

# Design Optimization for a Student-Built Sub-Orbital Rocket

Ondrej Fercak  
Erin S. Schmidt  
Ian Zabel

Students at Portland State University are working to build the first student-built rocket to fly above the 100 km von Karman line. To date there have been few examples of numerical convex design optimization applied to the problem of such experimental student-built rockets. A Runge-Kutta integration trajectory simulation was built with Python. This was used to perform a Simplex Search optimization with the goal of optimizing rocket GLOW. The optimization has identified a concept for a rocket with a 113 kg GLOW, design thrust of 3.2 kN thrust (sea-level), expansion ratio of 4.7, diameter of  $\varnothing 11''$  capable of reaching the von Karman line.

## Nomenclature

PSAS	Portland State Aerospace Society
$h$	Altitude (m)
$\dot{m}$	Mass flow rate (kg/s)
$D$	Airframe diameter (in)
$L$	Total propellant tank length (m)
$TWR$	Thrust-to-Weight Ratio
$p_e$	Nozzle exit pressure (kPa)
$p_{ch}$	Combustion chamber stagnation pressure (kPa)
$m$	Mass (instantaneous) (kg)
$g$	Constraint vector
$x$	Design vector
GLOW	Gross-Lift-Off-Weight (kg)

## I. Introduction

There is an emerging demand by both governments and private industry for small 'venture class' launch vehicles to deliver nano-satellites into Low Earth Orbit (LEO). While there are yet few operational examples of such dedicated small satellite launch vehicles, they would likely share cladistic similarities, at the extrema of their size and mass envelope range, with both large orbital launch vehicles and the comparatively small high-powered rockets that have been operated by hundreds of amateur and university groups for decades. Typically high-powered rockets fly ballistic trajectories with apogees generally less than 10 km above sea-level. However, several amateur and university groups harbor aspirations of sub-orbital flight above the von Karman line 100 km above the surface of the Earth. As of the Spring of 2016 the current record holder for altitude at apogee by a student organization is TU Delft's Delft Aerospace Rocket Engineering (DARE) team which reached 21.457 km with their Stratos II+ rocket on October 16, 2015.<sup>1</sup>

The Portland State Aerospace Society (PSAS) is an engineering student organization and citizen science project located at Portland State University dedicated to developing low-cost, open-source, and open-hardware high-powered rockets and avionics systems with special interests in small launch vehicle technology and nanosatellites.<sup>2</sup> In 2015 PSAS initiated a project to build and fly it's own entry in this rapidly intensifying 'university space race'.

Herein, we apply design optimization methodology to the problem of design and trajectory optimization of small sounding rockets, and particularly to the PSAS’s LV4 ‘space rocket’. This rocket will leverage powerful liquid-fuel propulsion, an extremely light-weight carbon-composite airframe, full 6-DoF attitude control and active stabilization. LV4 is intended to fly with a design apogee of over 100 km, and is currently planned for launch by 2021. A SolidWorks CAD render of a concept for LV4 is shown in Figure 1.



Figure 1: SolidWorks CAD render of an early design phase concept of the PSAS LV4 sub-orbital rocket.

## II. Methods

### A. Problem Definition

Clean-sheet conceptual design and trajectory optimization of launch vehicles is a classically difficult problem. This problem arises for two reasons. The first is that the trajectory equation is a 2nd order non-linear ordinary differential equation with coefficients that are themselves described by non-linear first and second order ODE’s. This problem has no closed-form solution. Secondly, detailed design choices in propulsion, structures/weights, aerodynamics, and guidance and control which ultimately all appear as variables in the governing equation are both highly coupled and non-hierarchical. Schematically the coupling between the variables is presented in Figure 2. The traditional approach to dealing with this problem has been to evaluate vehicle performance by examining the value of each parameter by fixing the values of the remaining parameters e.g. the “one variable-at-a-time” trade-off analysis approach. However there are several important limitations to this approach:

- Conceptual design is usually carried out with low-fidelity models
- Some relationships among the design variables are poorly understood
- Optimizing individual design variables does not guarantee optimality at the overall system level

In practice this results in a highly iterative design process and concomitant requirement mismatches, developmental dead-ends and sub-optimal final design. The problem with sub-optimal design is magnified by the ramifications of Konstantin Tsiolkovsky’s equation, with the severe implication of exponential growth in design requirements for linear increases in rocket dry mass. Since ultimately all design decisions impact the rocket dry mass in some way it is imperative to understand these trade-offs and compromises as early in the design process as possible to reduce the potential for technical, schedule, and cost risks. This is especially the case for time, technical expertise, and funding constrained student organizations. Therefore there is a strong

motivation to treat the conceptual design parameters “all-at-once” using applied optimization techniques. A numerical design optimization approach allows us to systematically explore a vast trade space under a realistic timeframe.

Some commercial and/or governmental tools exist for launch vehicle design optimization. These include codes such as FASTPASS,<sup>3</sup> and SWORD.<sup>4</sup> There are also open-source design and optimization tools that can be applied to high-powered rocketry such as Open Rocket,<sup>5</sup> or JSBSim,<sup>6</sup> however these tools either cannot be run in a batch mode or lack I/O tools to support direct numerical optimization. In the context of this developing interest in clean-sheet small launch vehicle designs we can identify a need for a design tool high-level enough for simplicity, speed, and ease of use, but which captures enough of the dimensions of the optimization problem to still be useful as a guide in the early conceptual design phase. This tool will use fairly low-fidelity models, and will be used for trajectory optimization, propulsion design, airframe sizing, and mass estimation. Per PSASs open-source mandate all (non-ITAR) project development deliverables are being made publicly available under a GNU GPL v2 license.<sup>7</sup> This code was written in Python because it is free and open-source, and for its inherent object-oriented modularity, numerical efficiency, and wide use in the community of scientific computing. It is hoped by the authors, and the members of PSAS, that the discourse around educational launch vehicles and their design will be elevated by making the information for this project publicly available.

