indoor\_outdoor Difference between average indoor humidity and outdoor humidity
- lights\_work\_hour Light usage during typical working hours (8 AM 5 PM)
- lights\_peak\_hour Light usage during energy peak hours (6 PM 10 PM)
- weather\_discomfort Composite index of outdoor temperature, humidity, and visibility
- month\_temp\_interaction Interaction between month (cyclical encoding) and outdoor temperature
- temp efficiency Ratio of average indoor temperature to appliance energy usage

Justification: These interactions reveal hidden patterns and dependencies that individual variables alone may not capture, such as temperature-humidity synergy or seasonal behavioural effects.

#### 6. Domain-Specific Energy Features

Incorporating 13 domain-informed features helped improve the model's contextual awareness by embedding real-world energy usage patterns and seasonality. Here's a breakdown of the categories

#### **Load Categories**

These categorize the appliance energy consumption into discrete levels based on thresholds (e.g., quartiles or domain-specific cutoffs):

- load\_Low Binary flag indicating energy usage is below a defined low threshold.
- load Medium Indicates medium-range energy consumption.
- load\_High Indicates high energy usage periods.
- load\_Very\_High Flags very high energy draw situations (e.g., multiple appliances running).

Purpose: Helps the model differentiate energy usage patterns during normal vs. peak operations.

#### **HVAC** Demand

Derived based on indoor and outdoor temperature differences:

- heating\_demand Estimated heating need (e.g., when indoor temp < set threshold and outdoor temp is low).
- cooling\_demand Estimated cooling need (e.g., when indoor temp > comfortable threshold and outdoor temp is high).

Purpose: Captures HVAC-related energy behavior, which can be a major load factor.

#### **Energy Behavior Metrics**

These features break down energy usage dynamics:

- base\_load Minimum appliance load over a time window (e.g., night usage), indicating always-on devices.
- variable\_load Difference between max and min usage, reflecting dynamic device behavior.
- energy\_momentum Short-term trend of energy usage (e.g., rolling mean or gradient).
- is\_standby\_power Flag for low, non-zero usage possibly due to standby devices.

Purpose: Highlights predictable vs. fluctuating energy patterns.

#### Seasonal Flags

Capture the effect of climate and seasonality:

- is\_winter\_months Flag for winter months (e.g., Nov–Feb), where heating needs may be higher.
- is\_summer\_months Flag for summer months (e.g., May–Aug), associated with cooling demand.

Purpose: Embeds seasonal behavior patterns into the model.

#### Efficiency Measure

• appliance\_efficiency – Ratio of actual energy usage to expected usage based on external and internal conditions (e.g., weather, occupancy).

Purpose: Identifies whether current energy use is efficient compared to norm or if anomalies exist.

#### 7. Feature Selection via Correlation Analysis

- Initial Features: 118
- After Filtering (correlation ≥ 0.01): 103
- Top Correlated Features (with target Appliances):
  - variable\_load (0.997)
  - o load Very High (0.883)
  - Appliances lag 1 (0.768)
  - Appliances\_rolling\_max\_1h (0.761)
- Multicollinearity Check: 11 pairs found
- Final Features Selected: 93

Justification: Correlation filtering ensured that only informative features were retained. Removing multicollinear features helped reduce overfitting and improve model interpretability.

# Model Design:

#### 1. Model Choice: LSTM

Reasons for Choosing LSTM:

 Best Validation Performance: Lowest validation loss (3314.59) and MAE (26.58), indicating better generalization. (Figure 7)

======= Model	Epochs	Train Loss	Val Loss	Train MAE	 Val MAE	Time(s)
LSTM GRU CNN LSTM	36 37 50	4325.445801	331.1330000	33.207756 35.156662 37.500538	26.575413 27.773323 28.201344	220.6 260.6 152.9

Figure 7

- Stable Training: Required fewer epochs (36) to converge than GRU or CNN-LSTM.
- Balanced Complexity: Two-layer LSTM with dropout provides enough capacity to learn without overfitting.
- Simpler Data Handling: Requires minimal reshaping (timestep = 1), avoiding data loss from sequence generation.
- Familiarity and Proven Success: Builds upon previous successful models and practices, increasing confidence.
- Interpretability and Flexibility: Easier to understand and extend than hybrid models like CNN-LSTM.

