AutoBlock: A Hands-off Blocking Framework for Entity Matching

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ABSTRACT

Entity matching seeks to identify data records over one or multiple data sources that refer to the same real-world entity. Virtually every entity matching task on large datasets requires blocking, a step that reduces the number of record pairs to be matched. However, most of the traditional blocking methods are learning-free and key-based, and their successes are largely built on laborious human effort in cleaning data and designing blocking keys.

In this paper, we propose AutoBlock, a novel hands-off blocking framework for entity matching, based on similarity-preserving representation learning and nearest neighbor search. Our contributions include: (a) **Automation**: AutoBlock frees users from laborious data cleaning and blocking key tuning. (b) **Scalability**: AutoBlock has a sub-quadratic total time complexity and can be easily deployed for millions of records. (c) **Effectiveness**: AutoBlock outperforms a wide range of competitive baselines on multiple large-scale, real-world datasets, especially when datasets are dirty and/or unstructured.

KEYWORDS

Entity Matching; Blocking; Deep Learning; Embedding

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1 INTRODUCTION

Entity matching seeks to identify data records over one or multiple data sources that refer to the same real-world entities. In the era of

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WSDM ³20, February 3–7, 2020, Houston, TX, USA © 2020 Association for Computing Machinery. ACM ISBN 978-1-4503-6822-3/20/02...\$15.00 https://doi.org/10.1145/3336191.3371813 Big Data and data science, entity matching is playing an increasingly critical role as the value of the data expands exponentially when they are linked to other data to create a unified repository [9]. An exhaustive pairwise comparison grows quadratically with the number of records, which is unaffordable for datasets of even moderate size. As a result, virtually every entity matching task on large datasets requires *blocking*, a step that effectively reduces the number of record pairs to be considered for matching without potentially ruling out true matches.

A successful application of blocking to an entity matching task should fulfill the following four desiderata: First, blocking, ideally, should not leave out any true matches (i.e., high *recall*), since only the candidate record pairs generated by blocking will be further examined in the downstream matching step. Second, the number of candidate pairs should be small so that the cost of applying a usually computationally-expensive matching algorithm is controlled. Therefore, it is desired to have a small ratio of the number of candidate pairs to the number of entities (Pair-Entity ratio, or *P/E ratio*). Third, *human effort* should not be overspent during the whole blocking process; man-hours on cleaning data and tuning the configuration for blocking algorithms need to be minimized. Last but not least, the blocking algorithm should be *scalable* enough to handle millions of records.

Although the problem of blocking has been studied for decades, to the best of our knowledge, the dominant and most widely used methods in practice are key-based methods. The main idea of these methods is to divide records into a collection of blocks based on several human-crafted *blocking keys* such that we only perform comparisons only among records co-occurring in the same blocks. To improve recall, many efforts have been focusing on generating multiple customized blocking key [1, 11] on individual attributes or aggregated attributes [20].

Challenges The foremost challenge for blocking is the *unnor-malization*, or namely the various types of noise, prevalent in the real-world data. As an illustrative example, consider two matched record pairs in Table 1 for songs. While each tuple in the pair resembles the other, a few common cases of unnormalization can still be observed: (a) "Blowin'" is *misspelled* as "Blowing"; (b) *missing values* appear on many attributes; (c) "Michael Bublé" is moved from Composer to Song Writer, which may have resulted from the *ambiguity* in schema definition; (d) the title of Record 2 contains an extra version description "[remix]", possibly due to *imperfect extraction*.

^{*}Work performed during internship at Amazon.

Table 1: An example of two matched pairs. Various cases of unnormalization are observed.

ID	Title	Album	Composer	Song Writer
1	Me and Mrs. Jones	Call Me Irresponsible	Michael Bublé	
2	Me and Mrs. Jones [remix]			Michael Bublé
3	Blowin' in the Wind	The Freewheelin' Bob Dylan	Bob Dylan	
4	Blowing in the Wind		Bob Dylan	

The result of the prevalence of unnormalization in real-world data is that blocking becomes rather challenging with traditional key-based blocking methods. This is because these methods rely on exact matching of blocking keys; thus, to deal with the unnormalized data one would have to carefully choose among a large number of combinations of different data cleaning strategies and blocking key design [7, 10, 24]. It is often the case that these decisions are *dataset-specific* and not obvious even to domain experts, and many iterations of trial-and-error have to be implemented [8].

As a concrete example, let us consider a typical blocking process for the song records in Table 1. A user may start with Title as a blocking key, then realize it covers few true matches because of the prevalence of the unnormalized texts in titles. Next, the user may try various ways to clean the titles (such as removing all punctuation and version descriptions) and generate multiple customized blocking keys (such as using prefixes, suffixes and/or character/token n-grams of the titles). These attempts, however, need to be made incrementally, and usually cannot be applied altogether, since combining all of them often becomes overkill and results in an unaffordably large P/E ratio. Furthermore, the user typically has to replicate all these efforts with the other attributes, as Title alone cannot produce high enough recall. Yet other attributes may have their own issues, such as low coverage and extremely large frequencies of particular attribute values (e.g., "Bob Dylan" in Composer). Even worse, the user may need to manually recognize the correlation among a set of attributes, and create an aggregated attribute to assist the blocking (e.g., combining Composer and Song Writer).

Some non-key-based blocking methods (such as MinHash blocking [14]) can partially handle the unnormalization issue by supporting fuzzy-matching on attribute values. But these methods rely purely on lexical evidence, so they can still fall short on recall, or obtain reasonable recall but sacrifice P/E ratio, thus leading to a high comparison cost in the downstream matching step.

Therefore, the process of blocking on large-scale, unnormalized real-world data can be costly in human labor; even a well-educated domain expert often needs to spend *days or weeks* in order to achieve satisfactory blocking results.

