

# Notes on Galois Theory

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# 1 Introduction

TODO: orbit stabiliser, structure theorem for finitely generated abelian groups

**Lemma 1.0.1.** *A finite commutative group  $G$  is cyclic if and only if for any  $d \mid \#G$ , there is at most one subgroup in  $G$  with cardinality  $\#G/d$ .*

*Proof.* In the infinite case, we use the fact  $G \simeq \mathbb{Z}$ . □

**Lemma 1.0.2.** *Let  $G$  be a finite cyclic group. Let  $k := \#G$ . Define  $I : (\mathbb{Z}/k\mathbb{Z})^* \rightarrow \text{Aut}_{\text{Groups}}(G)$  by  $a \mapsto (\gamma \mapsto \gamma^a)$ . Then  $I$  is an isomorphism.*

*Proof.* Note first that this is well defined as  $\gamma^k = e$  for any  $\gamma \in G$ . Also,

$$I([a][b])(\gamma) = \gamma^{ab} = I([a])(\gamma^b) = (I([a]) \circ I([b]))(\gamma)$$

thus is a homomorphism.

Take any  $\psi \in \text{Aut}_{\text{Groups}}(G)$ . If  $g$  is the generator for  $G$ ,  $\psi(g) = g^a$  must also be a generator, with  $\gcd(a, k) = 1$ . In particular,  $I([a]) = \psi$ , thus  $I$  is surjective.

Suppose  $I([a])$  is the identity automorphism. In particular,  $g^a = g$  for a generator  $g$ . As  $G$  is cyclic, this forces  $a = 1 \pmod k$ . In particular,  $[a] = [1]$ . □

**Definition 1.0.3.** *A group  $G$  is **simple** if it has no nontrivial normal subgroups.*

**Definition 1.0.4.** *A subgroup  $G$  of  $S_n$  is called **transitive** if it has only one orbit in  $\{1, \dots, n\}$ .*

## 1.1 Solvable Group

**Definition 1.1.1.** *Let  $G$  be a group. A **finite filtration** of  $G$  is a finite ascending sequence  $G_\bullet$  of subgroups*

$$0 = G_0 \subseteq G_1 \subseteq \dots \subseteq G_n = G$$

*such that  $G_i$  is normal in  $G_{i+1}$  for all  $i \in \{0, \dots, n-1\}$ .*

*The number  $n$  is called the **length** of the finite filtration. The finite filtration  $G_\bullet$  is said to have **no redundancies** if  $G_i \neq G_{i+1}$  for all  $i \in \{0, \dots, n-1\}$ . It is said to have **abelian quotients** if the quotient group  $G_{i+1}/G_i$  is an abelian group for all  $i \in \{0, \dots, n-1\}$ .*

*The finite filtration  $G_\bullet$  is **trivial** if  $n = 1$ .*

Note that the trivial filtration always exists and is unique.

**Definition 1.1.2.** *A group is **solvable** if there exists a finite filtration with abelian quotients on  $G$ .*

**Lemma 1.1.3** (Solvability via restriction and quotient). *Let  $G$  be a group and let  $H$  be a subgroup. Then  $H$  is solvable. If  $H$  is normal in  $G$ , then the quotient group  $G/H$  is also solvable.*

*Proof.* Let  $G_\bullet$  be a finite filtration with abelian quotients on  $G$ . Let  $n$  be the length of this filtration. We first claim that  $H \cap G_i$  is normal in  $H \cap G_{i+1}$ . In particular, for any  $h \in H \cap G_{i+1}$ , the automorphism  $\gamma \mapsto h^{-1}\gamma h$  of  $G_{i+1}$  sends  $H$  into  $H$  and  $G_i$  into  $G_i$ , thus sends  $H \cap G_i$  into  $H \cap G_i$ . In particular,

$$0 = G_0 \cap H \subseteq G_1 \cap H \subseteq \dots \subseteq G_n \cap H = H$$

is a finite filtration of  $H$ . Furthermore, we have an injective map of groups

$$\phi : G_{i+1} \cap H / G_i \cap H \hookrightarrow G_{i+1} / G_i$$

given by  $[\gamma]_{G_i \cap H} \mapsto [\gamma]_{G_i}$ . Thus this gives a finite filtration with abelian quotients for  $H$ . In particular,  $H$  is solvable.

Suppose now that  $H$  is normal. Consider the ascending sequence of subgroups

$$0 = [G_0]_H \subseteq [G_1]_H \subseteq \cdots \subseteq [G_n]_H = G/H$$

of  $G/H$ . Using the fact  $[\bullet]_H : G \rightarrow G/H$  is a morphism of groups, taking  $\gamma \in G_{i+1}$  and  $\tau \in G_i$ , we have

$$[\gamma]_H^{-1}[\tau]_H[\gamma]_H = [\gamma^{-1}\tau\gamma]_H$$

we have  $[\gamma]_H^{-1}[\tau]_H[\gamma]_H \in [G_i]_H$ , as  $\gamma^{-1}\tau\gamma \in G_i$ . In particular,  $[G_\bullet]_H$  is a finite filtration of  $G/H$ .

Also, we have a surjection of groups

$$\mu : G_{i+1}/G_i \rightarrow [G_{i+1}]_H/[G_i]_H$$

such that for any  $\gamma \in G_{i+1}$ , we have

$$\mu([\gamma]_{G_i}) = [[\gamma]_H]_{[G_i]_H}$$

Noting that we are mapping surjectively from a abelian group, the target is also abelian. In particular  $[G_\bullet]_H$  is a finite filtration with abelian quotients for  $G/H$ .  $\square$

**Lemma 1.1.4** (Solvability via inflation). *Let  $G$  be a group and  $H \subseteq G$  be a normal subgroup. If  $H$  is solvable and  $G/H$  is solvable, then  $G$  is solvable.*

*Proof.* As  $H$  is solvable, we have a finite filtration

$$0 = H_0 \subseteq \cdots \subseteq H_n = H$$

with abelian quotients. Similarly, we  $G/H$  is solvable, we have a finite filtration of abelian quotients

$$0 = [G_0]_H \subseteq \cdots \subseteq [G_m]_H = G/H$$

Let  $\phi : G \rightarrow G/H$  be the standard quotient map. Consider,

$$H = \phi^{-1}([G_0]_H) \subseteq \cdots \subseteq \phi^{-1}([G_m]_H) = G$$

For  $i \in \{0, \dots, m-1\}$ ,  $\phi^{-1}([G_i]_H)$  is normal in  $\phi^{-1}([G_{i+1}]_H)$ . By the third isomorphism theorem, we have

$$\phi^{-1}([G_i]_H)/\phi^{-1}([G_{i+1}]_H) \simeq [G_i]_H/[G_{i+1}]_H$$

Thus by gluing the two finite filtrations,

$$0 = H_0 \subseteq \cdots \subseteq H_n = H = \phi^{-1}([G_0]_H) \subseteq \cdots \subseteq \phi^{-1}([G_m]_H) = G$$

gives a finite filtration of abelian quotients in  $G$ .  $\square$

**Proposition 1.1.5.** *Let  $G$  be a finite group and let  $p$  be a prime number. Suppose there is an  $n \geq 0$  such that  $\#G = p^n$ . Then  $G$  is solvable.*

*Such groups are called  $p$ -groups.*

*Proof.* We proceed by induction on  $n$ . For  $n = 0$ , the proposition clearly holds.

Let  $\phi : G \rightarrow \text{Aut}_{\text{Groups}}(G)$  be the map of groups such that  $\phi(g)(h) = ghg^{-1}$ . This gives an action of  $G$  on  $G$  via conjugation. By the orbit stabiliser theorem, and Lagrange's theorem, the orbits of  $G$  in  $G$  all have a cardinality a power of  $p$ . The orbit of the unit element of  $G$  is  $\{1_G\}$ , and as the orbits partition  $G$ , we have  $g_0 \in G$  with  $g_0 \neq 1_G$  such that  $g_0$  is a fixed point of the action of  $G$  on  $G$ . Now,  $g_0g = (gg_0g^{-1})g = gg_0$ , so  $g_0$  commutes with every element of  $G$ . In particular,  $g_0 \in Z(G)$  is nontrivial. By definition,  $Z(G)$  is abelian thus solvable, and  $G/Z(G)$  has cardinality  $p^k$  for  $k < n$ , and thus solvable by the inductive hypothesis. Thus, by Lemma 1.1.4,  $G$  is solvable.  $\square$

**Definition 1.1.6.** The *length* of a finite group  $\text{length}(G)$  is

$$\sup\{n \in \mathbb{N} \mid n \text{ is the length of a finite filtration with no redundacies of } G\}$$

This is well-defined as the length of a finite group is finite, as it cannot be larger than  $\#G$ .

**Lemma 1.1.7.** Suppose that  $G$  is a finite solvable group and let  $G_\bullet$  is a finite filtration with no redundacies of length  $\text{length}(G)$  on  $G$ . Then for all  $i \in \{0, \dots, \text{length}(G) - 1\}$ , the group  $G_{i+1}/G_i$  is a cyclic group of prime order.

*Proof.* Let  $n := \text{length}(G)$ . Suppose there exists an  $i_0$  such that  $G_{i_0+1}/G_{i_0}$  is not cyclic of prime order. Then, noting  $G_{i_0+1}/G_{i_0}$  is solvable, if it is not abelian, it has some nontrivial proper normal subgroup. If it is abelian but not of prime order, by the structure theorem for finitely generated abelian groups,  $G_{i_0+1}/G_{i_0}$  is isomorphic to a finite direct sum of cyclic groups each with order a power of a prime number, giving us a nontrivial subgroup.

Call such a subgroup  $H$ . Let  $q : G_{i_0+1} \rightarrow G_{i_0+1}/G_{i_0}$  be the quotient map. Consider the ascending sequence of subgroups

$$0 = G_0 \subseteq G_1 \subseteq \dots \subseteq G_{i_0} \subseteq q^{-1}(H) \subseteq G_{i_0+1} \subseteq \dots \subseteq G_n = G$$

There are no redundacies as  $H$  is nontrivial and proper. Note first that  $G_{i_0} \triangleleft q^{-1}(H)$  is immediate. We have  $q^{-1}(H) \triangleleft G_{i_0+1}$  as it is the kernel of the map

$$G_{i_0+1} \rightarrow G_{i_0+1}/G_{i_0} \rightarrow (G_{i_0+1}/G_{i_0})/H$$

This gives a longer filtration, contradicting the maximality of  $n$ , and in particular every quotient has prime order.  $\square$

**Remark 1.1.8.** If  $G$  is a finite group and  $G_\#$  is a finite filtration with no redundacies, then we can prove similarly that for the longest sequence,  $G_{i+1}/G_i$  is a nonzero simple group (intuitively, if we can pick a nontrivial normal subgroup, we can always extend the sequence).

**Example 1.1.9.** We note the following facts.

- Abelian groups are solvable (trivially)
- $S_3$  is solvable. The ascending sequence  $0 \subseteq A_3 \subseteq S_3$  is a finite filtration of  $S_3$ , with quotients  $A_3/0 \simeq \mathbb{Z}/3\mathbb{Z}$  and  $S_3/A_3 \simeq \mathbb{Z}/2\mathbb{Z}$ .
- The group  $S_4$  is also solvable ( $0 \subseteq V_4 \subseteq A_4 \subseteq S_4$ ).
- $A_5$  is not solvable, as it is simple but non-abelian. Consequently, any group which contains  $A_5$  as a subgroup is not solvable. In particular,  $S_n$  for  $n \geq 5$  is not solvable (as  $A_5 \leq S_5 \leq S_n$ ).

## 2 Properties about Commutative Rings

**Definition 2.0.1.** For any ring  $R$ , there is a unique ring map (homomorphism)  $\phi : \mathbb{Z} \rightarrow R$  such that

$$\phi(n) = 1 + \overset{n \text{ times}}{\cdots} + 1$$

Define the **characteristic** written  $\text{char}(R)$  to be the unique  $r \geq 0$  such that  $(r) = \ker(\phi)$

Note that if  $R$  is a domain, then  $\text{char}(R)$  is either 0 or a prime number.

### 2.1 Fields

**Proposition 2.1.1.** Let  $R$  be a domain. Then there is a field  $F$  and an injective ring map  $\phi : R \rightarrow F$  such that if

$$\phi : R \rightarrow F_1$$

is a ring map into a field  $F_1$ , then there is a unique ring map  $\lambda : F \rightarrow F_1$  such that  $\phi_1 = \lambda \circ \phi$ .

*Proof.* TODO!! □

**Definition 2.1.2.** As a consequence of the above proposition,  $F$  is determined uniquely up to isomorphism. We call  $F$  the **field of fractions**, and write  $\text{Frac}(R)$ .

Note that  $\text{Frac}(R) = R_{R \setminus \{0\}}$

**Lemma 2.1.3.** Let  $K$  be a field and  $I \subseteq K$  be an ideal. Then  $I = (0)$  or  $I = K$ .

*Proof.* Immediate (any non-zero element has an inverse, thus generates  $K$ ). □

**Lemma 2.1.4.** Let  $K, L$  be fields and  $\phi : K \rightarrow L$  be a ring map. Then  $\phi$  is injective.

*Proof.* Consider the kernel of  $\phi$ . This is an ideal, thus is either  $(0)$  or  $K$ . In the former  $\phi$  is injective (by the First Isomorphism Theorem), in the latter  $K$  and  $L$  are both zero-rings, so it follows. □

### 2.2 Polynomial Rings

**Definition 2.2.1.** Let  $R$  be a ring. Write  $R[x]$  to be the ring of polynomials in the variable  $x$  and coefficients in  $R$  (with standard operations). If  $r \geq 0$  is an integer,  $K[x_1, \dots, x_r] := K$  if  $r = 0$  and

$$K[x_1, \dots, x_r] := K[x_1][x_2] \dots [x_r]$$

Given  $P(x) = a_d x^d + \dots + a_1 x + a_0 \in R[x]$  with  $a_d \neq 0$ ,  $P(x)$  is **monic** if  $a_d = 1$  (and  $\deg(0) = -\infty$ ). We define the **degree** of  $P(x)$  written  $\deg(P) := d$ .

An element  $t \in R$  is a **root** of  $P(x)$  if  $P(t) = 0$ .

**Lemma 2.2.2.** If  $R$  is a domain, then  $R[x]$  is also a domain.

*Proof.* TODO!!! □

**Proposition 2.2.3.** If  $K$  is a field,  $K[x]$  is a euclidian domain.

*Proof.* TODO!! □

Consequently,  $K[x]$  is a PID.

**Definition 2.2.4.** A *unique factorization domain (UFD)* is a domain  $R$  such that for any  $r \in R \setminus \{0\}$ , there is a sequence  $r_1, \dots, r_k \in R$  such that

1.  $r_i$  is irreducible for all  $i$
2.  $(r) = (r_1 \cdots r_k)$
3. if  $r'_1, \dots, r'_{k'}$  is another such sequence with the above properties,  $k = k'$  and there is a permutation  $\sigma \in S_n$  such that  $(r_i) = (r'_{\sigma(i)})$  for all  $i \in \{1, \dots, k\}$

**Proposition 2.2.5.** Any PID is a UFD.

**Definition 2.2.6.** Write  $\gcd(P_1, \dots, P_k)$  for the unique monic generator of the ideal  $(P_1(x), \dots, P_k(x))$ .