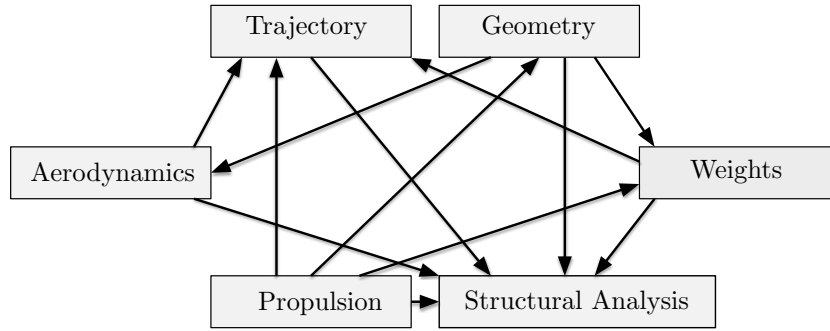


Figure 2: Coupling and dependencies between various launch vehicle design disciplines.

## B. Objectives and Constraints

The objective of the optimization is to minimize the total system complexity and cost of the 100 km launch vehicle. While these objectives can be abstract as numerical values in the early conceptual design phase, we expect them to scale closely with the initial mass of the launch vehicle (including the mass of loaded propellants), which is usually defined by the Gross Lift-Off Weight (GLOW) figure of merit.

Thus we wish to minimize GLOW subject to practical model linearity and structural constraints. In the most practically usefully sense the design variables include thrust, airframe diameter and propellant tank lengths. However for numerical simplicity thrust will be expanded into mass flow rate and expansion ratio design variables, and then back-solved within the simulation. There are also a large number of design constants present in the models, which include specific impulse and combustion chamber pressure, propellant mixture ratio, and others, but which for the sake of brevity will be largely ignored in the following discussion. The model currently include 6 inequality constraints:

- Apogee: 100 km
- Thrust-to-Weight Ratio (TWR): Trade-off between gravity loss and aerodynamic stability
- Length to Diameter Ratio(L/D): Trade-off between aerodynamic stability and mechanical (non-rigid body) resonance modes
- Maximum acceleration: Set by the material limits of various launch vehicle subsystems

- Diameter: Manufacturability (current process scale-able from  $\varnothing 6''$  to up to  $\varnothing 14''$ )
- Nozzle over-expansion: Prevents the assumption made to help linearize thrust model from becoming invalid

Mathematically the problem can be stated as

$$\min m_{initial} = m_{dry}(h) + m_{propellants,initial}(h)$$

$$\text{where } x = \begin{cases} \dot{m} \\ D \\ L \\ p_e \end{cases} \text{ subject to } g = \begin{cases} 5 \leq L/D \leq 15 \\ TWR \geq 2 \\ \frac{p_e}{p_a} \geq 0.5 \\ 6 \leq D \leq 14 \\ h \geq 100000 \\ \frac{a_{max}}{g_0} \leq 15 \end{cases}$$

While the objective function itself is given by a very simple function of the design variables  $D$  and  $L$ , the constraints require a much more complex model. The following section discusses the trajectory simulation model required to capture values of these constraints for any particular design vector.

### C. Trajectory Simulation

The trajectory of the rocket, in a single degree of freedom, can be best described by altitude  $h(t)$ , velocity  $V(t)$ , and total mass  $m(t)$  state variables which are functions of time. The initial values of the state variables are given by  $h_0$ ,  $V_0$ , and  $m_0$  respectively. The governing equation of the rocket trajectory is Newton's 2nd law of motion

$$F = \frac{d(mV)}{dt}.$$

This can be expanded in terms of the sum of forces acting on the rocket during free flight going up to apogee

$$Thrust(h) - Drag\left(h, \frac{dh}{dt}, \left(\frac{dh}{dt}\right)^2\right) - m\left(\frac{dm}{dt}, t\right) g_0 = \frac{d}{dt} \left(m \frac{dh}{dt}\right).$$

We usually express drag as

$$F_d = \frac{1}{2} \rho V^2 C_d A$$

where  $\rho$  is the local air density,  $A$  is the frontal area,  $C_d$  is the drag coefficient which for a given airframe geometry is a function of angle-of-attack (which for a 1-DoF system is identically zero), and Mach number. The Mach number is a function given by

$$\frac{V}{c} = \frac{V}{\sqrt{kRT}}$$

where  $c$  is the local speed of sound,  $k$  is the gas ratio of specific heats,  $R$  is the specific gas constant, and  $T$  is the gas temperature. These numbers are supplied, as well as  $\rho$ , and  $p_a$  the ambient pressure, are supplied by the 1976 U.S. Standard Atmosphere model. For simplicity, drag coefficients are interpolated from published aerodynamic data from the 1950's era NACA/USN/NASA Aerobee-150 sounding rocket class, which is expected to be dimensionally similar to the LV4 sounding rocket.<sup>8</sup>

The rocket thrust force, given a huge number of simplifying assumptions, is a function of the mass flow rate design variable, the chamber pressure constant, and the ambient pressure state variable. It is given by

$$F_t = \dot{m} V_e + A_e (p_e - p_a)$$

where  $V_e$  is the rocket exhaust velocity,  $A_e$  is the rocket nozzle exit area. The exhaust velocity, again given a large number of simplifying assumptions, is given by

$$V_e = \sqrt{\frac{2kRT_{ch}}{k-1} \left(1 - \left(\frac{p_e}{p_{ch}}\right)^{((k-1)/k)}\right)}$$

where  $T_{ch}$  is the chamber pressure, which along with the combustion chamber gas ratio of specific heats  $k$ , and gas constant  $R$  are functions of the mixture ratio of the propellants, and the chamber pressure  $p_{ch}$  determined using the NASA Chemical Equilibrium Analysis (CEA)<sup>9</sup> tool and are design constants. It should be noted that the  $p_e$  is a design variable tied to the expansion ratio of the rocket engine, but that  $p_a$  is constantly changing with altitude. The thrust function is maximized when  $p_e = p_a$ , however this occurs at one, and only one, altitude. Thus the design expansion ratio selection directly benefits from trajectory optimization.

