## (1) Topological spaces

Let X be a set. A collection  $\mathcal{T}$  of sets in X is a **topology for** X iff it satisfies the properties:

- (1)  $X, \emptyset \in \mathcal{T}$
- (2) An arbitrary union of sets in  $\mathcal{T}$  belongs to  $\mathcal{T}$ ; that is: if  $U_{\alpha} \in \mathcal{T}$  for each  $\alpha \in I$ , then  $\bigcup_{\alpha \in I} U_{\alpha} \in \mathcal{T}$ , and the indexing set I can be infinite.
- (3) A finite intersection of sets in  $\mathcal{T}$  belongs to  $\mathcal{T}$ .

For any set X, we can always define the following two topologies:

- The Indiscrete Topology for  $X: \{X, \emptyset\}$
- The Discrete Topology for  $X: P(X) = \{U: U \subseteq X\}$

We verify that the discrete topology is indeed a topology. First,  $X \subseteq X$ ,  $\varnothing \subset X$ , so (1) is satisfied. If  $U_{\alpha} \subseteq X$  for each  $\alpha \in I$ , then  $\bigcup_{\alpha \in I} U_{\alpha} \subseteq X$ . Thus, if each set in the collection  $(U_{\alpha})_{\alpha \in I}$  belongs to  $\mathcal{T}$ , then their union also belongs to  $\mathcal{T}$ , whereby (2) is satisfied. Similarly, the intersection of a finite number of subsets of X is also a subset of X, whereby (3) is satisfied.

If  $\mathcal{T}$  is a topology for X, then the pair  $(X, \mathcal{T})$  is called a **topological space**, and the sets in  $\mathcal{T}$  are *called* **open**.

(2) Metric Topology: If (X, d) is a metric space, then  $\mathcal{T} = \{U \subseteq X : X \text{ is } d\text{-open in } X\}$  is a metric topology for X. Recall that U is said to be d-open in X iff it satisfies:  $\forall u \in U, \exists r > 0 : B(u; r) \subset U$  (there's "wiggle room" around any point in U).

 $\varnothing$  and X are always d—open; an arbitrary union of open sets is open, and a finite intersection of open sets is open. So the collection of all d—open subsets of the metric space (X,d) satisfies all the conditions (1)-(3) and is indeed a topology for X.

• Different metrics may determine different open sets, whereby they produce different metric topologies for X. For eg., consider two different metrics on  $\mathbb{R}$ :

$$d_1(x,y) = \begin{cases} 0 & \text{if } x = y, \\ 1 & \text{if } x \neq y. \end{cases}$$

$$d_2(x,y) = |x - y|$$

We know that any d-open ball is open in a  $(\mathbb{R}, d)$ . Let  $a \in \mathbb{R}$ , and consider the  $d_1$ -open ball:  $B^{d_1}(a;1) = \{x \in \mathbb{R} : d_1(x,a) < 1\}$ . By the definition of  $d_1, d_1(x,a) < 1 \implies d_1(x,a) = 0 \implies x = a$ , so  $B^{d_1}(a;1) = \{a\}$ . Thus  $\{a\}$  is open in  $\mathbb{R}$  wrt. to  $d_1$ .

But clearly,  $\{a\}$  is not open with respect to  $d_2$ , since for any r > 0,

 $B^{d_2}(a;r)=(a-r,a+r)$  contains points other than  $\{a\}$ . Indeed, any singleton set in  $\mathbb{R}$  is closed wrt.  $d_2$ , while any subset of  $\mathbb{R}$  is both closed and open wrt.  $d_1$ . Thus,  $d_1$  and  $d_2$  determine different metric topologies on X.

- On the other hand, equivalent metrics determine the same open sets on X, whereby they produce the same metric topology for X.
- Some topologies  $\mathcal{T}$  for X cannot be determined by any metric, by which we mean: **There is no metric** d **such that:**

$$[A \text{ is a } d\text{--open subset of } X] \iff [A \in \mathcal{T}]$$

Such a topology is non-metrizable.

- For eg., the indiscrete topology :  $\{X,\varnothing\}$  is never a metric topology if X has more than one element. Why? Well, the complement of a singleton set :  $X\setminus\{x\}$  is always open with respect to any metric d (\*). Thus, the metric topology will for X will always contain elements other than X and  $\varnothing$  if |X|>1.
  - However, if X has only one element :  $X = \{x\}$ , then any metric will produce the indiscrete topology for X: for any r > 0, the open ball with respect to an arbitrary metric d is the set  $B(a;r) = \{x \in X : d(a,x) < r\}$ ; but  $y \in B(a;r) \implies y = x$ , whereby the only nonempty open ball is X, and  $\emptyset$  is always d-open.
- The discrete topology: P(X) is always **metrizable**, under the discrete metric  $d_1$ . As discussed, *every* subset of X is open wrt. the discrete metric. Thus:  $U \subseteq X$  is  $d_1$ -open  $\iff U \in P(X)$ .
- (\*) We quickly prove that for any metric space (X, d), a complement of any singleton set in X is open if |X| > 1.

