



# Text Analytics

## MODULE 4: ESSENTIAL LINGUISTICS & NATURAL LANGUAGE PROCESSING

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At the end of this module, you will:

- Have essential linguistic knowledge for text processing
- Appreciate the NLP tasks that some TA systems perform.

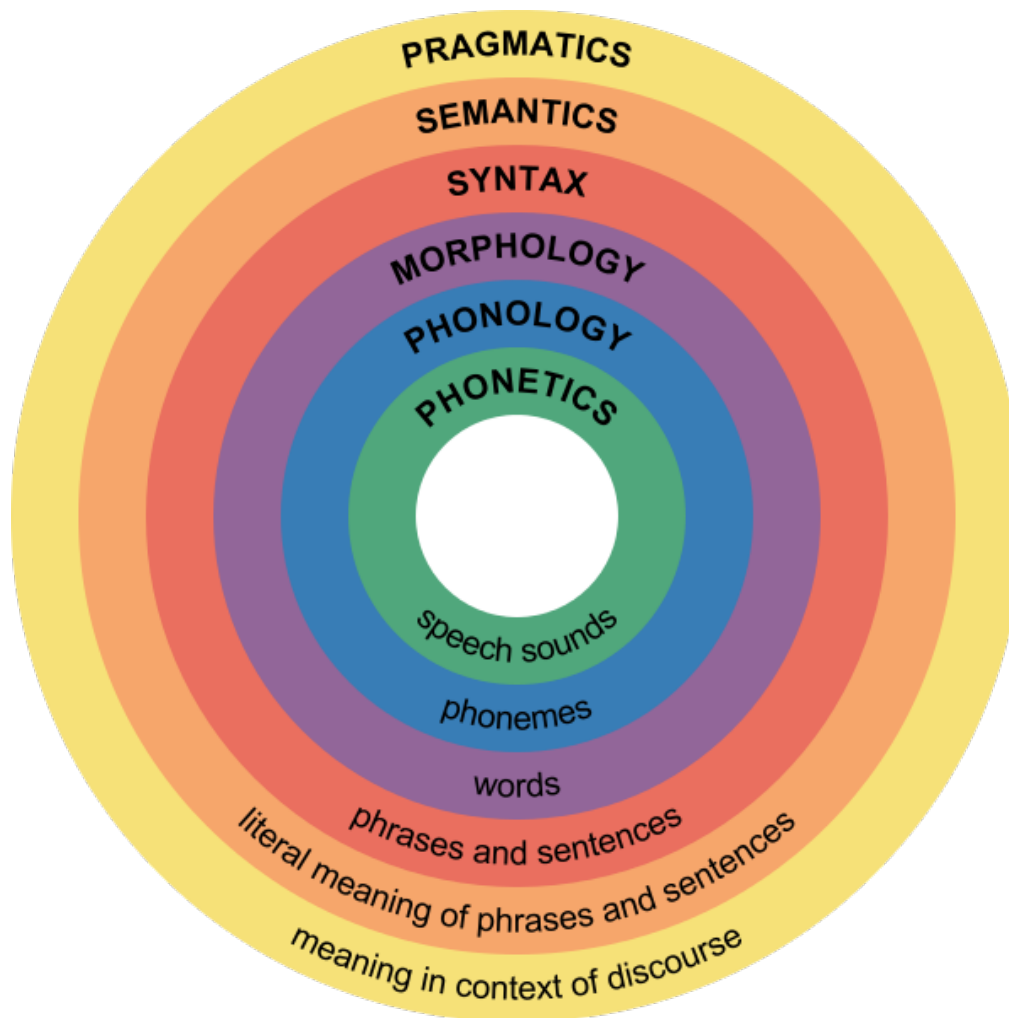
- Essential Linguistics
- Natural language processing (NLP) tasks for TA



# Essential Linguistics



# Linguistics – the scientific study of language



<https://courses.lumenlearning.com/boundless-psychology/chapter/introduction-to-language/>



- The structure of words and their part-of-speech (POS, major lexical syntactic categories)
  - Open-class, or content words: nouns, verbs, adjectives, adverbs,
  - Closed-class, or functional words: pronouns, determiners, prepositions, conjunctions, pronouns, numerals, auxiliary verbs, etc.
- Parts of speech group words that have similar neighbouring words (their distributional properties) or take similar affixes (their morphological properties).
- Many words are ambiguous between multiple lexical categories (with >1 POS) E.g. “*book*” can be a noun (“*my book*”) or a verb (“*to book a room*”)

