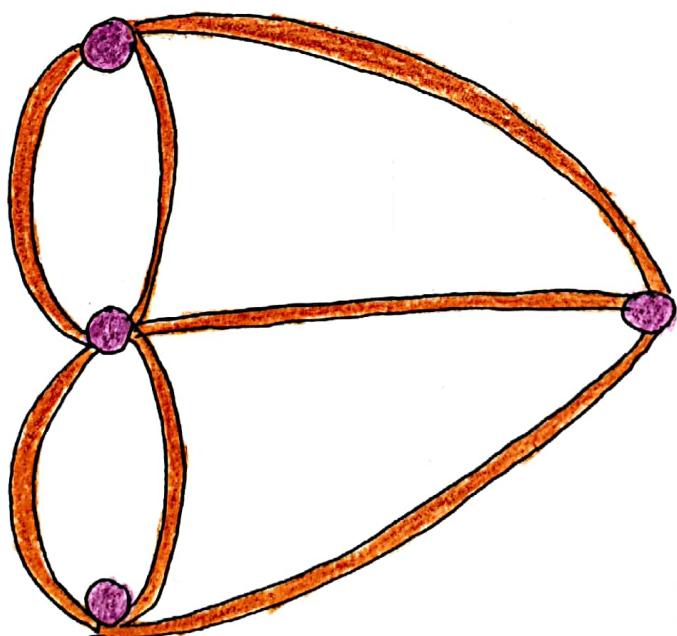


# DISSCRETAS



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quill & tail

# Chapter 1

## Basic Combinatorics

Combinatorial theory is the study of methods of counting:

- objects that fulfil a given description.

- how many ways can we do something.

- how many ways can a certain event occur.

We will work with sets:

$$A = \{x : x \text{ satisfies property } P\}$$

Our aim will be the number of elements of  $A$ , its cardinality.

$$|A| \text{ or } \text{Card}(A) \text{ or } \# A$$

Note:

$\mathbb{N} = \{1, 2, 3, \dots\}$ , natural numbers.

$\mathbb{N}^* = \{0, 1, 2, \dots\}$ , non-negative integers.  $\mathbb{N}^* = \mathbb{N} \cup \{0\}$

A first idea is to "count by hand" and make lists:

$$A = \{(x, y) \in \mathbb{N}^* \times \mathbb{N}^*: x + y = 5\}$$

$$\text{List: } \{(0, 5), (1, 4), (2, 3), (3, 2), (4, 1), (5, 0)\} (= A)$$

$$\Rightarrow |A| = 6$$

A generalization to this problem:

$$A = \{(x, y) \in \mathbb{N}^* \times \mathbb{N}^*: x + y = n, n \in \mathbb{N}^*\}$$

$$\text{List: } \{(0, n), (1, n-1), \dots, (n-1, 1), (n, 0)\} (= A)$$

$$\Rightarrow |A| = n+1$$

Variation of the problem:

$$A = \{(x, y) \in \mathbb{N}^* \times \mathbb{N}^*: x + y = n, n \in \mathbb{N}^*, x, y \geq 2\}$$

$$\text{If } n \leq 3, x+y \geq 4 \Rightarrow |A| = 0$$

$$\begin{aligned} \text{If } n \geq 4, \text{ list: } & \{(2, n-2), (3, n-3), \dots, (n-2, 2)\} (= A) \\ \Rightarrow |A| = & n-3 \end{aligned}$$

Another variation, with triples instead of tuples.

$$A = \{(x, y, z) \in \mathbb{N}^* \times \mathbb{N}^* \times \mathbb{N}^* : x + y + z = n, n \in \mathbb{N}^*\}$$

List:

x	0	0	0	1	1	1	
y	0	1	...	n	0	1	...
z	n	n-1	0	n-1	n-2	0	

$n+1$                                     n

x	k	k	k	n-1	n-1	n
y	...	0	1	...	n-k	...
z	n-k	n-k-1	0	1	0	0

$n-k+1$                                     2                            1

(Each column is a possible triple. We create all of them by varying every possible x with every (y, z) that fulfills  $x+y+z=n$  for that x (previous case more or less)).

$$|A| = (n+1) + n + \dots + (n-k+1) + \dots + 2 + 1$$

$$|A| = 1 + 2 + \dots + (k+1) + \dots + n + n+1$$

$$2|A| = (n+2) + (n+2) + \dots + (n+2) + \dots + (n+2) + (n+2)$$

$$= (n+1)(n+2) \Rightarrow$$

$$\Rightarrow |A| = \frac{(n+1)(n+2)}{2}$$

Suppose a general case:

$$A = \{(x_1, \dots, x_m) \in \mathbb{N}^* \times \dots \times \mathbb{N}^* : x_1 + \dots + x_m = n \in \mathbb{N}\}$$

Note a list cannot be used for this case; remark:

- Building the list may be easy, less easy or impractical.
- A list cannot have repetitions or absences.
- Before trying to solve a problem it may be convenient to analyze a particular case.

Example:

$$A = \{x : 1 \leq x \leq 1871, x \equiv 0 \pmod{4}\} \rightarrow |A|?$$

1 2 3 4 5 6 7 8 9 ... 1867 1868 1869 1870 1871

$$\begin{array}{r} 1871 \\ 27 \\ 31 \\ \underbrace{3} \\ \hline 4 \end{array} \quad \begin{array}{r} 467 \\ \hline 1868 \end{array} \quad \rightarrow \quad 1871 = \underbrace{4 \cdot 467}_{1868} + 3$$

$$\Rightarrow |A| = 467$$

47

A more generalized problem:

$$A_n = \{x : 1 \leq x \leq n, x \equiv 0 \pmod{4}\} \rightarrow |A|?$$

$$n = c \cdot 4 + r \rightarrow |A| = c = \lfloor \frac{n}{4} \rfloor \quad (\text{floor function})$$

$\downarrow \quad \downarrow$   
quotient    remainder

Even more:

$$A(a, n) = \{x : 1 \leq x \leq n, x \equiv 0 \pmod{a}\} \rightarrow |A|?$$

$$|A| = \lfloor \frac{n}{a} \rfloor$$

Definition: (Floor function)

$\lfloor x \rfloor$  = largest integer not greater than  $x \Rightarrow$

$$\begin{cases} \lfloor x \rfloor \in \mathbb{Z}, x \in \mathbb{R} \\ \lfloor x \rfloor \leq x \leq \lfloor x \rfloor + 1 \end{cases}$$

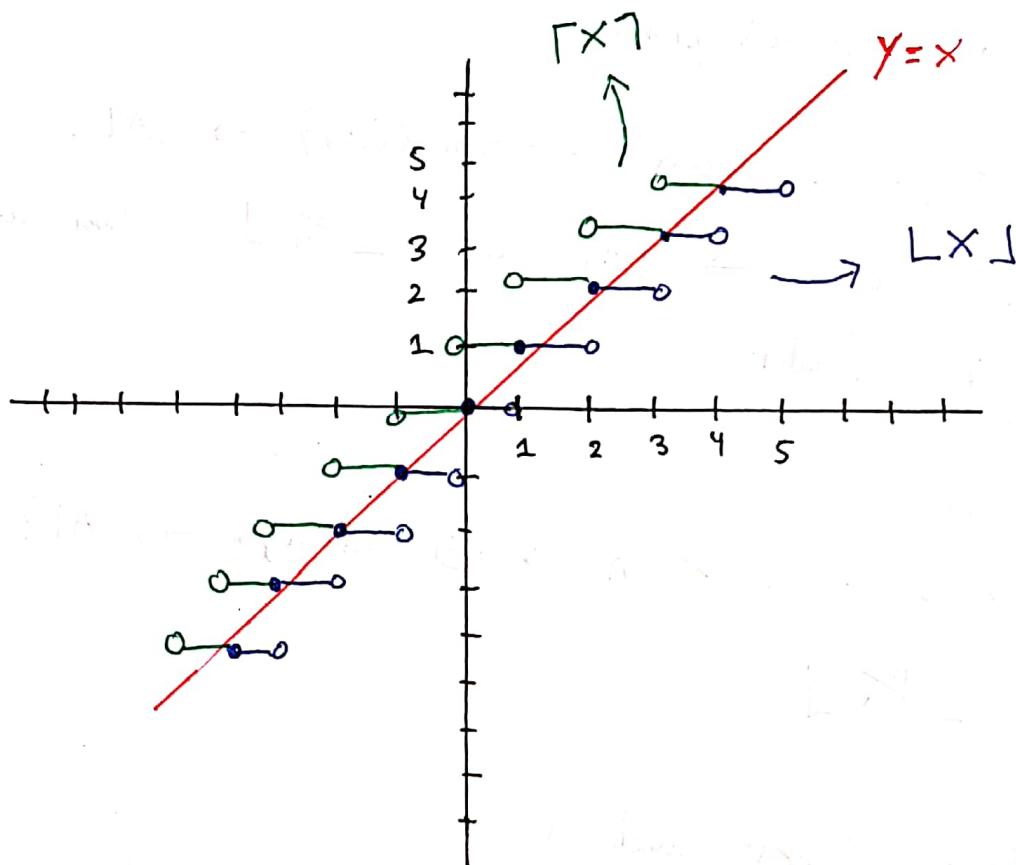
Definition: (Ceiling function)

$\lceil x \rceil$  = smallest integer greater than  $x \Rightarrow$

$$\lceil x \rceil \in \mathbb{Z}, x \in \mathbb{R}$$

$$\lceil x \rceil \geq x \geq \lceil x \rceil - 1$$

$$\begin{aligned} &(\Rightarrow \text{largest integer not less than } x) \\ &(\Rightarrow \text{smallest integer not greater than } x) \end{aligned}$$



Properties of these functions:

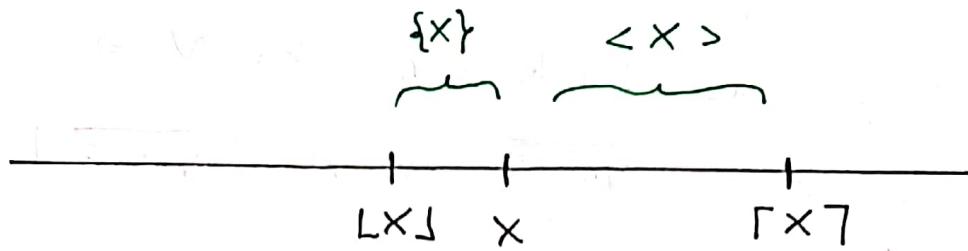
- (i)  $\lceil x \rceil = \lfloor x \rfloor \Leftrightarrow x \in \mathbb{Z}$  ( $x = \lceil x \rceil = \lfloor x \rfloor \Leftrightarrow x \in \mathbb{Z}$ )
- (ii)  $\lceil x \rceil = \lfloor x \rfloor + 1 \Leftrightarrow x \notin \mathbb{Z}$
- (iii)  $\lfloor -x \rfloor \leq x \leq \lceil x \rceil$
- (iv)  $\lfloor -x \rfloor = -\lceil x \rceil$
- (v)  $\lceil -x \rceil = -\lfloor x \rfloor$

Definition: (fractional part of  $x$ )

$$\{x\} = x - \lfloor x \rfloor \in [0, 1)$$

Definition: (pseudofractional part of  $x$ )

$$\langle x \rangle = \lceil x \rceil - x \in [0, 1)$$



(If  $x \in \mathbb{Z}$ ,  $\{x\} = \langle x \rangle = \{x\}$ )

(If  $x \in \mathbb{Q}, \mathbb{R} \Rightarrow \{x\} + \langle x \rangle = 1$ )