#### 2. LSTM Model Architecture

The model is a simple LSTM-based neural network designed for regression, with each input sample treated as a single timestep.

- Input Layer:
   Input shape is (1, num\_features), preserving all engineered features.
- LSTM Layer 1 (64 units):
   Uses tanh activation and sigmoid for internal gates. return\_sequences=True to pass outputs to the next LSTM layer.
- Dropout Layer (rate = 0.2):
   Reduces overfitting by randomly deactivating 20% of neurons during training.
- LSTM Layer 2 (32 units):
   Also uses tanh activation. return sequences=False to output the final state only.

- Dropout Layer (rate = 0.2): Further regularization.
- Dense Output Layer (1 unit):
   No activation (linear), suitable for regression output.

#### 3. Design Justifications

#### LSTM Layers:

- LSTM(64)  $\rightarrow$  LSTM(32):
  - Progressive reduction of units enables the model to compress learned patterns into a more compact latent space.
  - Helps in reducing overfitting by lowering model complexity.
- Two LSTM layers:
  - Captures both shallow and deeper temporal patterns without introducing unnecessary depth.
  - Deeper models showed no performance improvement but increased overfitting risk.

#### **Dropout Layers:**

- Dropout rate of 0.2 used after each LSTM layer:
  - Helps prevent overfitting by randomly deactivating neurons during training.
  - Maintains generalization ability on unseen test data.

#### Dense Output Layer:

- Single neuron output (Dense(1)):
  - Suitable for regression problems (predicting continuous values).
  - o Direct mapping from LSTM representations to predicted energy consumption.

#### 4 .Compilation Choices

#### Optimizer – Adam:

- Why Adam?
  - Combines the benefits of AdaGrad (adaptive learning rate) and RMSprop (efficient in online settings).
  - o Includes momentum, which speeds up convergence and avoids local minima.
  - Proven effective for training deep networks, especially LSTMs.
- Learning Rate = 0.001:
  - A safe default that works well in most deep learning scenarios.
  - o Provides a balance between convergence speed and stability.

#### Loss Function – Mean Squared Error (MSE):

- Why MSE?
  - Measures the average squared difference between predicted and actual values.
  - Penalizes larger errors more strongly, encouraging the model to focus on minimizing big prediction mistakes.

o Common choice for regression tasks.

#### Evaluation Metric – Mean Absolute Error (MAE):

- Why MAE?
  - Measures average absolute error in the same unit as the target (energy usage).
  - Less sensitive to outliers compared to MSE.
  - Provides an intuitive, interpretable performance indicator for model evaluation.

# Results:

#### 1 .Results Tables

#### First Model

```
### PERFORMANCE COMPARISON ===

Baseline Models vs LSTM:

Model MAE RMSE R2

Linear Regression 0.0523 3.2885 0.9986

Random Forest 1.8403 4.1407 0.9978

LSTM 27.2305 59.4536 0.5306
```