Our Solution In this paper, we seek to build a general blocking approach that achieves high recall, low P/E ratio, scalability, and minimum human effort, simultaneously.

We begin with an intuition as follows: if we had a good similarity metric $\sigma(\cdot,\cdot)$ for quantifying the similarity of any record pair, and could afford to apply the metric σ to all possible pairs in the data source, blocking would be done by simply retrieving the nearest neighbors (NNs) for each record. However, substantiating this scheme is rather challenging, since a good metric σ for blocking is usually unknown

a priori, andfi nding NNs is inefficient for most non-trivial σ 's. Two design questions thus arise naturally:

- (Q1) Similarity Metric: Is it possible to automatically identify a good similarity metric for blocking?
- (Q2) Fast NN Search: Given the identified, potentially non-trivial similarity metric, can wefi nd nearest neighbors for each record efficiently?

To answer these two questions, we propose AutoBlock, a handsoff blocking framework on tabular records (tuples). To automatically identify a good similarity metric, AutoBlock utilizes a set of pairwise labels that indicates which record pairs are matched, and learns a neural network architecture that produces, for similar tuple pairs, similar real-valued representations (named signatures), measured under some standard metric. Thus, a similarity metric σ for tuples is implicitly learned as the composition of the signature function (the neural network architecture) and the standard similarity metric for signatures. To further enable efficient approximate NN search, we choose the metric for signatures to be cosine and apply cross-polytope locality-sensitive hashing (LSH) [2]—a theoretically optimal LSH family for cosine similarity—to retrieve the NNs for each tuple in sublinear time.

Contributions We now underscore our main contributions:

- Automation: We propose a novel hands-off blocking framework, AutoBlock, that frees users from the tedious and laborious processes of data cleaning, and designing and tuning blocking keys.
- Scalability: We show that AutoBlock has a sub-quadratic total time complexity for generating the candidate pairs for all tuples and thus can be easily deployed for millions of tuples.
- Effectiveness: We evaluate AutoBlock on multiple large-scale, real-world datasets of various domains, and show that our method outperforms a wide range of competitive baselines on dirty and unstructured datasets, with minimum human effort involved.

The rest of the paper is organized as follows. We start with notation and problem definition in Section 2. Then we further elaborate our intuition—blocking as NN search—and give an overview of the architecture of AutoBlock in Section 3. We formally present thefi ve major steps of AutoBlock in Section 4. Section 5 shows our experimental results, and Section 6 discusses the related work. Finally, we conclude and list several future directions in Section 7.

2 PROBLEM DEFINITION

Suppose our dataset consists of n tuples, and each tuple has m attributes. We denote the i-th tuple by $\mathbf{x}_i \triangleq [\mathbf{a}_{i1}, \mathbf{a}_{i2}, \ldots, \mathbf{a}_{im}]$, where \mathbf{a}_{ij} is the j-th attribute value for \mathbf{x}_i and \triangleq stands for "is defined as." We use [n] as a shorthand for the set $\{1, 2, \ldots, n\}$. In this way, each attribute value \mathbf{a}_{ij} can be represented as a sequence of tokens $[w_{ijk}]_{k=1}^{l_{ij}}$, where l_{ij} is the sequence length for \mathbf{a}_{ij} and w_{ijk} is the k-th token. We assume that all tokens are drawn from a unified vocabulary $\mathcal V$. We emphasize two important properties of the vocabulary $\mathcal V$ for unnormalized text: (a) openness— $\mathcal V$ can contain out-of-vocabulary tokens and have infinite cardinality; and (b) prevalence of missing values—many l_{ij} 's can be zero.

We now give a formal definition of blocking as follows.

Definition 1 (Blocking). Given a data source $X \triangleq [x_1, \ldots, x_n]$ of n tuples, *blocking* outputs a subset of candidate pairs $C \subseteq [n] \times [n]$, such that for any $(i, i') \in C$, tuple x_i and tuple $x_{i'}$ are likely to refer to same entity.

Remark. As noted in the definition, high recall is the foremost requirement for blocking; nevertheless, it is also important to control the size of *C* to achieve the goal of prescreening for matching.

In addition to the tuple set X, we also assume that we are able to access a *positive label set* $\mathcal{L} \subseteq [n] \times [n]$, such that $(\mathbf{x}_i, \mathbf{x}_{i'})$ is a match for all $(i, i') \in \mathcal{L}$. These positive labels can be generated with certain strong keys whenever available (UPC code for grocery products, ISBN numbers for books, SSN for residents, etc.), or obtained by manual annotation. Note that this \mathcal{L} can be reused for training the downstream matching algorithm, which requires collecting positive labels anyway; thus requiring such \mathcal{L} in blocking does not incur additional human effort.

3 BEYOND BLOCKING: NEAREST NEIGHBOR SEARCH

We hypothesize that if there is a perfect similarity metric, and efficiency is not a concern, blocking can be achieved by NN search. We refer to this scheme as *NN blocking* and specify it in Algorithm 1.

Algorithm 1: Nearest neighbor (NN) blocking

Input: tuple set X, a similarity metric $\sigma(\cdot, \cdot)$, and threshold θ **Output:** candidate pairs C

- $_{1} C := \emptyset$;
- 2 **for** i = 1, ..., n **do**
- $C := C \cup \{(i, i') | \sigma(\mathbf{x}_i, \mathbf{x}_{i'}) > \theta, \forall i' < i\};$

In fact, a wide range of existing blocking methods can be viewed as special cases of NN blocking, with their own similarity metrics. Behind the traditional key-based blocking methods, for example, are binary similarity metrics (row 2–5 in Table 2). This observation exposes the key reason why these methods are susceptible to unnormalized data: their similarity metrics rely on exact string matching and are too coarse-grained.

