Assignment 1- Generate a Deterministic Finite State Automata from Regular Expression using Thomson's rule and Subset Construction Algorithm.

Name-Anvit Kumar

Roll no-102203161

Group-4C14

This assignment involves designing a system to convert a regular expression into a Minimized Deterministic Finite Automaton (DFA). The process is broken down into three fundamental steps:

- 1. Thompson's Construction: Converting the regular expression (a/b)*abb into a Non-deterministic Finite Automaton (NFA).
- 2. Subset Construction: Converting the NFA into a DFA.
- 3. DFA Minimization: Reducing the DFA to its smallest equivalent form using the partition refinement algorithm.

The implementation is done in Python. Below is the breakdown of the code with explanations.

Part 1: Data Structures

We first define two classes, NFA and DFA, to represent our automata. Both classes store the set of states, the alphabet, the transition function (a dictionary), the start state, and the set of final states.

```
# Epsilon symbol
EPSILON = 'E'
class NFA:
      "Class to represent a Non-deterministic Finite Automaton."""
    def __init__(self, states, alphabet, transitions, start_state, final_states):
        self.states = states
        self.alphabet = alphabet
        self.transitions = transitions
        self.start state = start state
        self.final states = final states
    def __str__(self):
        return (
            f"NFA:\n"
               States: {self.states}\n"
                Alphabet: {self.alphabet}\n"
                Start State: {self.start_state}\n"
Final States: {self.final_states}\n"
                Transitions: {self.transitions}'
```

```
class DFA:
   """Class to represent a Deterministic Finite Automaton."""
   def __init__(self, states, alphabet, transitions, start_state, final_states):
       self.states = states
       self.alphabet = alphabet
       self.transitions = transitions
       self.start_state = start_state
       self.final_states = final_states
   def __str__(self):
       return (
           f"DFA:\n"
           f" States: {self.states}\n"
           f" Alphabet: {self.alphabet}\n"
           f" Start State: {self.start_state}\n"
           f" Final States: {self.final states}\n"
           f" Transitions: {self.transitions}"
```

Part 2: Step 1 - Thompson's Construction (RE to NFA)

2.1 Infix to Postfix Conversion

Thompson's construction is significantly easier to implement using a postfix (Reverse Polish Notation) expression. The preprocess_regex function inserts explicit concatenation operators (.), and infix_to_postfix converts the standard infix notation.

```
def infix_to_postfix(regex):
    """Converts an infix regular expression to postfix."""
   # Use '|' for union to avoid confusion with file paths
   regex = regex.replace('/', '|')
   preprocessed = preprocess regex(regex)
   precedence = {'|': 1, '.': 2, '*': 3}
   postfix = []
   operator stack = []
   for char in preprocessed:
       if char.isalnum():
           postfix.append(char)
       elif char == '(':
           operator_stack.append(char)
       elif char == ')':
           while operator stack and operator stack[-1] != '(':
               postfix.append(operator stack.pop())
           operator_stack.pop() # Pop '('
       else: # Operator
           while (operator stack and operator stack[-1] != '(' and
                  precedence.get(operator stack[-1], 0) >= precedence.get(char, 0)):
                postfix.append(operator stack.pop())
           operator stack.append(char)
   while operator stack:
       postfix.append(operator_stack.pop())
   return "".join(postfix)
```

2.2 Building the NFA

The thompson_construction function iterates through the postfix expression, using a stack to build the NFA.