Let  $x \in X$ ; we show that  $X \setminus \{x\}$  is open. Pick any  $y \in X \setminus \{x\}$ . We want an r > 0 so that  $B(y;r) \subseteq X \setminus \{x\}$ . Take r = d(x,y). Then,  $z \in B(y;r) \implies d(z,y) < r$ . But now,

 $r = d(x,y) \le d(x,z) + d(z,y) \implies 0 < r - d(z,y) \le d(x,z) \implies d(z,x) > 0.$  Thus,  $z \ne x \implies z \in X \setminus \{x\}$ , whereby  $B(y;r) \subseteq X \setminus \{x\}$ .

#### (3) Closed Sets

Recall that in a metric space (X, d)  $A \subseteq X$ , A is **closed** if it contains all of its adherent points :  $\overline{A} \subseteq A$ . Equivalently:

$$A ext{ is closed} \iff X \setminus A ext{ is open.}$$
 (1)

The characterization (1) is used as the *definition* for a closed set in a topological space  $(X, \mathcal{T})$ :  $A \in \mathcal{T}$  is closed  $\iff (X \setminus A)$  is open. We then have the following properties for closed sets in  $(X, \mathcal{T})$ :

• (1)' X and  $\varnothing$  are closed sets.

- (2)' An arbitrary intersection of closed sets is closed.
- (3)' A finite union of closed sets is closed.

# Nbhds, Interiors, and Open sets

Let  $(X, \mathcal{T})$  be a topological space. Recall that the sets in  $\mathcal{T}$  are called open, and  $\mathcal{T}$  satisfies rules (1) - (3) on page-1.

A set  $S \subseteq X$  is a **nbhd** of  $x \in X$  iff: There exists  $U \subset S : U$  is open  $(U \in \mathcal{T})$ , and  $x \in U$ . The open set  $U \subset S$  containing x is called an **open container** for x in S.

Thus, S is a nbhd of x if it contains an open container for x.

 $x \in X$  is called an **interior point** of S iff S is a nbhd of x. The **interior** of a set S, denoted int(S), is the set of all interior points of S. Clearly, int $(S) \subset S$  always.

For the following theorems, let  $(X, \mathcal{T})$  be a topological space.

**Theorem(2.1)** (i) A subset  $S \subseteq X$  is open  $\Leftrightarrow$  (ii) S = int(S). That is, S is open iff S is a nbhd of each of its points.

**Proof** (i  $\Longrightarrow$  ii) Let S be open  $(S \in \mathcal{T})$ , and let  $x \in S$ . Then,  $x \in S \subseteq S$ —that is, S is a nbhd of x. Thus,  $x \in \text{int}(S)$ . Hence  $S \subseteq \text{int}(S)$ , and we always have  $\text{int}(S) \subset S$ , so int(S) = S.

(ii  $\Longrightarrow$  i) Let  $S = \operatorname{int}(S)$ . We WTS:  $S \in \mathcal{T}$ . For each  $x \in \operatorname{int}(S)$ , there is an **open** container  $U_x \subset S$  ( $U_x \in \mathcal{T}$  for each  $x \in \operatorname{int}(S) = S$ ). By (2),  $\bigcup_{x \in S} U_x \in \mathcal{T}$ , whereby  $\bigcup_{x \in S} U_x = S$  is open.

Thus: U is a nbhd of x if it is an open set containing x.

Theorem(2.2) int(S) is open: (int(int(S)) = int(S)Proof

Let  $x \in \text{int}(S)$ . Then, x has an open container  $U_x \subset S$ . Our goal is to show that  $U_x \subset \text{int}(S)$ . Pick any  $y \in U_x$ . Since  $U_x \subset S$  is an **open** set containing y,  $U_x = V_y$ , by which we mean that y has an open container  $V_y \subset S$ , whereby S is a **nbhd of** y. So,  $y \in U_x \implies y \in \text{int}(S)$ , whereby  $U_x \subset \text{int}(S)$ . Thus, any point in int(S) has an open container insider int(S); hence, int(S) is open.

### Adherence and Closure

Let  $(X, \mathcal{T})$  be a topological space.  $x \in X$  is adherent to S iff:

$$\forall U_x \subseteq X, U_x \cap S \neq \varnothing.$$

The **closure** of S is denoted:  $\overline{S} = \{x \in X : x \text{ is adherent to } S\}$ . If  $x \in S$ , then for any nbhd  $U_x$ ,  $x \in (U_x \cap S) \implies x \in \overline{S}$ . Thus,  $S \subseteq \overline{S}$  always. Recall that a subset S of X is said to be **closed** iff its complement is open ( $(X \setminus S) \in \mathcal{T}$ ). As in the metric topology, closures are related to closed sets.

