

1. What are the possible advantages of using distributive processing in a database system environment? [06]

The possible advantages of using distributive processing in a DBS environment are as follows:

- a. Reduction of a dependence on a central system should positively affect throughput & hence productivity.
- b. Convenience: it might not be practical or productive to depend on a central database system.
- c. Distributive processing can ensure that the best resources are brought together and utilized in a system.
- d. Autonomy (independence) of processing (consequence of (a)).
- e. Easy and fast communication (over long distances).
- f. Readily tailored to the structure of the organization; easily scaled in size.
- g. Improved processing speed & performance since local application programs may benefit from having information closer than would be the case of a central system (consequence of (a)).
- h. More easily designed to facilitate multiple users.
- i. Improves reliability: if one machine fails, the whole system does not fail.

2. What are the problems that can be experienced from having non-normalized files in a DBS? [08]

The problems that can be experienced from having un-normalized files in a system include the following:

- a. Modification anomalies which include:
 - ✓ Insertion anomaly: Can't insert data when it is desirable; have to wait on some future data, due to organization of the data structure.
 - ✓ Deletion anomaly: Deletion of some undesirable aspect(s) of data necessarily means deletion of some other desirable aspect(s) of data.
 - ✓ Update anomaly: Update of some aspect(s) of data necessarily means update of other aspect(s) of data.
 - b. Data redundancy that leads to the anomalies mentioned above.
 - c. Inefficient file manipulation; lack of ease of data access.
 - d. Un-normalized files in a system tend to reduce (or seriously impair) the likelihood of achieving logical data independence.
 - e. Compromise on the integrity of data.
 - f. Pressure on programming effort to make up for poor design.
3. Relation R(A B C . . . K) is in 1NF only. The following FDs hold:
- a) Primary key is (ABC)
 - b) $A \rightarrow D$
 - c) $B \rightarrow E$
 - d) $F \rightarrow G, H, I$
 - e) $J \rightarrow B$
 - f) $K \rightarrow C$
 - g) $(AF) \twoheadrightarrow J/K$

By repeatedly using Heath's or Fagin's theorems, decompose R into a set of 5NF relations. Show how the new relations will be keyed. [15]

Solution to Q3

Relation R {A, B, C, D, E, F, G, H, I, J, K} is in 1NF.

FDs are as follows:

FD1: Primary key is (ABC)

FD2: $A \rightarrow D$

FD3: $B \rightarrow E$

FD4: $F \rightarrow G, H, I$

FD5: $J \rightarrow B$

FD6: $K \rightarrow C$

FD7: $(AF) \twoheadrightarrow J/K$

Note: Throughout the solution, primary key attributes are underlined.

Step 2: Obtain 2NF Relations

R is not in 2NF due to FD2 and FD3. Using Heath's theorem, R is decomposed to

$R_1 \{ \underline{A}, D \}$ $R_2 \{ \underline{B}, E \}$ $R_3 \{ \underline{A}, \underline{B}, \underline{C}, F, G, H, I, J, K \}$

Step 3: Obtain 3NF Relations

R_1 & R_2 are in 3NF but R_3 is not due to FD4. Using Heath's theorem, R_3 is decomposed to

$R_4 \{ \underline{F}, G, H, I \}$ $R_5 \{ \underline{A}, \underline{B}, \underline{C}, F, J, K \}$

Step 4: Obtain BCNF Relations

R_4 is in BCNF but R_5 is not since FD5 and FD6 are in play. Using Heath's theorem, R_5 is decomposed to

$R_6 \{ \underline{J}, B \}$ $R_7 \{ \underline{K}, C \}$ $R_8 \{ \underline{A}, F, J, K \}$

At this point, we are not sure how to key R_8 since we were not told that $A \twoheadrightarrow (F, J, K)$. However, the MVD of FD7 is instructive.