**Lemma 2.2.7.** Suppose that  $R$  is a UFD. An element  $f \in R \setminus \{0\}$  is irreducible if and only if  $(f)$  is a prime ideal.

*Proof.* The forward direction is immediate, noting that if  $f|p_1p_2$ ,  $f|p_1$  or  $f|p_2$ , from the fact that  $f$  is irreducible and  $p_1, p_2$  can be split into irreducible components.

On the other hand, if  $(f)$  is a prime ideal and  $f$  is not irreducible, then  $f = f_1f_2$  for some non-units. But as  $f$  is prime,  $f|f_1$  or  $f|f_2$ . Without loss of generality, taking  $f|f_1$ , we have  $f_1f_2|f_1$ , meaning  $f_2$  is a unit, a contradiction.  $\square$

**Lemma 2.2.8.** Let  $R$  be a PID. Let  $I \triangleleft R$  be a nonzero prime ideal. Then  $I$  is a maximal ideal.

*Proof.* Suppose not. Then we can find an element  $r \in R$  such that  $r \notin I$  and  $([r]_I)$  is not  $R/I$ . Also,  $([r]_I) = [(r, I)]_I$ , and  $(r, I) \neq R$  and  $I \subsetneq (r, I)$ . As we are in a PID, we can find  $g, h \in R$  such that  $(g) = (r, I)$  and  $(h) = I$ . Then,  $g|h$  but  $h \nmid g$  (thus  $h$  is reducible). But  $h$  is irreducible as  $I$  is prime and  $R$  is a UFD, a contradiction.  $\square$

**Proposition 2.2.9.** Let  $K$  be a field and  $f \in K[x], a \in K$ . Then,

1.  $a$  is a root of  $f$  if and only if  $(x - a)|f$
2. there is a polynomial  $g \in K[x]$  with no roots and a decomposition

$$f(x) = g(x) \prod_{i=1}^k (x - a_i)^{m_i}$$

where  $k \geq 0$  and  $m_i \geq 1$  and  $a_i \in K$ .

*Proof.* Immediate. For the forward case in (i), we use euclidian division on  $(x - a)$  and show the remainder is 0.  $\square$

**Proposition 2.2.10** (Eisenstein Criterion). Let

$$f = x^d + \sum_{i=1}^{d-1} a_i x^i \in \mathbb{Z}[x]$$

Let  $p > 0$  be a prime number. Suppose  $p|a_i$  and  $p^2 \nmid a_0$ . Then  $f$  is irreducible in  $\mathbb{Z}[x]$ .

*Proof.* Sketch. The idea is that viewing this polynomial in  $\mathbb{F}_p[x]$  gives  $x^d$ , and we show that if this is reducible, they are  $x^n$  and  $x^{d-n}$  in the same field. This contradicts with the assumption  $p \nmid a_0$ . (Need some algebraic manipulation to show the first statement)  $\square$

**Lemma 2.2.11.** *Let  $f \in \mathbb{Z}[x]$  be monic. Let  $p > 0$  and  $f \pmod{p} \in \mathbb{F}_p[x]$  is irreducible. Then  $f$  is irreducible in  $\mathbb{Z}[x]$ .*

*Proof.* TODO!!!  $\square$

**Lemma 2.2.12** (Gauss Lemma). *Let  $f \in \mathbb{Z}[x]$ . Then  $f$  is irreducible in  $\mathbb{Z}[x]$  if and only if it is irreducible in  $\mathbb{Q}[x]$ .*

*Proof.* TODO!!  $\square$

### 2.3 Action of Groups on Rings

**Definition 2.3.1.** *Let  $S$  be a set and  $G$  be a group. Write  $\text{Aut}_{\text{Sets}}(S)$  for the group of bijective maps  $a : S \rightarrow S$  (where the group operator works by composition). An **action** of  $G$  on  $S$  is a group homomorphism*

$$\phi : G \rightarrow \text{Aut}_{\text{Sets}}(S)$$

**Notation 2.3.2.** Given  $\gamma \in G$  and  $s \in S$ , we write

$$\gamma(s) := \phi(\gamma)(s)$$

or  $\gamma s$  for  $\gamma(s)$ .

**Definition 2.3.3.** *The set of invariants of  $S$  under the action of  $G$  is written*

$$S^G := \{s \in S \mid \gamma(s) = s \ \forall \gamma \in G\}$$

If  $s \in S$ ,

$$\text{Orb}(G, s) := \{\gamma(s) \mid \gamma \in G\}$$

is the **orbit** of  $s$  under  $G$ , and

$$\text{Stab}(G, s) := \{\gamma \in G \mid \gamma(s) = s\}$$

is the **stabiliser** of  $s$ . We omit  $G$  when it is clear.

**Definition 2.3.4.** *The action of  $G$  on a ring  $R$  is **compatible** with the ring structure of  $R$ , or  $G$  acts on a ring  $R$  if the image of  $\phi$  lies in the subgroup*

$$\text{Aut}_{\text{Rings}}(R) \subseteq \text{Aut}_{\text{Sets}}(R)$$

where  $\text{Aut}_{\text{Rings}}(R)$  is the group of bijective maps  $R \rightarrow R$  which respects the ring structure.

Intuitively, each group element is mapped to a endomorphism which has some structure.

**Lemma 2.3.5.** *Let  $G$  act on a ring  $R$ .*

1.  $R^G$  is a subring of  $R$ .
2. If  $R$  is a field,  $R^G$  is a field.

*Proof.* The first case is immediate by noting  $\gamma(ab) = \gamma(a)\gamma(b) = ab$  and  $\gamma(a+b) = \gamma(a) + \gamma(b) = a+b$ . The second follows from the fact that  $1 = \gamma(aa^{-1}) = \gamma(a)\gamma(a^{-1}) = a\gamma(a^{-1})$ .  $\square$

**Definition 2.3.6.** Let  $R$  be a ring and  $n \geq 1$ . There is a natural action of  $S_n$  on the ring  $R[x_1, \dots, x_n]$  by

$$\sigma(P(x_1, \dots, x_n)) = P(x_{\sigma(1)}, \dots, x_{\sigma(n)})$$

Define a **symmetric polynomial** with coefficients in  $R$  to be an element in  $R[x_1, \dots, x_n]^{S_n}$ .

**Example 2.3.7.** For any  $k \in \{1, \dots, n\}$ , the polynomial

$$s_k := \sum_{i_1 < i_2 < \dots < i_k} \prod_{j=1}^k x_{i_j} \in \mathbb{Z}[x_1, \dots, x_n]$$

is symmetric. We call this the  $k$ -th elementary symmetric function (in  $n$  variables), and this satisfies

$$(x - \alpha_1)(x - \alpha_2) \cdots (x - \alpha_d) = x^d - s_1(\alpha_1, \dots, \alpha_d)x^{d-1} + \cdots + (-1)^d s_d(\alpha_1, \dots, \alpha_d)$$

**Theorem 2.3.8** (Fundamental Theorem of the Theory of Symmetric Functions). Let  $\phi : R[x_1, \dots, x_n] \rightarrow R[x_1, \dots, x_n]$  be the map of rings which sends  $x_k$  to  $s_k$  and constants to themselves. Then,

1.  $R[x_1, \dots, x_n]^{S_n}$  is the image of  $\phi$
2.  $\phi$  is injective

Then, by the first isomorphism theorem, we have  $R[x_1, \dots, x_n]^{S_n} = R[s_1, \dots, s_n]$ .

*Proof.* For the first case, we show that every symmetric polynomial can be expressed as a polynomial in  $s_i$ . Define lexicographic ordering on monomials

$$x_1^{\alpha_1} \cdots x_n^{\alpha_n} \leq x_1^{\beta_1} \cdots x_n^{\beta_n}$$

By  $\alpha_1 < \beta_1$  or  $\alpha_1 = \beta_1$  and  $x_2^{\alpha_2} \cdots x_n^{\alpha_n} \leq x_2^{\beta_2} \cdots x_n^{\beta_n}$ . Fix any symmetric polynomial  $f$ . Let  $x_1^{\alpha_1} \cdots x_n^{\alpha_n}$  be the largest monomial in  $f$ . We need  $\alpha_1 \geq \dots \geq \alpha_n$ , as any permutation of the powers must also be in  $f$ . Also, the largest monomial in  $s_1^{\alpha_1 - \alpha_2} s_2^{\alpha_2 - \alpha_3} \cdots s_n^{\alpha_n}$  is also  $x_1^{\alpha_1} \cdots x_n^{\alpha_n}$ . Thus, there exists a  $c \in R$  such that all monomials in  $f - c \cdot s_1^{\alpha_1 - \alpha_2} s_2^{\alpha_2 - \alpha_3} \cdots s_n^{\alpha_n}$  are strictly smaller than  $x_1^{\alpha_1} \cdots x_n^{\alpha_n}$ . By repeating, we can write  $f$  as a polynomial in  $s_i$ .

To show (ii), we can show that  $s_i$  are algebraically independent, and therefore that the kernel is 0. TODO!!!  $\square$

**Definition 2.3.9.** Define,

1.  $\Delta(x_1, \dots, x_n) := \prod_{i < j} (x_i - x_j)^2 \in \mathbb{Z}[x_1, \dots, x_n]^{S_n}$
2.  $\delta(x_1, \dots, x_n) := \prod_{i < j} (x_i - x_j) \in \mathbb{Z}[x_1, \dots, x_n]^{A_n}$
3. If  $\sigma \in S_n$ ,  $\delta(x_{\sigma(1)}, \dots, x_{\sigma(n)}) = \text{sign}(\sigma) \cdot \delta(x_1, \dots, x_n)$ .

where  $\text{sign} : S_n \rightarrow \{-1, 1\}$  gives the **sign** of the permutation, and  $A_n := \ker(\text{sign})$  is called the **alternating group**. We call  $\Delta(x_1, \dots, x_n)$  the **discriminant**.

Note the third point follows from the fact that any permutation can be written as a product of transpositions, and  $\text{sign}(\sigma) = -1$  if  $\sigma$  is a transposition. The  $\in$  in the second point follows from this.



### 3 Field Extensions

#### 3.1 Field extension

**Definition 3.1.1.** Let  $K$  be a field. A **field extension** of  $K$ , or  $K$ -extension is an injection

$$K \hookrightarrow M$$

of fields. This injection gives  $M$  the structure of a  $K$ -vector space. We write  $M|K$  for the field extension of  $K$  to  $M$ .

A map from the  $K$  extension  $M|K$  to  $M'|K$  is a ring map  $M \rightarrow M'$  that is compatible with the injections  $K \hookrightarrow M$  and  $K \hookrightarrow M'$ . Alternatively, it is a map that makes the following commute.

$$\begin{array}{ccc} K & & \\ \downarrow & \searrow & \\ M & \xrightarrow{\quad} & M' \end{array}$$

Given  $M|K$  is a field extension, we write  $\text{Aut}_K(M)$  for the group of bijective maps of  $K$ -extensions from  $M$  to  $M$ , where the group law is the composition of maps. This is the subgroup of  $\text{Aut}_{\text{Rings}}(M)$  which are compatible with the  $K$ -extension structure of  $M$ . We say that the field extension is **finite** if  $\dim_K(M) < \infty$ .

If  $M$  is a finite extension of  $K$ , then by rank nullity, any ring map from  $M$  to  $M$  is a bijection.

**Example 3.1.2.** If  $M$  is not a finite extension of  $K$ , then endomorphisms on  $M$  need not be bijective. Consider  $\phi : \mathbb{Q}(t) \rightarrow \mathbb{Q}(t)$  which sends  $t \mapsto t^2$ . Consequently,  $\dim_M(M)$  need not be 1, depending on the structure of the extension.

**Proposition 3.1.3** (Tower Law). If  $L|M$  and  $M|K$  are finite field extensions, we have

$$[M : K] \cdot [L : M] = [L : K]$$

Specifically, if  $m_1, \dots, m_s$  is a basis of  $M$  as a  $K$ -vector space and  $l_1, \dots, l_t$  is a basis of  $L$  as a  $M$  vector space, (as vector spaces induced by the field extensions), then  $\{m_i l_j\}$  is a basis for  $L$  as a  $K$ -vector space (as the composition of extensions).

*Proof.* TODO!!! □

**Definition 3.1.4.** Let  $M|K$  be a field extension and  $a \in M$ . Define

$$\text{Ann}(a) := \{P(x) \in K[x] \mid P(a) = 0\}$$

We have  $\text{Ann}(a) \subseteq K[x]$  is an ideal.

We say that  $a$  is **transcendental** over  $K$  if  $\text{Ann}(a) = (0)$  and **algebraic** if  $\text{Ann}(a) \neq (0)$ . If  $a$  is algebraic over  $K$ , then the **minimal polynomial**  $m_a$  is the unique monic polynomial that generates  $\text{Ann}(a)$ .

Alternatively the annihilator is the kernel of the map from  $K[x]$  to  $L$ .

$$\begin{array}{ccc} K & & \\ \downarrow & \searrow \phi & \\ K[x] & \xrightarrow{e_a} & M \end{array}$$

Consequently, there is an injection  $K[x]/\text{Ann}(a) \hookrightarrow M$  where  $M$  is a domain. Thus,  $\text{Ann}(a)$  is prime. If  $a$  is algebraic over  $K$ ,  $m_a$  is irreducible (as  $(m_a)$  is a prime ideal in a UFD). Thus a monic irreducible polynomial that annihilates  $a$  is the minimal polynomial. Prime ideals in a PID are maximal, so  $\text{Ann}(a)$  is maximal.

**Definition 3.1.5.** We say that a field extension  $M|K$  is **algebraic** if for all  $m \in M$ , the element  $m$  is algebraic over  $K$ . Else, we say that the field extension is **transcendental**.

**Lemma 3.1.6.** If  $M|K$  is finite, then  $M|K$  is algebraic.

*Proof.* Let  $m \in M$ . If  $m$  is transcendental over  $K$ , there is an injection of a  $K$ -vector space  $K[x] \hookrightarrow M$ .  $K[x]$  is infinite dimensional, but this contradicts the fact  $M$  is a finite-dimensional vector space over  $K$ .  $\square$

## 3.2 Separability

Let  $K$  be a field. Let  $P(x) \in K[x]$ , and suppose

$$P(x) = a_d x^d + a_{d-1} x^{d-1} + \cdots + a_0$$

Define  $P'(x) = \frac{d}{dx}P(x) := da_d x^{d-1} + (d-1)a_{d-1} x^{d-2} + \cdots + a_1$ , where  $d-i$  is  $1_K + \cdots + 1_K$  ( $d-i$ )-times. This is a  $K$ -linear map from  $K[x]$  to  $K[x]$  and satisfies

$$\frac{d}{dx}(P(x)Q(x)) = \frac{d}{dx}(P(x))Q(x) + P(x)\frac{d}{dx}(Q(x))$$

**Definition 3.2.1.**  $P(x)$  has **multiple roots** if  $(P(x), P'(x)) = (1)$ . Equivalently, we have that  $\gcd(P(x), P'(x)) = 1$  (by Bézout's Lemma).

Given

$$P(x) = (x - \rho_1)(x - \rho_2) \cdots (x - \rho_d)$$

we see that  $P(x)$  has multiple roots if and only if there are  $i \neq j$  such that  $\rho_i = \rho_j$ .

