

4.4 Basis and Dimension

Basis If V is a vector space and $S = \{v_1, v_2, \dots, v_n\}$ is a finite set of vectors in V , then S is called basis for V if the following two conditions hold:

- (i) S is linearly independent
- (ii) S spans V

Note: If v_1, v_2, \dots, v_n form basis for a vector space V , then they must be distinct and non-zero.

Example 1: $S = \{(1,0,0), (0,1,0), (0,0,1)\}$ forms a basis for R^3 because S spans R^3 and S is linearly independent.

Example 2: Standard basis for R^n are

$$S = \{e_1 = (1,0,0, \dots, 0), e_2 = (0,1,0, \dots, 0), \dots, e_n = (0,0,0, \dots, 1)\}$$

as they span R^n and are also linearly independent.

Example 3: Show that the vectors $v_1 = (1,2,1), v_2 = (2,9,0), v_3 = (3,3,4)$ form basis for R^3 .

Solution: For it we must show that the vectors are linearly independent and span R^3 .

Linearly independent:

$$k_1 v_1 + k_2 v_2 + k_3 v_3 = 0$$

$$k_1(1,2,1) + k_2(2,9,0) + k_3(3,3,4) = (0,0,0)$$

$$(k_1 + 2k_2 + 3k_3, 2k_1 + 9k_2 + 3k_3, k_1 + 4k_3) = (0,0,0)$$

$$\left(\begin{array}{ccc|c} 1 & 2 & 3 & 0 \\ 2 & 9 & 3 & 0 \\ 1 & 0 & 4 & 0 \end{array} \right)$$

$$k_1 v_1 + k_2 v_2 = 0$$

$$v_1 = -\frac{k_2}{k_1} v_2$$

By using Gaussian elimination technique, we come up with

$$\left(\begin{array}{ccc|c} 1 & 2 & 3 & 0 \\ 0 & 1 & -3/5 & 0 \\ 0 & 0 & -3/5 & 0 \end{array} \right)$$

Which implies that

$$k_1 + 2k_2 + 3k_3 = 0 \quad (1)$$

$$k_2 - \frac{3}{5}k_3 = 0 \quad (2)$$

$$-\frac{3}{5}k_3 = 0 \quad (3)$$

Eq. (3) gives $k_3 = 0$

Eq. (2) gives $k_2 = 0$ by inserting value of k_3 while Eq. (1) implies that $k_1 = 0$

As all k 's are zero. Hence vectors are linearly independent.

Now, we prove that $\text{Span}\{v_1, v_2, v_3\} = R^3$

$$(a, b, c) = k_1v_1 + k_2v_2 + k_3v_3$$

$$(a, b, c) = k_1(1, 2, 1) + k_2(2, 9, 0) + k_3(3, 3, 4)$$

$$(a, b, c) = (k_1 + 2k_2 + 3k_3, 2k_1 + 9k_2 + 3k_3, k_1 + 4k_3)$$

$$k_1 + 2k_2 + 3 = a$$

$$2k_1 + 9k_2 + 3k_3 = b \quad (A)$$

$$k_1 + 4k_3 = c$$

$$\left(\begin{array}{ccc|c} 1 & 2 & 3 & a \\ 2 & 9 & 3 & b \\ 1 & 0 & 4 & c \end{array} \right)$$

Firs, we check that weather the inverse of the above system exists or not. For this,

$$\det \begin{vmatrix} 1 & 2 & 3 \\ 2 & 9 & 3 \\ 1 & 0 & 4 \end{vmatrix} = -1 \neq 0$$

\Rightarrow Span exists. Now, using the following row operations:

$$\left(\begin{array}{ccc|c} 1 & 2 & 3 & a \\ 0 & 5 & -3 & b - 2a \\ 0 & -2 & 1 & c - a \end{array} \right) \quad R_2 - 2R_1, R_3 - R_1$$

$$\Rightarrow \left(\begin{array}{ccc|c} 1 & 2 & 3 & a \\ 0 & 1 & -3/5 & (b-2a)/5 \\ 0 & -2 & 1 & c-a \end{array} \right) R_2/5$$