Another example is MinHash blocking, based on set-based similarity (row 6 in Table 2). MinHash blockingfi rst converts each tuple into a set of representative pieces that typically comprise individual tokens and token n-grams, then measures the similarity of tuples based on their set representations. Jaccard similarity and its LSH family—MinHash—are used to provide efficient approximate NN search. However, Jaccard similarity only captures the lexical similarity between tuples and thus can be suboptimal for difficult domains where syntactic or semantic similarity is required.

Overview of Our Architecture Our framework AutoBlock follows the scheme of NN blocking and leverages a positive label set to implicitly learn the similarity metric. The overall architecture of AutoBlock comprises in vesteps, as illustrated in Figure 1, in which steps (1)–(4) together form our solution for design question Q1 and step (5) is our solution for Q2. We briefly describe the ive steps below and explain them in details in the next section.

Table 2: Common blocking methods and their corresponding similarity metrics

Method	Key (Example)	Similarity Metric		
Single Key	f(x) = x.title	$\mathbb{I}(f(x) = f(y))$		
Conjunctive Key [4, 17]	f(x) = (x.title, x.album)	$\mathbb{I}(f(x) = f(y))$		
Disirus stina IV [4, 17]	$f_1(x) = x$.title	$\mathbb{I}(f_1(x) = f_1(y))$		
Disjunctive Key [4, 17]	$f_2(x) = x$.album	$\vee \mathbb{I}(f_2(x) = f_2(y))$		
Customized Key [1, 11, 20]	f(x) = firstTwoToken(x.title)	$\mathbb{I}(f(x) = f(y))$		
MinHash [14]	f(x) = nGrams(x.title)	JaccardSim(f(x), f(y))		

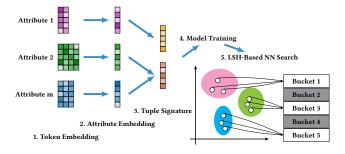


Figure 1: Overall architecture of AutoBlock

- (1) **Token embedding**: A word-embedding model transforms each token to a token embedding (Section 4.1).
- (2) Attribute embedding: For each attribute value of a tuple, an attention-based neural network encoder converts the input sequence of token embeddings to an attribute embedding (Sectio 4.2).
- (3) **Tuple signature**: Multiple signature functions combine the attribute embeddings of each tuple and produce multiple tuple signatures (one per signature function) (Sectio 4.3).
- (4) Model training: Equipped with the positive label set, the model is trained with an objective that maximizes the differences of the cosine similarities between the tuple signatures of matched pairs and between unmatched pairs (Section 4.4).
- (5) Fast NN search: The learned model is applied to compute the signatures for all tuples, and an LSH family for cosine similarity is used to retrieve the nearest neighbors for each tuple to generate candidate pairs for blocking (Section 4.5).

4 PROPOSED: AUTOBLOCK

In this section, we present thefi ve steps of AutoBlock in details.

4.1 Token Embedding

Thefi rst step of AutoBlock is to convert each token into a low-dimensional embedding vector using a word embedding model. We use fastText [5] to obtain embeddings for tokens. Unlike other word embedding models [18, 25] that learn a distinct embedding vector for each word, fastText learns embeddings for character n-grams and computes the embedding for a word as the sum of the embeddings of all n-grams appeared in that word. As a result, fastText can naturally handle rare tokens, whereas other word embedding models often regard these tokens as out-of-vocabulary tokens and

replace them with a special token such as "UNK". We have emperically observed that fastText is more robust than alternative methods to common typos and misspelling, and can produce similar embeddings for homomorphically similar tokens. As a result, we choose fastText as our way to convert tokens to token embeddings.

4.2 Attention-based Attribute Embedding

The second step of AutoBlock takes the sequence of token embeddings for each attribute as input and outputs an embedding that encodes the information of that attribute. This step is related to phrase/sentence embedding learning in NLP; but the major challenges are that the nature of different attributes varies regarding their length, word choice, and usage, and that the sequential order of sentences in natural language is missing in tabular data.

We propose an attention-based attribute encoder (called attentional encoder for short) for computing attribute embeddings. The main idea behind attentional encoders is averaging—the embedding of an attribute is represented by a weighted average of its token embeddings. But rather thanfi xing a weight for each token a priori, the attentional encoder learns the weight for each token depending on its semantics, position, and surrounding tokens in the input token sequence. This capability is especially useful when attributes are long and exhibit clear structural patterns. For example, the extra version descriptions in the song titles often (but not always) appear at the end of the titles and are enclosed by parenthesis or square brackets (see tuple 2 in Table 1). Given enough positive pairs in the training data in which one member of the pair has such a version description but the other does not, the attentional encoder is able to recognize such patterns and pay less "attention" (i.e., assigning lower weights) to the tokens that form the version description at the end of music title.

Formally, let $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_l \in \mathbb{R}^d$ be the sequence of token embeddings, where l is the sequence length and d is the dimension of token embeddings. An attentional encoder computes the weights of the input tokens as follows:

$$\mathbf{h}_1, \mathbf{h}_2, \dots, \mathbf{h}_l = \operatorname{SeqEnc}(\mathbf{v}_1, \dots, \mathbf{v}_l), \tag{1}$$

$$\alpha_1, \alpha_2, \dots, \alpha_l = \text{SoftMax}(\mathbf{w}^T \mathbf{h}_1, \dots, \mathbf{w}^T \mathbf{h}_l),$$
 (2)

$$\beta_k = \rho \alpha_k + (1 - \rho) \frac{1}{l}, \quad \forall k \in [l].$$
 (3)

Here, SeqEnc(·) can be any neural network architecture that takes a sequential input and generates an output for every input position. Possible choices include the standard recurrent neural network (RNN), bidirectional long short-term memory network (Bi-LSTM), 1D convolutional neural network, and transformers [27]. The hidden states are then transformed into *attention weights* in (2), which are further smoothed with uninformative weight 1/l in (3), controlled by a hyper-parameter $\rho \in [0,1]$. Finally, the attribute embedding is defined as

$$g(\mathbf{v}_1, \dots, \mathbf{v}_l) \triangleq \sum_{k=1}^l \beta_k \mathbf{v}_k.$$
 (4)

4.3 Tuple Signature

In the third step of AutoBlock, we would like to combine the attribute embeddings and generate representations at the tuple level,

such that representations for matched tuples have large cosine similarity. Before a deep dive into which model to use, let usfirst consider a more fundamental question: what would happen if we compress the information in a tuple into a single representation for blocking?