**Lemma 3.2.2.** Let  $L|K$  be a field extension,  $P(x), Q(x) \in K[x]$ . Write  $\gcd_L(P(x), Q(x))$  for the greatest common divisor of  $P(x)$  and  $Q(x)$  viewed as polynomials with coefficients in  $L$ . Then,

$$\gcd(P(x), Q(x)) = \gcd_L(P(x), Q(x))$$

*Proof.* We use the fact that a generator of  $(P(x), Q(x))$  can be computed using Euclidian division. We note that the sequence in which we get this by euclidian algorithm is unique and is invariant of the field.  $\square$

In particular, the definition of multiple roots captures roots that may not yet be in the base field.

**Remark 3.2.3.** Let  $K$  be a field and  $P(x) \in K[x]$ . Let  $L|K$  be a field extension. Then,  $P(x)$  has multiple roots as a polynomial with coefficients in  $K$  if and only if it has multiple roots as a polynomial with coefficients in  $L$ .

**Lemma 3.2.4.** Let  $P(x), Q(x) \in K[x]$  and suppose  $Q(x)|P(x)$ . If  $P(x)$  has no multiple roots,  $Q(x)$  also has no multiple roots.

*Proof.* Let  $T(x) \in K[x]$  be such that  $Q(x)T(x) = P(x)$ . By the Leibniz rule,

$$(P, P') = (QT, Q'T + QT')$$

If  $Q$  and  $Q'$  were both divisible by some polynomial  $W$  with positive degree, it also divides  $Q'T + QT'$  and  $QT$ , thus 1 would be divisible by  $W$ , a contradiction.  $\square$

**Lemma 3.2.5.** *Suppose that  $K$  is a field and that  $P(x) \in K[x] \setminus \{0\}$ . Suppose that  $\text{char}(K)$  does not divide  $\deg(P)$  and that  $P(x)$  is irreducible. Then  $(P, P') = (1)$ .*

*Proof.* Let

$$P(x) = a_d x^d + a_{d-1} x^{d-1} + \cdots + a_0$$

where  $a_d \neq 0$ . First note that  $d \neq 0_K$  in  $K$  as  $\text{char}(K)$  does not divide  $d$ . Thus,  $P'(x) \neq 0$ . As  $P$  is irreducible, any common divisor of  $P$  and  $P'$  is a non-zero constant or  $P$  times a non zero constant. It is not the latter as  $\deg(P') < \deg(P)$ . Thus, it must be a non-zero constant. In other words,  $(P, P') = (1)$ .  $\square$

Noting the proof, if  $P' \neq 0$ , and  $P$  is irreducible, the same result follows.

**Definition 3.2.6.** *Let  $K$  be a field. We say that  $P(x) \in K[x] \setminus \{0\}$  is **separable** if all the irreducible factors of  $P(x)$  have no multiple roots.*

Note that by Remark 3.2.3 and Lemma 3.2.4, this notion is invariant under field extensions. Also, by Lemma 3.2.5, irreducible polynomials with coefficients in  $K$  whose degree is prime to the characteristic of  $K$  is separable. Specifically, if  $\text{char}(K) = 0$ , any irreducible polynomial with coefficients in  $K$  is separable.

**Definition 3.2.7.** *Let  $L|K$  be an algebraic field extension. We say that  $L|K$  is **separable** if the minimal polynomial over  $K$  of any element of  $L$  is separable.*

Noting the previous paragraph, if  $K$  is a field and  $\text{char}(K) = 0$ , all algebraic extensions of  $K$  are separable (noting that minimal polynomials are irreducible in  $K[x]$ ).

**Lemma 3.2.8.** *Let  $M|L$  and  $L|K$  be algebraic field extensions. Suppose  $M|K$  is separable. Then,  $M|L$  and  $L|K$  are both separable.*

*Proof.* By definition,  $L|K$  is separable. Let  $m \in M$  and let  $P(x) \in K[x]$  be the minimal polynomial over  $K$ . Let  $Q(x)$  be the minimal polynomial of  $m$  over  $L$ . By assumption,  $Q(x)|P(x)$ . By assumption,  $P(x)$  has no multiple roots over  $K$  thus also over  $L$  by Remark 3.2.3. By Lemma 3.2.4,  $Q(x)$  also has no multiple roots over  $L$ , thus is separable.  $\square$

**Lemma 3.2.9 (MOVE LATER).** *Let  $M|L$  and  $L|K$  be finite separable extensions. Then  $M|K$  is separable.*

*Proof.* Consider the following commutative diagram of extensions:

$$\begin{array}{ccc} L' & \longrightarrow & M' \\ \uparrow & & \uparrow \\ K & \longrightarrow & L \longrightarrow M \end{array}$$

where  $L'$  is the normal closure of  $L$  over  $K$  such that  $L'|K$  is Galois, and  $M'$  is the smallest field containing  $M$  and  $L'$ . Then note that  $L'|K$  is separable (as it is Galois), and by using the fact that

$L'|L$  is separable,  $M'|L$  is also separable. Thus,  $M'|L'$  is separable. Thus, we may reduce to the case where  $L'|K$  is a Galois extension and take  $L := L'$ ,  $M := M'$ .

Let  $\alpha \in M$  be a root of an irreducible polynomial  $f \in L[t]$ . By assumption, this is separable. Now let  $G := \text{Gal}(L|K)$ . For each  $\sigma \in G$ , we have

$$f^\sigma(t) = \sigma(f(t)) = \sum_i \sigma(a_i)t^i$$

This is also irreducible and separable. Taking

$$g(t) = \prod_{\sigma \in G} f^\sigma(t)$$

we see that  $g \in K[t]$  and is also separable as each  $f^\sigma$  is separable. Any minimum polynomial of  $\alpha$  in  $K$  divides  $g$ , so in particular is separable. Thus  $M|K$  is separable.  $\square$

**Example 3.2.10.** Finite extensions need not be separable. Noting the proof in Lemma 3.2.5, we at least want to find a polynomial  $P$  such that  $P' = 0$ .

Consider  $K := \mathbb{F}_2(t)$  where  $\mathbb{F}_2 = \mathbb{Z}/2\mathbb{Z}$ . Let  $P(x) := x^2 - t$ . As  $P(x)$  is of degree 2 and has no roots in  $K$  (by considering degrees), it is irreducible.

Define  $L := K[x]/(P(x))$ . As  $P(x)$  is irreducible,  $(P(x))$  is prime, thus maximal in  $K[x]$ , meaning  $L$  is a field. However,  $P'(x) = 0$ , thus  $(P', P) = (P) \neq (1)$ . As  $P(x)$  is the minimal polynomial of  $x \in L$ ,  $L|K$  is not separable.

**Example 3.2.11.** Let  $p$  be a prime and take  $f \in \mathbb{F}_p(t)$ . Write

$$f(t) = \sum_{i=0}^n a_i t^i$$

where  $a_i \in \mathbb{F}_p$ . Then,  $D(f)(t) = \sum_{i=1}^n i a_i t^{i-1}$ . By characteristic, this vanishes if and only if  $p|i a_i$  for all  $i$ , which is equivalent to  $a_i = 0$  whenever  $p \nmid i$ . Hence the only possible nonzero terms in  $f$  are those with exponent a multiple of  $p$ , so

$$f(t) = \sum_j a_{pj} t^{pj} = \sum_j a_{pj} (t^p)^j = g(t^p)$$

Suppose now that the map  $x \mapsto x^p$  is bijective (such fields are called perfect). Then, writing  $f(t) = g(t^p)$ , we can take  $g(t^p) = \sum_{j=0}^m b_j t^{pj}$  and picking  $j$  such that  $c_j^p = b_j$ , we have

$$g(t^p) = \sum_j (c_j)^p u^{pj} = \left( \sum_j c_j u^j \right)^p = h(u)^p$$

where  $h(u) = \sum_j c_j u^j$ . In particular,  $f(t) = h(t)^p$ . But then  $f$  is not irreducible. Thus, if  $f$  is irreducible and  $\mathbb{F}_p$  is perfect,  $D(f) \neq 0$ , meaning  $f$  is separable.

### 3.3 Simple Extensions

**Definition 3.3.1.** Let  $\iota : K \hookrightarrow M$  be a field extension and  $S \subseteq M$  be a subset. Define

$$K(S) := \bigcap_{\text{field } L, L \subseteq M, L \supseteq S, L \supseteq \iota(K)} L$$

This is a subfield of  $M$  and is called the **field generated by  $S$  over  $K$** , and the elements of  $S$  are called **generators** of  $K(S)$ . The field extensions  $M|K$  is the composition of the natural field extensions  $K(S)|K$  and  $M|K(S)$ .

Note also that if  $S = \{s_1, \dots, s_k\}$ , then

$$K(S) = K(s_1) \dots (s_k)$$

We also say that  $M|K$  is a **simple extension** if there is a  $m \in M$  such that  $M = K(m)$ .

**Example 3.3.2.** Some examples of simple extensions:

- Let  $K = \mathbb{Q}$  and  $M = \mathbb{Q}(i, \sqrt{2})$  be a field generated by  $i$  and  $\sqrt{2}$  in  $\mathbb{C}$ . Then  $M$  is a simple algebraic extension of  $K$  generated by  $i + \sqrt{2}$ .
- Let  $M = \mathbb{Q}(x) = \text{Frac}(\mathbb{Q}[x])$  and let  $K = \mathbb{Q}$ . Then  $M$  is a simple transcendental extension of  $K$ , generated by  $x$ .

**Proposition 3.3.3.** Let  $M = K(\alpha)|K$  be a simple algebraic extension. Let  $P(x)$  be the minimal polynomial of  $\alpha$  over  $K$ . Then, there is a natural isomorphism of  $K$ -extensions

$$K[x]/(P(x)) \simeq M$$

which sends  $x$  to  $\alpha$ .

*Proof.* We first note that there is a natural map from  $K[x]/(P(x))$  to  $M$  by evaluation. As  $P(x) \neq 0$ , we have  $(P(x))$  is a maximal ideal. Thus, the image of  $K[x]/(P(x))$  in  $M$  is a field. By definition, this is the entirety of  $M$ .  $\square$

**Remark 3.3.4.** Noting the above proposition, we can note that  $[M : K] = \deg(P)$ . Then, the set  $\{1, x, \dots, x^{\deg(P)-1}\}$  is a basis. Also as a consequence, a finitely generated algebraic extension is a finite extension.

**Corollary 3.3.5.** Let  $M = K(\alpha)|K$  be a simple algebraic extension. Let  $K \hookrightarrow L$  be an extension of fields. Let  $P(x)$  be the minimal polynomial of  $\alpha$  over  $K$ . There is a bijective correspondence with the roots of  $P(x)$  in  $L$  and the maps of  $K$ -extensions  $M \hookrightarrow L$ .

*Proof.* The corresponding map is given by the unique map extended from sending  $\alpha$  to the root of  $P(x)$  in  $L$ .  $\square$

**Example 3.3.6.** Let  $M := \mathbb{Q}(i) \subseteq \mathbb{C}$  and let  $K = \mathbb{Q}$ , and  $L = \mathbb{Q}(\sqrt{2}) \subseteq \mathbb{C}$ . There is no map of  $K$ -extensions  $M \hookrightarrow L$  because the roots of  $x^2 + 1$  do not lie in  $L \subseteq \mathbb{R}$ . If we change  $L = \mathbb{C}$ , then there are two maps of  $K$ -extensions  $M \hookrightarrow L$  corresponding to the function extended by sending  $i \mapsto i$  and  $i \mapsto -i$ .

### 3.4 Splitting Fields

**Definition 3.4.1.** Let  $K$  be a field. Let  $P(x) \in K[x]$ . We say that  $P(x)$  **splits** in  $K$  if for some  $c \in K$  and sequence of  $\{a_i \in K\}$ , we have

$$P(x) = c \cdot \prod_{i=1}^k (x - a_i)$$

We call a field **algebraically closed** if any polynomial with coefficients with  $L$  splits in  $L$ .

If  $P(x) \in K[x]$  is irreducible and  $\deg(P) > 1$ ,  $P(x)$  has no roots in  $K$  and thus does not split in  $K$ .

**Definition 3.4.2.** A field extension  $M|K$  is a **splitting extension** for  $P(x) \in K[x]$  if

1.  $P(x)$  splits in  $M$
2.  $M$  is generated over  $K$  by the roots of  $P(x)$  in  $M$ .

**Theorem 3.4.3.** Let  $P(x) \in K[x]$ . Then,

- There exists a field extension  $M|K$  which is a splitting extension for  $P(x)$
- If  $L|K$  is a splitting extension for  $P(x)$ , then  $L$  and  $M$  are isomorphic as  $K$ -extensions
- Let  $L|K$  be a splitting extension for  $P(x)$  and  $J|K$  be any  $K$ -extension. Then, the images of all the maps of  $K$ -extensions  $L \hookrightarrow J$  coincide.

*Proof.* (i) We work by induction on  $\deg(P)$ . If  $\deg(P) = 1$ , then  $K|K$  is a splitting extension for  $P(x)$ . Suppose that  $\deg(P) > 1$ . Let  $P_1$  be an irreducible factor of  $P(x)$ . Consider  $M_1 := K[x]/(P_1(x))$ .  $M_1$  is a field, and there is a natural map of rings  $K \hookrightarrow M_1$ .

By definition,  $P(x)$  has a root  $a$  in  $M_1$  (which is just  $x$  in the presentation  $M_1 = K[x]/(P_1(x))$ ). Let  $M$  be a splitting field for  $P(x)/(x-a) \in M_1[x]$  over  $M_1$ , which exists by the inductive hypothesis. By construction,  $P(x)$  splits in  $M$ . Let  $a_2, \dots, a_k$  be roots of  $P(x)/(x-a)$  in  $M$ . By Proposition 3.3.3,  $M = K(a)(a_2) \dots (a_k) = K(a, a_2, \dots, a_k)$  and thus  $M$  is generated over  $K$  by roots in  $M$ . Consequently,  $M$  is a splitting field of  $P(x)$  over  $K$ .

(ii) We work by induction on  $\deg(P)$ . If  $\deg(P) = 1$ , we are done. Suppose  $\deg(P) > 1$ . Let  $a \in M$  be a root of  $P(x)$  in  $M$  and  $Q(x) \in K[x]$  be its minimal polynomial. As  $Q(x)|P(x)$ ,  $Q(x)$  splits in  $M$  and also in  $L$ .

Now let  $a_1$  be a root of  $Q(x)$  in  $L$ . Note from before that  $M|K(a)$  is a splitting extension of  $P(x)/(x-a) \in K(a)$ . Similarly,  $L|K(a_1)$  is a splitting extension of  $P(x)/(x-a_1) \in K(a_1)$ . Define  $J := K[x]/(Q(x))$ . This is a field as  $Q(x)$  is irreducible, and there are natural isomorphisms  $J \simeq K(a)$  and  $J \simeq K(a_1)$  of  $K$ -extensions. Considering the  $J$ -extensions  $M|J$  and  $L|J$  from these isomorphisms, the inductive hypothesis shows the two are isomorphic as  $J$  extensions. By construction, this gives an isomorphism of  $K$ -extensions.