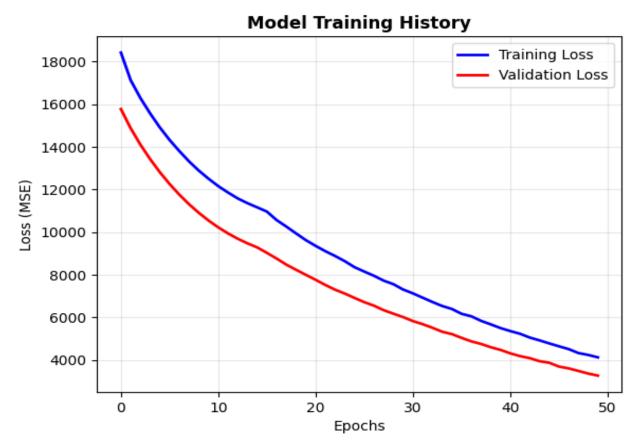
#### Second Model

#### Final Model

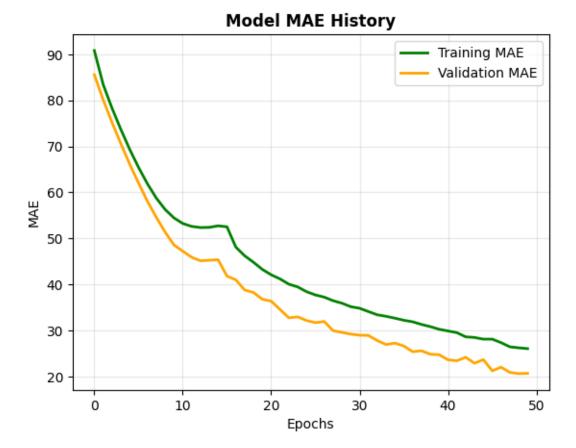
MODEL COMPARISON WITH ALL APPROACHES:						
Model	MAE	RMSE	R <sup>2</sup>			
Linear Regression Random Forest	0.0523 1.8403 13.7360	3.2885 4.1407 23.6873	0.9986 0.9978 0.9265			
Original LSTM Optimized LSTM	13.7360	23.68/3	0.9332			
BEST OVERALL MODEL: Linear Regression (MAE: 0.0523)						

2 .Plots

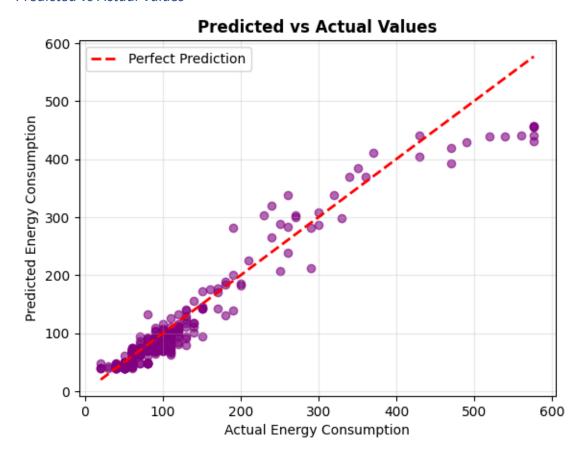
Training History - Loss Curves



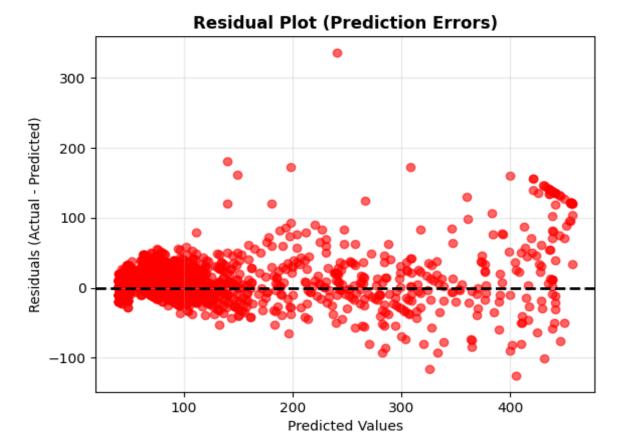
# Training History – MAE



# Predicted vs Actual Values



#### Residual Plot



#### 3. Model Optimization:

This project explores two model optimization approaches: Hyperparameter Search and Advanced Regularization Techniques. Both methods were implemented and compared to evaluate their effectiveness in improving model performance and generalization.

#### Smart Hyperparameter Search

#### Method Overview

Instead of testing all possible combinations (which is time-consuming and computationally expensive), this approach:

- Selects 6 promising hyperparameter combinations based on practical experience and common tuning strategies.
- Trains and evaluates each combination to find the best model in terms of MAE, RMSE, and R<sup>2</sup>.
- Uses a fixed number of epochs (50) for comparability and efficiency.
- Captures training time and performance metrics for fair comparison.