- LDC Penn Tree Bank has 36 POS tags + 12 other tags with detailed information, e.g.

|         |                              |        |                                 |
|---------|------------------------------|--------|---------------------------------|
| 1. CC   | Coordinating conjunction     | 25.TO  | to                              |
| 2. CD   | Cardinal number              | 26.UH  | Interjection                    |
| 3. DT   | Determiner                   | 27.VB  | Verb, base form                 |
| 4. EX   | Existential there            | 28.VBD | Verb, past tense                |
| 5. FW   | Foreign word                 | 29.VBG | Verb, gerund/present participle |
| 6. IN   | Preposition/subord.          | 30.VBN | Verb, past participle           |
| 218z    | conjunction                  |        |                                 |
| 7. JJ   | Adjective                    | 31.VBP | Verb, non-3rd ps. sing. present |
| 8. JJR  | Adjective, comparative       | 32.VBZ | Verb, 3rd ps. sing. present     |
| 9. JJS  | Adjective, superlative       | 33.WDT | wh-determiner                   |
| 10.LS   | List item marker             | 34.WP  | wh-pronoun                      |
| 11.MD   | Modal                        | 35.WP  | Possessive wh-pronoun           |
| 12.NN   | Noun, singular or mass       | 36.WRB | wh-adverb                       |
| 13.NNS  | Noun, plural                 | 37. #  | Pound sign                      |
| 14.NNP  | Proper noun, singular        | 38. \$ | Dollar sign                     |
| 15.NNPS | Proper noun, plural          | 39. .  | Sentence-final punctuation      |
| 16.PDT  | Predeterminer                | 40. ,  | Comma                           |
| 17.POS  | Possessive ending            | 41. :  | Colon, semi-colon               |
| 18.PRP  | Personal pronoun             | 42. (  | Left bracket character          |
| 19.PP   | Possessive pronoun           | 43. )  | Right bracket character         |
| 20.RB   | Adverb                       | 44. "  | Straight double quote           |
| 21.RBR  | Adverb, comparative          | 45. `  | Left open single quote          |
| 22.RBS  | Adverb, superlative          | 46. "  | Left open double quote          |
| 23.RP   | Particle                     | 47. '  | Right close single quote        |
| 24.SYM  | Symbol                       | 48. "  | Right close double quote        |
|         | (mathematical or scientific) |        |                                 |

- Word **stems** (lemmas) + **affixes** (prefixes, suffixes)
  - May involve spelling changes, e.g. *able* -> *ability*
  - Can be productive, e.g. *unreprogramability*
- Inflectional suffixes – to create variants of the same POS as the stem:
  - +s, +es for plural nouns – e.g. *noun* -> *nouns*, *class* -> *classes*, *story* -> *stories*
  - +s, +ed, +ing for verbs in different tenses and aspects – e.g. *like* -> *likes*, *liked*, *liking*
- Derivational affixes - often change the inherent meaning of the word and/or its POS
  - Suffixes: e.g. *teach* (V) -> *teacher* (N), *produce* (V) -> *production* (N)
  - Prefixes: e.g. *apply* -> *reapply*, *happy* -> *unhappy*
- There are irregular forms and ambiguities
  - “*corpus*” vs. “*corpora*”, “*seek*” vs. “*sought*”
  - Is “*bore*” the present tense of “*bore*” or past tense of “*bear*”?

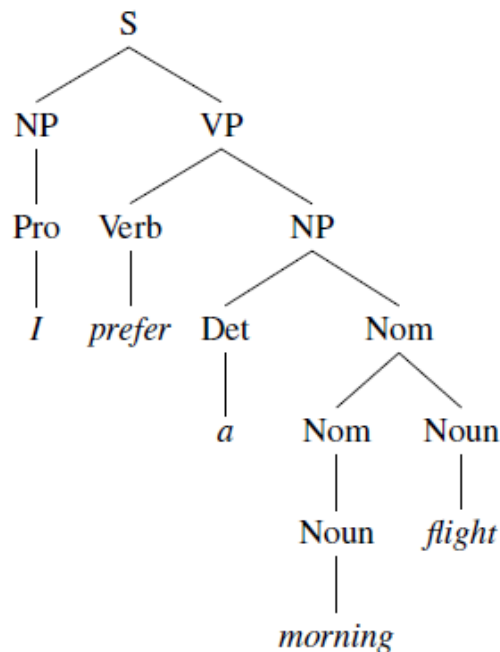




# Lexical Features

- Words are described with lexical features based on their syntactic categories and variant forms.
  - Number (num): sg/pl e.g. *word/words*
  - N-type: mass, count, name
  - Person (per): 1, 2, 3 e.g. *I(1sg)*, *you(2sg)*, *he(3sg)*, *they(3pl)*
  - Case: nom, acc e.g. *he*, *him*
  - Valence: intransitive, transitive, ditransitive, scomp, etc. e.g. *smile*, *eat*, *give*, *believe*, ...
  - A-type: base/comparative/superlative e.g. *old*, *older*, *oldest*

- Words go together to form syntactic units of various kinds called **constituents** – words, phrases, clauses
- Parse trees represent the syntactic structure of sentences, showing the constituents.