Example 4.1. Consider three tuples for the same song with attributes on Title, Album, and Composer:

 $\mathbf{x}_1 = (\text{Me and Mrs. Jones}, \emptyset, \emptyset),$

 $\mathbf{x}_2 = (\text{Me and Mrs. Jones, Call Me Irresponsible, Michael Bublé}),$

x₃ = (Me & Mrs., Call Me Irresponsible, Michael Bublé),

where \emptyset denotes missing value. Intuitively, the embeddings need to be dominated by Title in order to ensure $emb(\mathbf{x}_1) \approx emb(\mathbf{x}_2)$. This would, however, imply that the similarity between $emb(\mathbf{x}_1)$ and $emb(\mathbf{x}_3)$ is not high (as \mathbf{x}_1 and \mathbf{x}_3 differ on Title).

This example indicates that when tuples contain a wide variety of attributes and can possibly have many missing values, representing each tuple with only *one* embedding vector would result in low similarity for certain positive pairs. Consequently, one would have to lower the similarity threshold θ in order to retrieve pairs such as both $(\mathbf{x}_1, \mathbf{x}_2)$ and $(\mathbf{x}_2, \mathbf{x}_3)$. A small θ , however, would also incur many false positive pairs, making the P/E ratio unaffordably large.

To address this issue, we propose to generate *multiple signatures* such that each signature only captures a partial, distinct aspect for tuples, and two tuples are considered similar (and thus regarded as a candidate pair for blocking) as long as they are similar for one signature. With this design, we are able to overcome the issue in Example 4.1, as shown in the next example.

Example 4.2. Continue Example 4.1. Now suppose we have two signature functions $sig_1(\cdot)$ and $sig_2(\cdot)$ applied on Title, and on Album and Composer, respectively. Then we will have $sig_1(\mathbf{x}_1) = sig_1(\mathbf{x}_2), sig_2(\mathbf{x}_2) = sig_2(\mathbf{x}_3)$. Thus, regardless that $sig_1(\mathbf{x}_1) \not\approx sig_1(\mathbf{x}_3)$ and $sig_2(\mathbf{x}_1) \not\approx sig_2(\mathbf{x}_3)$, the two candidate pairs $(\mathbf{x}_1, \mathbf{x}_2)$ and $(\mathbf{x}_2, \mathbf{x}_3)$ can still be retrieved with large threshold α by $sig_1(\cdot)$ and $sig_2(\cdot)$, respectively.

Formally, let $g_1, \ldots, g_m \in \{\emptyset\} \cup \mathbb{R}^d$ denote the embeddings of the m attributes of a tuple. We define the s-th signature function to be a weighted average over non-missing attributes, i.e.,

$$f^{(s)}(\mathbf{g}_1,\ldots,\mathbf{g}_m) \triangleq \sum_{i=1}^m \mathbb{I}(\mathbf{g}_j \neq \emptyset) w_{sj} \mathbf{g}_j, \tag{5}$$

where $\mathbf{w}_s \triangleq [w_{sj}]_{j=1}^m \geq \mathbf{0}$ is a nonnegative weight to be estimated, $\mathbb{I}(\cdot)$ is the indicator function, and $f^{(s)}$ is set to be \emptyset when $\mathbb{I}(\mathbf{g}_j \neq \emptyset)w_j$ is zero for all $j \in [m]$. Given S such signature functions $\{f^{(s)}(\cdot)\}_{s=1}^S$, and denoting the signature computed by $f^{(s)}(\cdot)$ for tuple \mathbf{x}_i by $\mathbf{f}_i^{(s)}$, thefi nal similarity metric used in AutoBlock is the maximum cosine similarity over S pairs of signatures, namely

$$\sigma(\mathbf{x}_i, \mathbf{x}_{i'}) \triangleq \max_{s=1} \cos\left(\mathbf{f}_i^{(s)}, \mathbf{f}_{i'}^{(s)}\right), \tag{6}$$

where $\cos(\mathbf{f}, \mathbf{f}') \triangleq \langle \mathbf{f}, \mathbf{f}' \rangle / (\|\mathbf{f}\|_2 \cdot \|\mathbf{f}'\|_2)$ is the cosine similarity, and we set the cosine similarity to zero if either \mathbf{f} or \mathbf{f}' is \emptyset . Note that the cosine similarity is scale-invariant; we thus require $\|\mathbf{w}_s\|_2 = 1$ for all $s \in [S]$ without loss of generality.

4.4 Model Training

Now we describe how the proposed attentional encoders and signature functions are trained with the given positive label sets \mathcal{L} . Our training algorithm is based on the following idea: for any $(i, i') \in \mathcal{L}$, the tuple pair $(\mathbf{x}_i, \mathbf{x}_{i'})$ should be more similar than pairs (\mathbf{x}_i, \star) and $(\mathbf{x}_{i'}, \star)$, where \star denotes an irrelevant tuple.

