

DISCRETE MATHEMATICS

Chapter 1: Basic combinatorics

1.1 Combinatorics

Definition

Combinatorial theory is the study of methods of **counting**:

- ① how many objects there are of a given description, or
- ② in how many ways something can be done, or
- ③ in how many ways a certain event can occur.

Example

① How many objects are there of a given description?

- How many pairs of natural numbers (x, y) are there such that $x + y = 10$ or $x + y = n$?
- How many tennis matches will be played in a tournament in which there are 137 tennis players?

② In how many different ways can something be done?

- In how many different ways can four people be seated at a circular table?

③ In how many different ways can a certain event occur?

- In how many ways can 15 points be obtained after throwing a die four times?

1.1 Combinatorics

Let A be the finite set of elements that satisfy a property.

$$A = \{x : x \text{ satisfies a property } P\}.$$

Aim: to calculate the number of elements of A , i.e., the **cardinality** of A :

$$|A|$$

Notation:

$$\mathbb{N} = \{1, 2, 3, \dots\}$$

$$\mathbb{N}^* = \{0, 1, 2, \dots\}$$

1.2 Lists

In order to **count** the number of the elements of a set a first idea is to **make a list** of the elements.

Example

Solutions of an equation:

① $A = \{(x, y) \in \mathbb{N}^* \times \mathbb{N}^* : x + y = 5\}$

List: $\{(0, 5), (1, 4), (2, 3), (3, 2), (4, 1), (5, 0)\}$

Therefore, $|A| = 6$.

② (**Generalization**) $A = \{(x, y) \in \mathbb{N}^* \times \mathbb{N}^* : x + y = n, n \in \mathbb{N}^*\}$

List: $\{(0, n), (1, n-1), (2, n-2), (3, n-3), \dots, (n-1, 1), (n, 0)\}$

Therefore, $|A| = n + 1$.

③ (**Variation**) $A = \{(x, y) \in \mathbb{N}^* \times \mathbb{N}^* : x + y = n, n \in \mathbb{N}^*, x, y \geq 2\}$

If $n \leq 3$, $|A| = 0$.

If $n \geq 4$, list: $\{(2, n-2), (3, n-3), \dots, (n-2, 2)\} \Rightarrow |A| = n - 3$.

1.2 Lists

Example

- $A = \{(x, y, z) \in \mathbb{N}^* \times \mathbb{N}^* \times \mathbb{N}^* : x + y + z = n, n \in \mathbb{N}^*\}$

List:

x	0	0	0	...	0	1	1	1	...	1	...
y	0	1	2	...	n	0	1	2	...	n-1	...
z	n	n-1	n-2	...	0	n-1	n-2	n-3	...	0	...
$\underbrace{\hspace{10em}}_{n+1}$						$\underbrace{\hspace{10em}}_n$					

x	...	k	k	k	...	k	...	n-1	n-1	n
y	...	0	1	2	...	n-k	...	0	1	0
z	...	n-k	n-k-1	n-k-2	...	0	...	1	0	0
$\underbrace{\hspace{10em}}_{n-k+1}$							$\underbrace{\hspace{2em}}_2$		$\underbrace{\hspace{2em}}_1$	

Hence,

$$\begin{aligned}
 |A| &= (n+1) + n + \dots + 1 \\
 |A| &= 1 + 2 + \dots + (n+1) \\
 2|A| &= (n+1)(n+2) \\
 |A| &= \frac{(n+1)(n+2)}{2}
 \end{aligned}$$

1.2 Lists

Example

- $A = \{(x_1, \dots, x_m) \in \mathbb{N}^* \times \dots \times \mathbb{N}^* : x_1 + x_2 + \dots + x_m = n, n \in \mathbb{N}^*\}$

Would you try to build the list in this case?

Remark

- Building the list may be easy, less easy or impracticable.
- A list cannot have repetitions or absences (all the elements must be in the list and without repetitions).
- Before trying to solve a problem it may be convenient to analyze a particular case.

1.2 Lists

Example

Multiples of an integer:

- ① $A =$ set of multiples of 4 among the 1871 first natural numbers.
 $A = \{x : 1 \leq x \leq 1871, x \equiv 0 \pmod{4}\} = \{4, 8, 12, 16, \dots, 1868\}$
 $A = \{4 \cdot 1, 4 \cdot 2, 4 \cdot 3, 4 \cdot 4, \dots, 4 \cdot 467\} \Rightarrow |A| = 467$
- ② $A_n =$ set of multiples of 4 among the first n natural numbers.
 $A_n = \{4 \cdot 1, 4 \cdot 2, 4 \cdot 3, 4 \cdot 4, \dots, 4 \cdot c\}$ where c is the quotient of dividing n by 4 ($n = 4c + r, 0 \leq r < 4$).
Thus, $|A_n| = c$, that is, c is the integer part of $\frac{n}{4}$ ($c = \lfloor \frac{n}{4} \rfloor$).
- ③ $a \in \mathbb{N}$, $A_{n,a} =$ set of multiples of a among the first n natural numbers.
 $A_{n,a} = \{a \cdot 1, a \cdot 2, a \cdot 3, a \cdot 4, \dots, a \cdot c\}$ and $|A_{n,a}| = c = \lfloor \frac{n}{a} \rfloor$.

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1.3 Floor and ceiling functions

Definition

Let $x \in \mathbb{R}$.

$\lfloor x \rfloor =$ **floor function** of x = integer part of x = the largest integer not greater than x .

Definition

Let $x \in \mathbb{R}$.

$\lceil x \rceil =$ **ceiling function** of x = the smallest integer not less than x .

Remark

These names and notation were introduced by Keneth E. Iverson in 1962.

Properties:

- i) $\lfloor x \rfloor = \lceil x \rceil \Leftrightarrow x \in \mathbb{Z}$
- ii) $\lceil x \rceil = \lfloor x \rfloor + 1 \Leftrightarrow x \notin \mathbb{Z}$
- iii) $\lfloor x \rfloor \leq x \leq \lceil x \rceil, x \in \mathbb{R}$
- iv) $\lfloor -x \rfloor = -\lceil x \rceil, x \in \mathbb{R}$
- v) $\lceil -x \rceil = -\lfloor x \rfloor, x \in \mathbb{R}$

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1.3 Floor and ceiling functions

Definition

Let $x \in \mathbb{R}$.

$\{x\} = \text{fractional part of } x = x - \lfloor x \rfloor$.

Definition

Let $x \in \mathbb{R}$.

$\langle x \rangle = \text{pseudofractional part of } x = \lceil x \rceil - x$.

Remark

$\{x\}, \langle x \rangle \in [0, 1)$.

Example

Recall that $A_{n,a}$ = set of multiples of a among the first n natural numbers and $|A_{n,a}| = c = \left\lfloor \frac{n}{a} \right\rfloor = \left\lfloor \frac{n}{a} \right\rfloor$.

The ratio of multiples of a in $\{1, 2, \dots, n\}$ is

$$\frac{|A_{n,a}|}{n} = \frac{\left\lfloor \frac{n}{a} \right\rfloor}{n} = \frac{\frac{n}{a} - \left\{ \frac{n}{a} \right\}}{n} = \frac{1}{a} - \frac{\left\{ \frac{n}{a} \right\}}{n} \xrightarrow{n \rightarrow \infty} \frac{1}{a}.$$

(Notice that $\left\{ \frac{n}{a} \right\} \in [0, 1)$).