- Base Case (Literal): Pushes a two-state NFA for a single character.
- Concatenation (.): Pops two NFAs and links them with an ε-transition.
- Union (|): Pops two NFAs and creates a new start and end state with ε-transitions to/from both.
- Kleene Star (*): Pops one NFA and creates a looping structure with ε-transitions.

```
def thompson_construction(postfix_regex):
    """Builds an NFA from a postfix regular expression."""
    nfa stack = []
    state_counter = 0
   def new state():
       nonlocal state counter
        state = state_counter
        state_counter += 1
       return state
    for char in postfix_regex:
       if char.isalnum():
            # Base case: create NFA for a single character
            start = new state()
            final = new_state()
            nfa = NFA(
                states={start, final},
                alphabet={char},
                transitions={start: {char: {final}}},
                start state=start,
                final_states={final}
           nfa stack.append(nfa)
       elif char == '.':
            # Concatenation
            nfa2 = nfa_stack.pop()
            nfa1 = nfa_stack.pop()
            # Merge final state of nfa1 with start state of nfa2
            nfa1.transitions.update(nfa2.transitions)
            for s in nfa1.final states:
                if s not in nfa1.transitions: nfa1.transitions[s] = {}
                nfa1.transitions[s][EPSILON] = {nfa2.start_state}
```

```
nfa1.states.update(nfa2.states)
    nfa1.alphabet.update(nfa2.alphabet)
    nfa1.final states = nfa2.final states
   nfa_stack.append(nfa1)
elif char == '|':
   # Union
   nfa2 = nfa_stack.pop()
   nfa1 = nfa stack.pop()
    start = new_state()
    final = new_state()
    transitions = {start: {EPSILON: {nfa1.start_state, nfa2.start_state}}}
    transitions.update(nfa1.transitions)
    transitions.update(nfa2.transitions)
    for s in nfa1.final states.union(nfa2.final states):
        if s not in transitions: transitions[s] = {}
        transitions[s][EPSILON] = {final}
   nfa = NFA(
        states=nfa1.states.union(nfa2.states).union({start, final}),
        alphabet=nfa1.alphabet.union(nfa2.alphabet),
        transitions=transitions,
        start state=start,
        final_states={final}
   nfa_stack.append(nfa)
elif char == '*':
    # Kleene Star
    nfa1 = nfa_stack.pop()
    start = new_state()
    final = new_state()
```

```
transitions = {start: {EPSILON: {nfa1.start_state, final}}}
transitions.update(nfa1.transitions)
for s in nfa1.final_states:
    if s not in transitions: transitions[s] = {}
    transitions[s][EPSILON] = {nfa1.start_state, final}

nfa = NFA(
    states=nfa1.states.union({start, final}),
    alphabet=nfa1.alphabet,
    transitions=transitions,
    start_state=start,
    final_states={final}
)
nfa_stack.append(nfa)
```

Part 3: Step 2 - Subset Construction (NFA to DFA)

The Subset Construction algorithm converts the NFA into a DFA where each DFA state represents a set of possible NFA states.

3.1 Helper Functions

- epsilon_closure(states): Computes the set of all NFA states reachable from a given set through any number of ε-transitions.
- move(states, char): Computes the set of all NFA states reachable by consuming an input character char from any state in the given set.

```
# --- Step 2: Subset Construction (NFA -> DFA) ---
def epsilon_closure(nfa, states):
    """Computes the epsilon closure for a set of NFA states."""
   closure = set(states)
   stack = list(states)
   while stack:
        state = stack.pop()
       # Find states reachable by epsilon transitions
       epsilon_neighbors = nfa.transitions.get(state, {}).get(EPSILON, set())
       for neighbor in epsilon neighbors:
            if neighbor not in closure:
               closure.add(neighbor)
                stack.append(neighbor)
   return frozenset(closure)
def move(nfa, states, char):
    """Computes the set of states reachable on a character from a set of states."""
   reachable_states = set()
    for state in states:
       reachable states.update(nfa.transitions.get(state, {}).get(char, set()))
    return frozenset(reachable states)
```

