

Aochong (Oliver) Li

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EDUCATION

Cornell University

Ph.D. in Computer Science

New York, NY

Sep. 2024 – Present

Columbia University in the City of New York

B.A. in Computer Science; B.A. in Mathematics

New York, NY

Aug. 2020 – May 2023

- **Cumulative GPA:** 4.09/ 4.0; **CS Major GPA:** 4.20/ 4.0; **Math Major GPA:** 4.11/ 4.0
- **Award:** Russell C. Mills Award (**Top 4** undergrads awarded in CS dept. for academic excellence)
- **Latin Honors:** Summa Cum Laude; Dean's List (All Semesters)
- **Relevant Coursework:** Machine Learning, Natural Language Processing, Computer Vision, Real Analysis, Abstract Algebra, Analysis and Optimization, Probability, Statistical Inference, Stochastic Process

Washington University in St. Louis

B.A. Undeclared (GPA: 4.0/4.0; Honors: Dean's List)

St. Louis, MO

Aug. 2019 – May 2020 (Transferred)

PUBLICATIONS

- **Oliver Li**, Mallika Subramanian, Arkadiy Saakyan, Sky CH-Wang, Smaranda Muresan. "NormDial: A Comparable Bilingual Synthetic Dialog Dataset For Modeling Social Norm Adherence and Violation". **Proceedings of Empirical Methods in Natural Language Processing (EMNLP) 2023**
- Sky CH-Wang*, Arkadiy Saakyan*, **Oliver Li**, Zhou Yu, Smaranda Muresan. "Sociocultural Norm Similarities and Differences via Situational Alignment and Explainable Textual Entailment". **Proceedings of Empirical Methods in Natural Language Processing (EMNLP) 2023**
- Sky CH-Wang, Evan Li, **Oliver Li**, Smaranda Muresan, Zhou Yu. "Affective Idiosyncratic Responses to Music". **Proceedings of Empirical Methods in Natural Language Processing (EMNLP) 2022**

RESEARCH EXPERIENCE

NormDial: A Comparable Bilingual Synthetic Dialog Dataset [paper]

New York, NY

Researcher | Advisor: Smaranda Muresan

Jan. 2023 – Jul. 2023

- Led a project to **generate social norm-annotated bilingual dialogues** and evaluate LLMs in **reasoning norm adherence and violation in dialogues**; drafted a paper that led to **1st author publication in EMNLP 2023**
- Proposed a novel human-in-the-loop pipeline to 1) synthesize 4231 dialogues in Chinese and English and 2) label each turn if there is a norm adherence or violation with explanation, with in-context learning (ICL) and ChatGPT
- Demonstrated that 1) the quality of NormDial **exceeded human-written dialogues** for multiple metrics 2) **lexical diversity** (N-grams) of NormDial was **higher than baselines** 3) NormDial dialogue situations covered 30+ topics
- Evaluated LLMs in reasoning norms: LLMs often failed to label norm violations and suffered from hallucination

Sociocultural Norm Similarities and Differences [paper]

New York, NY

Researcher | Advisor: Zhou Yu and Smaranda Muresan

Sep. 2022 – Jan 2023

- Proposed a **novel dataset of 6566 Chinese social norms**; introduced a **new task of reasoning norm similarities and differences** across US and Chinese cultures; drafted a paper that led to **publication in EMNLP 2023**
- Developed a pipeline to align Q&A pairs from Zhihu (Chinese Quora) to situations in Social-Chemistry-101 (a US social norm bank), using cross-lingual similarity between text embeddings extracted by XLM-R transformer
- Designed a **few-shot Chain-of-Thought prompt** to extract Chinese norms from Zhihu Q&A data with GPT-3
- Implemented a multivariate regression between the likelihood of cross-cultural norm contradictions and situation or norm topics derived by a LDA model; identified 3 situation and 2 norm topics correlated to norm contradictions

Affective Idiosyncratic Responses to Music [paper]

New York, NY

Researcher | Advisor: Zhou Yu and Smaranda Muresan

Mar. 2022 – Jul. 2022

- Investigated **psychological and lyrical factors** that affect listeners' emotional responses to music by processing 403M comments from a music platform *NetEase Cloud Music*; drafted a paper **published in EMNLP 2022**
- Filtered text using regular expression and a clinical lexicon, DSM-5, to identify disclosers of mental disorders
- Demonstrated that disclosers differed from the population in diurnal user activity, playlist preferences, and genre features of songs extracted by a 20-topic LDA model on lyrics, inclining towards lonely themes during nighttime

INDUSTRY EXPERIENCE

AQR Capital Management

Greenwich, CT

Quantitative Researcher (ML Strategies)

Jul. 2023 – Present

- Developed a basket of trading signals from propriety patent data owned by each company; implemented a non-linear optimization on patent signals using tree-based ML models and **improved monthly performance by 32%**
- Implemented a pipeline to use patent citation, categories, and abstract info to represent each stock as embeddings
- **Proposed a novel statistical metric** (based on Wasserstein distance) to measure stock embedding distances; prototyped 36 trading signals based on pair-wise stock embedding distances and stock price momentum
- **Trained LightGBM and XGBoost models** to use trading signals to predict monthly stock returns; fine-tuned hyperparameters using grid search, achieving 0.91 R^2 score and 310% cumulative return in 10-year backtesting

AQR Capital Management (return as a full-time)

Greenwich, CT

Quantitative Research Intern (NLP Strategies)

Jun. 2022 – Aug. 2022

- Prototyped a framework to build **NLP strategies from news sentiment** extracted from a dataset of 130M news
- **Fine-tuned a BERT model** on financial text to classify news sentiment and **achieved 91% accuracy**
- Developed a long-short portfolio by **running an OLS model** to regress stock sentiment to its portfolio weight
- Achieved a 250% cumulative return, 1.4 high Sharpe Ratio, and 10% significant alphas in 10-year backtesting

TEACHING EXPERIENCE

Artificial Intelligence (COMS 4701)

New York, NY

Head Course Assistant | Instructor: Tony Dear

Jan. 2023 – May 2023

Course Assistant | Instructor: Tony Dear

Jan. 2022 – May 2022

- Co-organized weekly meetings among 16 CAs and Dr. Dear to adjust class agenda and address student feedback
- Held weekly office hours and review sessions to help students with class materials; initiated Numpy101 sessions with other CAs to prepare students with necessary skills; **received 4.0/5.0 average student semester reviews**
- Designed homework, midterm, and final questions with Dr. Dear and assigned CAs with grading tasks; led a team of 2 CAs to implement an **auto-grader algorithm** and improve productivity for grading coding questions

Discrete Mathematics (COMS 3203)

New York, NY

Course Assistant | Instructor: Tony Dear

Sep. 2022 – Dec 2022

- Held weekly office hours to help a class of 200 students with writing proofs, using LaTeX, and debugging code
- Graded homework, hosted review sessions to resolve students' common questions, and proctored all the exams

DIVERSITY & INCLUSION

St. Louis Queer Support Helpline (SQSH)

St. Louis, MO

Front-End Software Engineer; Team Mentor

Dec. 2019 – Present

- Led 3 students to design our internal website user interface for new member training and daily work doc sharing
- Prototyped a map to label LGBTQ friendliness of each location by classifying Google reviews with BERT models
- Served as a board member to oversee tech support operation; served as a mentor to encourage STEM education

SKILLS

Languages: Python, Java, C, SQL, R, Go, Shell, Bash, Unix/Linux

Frameworks & Tools: PyTorch, TensorFlow, Keras, Scikit-Learn, Numpy, Pandas, MySQL, MongoDB, Neo4j