Embracing this intuition we design an auxiliary multi-class classification task for training. Specifically, for each positive pair $(i,i) \in \mathcal{L}$, we randomly sample a small set of indices $U_{i,i'} \subset [n] \setminus \{i,i'\}$. Since typically $n \gg |U_{i,i'}|$ and duplicates in \mathbf{X} are rare, one can reliably assume that the tuples corresponding to $U_{i,i'}$ are irrelevant to \mathbf{x}_i and $\mathbf{x}_{i'}$. Then given signature function $f^{(s)}$, the probability of choosing $(\mathbf{x}_i, \mathbf{x}_{i'})$ to be the only positive pair among all $2|U_{i,i'}|+1$ pairs from $\{i,i'\} \cup U_{i,i'}$ that involve \mathbf{x}_i or $\mathbf{x}_{i'}$ is defined as

$$P_{s}\left[(\mathbf{x}_{i}, \mathbf{x}_{i'}); U_{i,i'}\right] \triangleq \frac{e^{\sigma(\mathbf{f}_{i}^{(s)}, \mathbf{f}_{i'}^{(s)})}}{e^{\sigma(\mathbf{f}_{i}^{(s)}, \mathbf{f}_{i'}^{(s)})} + \sum_{j \in U_{i,i'}} \left[e^{\sigma(\mathbf{f}_{i}^{(s)}, \mathbf{f}_{j}^{(s)})} + e^{\sigma(\mathbf{f}_{i'}^{(s)}, \mathbf{f}_{j}^{(s)})}\right]}.$$
(7)

The attentional encoders $\{g^{(j)}\}_{j=1}^m$ and signature function weights $\{\mathbf w_s\}_{s=1}^S$ can thus be learned end-to-end by maximizing the summed log-probability over all signatures and all positive pairs, namely

$$\max_{\{g^{(j)}\}_{j=1}^{m}, \{\mathbf{w}_{s}\}_{s=1}^{S}} \frac{1}{|\mathcal{L}|} \sum_{(i,i') \in \mathcal{L}} \sum_{s=1}^{S} \log P_{s} \left[(\mathbf{x}_{i}, \mathbf{x}_{i'}); U_{i,i'} \right]$$
(8)

We apply Adam, a variant of stochastic gradient descent (SGD) algorithms, to optimize the objective. After each update, we further project all signature weights into the feasible region to ensure nonnegativity and unit norm.

The optimization problem defined in (8), however, does not impose any regularization on signature weights and thus may end up with S identical, individually optimal signature functions. Ideally signatures should be independent, or orthogonal: $\mathbf{W}^T\mathbf{W} = \mathbf{I}_S$, so that each signature reflects a distinct aspect of tuples. Thus we could incorporate into the optimization problem a penalty such as $\|\mathbf{W}^T\mathbf{W} - \mathbf{I}_S\|$, or use augmented Lagrangian methods [19]. Nevertheless, when the optimization problem is situated into a larger task as here, tuning the penalty coefficient or related hyperparameters becomes unwieldy and impractical.

We instead propose a simple sequential algorithm to achieve orthogonality. The main idea is that signature functions are trained one at a time, and when training the current signature function, all attributes used (i.e., associated with positive weights) by the previously identified signature functions are marked as unusable. In this way, the attributes used by different signature functions will not overlap and thus satisfy orthogonality naturally. Eventually, the algorithm terminates when either all attributes have been used, or S signature functions have been learned. In fact, this sequential algorithm not only eliminates the need for introducing new hyperparameters (as required by standard constrained optimization algorithms), it may also eliminate the need for tuning S: one could set the initial value of \bar{S} as large as m and let the sequential algorithm end up with an appropriate S.

Algorithm 2 sketches thefi nal training procedure.

Algorithm 2: Model Training

```
Input: tuple set X, label set \mathcal{L}, maximum # of iteration T,
                   and maximum # of signatures \bar{S}.
     Output: attribute encoders \{g^{(j)}\}_{j=1}^m and signature function
 weights \{\mathbf{w}_s\}_{s=1}^S initialize \{g^{(j)}\}_{j=1}^m and \{\mathbf{w}_s\}_{s=1}^S;
 2 initialize attribute set \mathcal{M} := \{1, \dots, m\};
 3 for s = 1, ..., \bar{S} do
            for t = 1, ..., T do
                   sample a mini-batch \mathcal{L}_B \subset \mathcal{L};
                   sample a U_{i,i'} for each (i,i') \in \mathcal{L}_B;
                   update \{g^{(j)}, w_{sj}\}_{j \in \mathcal{M}} to improve
                  \frac{1}{|\mathcal{L}_B|} \sum_{(i,i') \in \mathcal{L}_B} \log P_s \left[ (\mathbf{x}_i, \mathbf{x}_{i'}); U_{i,i'} \right];
project \mathbf{w}_s to \{ \mathbf{w} \in \mathbb{R}^{m}_{\geq 0} | \mathbf{w}_{\mathcal{M}} = 0, ||\mathbf{w}||_2 = 1 \};
            \mathcal{M} := \mathcal{M} \setminus \{j \in [m] | w_{sj} > 0\};
            if \mathcal{M} = \emptyset then
10
11
                   S := s; break;
```

4.5 Fast NN Search

In the last step of AutoBlock, our goal is to efficiently retrieve, for each query tuple, the nearest neighbors whose similarities to the query are above a specified threshold $\theta>0$ according to the metric σ defined by (6) with the computed signatures. Note that σ in (6) takes a maximum form; thus we can conduct NN search for each signature function with threshold θ separately, then take the union of all candidate pairs found for each signature function (followed by a de-duplication step) as thefi nal candidate pairs.

So the task is reduced to a classic, high-dimensional NN search problem with cosine similarity. We choose to solve this problem with locality-sensitive hashing (LSH), an effective technique for this problem that offers *provable* sublinear query time. Specifically, we apply cross-polytope LSH, a state-of-the-art LSH family for cosine similarity that not only enjoys the theoretically optimal query time complexity but also allows an efficient implementation.