3.2 The Algorithm

The process starts with the ϵ -closure of the NFA's start state. It then systematically explores transitions for all discovered DFA states, adding new "subset" states to a worklist until all reachable states are found.

```
lef subset_construction(nfa):
   ""Converts an NFA to a DFA using the subset construction algorithm."""
  # The DFA states are sets of NFA states
  dfa_states = set()
  dfa_transitions = {}
  # The initial DFA state is the epsilon closure of the NFA's start state
  initial_dfa_state = epsilon_closure(nfa, {nfa.start_state})
  dfa_states.add(initial_dfa_state)
  worklist = [initial_dfa_state]
  while worklist:
      current_dfa_state = worklist.pop(0)
      dfa_transitions[current_dfa_state] = {}
      for char in nfa.alphabet:
          next_nfa_states = move(nfa, current_dfa_state, char)
          next_dfa_state = epsilon_closure(nfa, next_nfa_states)
          if not next_dfa_state: # Trap state if empty
          continue
          if next_dfa_state not in dfa_states:
              dfa_states.add(next_dfa_state)
              worklist.append(next_dfa_state)
          dfa_transitions[current_dfa_state][char] = next_dfa_state
   # Map frozensets to integer state names for clarity
  state_map = {state: i for i, state in enumerate(dfa_states)}
  # Determine final states of the DFA
  dfa_final_states = {
      state_map[s] for s in dfa_states if not nfa.final_states.isdisjoint(s)
  return DFA(
      states=set(state_map.values()),
      alphabet=nfa.alphabet,
      transitions={state_map[s]: {c: state_map[t] for c, t in trans.items()} for s, trans in dfa_transitions.items()},
      start_state=state_map[initial_dfa_state],
       final states=dfa final states
```

Part 4: Step 3 - DFA Minimization

The final step uses the Partition Refinement Algorithm (Hopcroft's Algorithm) to merge equivalent states.

Algorithm Logic:

- Initial Partition: Divide states into two groups: Final and Non-Final.
- Refinement Loop: For each group and each input symbol, check if all states in the group transition to the same partition. If they transition to different partitions, the group must be split.
- Termination: The process repeats until no more splits are possible. The resulting partitions are the states of the minimized DFA.

```
-- Step 3: DFA Minimization --
def minimize_dfa(dfa):
    """Minimizes a DFA using the partition refinement algorithm."""
   # Initial partition: final states and non-final states
   final_states = frozenset(dfa.final_states)
   non_final_states = frozenset(dfa.states - dfa.final_states)
   partitions = {final_states, non_final_states}
   worklist = {final_states, non_final_states}
   # Filter out empty sets if all states are final or non-final
   partitions = {p for p in partitions if p}
   worklist = {w for w in worklist if w}
   while worklist:
       A = worklist.pop()
       for char in dfa.alphabet:
           # X is the set of states that transition into A on character 'char'
           X = frozenset({s for s in dfa.states if dfa.transitions.get(s, {}).get(char) in A})
           new_partitions = set()
           for Y in partitions:
               intersection = Y.intersection(X)
               difference = Y.difference(X)
               if intersection and difference:
                   # Split Y
                   new_partitions.add(intersection)
                   new partitions.add(difference)
                   if Y in worklist:
                       worklist.remove(Y)
                       worklist.add(intersection)
                       worklist.add(difference)
                   else:
                       if len(intersection) <= len(difference):</pre>
                       worklist.add(intersection)
                           worklist.add(difference)
               else:
                   # No split, keep Y as is
                   new_partitions.add(Y)
           partitions = new_partitions
```

```
min_state_map = {frozenset(p): i for i, p in enumerate(partitions)}
min_states = set(min_state_map.values())
min_alphabet = dfa.alphabet
min_start_state = None
for p, i in min_state_map.items():
    if dfa.start_state in p:
       min_start_state = i
        break
min_final_states = {min_state_map[p] for p in partitions if not p.isdisjoint(dfa.final_states)}
min_transitions = {}
for p, i in min_state_map.items():
    # Pick a representative state from the partition
    representative = next(iter(p))
    min_transitions[i] = {}
    for char in min_alphabet:
        target_state = dfa.transitions.get(representative, {}).get(char)
        if target_state is not None:
            for target_p, target_i in min_state_map.items():
                if target_state in target_p:
                    min_transitions[i][char] = target_i
return DFA(min_states, min_alphabet, min_transitions, min_start_state, min_final_states)
```