Example:

$$A(a, n) = \{x : 1 \leq x \leq n \mid x \equiv a \pmod{a}\}$$

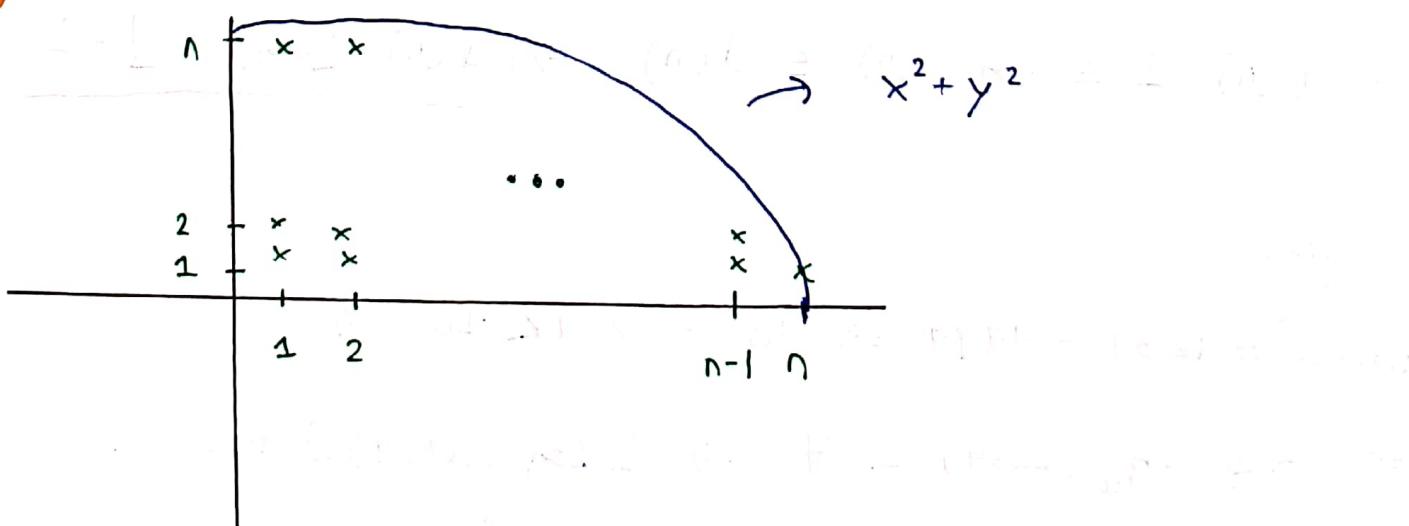
$$|A(a, n)| = c = \left\lceil \frac{n}{a} \right\rceil = \left\lfloor \frac{n}{a} \right\rfloor$$

$$\lim_{n \rightarrow \infty} \frac{|A_n, a|}{n} = \lim_{n \rightarrow \infty} \frac{\left\lceil \frac{n}{a} \right\rceil}{n} = \lim_{n \rightarrow \infty} \frac{n/a - \left\{ \frac{n}{a} \right\}}{n} = \frac{1}{a} - \frac{\left\{ \frac{n}{a} \right\}}{n} = \\ = \frac{1}{a} + 0 = \frac{1}{a}$$

$(\lim_{n \rightarrow \infty} \frac{x}{n} = 0, x \in [0, 1])$

Example:

$$A_n = \{(x, y) \in \mathbb{N} \times \mathbb{N} \mid x^2 + y^2 \leq n^2\} \rightarrow |A_n|?$$



First column:

$(1,1), \dots, (1,k)$  where  $1^2 + k^2 \leq n^2$ ,  $k \in \mathbb{N} \Rightarrow$

$$\Rightarrow k^2 \leq n^2 - 1 \Rightarrow k \leq \sqrt{n^2 - 1} \Rightarrow k = \lfloor \sqrt{n^2 - 1} \rfloor$$

Second:

$(2,1), \dots, (2,k)$  where  $2^2 + k^2 \leq n^2 \Rightarrow \dots \Rightarrow k = \lfloor \sqrt{n^2 - 2^2} \rfloor$

$$\Rightarrow |A_n| = \sum_{j=1}^n \lfloor \sqrt{n^2 - j^2} \rfloor$$

Example:

$n \in \mathbb{N}$ , number of digits radix - 10?

$$n = 1234 \rightarrow d(n) = 4$$

$$n = 300 \rightarrow d(n) = 3$$

$$n = 78 \rightarrow d(n) = 2$$

$$n = x \in \{0, \dots, 9\} \rightarrow d(n) = 1$$

$$1000 \leq abcd \leq 9999 \Rightarrow 10^3 \leq abcd < 10^4 \xrightarrow{\text{General}}$$

$$10^{d(n)-1} \leq n < 10^{d(n)} \Rightarrow \log_{10}(10^{d(n)-1}) \leq \log_{10}(n) < \log_{10}(10^{d(n)}) \Rightarrow$$
$$\Rightarrow d(n) - 1 \leq \log_{10}(n) < d(n) \Rightarrow \boxed{d(n) = \lfloor \log_{10}(n) \rfloor + 1}$$

Example:

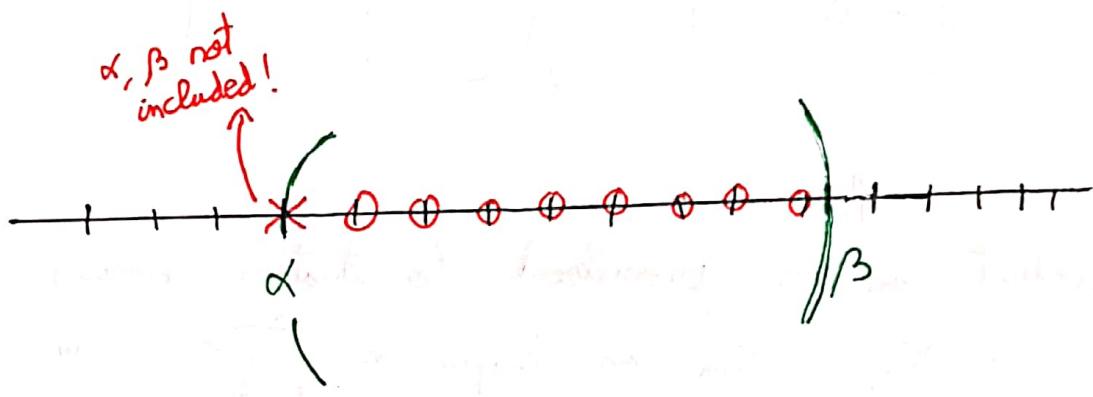
$$1000 \leq 1234 \leq 9999 \Rightarrow 10^3 \leq 1234 < 10^4 \Rightarrow$$

$$\Rightarrow 3 \leq \log_{10}(1234) < 4 \Rightarrow \lfloor \log_{10}(1234) \rfloor + 1$$

(We don't use  $\lceil x \rceil$  because if  $\log(x) \in \mathbb{Z}^3 \Rightarrow \lfloor \log(x) \rfloor + 1 > d(n)$  ).

Example: How many integers are there in the interval  $(\alpha, \beta)$ ,  $\in \mathbb{R}^2$ ?

$$A(\alpha, \beta) = \{x \in \mathbb{Z} : x \in (\alpha, \beta)\}$$



$$A(\alpha, \beta) = \{\lfloor \alpha \rfloor + 1, \lfloor \alpha \rfloor + 2, \dots, \lceil \beta \rceil - 1\} =$$

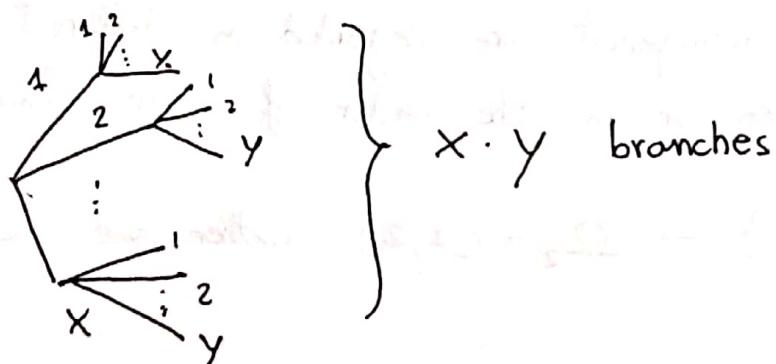
$$= \{\lfloor \alpha \rfloor + 1, \lfloor \alpha \rfloor + 2, \dots, \lfloor \alpha \rfloor + \lceil \beta \rceil - 1 - \lfloor \alpha \rfloor\} \Rightarrow$$

$$\Rightarrow |A(\alpha, \beta)| = \lceil \beta \rceil - \lfloor \alpha \rfloor$$

## 1.4 Tree diagram

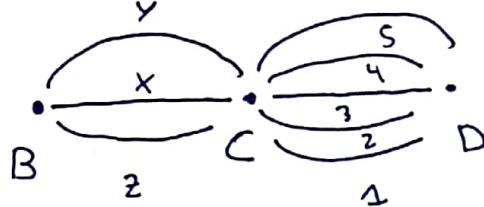
Definition:

The rule of product states that if event X can happen in  $x$  ways and a distinct event Y can happen in  $y$  ways, X and Y can happen in  $x \cdot y$  ways. Representation:

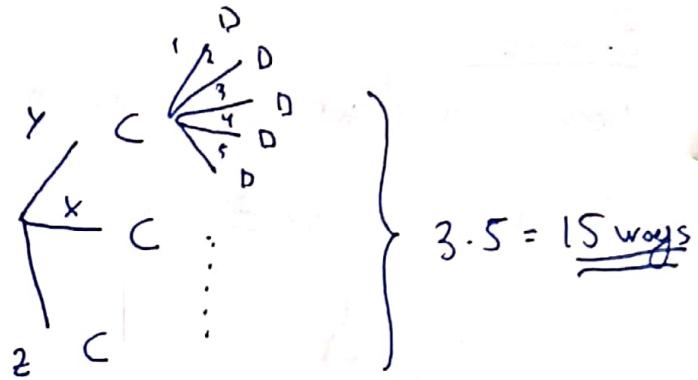


Example:

we could have  
done diagram  
with B, C, D at the  
beginning, result still 15.



$\rightarrow$  B



$$3 \cdot 5 = 15 \text{ ways}$$

The rule product can be generalized to situations involving  $m$  events:  $x_1, x_2, \dots, x_m$  can happen in  $\prod_{i=1}^m x_i$  ways.

Definition:

A **set** is an unordered collection of distinct objects. The objects of a set are called elements.  $\{1, 2, \dots, n\}$

Definition:

A **sequence** is an ordered collection of not necessarily distinct objects.  $(1, 1, \dots, n)$

Definition:

Let  $\Omega = \{a_1, \dots, a_n\}$  a set with  $n \geq k \geq 1$ .

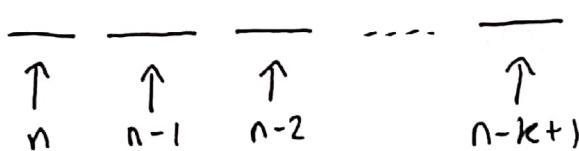
A **variation without repetition** of  $\Omega$  or **k-permutation without repetition** of  $n$  is an arrangement of the elements of  $\Omega$  taken  $k$  at a time, where two arrangement are regarded as different if they differ in composition or in the order of their elements.

Ex.  $\rightarrow \{1, 2, 3\} \rightarrow \Omega_2 = (1, 2)$  another one  $(2, 1)$

(And no repetitions are allowed).