- Phrasal Categories (with the corresponding **head** word)
  - **NP** (noun phrases) – e.g. “*all the non-stop morning flights from Denver to Tampa leaving before 10*”
    - Head noun
    - Before head noun: determiners, cardinal/ordinal numbers, quantifiers, adjectives
    - Postmodifiers: prepositional phrases, non-finite clauses, relative clauses
  - **VP** (verb phrases) – e.g. “*book a flight that goes from Denver to Tampa*”
    - Head verb
    - Other constituents: NPs, PPs, Sentential Complements, VP
  - **AP** (adjectival phrases) – head adjective, may be preceded by adverbs. E.g. “*very early*”
  - **PP** (prepositional phrases) – a preposition followed by an NP, e.g. “*from Denver*”

- **Clausal Categories**
  - **Declarative** clauses (e.g. *The taxi arrived early.*)
  - **Interrogative** clauses
    - yes-no questions (e.g. *Is he coming?*)
    - wh-questions (e.g. *When will the taxi arrive?*)
  - **Imperative** clauses (e.g. *Close the door.*)
  - **Relative** clauses (e.g. *Here's the taxi **that you called.***)
  - **Complement** clauses (e.g. *I know **that the taxi is here.***)
  - **Passive** clause (e.g. *The building was bought by a tycoon.*)

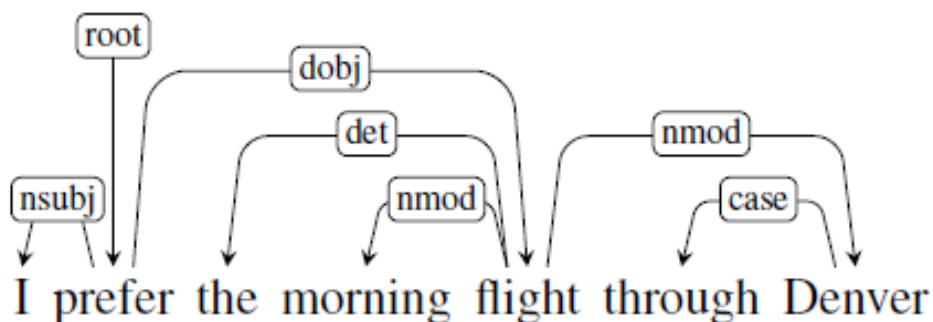
# Grammatical Relations of the Constituents

- Subject – ***Alice** smiled.*
- Direct object – *Alice ate **a burger**.*
- Indirect object – *Alice gave **me** a book.*
- Infinitive complement – *Alice wanted **to dance**.*
- Specifier/modifier – *Alice is a **very clever** student. She studies **diligently**.*



# Dependency Relations

- Typed dependency structures, encoding important information in the sentences
- Illustrated as labelled arcs from **heads** to **dependents**



- Approximating the semantic relations between predicates and their arguments



# Dependency Relations

- Selected dependency relations from the Universal Dependency set

| Clausal Argument Relations | Description  |
|----------------------------|--|
| NSUBJ                      | Nominal subject                                    |
| DOBJ                       | Direct object                                      |
| IOBJ                       | Indirect object                                    |
| CCOMP                      | Clausal complement                                 |
| XCOMP                      | Open clausal complement                            |
| Nominal Modifier Relations | Description  |
| NMOD                       | Nominal modifier                                   |
| AMOD                       | Adjectival modifier                                |
| NUMMOD                     | Numeric modifier                                   |
| APPOS                      | Appositional modifier                              |
| DET                        | Determiner   |
| CASE                       | Prepositions, postpositions and other case markers |
| Other Notable Relations    | Description  |
| CONJ                       | Conjunct   |
| CC                         | Coordinating conjunction                           |

- Linguistic expressions -> meaning representation (knowledge representation, e.g. FOL, frames)
  - Propositions (predicates, referring expressions)
  - Correctness (true/false), contradiction
  - E.g. *I ate a turkey sandwich for lunch at my desk.*

$$\begin{aligned} \exists e \text{ Eating}(e) \wedge \text{Eater}(e, \text{Speaker}) \wedge \text{Eaten}(e, \text{TurkeySandwich}) \\ \wedge \text{Meal}(e, \text{Lunch}) \wedge \text{Location}(e, \text{Desk}) \end{aligned}$$

- Ambiguity – some sentences can convey more than one proposition.
- Entailment – The assertion of some propositions implies the truth of other propositions. =>Inference!