$$\Rightarrow \left(\begin{array}{ccc|c} 1 & 2 & 3 & a \\ 0 & 1 & -3/5 & \frac{(b-2a)}{5} \\ 0 & 0 & -\frac{1}{5} & \frac{-9a+2b+5c}{5} \end{array} \right) R_3 + 2R_2$$

$$k_1 + 2k_2 + 3k_3 = a \quad (1)$$

$$k_2 - \frac{3}{5}k_3 = \frac{(b-2a)}{5} \quad (2)$$

$$-\frac{1}{5}k_3 = \frac{-9a+2b+5c}{5} \quad (3)$$

From (3)

$$k_3 = 9a - 2b - 5c$$

Put this into (2)

$$k_2 = 5a - b - 3c$$

Using values of k_2, k_3 in (1)

$$k_1 = -36a + 8b + 21c$$

As the system (A) has a solution. So, v_1, v_2, v_3 spans R^3 and are linearly independent.

$\Rightarrow v_1, v_2, v_3$ forms basis for R^3 .

Example 4: Let $v_1 = (1, 1), v_2 = (3, 5), v_3 = (4, 2)$. Check whether v_1, v_2, v_3 form basis for R^2 or not?

Solution: Linearly independent or not?

$$k_1 v_1 + k_2 v_2 + k_3 v_3 = (0, 0)$$

$$k_1(1, 1) + k_2(3, 5) + k_3(4, 2) = (0, 0)$$

$$(k_1 + 3k_2 + 4k_3, k_1 + 5k_2 + 2k_3) = (0,0)$$

$$\Rightarrow k_1 + 3k_2 + 4k_3 = 0 \quad (1)$$

$$k_1 + 5k_2 + 2k_3 = 0 \quad (2)$$

Subtract (1) and (2)

$$-2k_2 + 2k_3 = 0$$

$$\Rightarrow k_2 = k_3$$

Put in (1)

$$k_1 + 3k_3 + 4k_3 = 0$$

$$k_1 + 7k_3 = 0$$

$$k_1 = -7k_3$$

Let

$$k_3 = t, \Rightarrow k_1 = -7t, k_2 = t$$

As k_1, k_2, k_3 are not zero. So, v_1, v_2, v_3 are linearly dependent. So, v_1, v_2, v_3 does not form basis for R^2 .

Example 5: Check whether following sets form basis for R^2 or not?

(a) $\{(2,1), (3,0)\}$

(b) $\{(0,0), (1,3)\}$

Example 6: $S = \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} \right\}$ is the basis for M_{22} .

Solution: To check Linear independence:

$$k_1 v_1 + k_2 v_2 + k_3 v_3 + k_4 v_4 = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$$

$$k_1 \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} + k_2 \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} + k_3 \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} + k_4 \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} k_1 & k_2 \\ k_3 & k_4 \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$$

$$\Rightarrow k_1 = k_2 = k_3 = k_4 = 0$$

To check Spanning:

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} = k_1 \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} + k_2 \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} + k_3 \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} + k_4 \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} k_1 & k_2 \\ k_3 & k_4 \end{bmatrix}$$

$$k_1 = a, k_2 = b, k_3 = c, k_4 = d$$

As it spans and are linearly independent. So, the set forms basis for M_{22}

Example 7: Show that the set

$$S = \{t^2 + 1, t - 1, 2t + 2\}$$

P^2, P^3

is a basis for the vector space P_2 .

Solution: Linearly Independent:

$$k_1 v_1 + k_2 v_2 + k_3 v_3 = \vec{0}$$

$$k_1(t^2 + 1) + k_2(t - 1) + k_3(2t + 2) = 0t^2 + 0t + 0$$

$$k_1 t^2 + k_1 + k_2 t - k_2 + 2k_3 t + 2k_3 = 0t^2 + 0t + 0$$

$$k_1 t^2 + k_2 t + 2k_3 t + k_1 - k_2 + 2k_3 = 0t^2 + 0t + 0$$

$$k_1 t^2 + (k_2 + 2k_3)t + (k_1 - k_2 + 2k_3) = 0t^2 + 0t + 0$$

Equating corresponding components:

$$\begin{cases} k_1 = 0 \dots (1) \\ k_2 + 2k_3 = 0 \dots (2) \\ k_1 - k_2 + 2k_3 = 0 \dots (3) \end{cases}$$

Put $k_1 = 0$ in equation (3), we get:

$$-k_2 + 2k_3 = 0 \dots (4)$$

Add (2) and (4)

$$k_2 + 2k_3 = 0$$

$$-k_2 + 2k_3 = 0$$

$$4k_3 = 0$$

$$k_3 = 0$$

Put $k_3 = 0$, put in (2), we get

$$k_2 = 0$$

As k_1, k_2, k_3 are all zero. So S is linearly independent.