By finite-differencing the derivatives of the governing equation a zeroth-order Runge-Kutta integration (e.g. Forward Euler) of the governing equation is used to determine the rocket trajectory. This approach was chosen only for simplicity; in principle central differencing or trapezoidal integration are more generally accurate discretization approaches. Forward Euler difference equations always have the form

$$y_{i+1} = y_i + (y\text{-rate at } t_i)(t_{i+1} - t_i)$$

where  $y(t)$  is the state variable being integrated, and  $i$  is the time index superscript. In this way the state variables  $h$ ,  $V$ , and  $m$  can be defined at any discrete time  $t_0, t_1, t_2 \dots t_i$ . Given a vector of the four design variables the trajectory function returns numerical values of the objective function and constraint vector. This trajectory code was benchmarked against known trajectory and design data for both the Aerobee-150 and Armadillo Stig-B sounding rockets, and the results compare favorably. This grants us at least some confidence in the assumptions made in the model. The Python trajectory module code can be found in the appendices.

## D. Optimization Approach

There are several general approaches to design optimization for launch vehicle design found in literature:

1. Design of Experiments methods (Taguchi Methods<sup>10</sup>, Response Surface Methods<sup>11</sup>)
2. Gradient methods (steepest descent)
3. Stochastic methods (genetic algorithm,<sup>12</sup> simulated annealing)

While there are certain advantages to each of these approaches, only gradient based methods were within the scope of the ME596 class. Furthermore the difficulty of differentiating the governing equations, the expected multi-modal nature of the response surface and the discrete nature of some of the variables ( $m$ ,  $C_d$ , etc.) argue against gradient based methods. We therefore selected a gradient free, non-stochastic approach: the Nelder-Mead method (e.g. Simplex Search). The limitations of this choice may become evident for high-dimension problems, but for  $x \in \mathbf{R}^4$ , we expect the algorithm have major problems with convergence. Inequality constraints were handled by using an exterior penalty function. The pseudo-objective function as finally implemented is given by

```
obj_func = m[0] + rp*(max(0, (L+2)/(dia*0.0254) - 15)**2 + max(0, -TWR + 2)**2 \
+ max(0, -S_crit + 0.35)**2 + max(0, -alt[-1] + 100000)**2 + max(0, max(abs(a))/9.81 - 15)**2)
```

The principle difficulties involved in the implementation of this algorithm (beyond those faced in earlier ME596 homework) were generalizing the algorithm reflection case handling, and initial simplex vertices generation to  $\mathbf{R}^4$  space. The Python Simplex Search module code can be found in the appendices.

### 1. Qualitative Discussion of Algorithm Behavior

While the optimization algorithm has proven to be excellent at quickly finding feasible design given any initial guess of the design variables  $x_0$ , it has also exhibited issues with convergence, and parameter sensitivity. This is often manifested by next-step iterative reflection points becoming trapped in a loop. In practice this causes the current best design point to move closer to an optimum in large "fits and spurts". Another problem is that there appear to be many optima points with similar pseudo-objective function values, but

different design points. This leads to a large sensitivity to  $x_0$ ,  $\alpha$ ,  $\gamma$  and  $\beta$  parameter choices. Some attempt was made to smooth out the performance of the algorithm by non-dimensionalizing all of the function values in the pseudo-objective function. This helps prevent numerically large constraints from prejudicing over much the final optimum, and makes the choice of  $r_p$  seem hopefully less arbitrary.

## 2. Benchmarking

To ensure that the code written is providing accurate and sensible results, the output and code was benchmarked against test cases with simple analytical solutions and against an off-the-shelf Nelder-Mead algorithm.

One method of confirming the results is to apply a provided homework problem and respective solution, and check to see if the output correlates with the known answer. Since a previous homework problem for ME 596 required a simplex search, that problem was applied to the code written for the final project. The results correlated with an answer determined using classical analytical methods. The full launch vehicle design optimization problem results were also benchmarked against the SciPy<sup>13</sup> Python optimization library. The

```
scipy.optimize.minimize(f, x_0, method='nelder-mead')
```

results often offered slight improvements in optimized GLOW compared with those from our own code for a given  $x_0$ . The SciPy minimize function also ran much faster than our own, at least 2 orders of magnitude less physical time for the same number of iterations.

## III. Results

The results of the design optimization in terms of the optimization design variables and a number of derived secondary design variables are presented in Table 1. Various state variables for the trajectory of the optimized LV4 design are plotted against time in Figure 3.

It can be seen from the optimization results, an immediate take away is that a 100km sounding rocket can be constructed with a GLOW similar to that of liquid fueled rockets with apogees of less than 10 km. This feat is accomplished by the incredible mass savings of the inline monocoque carbon-composite propellant tanks, as they drive down dry mass significantly compared with traditional welded aluminum tanks. This is a ramification of the exponential nature of Tsiolkovsky's problem, which can be seen in Figure 4 which shows the dependence of optimal GLOW on initial mass. Such tanks would only need to be 1.2 m long and roughly  $\varnothing 11"$ . This is achievable with current manufacturing techniques developed by PSAS as part of a senior design project in 2014. Furthermore, the optimize design thrust required is only 3.6 kN in a vacuum. Such a rocket engine would only be marginally larger than the 2 kN engine already under development by PSAS as part of a 2016 senior design project.

## IV. Future Work

There are many possible improvements to be made to the model, however we should still strive for a model with simple and straightforward inputs, and reasonable computation time. Some possible ways forward are outline below.

### A. Trajectory Model Improvements

As stated previously the model presently uses the somewhat unphysical approach of determining drag coefficients by interpolating from a lookup table of historical data. However the data is based on a rocket with geometry that will undoubtedly be different from LV4. This is potentially a large source of error. There are 2 approaches to improving the  $C_d$  calculation: by using an iterative approach where optimum values of length and diameter are determined from the optimizer, these are used with static stability analysis to design and size fins, mesh the CAD drawing of the concept and perform a compressible-flow CFD simulation (perhaps using CD-adapco Star CCM+) sweeping through Mach numbers. The improved  $C_d$  tables would then be used in the next iteration of the optimizer. This process would be iterated until the GLOW converges. A second approach would be to calculate approximate  $C_d$  values in a less accurate, but also much less manual labor intensive manner. This could be accomplished by calculating component-wise  $C_d$  using Barrowman's

Parameter	Output
Optimized Design Vector	[1.2, 1.4, 10.8, 68.2]
Initial Guess	[1.0, 1.6, 12.0, 50.0]
Tankage Length	1.2 m
Mass Flow Rate	1.4 kg/s
Airframe Diameter	10.8 in.
Nozzle Exit Pressure	68.2 kPa
Iterations	279
Design GLOW	112.8 kg
Initial GLOW	114.7 kg
<b>Constraints</b>	
L/D ratio ( $\leq 15$ )	11.7
Sommerfield Criterion ( $\geq 0.3$ )	0.7
Max Acceleration ( $\leq 15$ )	6.7 g's
TWR at Liftoff ( $\geq 2$ )	1.9
Apogee Altitude	100 km
<b>Additional Information</b>	
Mission Time at Apogee	176 s
Total Propellant Mass	70 kg
Thrust (sea level)	3.2 kN
Thrust (vacuum)	3.6 kN
Burn Time	53 s
Expansion Ratio	4.7
Throat Area	1.5 in. <sup>2</sup>
isp	245 s
Chamber Pressure	350 psi
Delta-V	2.4 km/s
Required Delta-V	1.4 km/s

Table 1: Optimized design parameters for the LV4 sub-orbital rocket.