- The use of language in context (both linguistic and situational)
- Utterances and **speech acts** (to achieve some effect on hearer)
  - Locution
    - Physical utterance with context and reference, i.e., who is the speaker and the hearer, which is the object, etc.
  - Illocution
    - The act of conveying intentions, i.e., the speaker wants the hearer to do something or to think something as a consequence of its utterance
  - Perlocutions
    - Actions that occur as a result of the illocution
  - Example: **Open the window!**
    - Locution: Monique is the speaker, Steve is the hearer, the window is the last left one
    - Illocution: Monique wants Steve to open the window
    - Perlocution: Steve opens the window
- Discourse, coherence

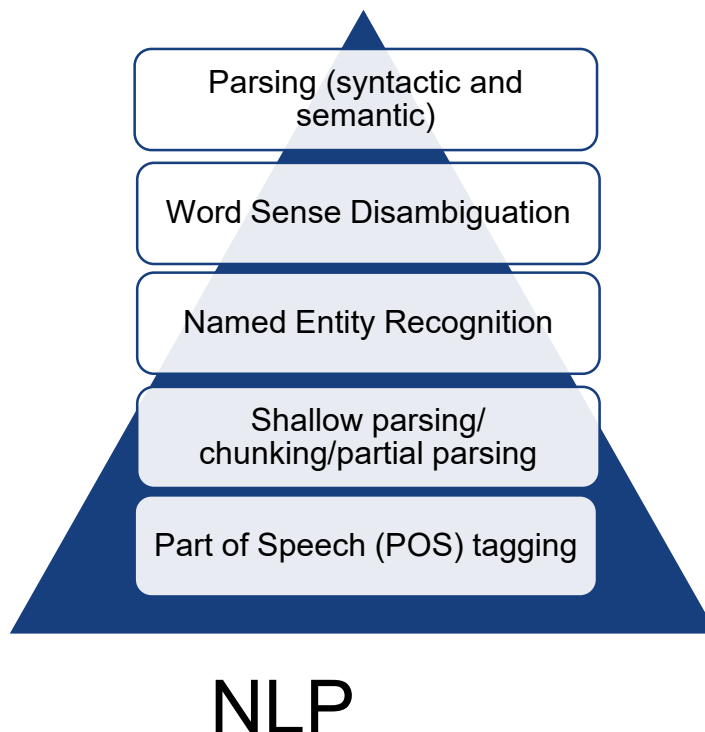


# Natural Language Processing Tasks



# Natural Language Processing Tasks

- To extract more sophisticated features, additional linguistic analyses of the text is needed.
- Input: the original text string





# POS Tagging

- To determine POS or grammatical category of a term
  - Dictionary with word-POS correspondence is needed

IN/ About CD/ six CC/ and DT/ a JJ/ half NNS/ hours RB/ later ,/ , NNP/ Mr. NNP/  
Armstrong VBD/ opened DT/ the NN/ landing NN/ craft POS/ 's NN/ hatch ,/ , VBD/  
stepped RB/ slowly IN/ down DT/ the NN/ ladder CC/ and VBD/ declared IN/ as PRP/ he  
VBD/ planted DT/ the JJ/ first NN/ human NN/ footprint IN/ on DT/ the NN/ lunar NN/  
crust :/ : ``/ " DT/ That VBZ/ 's CD/ one JJ/ small NN/ step IN/ for NN/ man ,/ , CD/ one  
JJ/ giant NN/ leap IN/ for NN/ mankind ./ . "/ "