1.3 Floor and ceiling functions

Example

Integer coordinates in a circle:

$A_n = \{(x, y) \in \mathbb{N} \times \mathbb{N} : x^2 + y^2 \leq n^2\}$. How much is $|A_n|$?

- **First column:** $(1, 1), (1, 2), \dots, (1, k)$ where k is the largest integer such that $1^2 + k^2 \leq n^2 \Leftrightarrow k^2 \leq n^2 - 1 \Leftrightarrow k \leq \sqrt{n^2 - 1}$. Therefore, $k = \lfloor \sqrt{n^2 - 1} \rfloor$.
- **Second column:** $(2, 1), (2, 2), \dots, (2, l)$ where l is the largest integer such that $2^2 + l^2 \leq n^2 \Leftrightarrow l^2 \leq n^2 - 2^2 \Leftrightarrow l \leq \sqrt{n^2 - 2^2}$. Therefore, $l = \lfloor \sqrt{n^2 - 2^2} \rfloor$.
- \vdots
- **j th column:** $(j, 1), (j, 2), \dots, (j, r)$ where r is the largest integer such that $j^2 + r^2 \leq n^2 \Leftrightarrow r^2 \leq n^2 - j^2 \Leftrightarrow r \leq \sqrt{n^2 - j^2}$. Therefore, $r = \lfloor \sqrt{n^2 - j^2} \rfloor$.

Thus, $|A_n| = \sum_{j=1}^n \lfloor \sqrt{n^2 - j^2} \rfloor$.

1.3 Floor and ceiling functions

Example

Let A_n be the set of squares of area $1u^2$ in a quarter of a circle of radius n . How much is $|A_n|$?

Area covered by the squares $= |A_n|u^2 \leq$ area of the fourth of the circle $= \frac{\pi n^2}{4}$.

Hence, $|A_n| \leq \frac{\pi n^2}{4}$.

Move the squares one up and one to the right: $\frac{\pi n^2}{4} \leq |A_n| + 2n - 1$.

Thus, $\frac{\pi n^2}{4} - 2n + 1 \leq |A_n| \leq \frac{\pi n^2}{4} \Rightarrow 1 - \frac{2n-1}{\frac{\pi n^2}{4}} \leq \frac{|A_n|}{\frac{\pi n^2}{4}} \leq 1$.

Since $\frac{2n-1}{\frac{\pi n^2}{4}} \xrightarrow{n \rightarrow \infty} 0$, by the sandwich rule, $\frac{|A_n|}{\frac{\pi n^2}{4}} \xrightarrow{n \rightarrow \infty} 1$.

Thus, $|A_n| \sim \frac{\pi n^2}{4}$.

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1.3 Floor and ceiling functions

Example

Number of digits in the decimal system:

Given $n \in \mathbb{N}$ calculate the number of digits of n in the decimal system.

For example, $n = 1234 \Rightarrow d(n) = 4$; $n = 300 \Rightarrow d(n) = 3$.

$$1000 \leq abcd \leq 9999 < 10000 \Rightarrow 10^3 \leq abcd < 10^4$$

$$10^{d(n)-1} \leq n < 10^{d(n)} \Rightarrow \log_{10}(10^{d(n)-1}) \leq \log_{10}(n) < \log_{10}(10^{d(n)}) \Rightarrow d(n) - 1 \leq \log_{10}(n) < d(n) \Rightarrow d(n) - 1 = \lfloor \log_{10}(n) \rfloor \Rightarrow$$

$$d(n) = \lfloor \log_{10}(n) \rfloor + 1.$$

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1.3 Floor and ceiling functions

Example

Number of integers in the interval (α, β) , $\alpha, \beta \in \mathbb{R}$:

Let $A_{\alpha, \beta}$ = set of integers in the interval (α, β) , $\alpha, \beta \in \mathbb{R}$. How much is $|A_{\alpha, \beta}|$?

For example:

- $\alpha = 2.5, \beta = 4.7 \Rightarrow |A_{\alpha, \beta}| = 2$
- $\alpha = 2.5, \beta = 2.8 \Rightarrow |A_{\alpha, \beta}| = 0$

$$A_{\alpha, \beta} = \{[\alpha] + 1, [\alpha] + 2, \dots, [\beta] - 1\} = \{[\alpha] + 1, [\alpha] + 2, \dots, [\alpha] + [\beta] - 1 - [\alpha]\}.$$

Remark: Notice that the first integer $[\alpha] + 1$ instead of $[\alpha]$ since if α were integer then $[\alpha] = \alpha$. But the interval is open in α !

Thus, $|A_{\alpha, \beta}| = [\beta] - 1 - [\alpha]$.

For example,

$$\alpha = 2.5, \beta = 4.7 \Rightarrow |A_{\alpha, \beta}| = 5 - 2 - 1 = 2$$

$$\alpha = 2.5, \beta = 2.8 \Rightarrow |A_{\alpha, \beta}| = 3 - 2 - 1 = 0$$

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1.4 Tree diagrams

1.4.1 Rule of product

Definition

Rule of product: If an event X can happen in x ways and a distinct event Y in y ways then X and Y can happen in xy ways.

Example

If we can go from Boston to Chicago in 3 ways (x, y, z) and from Chicago to Dallas in 5 ways $(1, 2, 3, 4, 5)$ then the number of ways in which we can go from Boston to Chicago to Dallas is 15:

x1	x2	x3	x4	x5
y1	y2	y3	y4	y5
z1	z2	z3	z4	z5

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1.4 Tree diagrams

1.4.1 Rule of product

The **rule of product** can be **generalized** to situations involving more than two events: If X_1 can happen in x_1 ways, X_2 in x_2 ways, X_3 in x_3 ways... then X_1 and X_2 and X_3 and... can happen at once in $x_1 x_2 x_3 \cdots$ ways.

Example

In order to make up a menu we can select among two different first dishes: A or B, three different second dishes: a, b or c and two different desserts: α or β . How many different menus can we make up? $2 \cdot 3 \cdot 2 = 12$ menus.

Example

Pigeonhole

		...	
1	2	...	n

If there are c_1 ways of filling hole 1, c_2 ways of filling hole 2, ..., c_n ways of filling hole n then there are $c_1 \cdot c_2 \cdot \dots \cdot c_n$ ways of filling the pigeonhole.

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1.4 Tree diagrams

1.4.2 Variations

Definition

A **set** is an **unordered** collection of **distinct** objects.

The objects are called **elements** of the set.

We use braces to denote a set.

For example, the set with elements 1, 2 and 3 is denoted $\{1, 2, 3\}$.

Since the elements are not ordered, $\{1, 2, 3\}$ and $\{2, 3, 1\}$ are the same set.

Definition

A **sequence** is an **ordered** collection of **not necessarily distinct** objects.

We use brackets to denote a sequence.

For example, $(1, 1, 2)$.

Since the entries are ordered, $(1, 1, 2)$ and $(1, 2, 1)$ are different sequences.

Sometimes the following notation will be used: $112 \equiv (1, 1, 2)$.

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1.4 Tree diagrams

1.4.2 Variations

Definition

Let $\Omega = \{a_1, \dots, a_n\}$ be a set and $n \geq k \geq 1$.

A **k -variation without repetition of Ω** or **k -permutation without repetition of n** is an arrangement of the elements of Ω taken k at a time, where two arrangements are regarded as different if they differ in composition or in the order of their elements.