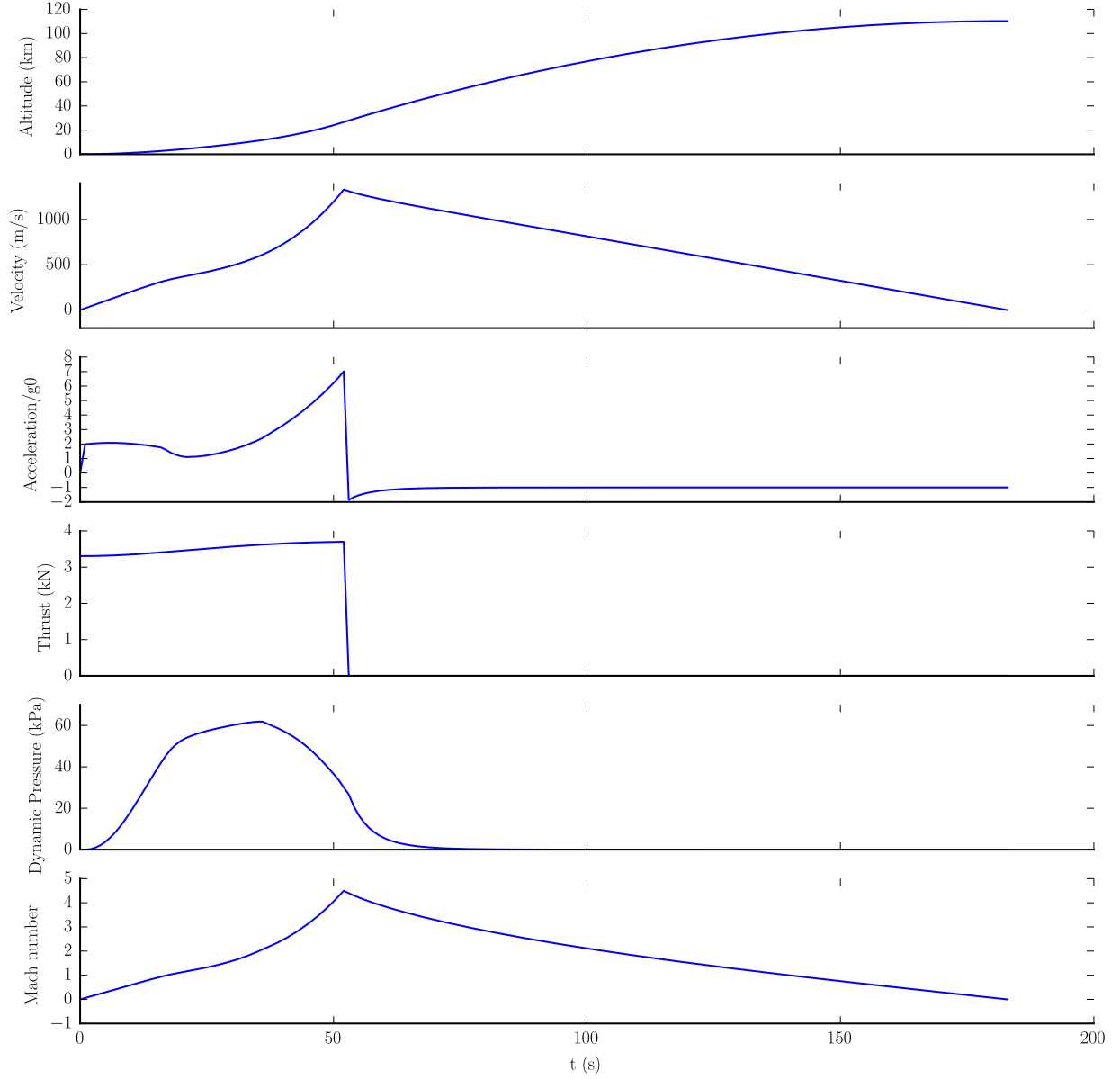


Figure 3: Simulated trajectory up to apogee for an optimized LV4 design.

method.<sup>14</sup> This would require adding a rotational degree of freedom to the trajectory simulation, as well as adding stability derivative constraints to the pseudo-objective function. This would also entail direct optimization of the design variables of the aerodynamic fins (including sweep line, root chord, tip chord, and panel span). If we include practical design problems such as fin flutter this could possibly vastly increase the complexity of the problem. Additionally, Nelder-Mead may have difficulty with convergence with this number of design variables. Finally  $g_0$  is presently assumed constant, and while this often seems a safe assumption in everyday experience, in actually it is a function of altitude. Thus the trajectory simulation fidelity could be improved by the addition of a gravity/geoditics model. The WGS84 model harmonic expansion of the gravitational field potential seems promising for this application.



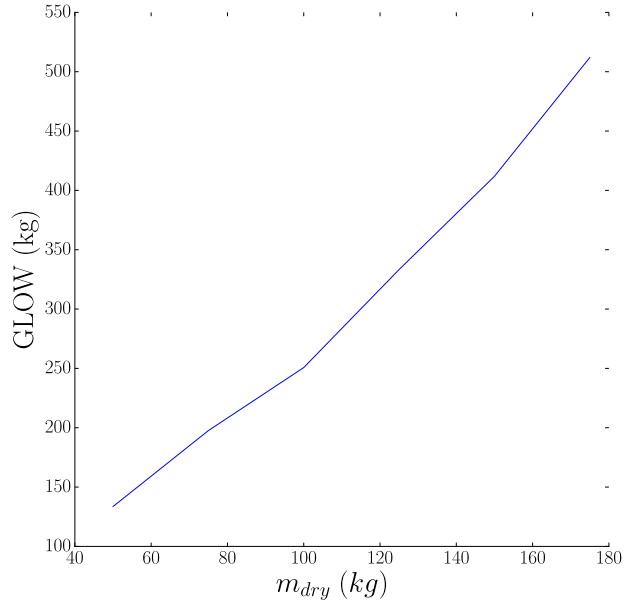


Figure 4: Dependence of optimized GLOW on  $m_{dry}$ .