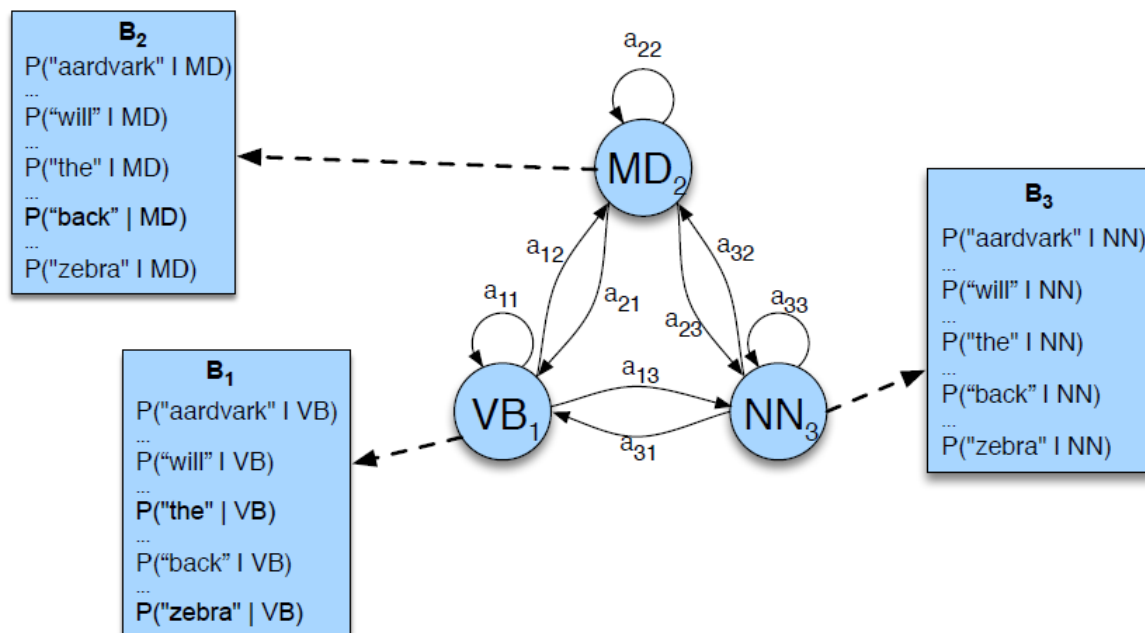
*Generated by UIUC POS Tagger*

- POS disambiguation
  - 14-15% of words in the vocabulary, mostly common words, are ambiguous, hence 55-67% of word tokens in running text are ambiguous.
  - Baseline: choose the tag which is the most frequent in the training corpus
  - Using rule-based or stochastic approach

earnings growth took a **back/JJ** seat  
a small building in the **back/NN**  
a clear majority of senators **back/VBP** the bill  
Dave began to **back/VB** toward the door  
enable the country to buy **back/RP** about debt  
I was twenty-one **back/RB** then

- Rule-based - e.g. Brill's tagger by Eric Brill
  - Error-driven transformation-based tagger
  - Initially assign the most frequent tag to each word, based on dictionary and morphological rules
  - Contextual rules are then applied repeatedly to correct any errors
- Stochastic taggers – e.g. CLAWS, Viterbi, Baum-Welch, etc.
  - based on Hidden Markov Models (HMMs) and n-gram probabilities
  - Manually tagged corpus is needed to estimate probabilities
- Many machine learning methods have also been applied. (Stanford's Statistical NLP website lists many free taggers.)