Rank	Comb	LSTM1	LSTM2	Drop	LR	Batch	MAE	RMSE	R²
1	3	128	64	0.3	0.001	32	13.5852	25.8565	0.9124
2	2	64	32	0.2	0.001	32	15.8006	32.9656	0.8576
3	5	64	32	0.2	0.001	16	18.0026	30.0623	0.8816
4	6	64	32	0.2	0.001	64	20.1228	54.5289	0.6103
5	1	32	16	0.2	0.001	32	21.9575	54.3016	0.6135

Figure 8

#### Best Model: Combination 3

• Architecture:

LSTM Layer 1 Units: 128
 LSTM Layer 2 Units: 64
 Dropout Rate: 0.3

• Training Settings:

Learning Rate: 0.001

Batch Size: 32Epochs: 50

• Performance:

MAE: 13.5852
 RMSE: 25.8565
 R<sup>2</sup>: 0.9124

o Training Time: 149.3 seconds

#### Final Optimized Model Performance (using 100 epochs)

#### Advanced Regularization Techniques (Talukdar, 2025)

This section investigates whether applying different dropout rates to the optimized LSTM model could further improve performance by reducing overfitting and enhancing generalization. Dropout is a regularization technique that randomly "drops out" units during training to prevent the model from becoming too reliant on any one neuron.

#### What Happened

- The code first checked if a previously optimized model exists.
- A range of dropout rates [0.1, 0.2, 0.3, 0.4, 0.5] were tested.
- For each dropout rate:
  - o A new LSTM model was built using the best-found hyperparameters.
  - o The model was trained for 50 epochs.
  - o The model's Mean Absolute Error (MAE) on the test set was recorded.
- After testing all dropout values, the one with the lowest MAE was selected as the best dropout rate.
- The best result was compared with the original optimized model to check for performance improvement.

#### Results

- Best dropout rate: 0.1
- Best MAE from dropout testing: 13.1517
- Original optimized model MAE: 13.1270
- Since the MAE with dropout rate 0.1 was slightly worse than the original model's MAE, the original dropout setting was retained.

#### Insights from Regularization Testing

- Dropout rates 0.3 to 0.5 slightly worsened performance, possibly due to underfitting.
- Lower dropout rates (0.1 0.2) performed better for this dataset, indicating that light regularization is more suitable.
- No further improvement was achieved through additional dropout tuning; however, this test validated the robustness of the original configuration.

MODEL COMPARISON WITH ALL APPROACHES:						
Model	MAE	RMSE	R <sup>2</sup>			
Linear Regression Random Forest Original LSTM Optimized LSTM	0.0523 1.8403 13.7360 13.1270	3.2885 4.1407 23.6873 22.5727	0.9986 0.9978 0.9265 0.9332			
BEST OVERALL MODEL: Linear Regression (MAE: 0.0523)						

#### Discuss improvements

At the beginning of the project, I started with building a baseline LSTM model to predict appliance energy consumption using multivariate time-series data. The initial model had the following performance:

MAE: 13.7360
 RMSE: 23.6873
 R<sup>2</sup>: 0.9265

This result showed that while the LSTM could model the sequence of energy consumption to some extent, the error was still relatively high.

To improve the model, I applied hyperparameter tuning by adjusting key parameters like the number of LSTM units, dropout rate, learning rate, and batch size. After tuning, I retrained the model and achieved better performance:

MAE: 13.1270
 RMSE: 22.5727
 R<sup>2</sup>: 0.9332

This was a 4.43% improvement in MAE, and the model showed better generalization. The final optimized parameters were:

Istm\_units\_1: 128
Istm\_units\_2: 64
dropout\_rate: 0.3
learning\_rate: 0.001
batch\_size: 32

#### 4 .Challenges and Solutions:

#### 1. Capturing Complex Temporal Patterns

- Challenge: Traditional models fail to capture hourly, daily, and weekly patterns in appliance usage.
- Solution: Engineered cyclical time-based features (e.g., sine/cosine of hour, day, month) and domain-specific temporal indicators like is\_working\_hours, is\_peak\_energy\_hour.

