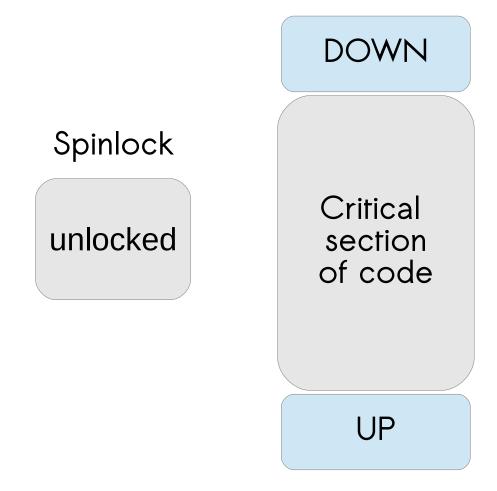
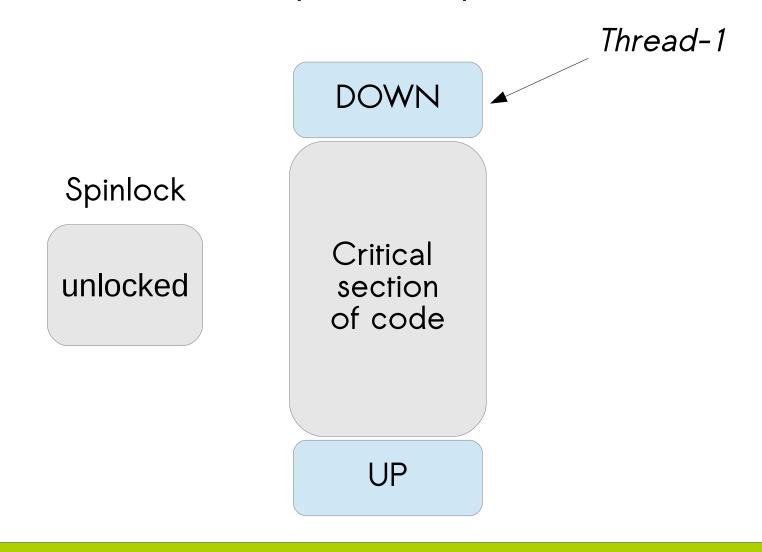
# Spinlocks

• Spinlocks and critical sections are setup



• Thread-1 tries to acquire the spinlock



Thread-1 enters the critical section by acquiring the spinlock

**DOWN** Spinlock Thread-1: Critical -- Acquired the lock -- Preemption is disabled section locked of code -- Cannot goto sleep Thread-1 **UP** 