With cross-polytope LSH, one can prove that the NN search problem can be approximately solved in a sublinear query time, as shown in Theorem 4.3.

Theorem 4.3. Given an n-point dataset $X \subset \mathbb{R}^d$, there exists an algorithm based on cross-polytope LSH satisfying: for any query x and similarity thresholds $-1 < \theta' < \theta < 1$, if there exists a point $x^* \in X$ such that $\cos(x, x^*) \geq \theta$, the algorithm will with probability at least $1 - \varepsilon^K$ retrieve a point $x' \in X$ with $\cos(x, x') \geq \theta'$ in query time $O(K \cdot d \cdot n^\rho)$, where $\varepsilon < \frac{1}{3} + \frac{1}{e}$ and $\rho = \frac{1-\theta'}{1-\theta'} \cdot \frac{1+\theta'}{1+\theta'} + o(1)$.

Proof. The proof is in the Appendix.
$$\Box$$

Careful readers may have noticed that Theorem 4.3 only guarantees the query time complexity for approximate instead of exact NN search. So why is a technique for approximate NN search sufficient in our case? This is because by learning multiple signature functions, each of which focuses on only a particular aspect of a tuple, we empirically observe that resultant signatures are fairly similar for most positive pairs with similarities rarely falling below 0.8. In contrast, the similarities of most random pairs center around

0.2 and seldom exceed 0.4. That means in our case, we can afford to set $\theta=0.8$ and $\theta'=0.4$ without incurring too many false positives. This would correspond to a sublinear query time complexity $O(K\cdot d\cdot n^{1/3.86})$. The empirical evaluation in Section 5.4 further supports the effectiveness and scalability of the cross-polytope LSH.

5 EMPIRICAL EVALUATION

In this section, we empirically compare AutoBlock against an array of competitive baselines on three large-scale, real-world datasets.

5.1 Experiment Setup

Datasets We consider three real-world datasets: Movie, Music, and Grocery, crawled and sampled from various public websites (see Table 3). Music is from Amazon and Wikipedia, Movie from IMDb and WikiData, and Grocery from Amazon and ShopFoodEx (an online grocery store). The three datasets represent three distinct dataset types in the entity matching problem:

- Clean (Movie): Attributes are properly aligned and their values are relatively clean, i.e., each attribute rarely contains irrelevant information.
- (2) Dirty (Music): Certain attributes such as Title may contain significant amount of irrelevant information. In addition, attributes are imperfectly aligned and thus attribute values may be misplaced at the wrong attributes (see Composer and Song Writer in Table 1).
- (3) Unstructured (Grocery): Records are unstructured; that is, all information is mixed into a raw, relatively long, textual attribute.

Table 3: Three real-world datasets for our experiments

Dataset	Type	Table A	Table B	# Pos.	# Attr.
Movie	Structured	465,893	202,162	135,275	8
Music	Dirty	2,190,080	105,446	2,298	7
Grocery	Unstructured	1,292,848	5,886	4,437	1

Positive Label Generation For all three datasets we generate positive labels using the available strong keys. They are tconst (an alphanumeric unique identifier) for Movie, ASIN (Amazon Standard Identification Number) for Music, and UPC code for Grocery. Note that not every record in the three datasets has such a strong key. As these strong keys are used for constructing the positive labels for both training and evaluation, we exclude them from the attribute set to avoid overfitting. ¹

Training/Test Set Split First, we randomly divide the positive labels into two parts, 80% for training and 20% for testing, and ensure that tuples sharing the same strong keys are put into the same part. Then we create a training set that includes all tuples appearing in the training labels. We also add to the training set 20% of the tuples that do not appear in any positive labels; they serve as irrelevant tuples to facilitate the training. A test set is created in a similar manner, which includes all the tuples that appear in the

test labels, as well as all remaining tuples. We repeat this procedure and createfi ve training/test set pairs for each dataset.

Methods for Comparison We compare our method to a wide range of competitive baselines:

- Key-based blocking: Two blocking choices are considered, i.e., single key (only Title is used as blocking key), disjunctive key (all attributes are used as blocking keys).
- MinHash blocking: This method retrieves all pairs whose Jaccard similarities on a particular attribute are above θ as candidate pairs. All attributes are considered, and θ is set to be 0.4, 0.6. or 0.8.
- DeepER [10]: As a state-of-the-art, DL-based method for blocking, this method can be viewed as a special case of AutoBlock by setting all attribute encoders to unweighted averaging and letting each attribute be a signature.

To understand the impact of the components of AutoBlock, we also include two sub-model baselines:

- Unweighted averaging encoder: It takes Title as the only signature and applies unweighted averaging to Title.
- Attentional encoder: It also takes Title as the only signature but applies the attentional encoder to Title.

AutoBlock Configuration We use the pretrained fastText model with the word embedding size d=300. We choose the SeqEnc(·) module in our attentional encoder to be a single-layer Bi-LSTM with 64 hidden units, although wefi nd our method is robust to the choice of neural network architecture and other factors affecting optimization such as batch size and initial learning rate. For each positive pair, we randomly sample $|U_{i,i'}| \equiv 10$ irrelevant tuples on thefl y during training to construct the loss defined in (7). We set the maximum number of signatures S to be the number of attributes and let the Algorith 2 to determine the appropriate S. We set the attention smoothing parameter ρ to 1 for attribute Title and to 0 for other attributes. Finally, for NN search we set the similarity threshold $\theta=0.8$ and limit the maximum number of retrieved NNs for each tuple to $\max(1000, \lfloor \sqrt{n_1} \rfloor)$, where n_1 is the size of the larger table.

Minimum Preprocessing For all methods, we only perform the same, minimum preprocessing to the datasets, as one of goals is to minimize human effort in blocking. In fact, the only preprocessing we use is to convert English letters to lowercase and tokenize attributes into token sequences using the standard TreeBank tokenizer [15]. All punctuation, stop-words, non-English characters, typos, abbreviations, and so forth are kept as they are.