In other words, a k -variation without repetition of Ω is a **sequence** of length k that can be formed with the elements in Ω **without repeating** them.

Let $V_{n,k}$ denote the number of k -variations without repetition given n distinct objects.

How much is $V_{n,k}$?

We note that we can choose the first element in n ways, the second element in $n - 1$ ways, ..., the k th element in $n - k + 1$ ways. By the rule of product,

$$V_{n,k} = n(n-1) \cdots (n-k+1) = \frac{n!}{(n-k)!}$$

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1.4 Tree diagrams

1.4.2 Variations

$$V_{n,k} = n(n-1) \cdots (n-k+1) = \frac{n!}{(n-k)!}$$

Example

A certain society has 25 members. The members of the society are to elect a president, a vice president, a secretary, and a treasurer. In how many ways is it possible to select the 4 officers if no member of the society can hold more than one office at a time?

We are to find the number of variations (without repetitions) of 25 members taken 4 at a time. This number is equal to

$$V_{25,4} = 25 \cdot 24 \cdot 23 \cdot 22 = 303,600.$$

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1.4 Tree diagrams

1.4.2 Variations

Example

In a draw there are 3 prizes: a car, a trip and a basket full of food. 100 tickets have been sold, numbered from 1 to 100. For each ticket a ball has been introduced into a drum. The first number drawn wins the basket, the second wins the trip and the third, the car.

- How many different possibilities are there?

Basket: 100 possible numbers, trip: 99 possible numbers, car: 98 possible numbers
 $V_{100,3} = 100 \cdot 99 \cdot 98 = 970,200$.

- If I bought 5 tickets, how many possibilities would I have of winning the car?

Basket: 99 possible numbers, trip: 98 possible numbers, car: 5 possible numbers
 $99 \cdot 98 \cdot 5 = 48,510$

- And of winning the three prizes?

Basket: 5 possible numbers, trip: 4 possible numbers, car: 3 possible numbers
 $5 \cdot 4 \cdot 3 = 60$

- And of winning at least one prize?

We study it later.

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1.4 Tree diagrams

1.4.2 Variations

Definition

Let $\Omega = \{a_1, \dots, a_n\}$ be a set and $k \geq 1$.

A **k -variation with repetition of Ω** or **k -permutation with repetition of n** is a **sequence** of length k that can be formed with the elements in Ω being able to repeat them.

Let $VR_{n,k}$ denote the number of k -variations with repetition given n distinct objects.

How much is $VR_{n,k}$?

We note that we can choose the first element in n ways, the second element in n ways,..., the k th element in n ways. By the rule of product,

$$VR_{n,k} = n \cdot n \cdots n = n^k$$

Notice that it is not necessary that $k \leq n$.

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1.4 Tree diagrams

1.4.2 Variations

$$VR_{n,k} = n \cdot n \cdots n = n^k$$

Example

- How many numbers of 3 digits can be formed in the decimal system?

$$\overline{10} \quad \overline{10} \quad \overline{10} \quad \rightarrow \quad VR_{10,3} = 10^3 = 1,000$$

- How many of them are palindromic?

$$\overline{10} \quad \overline{10} \quad \overline{1} \quad \rightarrow \quad VR_{10,2} = 10^2 = 100$$

- How many are even?

$$\overline{10} \quad \overline{10} \quad \overline{5} \quad \rightarrow \quad 5 \cdot VR_{10,2} = 5 \cdot 10^2 = 500$$

Example

How many football pools coupons must we fill in order to be absolutely sure we win?

Notice that there are 15 matches and 3 possible results.

$$VR_{3,15} = 3^{15} = 14,348,907$$

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1.4 Tree diagrams

1.4.2 Variations

Example

Let $\Omega = \{a, e, o, u, b, c, d\}$.

- A is the set of words of 4 letters that can be formed with the alphabet in Ω in such a way that the first is a consonant and the last a vowel.

$$\overline{3} \quad \overline{7} \quad \overline{7} \quad \overline{4} \quad \rightarrow \quad |A| = 3 \cdot 7 \cdot 7 \cdot 4 = 12 \cdot 49 = 588$$

- A is the set of words of 5 letters that can be formed with the alphabet in Ω in such a way that there are not equal consecutive letters.

$$\overline{7} \quad \overline{6} \quad \overline{6} \quad \overline{6} \quad \overline{6} \quad \rightarrow \quad |A| = 7 \cdot 6 \cdot 6 \cdot 6 \cdot 6 = 9,072$$

Example

A is the set of numbers of 5 different digits starting and finishing in an even digit.

$$\overline{5} \quad \overline{8} \quad \overline{7} \quad \overline{6} \quad \overline{4} \quad \rightarrow \quad |A| = 5 \cdot 8 \cdot 7 \cdot 6 \cdot 4 = 6,720$$

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1.4 Tree diagrams

1.4.2 Variations

Example

Calculate the number of ways to place 4 distinguishable balls in 3 numbered boxes in such a way that none of the boxes are empty.

First idea: Place balls B_1, B_2, B_3 one in each box and then ball B_4 . This is not a good idea because

B_1, B_2	B_3	B_4
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 wouldn't be counted.

Second idea: Select three balls, place them one in each box and place the fourth ball. This is not a good idea because we are counting

B_1, B_4	B_2	B_3
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 and

B_4, B_1	B_2	B_3
------------	-------	-------

 but they are the same.

Good idea: Choose the box with two elements (3), select the balls in that box (?), place the remaining balls one in each box (2). We study it later.

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1.4 Tree diagrams

1.4.3 Combinations

Definition

Let $\Omega = \{a_1, \dots, a_n\}$ be a set of elements and $n \geq k \geq 1$.

A **k -combination without repetition of Ω** is an arrangement of the elements of Ω taken k at a time, where two arrangements are regarded as different only if they differ in composition.

In other words, a k -combination without repetition of Ω is a **subset** of k elements of Ω .

The number of k -combinations without repetition of n elements is denoted by the symbol $C_{n,k}$.

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1.4 Tree diagrams

1.4.3 Combinations

There is a simple relation between $C_{n,k}$ and $V_{n,k}$:

Example

Let $\Omega = \{a, b, c, d, e\}$. Count the 3-variations without repetition.

One way:

$$\overline{5} \quad \overline{4} \quad \overline{3} \quad \rightarrow \quad V_{5,3} = 5 \cdot 4 \cdot 3 = 60$$

Another way:

Choose the three elements and then order the elements:

$$V_{5,3} = C_{5,3} \cdot V_{3,3} = C_{5,3} \cdot 3! \Rightarrow C_{5,3} = \frac{V_{5,3}}{3!} = \frac{5 \cdot 4 \cdot 3}{3!} = \frac{5!}{2!1!} = \frac{5!}{2!3!} = \binom{5}{3}.$$

In general,

$$C_{n,k} = \frac{V_{n,k}}{k!} = \binom{n}{k}$$

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1.4 Tree diagrams

1.4.3 Combinations

Example

Calculate the number of ways to place 4 distinguishable balls in 3 numbered boxes in such a way that none of the boxes are empty.

We can choose the box with two elements (3), select the balls in that box ($C_{4,2}$), place the remaining balls one in each box (2). Therefore,
 $3 \cdot \binom{4}{2} \cdot 2 = 3 \cdot \frac{4!}{2!2!} \cdot 2 = 36$.