Step 4: Obtain 4NF Relations

Relations R_6, R_7 are in 4NF. Relations R_1, R_2, R_4 are also in 4NF. Relation R_8 is not in 4NF due to the MVD of FD7 as described above. Using Fagin's theorem, R_8 is decomposed to

$R_9 \{ \underline{A}, F, J \}$ $R_{10} \{ \underline{A}, F, K \}$

Step 5: Obtain 5NF Relations

The 5NF relations derived from the case are:

$R_1 \{ \underline{A}, D \}$ $R_2 \{ \underline{B}, E \}$ $R_4 \{ \underline{F}, G, H, I \}$ $R_6 \{ \underline{J}, B \}$ $R_7 \{ \underline{K}, C \}$ $R_9 \{ \underline{A}, F, J \}$ $R_{10} \{ \underline{A}, F, K \}$

4. The following atomic data elements were taken from the data dictionary of an inventory management system:

Item Number [ITM#]	Item Name [ITMNAME]
Item Last Price [ITMLP]	Item On Hand Quantity [ITMQOH]
Item Average Price [ITMAP]	Item Category Code [ITMCTG]
Category Description [CTGDES]	Item Supplier [ITMSPLR]
Order# [ORD#]	Order Date [ORDDATE]
Item Ordered [ORDITM]	Quantity Ordered [ORDQTY]
Order Status [ORDSTS]	Invoice Number [INV#]
Receipt Date [RCVDATE]	Item Received [RCVITM#]
Quantity Received [RCVQTY]	Invoice's Related Order [INVORD#]
Requisition Date [RQSDATE]	Requisition Number [RQS#]
Department Requesting [RQSDEPT]	Issuance Date [ISSDATE]
Requisition Honor Date [RQSHDATE]	Quantity Issued [ISSQTY]
Issuance Number [ISS#]	Item Issued [ISSITM]
Item Issue Price [ISSPRC]	Department Receiving the Issue [ISSDPT]

Group the attributes into related entities. Then for each entity, identify the FDs, and then normalize it. Grade will be assigned as follows:

4.1 Identification of all possible FDs. [11]

4.2 Putting the elements into normalized relations. You may introduce elements as required with appropriate explanation. [44]

For this question, there are two possible approaches:

- Start with a large 1NF relation including all the attributes listed. Identify FDs and systematically decompose.
- By observation, identify obviously related attributes and group them together into relations (entities). Identify FDs and systematically decompose.

The answer to this question uses the second approach. Entities identified are as follows:

4A. **Inventory** {Itm#, ItmName, ItmQoh, ItmCtg, Itmap, CtgDes, ItmSplr, Splrnm}

The FDs are:

FD1: Itm# → ItmName, ItmQoh, ItmCtgCode, Itmap, CtgDes, ItmSplrCode, SplrName

FD2: ItmCtg → CtgDes

FD3: ItmSplr → SplrName

Decompose by Heath's theorem to obtain

Item {Itm#, ItmName, ItmQOH, ItmCtgCode, ItmAP, ItmLP} PK [Itm#]

Category {CtgCode, CtgDes} PK[CtgCode]

Supplier {SplrCode, SplrName} PK [SplrCode]

Note: ItmSplrCode is not included in entity **Item** as a foreign key since what actually exists between **Item** & **Supplier** is a M:M relationship. A new relation will be required to implement this:

ItemSupplierMatrix {Itm#, ItmSplrCode} PK [Itm#, ItmSplrCode]

4B. Purchase Orders {Ord#, OrdItm#, OrdQty, OrdSts, OrdDate, OrdSplrCode}

If we assume that purchase order numbers are repeatable over time, the following are the FDs:

FD4: [Ord# OrdSplrCode, OrdDate] \rightarrow OrdSts

FD5: [Ord#, OrdSplrCode, OrdDate, OrdItm#] \rightarrow OrdQty

If we assume that purchase order numbers are not repeatable over time, the following are the FDs:

FD4b: Ord# \rightarrow OrdSplrCode, OrdDate, OrdSts

FD5b: [Ord#, OrdItm#] \rightarrow OrdQty

We are not sure which situation will prevail, so it is prudent to cater for either. To do this, we decompose by Heath's theorem, and introduce a surrogate to obtain