Let  $V_{n,k}$  denote all possible  $k$ -variations without repetition given  $n$  distinct objects, how much is  $V_{n,k}$ ?

$$V_{n,k} = |\{k\text{-Var without rep. of } \Omega\}|$$



$\Rightarrow$

$$\boxed{V_{n,k} = n \cdot (n-1) \cdot \dots \cdot (n-k+1) = \frac{n!}{(n-k)!}}$$

Example:

- 25 elements
- 4 positions  $\rightarrow 25 \cdot 24 \cdot 23 \cdot 22 = 303600$  ways
- No rep.
- Order matters

(If order  $\not$ mattered, divide by permutations of 4,  $4!$ )

Example:

a)

$$\begin{cases} \Omega \\ n = |\Omega| = 100 \\ k = 3 \end{cases}$$

$$\rightarrow V_{100,3} = 100 \cdot 99 \cdot 98 = 970200$$

(One can only have one prize).

b) Possibilities of winning the car?

$$\frac{B}{\uparrow} \quad \frac{T}{\uparrow} \quad \frac{C}{\uparrow} \rightarrow 99 \cdot 98 \cdot 5 = 48510$$

↑      ↑      ↑  
99    98    5

c) Winning the three prizes?

$$\frac{B}{5} \quad \frac{T}{4} \quad \frac{C}{3} \rightarrow 5 \cdot 4 \cdot 3 = 60$$

↑      ↑      ↑  
5      4      3

Definition:

Let  $\Omega = \{a_1, \dots, a_n\}$  a set and  $k \geq 1$

A variation with repetition of  $\Omega$  or  $k$ -permutation with repetition is a sequence of length  $k$  that can be formed with the elements in  $\Omega$  being able to repeat them.

$$VR_{n,k} = |\{k\text{-var with rep. of } \Omega\}|$$

$$\overbrace{\underline{n} \quad \underline{n} \quad \underline{n} \quad \cdots \quad \underline{n}}^k \Rightarrow VR_{n,k} = n^k$$

Example:

(a) 3 digits numbers? ( ~~$\frac{1}{9} \frac{1}{9} \frac{1}{9}$~~  is valid)

$$\rightarrow 9 \cdot 10 \cdot 10 = 900 \quad (\text{1000 if zero is allowed}).$$

(b) Palindromic? (Copacia)

$$\overbrace{\underline{10} \quad \underline{10} \quad \underline{1}} \rightarrow 10 \cdot 10 \cdot 1 = 100 = VR_{10,2}$$

(c) How many are even?

$$\overbrace{\underline{10} \quad \underline{10} \quad \underline{5}} \rightarrow 5 \cdot VR_{10,2} = 5 \cdot 10 \cdot 10 = 500$$

### Example:

- $\Omega = \{0, 1, 2\} \Rightarrow n = |\Omega| = 3$
- Repetitions ✓
- Order matters
- $K = 15$

$$\longrightarrow V_{3,15} = 3^{15}$$

### Example:

(a)  $\Omega = \{a, e, o, u, b, c, d\}$

$A = \{\text{words of 4 letters of } \Omega, \text{ first cons, last vowel}\}$

$$|A| \rightarrow \frac{3}{7} \cdot \frac{7}{7} \cdot \frac{7}{4} \rightarrow |A| = 3 \cdot 7 \cdot 7 \cdot 4 = 588 //$$

(b)

$$\frac{7}{7} \cdot \frac{6}{6} \cdot \frac{6}{6} \cdot \frac{6}{6} \cdot \frac{6}{6} \rightarrow |B| = 7 \cdot 6 \cdot 6 \cdot 6 \cdot 6 = 9072 //$$

### Example:

$|A| = ? \rightarrow A = \{\text{5 digits numbers, starting and finishing in different digits}\}$

$$\frac{4}{4} \cdot \frac{8}{8} \cdot \frac{6}{6} \cdot \frac{7}{7} \cdot \frac{4}{4} \rightarrow 4 \cdot 8 \cdot 6 \cdot 7 \cdot 4 = 5376$$

### Example:

We need to be exhaustive and count every single possibilities.  
But we don't know how to do this yet.

For the record: (and to prepare the classical scheme).

Variations  
(of  $n$  elements form  
groups with  $k$   
elements,  
order does matter).

$$(V_{n,k} = \binom{n}{k} \cdot (k)!)$$

$$\left\{ \begin{array}{l} \xrightarrow{\text{repetitions allowed}} VR_{n,k} = n^k \\ \xrightarrow{\text{repetitions not allowed}} V_{n,k} = \frac{n!}{(n-k)!} \end{array} \right.$$

Definition:

Let  $\Omega = \{a_1, \dots, a_n\}$  be a set of elements,  $n \geq k \geq 1$ . A  $k$ -combination without repetition of  $\Omega$  is an arrangement of the elements of  $\Omega$  taken  $k$  at a time, where two arrangements are regarded as different if and only if they differ in composition.

In other words, it is a subset of  $k$  elements of  $\Omega$ .

The number of  $k$ -combinations of  $n$  elements without repetition is denoted by  $C_{n,k}$ . (Note: order doesn't matter, only elements chosen and its number).

Example:

$$\Omega = \{a_1, a_2, a_3\}$$

2-combinations without repetition of  $\Omega$  are  $\{a_1, a_2\} = \{a_2, a_1\}$

2-var. wo. rep. of  $\Omega$ :  $(a_1, a_2), (a_2, a_1)$  with

$$(a_1, a_2) \neq (a_2, a_1).$$

Example:

$\Omega = \{a, b, c, d, e\}$ . Compute all possible subsets of 3 elements  
(no rep.)

$$\begin{array}{c} - \quad - \quad - \\ 5 \quad 4 \quad 3 \end{array} \rightarrow V_{5,3} = 5 \cdot 4 \cdot 3 = 60 \quad (\text{order matters})$$

Another way: Choose all possible distinct sets and then  
order them:

$$V_{5,3} = C_{5,3} \Rightarrow V_{3,3} = C_{5,3} \cdot 3! \Rightarrow$$

$$\Rightarrow C_{5,3} = \frac{V_{5,3}}{3!} = \frac{5 \cdot 4 \cdot 3}{3!} = \frac{5!}{2!} = \frac{5!}{2! \cdot 3!} = \binom{5}{3}$$

We generalize (it can be done).

$$C_{n,k} = \frac{V_{n,k}}{k!} = \binom{n}{k} = \frac{n!}{k! \cdot (n-k)!}$$

Example: (of the balls). How many ways can they be  
arranged? (1 box with two balls, no box empty, every box  
with elements.

(B1)

(B2)

(B3)

(B4)

1

2

3

1) Choose the box with 2 balls:  $V_{3,1} = C_{3,1} = 3$

2) Choose two balls for that box:  $C_{4,2} = \binom{4}{2}$

3) Order the remaining balls in the remaining boxes:

$$V_{2,2} = 2! = 2$$

→ Total: their product (the product rule)  $\rightarrow T = 3 \cdot \binom{4}{2} \cdot 2$

$$\Rightarrow \boxed{T = 36 \text{ number of ways to do it.}}$$

More ways:

1) Choose 3 balls:  $C_{4,3} = \binom{4}{3} = \frac{4!}{3! \cdot 1!} = 4$

2) Place one in each box:  $V_{3,3} = \frac{3!}{0!} = 3! = 6$  ways to do it.

3) Place the 4th ball:  $C_{3,1} = V_{3,1} = 3$

4) Divide by two because we are counting each option twice.

→ By product rule:  $\boxed{\text{Total} = 4 \cdot 6! \cdot 3 \cdot \frac{1}{2} = 72 \cdot \frac{1}{2} = 36}$

1) Choose two balls that go together:  $C_{4,2} = \binom{4}{2} = \frac{4!}{2! \cdot 2!} = 6$

2) Order the remaining balls + the pack:  $V_{3,3} = \frac{3!}{0!} = 3! = 6$

→ By product rule:  $\boxed{\text{Total} = 6 \cdot 6 = 36}$



General case:  $n+1$  balls and  $n$  boxes:

(Method 1).

1) Choose the box with 2 balls:  $V_{n,1} = C_{n,1} = n$

2) Choose two balls for that box:  $C_{n+1,2} = \binom{n+1}{2}$

3) Order the remaining balls in the remaining order:

$$V_{n-1,n-1} = (n-1)!$$

By product rule:

$$\text{Total} \rightarrow \boxed{T_1 = n \cdot \binom{n+1}{2} \cdot (n-1)!} = n \cdot \frac{(n+1)!}{2!}$$

$$(\text{Ex: } n=3 \rightarrow \frac{3 \cdot 4!}{2} = \frac{3 \cdot 2 \cdot 1 \cdot 4 \cdot 3}{2} = 36 \text{ checks out}).$$

Example: generalization ( $n+2$  balls,  $n$  boxes)

1) 1 box, 3 balls:

1.1) Choose the box:  $V_{n,1} = C_{n,1} = n$

1.2) Choose 3 balls:  $C_{n+2,3} = \binom{n+2}{3}$

1.3) Place the remaining  $n-1$  balls:  $V_{n-1,n-1} = (n-1)!$

$$(n+2 - 3 = n-1)$$

Product rule  $\rightarrow \boxed{T_1 = n \cdot (n-1)! \cdot \binom{n+2}{3} = n \cdot \frac{(n+2)!}{3}}$

2) 2 boxes with 2 balls:

2.1) Choose the double boxes:  $C_{n,2} = \binom{n}{2}$

(Next page)

2.2) Select the balls in first double box:  $C_{n+2,2} = \binom{n+2}{2}$

2.3) Select the balls in second box:  $C_{n,2} = \binom{n}{2}$

2.4) Place the remaining  $n-2$  balls:  $\checkmark C_{n-2,n-2} = (n-2)!$   
 $(n+2-4)$   $\xrightarrow{\hspace{1cm}}$   $(n-2 \text{ boxes})$

Product rule  $\boxed{(\binom{n}{2})^2 \cdot \binom{n+2}{2} \cdot (n-2)! = T_2}$

So the total possible ways is all the ways to do method 1,  
and all the ways in 2  $\Rightarrow$

$$\boxed{T = T_1 + T_2 = n \cdot (n-1) \cdot \binom{n+2}{3} + (n-2)! \cdot \binom{n}{2}^2 \cdot \binom{n+2}{2}}$$

### Properties of $C_{n,k}$

1.  $C_{n,k} = \binom{n}{k} = \frac{V_{n,k}}{k!} = \frac{n!}{(n-k)! \cdot k!}, k = 0, \dots, n$

2.  $\binom{n}{k} = \binom{n}{n-k}, k = 0, \dots, n$

This property means that choosing  $k$  elements among  $n$  is the same as rejecting  $n-k$  elements among  $n$ .

3.  $\binom{n}{n} = 1 = \frac{n!}{1! \cdot n!} = \frac{n!}{(n-n)! \cdot n!}$

4.  $\binom{n}{0} = 1 = \frac{n!}{1! \cdot n!} = \frac{n!}{0! \cdot (n-0)!}$

5.  $\binom{n}{k} = 0, k > n$

6.  $\binom{n-1}{k-1} + \binom{n-1}{k} = \binom{n}{k}, n \geq 1, k = 0, \dots, n$

Pascal's identity.