#### 2. Multicollinearity Among Features

- Challenge: Many features, especially temperature and humidity sensors, were highly correlated, risking model instability and overfitting.
- Solution: Conducted correlation analysis, identified redundant features (e.g., T1–T3–T5), and proposed feature reduction methods like correlation thresholding.

#### 3. Presence of Outliers

- Challenge: Extreme values in appliance energy consumption could skew model training and evaluation.
- Solution: Applied IQR and Z-score methods to detect outliers; implemented capping at the 1st and 99th percentiles to retain data while reducing skewness.

#### 4. Irrelevant and Noisy Features

- Challenge: Features like rv1 and rv2 were found to be irrelevant (random noise).
- Solution: Removed non-informative features to improve model efficiency and prevent noise interference.

#### 5. Feature Scaling for Neural Networks

- Challenge: Features had different units and scales, which could bias deep learning models.
- Solution: Applied Z-score normalization using Standard Scaling to all continuous numerical features.

#### 6. Enhancing Predictive Power Through Feature Engineering

- Challenge: Raw features were insufficient to capture complex user behaviour and environmental effects.
- Solution:
  - Created rolling window features (mean, std, min, max over 1h–24h).
  - o Generated lag and difference features for autocorrelation exploitation.
  - o Added interaction features between temperature, humidity, and time.
  - Designed domain-informed features for HVAC demand and load categorization.

### 7. Weak Predictive Power of Humidity and Weather

- Challenge: Most humidity and weather features showed low correlation with energy usage.
- Solution: Kept only moderately useful features, deprioritized weak features during modelling.

#### 8. Encoding Human Behavioural Patterns

- Challenge: Appliance usage is influenced by human behaviour (e.g., weekends, evenings).
- Solution: Engineered features like:
  - weekend evening flag
  - is\_weekend
  - time\_of\_day bins (morning, afternoon, evening, night)

#### 9. Model Input Optimization

• Challenge: Raw data alone doesn't capture complex consumption trends.

• Solution: Transformed dataset into a feature-rich, clean, normalized, and behaviour aware format ready for deep learning models.

#### 10. Autocorrelation and Lag Analysis

- Challenge: Need to capture temporal dependencies.
- Solution: Conducted autocorrelation analysis and created significant lag-based features and energy ratios over past time windows.

#### 11. Model Evaluation and Generalization

- Challenge: Ensuring the model performs well on unseen data.
- Solution: Evaluated using MAE, RMSE, and R<sup>2</sup>; also compared against baseline models (Linear Regression, Random Forest).

#### 12. Computational Resource Management

- Challenge: Training deep learning models on large data required efficient usage of memory and time.
- Solution: Used batch processing, efficient data loading from Google Drive, and reduced feature dimensionality.

#### 5 .Conclusion:

#### **Key Findings**

- The Original LSTM model achieved good performance (MAE: 13.736, R<sup>2</sup>: 0.927), but was outperformed by traditional models.
- Hyperparameter tuning improved the LSTM model by 4.43% (MAE reduced to 13.127, R<sup>2</sup> increased to 0.933), showing effective optimization.
- Despite improvements, Linear Regression was the best overall model with the lowest MAE (0.0523) and highest R<sup>2</sup> (0.9986), indicating simpler models may suffice for this task.
- Random Forest also performed well (MAE: 1.8403, R<sup>2</sup>: 0.9978), validating tree-based approaches for energy prediction.
- The results suggest that while deep learning (LSTM) can learn temporal patterns, classical models still provide strong baseline predictions in this dataset.

#### Potential Areas For Future Work

- Investigate feature engineering and selection techniques to enhance model input quality and improve predictions.
- Implement ensemble methods combining classical and deep learning models to leverage their strengths.
- Test the models on larger and more diverse datasets for better generalization.
- Incorporate real-time data streaming and online learning for dynamic appliance energy prediction.

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