Evaluation Metrics We evaluate the effectiveness of each blocking method with two metrics—recall and P/E ratio. Let $\mathcal{T} \subseteq [n] \times [n]$ be the unknown set of all true matched pairs, and recall that X is the tuple set and C is the set of candidate pairs. The two metrics are defined as follows:

$$\begin{split} recall &\triangleq \frac{|\mathcal{C} \cap \mathcal{T}|}{|\mathcal{T}|}, \\ P/E \ ratio &\triangleq \frac{|\mathcal{C}|}{|\mathbf{X}|} = \frac{|\mathcal{C}|}{n}. \end{split}$$

The true label set \mathcal{T} , however, is never known beforehand; we therefore approximate it with the collected positive labels \mathcal{L} . We report the average performances on the ve test sets for each dataset.

 $^{^{1}\}mathrm{In}$ practice, one can always add those pairs matched on these strong keys to the candidate blocking pairs.

Additional Setups Due to the limit of space, we include additional experiment setup such as the implemention notes for our method and other baselines in the Appendix.

5.2 Effectiveness

We begin with investigating the effectiveness of AutoBlock. Table 4 summarizes the recall and P/E ratios of AutoBlock and other baseline methods on the three datasets. The results in the table support the following conclusions.

First, AutoBlock performs best overall, especially when datasets are dirty and/or unstructured. On Grocery, AutoBlock not only surpasses all baselines in recall by a substantial margin (18.3 percentage points, or 25.8%) but also attains the smallest P/E ratio. On Music, AutoBlock has higher recall than the leading baseline (Min-Hash with $\theta=0.4$), and its P/E ratio is only 1/5 of the MinHash's P/E ratio. On Movie, AutoBlock achieves a close second recall, but its P/E ratio is about 20 times smaller than the best baseline (Min-Hash with $\theta=0.4$).

Second, key-based blocking fails to attain high recall on all three datasets. This disadvantage is most evident on Grocery, where not a single positive pair exactly matches on Title, because the two data sources (i.e., Amazon and ShopFoodEx) differ in their ways of concatenating different aspects of a grocery product (e.g., brand name, package size, andfl avor) into a single attribute. As a result, key-based methods are unable to retrieve any true positive pairs as candidate pairs (and thus have a recall of 0.0).

Third, MinHash requires a low similarity threshold to achieve high recall but at a cost of unaffordably large P/E ratio. In fact, only when $\theta=0.4$ MinHash achieves comparable recalls to AutoBlock on Movie and Music, but its P/E is substantially larger than AutoBlock's; when θ increases, MinHash's recall drops significantly. This sensitivity to θ thus demands considerable amount of tuning in practice to achieve a balance between recall and P/E ratio. Moreover, MinHash's recall is still much lower than AutoBlock on Grocery even when $\theta=0.4$, suggesting that Jaccard similarity, which leverages only lexical evidence, is less effective than the similarity metric learned by AutoBlock on this challenging domain.

Fourth, the attention mechanism contributes significantly to AutoBlock's recall gain. This is best seen from the comparison between attentional encoder and unweighted averaging encoder, where the former outperforms the latter on Music and Grocery by 16.9 and 18.3 percentage points, respectively. In addition, AutoBlock outperforms DeepER in recall on all three datasets, which further demonstrates the benefits of the attention mechanism.

Last but not least, learning multiple signatures further boosts the AutoBlock's recall. This is shown by the recall gain of AutoBlock over attentional encoder on Movie and Music.²

5.3 Automation

We now explain how AutoBlock saves manual work but still obtains high recall.

One major source of the original manual work is concerned with how to iteratively try out different combinations of cleaning and blocking key customization strategies. This task is now alleviated by AutoBlock's ability to assign different weights to tokens through attentional encoders. Figure 2 visualizes the attention weights for the titles of sampled positive pairs on Music (Figure 2a) and Grocery (Figure 2b 2c). Several patterns stand out:

- (1) The tokens at starting positions tend to enjoy large weights. This is consistent to our observation that positive pairs typically match on thefi rst few tokens; these tokens may also encode important information such as brand in Grocery (e.g., "bertolli" in Figure 2b and "la choy" in Figure 2c).
- (2) Common stop words (e.g., "the" and "and" in Figure 2a) and uninformative punctuation (e.g., most commas and periods) are properly ignored. This is also expected since these tokens are often irregularly injected into tuples and result in avoidable mismatches.
- (3) The tokens in special positional relationship to "functional" punctuation marks (e.g., parenthesis) tend to get special attention. For example, the "digitally" and "remastered" in Figure 2a are surrounded by parenthesis and get small weights.
- (4) Many discriminative tokens (e.g., those regarding the package size, andfl avor in Grocery) are ignored. We were initially surprised at this because these tokens are usually useful in the downstream matching step. Later we realize that AutoBlock's choice may be reasonable because these tokens are often randomly missing or expressed in different forms; ignoring them in the blocking step avoids missing positive pairs.

Manual work is also saved by AutoBlock's ability to automatically combine different attributes to generate signatures. Figure 3 shows the learned signature weights for Music. The combination of Ablums and Performers in sig_2 is likely due to the fact that they are often matched or unmatched simultaneously, and therefore combining them would reduce the chance of collision and reduce the P/E ratio. The selection of Composer, Lyricist, and SongWriter by sig_3 allows an approximate cross-attribute matching among these three attributes, which is useful to handle the attribute misplacement cases in this dataset.