7.- If  $k \geq 1$ ,  $\binom{n}{k} = \frac{n}{k} \binom{n-1}{k-1}$

dem.:

$$\binom{n}{k} = \frac{n!}{k!(n-k)!} = \frac{n \cdot (n-1)!}{k \cdot (k-1)!(n-k)!} = \frac{n}{k} \cdot \frac{(n-1)!}{(k-1)! \cdot ((n-1)-(k-1))!} =$$

$$= \frac{n}{k} \cdot \binom{n-1}{k-1} \quad \square$$

8.- If  $0 \leq k \leq n-1$ ,  $\binom{n}{k} = \frac{n}{n-k} \binom{n-1}{k}$

dem.:

$$\binom{n}{k} = \frac{n!}{k!(n-k)!} = \frac{n}{n-k} \cdot \frac{(n-1)!}{k!(n-k-1)!} = \frac{n}{n-k} \cdot \frac{(n-1)!}{k!((n-1)-k)!} =$$

$$= \frac{n}{n-k} \cdot \binom{n-1}{k} \quad (\text{if } k=n \text{ it doesn't work, } \binom{n-1}{n}=0)$$

Formula valid if  $0 \leq k \leq n-1$   $\square$

Definition:

A **permutation of  $n$  elements** or  **$n$ -permutation**, is an  $n$ -variation without repetition of  $n$  elements. Permutations of  $n$  elements are all the possible  $n$ -arrangements each of which contains every element at once, with two such arrangements differing only in the order of their elements.

Order matters. No repetition.

Number of  $n$ -permutations is denoted by  $P_n$ .

$$P_n = V_{n,n} = \frac{n!}{(n-n)!} = \frac{n!}{0!} = n \cdot (n-1) \cdot \dots \cdot 2 \cdot 1 = \boxed{n!}$$

## Definition:

A **multiset** is a generalization of the notion of set in which elements are allowed to appear more than once.

## Definition:

Let  $M$  be a multiset with  $n_i$  elements of the  $i$ -type.

$$M = \{ \underbrace{a_1, \dots, a_1}_{n_1}, \underbrace{a_2, \dots, a_2}_{n_2}, \dots, \underbrace{a_k, \dots, a_k}_{n_k} \}$$

$$|M| = n_1 + n_2 + \dots + n_k = n$$

The different arrangements of  $M$  are the **permutations with repetition**.

The number of such permutations (given  $n_i, i \in \{1, k\}$  elements of each type and  $|M|$  total elements) it's denoted by:

$$P(n_1, n_2, \dots, n_k)$$

How much is  $P(n_1, \dots, n_k)$ ?

## Briefing:

Variation: sequence of length  $k$  of  $n$  elements (with/without rep.)

Combination: subset of  $k$  of  $n$  elements (with/without rep.).

Permutation: rearrangement of  $n$  elements (with/without rep.).

Briefing up to now:

$$\Omega = \{a_1, \dots, a_n\}$$

- $k$ -Variation wo. rep. = sequences of length  $k$  wo. rep.

$$V_{n,k} = \frac{n!}{(n-k)!} = n(n-1) \cdots (n-k+1)$$

- $k$ -Variation with. rep. = sequences of length  $k$  with rep.

$$VR_{n,k} = n^k$$

- $k$ -Combination wo. rep. = subsets of  $k$  elements wo. rep.

$$C_{n,k} = \binom{n}{k}$$

- Permutations wo. rep. =  $n$ -Variations wo. rep. =  
= sequences of length  $k$  without repetition (wo. rep.).

$$P_n = V_{n,n} = n!$$

- Permutations with repetition (with rep.) = sequences of  
length  $k$  with rep.

$$P(n_1, n_2, \dots, n_k) ?$$

Let's find out little by little.

We will start with  $n_1, n_2$

### Example:

Calculate number of sequences that can be formed with  $p$  zeros and  $q$  ones.

$$M = \{ \underbrace{0, \dots, 0}_p, \underbrace{1, \dots, 1}_q \} \quad p \text{ } 0\text{s and } q \text{ } 1\text{s}$$

$\overbrace{\quad \quad \quad \quad \quad}^{p+q \text{ positions}}$

$\overbrace{\quad \quad \quad \quad \quad}^1 \quad \overbrace{\quad \quad \quad \quad \quad}^2 \quad \cdots \quad \overbrace{\quad \quad \quad \quad \quad}^{p+q}$

We choose from the total  $p$  positions for 0 or  $q$  positions for ones, which is the same:

$$P(p, q) = C_{p+q, p} = C_{p+q, q} = \binom{p+q}{p} = \binom{p+q}{q} = \frac{(p+q)!}{p! \cdot q!}$$

### Example:

Some but with  $r$  2s.

$$p \text{ } 0\text{s, } q \text{ } 1\text{s and } r \text{ } 2\text{s}$$

$$M = \{ \underbrace{0, \dots, 0}_p, \underbrace{1, \dots, 1}_q, \underbrace{2, \dots, 2}_r \}$$

$\overbrace{\quad \quad \quad \quad \quad}^{p+q+r \text{ positions}}$

$\overbrace{\quad \quad \quad \quad \quad}^1 \quad \overbrace{\quad \quad \quad \quad \quad}^2 \quad \cdots \quad \overbrace{\quad \quad \quad \quad \quad}^{p+q+r}$

We choose from  $p+q+r$   $p$  for the 0s. Then, from  $q+r$  we choose  $q$  for the 1s and the rest are for the 2s.

$$\Rightarrow \boxed{P(p, q, r) = C_{p+q+r, p} \cdot C_{q+r, q} \cdot C_{r, r}^1 =} \\ = \binom{p+q+r}{p} \binom{q+r}{q} = \frac{(p+q+r)!}{p! \cdot (q+r)!} \cdot \frac{(q+r)!}{q! \cdot r!} = \\ = \boxed{\frac{(p+q+r)!}{p! \cdot q! \cdot r!}}$$

Now we generalize:

Let  $n = n_1 + \dots + n_k$

$$\boxed{P(n_1, n_2, \dots, n_k) = \binom{n}{n_1} \binom{n-n_1}{n_2} \binom{n-n_1-n_2}{n_3} \cdots \binom{n-\dots-n_{k-2}}{n_{k-1}}} \\ = \frac{n!}{n_1! \cdot (n-n_1)!} \cdot \frac{(n-n_1)!}{n_2 \cdot (n-n_1-n_2)!} \cdots \frac{(n-n_1-\dots-n_{k-2})!}{n_{k-1}! \cdot (n-\underbrace{n_1-\dots-n_{k-1}}_{(n_k)}!)!} = \\ = \boxed{\frac{n!}{n_1! \cdot n_2! \cdots n_k!}}$$

Definition:

$\Omega = \{a_1, \dots, a_n\}$ . Let  $n$  and  $k \geq 1$  be positive integers. Then, a ***k-combination with repetition of  $\Omega$***  is a multiset of  $k$  elements of  $\Omega$ . If  $CR_{n,k}$  denotes the number of  $k$ -combination with repetition given  $n$  distinct objects, how much is  $CR_{n,k}$ ?

Example:

$$\Omega = \{a_1, a_2\} \quad (n=2, k=3)$$

$CR_{2,3}$ ?

$$\{a_1, a_1, a_2\}$$

$$\{a_1, a_2, a_2\}$$

$$\{a_1, a_2, a_2\}$$

$$\{a_2, a_2, a_2\}$$

}

$$CR_{2,3} = 4$$

Example:

$$\Omega = \{a, b, c, d\} \rightarrow CR_{4,3}?$$

	a	b	c	d	
$\{a, a, a\} \leftrightarrow$	000				$\leftrightarrow 000111$
$\{a, a, b\} \leftrightarrow$	00	0			$\leftrightarrow 001011$
$\{a, b, b\} \leftrightarrow$	00		0		$\leftrightarrow 010011$
$\{a, b, c\} \leftrightarrow$	0	0	0		$\leftrightarrow 010101$
$\{c, c, d\} \leftrightarrow$			00	0	$\leftrightarrow 110010$

(Write zeros and if we find a line write 1).

Observe that every multiset has associated a sequence of 1s and 0s (3 ones and 3 ones), we can find it as shown above (two sets have a distinct representation).

Similarly, given a sequence we can find a multiset:

example:

$$100101 \rightarrow \begin{array}{c|c|c|c} a & b & c & d \\ \hline 00 & 0 & & \end{array} \rightarrow \{b, b, c\}$$

There is a bijection!

)  $\{ \text{3-combs with rep. of 4} \} \longrightarrow \{ \text{sequences formed with 3 0s and 3 1s} \}$

A

B

$\Rightarrow |A| = |B|$  and we know  $|B| \Rightarrow$

$$\Rightarrow |A| = |B| \Rightarrow CR_{4,3} = \underbrace{C_{6,3}}_{(\text{choose 3 places for the 0s, the rest are 1s})} = \binom{6}{3}$$

In general:

)  $\{ k\text{-combs with rep. of } n \text{ elements} \} \xrightarrow{\text{bijective}} \{ \text{sequences formed with } k \text{ 0s and } (n-1) \text{ 1s} \}$

(We have  $n$  letters  $\rightarrow n-1$  bars, and  $k$  elements, with one 0 for each element)

$$\Rightarrow |A| = |B| \Rightarrow \boxed{CR_{n,k} = P(k, n-1) = \underline{\underline{C_{n+k-1, k}}} = \binom{n+k-1}{k}}$$

) Choose from  $n+k-1$  places,  $k$  places for the 0s and the rest must be 1s.

Example:

Number of sequences with  $p$  zeros and  $q$  ones:

$$CR_{q+1, p} = \binom{q+1+p-1}{p} = \binom{p+q}{q}, //$$

### Example:

Number of sequences with 2 zeros and 3 ones such that there are no consecutive zeros.  
2 0s and 3 1s. → ~~0011~~ (0s consecutive)

$\sim 1 \sim 1 \sim 1 \sim \rightarrow$  We have to choose from these 4 possibilities two for the zeros:

$$\rightarrow \boxed{T = C_{4,2} = \binom{4}{2} = 6} \quad (T = \text{total})$$

### Example:

Generalization of the previous:

p 0s, q 1s  
 $\sim 1 \sim 1 \sim \dots \sim 1 \sim \rightarrow$  From  $q+1$  possible positions, we choose p.

$$\rightarrow \boxed{\text{Total} = C_{q+1,p} = \binom{q+1}{p}}$$

### Example:

2 0s, 3 1s and 4 2s:

$\sim X \sim X \sim X \dots \sim X \sim$  → We choose the 1s or 2s → From 7 choose 3 for 1s  
 $\downarrow$  possible 0       $\downarrow$  possible 3 or 2       $\binom{7}{3} = \binom{7}{4}$ . Then choose the zeros

$$\rightarrow \boxed{\text{Total} = \binom{7}{3} \cdot \binom{8}{2} = 980}$$

### Example:

#### ) Generalization.

$p$  zeros,  $q$  1s,  $r$  2s :

$\sim \times \sim \times \sim \times \dots \sim \times \sim \times \sim$  with  $x = 1 \text{ or } 2$

and  $\sim$  its a position for a possible zero.

We choose the 1s and 2s, from  $r+q$ , choose  $q$  1s  
(some to choose  $r$  2s)  $\rightarrow \binom{r+q}{r} = C_{r+q, r}$ .

Then choose the zeros, from  $r+q+1$  positions, choose  $p$ .

(Note that  $p \leq r+q+1$ )  $\rightarrow C_{r+q+1, p} \rightarrow$  By product rule:

$$\Rightarrow \boxed{\text{Total} = C_{r+q, r} \cdot C_{r+q+1, p} = \binom{r+q}{r} \binom{r+q+1}{p}}$$

### Scheme:

Combinations without rep:  $C_{n, k} = \binom{n}{k}$

(subset of  $k$  elements).

Combinations with rep:  $C_{n+k-1, k} = \binom{n+k-1}{k}$

(multiset of  $k$  elements).

Variations without rep:  $V_{n, k} = \frac{n!}{(n-k)!}$

(sequence of  $k$  elements without rep).

Variations with rep:  $V_{n, k} = n^k$

(sequence of  $k$  elements with rep).

Permutations without rep:  $P_n = n!$   
 (rearrangement of the elements of a set)

Permutations with rep:  $P(n_1, \dots, n_k) = \frac{(n_1 + \dots + n_k)!}{n_1! \cdot n_2! \cdot \dots \cdot n_k!}$   
 (rearrangement of the elements of  
 a multiset).