## B. Feed System Improvements

Presently the feed system mass is simply a given a (very) approximate estimated mass value, and is not subject to optimization. However, in practice, the feed system mass should scale with the propulsion system thrust and chamber pressure. While there are numerous papers detailing mass and envelope estimation of feed system for large rocket engines, unfortunately there seems little published data for engines smaller than 50 kN. Given the strong impact of total dry mass on optimized GLOW this factor is potentially a large source of error.

## C. Structural Model Improvements

Besides the fact that the carbon-composite airframe will double as the fuel tank liner in certain sections, the overall strength of the structure is of great consideration. The greatest structural loading will occur at the point of highest dynamic pressure, occurs just after Mach 1 according to the simulation in Figure 3. To determine the loadings at this point, CFD or a simplified analytical model is required. The aerodynamic shear, pressure, wind buffeting, and acceleration are all considerations that lead to determining the combined stresses on the airframe at this point. In the optimization code, the structural loading is limited by constraining acceleration to below 15 gs. This must be expanded on to include constraints on the maximum velocity of the rocket to ensure the maximum dynamic pressure and structural stresses are not too high. Some post-hoc FEA analysis is also justified to determine that the carbon-composite propellant tanks will not fail with the planar density assumed in the trajectory dry mass model.

## V. Conclusion

Clean-sheet design of small launch vehicles, of both the orbital and suborbital varieties, is an area of rapidly increasing interest. However there is little published work regarding optimization of such vehicles in the early conceptual design phase. However identifying feasible designs and relationships between different design variables early in the design process is crucial to mitigating cost and schedule risks. Given this motivation a simple and fast, high-level code for design optimization of university group scaled sub-orbital rockets was developed using Python. The code benchmarks well compared with historical rocket designs and highlights exciting paths forward in terms of technology development for such vehicles. Given PSAS's interest in building the first university rocket to fly about the 100 km 'threshold of space' this work will guide the groups technology development pathways, and inform requirements for future senior capstone

projects sponsored by the organization for years to come.

## References

- <sup>1</sup>Delft Aerospace Rocket Engineering. DARE. Accessed June 5, 2016. <http://dare.tudelft.nl/>
- <sup>2</sup>Portland State Aerospace Society. PSAS. Accessed February 25, 2016. <http://psas.pdx.edu>.
- <sup>3</sup>Szedula, J.A., *FASTPASS: A Tool For Launch Vehicle Synthesis*, AIAA-96-4051-CP, 1996.
- <sup>4</sup>Hempel, P. R., Moeller C. P., and Stuntz L. M., *Missile Design Optimization Experience And Developments*, AIAA-94-4344,1994-CP.
- <sup>5</sup>*OpenRocket*, Accessed June 5, 2016. <http://openrocket.sourceforge.net/documentation.html>
- <sup>6</sup>Berndt, Jon S., *JSBSim: An Open Source Flight Dynamics Model in C++*. AIAA Modeling and Simulation Technologies Conference and Exhibit. Aug. 2004.
- <sup>7</sup>Portland State Aerospace Society. GitHub. Accessed February 25, 2016. <https://github.com/psas>.
- <sup>8</sup>Pressly, E. C., et al. *Sounding rockets for transporting scientific instruments in nearly vertical trajectory*, NASA, United States 1965, (pg. 58).
- <sup>9</sup>Gordon, Sanford, and Bonnie J. McBride, *Computer program for calculation of complex chemical equilibrium compositions and applications*. National Aeronautics and Space Administration, Office of Management, Scientific and Technical Information Program, 1996.
- <sup>10</sup>Stanley, D. O., Unal, R., and Joyner, C. R., "Application of Taguchi Methods to Dual Mixture Ratio Propulsion System Optimization for SSTO Vehicles", Journal of Spacecraft and Rockets, Vol. 29, No. 4, 1992, pp. 453-459.
- <sup>11</sup>Stanley, D. O., Engelund. W. C., Lepsch. R. A., McMillin, M. L.Wt K. E.. Powell. R. W., Guinta. A. A., and Unal, R., "Rocket-Powered Single Stage Vehicle Configuration Selection and Design", Journal of Spacecraft and Rockets, Vol. 31, No. 5, 1994. pp. 792-798; also AIAA Paper93-Feb. 1993.
- <sup>12</sup>Anderson, M., Burkhalter J., and Jenkins R., *Multidisciplinary Intelligence Systems Approach To Solid Rocket Motor Design, Part I: Single And Dual Goal Optimization*". AIAA 2001-3599, July, 2001.
- <sup>13</sup>Eric Jones and Travis Oliphant and Pearu Peterson and others, *SciPy: Open source scientific tools for Python*, 2001, Accessed June 5, 2016. <http://www.scipy.org/>.
- <sup>14</sup>Barrowman, James S. "The practical calculation of the aerodynamic characteristics of slender finned vehicles." NASA, United States, 1967.

## Appendix

Note that these codes can also be found on Github at  
<https://github.com/psas/liquid-engine-analysis/tree/master/optimization>.