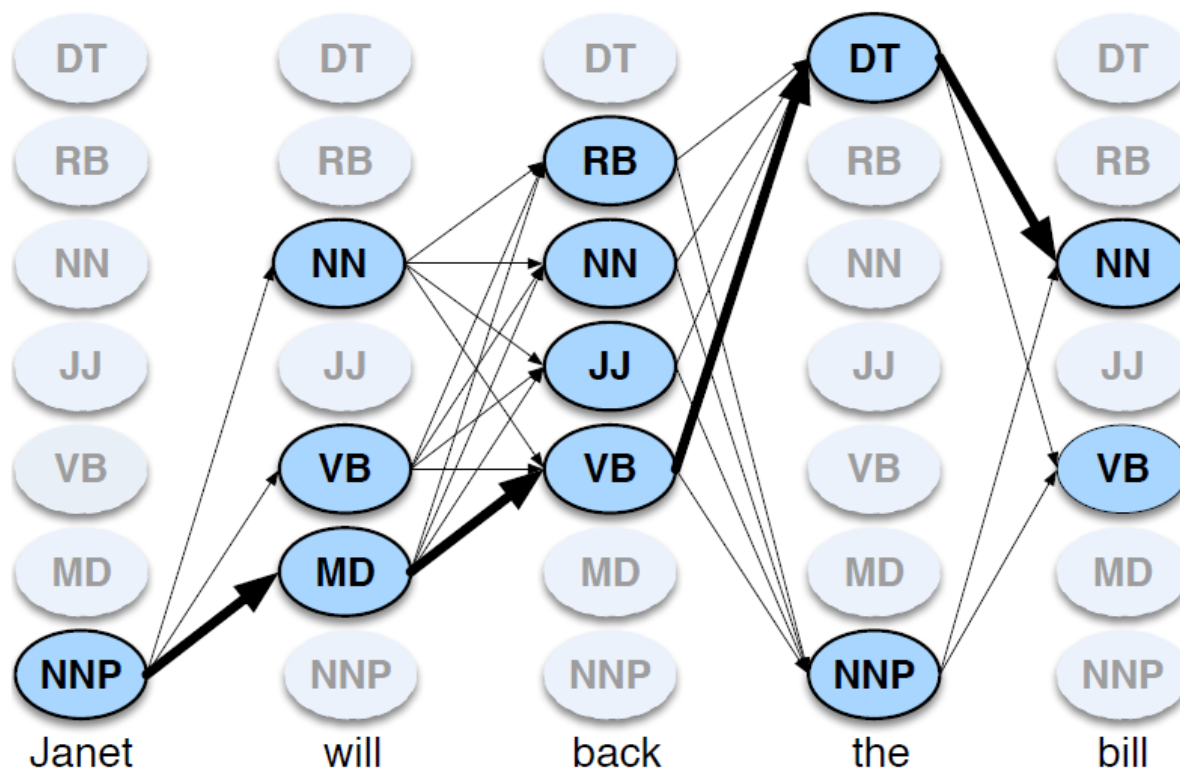
- HMM: a probabilistic sequence model/classifier, trained from tagged corpus.
  - A transition probabilities – the probability of a tag occurring given the previous tag.
  - B observation likelihoods – the probability that a given tag will be associated with a given word





# HMM Decoding

- Given a sequence of words (observations), and an HMM, compute a probability distribution over possible sequences of labels (states) and chooses the best label sequence.



- To identify phrases in a text (noun phrases, verb phrases, and prepositional phrases, etc.)
- Largely stochastic techniques based on probabilities derived from an annotated corpus – segmenting and labeling
- Faster, more robust than full parsing

[NP About six and a half hours] [ADVP later] , [NP Mr. Armstrong] [VP opened] [NP the landing craft] [NP 's hatch] , [VP stepped] [ADVP slowly] [PP down] [NP the ladder] and [VP declared] [SBAR as] [NP he] [VP planted] [NP the first human footprint] [PP on] [NP the lunar crust] : "[NP That] [VP 's] [NP one small step] [PP for] [NP man] , [NP one giant leap] [PP for] [NP mankind] ."

*Generated by UIUC chunker*



- Recognition of particular types of proper noun phrases, specifically *persons*, *organizations*, *locations*, and sometimes *money*, *dates*, *times*, and *percentages*.
- Very useful in text analytics applications, by turning verbose text data into a more compact structural form
- **More details in another module**

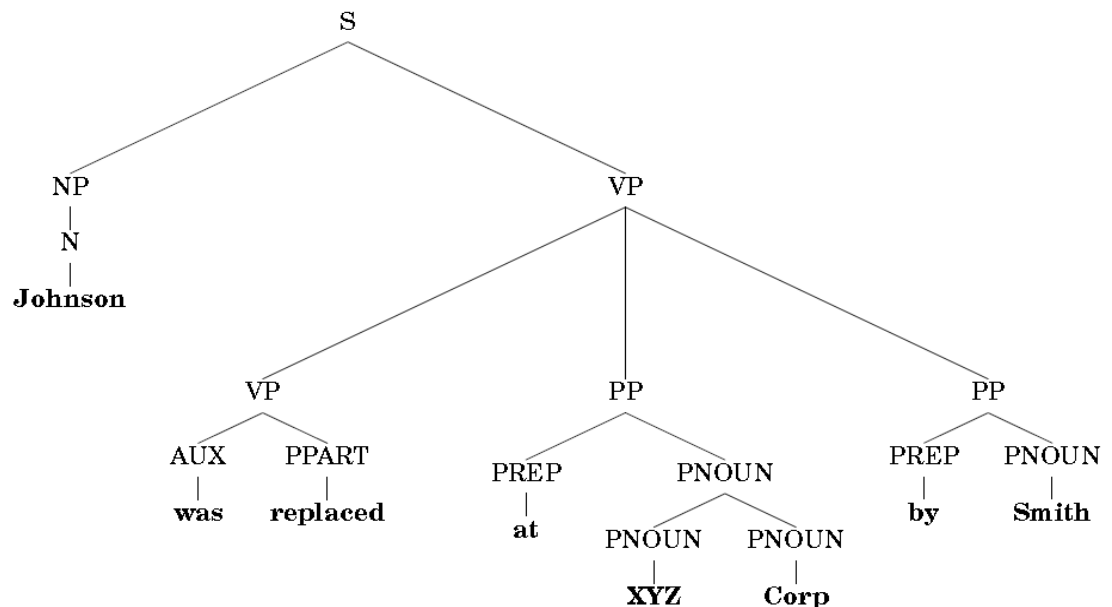
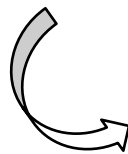
[LOC Houston] , Monday, July 21 -- Men have landed and walked on the moon. Two [MISC Americans] , astronauts of [ORG Apollo] 11, steered their fragile four-legged lunar module safely and smoothly to the historic landing yesterday at 4:17:40 P.M., Eastern daylight time. [PER Neil A. Armstrong] , the 38-year-old civilian commander, radioed to earth and the mission control room here: "[LOC Houston] , [ORG Tranquility Base] here; the Eagle has landed."