(iii) If there are no maps of  $K$ -extensions  $L \hookrightarrow J$ , we are done. Else, suppose there is a map  $\phi : L \hookrightarrow J$  of  $K$ -extensions. As  $L$  is generated over the roots of  $P(x)$ , the image of  $\phi$  are generated over  $K$  by the image of these roots in  $J$  under  $\phi$ . We claim these images are the roots of  $P(x)$  in  $J$ .

To prove the above claim, let  $\alpha_1, \dots, \alpha_d$  be roots of  $P(x)$  in  $L$  with multiplicities. Then,

$$P(x) = x^d - s_1(\alpha_1, \dots, \alpha_d)x^{d-1} + \dots + (-1)^d s_d(\alpha_1, \dots, \alpha_d)$$

Thus, the elements of  $\phi(\alpha_1), \dots, \phi(\alpha_d)$  are the roots of

$$\begin{aligned} & x^d - s_1(\phi(\alpha_1), \dots, \phi(\alpha_d))x^{d-1} + \dots + (-1)^d s_d(\phi(\alpha_1), \dots, \phi(\alpha_d)) \\ &= x^d - \phi(s_1(\alpha_1, \dots, \alpha_d))x^{d-1} + \dots + (-1)^d \phi(s_d(\alpha_1, \dots, \alpha_d)) \\ &= P(x) \end{aligned}$$

As  $P(x)$  has coefficients in  $K$ . Now the set of roots of  $P(x)$  in  $J$  does not depend on  $\phi$ , and so the claim follows.  $\square$

**Remark 3.4.4.** Let  $K$  be a field and  $P(x) \in K[x]$ . Suppose that there is a field extension  $K \hookrightarrow L$ , where  $L$  is algebraically closed. Let  $S \subseteq L$  be the roots of  $P(x) \in L$ . Then  $K(S) \subseteq L$  is a splitting field for  $P(x)$ . This follows from the fact  $P(x)$  splits in  $K(S)$  as  $L$  is algebraically closed, and that  $K(S)$  is generated by the roots of  $P(x)$  by construction.

As a specific example, we can generate a splitting field for any polynomial in  $\mathbb{Q}[x]$  by considering  $L = \mathbb{C}$ .

**Remark 3.4.5.** Any field  $K$  has an algebraic field extension  $K \hookrightarrow K'$  such that  $K'$  is algebraically closed. This is unique up to isomorphism and is called the **algebraic closure** of  $K$ .

### 3.5 Normal Extensions

**Definition 3.5.1.** An algebraic extension  $L|K$  is called **normal** if the minimal polynomial over  $K$  of any element of  $L$  splits in  $L$ .

Note that a splitting extension (field) is by definition a normal extension (field).

**Example 3.5.2.** Some examples of extensions are

- $\mathbb{Q}(\sqrt[3]{2})|\mathbb{Q}$  is not normal, as the minimal polynomial for  $\sqrt[3]{2}$ , namely  $x^3 + 2$ , does not split.
- $\mathbb{Q}(\sqrt{2})|\mathbb{Q}$  is normal, noting that as  $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2$ , any minimal polynomial in  $\mathbb{Q}(\sqrt{2})$  has degree at most 2, which if it has a root, splits.

**Lemma 3.5.3.** Let  $M = K(\alpha_1, \dots, \alpha_k)|K$  be an algebraic field extension. Let  $J|K$  be an extension in which the polynomial  $\prod_{i=1}^k m_{\alpha_i} \in K[x]$  splits. Then the set of maps of  $K$ -extensions  $M \rightarrow J$  is finite and non-empty. If  $m_{\alpha_i}$  are all separable, there are  $[M : K]$  such maps.

*Proof.* We first prove that this set is finite and non-empty. By Corollary 3.3.5, there is an extension of the map  $K \hookrightarrow J$  to  $K(\alpha_1)$ , and only finitely many choices for such extension. The minimal polynomial of  $\alpha_2$  over  $K(\alpha_1)$  divides  $m_{\alpha_2}$  and has a root in  $J$  as  $m_{\alpha_2}$  splits in  $J$ . Thus, again, there is an extension from the ring map  $K(\alpha_1) \hookrightarrow J$  to  $K(\alpha_1)(\alpha_2) = K(\alpha_1, \alpha_2) \hookrightarrow J$ , and only finitely many such. Repeating shows the same is the case for  $K(\alpha_1, \dots, \alpha_k) = M \hookrightarrow J$ .

For the cardinality of the set, we note that there are  $[K(\alpha_1) : K] = \deg(m_{\alpha_1})$  extensions of maps  $K \hookrightarrow J$  to  $K(\alpha_1)$ . Continuing, for any ring map  $K(\alpha_1) \hookrightarrow J$ , there are  $[K(\alpha_1, \alpha_2) : K(\alpha_1)]$  extensions of this map to a map  $K(\alpha_1, \alpha_2) \hookrightarrow J$ . By the tower law, there are

$$[K(\alpha_1) : K][K(\alpha_1, \alpha_2) : K(\alpha_1)] = [K(\alpha_1, \alpha_2) : K]$$

extensions of the map  $K \hookrightarrow J$  to a ring map  $K(\alpha_1, \alpha_2) \hookrightarrow J$ . Continuing,

$$[K(\alpha_1) : K] \cdots [M : K(\alpha_1, \dots, \alpha_{k-1})] = [M : K]$$

extensions of the map  $K \hookrightarrow J$  to a ring map  $M \hookrightarrow J$ . □

**Theorem 3.5.4.** A finite field extension  $L|K$  is normal if and only if it is a splitting extension for a polynomial with coefficients in  $K$ .

*Proof.* ( $\Rightarrow$ ) Suppose that  $L|K$  is finite and normal. Let  $\alpha_1, \dots, \alpha_k$  be generators for  $L$  over  $K$  (as a  $K$ -basis). Define

$$P(x) := \prod_{i=1}^k m_{\alpha_i}(x)$$

where  $m_{\alpha_i}(x)$  is the minimal polynomial for  $\alpha_i$  over  $K$ . Then, by assumption,  $P(x)$  splits in  $L$  and the roots of  $P(x)$  generate  $L$ , so  $L$  is a splitting field for  $P(x)$ .

( $\Leftarrow$ ) Suppose that  $L$  is a splitting field of a polynomial in  $K[x]$ . Let  $\alpha \in L$  and  $\beta_1, \dots, \beta_k \in L$  be such that  $L = K(\alpha, \beta_1, \dots, \beta_k)$ . Let  $J$  be a splitting field of the products of the minimal polynomials over  $K$  over the elements  $\alpha, \beta_1, \dots, \beta_k$ . Choose a root  $\rho$  in  $J$  of the minimal polynomial  $Q(x)$  of  $\alpha$  over  $K$ . By Corollary 3.3.5, there is an extension of the map  $K \hookrightarrow J$  to a ring map  $\mu : K(\alpha) \hookrightarrow J$  such that  $\mu(\alpha) = \rho$ . By Lemma 3.5.3, there is an extension of  $\mu$  to a ring map  $\lambda : L \hookrightarrow J$ . By Theorem 3.4.3, the image of  $\lambda$  on  $L$  in  $J$  is independent of  $\lambda$  and thus of  $\mu$ . Consequently, as we have not fixed  $\rho$ , the image of  $\lambda$  with  $L$  in  $J$  contains all the roots of  $Q(x)$ . Thus,  $Q(x)$  splits in the image of  $\lambda$ . As  $Q(x)$  has coefficients in  $K$  and  $\lambda$  gives an isomorphism between  $L$  and the image of  $\lambda$ ,  $Q(x)$  splits in  $L$ .  $\square$

**Theorem 3.5.5.** *Let  $L|K$  be a splitting field of a separable polynomial over  $K$ . Then we have  $\#\text{Aut}_K(L) = [L : K]$ .*

*Proof.* Apply Lemma 3.5.3 with  $L = M = J$ .  $\square$

**Theorem 3.5.6.** *Let  $\iota : K \hookrightarrow L$  be a finite field extension. Then  $\text{Aut}_K(L)$  is finite. Furthermore, the following are equivalent :*

1.  $\iota(K) = L^{\text{Aut}_K(L)}$
2.  $L|K$  is normal and separable
3.  $L|K$  is a splitting extension for a separable polynomial with coefficients in  $K$ .

*Proof.* We first note that if  $\text{Aut}_K(L)$  were infinite, we can obtain infinitely many maps of  $K$  extensions  $L \hookrightarrow J$  by composing any map  $L \hookrightarrow J$  with elements of  $\text{Aut}_K(L)$ , which contradicts the result from Lemma 3.5.3.

(i)  $\Rightarrow$  (ii) Let  $P(x)$  be the minimal polynomial of some element  $\alpha \in L$ . We have to show that  $P(x)$  splits and is separable. Define

$$Q(x) := \prod_{\beta \in \text{Orb}(\text{Aut}_K(L), \alpha)} (x - \beta)$$

By definition,  $Q(x)$  is separable. Let  $d := \#\text{Orb}(\text{Aut}_K(L), \alpha)$ . Let  $\beta_1, \dots, \beta_d$  be the elements of  $\text{Orb}(\text{Aut}_K(L), \alpha)$ . Note that

$$Q(x) = x^d - s_1(\beta_1, \dots, \beta_d)x^{d-1} + \dots + (-1)^d s_d(\beta_1, \dots, \beta_d)$$

For any  $\gamma \in \text{Aut}_K(L)$  and for any  $i \in \{1, \dots, d\}$  we have

$$\gamma(s_i(\beta_1, \dots, \beta_d)) = s_i(\gamma(\beta_1), \dots, \gamma(\beta_d))$$

Noting that  $s_i$  is a symmetric function and  $\gamma$  permutes elements of  $\text{Orb}(\text{Aut}_K(L), \alpha)$  (by composition), we have

$$s_i(\gamma(\beta_1), \dots, \gamma(\beta_n)) = s_i(\beta_1, \dots, \beta_n)$$

As  $\gamma$  was arbitrary, we see that  $s_i(\beta_1, \dots, \beta_d) \in L^{\text{Aut}_K(L)} = \iota(K)$ . Thus,  $Q(x) \in \iota(K)[x]$ . We can therefore identify  $Q(x)$  with a polynomial in  $K[x]$  with  $\iota$ .

However,  $\alpha \in \text{Orb}(\text{Aut}_K(L), \alpha)$ , so  $Q(\alpha) = 0$ . By definition of  $P(x)$ ,  $P(x)|Q(x)$ , so  $P(x)$  splits in  $L$  and has no multiple roots and therefore is separable.



(ii)  $\Rightarrow$  (iii) Let  $\alpha_1, \dots, \alpha_k$  be generators of  $L$  over  $K$ . Let  $P(x) := \prod_{i=1}^k m_{\alpha_i}(x)$ , where  $m_{\alpha_i}(x)$  is the minimal polynomial of  $\alpha_i$  over  $K$ . Then,  $P(x)$  is a separable polynomial by construction and  $L$  is also a splitting extension for  $P(x)$ .

(iii)  $\Rightarrow$  (i) Note first that by construction,  $\iota(K) \subseteq L^{\text{Aut}_K(L)}$  as any element of  $\text{Aut}_K(L)$  fixes the image of  $K$  in  $L$  by definition. So,  $L|K$  is the composition of extensions  $L^{\text{Aut}_K(L)}|K$  and  $L|L^{\text{Aut}_K(L)}$ . Note that  $L|L^{\text{Aut}_K(L)}$  is also the splitting field of a separable polynomial over  $L^{\text{Aut}_K(L)}$  (by taking the same polynomial for  $L|K$ ). Also note the identity  $\text{Aut}_{L^{\text{Aut}_K(L)}}(L) = \text{Aut}_K(L)$ .

Now, by Theorem 3.5.5, we have

$$[L : L^{\text{Aut}_K(L)}] = \#\text{Aut}_{L^{\text{Aut}_K(L)}}(L)$$

and

$$[L : K] = \#\text{Aut}_K(L)$$

giving  $[L : L^{\text{Aut}_K(L)}] = [L : K]$ . The tower law shows that  $[L^{\text{Aut}_K(L)} : K] = 1$ , or equivalently,  $L^{\text{Aut}_K(L)} = \iota(K)$ .  $\square$

**Corollary 3.5.7.** *Let  $L|K$  be an algebraic field extension. Suppose that  $L$  is generated by  $\alpha_1, \dots, \alpha_k \in L$  and the minimal polynomial of each  $\alpha_i$  is separable. Then,  $L|K$  is separable.*

*Proof.* By Lemma 3.5.3 and Theorem 3.4.3, there is an extension  $M|L$  such that  $M|K$  is the splitting field of a separable polynomial (the product of the minimal polynomials). By 3.5.6, the extension  $M|K$  is separable. Thus, the extension  $L|K$  is also separable.  $\square$

### 3.6 Galois Extensions

**Definition 3.6.1.** *A field extension  $\iota : K \hookrightarrow L$  is called a Galois extension if  $L^{\text{Aut}_K(L)} = \iota(K)$ . As notation,  $\iota(K)$  is often replaced with  $K$  (unless there is ambiguity).*

*If  $L|K$  is a Galois extension, write*

$$\text{Gal}(L|K) = \Gamma(L|K) := \text{Aut}_K(L)$$

*and call  $\text{Gal}(L|K)$  the Galois group of  $L|K$ . If  $L|K$  is finite, then this is a finite group (by Theorem 3.5.6).*

As a consequence of Theorem 3.5.6, a finite field extension  $L|K$  is a Galois extension if and only if  $L$  is a splitting field of a separable polynomial over  $K$  if and only if it is normal and separable. As a consequence, if  $L|K$  is a finite galois extension which is the composition of two extensions  $L|K_1$  and  $K_1|K$ , then  $L|K_1$  is a finite galois extension. This is because properties like normal and separable are preserved by such cuts (noting that the minimal polynomial of  $L$  over  $K_1$  divides that over  $K$ ). However, it does not hold in general that  $K_1|K$  is a galois extension, noting that this need not be a normal extension.

**Definition 3.6.2.** *Let  $K$  be a field and  $P(x) \in K[x]$  be a separable polynomial. Let  $L|K$  be a splitting field for  $P(x)$ . We sometimes write  $\text{Gal}(P) = \text{Gal}(P(x))$  for  $\text{Gal}(L|K)$ . Note the abuse of notation, as splitting fields are not related by canonical isomorphism. Thus, in the strict sense,  $\text{Gal}(P)$  refers to an isomorphism class of finite groups.*

**Lemma 3.6.3.** *Let  $K$  be a field and let  $G \subseteq \text{Aut}_{\text{Rings}}(K)$  be a finite subgroup. Then  $[K : K^G] \leq \#G$ .*

*Proof.* Suppose not. Then, we have a sequence  $\alpha_1, \dots, \alpha_d$  of elements of  $K$  which is linearly independent over  $K^G$  and such that  $d > \#G$ . Let  $n := \#G$  and let  $\sigma_1, \dots, \sigma_n \in G$  be the enumeration of  $G$ . Consider now the matrix defined by  $(\sigma_i(\alpha_j))$ . The columns are linearly dependent over  $K$  as  $n < d$ . Thus, we have a sequence  $\beta_1, \dots, \beta_d$  with some non-vanishing term such that

$$\sum_{i=1}^d \beta_i (\sigma_k(\alpha_i))$$

for all  $k$ . Choose a sequence  $\beta_1, \dots, \beta_d$  such that

$$r := \#\{i \in \{1, \dots, d\} \mid \beta_i \neq 0\}$$

is minimal. By reordering, suppose that  $\beta_1, \dots, \beta_r \neq 0$  and that  $\beta_{r+1}, \dots, \beta_d = 0$ . Dividing through by  $\beta_r$ , suppose that  $\beta_r = 1$ . As  $\alpha_1, \dots, \alpha_d$  are linearly independent over  $K^G$ , (noting that  $\beta_i$  kills the identity) we have some  $i_0 \in \{1, \dots, r\}$  such that  $\beta_{i_0} \notin K^G$ . Note that  $r > 1$  as  $i_0 \neq r$ . By renumbering, we may assume  $\beta_1 \notin K^G$ .