These are very useful tools. To compute number of possible subsets of a set:  $C_{n,k}$ . To compute number of rearrangements of a set  $P_n$ . To compute the number of rearrangements of a multiset  $P_{n_1, \dots, n_k}$ . Notice this last one is the same as computing all the possible arrangements ( $n!$ ) and then for each solution divide by the permutation of equal elements (we've counted  $1_1 1_2$  as different from  $1_2 1_1$ , but they are the same, in this case divide by  $2!$ ), which means  $(n)! \cdot (\frac{1}{n_1!}) \cdot (\frac{1}{n_2!}) \cdot \dots \cdot (\frac{1}{n_k!})$ .

To compute the number of possible multisets that can be formed from a set:  $C_{R,n,k}$ . The intuitive way to see it is with the bijection from multisets of length  $k$  from  $n$  elements with sequences formed with

$k$  zeros and  $(n-1)$  ones:

$$0110 \dots \rightarrow \begin{matrix} A & B & C \\ 0 & | & | & 0 & | & \dots \end{matrix} \rightarrow \{a, c, \dots\}$$

$\rightarrow$  (n-1 bars, because  $n$  letters and one zero for each element in the multiset,  $k$ )

$$\Rightarrow CR_{n,k} = C_{n+k-1, k} = C_{n+k-1, n-1} = \binom{n+k-1}{k} = \binom{n+k-1}{n-1}$$

(From  $(n-1+k)$  positions, choose either  $k$  zeros or  $n-1$  ones, which is the same).

## 1.5 Factorial Powers

Definition:

Let  $a \in \mathbb{C}$ . Its descending or lower or falling factorial (power) of order  $k$ ,  $k \in \mathbb{N}^*$  is:

$$a^{\underline{k}} = \begin{cases} a(a-1)\dots(a-k+1) & k \geq 1 \\ 1 & k=0 \end{cases}$$

(It has  $k-1+1=k$  terms).

Analogous: ascending / upper / rising factorial power of

order  $k$ ,

$$a^{\overline{k}} = \begin{cases} a(a+1)\dots(a+k-1) & k \geq 1 \\ 1 & k=0 \end{cases}$$

(It has  $k$  terms).

Properties:

1.1

$$(-a)^k = (-1)^k \cdot a^k$$

1.2

$$(-a)^k = (-1)^k \cdot a^{\underline{k}}$$

dem.: (Proof)

(next page)

$$(-a)^k = (-a)(-a-1) \cdots (-a-k+1) = (-1)^k \cdot \underbrace{a(a+1) \cdots (a+k-1)}_{a^k} = (-1)^k \cdot a^k \quad \square$$

$$\frac{1.3}{(-a)^k} = (-1)^k \cdot a^k \quad (\text{because of 1.2})$$

$$\frac{2.1}{a^{k+l}} = a^k \cdot a^l$$

$$\frac{2.3}{a^{k+l}} = a^k \cdot (a-k)^l$$

proof:

$$a^{k+l} = \underbrace{a(a-1) \cdots (a-k+1)}_{a^k} \cdot (a-k) \cdot (a-k-1) \cdots (a-(k+l+1))$$

$$= a^k \cdot (a-k)^l \quad \square$$

$$\frac{2.4}{a^{k+l}} = a^k (a+k)^l \quad (\text{similar to 2.3})$$

$$3.1 \\ a = n \in \mathbb{N}^*$$

if  $0 \leq k \leq n$ :

$$n^k = n(n-1) \cdots (n-k+1) = \frac{n!}{(n-k)!} = V_{n,k}$$

3.2

If  $k > n$ :

$$n^k = 0$$

proof:

$$\text{If } k > n \Rightarrow n^k = n(n-1) \cdots \underset{\textcircled{o}}{(n-n)} \cdots \underset{\textcircled{o}}{(n-k+1)} = 0$$

## 1.6 Classifications

Definition:

Rule of sum: Let  $\Omega$  be a set. If  $\{A_1, \dots, A_k\}$  is a partition of  $\Omega$ , that is:

$$\bigcup_{i=1}^k A_i = \Omega \quad \text{and} \quad A_i \cap A_j = \emptyset \quad \forall i \neq j$$

$$\Rightarrow |\Omega| = |A_1| + \dots + |A_k|$$

Classification is very useful. Sometimes there no other solution. We can find some identities. And it is the main idea to get recurrence relations.

Example:

$A$  = ways to place 5 distinguishable balls in 3 boxes.

$A_1$  = ways in which two boxes have 12 balls.

$A_2$  = ways " " one box has 3 balls.

Since  $\{A_i\}_{i=1,2}$  is a partition of  $A$  ( $A = A_1 \cup A_2$  and  $A_1 \cap A_2 = \emptyset$ )

$$\Rightarrow |A| = |A_1| + |A_2| \text{ which is how we solved it before.}$$

## Example:

For  $n=1$ :

$$\Omega_1 = \{\alpha_1\}$$

$$P(\Omega_1) = \{\emptyset, \{\alpha_1\}\} \Rightarrow |P(\Omega_1)| = 2 = 2^1$$

$n=2$ :

$$\Omega_2 = \{\alpha_1, \alpha_2\}$$

$$P(\Omega_2) = \{\emptyset, \{\alpha_1\}, \{\alpha_2\}, \{\alpha_1, \alpha_2\}\} \Rightarrow |P(\Omega_2)| = 4 = 2^2$$

$$\Rightarrow |P(\Omega_2)| = 4 = 2^2$$

If  $\Omega = \{\alpha_1, \dots, \alpha_n\}$ ,  $P(\Omega) = ?$

All possible subsets of  $\Omega$ :

• with 0 elements:  $\emptyset$

• with 1:  $\{\alpha_1\}, \{\alpha_2\}, \dots, \{\alpha_n\}$

• with  $n$ :  $\Omega$

So; we define:  $\emptyset = A_0 = \{\text{subsets of } \Omega \text{ with } i \text{ elements}\} \subseteq P(\Omega)$   
( $i \in \{0, \dots, n\}$ ). We observe:

$$\bigcup_{i=0}^n A_i = P(\Omega) \quad \text{and} \quad A_i \cap A_j = \emptyset \quad \text{if } i \neq j$$

We do count every possible element

for  $i \neq j$   $a \in A_i$  and  $b \in A_j$  have  
 $|a| = i \neq j = |b| \Rightarrow a \neq b \Rightarrow$   
 $\Rightarrow a \notin A_j \text{ and } a \notin A_i \Rightarrow A_i \cap A_j = \emptyset$

$\Rightarrow \{A_i\}_{i \in \{0, \dots, n\}}$  is a partition of  $\Omega$ .

We have:

$$A_0 = \{\emptyset\} \rightarrow |A_0| = 1$$

$$A_1 = \{a_1, \dots, a_n\} \rightarrow |A_1| = n$$

$$A_k = \{x \subset \Omega : |x| = k\} \rightarrow |A_k| = C_{n,k} = \binom{n}{k}$$

:

$$A_n = \{\Omega\} \rightarrow |A_n| = |\Omega| = n$$

)

Thus:

$$|\mathcal{P}(\Omega)| = \sum_{i=0}^n |A_i| = \sum_{i=0}^n C_{n,i} = \sum_{i=0}^n \binom{n}{i}$$

Brief:

$$\Omega = \{a_1, \dots, a_n\}$$

$$|\mathcal{P}(\Omega)| = \sum_{i=0}^n (C_{n,i}) = \sum_{i=0}^n \binom{n}{i}$$

We define now:

$\emptyset \neq B_1 = \{ \text{subsets of } \Omega \text{ that contain } a_n \} \subseteq \mathcal{P}(\Omega)$

$\emptyset \neq B_2 = \{ \text{subsets of } \Omega \text{ that do not contain } a_n \} \subseteq \mathcal{P}(\Omega)$

Trivial to prove that  $\{B_i\}_{i \in \{1,2\}}$  is a partition of  $\Omega$ .

So:

$$|\mathcal{P}(\Omega)| = |B_1| + |B_2|$$

$$x_n = |\mathcal{P}(\Omega)| \quad \text{when } |\Omega| = n$$

$$x_i = |\mathcal{P}(\Omega_i)| \quad \text{when } |\Omega_i| = i$$

In this notation:

$$\begin{aligned} & \text{But note that there is a bijection from } B_1 \rightarrow B_2 \text{ and } \\ & \Rightarrow |B_1| = |\Omega_{n-1}| = x_{n-1} \end{aligned}$$

$$\left\{ \begin{array}{l} \mathcal{P}(\Omega_{n-1}) \rightarrow B_1 \\ A \rightarrow A \cup \{\text{any}\} \end{array} \right. \text{ bijective} \Rightarrow |B_1| = |\Omega_{n-1}| = x_{n-1}$$

$$\left\{ \begin{array}{l} \mathcal{P}(\Omega_{n-1}) \rightarrow B_2 \\ A \rightarrow A \end{array} \right. \text{ bijective} \Rightarrow |B_2| = |\Omega_{n-1}| = x_{n-1}$$

$$\Rightarrow |\mathcal{P}(\Omega)| = |B_1| + |B_2| = x_{n-1} + x_{n-1} = 2x_{n-1}, \quad \forall n \geq 1$$

$$(\text{We've proved } x_1 = |\mathcal{P}(\Omega_1)| = |\mathcal{P}(\{\text{as}\})| = 2 \quad \text{and}$$

$$x_0 = |\mathcal{P}(\Omega_0)| = |\mathcal{P}(\emptyset)| = 1).$$

$$\Rightarrow 2x_{n-1} = 2 \cdot (2 \cdot x_{n-2}) = 2^2 \cdot x_{n-2} = 2^2 \cdot (2x_{n-3}) =$$

$$= 2^3 \cdot x_{n-3} = \dots = 2^{n-1} \cdot x_{n-(n-1)} = 2^{n-1} \cdot x_1 = 2^n \cdot 2^0 = 2^n$$

$$\boxed{\begin{aligned} & \Rightarrow |\mathcal{P}(\Omega)| = 2^n \quad \text{and also:} \\ & |\mathcal{P}(\Omega)| = \sum_{i=0}^n \binom{n}{i} = 2^n \end{aligned}}$$

Note: this was thanks to the classification rule!