### A. Trajectory Simulation Python Script

```
1 from math import sqrt, pi, exp, log, cos
2 import numpy as np
3 import csv
4
5 # A simple forward Euler integration for rocket trajectories
6 def dry_mass(L, dia):
7     m_avionics = 3.3 # Avionics mass [kg]
8     m_recovery = 4 # Recovery system mass [kg]
9     m_payload = 2 # Payload mass [kg]
10    m_tankage = 20.88683068354522*L*dia*pi # Tank mass Estimation [kg]
11    m_engine = 2 # Engine mass [kg]
12    m_feedsys = 20 # Feed system mass [kg]
13    m_airframe = 6 # Airframe mass [kg]
14    return (m_avionics + m_recovery + m_payload + m_tankage
15            + m_engine + m_feedsys + m_airframe) # Dry mass [kg]
16
17 def propellant_mass(A, L, OF=1.3):
18     rho_alc = 852.3 # Density, ethanol fuel [kg/m^3]
19     rho_lox = 1141.0 # Density, lox [kg/m^3]
20     L_lox = L/(rho_lox/(rho_alc*OF) + 1)
21     m_lox = rho_lox*L_lox*A # Oxidizer mass [kg]
22     m_alc = rho_alc*(L-L_lox)*A # Fuel mass [kg]
23     return m_alc + m_lox # Propellant Mass [kg]
24
25 def std_at(h): # U.S. 1976 Standard Atmosphere
26     if h < 11000:
27         T = 15.04 - 0.00649*h
28         p = 101.29*((T + 273.1)/288.08)**5.256
29
30     elif 11000 <= h and h < 25000:
31         T = -56.46
32         p = 22.65*exp(1.73 - 0.000157*h)
33
34     else:
35         T = -131.21 + 0.00299*h
36         p = 2.488 * ((T + 273.1)/216.6)**(-11.388)
37
38     rho = p/(0.2869*(T + 273.1)) # Ambient air density [kg/m^3]
39     p_a = p*1000 # Ambient air pressure [Pa]
40     T_a = T + 273.1 # Ambient air temperature [K]
41     return p_a, rho, T_a
42
43 def thrust(x, p_ch, T_ch, p_e, ke, Re, mdot):
44     p_a = std_at(x)[0] # Ambient air pressure [Pa]
45     p_t = p_ch*(1 + (ke - 1)/2)**(-ke/(ke - 1)) # Throat pressure [Pa]
46     T_t = T_ch*(1/(1 + (ke - 1)/2)) # Throat temperature [K]
47     A_t = (mdot / p_t)*sqrt(Re*T_t/ke) # Throat area [m^2]
48     A_e = A_t*(2/(ke + 1))*(1/(ke - 1))*(p_ch/p_e)**(1/ke) * 1/sqrt((ke + 1)/(ke - 1)*(1 -
49     (p_e/p_ch)**((ke - 1)/ke))) # Exit area [m^2]
50     ex = A_e/A_t # Expansion ratio
51     alpha_t = [14, 11, 10, 9] # Lookup table of divergence angles, assuming 80% bell length
52     ex_t = [5, 10, 15, 20] # Lookup table of expansion ratios from alpha_t
53     alpha = np.interp(ex, ex_t, alpha_t)
54     lam = 0.5*(1 + cos(alpha * pi/180)) # Thrust cosine loss correction, even in extreme
55     # cases this is definitely not an O(1) effect
56     Ve = lam*sqrt(2*ke/(ke - 1)*Re*T_ch*(1 - (p_e/p_ch)**((ke - 1)/ke))) # Exhaust velocity
57     # [m/s]
58
59     F = mdot*Ve + (p_e - p_a)*A_e # Thrust force,
60     # ignoring that isp increases w/ p_ch [N]
61     return F, A_t, A_e, Ve
```

```

58 def drag(x, v, A, Ma, C_d_t, Ma_t):
59     # Check Knudsen number and switch drag models (e.g. rarified gas dyn vs. quadratic drag)
60     (p_a, rho, T_a) = std_at(x)
61
62     #C_d_t = [0.15, 0.15, 0.3, 0.45, 0.25, 0.2, 0.175, .15, .15] # V2 rocket drag
63     coefficient lookup table
64     #Ma_t = [0, 0.6, 1.0, 1.1, 2, 3, 4, 5, 5.6] # V2 rocket Mach number
65     lookup table
66     C_d = np.interp(Ma, Ma_t, C_d_t) # Drag coefficient function
67     q = 0.5 * rho * v**2 # Dyanmic pressure [Pa]
68     D = q * C_d * A # Drag force [N]
69     return D, q
70
71 def trajectory(L, mdot, dia, p_e, p_ch=350, T_ch=3500, ke=1.3, Re=349, x_init=0):
72     # Note combustion gas properties ke, Re, T_ch, etc, determined from CEA
73     # Physical constants
74     g_0 = 9.81 # Gravitational acceleration [m/s^2]
75     dt = 1 # Time step [s]
76     ka = 1.4 # Ratio of specific heats, air
77     Ra = 287.1 # Avg. specific gas constant (dry air)
78
79     # LV4 design variables
80     dia = dia*0.0254 # Convert in. to m
81     A = pi*(dia/2)**2 # Airframe frontal area projected onto a circle of diameter
82     variable dia
83     m_dry = dry_mass(L, A) # Dry mass, call from function dry_mass()
84     mdot = mdot # Mass flow rate [kg/s]
85     p_ch = p_ch*6894.76 # Chamber pressure, convert psi to Pa
86     p_e = p_e*1000 # Exit pressure, convert kPa to Pa
87
88     # Initial conditions
89     x = [x_init]
90     v = [0]
91     a = [0]
92     t = [0]
93     rho = [std_at(x[-1])[1]]
94     p_a = [std_at(x[-1])[0]]
95     T_a = [std_at(x[-1])[2]]
96     m_prop = [propellant_mass(A, L)]
97     m = [m_dry + m_prop[-1]]
98     (F, A_t, A_e, Ve) = thrust(x[-1], p_ch, T_ch, p_e, ke, Re, mdot)
99     F = [F]
100     D = [0]
101     Ma = [0]
102     q = [0]
103     r = (m_prop[0] + m_dry)/m_dry # Mass ratio
104     dV1 = Ve*log(r)/1000 # Tsiolkovsky's bane (delta-V)
105
106     # Drag coefficient look up
107     C_d_t = []
108     Ma_t = []
109     f = open('CD_sustainer_poweron.csv') # Use aerobee 150 drag data
110     aerobee_cd_data = csv.reader(f, delimiter=',')
111     for row in aerobee_cd_data:
112         C_d_t.append(row[1])
113         Ma_t.append(row[0])
114
115     while True:
116         p_a.append(std_at(x[-1])[0])
117         rho.append(std_at(x[-1])[1])
118         T_a.append(std_at(x[-1])[2])
119         # Check of the propellant tanks are empty
120         if m_prop[-1] > 0:
121             (Fr, A_t, A_e, Ve) = thrust(x[-1], p_ch, T_ch, p_e, ke, Re, mdot)
122             F.append(Fr)
123             m_prop.append(m_prop[-1] - mdot*dt)
124             mdot_old = mdot
125         else:
126             Ve = thrust(x[-1], p_ch, T_ch, p_e, ke, Re, mdot_old)[3]
127             F.append(0)

