#### K-Anonymity: A Model for Protecting Privacy

**HUM 4441: Engineering Ethics** 

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#### Introduction

- The paper, k-ANONYMITY: A MODEL FOR PROTECTING PRIVACY by L. Sweeney, addresses disclosures based on inferences that can be drawn from released data (vs. access control and authentication protections).
- Problem Statement:

How can a data holder release a version of its private data with scientific guarantees that the individuals who are the subjects of the data cannot be re-identified while the data remain practically useful?

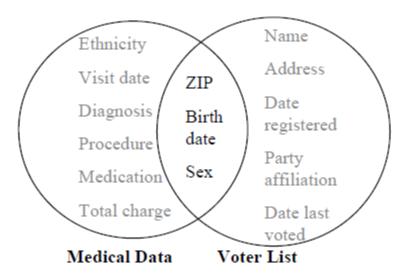
#### The Proposed Work

- 1) A formal protection model named k-anonymity (key contribution):
  - ☐ The released information is enforced to map to many (k) possible "people".
  - ☐ The greater k is made, the more anonymous the released information become.
- 2) Some re-identification attacks that can be realized on releases that adhere to *k*-anonymity.
- 3) A set of accompanying policies that if deployed can thwart the presented attacks.

## Talk roadmap

- Example: Re-identification by linking.
- The k-anonymity protection model:
  - Quasi-identifier.
  - K-anonymity: Definition and Example.
- Attacks against k-anonymity:
  - Unsorted Matching.
  - Complementary Release.
  - Temporal.
- Concluding remarks (strengths and weaknesses).

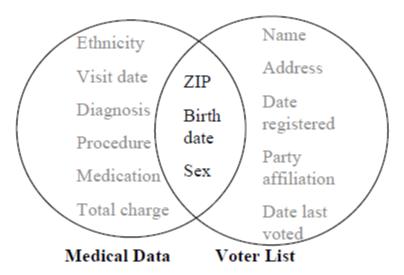
#### Re-Identification By Linking



This information can by linked using ZIP code, birth date and gender to the medical information, thereby linking diagnosis, procedures, and medications to particularly named individuals.

## **K-anonymity Model**

- Objective: Released information limits what can be revealed about properties of the entities that are to be protected.
- Quasi-identifier: set of attributes that can be lined with external data to uniquely identify individuals in the population (e.g., ZIP code, gender, and date of birth).



The data holder can accurately identify quasi-identifiers.

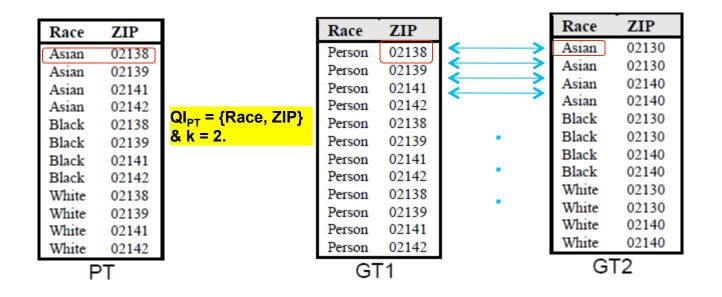
#### **K-anonymity Model**

Definition: Let RT(A<sub>1</sub>, ..., A<sub>n</sub>) be a table and QI<sub>RT</sub> be the quasi-identifier associated with it. RT is said to satisfy k-anonymity if and only if each sequence of values in RT[QI<sub>RT</sub>] appears with at least k occurrences in RT[QI<sub>RT</sub>].

|     | Race  | Birth | Gender | ZIP   | Problem      |
|-----|-------|-------|--------|-------|--------------|
| t1  | Black | 1965  | m      | 0214* | short breath |
| t2  | Black | 1965  | m      | 0214* | chest pain   |
| t3  | Black | 1965  | f      | 0213* | hypertension |
| t4  | Black | 1965  | f      | 0213* | hypertension |
| t5  | Black | 1964  | f      | 0213* | obesity      |
| t6  | Black | 1964  | f      | 0213* | chest pain   |
| t7  | White | 1964  | m      | 0213* | chest pain   |
| t8  | White | 1964  | m      | 0213* | obesity      |
| t9  | White | 1964  | m      | 0213* | short breath |
| t10 | White | 1967  | m      | 0213* | chest pain   |
| t11 | White | 1967  | m      | 0213* | chest pain   |

 $QI = \{Race, Birth, Gender, ZIP\}$  and K = 2.

 Unsorted Matching: based on the order in which tuples appear in the released tables.



Solution: Randomly sort the tuples of the released tables.