Now, take  $k_0 \in \{1, \dots, n\}$  such that  $\sigma_{k_0}(\beta_1) \neq \beta_1$ . Applying  $\sigma_{k_0}$  to our first equation, we get

$$\sum_{i=1}^d \sigma_{k_0}(\beta_i) (\sigma_{k_0} \sigma_k)(\alpha_i) = 0$$

for all  $k \in \{1, \dots, n\}$ . Noting that  $\sigma$  only permutes, we have

$$\sum_{i=1}^d \sigma_{k_0}(\beta_i) (\sigma_k)(\alpha_i) = 0$$

for all  $k \in \{1, \dots, n\}$ . Subtracting with the original equation, this gives

$$\sum_{i=1}^d (\sigma_{k_0}(\beta_i) - \beta_i) (\sigma_k)(\alpha_i) = 0$$

for all  $k \in \{1, \dots, n\}$ . Noting the definition of  $r$  and from  $\beta_r = 1$ , we have

$$\sum_{i=1}^{r-1} (\sigma_{k_0}(\beta_i) - \beta_i) (\sigma_k)(\alpha_i) = 0$$

Now, as  $\sigma_{k_0}(\beta_1) \neq \beta_1$ , we have a non-zero annihilating sum, which contradicts the minimality of  $r$ . Thus  $d \leq n$ .  $\square$

**Theorem 3.6.4** (Artin's Lemma). *Let  $K$  be a field and let  $G \subseteq \text{Aut}_{\text{Rings}}(K)$  be a finite subgroup. Then the extension  $K|K^G$  is a finite Galois extension, and the inclusion  $G \hookrightarrow \text{Aut}_{K^G}(K)$  is an isomorphism of groups.*

*Proof.* First we claim that

$$K^G = K^{\text{Aut}_{K^G}(K)}$$

First note that  $K^G \subseteq K^{\text{Aut}_{K^G}(K)}$  (if you are in  $K^G$ , you are fixed by things that fix  $K^G$ ). On the other hand,  $G \subseteq \text{Aut}_{K^G}(K)$  (automorphisms in  $G$  fix  $K^G$ ). Thus,  $K^G \supseteq K^{\text{Aut}_{K^G}(K)}$ . Thus, we have proven the claim.

Now, as  $K|K^G$  is a finite extension by Lemma 3.6.3, we have from Theorem 3.5.6 that  $K|K^G$  is a splitting extension of a separable polynomial with coefficients in  $K^G$ . By Theorem 3.5.5,

$$[K : K^G] = \#\text{Aut}_{K^G}(K)$$

On the other hand, from Lemma 3.6.3,  $[K : K^G] \leq \#G$  so, we have  $\#\text{Aut}_{K^G}(K) \leq \#G$ . Now,  $G \subseteq \text{Aut}_{K^G}(K)$  so,  $\#G \leq \#\text{Aut}_{K^G}(K)$ , giving  $\#G = \#\text{Aut}_{K^G}(K)$ . Thus,  $G = \text{Aut}_{K^G}(K)$ .

Finally, Theorem 3.5.6 implies that  $K|K^G$  is a finite Galois extension with Galois group  $G$ .  $\square$

**Theorem 3.6.5** (Fundamental Theorem of Galois Theory). *(i) The map*

$$\{\text{subfields of } L \text{ containing } \iota(K)\} \mapsto \{\text{subgroups of } \text{Gal}(L|K)\}$$

*given by*

$$M \mapsto \text{Gal}(L|M)$$

*is a bijection. The inverse is given by the map*

$$H \mapsto L^H$$

*(ii) Let  $M$  be a subfield of  $L$  containing  $\iota(K)$ . We have*

$$[L : M] = \#\text{Gal}(L|M)$$

*and*

$$[M : K] = \frac{\#\text{Gal}(L|K)}{\#\text{Gal}(L|M)}$$

*(iii) Let  $M$  be a subfield of  $L$  containing  $\iota(K)$ . Then  $M|K$  is a Galois extension if and only if the group  $\text{Gal}(L|M)$  is a normal subgroup of  $\text{Gal}(L|K)$ . In that case, there is an isomorphism  $I_M : \text{Gal}(L|K)/\text{Gal}(L|M) \simeq \text{Gal}(M|K)$ .*

*Proof.* (i) By considering the claimed isomorphisms, we want to show that  $M = L^{\text{Gal}(L|M)}$  and  $\text{Gal}(L|L^H) = H$  for any intermediate field  $M$  and any subgroup  $H \subseteq \text{Gal}(L|K)$ .

The first equality is a consequence of the fact that  $L|M$  is a Galois extension. The second follows from Artin's Lemma.

(ii) The equation  $[L : M] = \#\text{Gal}(L|M)$  is a consequence of Theorem 3.5.5. The equation  $[M : K] = \#\text{Gal}(L|K)/\#\text{Gal}(L|M)$  is a consequence of the tower law and  $\#\text{Gal}(L|K) = [L : K]$ .

(iii) Suppose that  $M$  is an intermediate field and that  $M|K$  is a Galois extension. Then for any  $\gamma \in \text{Gal}(L|K)$ ,  $\gamma(M) = M$  by Theorem 3.4.3 (iii). In particular, we have a homomorphism

$$\phi_M(\gamma) = \gamma|_M$$

The kernel of this homomorphism is  $\text{Gal}(L|M)$  by definition. Hence,  $\text{Gal}(L|M)$  is normal in  $\text{Gal}(L|K)$  by the first isomorphism theorem.

On the other hand, suppose that  $\text{Aut}_M(L)$  is a normal subgroup of  $\text{Gal}(L|K)$ . Take  $\gamma \in \text{Gal}(L|K)$ . By definitions,

$$\begin{aligned} \text{Aut}_{\gamma(M)}(L) &= \text{Gal}(L|\gamma(M)) = \{\mu \in \text{Gal}(L|K) \mid \mu(\alpha) = \alpha, \forall \alpha \in \gamma(M)\} \\ &= \{\mu \in \text{Gal}(L|K) \mid \mu(\gamma(\beta)) = \gamma(\beta), \forall \beta \in M\} \\ &= \{\mu \in \text{Gal}(L|K) \mid (\gamma^{-1}\mu\gamma)(\beta) = \beta, \forall \beta \in M\} \\ &= \gamma\text{Gal}(L|M)\gamma^{-1} \\ &= \text{Gal}(L|M) \end{aligned}$$

By bijective correspondence given in (i), we have  $M = \gamma(M)$ . Thus, we have a homomorphism

$$\phi_M : \text{Gal}(L|K) \rightarrow \text{Aut}_K(M)$$

given by  $\phi_M(\gamma) = \gamma|_M$ . From (ii) and the first isomorphism theorem,  $\text{im}(\phi_M) \subseteq \text{Aut}_K(M)$  has cardinality  $[M : K]$ , with kernel  $\text{Aut}_M(L)$ . On the other hand, by Artin's Lemma, we know  $[M : M^{\text{Im}(\phi)}] = \#\text{Im}(\phi_M)$  such that  $[M : M^{\text{Im}(\phi)}] = [M : K]$ . By the tower law,  $K = M^{\text{Im}(\phi)}$ . In particular,  $M|K$  is a Galois extension and  $\phi_M$  is therefore surjective.

The isomorphism is uniquely determined by the fact that  $I_M(\gamma \bmod \text{Gal}(L|M)) = \gamma|_M$  for any  $\gamma \in \text{Gal}(L|K)$ .  $\square$

**Remark 3.6.6.** Let  $\iota : K \hookrightarrow L$  be a Galois extension. Let  $M \subseteq L$  be an intermediate field. Then  $M|K$  is a Galois extension if and only if the maps of  $K$ -extensions  $M \rightarrow L$  have the same image (which is  $M$ ).

If all the maps have  $M$  as an image, then for all  $\gamma \in \text{Gal}(L|K)$ ,  $\gamma(M) = M$ , and thus from the proof above,  $M|K$  is a Galois extension. On the other hand, if  $M|K$  is a Galois extension, then for all  $\gamma \in \text{Gal}(L|K)$ ,  $\gamma(M) = M$  by Theorem 3.4.3 (images of embeddings from splitting fields coincide).

**Corollary 3.6.7.** Let  $\iota : K \rightarrow L$  be a finite separable extension. There are only finitely many intermediate fields between  $L$  and  $\iota(K)$ .

*Proof.* Without loss of generality, we can extend  $L$  to a Galois extension (by Lemma 3.5.3, taking the splitting field over the minimal polynomials of the generators). The Galois group is finite, and bijectively corresponds to intermediate fields.  $\square$

**Example 3.6.8.** We consider the Galois group of the extension  $\mathbb{Q}(\sqrt{2}, i)|\mathbb{Q}$  and of its subfields. Note first that  $\mathbb{Q}(\sqrt{2}, i)$  is the splitting field of the polynomial  $(x^2 - 2)(x^2 + 1)$  whose roots are  $\pm\sqrt{2}, \pm i$ . In particular,  $\mathbb{Q}(\sqrt{2}, i)|\mathbb{Q}$  is a splitting field of a separable polynomial, thus Galois.

We note the successive extensions  $\mathbb{Q}(\sqrt{2}, i)|\mathbb{Q}(\sqrt{2})|\mathbb{Q}$ . The minimal polynomial of  $\sqrt{2}$  over  $\mathbb{Q}$  is  $x^2 - 2$ , and the polynomial  $x^2 + 1$  is the minimal polynomial of  $i$  over  $\mathbb{Q}(\sqrt{2})$ . By the tower law,  $[\mathbb{Q}(\sqrt{2}, i) : \mathbb{Q}] = 4$ . By Theorem 3.5.5, we have  $\#\text{Gal}(\mathbb{Q}(\sqrt{2}, i)|\mathbb{Q}) = 4$ . Define  $G := \text{Gal}(\mathbb{Q}(\sqrt{2}, i)|\mathbb{Q})$ . By the classification of finite groups, we know that  $G$  is abelian, and that  $G \simeq \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$  or  $G \simeq \mathbb{Z}/4\mathbb{Z}$ . Note also that  $\#\text{Gal}(\mathbb{Q}(\sqrt{2}, i)|\mathbb{Q}(i)) = 2$ . This follows from the fact the extension is not trivial (otherwise  $[\mathbb{Q}(\sqrt{2}, i) : \mathbb{Q}]$  would equal 2). With similar logic,  $\#\text{Gal}(\mathbb{Q}(\sqrt{2}, i)|\mathbb{Q}(\sqrt{2})) = 2$ . Groups of order 2 are isomorphic to  $\text{Gal}(\mathbb{Q}(\sqrt{2}, i)|\mathbb{Q}(\sqrt{2})) \simeq \text{Gal}(\mathbb{Q}(\sqrt{2}, i)|\mathbb{Q}(i)) \simeq \mathbb{Z}/2\mathbb{Z}$ .

By the fundamental theorem of Galois theory, the two subgroups  $\text{Gal}(\mathbb{Q}(\sqrt{2}, i)|\mathbb{Q}(i))$  and  $\text{Gal}(\mathbb{Q}(\sqrt{2}, i)|\mathbb{Q}(\sqrt{2}))$  cannot coincide, as they correspond to different subfields of  $\mathbb{Q}(\sqrt{2}, i)$ . Consequently,  $G \simeq \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ .

$\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$  has three non trivial subgroups, and we find the third is given by  $\mathbb{Q}(i\sqrt{2})$ .

**Example 3.6.9.** We also note some field extensions that are not Galois.

- The extension  $\mathbb{Q}(\sqrt[3]{2})|\mathbb{Q}$  is not a normal extension, thus not Galois.
- The extension  $\mathbb{F}_2(t)[x]/(x^2 - t)|\mathbb{F}_2(t)$  is not separable, thus not Galois.

**Lemma 3.6.10.** Let  $L|K$  be a finite Galois extension. Let  $\alpha \in L$ . Then the minimal polynomial of  $\alpha$  over  $K$  is the polynomial

$$\prod_{\beta \in \text{Orb}(\text{Gal}(L|K), \alpha)} (x - \beta)$$

*Proof.* Let  $P(x) = \prod_{\beta \in \text{Orb}(\text{Gal}(L|K), \alpha)} (x - \beta)$ . Let  $m_\alpha(x) \in K$  be the minimal polynomial of  $\alpha$  over  $K$ . We know that  $P(x) \in K[x]$ , thus we have

$$m_\alpha(x) | P(x)$$

It is therefore sufficient to prove that  $P(x)$  is irreducible over  $K$ . Suppose for contradiction  $P(x) = Q(x)T(x)$  for  $Q(x), T(x) \in K[x]$  and  $\deg(Q), \deg(T) > 1$ . Note that if  $\rho \in L$  and  $Q(\rho) = 0$ ,  $\gamma(Q(\rho)) = Q(\gamma(\rho)) = \gamma(0) = 0$ , thus roots of  $Q(x)$  in  $L$  are stable under the action  $\text{Gal}(L|K)$ . As  $Q(x)$  has a root in  $L$ , noting  $P(x)$  splits in  $L$  and  $Q(x) | P(x)$ , the set of roots of  $P(x)$  contains a strict subset who is stable under  $\text{Gal}(L|K)$ . This contradicts the fact the set of roots of  $P(x)$  is the orbit of  $\alpha$  under  $\text{Gal}(L|K)$ .  $\square$

**Lemma 3.6.11.** *Let  $K$  be a field and let  $P(x) \in K[x]$ . Let  $L|K$  be a splitting extension of  $P(x)$  and let  $\alpha_1, \dots, \alpha_n \in L$  be the roots of  $P(x)$  with multiplicities. Then,*

1. *If  $P(x)$  has no repeated roots, and  $\phi : \text{Aut}_K(L) \rightarrow S_n$  satisfies  $\gamma(\alpha_i) = \alpha_{\phi(\gamma)(i)}$ , then  $\phi$  is an injective group homomorphism.*
2. *If  $P(x)$  is irreducible over  $K$  and has no repeated roots, the image of  $\phi$  is a transitive subgroup of  $S_n$*
3. *The element  $\Delta_P := \Delta(\alpha_1, \dots, \alpha_n)$  lies in  $K$  and depends only on  $P(x)$*
4. *Suppose that  $\text{char}(K) \neq 2$ . Suppose also that  $P(x)$  has no repeated roots. Then the image of  $\phi$  lies inside  $A_n \subseteq S_n$  if and only if  $\Delta_P \in (K^*)^2$ .*

*Proof.* (i) The map is tautologically a group homomorphism. It is injective as  $L$  is generated by the roots, thus an element  $\gamma$  that acts as the identity on the roots must act as the identity on  $L$ .