Example (Generalization)

Calculate the number of ways to place $n + 1$ distinguishable balls in n numbered boxes in such a way that none of the boxes are empty.

First we choose the box with two elements (n), select the balls in that box ($C_{n+1,2}$), place the remaining $n - 1$ balls one in each box ($V_{n-1,n-1}$).

Therefore, $n C_{n+1,2} V_{n-1,n-1}$.

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1.4 Tree diagrams

1.4.3 Combinations

Example (Generalization)

Calculate the number of ways to place $n + 2$ distinguishable balls in n numbered boxes in such a way that none of the boxes are empty.

Two ways:

- 1) one box has three balls and the remaining boxes have one ball each
- 2) two boxes have 2 balls and the remaining boxes have one ball each

1) Choose the box with three elements (n), select the balls in that box ($C_{n+2,3}$), place the remaining $n - 1$ balls one in each box ($V_{n-1,n-1}$). Therefore, $nC_{n+2,3}V_{n-1,n-1}$.

2) Choose the double boxes ($C_{n,2}$), select the balls in the first double box ($C_{n+2,2}$), select the balls in the second double box ($C_{n,2}$), place the remaining $n - 2$ balls one in each box ($V_{n-2,n-2}$). Therefore, $C_{n,2}C_{n+2,2}C_{n,2}V_{n-2,n-2}$.

Thus, the solution is $nC_{n+2,3}V_{n-1,n-1} + C_{n,2}C_{n+2,2}C_{n,2}V_{n-2,n-2}$.

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1.4 Tree diagrams

1.4.3 Combinations

Proposition (Basic properties of the binomial coefficients)

$$\textcircled{1} C_{n,k} = \binom{n}{k} = \frac{V_{n,k}}{k!} = \frac{\frac{n!}{(n-k)!}}{k!} = \frac{n!}{(n-k)!k!}, \quad k = 0, \dots, n.$$

$$\textcircled{2} \binom{n}{k} = \frac{n!}{(n-k)!k!} = \frac{n!}{k!(n-k)!} = \frac{n!}{(n-(n-k))!(n-k)!} = \binom{n}{n-k}, \quad k = 0, \dots, n.$$

Choosing k elements among n is the same as rejecting $n - k$ among n .

$$\textcircled{3} \binom{n}{0} = \frac{n!}{(n-0)!0!} = \frac{n!}{n! \cdot 1} = 1.$$

$$\textcircled{4} \binom{n}{n} = \frac{n!}{(n-n)!n!} = \frac{n!}{1 \cdot n!} = 1.$$

$$\textcircled{5} \binom{n}{k} = 0, \quad k > n.$$

$$\textcircled{6} \binom{n-1}{k-1} + \binom{n-1}{k} = \binom{n}{k}, \quad n \geq 1, k = 0, \dots, n.$$

$$\textcircled{7} \text{ If } k \geq 1, \binom{n}{k} = \frac{n}{k} \binom{n-1}{k-1}.$$

$$\textcircled{8} \text{ If } 0 \leq k \leq n-1, \binom{n}{k} = \frac{n}{n-k} \binom{n-1}{k}.$$

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1.4 Tree diagrams

1.4.4 Permutations

Definition

A **permutation of n elements** or **n -permutation** is an n -variation without repetition of n elements, that is, a variation without repetition of n elements which contains all the n elements.

In other words, permutations of n elements are all the possible n -arrangements each of which contains every element once, with two such arrangements differing only in the order of their elements.

The number of n -permutations is denoted by P_n .

$$P_n = V_{n,n} = n(n-1) \cdots 2 \cdot 1 = n!$$

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1.4 Tree diagrams

1.4.4 Permutations

Definition

A **multiset** is a generalization of the notion of set in which elements are allowed to appear more than once.

Definition

Let M be a multiset with n_1 elements of the first type, n_2 elements of the second type,..., n_k elements of the k th type, i. e.,

$$M = \{a_1, \overset{n_1}{\cdot}, a_1, a_2, \overset{n_2}{\cdot}, a_2, \dots, a_k, \overset{n_k}{\cdot}, a_k\}.$$

The different arrangements of M are the **permutations with repetition**.

In other words, a permutation with n_1 elements of the first type, n_2 elements of the second type,..., n_k elements of the k th type is a sequence formed with n_1 elements of the first type, n_2 elements of the second type,..., n_k elements of the k th type.

$P(n_1, n_2, \dots, n_k)$ denotes the number of such permutations.

How much is $P(n_1, n_2, \dots, n_k)$?

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1.4.4 Permutations

Example

Calculate the number of sequences that can be formed with p zeros and q ones.

$$\begin{array}{ccccccc} - & - & & \dots & & - & - \\ & & & p+q & & & \end{array}$$

Choose where to place the zeros: $C_{p+q,p} = \binom{p+q}{p} = \frac{(p+q)!}{p!q!}$.

Remark: We get the same choosing the places for the ones: $C_{p+q,q} = \binom{p+q}{q}$.

Example

Calculate the number of sequences that can be formed with p zeros, q ones, and r twos.

Choose the places for the zeros and then choose the places for the ones:

$$C_{p+q+r,p} \cdot C_{q+r,q} = \binom{p+q+r}{p} \cdot \binom{q+r}{q} = \frac{(p+q+r)!}{(p+q+r-p)!p!} \frac{(q+r)!}{(q+r-q)!q!} = \frac{(p+q+r)!}{(q+r)!p!} \frac{(q+r)!}{r!q!} = \frac{(p+q+r)!}{p!q!r!}.$$

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1.4 Tree diagrams

1.4.4 Permutations

Let $n = n_1 + n_2 + \cdots + n_k$.

$$P(n_1, n_2, \dots, n_k) = \binom{n}{n_1} \binom{n-n_1}{n_2} \binom{n-n_1-n_2}{n_3} \dots \binom{n-n_1-\dots-n_{k-2}}{n_{k-1}} =$$

$$\frac{n!}{n_1!(n-n_1)!} \frac{(n-n_1)!}{n_2!(n-n_1-n_2)!} \frac{(n-n_1-n_2)!}{n_3!(n-n_1-n_2-n_3)!} \cdots \frac{(n-n_1-\cdots-n_{k-2})!}{n_{k-1}!(n-n_1-\cdots-n_{k-2}-n_{k-1})!} =$$

$$\frac{n!}{n_1!n_2!\cdots n_{k-1}!n_k!}$$

$$P(n_1, n_2, \dots, n_k) = \frac{n!}{n_1! n_2! \cdots n_{k-1}! n_k!}$$

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1.4 Tree diagrams

1.4.5 Combinations with repetitions

Definition

Let $\Omega = \{a_1, \dots, a_n\}$ be a set of elements and $n, k \geq 1$.

A **k -combination with repetition of Ω** is a **multiset** of k elements of Ω .

The number of k -combinations with repetition given n distinct objects is denoted by the symbol $CR_{n,k}$.

How much is $CR_{n,k}$?

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1.4 Tree diagrams

1.4.5 Combinations with repetitions

Example

How much is $CR_{5,3}$?

Let $\Omega = \{a_1, a_2, a_3, a_4, a_5\}$. We want to form multisets of 3 elements. Define

$A = \{a_{\alpha_1} a_{\alpha_2} a_{\alpha_3} : 1 \leq \alpha_1 \leq \alpha_2 \leq \alpha_3 \leq 5\}$ (set of multisets of 3 elements of Ω).