5.4 Scalability

Finally, we investigate the empirical performance of cross-polytope LSH. Figure 4 shows how much speedup of average query time (measured with single CPU core) cross-polytope LSH can achieve over brute force with different the number of points in the retrieval set. Huge speedup of cross-polytope over brute force is observed: when the number of points reaches 10^6 , the former is about 40–80 times faster than the latter. In addition, the speedup improves sub-linearly as the number of points increase, which is expected since brute force has a strictly O(n) query complexity, and so the speedup should scale $O(n^{1-\rho})$ where $\rho < 1$.

Theorem 4.3 suggests a potential recall loss of cross-polytope LSH over exact NN search, as the algorithm may not retrieve any neighboring points with a small probability, or the retrieved points are all below the specified similarity threshold θ . We therefore investigate how much recall loss this step of NN search using LSH can incur. We experiment on Grocery data, because its Table B has many fewer tuples than the Table A, which allows us to conduct exact NN search by brute force. Table 5 shows that under various

²Since Grocery only has one attribute, AutoBlock is the same as attentional encoder and thus both methods share identical performance on this dataset. This convergence also happens to DeepER and the unweighted average encoder.

Table 4: Performance comparison of different methods on different datasets. The best and the second best recalls on each dataset are emboldened and italicized, respectively. AutoBlock achieves the highest recall on dirty (Music) and unstructured (Grocery) datasets, and a close second recall with a magnitude smaller P/E ratio on clean dataset (Movie).

	Movie		Music		Grocery	
Method	Recall	P/E ratio	Recall	P/E ratio	Recall	P/E ratio
Single Key (Title)	58.6	0.10	72.3	0.40	0.0	0.01
Disjunctive Key (All)	85.5	9.13	92.5	39.11	0.0	0.01
MinHash (All, $\theta = 0.8$)	86.1	9.24	89.5	38.52	0.8	0.00
MinHash (All, $\theta = 0.6$)	87.1	29.90	91.3	41.16	18.7	0.84
MinHash (All, $\theta = 0.4$)	91.4	2089.60	96.2	232.98	52.5	4.32
DeepER (All, $\theta = 0.8$)	90.7	107.52	92.9	41.62	70.8	1.02
Un. Avg. Enc. (Title, $\theta = 0.8$)	62.5	7.65	77.5	11.62	70.8	1.02
Atten. Enc. (Title, $\theta = 0.8$)	64.0	4.74	94.4	21.00	89.1	0.72
AutoBlock (All, $\theta = 0.8$)	90.8	105.06	96.3	48.80	89.1	0.72

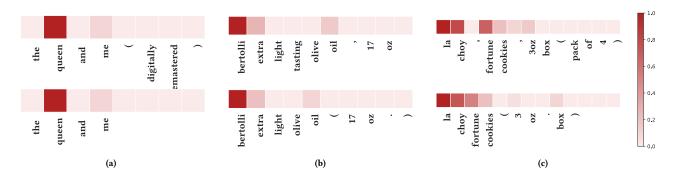


Figure 2: Example attention weights on (a) Music and (b, c) Grocery. Important tokens are assigned to higher weights, representing by darker color.

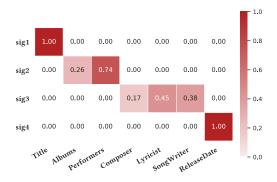


Figure 3: The learned signature weights on Music.

similarity thresholds, although there is a recall gap between the two methods, the gap is very small—the largest gap (when $\theta=0.8$) is only 1.5%. We believe this is acceptable given the huge efficiency improvement of LSH upon brute force.

6 RELATED WORK

As a critical step of entity matching, blocking has been extensively studied over the last several decades with numerous methods being

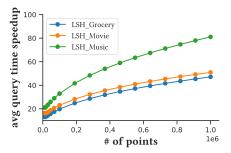


Figure 4: The average query time speedups achieved by cross-polytope LSH over brute force on different datasets. LSH is substantially faster than brute force, and the speedup improves as the number of points increases.

proposed. For a comprehensive comparison of existing blocking methods, see [24]. Among others, key-based blocking methods [1, 11, 16, 20] are mostly used in practice yet require a lot of human effort. MinHash blocking [14] allows a fuzzy match on attributes, but often ends up with unaffordably many candidate pairs. The so-called "meta-blocking" [21–23, 26] tries to reduce the P/E ratio by introducing—between blocking and matching—extra steps to

Table 5: Comparison of recall between brute force NN search and LSH-based NN search on Grocery. Only a minor recall gap of 0.89% on average is observed.

	Threshold θ				
Method	0.95	0.90	0.85	0.80	
Brute force NN	81.4	85.8	88.9	90.4	
LSH-based NN	81.1	85.2	88.0	89.1	

prune the candidate pairs; their contributions are orthogonal to our work. To the best of our knowledge, the recently proposed DeepER [10] is the most relevant work to ours and can be viewed as a special case of AutoBlock.

Locality-sensitive hashing is fir rstly proposed in the seminal work [13] for ℓ_p norm and later extended to other distance or similarity metrics. For cosine similarity, representative LSH schemes include hyperplane LSH [6], spherical LSH [3], and cross-polytope LSH [2], among others. While spherical LSH and cross-polytope LSH both attain the theoretically optimal query time complexity, only the latter can be efficiently implemented, as spherical LSH relies on rather complex hash functions that are very time-costly to evaluate.

7 CONCLUSION

We have proposed AutoBlock, a hands-off blocking framework for entity matching on tabular records, based on similarity-preserving representation learning and nearest neighbor search. Our contributions include: (a) **Automation**: AutoBlock frees users from tedious and laborious data cleaning and blocking key tuning. (b) **Scalability**: AutoBlock has a sub-quadratic total time complexity and can be easily deployed for millions of records. (c) **Effectiveness**: AutoBlock achieves superior performance on multiple large-scale, real-world datasets of various domains. One future direction would be to extend AutoBlock to datasets with non-textual attributes (e.g., image, audio, and video).

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