 Complementary Release: based on the common fact that the attributes that constitute the quasi-identifier are themselves a subset of the attributes

released.

| Race  | BirthDate  | Gender | ZIP   | Problem         |  |
|-------|------------|--------|-------|-----------------|--|
| black | 9/20/1965  | male   | 02141 | short of breath |  |
| black | 2/14/1965  |        | 02141 | chest pain      |  |
| black | 10/23/1965 | female | 02138 | painful eye     |  |
| black | 8/24/1965  | female | 02138 | wheezing        |  |
| black | 11/7/1964  | female | 02138 | obesity         |  |
| black | 12/1/1964  | female | 02138 | chest pain      |  |
| white | 10/23/1964 | male   | 02138 | short of breath |  |
| white | 3/15/1965  | female | 02139 | hypertension    |  |
| white | 8/13/1964  | male   | 02139 | obesity         |  |
| white | 5/5/1964   | male   | 02139 | fever           |  |
| white | 2/13/1967  | male   | 02138 | vomiting        |  |
| white | 3/21/1967  | male   | 02138 | back pain       |  |
|       | PT         |        |       |                 |  |

QI<sub>PT</sub> = {Race, BirthDate, Gender, ZIP} & k = 2.

| Race   | BirthDate | Gender | ZIP   | Problem         |
|--------|-----------|--------|-------|-----------------|
| black  | 1965      | male   | 02141 | short of breath |
| black  | 1965      | male   | 02141 | chest pain      |
| person | 1965      | female | 0213* | painful eye     |
| person | 1965      | female | 0213* | wheezing        |
| black  | 1964      | female | 02138 | obesity         |
| black  | 1964      | female | 02138 | chest pain      |
| white  | 1964      | male   | 0213* | short of breath |
| person | 1965      | female | 0213* | hypertension    |
| white  | 1964      | male   | 0213* | obesity         |
| white  | 1964      | male   | 0213* | fever           |
| white  | 1967      | male   | 02138 | vomiting        |
| white  | 1967      | male   | 02138 | back pain       |

GT1

| Race  | BirthDate | Gender | 7IP   | Problem         |
|-------|-----------|--------|-------|-----------------|
|       |           |        |       |                 |
| black | 1965      | male   | 02141 | short of breath |
| black | 1965      | male   | 02141 | chest pain      |
| black | 1965      | female | 02138 | painful eye     |
| black | 1965      | female | 02138 | wheezing        |
| black | 1964      | female | 02138 | obesity         |
| black | 1964      | female | 02138 | chest pain      |
| white | 1960-69   | male   | 02138 | short of breath |
| white | 1960-69   | human  | 02139 | hypertension    |
| white | 1960-69   | human  | 02139 | obesity         |
| white | 1960-69   | human  | 02139 | fever           |
| white | 1960-69   | male   | 02138 | vomiting        |
| white | 1960-69   | male   | 02138 | back pain       |

GT3

Linking GT1 and GT3 on {Problem} reveals the table LT.

| Race  | BirthDate | Gender | ZIP   | Problem         |
|-------|-----------|--------|-------|-----------------|
| black | 1965      | male   | 02141 | short of breath |
| black | 1965      | male   | 02141 | chest pain      |
| black | 1965      | female | 02138 | painful eye     |
| black | 1965      | female | 02138 | wheezing        |
| black | 1964      | female | 02138 | obesity         |
| black | 1964      | female | 02138 | chest pain      |
| white | 1964      | male   | 02138 | short of breath |
| white | 1965      | female | 02139 | hypertension    |
| white | 1964      | male   | 02139 | obesity         |
| white | 1964      | male   | 02139 | fever           |
| white | 1967      | male   | 02138 | vomiting        |
| white | 1967      | male   | 02138 | back pain       |

LT

Solution: Subsequent releases of the same privately held information must consider "all" of the released attributes of T a quasi-identifier, or subsequent releases themselves would be based on T.

- Temporal attack: based on the fact the data collections are dynamic:
  - a) At time  $t_0$ , let table  $T_0$  be the original privately held table.
  - b) Release RT<sub>0</sub>.
  - c) At time  $t_1$ , additional tuples are added to  $T_0 \rightarrow T_t$ .
  - d) Release RT<sub>t.</sub>
  - e) Because no requirement that RT<sub>t</sub> respect RT<sub>0</sub>, linking the tables RT<sub>0</sub> and RT<sub>t</sub> may reveal sensitive information and thereby compromise k-anonymity protection.
- Solution: Subsequent releases of the same privately held information must consider "all" of the released attributes of RT<sub>0</sub> a quasi-identifier, or subsequent releases themselves would be based on RT<sub>0</sub>.