(ii) We only need to show  $\text{Aut}_K(L)$  acts transitively on the roots. As  $P(x)$  is irreducible, it is the minimal polynomial of any  $\alpha_i$ . By Lemma 3.6.10, the roots are an orbit under  $\text{Aut}_K(L)$  over any root, so we are done.

(iii) Note first that

$$P(x) = x^d + a_{d-1}x^{d-1} + \dots + a_0 = x^d + s_1(\alpha_1, \dots, \alpha_d)x^{d-1} + \dots + (-1)^d s_d(\alpha_1, \dots, \alpha_d)$$

By The Fundamental Theorem of Symmetric Functions, there is a unique polynomial  $Q(x) \in K[x]$  such that  $Q(s_1, \dots, s_d) = \Delta(x_1, \dots, x_d)$ . Thus,

$$\Delta(\alpha_1, \dots, \alpha_n) = Q(-a_{d-1}, a_{d-2}, \dots, (-1)^d a_0)$$

As this function depends only on  $P(x)$  and lies in  $K$ , we are done.

(iv) Consider  $\delta(\alpha_1, \dots, \alpha_n) := \prod_{i < j} (\alpha_i - \alpha_j)$ . For any  $\gamma \in \text{Aut}_K(L)$ , we have

$$\gamma(\delta(\alpha_1, \dots, \alpha_n)) = \delta(\gamma(\alpha_1), \dots, \gamma(\alpha_n)) = \delta(\alpha_{\phi(\gamma)(1)}, \dots, \alpha_{\phi(\gamma)(n)}) = \text{sign}(\phi(\gamma)) \cdot \delta(\alpha_1, \dots, \alpha_n)$$

As this is a Galois extension,  $\delta(\alpha_1, \dots, \alpha_n) \in K$  if and only if the image of  $\phi$  lies in  $A_n$ . Now also note that  $\delta(\alpha_1, \dots, \alpha_n) \in K$  if and only if  $\Delta_P \in (K^*)^2$ .

Note the characteristic being non-two is necessary to distinguish between sign, as else  $\delta(\alpha_1, \dots, \alpha_n)$  always lies in  $K$ .  $\square$

**Remark 3.6.12.** The key idea is that the Galois group of the splitting field of a degree  $n$  polynomial is a subgroup of  $S_n$ . Moreover, if  $P(x)$  is irreducible, then it is transitive. If  $n$  is prime, then this means it contains an  $n$ -cycle (though not generally, as  $V_4$  is transitive on  $\{1, 2, 3, 4\}$ ).

The last case is useful to note for when we consider  $\text{Gal}(M|K(\sqrt{\Delta_P}))$ .

**Example 3.6.13.** Note that

$$\Delta(x_1, x_2, x_3) = -4s_1^3s_3 + s_1^2s_2^2 + 18s_1s_2s_3 - 4s_2^3 - 27s_3^2$$

Taking  $P(x) = x^3 - x - \frac{1}{3}$ , The polynomial has no roots in  $\mathbb{Q}$  (moving it to  $\mathbb{Z}[x]$  and seeing it has no roots in  $\mathbb{F}_2[x]$ ), thus irreducible. It also has no multiple roots as the characteristic of  $\mathbb{Q}$  is 0.

Let  $L|\mathbb{Q}$  be a splitting field for  $P(x)$  and take  $\alpha_1, \alpha_2, \alpha_3$  to be the roots of  $P(x)$  in  $L$ . Matching coefficients,  $s_3(\alpha_1, \alpha_2, \alpha_3) = -1/3$ ,  $s_2(\alpha_1, \alpha_2, \alpha_3) = -1$ ,  $s_1(\alpha_1, \alpha_2, \alpha_3) = 0$ , so

$$\Delta_P = -4s_2(\alpha_1, \alpha_2, \alpha_3)^3 - 27s_3(\alpha_1, \alpha_2, \alpha_3)^2 = 4 - \frac{27}{9} = 1$$

In particular,  $\Delta_P \in (\mathbb{Q}^*)^2$  (as this is nonzero, it is an alternative way to see it has no repeated roots).

By the previous Lemma,  $\text{Gal}(L|\mathbb{Q})$  can be seen as a subgroup of  $A_3$ . On the other hand,  $\text{Gal}(L|\mathbb{Q})$  has order at least 3 as the extension  $K(\alpha_i)|\mathbb{Q}$  has degree 3 for any  $\alpha_i$ , as  $P(x)$  is irreducible. By the tower law,  $\text{Gal}(L|\mathbb{Q})$  has order at least 3, thus  $\#A_3 = 3$ , giving  $\text{Gal}(L|\mathbb{Q}) \simeq A_3$ .

**Theorem 3.6.14** (Primitive Element Theorem). *Let  $L|K$  be a finite separable extension of fields. Then there is an element  $\alpha \in L$  such that  $L = K(\alpha)$*

*Proof.* We prove the case for  $K$  being finite and infinite separately.

In the finite case, we have  $K \simeq \mathbb{F}_{p^n}$  for some prime  $p$  and positive integer  $n$ . Define  $G_d := \{x \mid \text{ord}(x) = d\} \subseteq \{x^d = 1\} \subseteq \mathbb{F}_{p^n}^*$ . By definition, if  $G_d \neq \emptyset$ ,  $|G_d| = \phi(d)$  and if  $G_d = \emptyset$ ,  $|G_d| = 0$ . Now, we have

$$\begin{aligned} p^n &= |\mathbb{F}_{p^n}^*| + 1 \\ &= \sum_{d|p^n-1} |G_d| + 1 \\ &= \sum_{d|p^n-1} \phi(d) + 1 \\ &= (p^n - 1) + 1 = p^n \end{aligned}$$

In particular,  $G_{p^n-1}$  is nonempty, thus we have a generator for the field (that is irrespective of the base field).

If  $K$  is an infinite field, noting that  $L$  is generated over  $K$  by a finite number of elements, induction shows that it is sufficient to prove that  $L$  is generated by one element if it is generated by two elements. Suppose that  $L = K(\beta, \gamma)$ . For  $d \in K$ , consider the intermediate field  $K(\beta + d\gamma)$ . As there are finitely many such, and as  $K$  is infinite, we can find  $d_1, d_2 \in K$  such that  $d_1 \neq d_2$  and  $K(\beta + d_1\gamma) = K(\beta + d_2\gamma)$ . We can find a  $P(x) \in K[x]$  such that  $\beta + d_1\gamma = P(\beta + d_2\gamma)$ , meaning we have

$$\gamma = \frac{P(\beta + d_2\gamma) - (\beta + d_2\gamma)}{d_1 - d_2}$$

and

$$\beta = (\beta + d_2\gamma) - d_2 \frac{P(\beta + d_2\gamma) - (\beta + d_2\gamma)}{d_1 - d_2}$$

and in particular,  $K(\beta, \gamma) = K(\beta + d_2\gamma)$ . □

**Proposition 3.6.15.** *Let  $F$  be a field of characteristic 0 and let  $K = F(\beta, \gamma)$  where  $\beta$  and  $\gamma$  are algebraic over  $F$ . Then there exists a  $d$  such that  $K = F(\beta + c\gamma)$  for some  $c \in F$ .*

*Proof.* We give a minimum polynomial argument. Suppose that  $\beta + c\gamma$  is not a primitive element, such that  $F(\beta + c\gamma) \subsetneq F(\beta, \gamma)$ . In particular,  $\gamma \notin F(\beta + c\gamma)$ . Consider the minimal polynomials of  $\beta$  and  $\gamma$  over  $F(\beta + c\gamma)$ , calling them  $f(X), g(X) \in F(\beta + c\gamma)[X]$ , and take a splitting field  $L$  containing all roots of  $f(X)$  and  $g(X)$ . Since  $\gamma \notin F(\beta + c\gamma)$ , there is another root  $\gamma' \neq \gamma$  and a field automorphism which fixes  $F(\beta + c\gamma)$  and takes  $\sigma(\gamma) = \gamma'$ . Then,

$$\beta + c\gamma = \sigma(\beta + c\gamma) = \sigma(\beta) + c\sigma(\gamma)$$

implying

$$c = \frac{\sigma(\beta) - \beta}{\gamma - \sigma(\gamma)}$$

As there are only finitely many field automorphisms  $\text{Aut}_{F(\beta+c\gamma)}(L)$  (where  $L$  is the splitting field), there are only finitely many  $c \in F$  that fail to give the primitive element. All other values give  $F(\beta + c\gamma) = F(\beta, \gamma)$ .  $\square$

## 4 Special Classes of Extensions

### 4.1 Cyclotomic Extension

**Definition 4.1.1.** *Let  $n \geq 1$ . For any field  $E$ , define*

$$\mu_n(E) := \{\rho \in E \mid \rho^n = 1\}$$

*The elements of  $\mu_n(E)$  are called the  **$n$ -th roots of unity**.  $\mu_n(E)$  inherits a group structure from  $E^*$ .*

**Lemma 4.1.2.** *The group  $\mu_n(E)$  is a finite cyclic group.*

*Proof.* This group is clearly finite, as there are at most  $n$  elements that satisfy  $x^d - 1 = 0$  over a field.

Suppose that we have two distinct subgroups  $H, K$  of  $\mu_n(E)$  of the same cardinality, say  $d$ . By Lagrange's Theorem, we have that elements of both  $H$  and  $K$  are annihilated by  $x^d - 1$ , but their union has cardinality larger than  $d$ . This is a contradiction, thus  $\mu_n(E)$  is finite cyclic.  $\square$

**Definition 4.1.3.** *If  $\#\mu_n(E) = n$ , we call  $\omega \in \mu_n(E)$  a **primitive  $n$ -th root of unity** if it is a generator of  $\mu_n(E)$  (note the initial condition  $\#\mu_n(E) = n$ ).*

*Note that if  $\omega \in \mu_n(E)$  is a primitive  $n$ -th root of unity, all other primitive  $n$ -th roots of unity are of the form  $\omega^k$  where  $k$  is an integer coprime to  $n$ .*

**Remark 4.1.4.** Let  $K$  be a field and suppose that  $(n, \text{char}(K)) = (1)$ . Let  $L$  be a splitting field for the polynomial  $x^n - 1 \in K[x]$ . We denote this by  $K(\mu_n)$  (though abusing language, as  $L$  is only well-defined up to non-canonical isomorphism). By construction,  $x^n - 1$  has no repeated roots, thus  $\#\mu_n(L) = n$  and  $L|K$  is a Galois extension.  $L|K$  is also a simple extension as  $L$  is generated over  $K$  by any primitive  $n$ -th root of unity in  $L$ .

By Lemma 4.1.2,  $\mu_n(L) \simeq \mathbb{Z}/n\mathbb{Z}$ , there are  $\#(\mathbb{Z}/n\mathbb{Z})^* = \Phi(n)$  primitive  $n$ -th roots of unity in  $L$ .

**Definition 4.1.5.** Define

$$\Phi_{n,K}(x) := \prod_{\omega \in \mu_n(L), \omega \text{ primitive}} (x - \omega)$$

Note that  $\deg(\Phi_{n,K}(x)) = \Phi(n)$ .

**Lemma 4.1.6.** The polynomial  $\Phi_{n,K}(x)$  has coefficients in  $K$  and depends only on  $n$  and  $K$  (does not depend on the choice of splitting field).

*Proof.* The coefficients of  $\Phi_{n,K}(x)$  are symmetric functions in the primitive  $n$ -th roots. As these roots are permuted by  $\text{Gal}(L|K)$ , the coefficients are invariant under  $\text{Gal}(L|K)$ , and thus lie in  $K$ .

The polynomial  $\Phi_{n,K}(x)$  only depends on  $n$  and  $K$  (and not on the choice of extension), as all the splitting  $K$ -extensions for  $x^n - 1$  are isomorphic.  $\square$

**Proposition 4.1.7.** There is a natural injection of groups  $\phi : \text{Gal}(L|K) \hookrightarrow \text{Aut}_{\text{Groups}}(\mu_n(L)) \simeq (\mathbb{Z}/n\mathbb{Z})^*$ . This map is surjective if and only if  $\Phi_{n,K}(x)$  is irreducible over  $K$ .

*Proof.* The first statement is straightforward, noting that  $\mu_n(L)$  generates  $L$  and  $\text{Gal}(L|K)$  acts on  $L$  by ring automorphisms.

Let  $\omega \in \mu_n(L)$  be a primitive  $n$ -th root of unity. Suppose that  $\Phi_{n,K}(x)$  is irreducible over  $K$ . Since  $\Phi_{n,K}(x)$  annihilates  $\omega$ , it is the minimal polynomial of  $\omega$ . In particular,  $[L : K] \geq \Phi(n)$ , and thus  $\#\text{Gal}(L|K) \geq \Phi(n)$ . On the other hand, we have an injection from  $\text{Gal}(L|K) \hookrightarrow (\mathbb{Z}/n\mathbb{Z})^*$ , giving  $\#\text{Gal}(L|K) \leq \Phi(n)$ . Thus  $\#\text{Gal}(L|K) = \Phi(n)$ , and by injectivity of this map,  $\phi$  is also surjective.

Conversely, if  $\phi$  is surjective, then the minimal polynomial of  $\omega$  is  $\Phi_{n,K}(x)$  by Lemma 1.0.2 and Lemma 3.6.10.  $\square$

**Proposition 4.1.8.** The polynomial  $\Phi_{n,\mathbb{Q}}(x)$  is irreducible and has coefficients in  $\mathbb{Z}$ .

*Proof.* Let  $L$  be a splitting field of  $x^n - 1 \in \mathbb{Q}[x]$ . Let  $\omega \in L$  be a primitive  $n$ -th root of unity. Let  $Q(x)$  be the minimal polynomial of  $\omega$  over  $\mathbb{Q}$ . Then  $Q(x) | x^n - 1$ , thus we can find a polynomial  $T(x) \in \mathbb{Q}[x]$  such that  $Q(x)T(x) = x^n - 1$ . Note that  $T(x)$  and  $Q(x)$  are monic. Thus  $1/c(T)$  and  $1/c(Q)$  are both positive integers. On the other hand,  $c(x^n - 1) = 1$ , and noting that  $1 = c(T)c(Q)$ , we see that  $c(T) = c(Q) = 1$ . In particular,  $Q(x)$  and  $T(x)$  have coefficients in  $\mathbb{Z}$ .

Fix a prime number  $p$  which is coprime to  $n$ . We claim that  $Q(\omega^p) = 0$ . Else, we have  $T(\omega^p) = 0$ , as  $Q(x)T(x) = x^n - 1$ . In particular  $\omega$  is a root of  $T(x^p)$ . Thus  $Q(x) | T(x^p)$ . In particular, we have some  $H(x)$  such that  $Q(x)H(x) = T(x^p)$ , where  $H(x)$  is also monic. Repeating the same logic as before,  $H(x) \in \mathbb{Z}[x]$ .