Thus, $|A| = CR_{5,3}$.

Define $\Omega' = \{b_1, b_2, b_3, b_4, b_5, b_6, b_7\}$,

$B = \{b_{\beta_1} b_{\beta_2} b_{\beta_3} : 1 \leq \beta_1 < \beta_2 < \beta_3 \leq 7\}$ (set of sets of 3 elements of Ω').

Thus, $|B| = C_{7,3}$.

Define the map

$$\begin{array}{ccc} \phi : & A & \rightarrow & B \\ & a_{\alpha_1} a_{\alpha_2} a_{\alpha_3} & \rightarrow & b_{\alpha_1} b_{\alpha_2+1} b_{\alpha_3+2} \end{array}$$

This is a bijection:

- **Injective:** Let $\phi(a_{\alpha_1} a_{\alpha_2} a_{\alpha_3}) = \phi(a_{\gamma_1} a_{\gamma_2} a_{\gamma_3})$. Then $b_{\alpha_1} b_{\alpha_2+1} b_{\alpha_3+2} = b_{\gamma_1} b_{\gamma_2+1} b_{\gamma_3+2} \Rightarrow \alpha_1 = \gamma_1, \alpha_2 + 1 = \gamma_2 + 1, \alpha_3 + 2 = \gamma_3 + 2 \Rightarrow \alpha_1 = \gamma_1, \alpha_2 = \gamma_2, \alpha_3 = \gamma_3 \Rightarrow a_{\alpha_1} a_{\alpha_2} a_{\alpha_3} = a_{\gamma_1} a_{\gamma_2} a_{\gamma_3}$.
- **Surjective:** Let $b_{\beta_1} b_{\beta_2} b_{\beta_3}$ with $1 \leq \beta_1 < \beta_2 < \beta_3 \leq 7$. Let $\alpha_1 = \beta_1, \alpha_2 = \beta_2 - 1, \alpha_3 = \beta_3 - 2$. Thus, $1 \leq \alpha_1 \leq \alpha_2 \leq \alpha_3 \leq 5$. Hence, there exists $a_{\alpha_1} a_{\alpha_2} a_{\alpha_3} \in A$ such that $\phi(a_{\alpha_1} a_{\alpha_2} a_{\alpha_3}) = b_{\beta_1} b_{\beta_2} b_{\beta_3}$.

Therefore, A and B both have the same number of elements. $CR_{5,3} = |A| = |B| = C_{7,3}$.

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1.4 Tree diagrams

1.4.5 Combinations with repetitions

Example

Let $\Omega = \{a, b, c, d\}$. How much is $CR_{4,3}$?

	a	b	c	d	
$\{a, a, a\}$	\leftrightarrow 000				\leftrightarrow 000111
$\{a, a, b\}$	\leftrightarrow 00	0			\leftrightarrow 001011
$\{a, a, c\}$	\leftrightarrow 00		0		\leftrightarrow 001101
$\{a, b, b\}$	\leftrightarrow 0	00			\leftrightarrow 010011
$\{a, b, c\}$	\leftrightarrow 0	0	0		\leftrightarrow 010101
$\{c, c, d\}$	\leftrightarrow		00	0	\leftrightarrow 110010

Therefore, $CR_{4,3}$ = number of sequences that can be formed with 3 zeros and 3 ones.

This can be calculated choosing three places out of 6, which is $\binom{6}{3}$.

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1.4 Tree diagrams

1.4.5 Combinations with repetitions

For each multiset of k among n elements there is a sequence formed with k zeros and $n - 1$ ones, and conversely.

Therefore, $CR_{n,k}$ is the number of sequences formed with k zeros and $n - 1$ ones. Thus,

$$CR_{n,k} = C_{n+k-1,k} = \frac{V_{n+k-1,k}}{k!} = \frac{(n+k-1)!}{(n-1)!k!} = \binom{n+k-1}{k} = P(k, n-1)$$

Example

Calculate the number of sequences that can be formed with p zeros and q ones.

$$CR_{q+1,p} = \binom{q+1+p-1}{p} = \binom{p+q}{p}.$$

Example

Calculate the number of sequences that can be formed with 2 zeros and 3 ones such that there are not consecutive zeros.

$\sim 1 \sim 1 \sim 1 \sim$

Choose 2 of the 4 possible places for the zeros: $C_{4,2} = \binom{4}{2} = \frac{4!}{2!2!} = 6$.

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1.4 Tree diagrams

1.4.5 Combinations with repetitions

Example

Calculate the number of sequences that can be formed with p zeros and q ones such that there are not two consecutive zeros.

$\sim 1 \sim 1 \sim \dots \sim 1 \sim$

Choose p of the possible $q + 1$ places for the zeros: $C_{q+1,p} = \binom{q+1}{p}$.

Example

Calculate the number of sequences that can be formed with 2 zeros, 3 ones and 4 twos such that the zeros are not consecutive.

Choose a sequence formed by 1s and 2s and then choose 2 of the possible 8 places for the zeros: $\sim x \sim x \sim \dots \sim x \sim$ $x = 1$ or 2 : $C_{7,3} C_{8,2} = \binom{7}{3} \binom{8}{2}$.

Example (Generalization)

Calculate the number of sequences that can be formed with p zeros, q ones and r twos such that there are not two consecutive zeros.

Choose a sequence of 1s and 2s and then choose p of the possible $q + r + 1$ places for the zeros: $C_{q+r,q} C_{q+r+1,p} = \binom{q+r}{q} \binom{q+r+1}{p}$.

1.5 Factorial powers

Definition

Let $a \in \mathbb{C}$. Its **descending** or **lower** or **falling factorial (power)** of order k , $k \in \mathbb{N}^*$, is

$$a^{\underline{k}} = \begin{cases} a(a-1) \cdots (a-k+1) & k \geq 1 \\ 1 & k = 0 \end{cases}$$

Definition

Let $a \in \mathbb{C}$. Its **ascending** or **upper** or **rising factorial (power)** of order k , $k \in \mathbb{N}^*$, is

$$a^{\overline{k}} = \begin{cases} a(a+1) \cdots (a+k-1) & k \geq 1 \\ 1 & k = 0 \end{cases}$$

1.5 Factorial powers

Proposition

Some properties are the following:

- ①
 - ① $(-a)^k = (-1)^k a^k$
 - ② $(-a)^{\underline{k}} = (-1)^k a^{\bar{k}}$
 - ③ $(-a)^{\bar{k}} = (-1)^k a^{\underline{k}}$
- ②
 - ① $a^{k+l} = a^k \cdot a^l$
 - ② $a^{\underline{k+l}} = a^{\underline{k}} \cdot (a-k)^{\underline{l}}$
 - ③ $a^{\overline{k+l}} = a^{\overline{k}} \cdot (a+k)^{\overline{l}}$
- ③ $a = n \in \mathbb{N}^*$
 - ① If $0 \leq k \leq n$
$$n^{\underline{k}} = n(n-1) \cdots (n-k+1) = \frac{n(n-1) \cdots (n-k+1)(n-k)(n-k-1) \cdots 1}{(n-k)(n-k-1) \cdots 1} = \frac{n!}{(n-k)!}$$
 - ② If $k > n$
$$n^{\underline{k}} = 0$$

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1.6 Classifications

Definition

Rule of sum: Let Ω be a set. If $\{A_1, \dots, A_k\}$ is a partition of Ω , that is, $\cup_{i=1}^k A_i = \Omega$ and $A_i \cap A_j = \emptyset$ for all $i \neq j$ then

$$|\Omega| = |A_1| + \cdots + |A_k|.$$

Classification is useful:

- Sometimes there is no other solution but to classify.
- We may find interesting identities.
- Classification is the main idea to get recurrence relations.