**Theorem (2.3)** (i)  $S \subseteq X$  is closed  $\iff$  (ii)  $S = \overline{S}$ .

**Proof** (i)  $\Longrightarrow$  (ii): Let  $S \subseteq X$  be closed, then  $(X \setminus S)$  is open. Let  $y \in (X \setminus S)$ ; then  $(X \setminus S)$  is a nbhd of y, where  $(X \setminus S) \cap S = \emptyset$ . Since there is a nbhd of y that doesn't intersect  $S, \ y \notin \overline{S}$ . Thus,  $y \notin S \implies y \notin \overline{S}$ , equivalent to  $y \in \overline{S} \implies y \in S$ . Then,  $\overline{S} \subseteq S$ , whereby  $S = \overline{S}$ .

(ii)  $\Longrightarrow$  (i): Suppose  $S = \overline{S}$ ; we WTS that  $(X \setminus S)$  is open. Let  $y \notin \overline{S} = S$ . Then there is a nbhd of y:  $U_y \cap S = \varnothing \Longrightarrow U_y \subseteq (X \setminus S)$ . Then,  $\bigcup_{u \notin S} (U_y : U_y \cap S = \varnothing) = (X \setminus S)$  is open, since each  $U_y$  is open.

Theorem (2.4) Closures are closed  $(\overline{\overline{S}} = \overline{S})$ .

**Proof** Let  $x \in (X \setminus \overline{S})$ . Then,  $\exists U_x : U_x \cap S = \emptyset$ , so  $U_x \subseteq (X \setminus S)$ . Consider  $\bigcup_{x \notin \overline{S}} \{U_x : U_x \cap S = \emptyset\} = (X \setminus \overline{S})$ ; since each nbhd  $U_x$  is open,  $(X \setminus \overline{S})$  is open, whereby  $\overline{S}$  is closed. Hence by (2.3),  $\overline{\overline{S}} = \overline{S}$ .

#### Exercise-1

So far, many properties of metric spaces are transferred to a general topological space. Think about which properties of interiors, open sets and closures are *not* retained in an arbitrary topological space, and provide counterexamples or proofs for your claims.

(4) Convergence in  $(X, \mathcal{T})$ : A sequence  $\{x_j\}$  in X is said to converge to a point  $x \in X$  iff:

For any nbhd 
$$U_x$$
 of  $x$ ,  $\exists N: j \geq N \implies x_j \in U_x$ 

That is, all but finitely many terms of the sequence must fall into any open set containing x — which again is consistent with a metric topology. In any **metrizable** topology, the limit of a convergent sequence is **unique**. This may **not** be true in a **non-metrizable** topology.

**Thm (2.5)** Let  $S \subseteq X$ . If there exists a sequence in S converging to the point  $x \in X$ , then  $x \in \overline{S}$ .

**Proof** Let  $(x_j)_{j=1}^{\infty} \subseteq S$  where  $x_j \to x$ . Let  $U_x$  be any nbhd of x. Then,  $\exists N : j \geq N \implies x_j \in (U_x \cap S)$ ; thus  $U_x \cap S \neq \emptyset$ . Hence  $x \in \overline{S}$ .

Recall the Sequential Closure Criterion in metric spaces, which includes the converse of (2.5): if  $x \in S, \exists (s_n)_{n=1}^{\infty} : s_n \to s$ . If  $(X, \mathcal{T})$  is **metrizable**, then the Sequential Closure Criterion holds in  $(X, \mathcal{T})$ . But this converse may **not** be true in an arbitrary **non-metrizable** topological space – there might be a point in  $\overline{S}$  such that no sequence in S converges to this point!

### (5) The Boundary

Let S be a set in X. A point  $x \in X$  is called a boundary point of S iff  $x \in \overline{S} \cap \overline{(X \setminus S)}$ .

The **boundary** :  $\partial S = \overline{(X \setminus S)} \cap \overline{S}$ , is the set of all boundary points, adherent to both S and its complement. Clearly, the boundary is always a closed set, since it is an intersection of two closures, which are always closed sets.

Thm (2.6):  $\overline{S}$  is the disjoint union of  $\operatorname{int}(S)$  and  $\partial S$ . Proof Let  $x \in \overline{S}$ . Then  $\forall U_x, U_x \cap S \neq \emptyset$ . For any nbhd  $U_x$ , there are two disjoint cases:

- Either  $U_x \subseteq S$ , in which case  $x \in \text{int}(S)$ ;
- Or  $U_x \nsubseteq S \implies \exists y \in U_x : y \notin S \implies y \in U_x \cap (X \setminus S)$ . Then,  $x \in \overline{X \setminus S}$  and  $x \in \overline{S} \implies x \in \partial S$ .

Hence,  $x \in \overline{S} \implies x \in \partial S \cup \text{int}(S)$ .

In the other direction:  $x \in \partial S \cup \operatorname{int}(S) \implies x \in \partial S$  or  $x \in \operatorname{int}(S)$ ; in either case,  $x \in \overline{S}$ . Thus,  $\overline{S} = \partial S \cup \operatorname{int}(S)$ .