### Example:

How many  $k$ -combinations without rep?  $C_{n,k}$

Let's see it another way:  $|I_{\Omega}| = k$

$A_1 = \{ \text{subsets of } \Omega \text{ contain } a_n \} \xrightarrow{(1)} \{ a_n \} \cup \{ \text{subsets of } k-1 \text{ elements of } \Omega - a_n \}$

$A_2 = \{ \text{subsets of } \Omega \text{ don't contain } a_n \} \xrightarrow{(2)} \{ \text{subsets of } k \text{ elements of } \Omega - a_n \}$

$\{A_1, A_2\}$  is a partition of  $A = \{ \text{subsets of } \Omega \text{ with } k \text{ elements} \}$ .

$$|A| = |A_1| + |A_2|$$

Put it in terms of  $C_{n,k}$  we obtain:

$$C_{n,k} = \underbrace{C_{n-1, k-1}}_{(1)} + \underbrace{C_{n-1, k}}_{(2)} \Rightarrow \binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

we already  
know  $a_n$  &  $A_1$

We've proved Pascal's identity. This is Pascal's triangle:

$$\begin{array}{ccccccc}
 & & \binom{0}{0} & & & & \\
 & & \binom{1}{0} & \binom{1}{1} & & & \\
 & \binom{2}{0} & \binom{2}{1} & \binom{2}{2} & & & \\
 \binom{3}{0} & \binom{3}{1} & \binom{3}{2} & \binom{3}{3} & & & \\
 & & \vdots & & & & \\
 \end{array}$$

$$\begin{array}{ccccc}
 & & 1 & & \\
 & & 1 & 1 & \\
 & 1 & 2 & 1 & \\
 1 & 3 & 3 & 1 & \\
 & & \vdots & & \\
 \end{array}$$

### Example:

$a_n$  = number of sequences of  $n$  elements, 1s and 0s, in which there are no consecutive zeros.

$$a_1 = 2 \rightarrow (0), (1)$$

$$a_2 = 3 \rightarrow (0,1), (1,0), (1,1)$$

$$a_3 = 5 \rightarrow (0,1,0), (0,1,1), (1,1,0), (1,1,1), (1,0,1)$$

We classify by the first number.

$$\left\{ \begin{array}{l} A_1 = \{ \text{sequences that start with a } 0 \} \\ A_2 = \{ \text{ " " " " 1 } \} \end{array} \right.$$

$\{A_1, A_2\}$  is a partition set of  $A$ .

$$\Rightarrow |A| = |A_1| + |A_2| \Rightarrow a_n = |A_1| + |A_2|$$

For  $A_1$ . If they start with a 0  $\rightarrow$

$$\begin{array}{c} 0 \quad 1 \quad - \quad - \quad - \quad \Rightarrow |A_1| = a_{n-2} \\ \downarrow \quad \downarrow \quad \underbrace{\quad \quad \quad}_{\text{must be 1}} \quad | a_{n-2} ! \\ \text{start with 0} \quad \text{next must be 1} \end{array}$$

$$\begin{array}{c} 1 \quad - \quad - \quad - \quad \Rightarrow |A_2| = a_{n-1} \\ \downarrow \quad \underbrace{\quad \quad \quad}_{\text{starts with 1}} \quad | a_{n-1} ! \\ 1 \end{array}$$

$$\Rightarrow \text{By the rule of sum: } a_n = a_{n-1} + a_{n-2}, \quad n \geq 3$$

(Recurrence relation of the Fibonacci sequence).

### Example:

Similar, but 0s, 1s, 2s and no consecutive 1s.

$$a_1 = 3 \rightarrow (0), (1), (2)$$

$$a_2 = 8 \rightarrow (0,0), (0,1), (0,2), (1,0), (1,2), (2,0), (2,1), (2,2)$$

For  $n \geq 3$

- Starts with 0:  $\underline{0} \underline{\dots} \underline{\dots}$  (we can put there any sequence of  $a_{n-1}$ )

- Starts with 1, must be followed by 0 or 2, because there are no consecutive 1s:

  - Starts with 10:  $\underline{1} \underline{0} \underline{\dots} \underline{\dots}$

  - Starts with 12:  $\underline{1} \underline{2} \underline{\dots} \underline{\dots}$

- Starts with 2:  $\underline{2} \underline{\dots} \underline{\dots}$  (similar to 0).

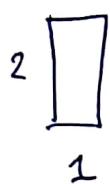
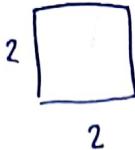
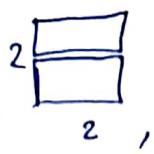
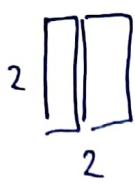
$$\Rightarrow \text{Rule of sum: } \boxed{a_n = 2 \cdot a_{n-1} + 2 \cdot a_{n-2}}$$

### Example:

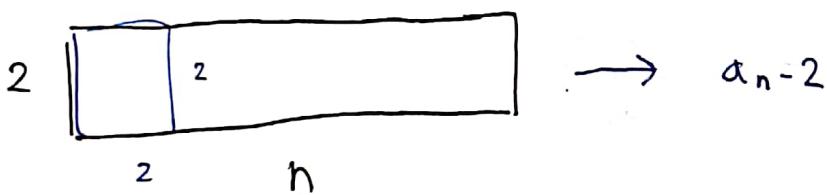
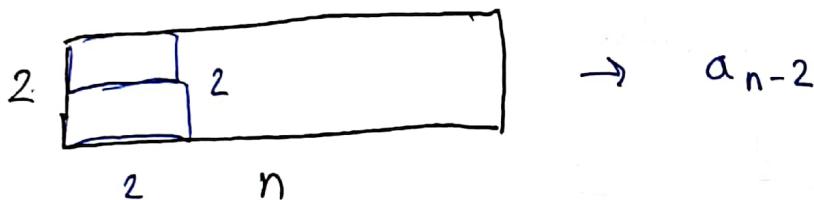
Two types of floor tiles:  $2 \times 1$    $2 \times 2$  

How many ways to tile a rectangular floor of  $2 \times n$ ?

- We classify:

$n=1$  $n=2$ 

$$\left. \begin{array}{l} a_1 = 1 \\ a_2 = 3 \end{array} \right\}$$

 $n:$ 

 is included in the first one! It is a partition  $\Rightarrow$

By the rule of sum:

$$a_n = a_{n-1} + 2a_{n-2}$$

Example: (where classification is not possible).

$$A_n = \{k : 1 \leq k \leq n : k \bmod(2) = 0 \vee k \bmod(3) = 0\} = \{k : 1 \leq k \leq n : k \bmod(2) = 0\} \cup \{k : 1 \leq k \leq n : k \bmod(3) = 0\}$$

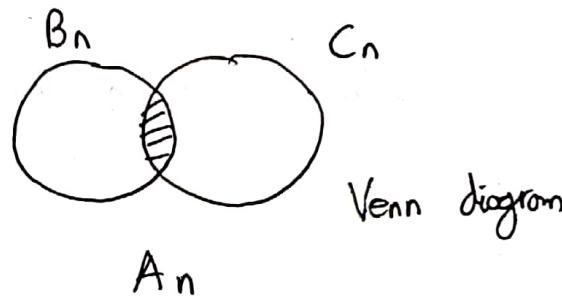
$$|A_n| = ? \quad \begin{cases} B_n = \{k : 1 \leq k \leq n : k \bmod(2) = 0\} \\ C_n = \{k : 1 \leq k \leq n : k \bmod(3) = 0\} \end{cases}$$

We define:

Note it is not a partition, despite  $A_n = B_n \cup C_n$ ,  $B_n \cap C_n \neq \emptyset$ !

Example:  $6 \in B_n \cap C_n$

$$\Rightarrow |A_n| = |B_n| + |C_n| - |B_n \cap C_n|$$



From past exercises:

$$|B_n| = \lfloor \frac{n}{2} \rfloor$$

$$|C_n| = \lfloor \frac{n}{3} \rfloor$$

$$|B_n \cap C_n| = \lfloor \frac{n}{6} \rfloor$$

$$\left. \begin{array}{l} |B_n| = \lfloor \frac{n}{2} \rfloor \\ |C_n| = \lfloor \frac{n}{3} \rfloor \\ |B_n \cap C_n| = \lfloor \frac{n}{6} \rfloor \end{array} \right\} \Rightarrow |A_n| = \lfloor \frac{n}{2} \rfloor + \lfloor \frac{n}{3} \rfloor - \lfloor \frac{n}{6} \rfloor$$

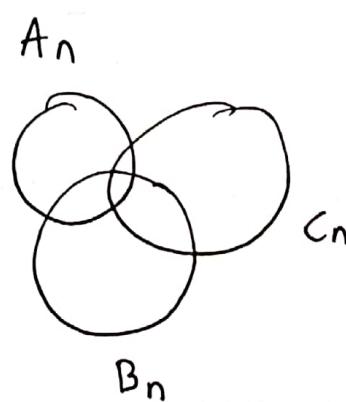
Example:

Same but:

$$A_n = \{k : 1 \leq k \leq n, k \equiv 0 \pmod{2}\}$$

$$B_n = \{k : 1 \leq k \leq n, k \equiv 0 \pmod{3}\}$$

$$C_n = \{k : 1 \leq k \leq n, k \equiv 0 \pmod{5}\}$$



$$\begin{aligned}
 |D_n| &= |A_n \cup B_n \cup C_n| = |(A_n \cup B_n) \cup C_n| \stackrel{\substack{\text{principle of} \\ \text{inclusion-} \\ \text{exclusion}}}{=} \\
 &= |A_n \cup B_n| + |C_n| - |(A_n \cup B_n) \cap C_n| = \\
 &= |A_n| + |B_n| - |A_n \cap B_n| + |C_n| - |(A_n \cap C_n) \cup (B_n \cap C_n)| = |A_n \cap B_n \cap C_n| \\
 &= |A_n| + |B_n| + |C_n| - |A_n \cap B_n| - \left[ |A_n \cap C_n| + |B_n \cap C_n| - |A_n \cap B_n \cap C_n| \right] \\
 &= |A_n| + |B_n| + |C_n| - |A_n \cap B_n| - \left[ |A_n \cap C_n| + |B_n \cap C_n| - |A_n \cap B_n \cap C_n| \right] \\
 &\quad \text{in this case} \\
 &\stackrel{?}{=} \boxed{|{}^n_2| + |{}^n_3| + |{}^n_5| - |{}^n_6| - |{}^n_{10}| - |{}^n_{15}| + |{}^n_{30}| = |D_n|}
 \end{aligned}$$

We generalize (the following formula can be proven by induction but we won't do it (Básicos del año pasado)).

## 1.7 Principle of Inclusion - Exclusion

Let  $A_1, \dots, A_n$  be subsets of a finite set  $\Omega$ . Then:

$$\begin{aligned}
 |\bigcup_{i=1}^n A_i| &= \sum_{i=1}^n |A_i| - \sum_{1 \leq i < j \leq n} |A_i \cap A_j| + \sum_{1 \leq i < j < k \leq n} |A_i \cap A_j \cap A_k| - \dots \\
 &\dots + (-1)^{r-1} \sum_{1 \leq i_1 < \dots < i_r \leq n} |A_{i_1} \cap \dots \cap A_{i_r}| + \dots + (-1)^{n-1} |A_1 \cap \dots \cap A_n|
 \end{aligned}$$

The terms in the sums are the following by order:

$$({}^n_1), ({}^n_2), ({}^n_3), \dots, ({}^n_r), \dots, ({}^n_n)$$

(We choose from  $n$  elements  $r$  distinct ones and they must have an order because they are distinct. All possible  $r$ -subsets:  $({}^n_r)$ )

Example:

$$(M_1 W_1) \dots (M_n W_n)$$

How many ways can we pair MW so that no man dances with his wife?

$\Omega = \{ \text{all the possible dancing couples} \}$  (no constraints)

$$\frac{n}{M_1} \cdot \frac{n-1}{M_2} \cdots \frac{1}{M_n} \Rightarrow |\Omega| = (n!) = P_n = V_{n,n}$$

$\Omega^* = \{ \text{ways in which no man dances with his wife} \}$

$(\Omega^*)^c = \{ \text{ways in which at least one man dances with his wife} \}$

By the rule of sum:  $|\Omega| = |\Omega^*| + |(\Omega^*)^c|$

It easier to compute  $|(\Omega^*)^c|$ . Principle of inclusion-exclusion.