Spanning:

$$p = k_1 v_1 + k_2 v_2 + k_3 v_3$$

$$at^2 + bt + c = k_1(t^2 + 1) + k_2(t - 1) + k_3(2t + 2)$$

$$at^2 + bt + c = k_1 t^2 + k_1 + k_2 t - k_2 + 2k_3 t + 2k_3$$

$$at^2 + bt + c = k_1 t^2 + k_2 t + 2k_3 t + k_1 - k_2 + 2k_3$$

$$at^2 + bt + c = k_1 t^2 + (k_2 + 2k_3)t + (k_1 - k_2 + 2k_3)$$

$$\begin{cases} a = k_1 \dots \dots \dots (1) \\ b = k_2 + 2k_3 \dots \dots \dots (2) \\ c = k_1 - k_2 + 2k_3 \dots \dots \dots (3) \end{cases}$$

Put $k_1 = a$ in equation (3)

$$c = a - k_2 + 2k_3$$

$$-k_2 + 2k_3 = c - a \dots \dots \dots (4)$$

Add (2) and (4)

$$k_2 + 2k_3 = b$$

$$-k_2 + 2k_3 = c - a$$

$$4k_3 = b + c - a$$

$$k_3 = \frac{b + c - a}{4}$$

Put value of k_2 in equation (2)

$$k_2 + 2k_3 = b$$

$$k_2 + 2\left(\frac{b + c - a}{4}\right) = b$$

$$k_2 = b - \frac{b + c - a}{2}$$

$$k_2 = \frac{2b - b - c + a}{2}$$

$$k_2 = \frac{b - c + a}{2}$$

$$\text{So, } k_1 = a, k_2 = \frac{b - c + a}{2}, k_3 = \frac{b + c - a}{4}$$

It means S spans V.

So, S forms basis for P_2 .

Example 8: Show that the set $S = \{v_1, v_2, v_3, v_4\}$, where

$$v_1 = (1,0,0,0), v_2 = (0,1,0,0), v_3 = (0,0,1,0), v_4 = (0,0,0,1)$$

Example 9: Which of the following sets of vectors are bases for R^2 .

- (a) $\{(1,3), (1, -1)\}$
- (b) $\{(0,0), (1,2), (2,4)\}$
- (c) $\{(1,2), (2, -3), (3,2)\}$
- (d) $\{(1,3), (-2,6)\}$

Example 10: Which of the following sets of vectors are bases for P_3

(a) $\{t^3 + 2t^2 + 3t, 2t^3 + 1, 6t^3 + 8t^2 + 6t + 4, t^3 + 2t^2 + t + 1\}$

(b) $\{t^3 - t, t^3 + t^2 + 1, t - 1\}$

Dimension:

The dimension of a vector space V is the number of vectors in a basis for V .

Example 1:

$$\dim(R^2) = 2$$

standard basis are $\{(1,0), (0,1)\}$

$$\dim(R^3) = 3$$

standard basis are $\{(1,0,0), (0,1,0), (0,0,1)\}$

$$\dim(R^n) = n$$

standard basis are

$$\{(1,0,\dots,0), (0,1,0,0,\dots,0), \dots, (0,0,0,\dots,1)\}$$

$$V = M_{3 \times 2}$$

$$\begin{bmatrix} 1 & 0 \\ 0 & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 0 & 0 \\ 0 & 0 \end{bmatrix}$$

$$\vdots$$

$$6$$

Example 2:

$$\dim(M_{mn}) = mn$$

Where M_{mn} is a vector space of matrices of order $m \times n$.

How?

Example 3:

$$\underline{\dim(P_n) = n + 1}$$