```

```

125         mdot = 0
126         m_prop[-1] = 0
127         q.append(drag(x[-1], v[-1], A, Ma[-1], C_d_t, Ma_t)[1])
128         D.append(drag(x[-1], v[-1], A, Ma[-1], C_d_t, Ma_t)[0])
129         a.append((F[-1] - D[-1])/m[-1] - g_0)
130         v.append(a[-1]*dt + v[-1])
131         x.append(v[-1]*dt + x[-1])
132         Ma.append(v[-1]/sqrt(ka*Ra*T_a[-1]))
133         t.append(t[-1] + dt)
134         m.append(m_dry + m_prop[-1])
135         TWR = a[1]/g_0 # Thrust-to-weight ratio constraint
136         ex = A_e/A_t
137         S_crit = p_e/p_a[0] # Sommerfield criterion constraint
138         if v[-1] <= 0:
139             x = np.array(x)
140             a = np.array(a)
141             F = np.array(F)
142             D = np.array(D)
143             q = np.array(q)
144         return x, v, a, t, F, D, Ma, rho, p_a, T_a, TWR, ex, Ve, A_t, dV1, m, S_crit, q,
m_prop

```

## B. Simplex Search Python Script

```

1 # Class simplex:
2 # Nelder-Mead simplex search
3 import numpy as np
4 from math import sqrt, pi, exp, log, cos
5 import math as m
6
7 def search(f, x_start, max_iter = 100, gamma = 5, beta = 0.5, rp=100, a=10, epsilon = 1E-6):
8     """
9     parameters of the function:
10     f is the function to be optimized
11     x_start (numpy array) is the initial simplex vertices
12     epsilon is the termination criteria
13     gamma is the contraction coefficient
14     beta is the expansion coefficient
15     """
16     # Init Arrays
17     N = len(x_start) # Amount of design variables
18     fb = [] # Empty function matrix
19     xnew = [] # Empty re-write for design variables
20     x = [] # Empty x matrix
21     C = [[0]*N]*(N+1) # Empty center point matrix #####CHANGED
22
23     # Generate vertices of initial simplex
24     x0 = (x_start) # x0 Value for x Matrix
25     x1 = [x0 + [(N+1)**0.5 + N - 1.)/(N+1.)*a, 0., 0., 0.]]
26     x2 = [x0 + [0., (N+1)**0.5 - 1.)/(N+1.)*a, 0., 0.]]
27     x3 = [x0 + [0., 0., (N+1)**0.5 - 1.)/(N+1.)*a, 0.]]
28     x4 = [x0 + [0., 0., 0., (N+1)**0.5 - 1.)/(N+1.)*a]]
29     x = np.vstack((x0, x1, x2, x3, x4))
30
31     # Simplex iteration
32     while True:
33         # Find best, worst, 2nd worst, and new center point
34         f_run = np.array([f(x[0], rp), f(x[1], rp), f(x[2], rp), f(x[3], rp), f(x[4], rp))].
35         tolist() # Func. values at vertices
36         xw = x[f_run.index(sorted(f_run)[-1])] # Worst point
37         xb = x[f_run.index(sorted(f_run)[0])] # Best point
38         xs = x[f_run.index(sorted(f_run)[-2])] # 2nd worst point
39         for i in range(0, N+1):
40             if i == f_run.index(sorted(f_run)[-1]):
41                 C[i] = [0,0,0,0]
42             else:
43                 C[i] = x[i].tolist()
44         xc = sum(np.array(C))/(N) # Center point
45         xr = 2*xc - xw # Reflection point
46         fxr = f(xr, rp)

```

```

46     fxc = f(xc, rp)
47
48     # Check cases
49     # f(xr, rp) < f(xb, rp): # Expansion
50     if fxr < f_run[f_run.index(sorted(f_run)[0])]:
51         xnew = (1 + gamma)*xc - gamma*xr
52     # f(xr, rp) > f(xw, rp): # Contraction 1
53     elif fxr > f_run[f_run.index(sorted(f_run)[-1])]:
54         xnew = (1 - beta)*xc + beta*xw
55     # f(xs, rp) < f(xr, rp) and f(xr, rp) < f(xw, rp): # Contraction 2
56     elif f_run[f_run.index(sorted(f_run)[-2])] < fxr and fxr < f_run[f_run.index(sorted(
f_run)[-1])]:
57         xnew = (1 + beta)*xc - beta*xw
58     else:
59         xnew = xr
60
61     # Replace Vertices
62     x[f_run.index(sorted(f_run)[-1])] = xnew
63     #x[f_run.index(sorted(f_run)[1])] = xb # Replace best
64     #x[f_run.index(sorted(f_run)[2])] = xs # Replace second best
65     fb.append(f(xb, rp))
66     print('Current optimum = ', fb[-1])
67
68     # Break if any termination criteria is satisfied
69     if len(fb) == max_iter: #or term_check(x, xc, xw, N, rp, f_run) <= epsilon:
70         (alt, v, a, t, F, D, Ma, rho, p_a, T_a, TWR, ex, Ve, A_t, dV1, m, S_crit, q,
m_prop, p_ch) = trajectory(xb[0], xb[1], xb[2], xb[3])
71         return f(x[f_run.index(sorted(f_run)[0])], rp), x[f_run.index(sorted(f_run)[0])
], len(fb)
72
73 def term_check(N, rp, f_run, fxc): # Termination criteria
74     M = [0]*(N + 1)
75     for i in range(0, N + 1):
76         if i == f_run.index(sorted(f_run)[-1]): # Avoid worst point
77             M[i] = 0
78         else:
79             M[i] = (f_run[i] - fxc)**2
80     return m.sqrt(sum(M)/N)
81
82 # Pseudo-objective function
83 def f(x, p_ch=350, rp=50): ##CHANGE CHAMBER PRESSURE HERE
84     L = x[0] # Rocket length (m)
85     mdot = x[1] # Propellant mass flow rate (kg/s)
86     dia = x[2] # Rocket diameter (in)
87     p_e = x[3] # Pressure (kPa)
88     (alt, v, a, t, F, D, Ma, rho, p_a, T_a, TWR, ex, Ve, A_t, dV1, m, S_crit, q, m_prop) =
trajectory(L, mdot, dia, p_e, p_ch)
89     #CHANGE CONSTRAINTS HERE
90     obj_func = m[0] + rp*(max(0, (L+2)/(dia*0.0254) - 15)**2 + max(0, -TWR + 2)**2 + max(0,
-S_crit + 0.35)**2 + max(0, -alt[-1] + 100000)**2 + max(0, max(abs(a))/9.81 - 15)**2)
91     return obj_func
92
93 if __name__ == '__main__': # Testing
94     ##CHANGE INITIAL DESIGN GUESS HERE
95     X0 = np.array([1, 0.453592 * 0.9 * 4, 12, 50])
96     #X0 = np.array([2, 0.453592 * 0.9 * 6, 8, 50])
97     """max_iter = 200
98     rp = 50
99     gamma = 6
100     beta = .5
101     a = 5
102     (f, x, it) = search(f, np.array(X0), max_iter, gamma, beta, rp, a)
103     """
104     from scipy.optimize import minimize
105     res = minimize(f, X0, method='nelder-mead')
106
107     p_ch = 350 # Chamber pressure [kPa] **DONT FORGET TO CHANGE THE VALUE IN THE OBJECTIVE
FUNCTION IN def f()**
108     (alt, v, a, t, F, D, Ma, rho, p_a, T_a, TWR, ex, Ve, A_t, dV1, m, S_crit, q, m_prop) =
trajectory(res.x[0], res.x[1], res.x[2], res.x[3], p_ch)