Now,

$$T(x^p)(\text{mod } p) = (T(x)(\text{mod } p))^p$$

in  $\mathbb{F}_p[x]$  as the  $p$ -power function is additive in  $\mathbb{F}_p[x]$ . In particular, from  $Q(x)H(x) = T(x^p)$ , we see that  $(Q(x)(\text{mod } p), T(x)(\text{mod } p)) \neq (1)$ . Define  $J(x) := \gcd(Q(x)(\text{mod } p), T(x)(\text{mod } p))$ . Then,  $J(x)^2 | x^n - 1(\text{mod } p)$ , and in particular  $x^n - 1(\text{mod } p)$  has multiple roots, which is a contradiction. Thus  $Q(\omega^p) = 0$ .

Generally,  $Q(\omega^k) = 0$  for  $k$  coprime to  $n$ . Thus, all primitive  $n$ -th roots of unity are roots of  $Q(x)$ . We see that  $\deg(Q) \geq \Phi(n)$ . By definition,  $Q(x) | \Phi_{n,\mathbb{Q}}(x)$ , so we have  $Q(x) = \Phi_{n,\mathbb{Q}}(x)$ . In particular,  $\Phi_{n,\mathbb{Q}}(x)$  is irreducible with coefficients in  $\mathbb{Z}$ .  $\square$



**Example 4.1.9.** Let  $p > 2$  be prime and  $\zeta_p := \exp(2\pi i/p)$ . Let  $K = \mathbb{Q}(\zeta_p)$ . The cyclotomic polynomial is

$$f(x) = \frac{x^p - 1}{x - 1} = x^{p-1} + \cdots + x + 1 = \Phi_{p,\mathbb{Q}}(x) = \prod_{i=1}^{p-1} (x - \zeta^i)$$

is by the previous proposition and by Gauss's Lemma irreducible in  $\mathbb{Q}[x]$ .

In particular  $[K : \mathbb{Q}] = p - 1$ . So a regular  $p$ -gon can be constructed with a ruler and compass only if  $p - 1$  is a power of 2 (such as 17).

## 4.2 Kummer Extension

**Definition 4.2.1.** Let  $K$  be a field and  $n$  be a positive integer with  $(n, \text{char}(K)) = (1)$ . Suppose that  $x^n - 1$  splits in  $K$ . Let  $a \in K$  and let  $M|K$  be a splitting extension for the polynomial  $x^n - a$ . We call such extension a **Kummer extension**

Note that by construction,  $x^n - a$  is a separable polynomial. In particular,  $M|K$  is a Galois extension.

**Lemma 4.2.2.** Let  $M|K$  be a Kummer extension. Let  $\rho \in M$  be such that  $\rho^n = a$ . There is a unique homomorphism  $\phi : \text{Gal}(M|K) \rightarrow \mu_n(K)$  such that  $\phi(\gamma) = \gamma(\rho)/\rho$ . The map does not depend on the choice of  $\rho$  and is injective.

*Proof.* First,  $(\gamma(\rho)/\rho)^n = \gamma(\rho^n)/\rho^n = a/a = 1$ , so in particular  $\gamma(\rho)/\rho \in \mu_n(K)$ , giving a well-defined map. Uniqueness follows from the fact the map is defined on all  $\gamma$ .

To see this map does not depend on the choice of  $\rho$ , if we have  $\rho_1^n = a$ , then note that  $(\rho/\rho_1)^n = a/a = 1$ . Thus, there is an  $n$ -th root of unity  $\mu \in K$  such that  $\mu = \rho/\rho_1$  as  $x^n - 1$  splits in  $K$ . Now,

$$\gamma(\rho)/\rho = \mu\gamma(\rho)/(\mu\rho) = \gamma(\mu\rho)/(\mu\rho) = \gamma(\rho_1)/\rho_1$$

So  $\phi$  does not depend on  $\rho$ .

To see that  $\phi$  is a group homomorphism, for any  $\gamma, \lambda \in \text{Gal}(M|K)$ , we have

$$\phi(\gamma\lambda) = \gamma(\lambda(\rho))/\rho$$

and

$$\phi(\gamma)\phi(\lambda) = (\gamma(\rho)/\rho)(\lambda(\rho)/\rho)$$

thus it suffices to show

$$\gamma(\lambda(\rho)) = \lambda(\rho)\gamma(\rho)/\rho$$

but this follows immediately from the fact  $x^n - 1$  splits in  $K$ ;

$$\lambda(\rho)/\rho = \gamma(\lambda(\rho)/\rho) = \gamma(\lambda(\rho))/\gamma(\rho)$$

Finally  $\phi$  is injective, as if  $\phi(\gamma) = 1$ , as  $\gamma$  fixes  $\rho$ , it fixes any root of  $x^n - a$  and hence  $\gamma = 1$ .  $\square$

**Remark 4.2.3.** Note that from the above proof,  $\text{Gal}(M|K)$  is cyclic. Let it be isomorphic to  $C_d$ , and pick a generator  $\sigma$ . In particular, taking any root  $\rho$  of  $x^n - a$ ,  $\sigma(\rho) = \zeta\rho$  for some  $\zeta$  with order  $d$ .  $\sigma^i$  generate distinct images and by dimension argument, we can see that in fact  $M|K$  is a simple extension, generated by any root of  $x^n - a$ .

**Definition 4.2.4.** Let  $E$  be a field. Let  $H$  be a group. A **character** of  $H$  is a group homomorphism  $H \rightarrow E^*$ .

**Proposition 4.2.5** (Dedekind). *Let  $\chi_1, \dots, \chi_k$  be distinct characters of  $H$  with values in  $E^*$ . Let  $a_1, \dots, a_k \in E$  be such that*

$$a_1\chi_1(h) + \dots + a_k\chi_k(h) = 0$$

*for all  $h \in H$ . Then  $a_1 = \dots = a_k = 0$ .*

*Proof.* We proceed by induction on  $k$ . The result is immediate for  $k = 1$ . Suppose  $k \geq 2$  and the proposition holds for any smaller parameter. If  $a_i$  all vanish, we are done. Else, up to reordering, without loss of generality, suppose that  $a_2 \neq 0$ .

Pick  $\alpha \in H$  such that  $\chi_1(\alpha) \neq \chi_2(\alpha)$ . Now for any  $\beta \in H$ , we have

$$\sum_{i=1}^k a_i \chi_i(\alpha\beta) = \sum_{i=1}^k a_i \chi_i(\alpha) \chi_i(\beta) = 0$$

And

$$\chi_1(\alpha) \sum_{i=1}^k a_i \chi_i(\beta) = \sum_{i=1}^k a_1 \chi_1(\alpha) \chi_i(\beta)$$

Subtracting,

$$\sum_{i=2}^k a_i (\chi_i(\alpha) - \chi_1(\alpha)) \chi_i(\beta) = 0$$

As this holds for any  $\beta \in H$ , we have from the inductive hypothesis that  $a_2 = 0$ , a contradiction.  $\square$

**Theorem 4.2.6.** *Let  $K$  be a field and  $n$  be a positive integer with  $(n, \text{char}(K)) = (1)$ . Suppose that  $x^n - 1$  splits in  $K$ . Suppose also that  $L|K$  is a Galois extension and that  $\text{Gal}(L|K)$  is a cyclic group of order  $n$ .*

*Now let  $\sigma \in \text{Gal}(L|K)$  be a generator of  $\text{Gal}(L|K)$  and  $\omega \in K$  is a primitive  $n$ -th root of unity in  $K$ . For any  $\alpha \in L$ , let*

$$\beta(\alpha) := \alpha + \omega\sigma(\alpha) + \omega^2\sigma^2(\alpha) + \dots + \omega^{n-1}\sigma^{n-1}(\alpha)$$

*Then,*

- *For any  $\alpha \in L$ ,  $\beta(\alpha)^n \in K$*
- *There is an  $\alpha \in L$  such that  $\beta(\alpha) \neq 0$ .*
- *If  $\beta(\alpha) \neq 0$ , then  $L = K(\beta(\alpha))$  (such that  $L$  is the splitting field of  $x^n - \beta(\alpha)^n$ )*

*Proof.* Let  $\alpha \in L$ . Compute

$$\sigma(\beta(\alpha)) = \sigma(\alpha) + \omega\sigma^2(\alpha) + \omega^2\sigma^3(\alpha) + \dots + \omega^{n-1}\alpha = \omega^{n-1}\beta(\alpha) = \omega^{-1}\beta(\alpha)$$

In particular,  $\sigma^i(\beta(\alpha)) = \omega^{-i}\beta(\alpha)$ . Furthermore, we have

$$\sigma(\beta(\alpha)^n) = \sigma(\beta(\alpha))^n = \omega^{-n}\beta(\alpha)^n = \beta(\alpha)^n$$

As  $L|K$  is Galois, we have  $\beta(\alpha)^n \in K$ . Note that any element of  $\text{Gal}(L|K)$  defines a character on  $L^*$  with values in  $L^*$ . By Dedekind, there is some  $\alpha$  such that  $\beta(\alpha) \neq 0$ . As  $\omega^{-i}\beta(\alpha)$  are roots of  $x^n - \beta(\alpha)^n$ , it splits in  $L$ .

Now,  $\text{Gal}(L|K)$  acts transitively and faithfully (the only element in  $\text{Gal}(L|K)$  that fixes all the roots is the identity) on the roots of  $x^n - (\beta(\alpha))^n$ . In particular,  $x^n - \beta(\alpha)^n$  is irreducible over  $K$ . Thus  $[K(\beta(\alpha)) : K] = n = [L : K]$ , which from the tower law, we conclude  $K(\beta(\alpha)) = L$ . Thus  $L$  is a splitting field for  $x^n - \beta(\alpha)^n$ .  $\square$

### 4.3 Radical Extension

**Definition 4.3.1.** The field extension  $L|K$  is said to be **radical** if  $L = K(\alpha_1, \dots, \alpha_k)$  and there are natural numbers  $n_1, \dots, n_k$  such that  $\alpha_1^{n_1} \in K, \alpha_2^{n_2} \in K(\alpha_1), \dots, \alpha_k^{n_k} \in K(\alpha_1, \dots, \alpha_{k-1})$ .

By definition, if  $L|K$  and  $M|L$  are radical extensions,  $M|K$  is a radical extension.

**Example 4.3.2.** Kummer extensions are radical. This is an immediate consequence of the fact Kummer extensions  $L|K$  are simple extensions generated by any root of  $x^n - a$  for  $a \in K$ .

**Lemma 4.3.3.** Let  $L|K$  be a radical extension and let  $J|L$  be a finite extension such that the composed extension  $J|K$  is a Galois extension. Then there is a field  $L'$  which is intermediate between  $J$  and  $L$  such that  $L'|K$  is Galois and radical.

*Proof.* Suppose that  $L = K(\alpha_1, \dots, \alpha_k)$  and that we have natural numbers  $n_1, \dots, n_k$  such that  $\alpha_1^{n_1} \in K, \alpha_2^{n_2} \in K(\alpha_1), \dots, \alpha_k^{n_k} \in K(\alpha_1, \dots, \alpha_{k-1})$ . Let  $G := \text{Gal}(J|K) = \{\sigma_1, \dots, \sigma_t\}$ . Then for any  $i \in \{1, \dots, k\}$  and  $\sigma \in G$ , we have

$$\sigma(\alpha_i^{n_i}) = \sigma(\alpha_i)^{n_i} \in \sigma(K(\alpha_1, \dots, \alpha_{i-1})) = K(\sigma(\alpha_1), \dots, \sigma(\alpha_{i-1}))$$

In particular,

$$K(\alpha_1, \dots, \alpha_k, \sigma_1(\alpha_1), \dots, \sigma_1(\alpha_k), \dots, \sigma_t(\alpha_1), \dots, \sigma_t(\alpha_k)) = K(\text{Orb}(\alpha_1), \dots, \text{Orb}(\alpha_k))$$

is a radical extension of  $K$ . Now, given  $\sigma \in G$ , we have

$$\sigma(K(\text{Orb}(\alpha_1), \dots, \text{Orb}(\alpha_k))) = K(\sigma(\text{Orb}(\alpha_1)), \dots, \sigma(\text{Orb}(\alpha_k))) = K(\text{Orb}(\alpha_1), \dots, \text{Orb}(\alpha_k))$$

we see that  $K(\text{Orb}(\alpha_1), \dots, \text{Orb}(\alpha_k))|K$  is a Galois extension (field fixed by Galois group actions). Thus we may set  $L' := K(\text{Orb}(\alpha_1), \dots, \text{Orb}(\alpha_k))$ .

$$\begin{array}{ccccc} & & \text{---} & & \\ & & \text{---} & & \\ K & \xrightarrow{\quad} & L = K(\alpha_1, \dots, \alpha_k) & \xleftarrow{\quad} & J \\ & \searrow & \downarrow & \swarrow & \\ & & L' = K(\text{Orb}(\alpha_1), \dots, \text{Orb}(\alpha_k)) & & \end{array}$$

□

#### 4.3.1 Solvability by Radical Extensions

**Theorem 4.3.4.** Suppose that  $\text{char}(K) = 0$ . Let  $L|K$  be a finite Galois extension.

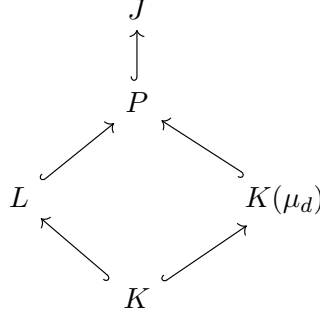
If  $\text{Gal}(L|K)$  is solvable, then there exists a finite extension  $M|L$  with the following properties

1. The composed extension  $M|K$  is Galois
2. There is a map of  $K$ -extensions  $K(\mu_{[L:K]}) \hookrightarrow M$
3.  $M$  is generated by the images of  $L$  and  $K(\mu_{[L:K]})$  in  $M$ .
4. The extension  $M|K(\mu_{[L:K]})$  is a composition of Kummer extensions. In particular,  $M|K$  is a radical extension.

Conversely, if there exists a finite extension  $M|L$  such that the composed extension  $M|K$  is radical, then  $\text{Gal}(L|K)$  is solvable.

*Proof.* First note that the images of  $L$  and  $K(\mu_c)$  in  $M$  do not depend on the maps of  $K$ -extensions  $L \hookrightarrow M$  and  $K(\mu_{[L:K]}) \hookrightarrow M$  as the two are both galois extensions.

Let  $d := \#\text{Gal}(L|K) = [L : K]$ . There is a Galois extension of  $K$  and maps of  $K$  extensions  $K(\mu_d) \hookrightarrow J$  and  $L \hookrightarrow J$  by the existence of splitting extensions and Lemma 3.5.3. Choose such an extension and maps of  $K$ -extensions. Now, let  $P$  be the field generated by  $L$  and  $K(\mu_d)$  in  $J$ . Then we have



Let  $G := \text{Gal}(J|K)$ . We can observe the following:

1.  $P|K$  is a Galois extension, as it is fixed by any  $\sigma \in G$  (as the fields they are generated by are Galois)
2.  $P|K(\mu_d)$  is Galois by lifting from  $K$ .
3. The restriction map  $\text{Gal}(P|K(\mu_d)) \rightarrow \text{Gal}(L|K)$  is injective. If  $\sigma \in \text{Gal}(P|K(\mu_d))$  restricts to the identity in  $L$ , it fixed both  $K(\mu_d)$  and  $L$ , thus fixes  $P$ .