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1.6 Classifications

Example

A = ways of placing 5 distinguishable balls in 3 numbered boxes such that none of them is empty.

Define A_1 = ways in which there are two boxes with two balls each, A_2 = ways in which there is a box with three balls. Therefore, $|A| = |A_1| + |A_2|$.

Example

$\Omega = \{a_1, \dots, a_n\}$.

$\mathcal{P}(\Omega)$ = collection of subsets of Ω = power set of Ω . How much is $|\mathcal{P}(\Omega)|$?

Subsets of Ω :

- with 0 elements: \emptyset
- with 1 element: $\{a_1\}, \{a_2\}, \dots, \{a_n\}$
- \vdots
- with k elements
- \vdots
- with n elements: Ω

$$|\mathcal{P}(\Omega)| = C_{n,0} + C_{n,1} + \dots + C_{n,n} = \sum_{i=0}^n C_{n,i} = \sum_{i=0}^n \binom{n}{i}.$$



1.6 Classifications

Example

Let $\Omega = \{a_1, \dots, a_n\}$. How much is $|\mathcal{P}(\Omega)|$?

Set $x_n = |\mathcal{P}(\Omega)|$.

Subsets that contain a_n : there are $x_{n-1} = |\mathcal{P}(\{a_1, \dots, a_{n-1}\})|$.

Subsets that do not contain a_n : there are $x_{n-1} = |\mathcal{P}(\{a_1, \dots, a_{n-1}\})|$.

Therefore,

$$x_n = x_{n-1} + x_{n-1} = 2x_{n-1} = 2 \cdot 2x_{n-2} = 2^3 x_{n-3} = \dots = 2^{n-1} x_1 = 2^n.$$



$$2^n = \sum_{i=0}^n \binom{n}{i}$$

1.6 Classifications

Example (Recurrence relation of the binomial numbers)

Let $\Omega = \{a_1, \dots, a_n\}$ and $n \geq k \geq 1$.

How many k -combinations without repetition of Ω are there? $C_{n,k}$

- Subsets with k elements that contain a_n : there are $C_{n-1,k-1}$.
- Subsets with k elements that do not contain a_n : there are $C_{n-1,k}$.

Therefore, $C_{n,k} = C_{n-1,k-1} + C_{n-1,k} \Rightarrow \binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$.

We get **Pascal's triangle** of the binomial numbers or combinations:

$$\begin{array}{ccccccccccc}
 & & & & & \binom{0}{0} & & & & & \\
 & & & & \binom{1}{0} & & \binom{1}{1} & & & & \\
 & & \binom{2}{0} & & \binom{2}{1} & & \binom{2}{2} & & & & \\
 & \binom{3}{0} & & \binom{3}{1} & & \binom{3}{2} & & \binom{3}{3} & & & \\
 \binom{4}{0} & & \binom{4}{1} & & \binom{4}{2} & & \binom{4}{3} & & \binom{4}{4} & &
 \end{array}$$

Thus,

$$\begin{array}{ccccccc}
 & & & & 1 & & \\
 & & & 1 & & 1 & \\
 & & 1 & & 2 & & 1 \\
 & 1 & & 3 & & 3 & & 1 \\
 1 & & 4 & & 6 & & 4 & & 1
 \end{array}$$

1.6 Classifications

Example

a_n =number of sequences of length n formed with zeros and ones in which there are not consecutive zeros.

$$a_1 = 2 \quad (0), (1)$$

$$a_2 = 3 \quad (0, 1), (1, 0), (1, 1)$$

$$a_3 = 5 \quad (0, 1, 0), (0, 1, 1), (1, 0, 1), (1, 1, 0), (1, 1, 1)$$

We classify by the first element:

- Starting with zero: the second number must be 1 so there are a_{n-2} .
- Starting with one: there are a_{n-1} .

Hence,

$$a_n = a_{n-1} + a_{n-2}, \quad n \geq 3.$$

1.6 Classifications

Example

a_n = number of sequences of length n formed with zeros, ones and twos in which there are not consecutive ones.

$$a_1 = 3 \quad (0), (1), (2)$$

$$a_2 = 8 \quad (0, 0), (0, 1), (0, 2), (1, 0), (1, 2), (2, 0), (2, 1), (2, 2)$$

For $n \geq 3$:

- Starting with 0: there are a_{n-1}
- Starting with 10: there are a_{n-2}
- Starting with 12: there are a_{n-2}
- Starting with 2: there are a_{n-1}

Therefore,


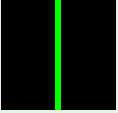
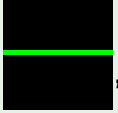

$$a_n = 2a_{n-1} + 2a_{n-2}, \quad n \geq 3.$$

1.6 Classifications


Example

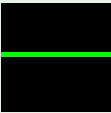
There are two types of floor tiles: 2×1 , 2×2 


Let a_n be the number of ways to tile a rectangular floor of dimension $2 \times n$.

$$a_1 = 1: \text{  } ; \quad a_2 = 3: \text{  ,  ,  }$$

We classify the ways to tile by the first element(s):

 : there are a_{n-1}

 : there are a_{n-2}

 : there are a_{n-2}

Therefore, $a_n = a_{n-1} + 2a_{n-2}, \quad n \geq 3.$

1.7 The principle of inclusion and exclusion

Notation: $A \cap B = AB$ for simplicity.

Example

Let $A_n = \{k : 1 \leq k \leq n \text{ and } k \text{ is divisible by 2 or 3}\} = \{k : 1 \leq k \leq n, k \equiv 0 \pmod{2}\} \cup \{k : 1 \leq k \leq n, k \equiv 0 \pmod{3}\}$.

Define $B_n = \{k : 1 \leq k \leq n, k \equiv 0 \pmod{2}\}$,

$C_n = \{k : 1 \leq k \leq n, k \equiv 0 \pmod{3}\}$.

Is $\{B_n, C_n\}$ a partition of A_n ?

It is true that $B_n \cup C_n = A_n$, but $B_n \cap C_n \neq \emptyset$.

For example $6 \in B_n \cap C_n, n \geq 6$.

So we cannot deduce that $|A_n| = |B_n| + |C_n|$.

But, is there any relationship?

Considering the Venn diagram:

$$|A_n| = |B_n \cup C_n| = |B_n| + |C_n| - |B_n C_n|$$

$$|B_n| = \lfloor \frac{n}{2} \rfloor,$$

$$|C_n| = \lfloor \frac{n}{3} \rfloor,$$

$$|B_n C_n| = \lfloor \frac{n}{6} \rfloor.$$

Therefore, $|A_n| = \lfloor \frac{n}{2} \rfloor + \lfloor \frac{n}{3} \rfloor - \lfloor \frac{n}{6} \rfloor$.