$A_k = \{ \text{ways in which married couple } k \text{ dances together} \}, k=1, \dots, n$

$$(\Omega^*)^c = \bigcup_{k=1}^n A_k \quad (\supset \text{ and } c : \text{yes})$$

Since  $\{A_k\}_{k \in \mathbb{Z}}$  is not a partition, we use the principle of inclusion-exclusion.

$$|(\Omega^*)^c| = \left| \bigcup_{k=1}^n A_k \right| \rightarrow \text{we need } |A_k|, |A_k \cap A_j| \dots$$

$$\forall k, |A_k| = ?$$

We have one pair:  $(M_k, W_k) \rightarrow \frac{n-1}{M_1} \cdot \frac{n-2}{M_2} \cdots \frac{W_k}{M_k} \cdots \frac{1}{M_n}$

$$\Rightarrow |A_k| = (n-1)! \quad (\text{True for any } k)$$

$$\forall i, j \rightarrow \underbrace{|A_k \cap A_j|}_{(M_i w_k) \text{ and } (M_j w_j)} \quad \left. \begin{array}{c} \frac{M_1}{n-2} \quad \frac{M_2}{n-3} \quad \frac{M_3}{n-4} \dots \frac{M_j}{\overset{1}{w_j}} \quad \frac{M_k}{w_k} \quad \dots \frac{M_n}{1} \\ \hline \end{array} \right\} |A_k \cap A_j| = (n-2)!.$$

By the same reasoning:

$$|A_{k_1} \cap A_{k_2} \cap \dots \cap A_{k_r}| = (n-r)!$$

$$\left( |A_1 \cap \dots \cap A_n| = 1 = (n-n)! \right)$$

We apply the principle:

$$n! = |\Omega| = |(\Omega^*)^c| + \underbrace{|\Omega^*|}_? \Rightarrow |\Omega^*| = n! - |(\Omega^*)^c|$$

$$|(\Omega^*)^c| = \left| \bigcup_{k=1}^n A_k \right| = n \cdot (n-1)! - C_{n,2} \cdot (n-2)! + C_{n,3} \cdot (n-3)! - \dots$$

$$\dots (-1)^{r-1} \cdot C_{n,r} \cdot (n-r)! + \dots (-1)^{n-1} \cdot 1 =$$

$$= \sum_{i=1}^n (-1)^{i-1} \cdot C_{n,i} \cdot (n-i)!$$

$$\Rightarrow |\Omega^*| = n! + \sum_{i=1}^n (-1)^i \cdot \binom{n}{i} (n-i)! \Rightarrow$$

$$\Rightarrow |\Omega^*| = \sum_{i=0}^n (-1)^i \cdot \binom{n}{i} (n-i)! \Rightarrow$$

$$\Rightarrow \boxed{|\Omega^*| = \sum_{i=0}^n (-1)^i \cdot \frac{n!}{i!}}$$

Simpler expression for the principle of exclusion-inclusion:

$$|\bigcup_{i=1}^n A_i| = \sum_{\emptyset \neq I \subseteq \{1, \dots, n\}} (-1)^{|I|-1} |A_I|$$

$$(A_I = \bigcap_{i \in I} A_i)$$

### 1.7.1 Euler's totient or $\phi$ function

they are coprimes

Definition:

$n \in \mathbb{N}$ .

$A_n = \{k \in \{1, \dots, n\} : k \text{ is prime with } n\} = \{k \in \{1, \dots, n\} : \gcd(k, n) = 1\}$

( $\gcd$  = greater common divisor).

Euler's totient or phi function is defined as:

$$\phi(n) = |A_n|$$

If  $n = 1$ ,  $\phi(1) = 1$  ( $A_1 = \{1\}$ )

Example:

$$A_{12} = \{1, 5, 7, 11\} \Rightarrow \phi(12) = 4 = |A_{12}|$$

Theorem:

$$\phi(n) = n \prod_{i=1}^l \left(1 - \frac{1}{p_i}\right) = n \left(1 - \frac{1}{p_1}\right) \dots \left(1 - \frac{1}{p_k}\right)$$

$$(\text{if } p_1^{\alpha_1} p_2^{\alpha_2} \dots p_k^{\alpha_k} = n)$$

Proof: ( $n = p_1^{\alpha_1} \cdot \dots \cdot p_e^{\alpha_e}$ )

Let  $A_n = \{k \in \{1, \dots, n\} : k \text{ is not a multiple of } p_i \quad \forall i \in \{1, \dots, e\}\}$

and  $A_n^c = \{k \in \{1, \dots, n\} : k \text{ is a multiple of at least one } p_i \quad \forall i \in \{1, \dots, e\}\}$

Let:  $B_i = \{k \in \{1, \dots, n\} : k \text{ is a multiple of } p_i\} \quad \forall i \in \{1, \dots, e\}$

Note that:  $A_n^c = \bigcup_{i \in \mathbb{I}} B_i$

(E.g.  $n = 12 = 2^2 \cdot 3 \Rightarrow 4 \in A_n^c, 4 \in B_2, 4 \in B_4, 6 \in B_2, 6 \in B_3, 6 \in B_6,$

Let's find out its cardinality.

$|B_i| = \lfloor \frac{n}{p_i} \rfloor$  (we have already compute it).

Since  $p_i$  divides  $n \Rightarrow |B_i| = \frac{n}{p_i} \quad \forall i \in \{1, \dots, e\}$

This way:

$|B_i \cap B_j| = \lfloor \frac{n}{p_i \cdot p_j} \rfloor = \frac{n}{p_i \cdot p_j}$  (Multiples of  $p_i$  and  $p_j$  are multiples of their minimum common multiple:  $p_i \cdot p_j = \underline{\text{mcm}}(p_i, p_j)$ , they are primes!)

We generalize:

$$|B_{i_1} \cap B_{i_2} \cap \dots \cap B_{i_r}| = n \cdot (p_{i_1} \cdot \dots \cdot p_{i_r})^{-1}$$

And:

$$|B_1 \cap \dots \cap B_e| = n \cdot (p_1 \cdot \dots \cdot p_e)^{-1}$$

We apply the formula:

$$|A_n^c| = \left| \bigcup_{i=1}^e B_i \right| = \sum_{i=1}^e \frac{n}{p_i} - \sum_{1 \leq i < j \leq e} \frac{n}{p_i \cdot p_j} + \dots + (-1)^{r-1} \cdot \sum_{1 \leq i_1 < \dots < i_r \leq e} \frac{n}{p_{i_1} \cdot \dots \cdot p_{i_r}} + \dots + (-1)^{e-1} \cdot \frac{n}{p_1 \cdot \dots \cdot p_e}$$

Note: if  $k \in \{1, \dots, n\}$ ,  $k \in A_n$  or  $A_n^c \Rightarrow |A_n| + |A_n^c| = n$

$$\Rightarrow \phi(n) = |A_n| = n - |A_n^c| = n \left[ 1 - \sum_{i=1}^l \frac{1}{p_i} + \dots \right]$$

$$\dots (-1)^r \sum_{1 \leq i_1 < \dots < i_r \leq l} \frac{1}{p_{i_1} \cdots p_{i_r}} + \dots + (-1)^l \cdot \frac{1}{p_1 \cdots p_l} ] =$$

$$= n \cdot (1 - \frac{1}{p_1})(1 - \frac{1}{p_2}) \cdots (1 - \frac{1}{p_l})$$

↓

To be proven by induction:

•  $l=1$ :

$$(1 - \frac{1}{p_1})$$

•  $l=2$ :

$$(1 - \frac{1}{p_1})(1 - \frac{1}{p_2}) = 1 - \frac{1}{p_1} - \frac{1}{p_2} + \frac{1}{p_1 \cdot p_2}$$

•  $l=3$ :

$$(1 - \frac{1}{p_1})(1 - \frac{1}{p_2})(1 - \frac{1}{p_3}) = \left[ 1 - \frac{1}{p_1} - \frac{1}{p_2} + \frac{1}{p_1 p_2} \right] \left( \frac{1}{p_3} + 1 \right) =$$

$$= 1 - \frac{1}{p_1} - \frac{1}{p_2} + \frac{1}{p_1 p_2} - \frac{1}{p_3} + \frac{1}{p_1 p_3} + \frac{1}{p_2 p_3} - \frac{1}{p_1 p_2 p_3} =$$

$$= 1 - \frac{1}{p_1} - \frac{1}{p_2} - \frac{1}{p_3} + \frac{1}{p_1 p_2} + \frac{1}{p_1 p_3} + \frac{1}{p_2 p_3} - \frac{1}{p_1 p_2 p_3}$$

... (can be proven by induction).

⇒

$$\begin{aligned}
 l = n & : \quad \text{Hyp.} \\
 & \left(1 - \frac{1}{p_1}\right) \cdots \left(1 - \frac{1}{p_{e-1}}\right) \left(1 - \frac{1}{p_e}\right) = \\
 & = \left(1 - \frac{1}{p_e}\right) \cdot \left(1 - \sum_{i=1}^{e-1} \frac{1}{p_i} + \dots + (-1)^{r-1} \cdot \sum_{1 \leq i_1 < \dots < i_r \leq e-1} \left( \frac{1}{p_{i_1} \cdots p_{i_r}} \right) + \frac{(-1)^{e-1} \cdot 1}{(p_1 \cdots p_{e-1})} \right) \\
 & = 1 - \underbrace{\sum_{i=1}^{e-1} \frac{1}{p_i}}_{- \frac{1}{p_e}} + \underbrace{(-1)^{r-1} \cdot \sum_{1 \leq i_1 < \dots < i_r \leq e-1} \left( \frac{1}{p_{i_1} \cdots p_{i_r}} \right)}_{+ (-1)^{e-1} \cdot \sum_{1 \leq i_1 < \dots < i_{e-1} \leq e-1} \frac{1}{p_{i_1} \cdots p_{i_{e-1}}}} \\
 & + \dots + \frac{(-1)^{e-1} \cdot 1}{p_1 \cdots p_{e-1} p_e} = \\
 & = 1 - \sum_{i=1}^e \frac{1}{p_i} + (-1)^{r-1} \sum_{1 \leq i_1 < \dots < i_r \leq e-1} \frac{1}{p_{i_1} \cdots p_{i_r}} + \dots + \frac{(-1)^{e-1} \cdot 1}{p_1 \cdots p_e} // \\
 \Rightarrow \phi(n) & = n \cdot \prod_{i=1}^e \left(1 - \frac{1}{p_i}\right) \quad \square
 \end{aligned}$$

## 1.8 Translations

Definition:

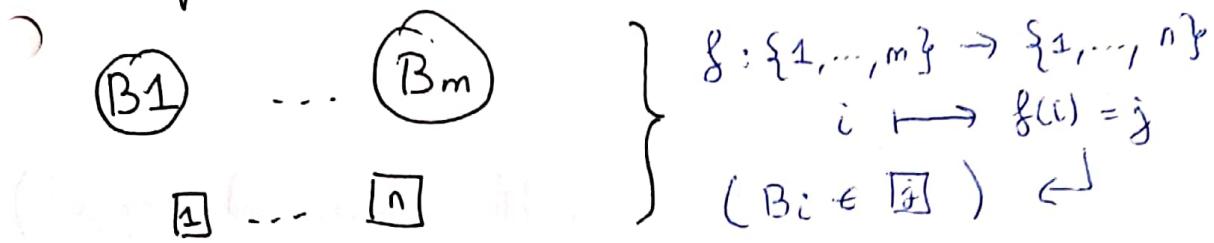
To translate a problem is to state an equivalent problem in other terms.

Example:

Point in  $\mathbb{R}^2 \Leftrightarrow (x, y)$  pairs of  $\mathbb{R} \times \mathbb{R}$

geometric  $\Leftrightarrow$  algebraic

Example: ( Problem  $\leftrightarrow$  Mapping ) ( Mapping )



But if we don't move than 1 ball in a box:

$$f: \{1, \dots, m\} \rightarrow \{1, \dots, n\} \text{ injective } (\Rightarrow m \leq n)$$

Without exclusion: no box empty:

$$f: \{1, \dots, n\} \rightarrow \{1, \dots, n\} \text{ surjective } (\Rightarrow n \leq m)$$

(Bijective mappings:  $m = n$ )

Example: ( Mapping  $\leftrightarrow$  Sequences )

$$f: \{1, \dots, m\} \rightarrow \{1, \dots, n\}$$

$$i \mapsto f(i) = j$$

$$\frac{f(1)}{1} \quad \frac{f(2)}{2} \quad \frac{f(3)}{3} \quad \dots \quad \frac{f(m)}{m}$$

- For a general sequence with repetition:  $n \cdot n \cdot \dots \cdot n = n^m$  possible mappings. ( $VR_{n,m}$ )

- If we want them to be injective, similar but without repetition:

$$n(n-1) \cdot \dots \cdot n - (m-1) = V_{n,m} = \frac{n!}{(n-m)!} \text{ (possible mappings!)}$$

In the case of the surjective:  
We don't know how to express it yet.