Part 5: Simulation and Execution

The simulate_dfa function tests a string against the final DFA. The main execution block runs the entire pipeline for the assignment's regular expression and prints the results.

```
def simulate_dfa(dfa, input_string):
    """Simulates a DFA on an input string."""
    current_state = dfa.start_state
    for char in input_string:
        if char not in dfa.alphabet:
           return False # Character not in alphabet
       current_state = dfa.transitions.get(current_state, {}).get(char)
        if current_state is None:
           return False # No transition defined (implicit trap state)
   return current_state in dfa.final_states
# --- Main Execution ---
if __name__ == "__main__":
    # The regular expression from the assignment
   regex = "(a/b)*abb'
   print(f"Regular Expression: {regex}\n")
   # --- Step 1 ---
   print("--- Step 1: RE to NFA (Thompson's Construction) ---")
   postfix = infix_to_postfix(regex)
   print(f"Postfix Expression: {postfix}")
   nfa = thompson_construction(postfix)
   print(nfa)
   print("-" * 50)
   # --- Step 2 ---
   print("--- Step 2: NFA to DFA (Subset Construction) ---")
   unminimized_dfa = subset_construction(nfa)
   print("Generated (Unminimized) DFA:")
   print(unminimized_dfa)
   print("-" * 50)
   # --- Step 3 ---
   print("--- Step 3: DFA Minimization ---")
   minimized_dfa = minimize_dfa(unminimized_dfa)
   print("Minimized DFA:")
   print(minimized dfa)
   print("-" * 50)
   # --- Final Output and Testing ---
   print("--- Testing the Minimized DFA ---")
   test_strings = ["abb", "aabb", "babb", "ab", "a", "banana", "", "abbabb"]
    for s in test_strings:
       result = simulate_dfa(minimized_dfa, s)
        output = "Accept" if result else "Not Accepted"
        print(f"Input: '{s}' -> Output: '{output}'")
```

```
Output:-
Regular Expression: (a/b)*abb
--- Step 1: RE to NFA (Thompson's Construction) ---
Postfix Expression: ab | *a.b.b.
NFA:
 States: {0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13}
 Alphabet: {'a', 'b'}
 Start State: 6
 Final States: {13}
 Transitions: {6: {'\varepsilon's: {4, 7}}, 4: {'\varepsilon': {0, 2}}, 0: {'a': {1}}, 2: {'\varepsilon': {3}}, 1: {'\varepsilon': {5}}, 3: {'\varepsilon': {5}}, 5: {'\varepsilon': {4, 7}}
7}}, 8: {'a': {9}}, 7: {'ε': {8}},
10: {'b': {11}}, 9: {'\varepsilon': {10}}, 12: {'\varepsilon': {13}}, 11: {'\varepsilon': {12}}}
--- Step 2: NFA to DFA (Subset Construction) ---
Generated (Unminimized) DFA:
DFA:
 States: {0, 1, 2, 3, 4}
 Alphabet: {'a', 'b'}
 Start State: 2
 Final States: {4}
 Transitions: {2: {'a': 1, 'b': 0}, 1: {'a': 1, 'b': 3}, 0: {'a': 1, 'b': 0}, 3: {'a': 1, 'b': 4}, 4: {'a': 1, 'b': 0}}
--- Step 3: DFA Minimization ---
DFA:
 States: {0, 1, 2, 3}
 Alphabet: {'a', 'b'}
```

Start State: 2

Final States: {3}

Transitions: {0: {'a': 1, 'b': 3}, 1: {'a': 1, 'b': 0}, 2: {'a': 1, 'b': 2}, 3: {'a': 1, 'b': 2}}

.----

--- Testing the Minimized DFA ---

Input: 'abb' -> Output: 'Accept'

Input: 'aabb' -> Output: 'Accept'

Input: 'babb' -> Output: 'Accept'

Input: 'ab' -> Output: 'Not Accepted'

Input: 'a' -> Output: 'Not Accepted'

Input: 'banana' -> Output: 'Not Accepted'

Input: " -> Output: 'Not Accepted'

Input: 'abbabb' -> Output: 'Accept'