*Generated by UIUC NER system*

- Words are also ambiguous as to their meaning or reference (polysemous)
  - E.g. *table*: 1. a piece of furniture with a flat top supported by legs  
2. A list of numbers, facts, or information arranged in rows across and down a page
- Disambiguation of meanings in context has not been well solved, partly due to the lack of semantic concordances, corpora of disambiguated text to serve as training corpus for machine learning algorithms
  - E.g. You will find<sub>v</sub><sup>9</sup> that avocado<sub>n</sub><sup>1</sup> is<sub>v</sub><sup>1</sup> unlike<sub>j</sub><sup>1</sup> other<sub>j</sub><sup>1</sup> fruit<sub>n</sub><sup>1</sup> you have ever<sub>r</sub><sup>1</sup> tasted<sub>v</sub><sup>2</sup>
- Usually not applied in a typical text analytics application

- Or *Syntactic Analysis*, the more sophisticated kind of text processing
- To produce a full parse of a sentence, typically as a tree, with syntactic functions of each word (e.g. subject, object, etc.)
- Comparatively expensive process, but can provide information that shallow parsing can not provide.

Johnson was replaced at XYZ Corp by Smith




- Trees can be represented in bracketed forms:

## Tagging

John/NNP was/VBD replaced/VBN at/IN XYZ/NNP Corp/NNP by/IN Smith/NNP ./.

## Parse



```
(ROOT
  (S
    (NP (NNP John))
    (VP (VBD was)
      (VP (VBN replaced)
        (PP (IN at)
          (NP (NNP XYZ) (NNP Corp)))
        (PP (IN by)
          (NP (NNP Smith)))))
    (. .)))
```

*From Stanford Parser*



# Dependencies

- Typed dependencies

## Typed dependencies, collapsed

```
nsubjpass(replaced-3, John-1)
```

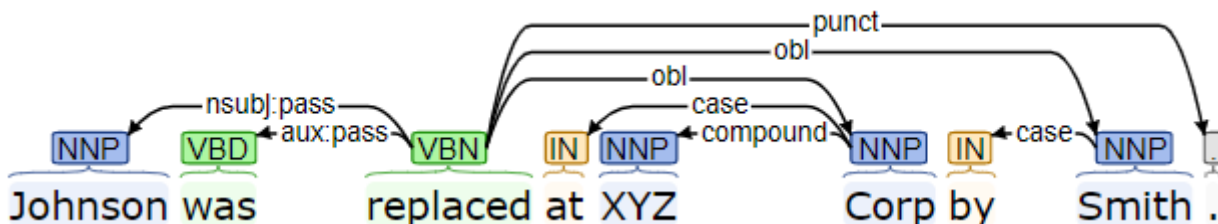
```
auxpass(replaced-3, was-2)
```

```
root(ROOT-0, replaced-3)
```

```
nn(Corp-6, XYZ-5)
```

```
prep_at(replaced-3, Corp-6)
```

```
agent(replaced-3, Smith-8)
```



*From Stanford Parser*

# From Syntax to Semantics

- Semantic analysis can be applied on top of parsing result to help identify the right entities for the text mining task.