In the case of bijective:

$$n \cdot (n-1) \cdot \dots \cdot 1 = n! = P_n \quad (\text{possible sequences and mappings!})$$

We have:

$$[m] = \{1, \dots, m\}$$

$m$ dist. balls $n$ numb. boxes	Mappings, from $[m]$ to $[n]$	Sequences, of length $m$ formed by $[n]$	Quantity,
Placements without exclusion	All	reps. allowed	$VR_{n,m}$
Placements with exclusion	Injective	without reps	$V_{n,m}$
Plac. without exc. nonempty boxes	Surjective	reps allowed, each element at least once	$n! \cdot \{^m n\}$

$\{^m n\}$ : Stirling number of the second kind.

Example: (Subsets  $\leftrightarrow$  sequences of 0s and 1s)

$\Omega = \{1, 2, 3, 4, 5\}$

$\emptyset \leftrightarrow 00000$

$\{2\} \leftrightarrow 01000$

$\{2, 5\} \leftrightarrow 01001$

$\Rightarrow$  Bijection between subsets of  $\Omega$  and sequences of length  $|A|$  formed with 0s and 1s. Let's prove it. ( $VR_{2,n} = 2^n$  for both cardinalities).  $|A| = |B| \Rightarrow$  there is a bijection.

Example: (Subsets  $\leftrightarrow$  sequences of 0s and 1s)

Subset of  $m$  elements of  $\Omega \Leftrightarrow$  of length  $n$  formed by  $m$  ones and  $n-m$  zeros.

For both cases  $|A| = |B| = C_{n,m} = \binom{n}{m}$  ( $n$  choose  $m$ )  
 $\Rightarrow$  There is a bijection.

Example: (Multisets  $\leftrightarrow$  sequences of 0s and 1s)

(Example page 24)

Multisets of elements of  $\Omega \Leftrightarrow$  sequence of length  $m+n-1$  formed by  $m$  zeros and  $n-1$  ones.

For both cases  $|A| = |B| = C_{n+m-1, m} = \binom{n+m-1}{m}$   
 $\Rightarrow$  There is a bijection.

Example: (Placement of indistinguishable balls  $\leftrightarrow$  sequences of 0s and 1s).



• Placement without inclusion  $\Leftrightarrow$  sequences formed by  $m$  zeros and  $n-1$  ones.

$$\underbrace{- - - -}_{m+n-1} \rightarrow C_{m+n-1, m} = \binom{n+m-1}{m} = CR_{n,m}$$

• Placement with exclusion  $\Leftrightarrow$  sequences formed by  $m$  zeros and  $n-1$  ones with no consecutive zeros.

$\sim 1 \sim 1 \sim \dots \sim 1 \sim \rightarrow \binom{n}{m}$  options  
 $(n-1+1) = n$  places for 0)

- Without exclusion but no box empty  $\Leftrightarrow$  sequence formed by  $m$  zeros and  $n-1$  ones which no consecutive ones and starting with 0.

$$\textcircled{1} \quad \text{Crossed out} \rightarrow 0 \sim 0 \sim 0 \sim \dots \sim 0 \sim 0$$

We have  $m-1$  possible places for  $1s \Rightarrow$

$$\Rightarrow \binom{m-1}{n-1} = C_{m-1, n-1}$$

Example: (Placements of indistinguishable balls  $\leftrightarrow$  solutions of an equation)

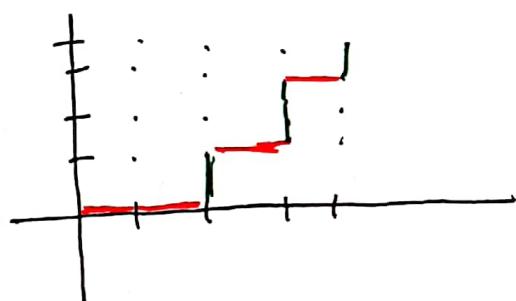
- Without exclusion  $\Leftrightarrow$  solutions of  $x_1 + \dots + x_n = m$ ;  $x_i \in \mathbb{N}^*$   
 $\Rightarrow \binom{m+n-1}{m}$  ( $m \geq n$ )  
they can be 0
  - With exclusion  $\Leftrightarrow$  each ball has at most one ball  $\Leftrightarrow$   
 $\Leftrightarrow x_1 + \dots + x_n = m$ ,  $x_i \in \{0, 1\}$  ( $n \geq m$ )  $\Rightarrow \binom{n}{m}$
  - Without exclusion in which none box is empty  $\Leftrightarrow$  solutions  
of  $x_1 + \dots + x_n = m$ ,  $x_i \in \mathbb{N}$   $\Rightarrow \binom{m-1}{n-1}$

("How much we put inside  $x_i$ "  $\rightsquigarrow$  how many balls inside a box  $\rightarrow$   $\underbrace{1 \dots 0}_{x_1} \underbrace{0 \dots 0}_{x_2} 1 \dots$  back to sequences).

$$[n] = \{1, \dots, n\}$$

$m$ indist. balls $n$ indist. boxes	Sequences of $m$ 0s, $(n-1)$ 1s	Solutions of $x_1 + \dots + x_n = m$	$m$ elements of $[n]$	Quant- ity
Placements without exc.	All	$x_i \in \mathbb{N}^*$	Multisets	$CR_{n,m}$
Placements with exclusion	no consec. 0s	$x_i \in \{0, 1\}$	Subsets	$C_{n,m}$
Plac. without exc. nonempty boxes	no consec. 1s, start and finish by 0	$x_i \in \mathbb{N}$	Multisets with all $[n]$	$CR_{n,m-n}$

Example: (H-V trajectories  $\leftrightarrow$  sequences of 0s and 1s)



V step:  $(x, y) \rightarrow (x, y+1)$

H step:  $(x, y) \rightarrow (x+1, y)$

Let  $T_{(0,0)}^{(p,q)}$  = set of H-V trajectories from  $(0,0)$  to  $(p,q)$ , with  $p, q \in \mathbb{N}$ . Put 0 for H and 1 for V, we get a sequence of  $p$  zeros and  $q$  1s. E.g. (0010101).

Bijection:

$T_{(0,0)}^{(p,q)} \rightarrow \left\{ \begin{array}{l} \text{sequence formed with} \\ p \text{ 0s and } q \text{ 1s} \end{array} \right\}$

$$|T_{(0,0)}^{(p,q)}| = | \quad " \quad | = \binom{p+q}{p} = \binom{p+q}{q}$$

Example: (U-D trajectories  $\leftrightarrow$  sequences formed by 0s and 1s)

U-D trajectory: continuous line formed by steps of the following types:

$$\text{U-step: } (x, y) \rightarrow (x+1, y+1)$$

$$\text{D-step: } (x, y) \rightarrow (x+1, y-1)$$

Let  $\Theta_{(0,0)}^{(p,q)}$  = set of U-D trajectories from  $(0,0)$  to  $(p,q)$  with  $p,q \in \mathbb{N}$ .

Let  $x$  = number of U and  $y$  = number of D then:

$$\begin{cases} x+y = p \\ x-y = q \end{cases} \Rightarrow x = \frac{p+q}{2} \quad \text{and} \quad y = \frac{p-q}{2}$$

We observe:

$$\begin{cases} y \in \mathbb{N} \Rightarrow p \geq q \\ x \in \mathbb{N} \Rightarrow \frac{p+q}{2} \text{ even} \\ \frac{p-q}{2} \text{ even} \end{cases} \Rightarrow \begin{cases} p, q \text{ even or } p, q \text{ odd} \\ p, q \text{ even or } p, q \text{ odd} \end{cases}$$

If the conditions are not met:

$$|\Theta_{(0,0)}^{(p,q)}| = 0$$

If they are met:

We translate this problem into sequences of 1s and 0s (0 are the Us and 1 the Ds). Bijective.

As we have stated:

$$p = \frac{p-q}{2} + \frac{p+q}{2} = x+y$$

$$\begin{cases} \text{no. of 1s} \rightarrow y = \frac{p-q}{2} \\ \text{no. of 0s} \rightarrow x = \frac{p+q}{2} \end{cases}$$

$$\rightarrow \left( p, \frac{p+q}{2} \right) = \left( \frac{p}{x} \right)$$

$$\Rightarrow |\theta_{(0,0)}^{(p,q)}| = \left( \frac{p}{\frac{p+q}{2}} \right) \leftarrow \begin{array}{l} \left( C_{x+y,y} = \binom{x+y}{y} = \binom{x+y}{x} \right) \\ \left( \text{put it in terms of } p \text{ and } q \right) \end{array}$$

## 1.9 Dirichlet pigeonhole principle and

### the handshake lemma

Definition:

**Dirichlet pigeonhole principle:** if  $m > n$ , there is not any injective mapping from  $\{a_1, \dots, a_m\}$  to  $\{b_1, \dots, b_n\}$

(If  $m$  pigeons occupy  $n$  pigeonholes and  $m > n$  then at least one will house at least two pigeons).



Example:

If a person can have at most  $6 \cdot 10^6$  hairs on the head and in New York live more than  $6 \cdot 10^6$  persons, applying the pigeonhole principle, at least two persons must have the same number of hairs on their head.

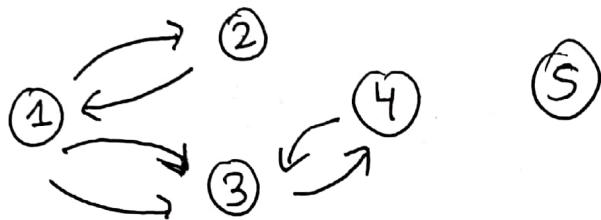
### Lemma:

**Handshake Lemma:** Let  $n$  be the number of guests in a party. The number of persons that shake hands with an odd number of persons is even.

$$\underbrace{3 \text{ letters} \rightarrow 3 = 1 \bmod(2)}_{\text{impair}}$$

$$\underbrace{4 \text{ letters} \rightarrow 4 \equiv 0 \bmod(2)}_{\text{pair}}$$

### Proof:



$A = \{ \text{persons that shake hands with an odd number of persons} \}$   
 $|A| \text{ even?}$

Define  $l_i = \# \text{ of persons that guest } i \text{ shakes with, } i=1, \dots, n.$

$$A = \{ i \in \{1, \dots, n\} \text{ such that } l_i \text{ is odd} \}$$

Then:

$\sum_{i=1}^n l_i$  must be even (it's the same as the sum of arrows, which come in pairs  $\Rightarrow$  even)

$$\text{even} \rightarrow \sum_{i=1}^n l_i = \sum_{i \in A} l_i + \sum_{i \in A^c} \underbrace{l_i}_{\text{even}} \Rightarrow$$

$$\Rightarrow \sum_{\substack{i \in A \\ \text{even}}} l_i = \sum_{i=1}^n l_i - \sum_{\substack{i \in A^c \\ \text{even}}} l_i \Rightarrow$$

A sum of odd numbers that is even  $\Rightarrow$  we are summing an even number of elements

(can also be proven thus by contradiction)

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$\Rightarrow |A| \text{ is even } \square$