Generate a Minimized DFA from a generic Regular Expression

```
# --- Main Execution (Generic Version) ---
if __name__ == "__main__":
   # Ask the user for a generic regular expression
   # Use '|' for union/OR, for example: (a|b)*abb
   regex_input = input("Enter the Regular Expression (use '|' for union): ")
   print(f"\nProcessing Regular Expression: {regex_input}\n")
   print("--- Step 1: RE to NFA (Thompson's Construction) ---")
       postfix = infix_to_postfix(regex_input)
       print(f"Postfix Expression: {postfix}")
       nfa = thompson_construction(postfix)
       print(nfa)
   except Exception as e:
       print(f"Error parsing Regex. Please check your syntax. Details: {e}")
       exit()
   print("-" * 50)
   # --- Step 2: NFA to DFA ---
   print("--- Step 2: NFA to DFA (Subset Construction) ---")
   unminimized_dfa = subset_construction(nfa)
   print("Generated (Unminimized) DFA:")
   print(unminimized_dfa)
   print("-" * 50)
   print("--- Step 3: DFA Minimization ---")
   minimized_dfa = minimize_dfa(unminimized_dfa)
   print("Minimized DFA:")
   print(minimized_dfa)
   print("-" * 50)
   # --- Final Output and Testing ---
   print(f"--- Testing the Minimized DFA for RE: '{regex_input}' ---")
   print("Enter strings to test (or type 'quit' to exit):")
   while True:
       test_string = input(f"Test string for '{regex_input}'> ")
       if test_string.lower() == 'quit':
          break
       # Check if all characters in the string are in the DFA's alphabet
       is_valid_string = all(char in minimized_dfa.alphabet for char in test_string)
       if not is_valid_string:
           print(f" Error: Input string contains characters not in the alphabet {minimized_dfa.alphabet}")
       result = simulate_dfa(minimized_dfa, test_string)
       output = "Accept" if result else "Not Accepted"
       print(f" -> Output: '{output}'")
```

```
Output:-
Enter the Regular Expression (use '|' for union): a(b|c)*
Processing Regular Expression: a(b|c)*
--- Step 1: RE to NFA (Thompson's Construction) ---
Postfix Expression: abc|*.
NFA:
   States: {0, 1, 2, 3, 4, 5, 6, 7, 8, 9}
   Alphabet: {'b', 'c', 'a'}
   Start State: 0
   Final States: {9}
   Transitions: {0: {'a': {1}}, 8: {'\varepsilon': {9, 6}}, 6: {'\varepsilon': {2, 4}}, 2: {'\varepsilon': {3}}, 4: {'\varepsilon': {5}}, 3: {'\varepsilon': {7}}, 5: {'\varepsilon': {2, 4}}, 2: {'\varepsilon': {3}}, 4: {'\varepsilon': {5}}, 3: {'\varepsilon': {7}}, 5: {'\varepsilon': {2, 4}}, 2: {'\varepsilon': {3}}, 4: {'\varepsilon': {5}}, 3: {'\varepsilon': {7}}, 5: {'\varepsilon': {1}}, 5: {'\varepsilon': {1}}, 5: {'\varepsilon': {1}}, 6: {'
\{7\}\}, 7: \{'\epsilon': \{9, 6\}\}, 1: \{'\epsilon': \{8\}\}\}
--- Step 2: NFA to DFA (Subset Construction) ---
Generated (Unminimized) DFA:
DFA:
   States: {0, 1, 2, 3}
    Alphabet: {'b', 'c', 'a'}
    Start State: 2
   Final States: {0, 1, 3}
   Transitions: {2: {'a': 3}, 3: {'b': 1, 'c': 0}, 1: {'b': 1, 'c': 0}, 0: {'b': 1, 'c': 0}}
--- Step 3: DFA Minimization ---
Minimized DFA:
DFA:
```

```
--- Step 3: DFA Minimization ---
Minimized DFA:
DFA:
--- Step 3: DFA Minimization ---
Minimized DFA:
DFA:
Minimized DFA:
DFA:
DFA:
 States: {0, 1}
 Alphabet: {'b', 'c', 'a'}
 Start State: 0
 Final States: {1}
 Transitions: {0: {'a': 1}, 1: {'b': 1, 'c': 1}}
--- Testing the Minimized DFA for RE: 'a(b|c)*' ---
Enter strings to test (or type 'quit' to exit):
Test string for 'a(b|c)*'>
 Alphabet: {'b', 'c', 'a'}
 Start State: 0
 Final States: {1}
 Transitions: {0: {'a': 1}, 1: {'b': 1, 'c': 1}}
--- Testing the Minimized DFA for RE: 'a(b|c)*' ---
Enter strings to test (or type 'quit' to exit):
Test string for 'a(b|c)*'>
```