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1.7 The principle of inclusion and exclusion

Example

$$A_n = \{k : 1 \leq k \leq n, k \equiv 0 \pmod{2}\}$$

$$B_n = \{k : 1 \leq k \leq n, k \equiv 0 \pmod{3}\}$$

$$C_n = \{k : 1 \leq k \leq n, k \equiv 0 \pmod{5}\}$$

How much is $|D_n| = |A_n \cup B_n \cup C_n|$?

$$\begin{aligned} |D_n| &= |A_n \cup B_n \cup C_n| = |(A_n \cup B_n) \cup C_n| = |(A_n \cup B_n)| + |C_n| - |(A_n \cup B_n) C_n| = \\ &= |A_n| + |B_n| - |A_n B_n| + |C_n| - |A_n C_n \cup B_n C_n| = \\ &= |A_n| + |B_n| - |A_n B_n| + |C_n| - (|A_n C_n| + |B_n C_n| - |A_n B_n C_n|) = \\ &= |A_n| + |B_n| + |C_n| - |A_n B_n| - |A_n C_n| - |B_n C_n| + |A_n B_n C_n|. \end{aligned}$$

Thus, $|D_n| = \lfloor \frac{n}{2} \rfloor + \lfloor \frac{n}{3} \rfloor + \lfloor \frac{n}{5} \rfloor - \lfloor \frac{n}{6} \rfloor - \lfloor \frac{n}{10} \rfloor - \lfloor \frac{n}{15} \rfloor + \lfloor \frac{n}{30} \rfloor$.

1.7 The principle of inclusion and exclusion

Theorem (The Principle of Inclusion-Exclusion)

Let A_1, \dots, A_n be subsets of a finite set Ω . Then

$$\begin{aligned} |\cup_{i=1}^n A_i| &= \sum_{i=1}^n |A_i| - \sum_{1 \leq i < j \leq n} |A_i A_j| + \sum_{1 \leq i < j < k \leq n} |A_i A_j A_k| - \dots + \\ &+ (-1)^{r-1} \sum_{1 \leq i_1 < i_2 < \dots < i_r \leq n} |A_{i_1} A_{i_2} \dots A_{i_r}| + \dots + (-1)^{n-1} |A_1 \dots A_n| \end{aligned}$$

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1.7 The principle of inclusion and exclusion

Example

Suppose that we have n married couples (a man and a woman) in a ball:

$(M_1, W_1), \dots, (M_n, W_n)$.

In how many different ways can we pair the men off with the women so that no man dances with his wife?

Let $\Omega = \{\text{ways in which all the dancing couples can be done}\}$,

$\Omega^* = \{\text{ways in which no man dances with his wife}\}$.

Notice that $|\Omega| = P_n = n!$.

We want to calculate $|\Omega^*|$ but it is easier to calculate $|\Omega^{*c}|$.

$\Omega^{*c} = \{\text{ways in which there is at least one dancing couple that is a married couple}\}$.

Hence, $|\Omega^*| = |\Omega| - |\Omega^{*c}|$.

Define $A_k = \{\text{ways in which married couple } k \text{ dances together}\}$, $k = 1, \dots, n$.

Fix married couple i : $|A_i| = \#$ of ways to arrange the remainder couples $= P_{n-1} = (n-1)!$

Fix married couples i, j : $|A_i A_j| = \#$ of ways to arrange the remainder couples $= P_{n-2} = (n-2)!$

Fix married couple i_1, \dots, i_r : $|A_{i_1} \dots A_{i_r}| = \#$ of ways to arrange the remainder couples $= P_{n-r} = (n-r)!$

$|A_1 \dots A_n| = P_0 = 1$.

Thus,

$$\begin{aligned} |\Omega^{*c}| &= \sum_{i=1}^n |A_i| - \sum_{1 \leq i < j \leq n} |A_i A_j| + \dots + (-1)^{r-1} \sum_{1 \leq i_1 < \dots < i_r \leq n} |A_{i_1} \dots A_{i_r}| + \dots + (-1)^n |A_1 \dots A_n| = \\ &= n \cdot (n-1)! - C_{n,2} \cdot (n-2)! + \dots + (-1)^{r-1} C_{n,r} \cdot (n-r)! + \dots + (-1)^n \cdot 1 = \sum_{i=1}^n (-1)^{i-1} C_{n,i} \cdot (n-i)! \end{aligned}$$

Therefore,

$$|\Omega^*| = |\Omega| - |\Omega^{*c}| = n! - \sum_{i=1}^n (-1)^{i-1} C_{n,i} \cdot (n-i)! = \sum_{i=0}^n (-1)^i C_{n,i} \cdot (n-i)!$$

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1.7 The principle of inclusion and exclusion

Remark

A simpler expression for the principle of inclusion-exclusion:

Given $\emptyset \neq I \subseteq \{1, \dots, n\}$ define $A_I = \cap_{i \in I} A_i$. Then,

$$|\cup_{i=1}^n A_i| = \sum_{\emptyset \neq I \subseteq \{1, \dots, n\}} (-1)^{|I|-1} |A_I|.$$

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1.7 The principle of inclusion and exclusion

1.7.1 Euler's totient or ϕ function

Definition

Let $n \in \mathbb{N}$. Define

$$A_n = \{k \in \{1, \dots, n\} : k \text{ is prime with } n\} = \{k \in \{1, \dots, n\} : \gcd(k, n) = 1\}.$$

Euler's totient or phi function is defined as

$$\phi(n) = |A_n|.$$

If $n = 1$, $\phi(1) = 1$.

If $n > 1$ and $n = p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_l^{\alpha_l}$ is its prime decomposition then

$$\phi(n) = n \left(1 - \frac{1}{p_1}\right) \left(1 - \frac{1}{p_2}\right) \cdots \left(1 - \frac{1}{p_l}\right) = n \prod_{i=1}^l \left(1 - \frac{1}{p_i}\right)$$

Example

$$\phi(12) = \phi(2^2 \cdot 3) = 12 \left(1 - \frac{1}{2}\right) \left(1 - \frac{1}{3}\right) = 12 \cdot \frac{1}{2} \cdot \frac{2}{3} = 4.$$

Remark

Notice that $\phi(n)$ is an integer.

1.8 Translations

Definition

To **translate** a problem is to state an equivalent problem in other terms.

Example

- Point in $\mathbb{R}^2 \Leftrightarrow (x, y)$ pair of points in \mathbb{R} .
- Line $\Leftrightarrow ax + by = c$
- To intersect two lines in \mathbb{R}^2 is to solve a linear system of 2 equations in 2 variables.

This is a translation of a geometrical problem in an algebraic one.

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1.8 Translations

Example (Placements of distinguishable balls \leftrightarrow mappings)

Placement of m distinguishable balls in n numbered boxes:

- Placement without exclusion (several balls can be placed in the same box) \Leftrightarrow mapping from $\{1, \dots, m\}$ to $\{1, \dots, n\}$.
- Placement with exclusion (each box has at most one ball) \Leftrightarrow injective mapping from $\{1, \dots, m\}$ to $\{1, \dots, n\}$.
- Placement without exclusion in which none box is empty \Leftrightarrow surjective mapping from $\{1, \dots, m\}$ to $\{1, \dots, n\}$.