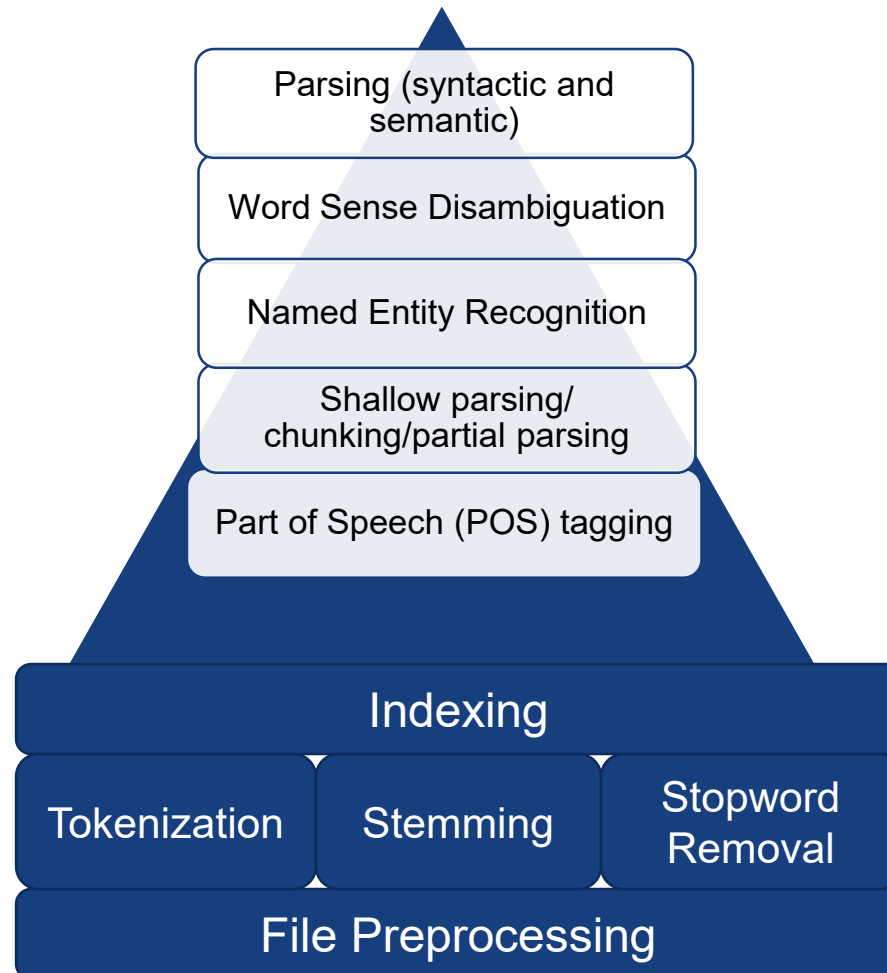
| <input type="checkbox"/> SRL | <input type="checkbox"/> Charniak            |
|------------------------------|--|
| John                         | <b>old thing [A1]</b> (S1 (S (NP (NNP John)) |
| was                          | (VP (AUX was)                                |
| replaced                     | <b>V: replace</b> (VP (VBN replaced)         |
| at                           | (PP (IN at)                                  |
| XYZ                          | <b>location [AM-LOC]</b> (NP (NNP XYZ)       |
| Corp                         | (NNP Corp)))                                 |
| by                           | (PP (IN by)                                  |
| Smith                        | <b>replacer [A0]</b> (NP (NNP Smith))))      |
| .                            | (. .)))                                      |

*Generated by UIUC Semantic Role Labeling system*



# Challenges in Parsing

- Robustness – graceful degradation
  - The input may not conform to what is normally expected
  - Ill-formed input or lack of coverage of grammars
  - To recover as much meaningful information as possible
- Disambiguation
  - Ambiguity accumulated from earlier steps can result in combinatorial increase of possible parses
  - Return the  $n$  best analyses, if not one, to the next level of processing
- Efficiency
  - Theoretical time complexity of most formalisms are polynomial







# Reference & Resources

- Jurafsky, Dan. *Speech & language processing*. Pearson Education India, 2000. (continuously updated)
- *Introduction to Linguistics for Natural Language Processing*, by Ted Brisco  
(<https://www.cl.cam.ac.uk/teaching/1314/L100/introoling.pdf>)
- Marcus, Mitchell, Beatrice Santorini, and Mary Ann Marcinkiewicz. "Building a large annotated corpus of English: The Penn Treebank." (1993).  
(<https://catalog.ldc.upenn.edu/docs/LDC95T7/cl93.html>)
- UIUC POS Tagger, Chunker, etc.
  - <http://cogcomp.cs.illinois.edu/page/demos>
- NLP resources: <http://nlp.stanford.edu/links/statnlp.html>