Suppose now that  $\text{Gal}(L|K)$  is solvable. Then, by Lemma 1.1.3 and injectivity of  $\text{Gal}(P|K(\mu_d))$  into  $\text{Gal}(L|K)$ ,  $\text{Gal}(P|K(\mu_d))$  is solvable. In particular, there is a finite filtration with abelian quotients

$$0 = H_0 \subseteq H_1 \subseteq \cdots \subseteq H_n = \text{Gal}(P|K(\mu_d))$$

By Lemma 1.1.7, we may assume without loss of generality that the quotients of the filtration are cyclic. By the fundamental theorem of Galois Theory, the subgroups  $H_i$  correspond to a decreasing sequence of subfields of  $P$

$$P = P_0 \supseteq P_1 \supseteq \cdots \supseteq P_n = K(\mu_d)$$

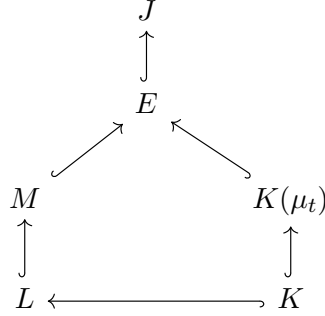
such that  $P_i|P_{i+1}$  is a Galois extension for any  $i$ . Also,

$$H_{i+1}/H_i \simeq \text{Gal}(P|P_i)/\text{Gal}(P|P_{i+1}) \simeq \text{Gal}(P_i|P_{i+1})$$

such that  $\text{Gal}(P_i|P_{i+1})$  is cyclic. By Lagrange's Theorem (applied repeatedly)  $\#(H_{i+1}/H_i)$  is a divisor of  $\#\text{Gal}(P|K(\mu_d))$  and thus of  $\#\text{Gal}(L|K) = d$ . In particular,  $x^{\#\text{Gal}(P_i|P_{i+1})} - 1$  splits in  $K(\mu_d)$ , and so in  $P_{i+1}$ . By Theorem 4.2.6,  $P_i|P_{i+1}$  is a Kummer extension, thus a radical extension. Setting  $M := P$ , we have shown this satisfies all our mentioned properties.

To prove the other direction, suppose that we have a finite extension  $M|L$  such that the composed extension  $M|K$  is radical. We may thus suppose that  $M = K(\alpha_1, \dots, \alpha_k)$  and there are  $n_1, \dots, n_k$  such that  $\alpha_1^{n_1} \in K, \dots, \alpha_k^{n_k} \in K(\alpha_1, \dots, \alpha_{k-1})$ . Let  $t := \prod_{i=1}^k n_i$ . Choose a Galois extension  $J|K$

such that there are maps of  $K$ -extensions  $M \hookrightarrow J$  and  $K(\mu_t) \hookrightarrow J$ . Fixing maps, let  $E$  be the intermediate field generated by  $M$  and  $K(\mu_t)$  in  $J$ . Thus, we have a diagram of extensions



By definition,  $E = K(\mu_t)(\alpha_1, \dots, \alpha_k)$ , and by construction each  $K(\mu_t)(\alpha_1, \dots, \alpha_{i+1})|K(\mu_t)(\alpha_1, \dots, \alpha_i)$  is a Kummer extension, as  $n_i|t$ . In particular, the Galois group is abelian. Now  $\text{Gal}(K(\mu_t)|K)$  is abelian also. By the Fundamental Theorem for Galois groups, we see that  $\text{Gal}(E|K)$  is solvable. Finally, as  $\text{Gal}(L|K)$  is a quotient of  $\text{Gal}(E|K)$ ,  $\text{Gal}(L|K)$  is solvable.  $\square$

**Definition 4.3.5.** Let  $P(x) \in K[x]$  and let  $L|K$  be a splitting extension for  $P(x)$ . We say  $P(x)$  is **solvable by radicals** if there is an extension  $M|L$  such that the composed extension  $M|K$  is radical (as the splitting extensions are isomorphic, the choice does not matter). By the previous theorem,  $P(x)$  is solvable by radicals if and only if  $\text{Gal}(L|K)$  is solvable.

**Corollary 4.3.6.** Let  $n \geq 5$  and  $K$  be a field. The extension  $K(x_1, \dots, x_n)|K(x_1, \dots, x_n)^{S_n}$  is not radical. (Note the action is induced by the action of  $S_n$  on  $K[x_1, \dots, x_n]$ )

*Proof.* By Artin's Lemma,  $K(x_1, \dots, x_n)|K(x_1, \dots, x_n)^{S_n}$  is a Galois extension. On the other hand,  $S_n$  is not solvable for  $n \geq 5$ , so by Theorem 4.3.4, is not radical.  $\square$

**Remark 4.3.7.** To see  $K(x_1, \dots, x_n)|K(x_1, \dots, x_n)^{S_n}$  is a Galois extension directly, note that it is the splitting field of the polynomial

$$U_n(x) = x^n - s_1(x_1, \dots, x_n)x^{n-1} + \dots + (-1)^n s_n(x_1, \dots, x_n) \in K(x_1, \dots, x_n)^{S_n}[x]$$

And the roots are  $x_1, \dots, x_n$  generate the field.

**Example 4.3.8** (Solution to the General Cubic Equation). Let  $K$  be a field and suppose that  $\text{char}(K) = 0$ . We wish to solve the cubical equation

$$y^3 + ay^2 + by + c = 0$$

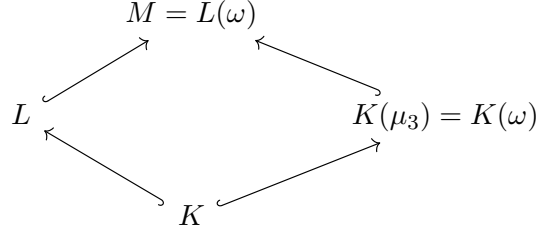
where  $a, b, c \in K$ . Letting  $x = y + \frac{a}{3}$ , we see that this is equivalent to solving

$$x^3 + px + q = 0$$

where  $p = -\frac{1}{3}a^2 + b$  and  $q = \frac{2}{27}a^3 - \frac{1}{3}ab + c$ . So let  $P(x) = x^3 + px + q$ . We wish to find a solution that starts with  $p, q$  and iteratively applies multiplication, addition, multiplication by  $K$ , extraction of 2nd and 3rd roots.

Let  $L|K$  be a splitting extension for  $P(x)$ . Let  $\omega \in K(\mu_3)$  be a primitive 3rd root of unity. Now by Lemma 3.5.3 we can choose a finite Galois extension  $J|K$  and maps of  $K$  extensions  $L \hookrightarrow J$  and

$K(\mu_3) = K(\omega) \hookrightarrow J$ . Let  $M = L(\omega)$  be the field generated in  $J$  by the images of  $L$  and  $K(\omega)$  in  $J$ . So we have the following



Now note that  $\text{Gal}(L|K)$  is solvable as it injects into  $S_3$ , and thus  $M|K$  is radical by Theorem 4.3.4 (from which we should be able to retrieve an expression for  $\omega$ ).

Consider the sequence of extensions

$$K \hookrightarrow K(\omega) \hookrightarrow K(\omega, \sqrt{\Delta_P}) \hookrightarrow M$$

As the square root of  $\Delta_P$  is a polynomial in the roots of  $P(x)$ , it lies in  $L$ .

Now note that

## 5 Main Ideas in GT - No definitions

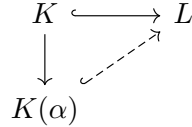
The concept of multiple roots (on  $P(x) \in K[x]$ ) is invariant under

- field extension. (Pf. ED algorithm is unique in computing a generator)
- polynomials  $Q(x)$  such that  $Q(x)|P(x)$

The gcd of  $P, Q$  is the generator of  $(P, Q)$

If  $P' \neq 0$  and  $P$  is irreducible, it has no multiple roots.

Extension of maps :



is determined by sending  $\alpha$  to the roots of  $m_\alpha$  in  $L$ , where  $m_\alpha$  is the minimal polynomial of  $\alpha$  with coefficients in  $K$ . So the cardinality of maps is the number of roots of  $m_\alpha$  in  $L$ . This is a consequence of the fact  $K(\alpha) \simeq K[x]/m_\alpha$ .

- composition of normal extensions need not be normal, consider  $\mathbb{Q}(\sqrt{2})$  and  $\mathbb{Q}(\sqrt[4]{2})$ .

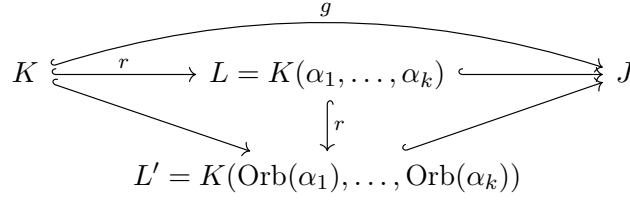
### 5.1 Relating Field Extensions

- Splitting fields exist for any polynomial

$$K \xrightarrow{\exists s_P} M$$

- Lemma 4.3.3: If  $L|K$  is a radical extension and  $J|L$  is a finite extension such that  $J|K$  is a Galois extension, there is an intermediate field  $L'$  between  $J$  and  $L$  such that  $L'|K$  is Galois

and radical



## 5.2 Examples of Galois Extensions

**Example 5.2.1.** Consider  $K = \mathbb{Q}(\sqrt{2}, \sqrt{3})$  as a field extension over  $\mathbb{Q}$ .  $K$  is the splitting field over the separable polynomial  $(x^2 - 2)(x^2 - 3)$ , thus is Galois. Field automorphisms must send each generator to their conjugates, so our choice is

$$\sqrt{2} \mapsto \pm\sqrt{2} \quad \sqrt{3} \mapsto \pm\sqrt{3}$$

giving  $G = \text{Gal}(K|\mathbb{Q}) \simeq C_2 \times C_2$ . By Galois correspondence, the nontrivial subgroups give intermediate fields, where the correspondence is given by  $K^H$  where  $H \subseteq G$ . Taking the subgroup that flips  $\sqrt{2}$ , we have

$$K^{H_2} = \{a + c\sqrt{3} \mid a, c \in \mathbb{Q}\} = \mathbb{Q}(\sqrt{3})$$

and similarly with  $H_3$ . The map that flips  $\sqrt{2}$  and  $\sqrt{3}$  would be

$$K^{H_{2,3}} = \{a + \sqrt{d} \mid a, d \in \mathbb{Q}\} = \mathbb{Q}(\sqrt{6})$$

We also give an example of a Kummer extension.

**Example 5.2.2.** Let  $K = \mathbb{Q}(\sqrt[3]{2}, \zeta_3)$  where  $\zeta_3 = e^{2\pi i/3}$ . This is the splitting field over the separable polynomial  $x^3 - 2$ . The galois group is generated by choices of maps

$$\sqrt[3]{2} \mapsto \zeta_3^k \sqrt[3]{2}, k = 0, 1, 2 \quad \zeta_3 \mapsto \zeta_3^{\pm 1}$$

Now note that  $[\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}] = 3$  and  $[\mathbb{Q}(\zeta) : \mathbb{Q}] = 2$ , and as  $\zeta \notin \mathbb{Q}(\alpha)$ ,  $[K : \mathbb{Q}] = 6$  by the tower law. In particular  $|G| = 6$ , and we can check via relations that this is  $S_3$ . The proper subfields correspond to the subgroups of  $S_3$  which correspond to subfields  $\mathbb{Q}(\zeta_3), \mathbb{Q}(\sqrt[3]{2}), \mathbb{Q}(\zeta_3 \sqrt[3]{2}), \mathbb{Q}(\zeta_3^2 \sqrt[3]{2})$ .

**Example 5.2.3.** Taking  $K = \mathbb{Q}(\zeta_5)$  where  $\zeta_5 = e^{2\pi i/5}$ . This is a cyclotomic field which has Galois group  $\text{Gal}(\mathbb{Q}(\zeta_5)|\mathbb{Q}) \simeq (\mathbb{Z}/5\mathbb{Z})^\times \simeq C_4$ .  $C_4$  has exactly one nontrivial subgroup of order 2, whose automorphism set is  $\{\text{id}, \sigma^2\}$ . As  $\sigma^2$  sends  $\zeta_5$  to  $\zeta_5^4 = \zeta_5^{-1}$ , it fixes  $\zeta_5 + \zeta_5^{-1}$  whose minimum polynomial is  $x^2 + x - 1$ .

**Remark 5.2.4.** The above give rise to a variety of examples  $[K : F]$  such that  $K|F$  is Galois but there are intermediate fields  $F \subsetneq L \subsetneq K$  such that  $L|F$  is not Galois. For instance, take  $F = \mathbb{Q}$  and  $L = \mathbb{Q}(\sqrt[3]{2}), K = \mathbb{Q}(\sqrt[3]{2}, \zeta_3)$ .

**Example 5.2.5.** Let  $f := t^4 - 4t^2 + 2$  and  $\alpha \in \mathbb{C}$  be a root of  $f$ . Then  $\mathbb{Q}(\alpha)$  is the splitting field of  $f$ , by noting how the roots relate to each other. Also, we have the tower of extensions

$$\mathbb{Q} \subsetneq \mathbb{Q}(\sqrt{2}) \subsetneq \mathbb{Q}(\alpha)$$

which shows the extension has degree 4. Explicit mappings of roots shows that  $\text{Gal}(\mathbb{Q}(\alpha)|\mathbb{Q}) \simeq C_4$ .

### 5.3 Important Things to Keep in Mind

- Splitting extensions always exist (induction by repeatedly quotienting by irreducible factors of  $P$ , and noting this always produces roots)
- Splitting extensions are (non-canonically) isomorphic as  $K$ -extensions (induction with quotienting with a min-poly of a root of  $P$ )
- Extensions from splitting fields preserve image (because any automorphism only permutes roots)
- Number of injections from simple extensions  $K(\alpha)$  to  $J$  depends on root presense (of minpoly) in  $J$  (bijective correspondence).
- Taking  $K(\alpha_1, \dots, \alpha_k)$ , there is a nonempty/finite number of injections to fields where the product of the minpoly split. By bijective correspondence, there are ‘tower’ many ( $[M : K]$  many) when the minpolys separate.
- Normal iff splitting extension for poly with coefficients in  $K$  ( $\Rightarrow$ , consider product of minpoly of generators,  $\Leftarrow$ , pick any  $\alpha \in L$ , extend to splitting field of prod of minpoly, induce map  $\lambda$  from  $L$  to splitter, by image invariance  $\lambda(L)$  contains all roots of  $\alpha$ , splits.)
- Galois correspondence with intermediate fields and raising base fields, use Artin with identity ( $\text{Gal}(K|K^G) = G$ ), inverse given by  $H \mapsto L^H$
- Lowering to subfield from  $L$  to  $M$  only works if  $\text{Gal}(L|M)$  is a normal subgroup of  $\text{Gal}(L|K)$  (use FIT, image fixing of  $M$  by  $\gamma \in \text{Gal}(L|K)$ , kernel argument) (reverse, navigate through to show  $\text{Gal}(L|\gamma(M)) = \text{Gal}(L|M)$ , which implies  $M = \gamma(M)$  by FTGT) (image invariance lets us define maps about  $M$ ) ( $\text{Gal}(L|K)/\text{Gal}(L|M) \simeq \text{Gal}(M|K)$ ).
- $\uparrow$  also lets us lower galois field by image invariance
- Kummer has cyclic Galois group (injects into roots of unity) (injective by root fixing argument)