```

```

109     print('\n')
110
111     # Plot the results
112     import matplotlib
113     import matplotlib.pyplot as plt
114     import pylab
115     %config InlineBackend.figure_formats=['svg']
116     %matplotlib inline
117     # Redefine the optimized output
118     L = res.x[0]
119     mdot = res.x[1]
120     dia = res.x[2]
121     p_e = res.x[3]
122
123     pylab.rcParams['figure.figsize'] = (10.0, 10.0)
124     f, (ax1, ax2, ax3, ax4, ax6, ax7) = plt.subplots(6, sharex=True)
125     ax1.plot(t, alt/1000)
126     ax1.set_ylabel("Altitude (km)")
127     ax1.yaxis.major_locator.set_params(nbins=6)
128     ax1.set_title('LV4 Trajectory')
129     ax2.plot(t, v)
130     ax2.yaxis.major_locator.set_params(nbins=6)
131     ax2.set_ylabel("Velocity (m/s)")
132     ax3.plot(t, a/9.81)
133     ax3.yaxis.major_locator.set_params(nbins=10)
134     ax3.set_ylabel("Acceleration/g0")
135     ax4.plot(t, F/1000)
136     ax4.yaxis.major_locator.set_params(nbins=6)
137     ax4.set_ylabel("Thrust (kN)")
138     ax6.plot(t, q/1000)
139     ax6.yaxis.major_locator.set_params(nbins=6)
140     ax6.set_ylabel("Dynamic Pressure (kPa)")
141     ax7.plot(t, Ma)
142     ax7.yaxis.major_locator.set_params(nbins=6)
143     ax7.set_ylabel("Mach number")
144     ax7.set_xlabel("t (s)")
145     plt.show()
146
147     np.set_printoptions(precision=3)
148     print('\n')
149     print('OPTIMIZED DESIGN VECTOR')
150     print('_____')
151     print('x_optimized')
152     print('x_initial_guess')
153     print('design tankage length')
154     print('design mass flow rate')
155     print('design airframe diameter')
156     print('design nozzle exit pressure')
157     print('iterations')
158     print('design GLOW')
159     print('x0 GLOW')
160
161     print('\n')
162     print('CONSTRAINTS')
163     print('_____')
164     print('L/D ratio (check < 15)')
165     print('Sommerfield criterion (check pe/pa >= 0.3)')
166     print('Max acceleration (check < 15)')
167     print('TWR at lift off (check TWR > 2)')
168     print('altitude at apogee')
169
170     print('\n')
171     print('ADDITIONAL INFORMATION')
172     print('_____')
173     print('mission time at apogee')
174     print('design total propellant mass')
175     print('design thrust (sea level)')
176     j = 0

```

```

= ', res.x)
= ', X0)
= '{0:.2 f} m'.format(res.x[0])
= '{0:.2 f} kg/s'.format(res.x[1])
= '{0:.2 f} in.'.format(res.x[2])
= '{0:.2 f} kPa'.format(res.x[3])
= ', res.nit)
= '{0:.1 f} kg'.format(m[0])
= '{0:.1 f} kg'.format(trajjectory(X0[0],
= '{0:.2 f}'.format((L+2)/(dia*0.0254)))
= '{0:.1 f}'.format(S_crit))
= '{0:.2 f} g's'.format(max(abs(a)
/9.81))
= '{0:.2 f}'.format(TWR))
= '{0:.1 f} km'.format(alt[-1]/1000))
= '{0:.1 f} s'.format(t[-1])
= '{0:.3 f} kg'.format(m_prop[0])
= '{0:.1 f} kN'.format(F[0]/1000)

```

```

177     for thing in F:
178         if thing == 0:
179             fdex = j
180             break
181         j += 1
182     print('design thrust (vacuum)                = {0:.1 f} kN'.format(F[fdex - 1]/1000)
183         )
184     print('design burn time                        = {} s'.format(fdex))
185     print('design expansion ratio                 = {0:.1 f}'.format(ex))
186     print('design throat area                     = {0:.1 f} in.^2'.format(A_t/0.0254**2)
187         )
188     print('design isp                             = {0:.1 f} s'.format(Ve/9.81))
189     print('design chamber pressure                = {0:.1 f} psi'.format(p_ch))
190     print('design dV                             = {0:.1 f} km/s'.format(dV1))
191     print('estimated minimum required dV         = {0:.1 f} km/s'.format(sqrt(2*9.81*
192         alt[-1])/1000))

```