 Now Thread-2 appears while Thread-1 is still in critical section

Spinlock

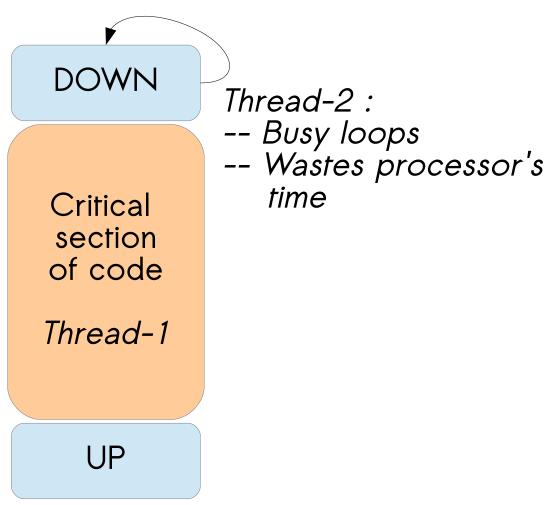
Critical section of code

Thread-1

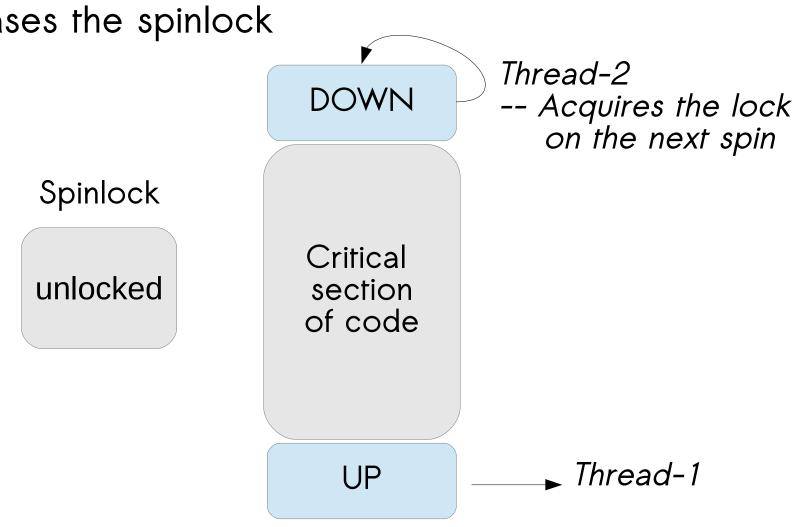
UP

 Thread-2 finds that the spinlock and forms a tight loop until the lock is free

Spinlock
locked



 Thread-1 is now out of the critical section and releases the spinlock



 Thread-2 finally acquires the lock and continues with the critical section

> DOWN Spinlock Critical section locked of code Thread-2 UP

# Spinlocks: Theory

- A spinlock is a mutual exclusion device that can have only two values: "locked" and "unlocked."
- If the lock is available, the "locked" bit is set and the code continues into the critical section.
- If, instead, the lock has been taken by somebody else, the code goes into a tight loop where it repeatedly checks the lock until it becomes available
- Unlike semaphores, spinlocks may be used in code that cannot sleep, such as interrupt handlers.
- Spinlocks offer higher performance than semaphores in general

## Spinlocks: Theory cont...

- The preemption is disabled on the current processor when the lock is taken.
- Hence, spinlocks are, by their nature, intended for use on multiprocessor systems.
- As the preemption is disabled, the code that has taken the lock must not sleep as it wastes the current processor's time or might lead to deadlock, in an uniprocessor system
- Spinlocks must be held for as minimum time as possible as it might make the other process to spin or make a high priority process wait as preemption is disabled.

### Kernel APIs

- linux/spinlock.h>
  spinlock\_t;
- Initialisation:
  - Dynamically: void spin\_lock\_init(spinlock\_t \*);
  - Statically : DEFINE\_SPINLOCK(name);
- Locking: void spin\_lock(spinlock\_t \*);
- Unlocking: void spin\_unlock(spinlock\_t \*);

# Kernel APIs: Other locking variants

void spin\_lock\_irqsave(spinlock\_t \*lock,

### unsigned long flags);

It disable interrupts on the local processor before acquiring the lock and the previous interrupt state is stored in *flags*.

void spin\_unlock\_irqrestore(spinlock\_t \*lock,

#### unsigned long flags);

Unlocks the given lock and returns interrupts to its previous state. This way, if interrupts were initially disabled, your code would not erroneously enable them, but instead keep them disabled

# Kernel APIs: Other locking variants

- If you always know before the fact that interrupts are initially enabled, there is no need to restore their previous state. You can unconditionally enable them on unlock
- void spin\_lock\_irq (spinlock\_t \*lock);
- void spin\_unlock\_irq (spinlock\_t \*lock);

# Kernel APIs: Other locking variants

- The following versions disables software interrupts before taking the lock, but leaves hardware interrupts enabled.
  - void spin\_lock\_bh (spinlock\_t \*lock);
  - void spin\_unlock\_bh (spinlock\_t \*lock);
- Trylock variants:
  - int spin\_trylock(spinlock\_t \*lock);
  - int spin\_trylock\_bh(spinlock\_t \*lock);
  - These functions return nonzero on success (the lock was obtained), 0 otherwise.

### Reader/Writer Spinlocks

- spinlock.h>rwlock\_t;
- Reader locks:
  - void read\_lock(rwlock\_t \*lock);
  - void read\_lock\_irqsave(rwlock\_t \*lock, unsigned long flags);
  - void read\_lock\_irq(rwlock\_t \*lock);
  - void read\_lock\_bh(rwlock\_t \*lock);
  - void read\_unlock(rwlock\_t \*lock);
  - void read\_unlock\_irqrestore(rwlock\_t \*lock, unsigned long flags);
  - void read\_unlock\_irq(rwlock\_t \*lock);
  - void read\_unlock\_bh(rwlock\_t \*lock);

# Reader/Writer Spinlocks

#### Writer locks:

- void write\_lock(rwlock\_t \*lock);
- void write\_lock\_irqsave(rwlock\_t \*lock, unsigned long flags);
- void write\_lock\_irq(rwlock\_t \*lock);
- void write\_lock\_bh(rwlock\_t \*lock);
- int write\_trylock(rwlock\_t \*lock);
- void write\_unlock(rwlock\_t \*lock);
- void write\_unlock\_irqrestore(rwlock\_t \*lock, unsigned long flags);
- void write\_unlock\_irq(rwlock\_t \*lock);
- void write\_unlock\_bh(rwlock\_t \*lock);

# Semaphore Vs Spinlocks

#### Requirement

#### Recommended lock

- Low overhead locking
- Short lock hold time
- Long lock hold time
- Need to lock in interrupt context
- Need to sleep while holding lock

## Semaphore Vs Spinlocks

#### Requirement

Low overhead locking
 Spinlock

Short lock hold time
 Spinlock

Long lock hold time
 Semaphore

Recommended lock

Need to lock in interrupt context
 Spinlock

Need to sleep while holding lock Semaphore