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1.8 Translations

Example (Mappings \leftrightarrow sequences)

$$f : \{1, \dots, m\} \rightarrow \{1, \dots, n\}$$

- Mapping from $\{1, \dots, m\}$ to $\{1, \dots, n\} \Leftrightarrow$ sequence of length m formed by elements of $\{1, \dots, n\}$ (repetitions are allowed).
- Injective mapping from $\{1, \dots, m\}$ to $\{1, \dots, n\} \Leftrightarrow$ sequence of length m formed by elements of $\{1, \dots, n\}$ without repetitions.
- Surjective mapping from $\{1, \dots, m\}$ to $\{1, \dots, n\} \Leftrightarrow$ sequence of length m formed by elements of $\{1, \dots, n\}$ in which each element of $\{1, \dots, n\}$ is at least once.
- Bijective mapping from $\{1, \dots, m\}$ to $\{1, \dots, n\} \Leftrightarrow$ permutation of $\{1, \dots, n\}$.

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1.8 Translations

$$[m] = \{1, \dots, m\}$$

m dist. balls n numb. boxes	Mappings from $[m]$ to $[n]$	Sequences of length m formed by $[n]$	Quantity
Placements without exclusion	All	repetitions allowed	$VR_{n,m}$
Placements with exclusion	Injective	without repetitions	$V_{n,m}$
Plac. without exc., nonempty boxes	Surjective	repetitions allowed, each element at least once	$n! \left\{ \begin{smallmatrix} m \\ n \end{smallmatrix} \right\}$

$\left\{ \begin{smallmatrix} m \\ n \end{smallmatrix} \right\}$: Stirling number of the second kind

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1.8 Translations

Let $\Omega = \{1, \dots, n\}$.

Example (Subsets \leftrightarrow sequences of 0s and 1s)

For example, if $\Omega = \{1, 2, 3, 4, 5\}$:

$\emptyset \leftrightarrow 00000$

$\{2\} \leftrightarrow 01000$

$\{2, 5\} \leftrightarrow 01001$

Therefore, subset of $\Omega \Leftrightarrow$ sequence of length n formed by zeros and ones.

Example (Subsets \leftrightarrow sequences of 0s and 1s)

Subset of m elements of $\Omega \Leftrightarrow$ sequence of length n formed by m ones and $n - m$ zeros.

Example (Multisets \leftrightarrow sequences of 0s and 1s)

Multiset of m elements of $\Omega \Leftrightarrow$ sequence of length $m + n - 1$ formed by m zeros and $n - 1$ ones.

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1.8 Translations

Example (Placements of indistinguishable balls \leftrightarrow sequences of 0s and 1s)

Placement of m indistinguishable balls in n numbered boxes:

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 \leftrightarrow 001101000

- Placement without exclusion (several balls can be placed in the same box) \Leftrightarrow sequence formed by m zeros and $n - 1$ ones.
- Placement with exclusion (each box has at most one ball) \Leftrightarrow sequence formed by m zeros and $n - 1$ ones in which there are not consecutive zeros.
- Placement without exclusion in which none box is empty \Leftrightarrow sequence formed by m zeros and $n - 1$ ones in which there are not consecutive ones and starts and finishes with 0.

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1.8 Translations

Example (Placements of indistinguishable balls \leftrightarrow solutions of an equation)

Placement of m indistinguishable balls in n numbered boxes:

x_i = number of balls in box i

- Placement without exclusion (several balls can be placed in the same box) \Leftrightarrow solutions of $x_1 + \cdots + x_n = m$, $x_i \in \mathbb{N}^*$.
- Placement with exclusion (each box has at most one ball) \Leftrightarrow solutions of $x_1 + \cdots + x_n = m$, $x_i \in \{0, 1\}$.
- Placement without exclusion in which none box is empty \Leftrightarrow solutions of $x_1 + \cdots + x_n = m$, $x_i \in \mathbb{N}$.

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1.8 Translations

$[n] = \{1, \dots, n\}$


m indist. balls n numb. boxes	Sequences of m 0s, $(n-1)$ 1s	Solutions of $x_1 + \cdots + x_n = m$	m elements of $[n]$	Quantity
Placements without exclusion	All	$x_i \in \mathbb{N}^*$	Multisets	$CR_{n,m}$
Placements with exclusion	no consec. 0s	$x_i \in \{0, 1\}$	Subsets	$C_{n,m}$
Plac. without exc., nonempty boxes	no consec. 1s, start/finish by 0	$x_i \in \mathbb{N}$	Multisets with all $[n]$	$CR_{n,m-n}$


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1.8 Translations

Example (H-V trajectories \leftrightarrow sequences of 0s and 1s)

H-V trajectory: continuous line formed by steps of the following types:

H-step : $(x, y) \rightarrow (x + 1, y)$

V-step : $(x, y) \rightarrow (x, y + 1)$

Ex: 

Let $T_{(0,0)}^{(p,q)}$ = set of H-V trajectories from $(0, 0)$ to (p, q) , with $p, q \in \mathbb{N}$.

Put 0 for H and 1 for V, so we get a sequence of p zeros and q ones.

Ex: **01001**


H-V trajectory from $(0, 0)$ to $(p, q) \Leftrightarrow$ sequence of p zeros and q ones.


$$|T_{(0,0)}^{(p,q)}| = CR_{q+1,p} = C_{p+q,p} = P(p, q).$$

1.8 Translations

Example (U-D trajectories \leftrightarrow sequences formed by 0s and 1s)

U-D trajectory: continuous line formed by steps of the following types:

U-step : $(x, y) \rightarrow (x + 1, y + 1)$

D-step : $(x, y) \rightarrow (x + 1, y - 1)$

Let $\Theta_{(0,0)}^{(p,q)}$ = set of U-D trajectories from $(0, 0)$ to (p, q) , with $p, q \in \mathbb{N}$.

Let x = number of U, y = number of D $\Rightarrow x + y = p$, $x - y = q \Rightarrow x = \frac{p+q}{2}$, $y = \frac{p-q}{2}$.

- If $p < q$ then $y < 0$, so there isn't any U-D trajectory from $(0, 0)$ to (p, q) .
- If p and q have different parity then x and y will not be integers, so there isn't any U-D trajectory from $(0, 0)$ to (p, q) .
- If $p \geq q > 0$ and p and q have the same parity then

U-D trajectory from $(0, 0)$ to $(p, q) \Leftrightarrow$ sequence formed by $\frac{p+q}{2}$ zeros (Us) and $\frac{p-q}{2}$ ones (Ds). Therefore,

$$|\Theta_{(0,0)}^{(p,q)}| = P\left(\frac{p+q}{2}, \frac{p-q}{2}\right) = C_{p, \frac{p+q}{2}} = CR_{\frac{p-q+2}{2}, \frac{p+q}{2}}.$$

1.9 The Dirichlet pigeonhole principle and the handshake lemma

Definition

Dirichlet Pigeonhole Principle: If m pigeons occupy n pigeonholes and $m > n$ then at least one will house at least two pigeons.

In mathematical terms, if $m > n$ there is not any injective mapping from $\{a_1, \dots, a_m\}$ to $\{b_1, \dots, b_n\}$.

Example

Let's prove that in New York there are at least two persons with the same number of hairs on the head.

Suppose that a person has at most $6 \cdot 10^6$ hairs on the head and that in New York more than $6 \cdot 10^6$ persons live. By the Dirichlet Pigeonhole Principle it follows.

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1.9 The Dirichlet pigeonhole principle and the handshake lemma

Lemma

Handshake Lemma: *Let n be the number of guests in a party. The number of persons that shake hands with an odd number of persons is even.*

Therefore, if the number of guests is odd then there is at least one person that shakes hands with an even number of guests.

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