OrderSummary {Ord#, OrdSplrCode, OrdSts, OrdDate, OrderRef#} PK [OrderRef#]

OrderDetail {OrderRef#, OrdItm#, OrdQty} PK [OrderRef#, OrdItm#]

4C. PurchasedGoods {Inv#, RcvDate, InvOrd#, InvTotal, InvSplrCode, InvDate, RcvItm, RcvQty, RcvPrc}

Similar to 4B, it is not prudent to assume that invoice numbers coming from various suppliers will be unique. With this in mind, we tentatively construct the following FDs:

FD6: [Inv#, InvSplrCode, InvDate, InvOrd#] \rightarrow RcvDate, InvTotal

FD7: [Inv#, InvSplrCode, InvDate, InvOrd#, RcvItm#] \rightarrow RcvQty, RcvPrc

As above, we play safe and decompose by Heath's theorem, and introduce a surrogate to obtain

InvoiceSummary {Inv#, InvSplrCode, RcvDate, InvOrdRef#, InvTotal, InvDate, InvRef#} PK [InvRef#]

InvoiceDetail {InvRef#, RcvItm#, RcvQty, RcvPrc} PK [InvRef#, RcvItm#]

Note: New attributes are **InvDate**, **RcvPrc**, and **InvTotal**. Also note that with the introduction of **InvOrdRef#** in the **InvoiceSummary** instead of **InvOrd#**, we do not need to store **InvSplrCode**, since the supplier can be deduced by simply tracking the related purchase order. However, this is a good example of having a little duplication for increased convenience.

4D. Requisition {Rqs#, RqsDate, RqsDept#, RqsHDate, RqsItm#, RqsQty}

This is going to be similar to 4B and 4C with one exception: since the requisitions are internal to the organization, we can control the requisition number to make sure that it is unique. With this in mind, the FDs are:

FD8: Rqs# \rightarrow RqsDate, RqsDept#, RqsHDate

FD9: [Rqs#, RqsItm#] \rightarrow RqsQty

Decompose by Heath's theorem to obtain:

RequisitionSummary {Rqs#, RqsDate, RqsDept#, RqsHDate} PK [Rqs#]

RequisitionDetail {Rqs#, RqsItm#, RqsQty} PK [Rqs#, RqsItm#]

4E. **InventoryIssued** {Iss#, IssDate, IssDept#, IssItm#, IssQty, IssPrc}

Similar to requisitions, issuance of inventory is completely internal; thus the Iss# can be designed to be unique. With this in mind, the FDs are:

FD10: Iss# \rightarrow IssDate, IssDept, IssRqs# (note that **IssRqs#** is the related requisition for the issuance)

FD11: [Iss#, IssItm#] \rightarrow IssQty, IssPrc

Decompose by Heath's theorem to obtain:

IssueSummary {Iss#, IssDate, IssDept, IssRqs#} PK [Iss#]

IssueDetail {Iss#, IssItm#, IssQty, IssPrc} PK [Iss#, IssItm#]

4F. **Department** {Dept#, DeptName} PK[Dept#]

This relation was implied in 4D and 4E: requisitions are made by departments, and issuance of inventory are made to departments, in response to the requisitions.

4G. **Highlights of the Case**

1. New attributes that were introduced:

SplrCode	–	Supplier Code
SplrName	–	Supplier name
CtgCode	–	Category Code
InvDate	–	Invoice date
RqsItm#	–	Requisition item code
RqsQty	–	Requisition quantity
RcvPrc	–	Received item price (per item)
IssRqs#	–	Issue's Related Requisition Number
InvTotal	–	Invoice total
Dept#	–	Department code
DeptName	–	Department name

2. All relations are in 4NF.
3. Except for a few deliberate deviations, the principle of using unique attribute-names for the database was followed.

4.3 For extra credit, construct an ERD representing the case with its normalized relations.

[30]

