# A direct bijective proof of the hook-length formula

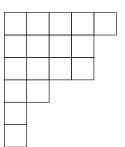
Yuval Ohapkin

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$$f^{\lambda} = \frac{n!}{\prod_{c \in \lambda} h_c}$$

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$$(5,4,4,2,1,1)$$
  $\longleftrightarrow$ 



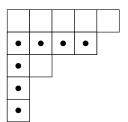
$$f^{\lambda} = \frac{n!}{\prod_{c \in \lambda} h_c}$$

1	2	4	7
3	5	8	
6			

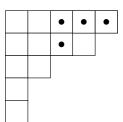
1	3	5	7
2	4	6	
8			

1	2	5	8
3	6	7	
4			

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$$h_{(1,1)} = 7$$



$$h_{(0,2)} = 4$$

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  $T \longleftrightarrow (P, J)$ 

Fix a partition  $\lambda := (\lambda_1, \dots, \lambda_l)$  with  $\lambda_1 \ge \dots \ge \lambda_l > 0$  and  $\lambda \vdash n$ . Let  $f^{\lambda}$  denote the number of standard  $\lambda$ -tableaux. Then

$$f^{\lambda} = \frac{n!}{\prod_{c \in \lambda} h_c}$$

$$n! = f^{\lambda} \cdot \prod_{c \in \lambda} h_c$$
  $T \longleftrightarrow (P, J)$ 

• There are n! bijective maps from  $\lambda$  to  $\{1, \ldots, n\}$ . Such a bijection can be viewed as a filling of  $\lambda$  with numbers  $1, \ldots, n$  such that every number occurs exactly once.

$$f^{\lambda} = \frac{n!}{\prod_{c \in \lambda} h_c}$$

$$n! = f^{\lambda} \cdot \prod_{c \in \lambda} h_c \qquad T \longleftrightarrow (P, J)$$

- For a cell  $(i,j) \in \lambda$ , define  $L_{i,j} := \{(i',j) \in \lambda \mid i' > i\}$  and  $A_{i,j} := \{(i,j') \in \lambda \mid j' > j\}$ . Let  $I_{i,j} := |L_{i,j}|$  and  $a_{i,j} := |A_{i,j}|$ . Then  $h_{i,j} = I_{i,j} + a_{i,j} + 1$  for all  $(i,j) \in \lambda$ .
- A hook tableau on  $\lambda$  is a map  $J: \lambda \to \mathbb{Z}$  such that  $-l_{i,i} \leq J_{i,i} \leq a_{i,i}$  for all  $(i,j) \in \lambda$ .



We define the total order  $\leq$  on  $\lambda$  by

$$(i,j) \le (i',j') \iff j > j' \text{ or } j = j' \text{ and } i \ge i'$$

For a fixed cell  $c \in \lambda$ , let  $T_{\leq c}$  (resp.  $T_{< c}$ ) denote the restriction of T to the cells in  $\lambda$  that are less than or equal to c (resp. less than).

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Example. If  $\lambda =$  and the cells of  $\lambda$  are labelled

 $c_1 < \cdots < c_{12}$  then we get

c <sub>12</sub>	<i>c</i> <sub>8</sub>	C4	<i>c</i> <sub>1</sub>
c <sub>11</sub>	<i>C</i> <sub>7</sub>	<i>c</i> <sub>3</sub>	
c <sub>10</sub>	<i>c</i> <sub>6</sub>	<i>c</i> <sub>2</sub>	
<i>C</i> 9	<i>C</i> <sub>5</sub>		

We will make use of a procedure called a *forward slide*:

```
procedure NPS Input: c = (i,j) \in \lambda such that T_{< c} is a standard tableau while T_{\le c} is not standard do

Let c' be the cell of \min\{T_{i+1,j}, T_{i,j+1}\}

Exchange T_c and T_{c'} and let c := c'
end while
```

#### procedure NPS

Input:  $c=(i,j)\in \lambda$  such that  $T_{< c}$  is a standard tableau **while**  $T_{\leq c}$  is not standard **do**Let c' be the cell of  $\min\{T_{i+1,j},T_{i,j+1}\}$ Exchange  $T_c$  and  $T_{c'}$  and let c:=c'

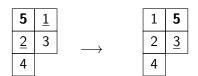
#### end while

5	1
2	3
4	

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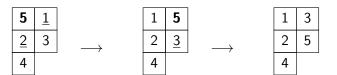
#### end while



#### procedure NPS

Input:  $c=(i,j)\in \lambda$  such that  $T_{< c}$  is a standard tableau **while**  $T_{\le c}$  is not standard **do**Let c' be the cell of  $\min\{T_{i+1,j},T_{i,j+1}\}$ Exchange  $T_c$  and  $T_{c'}$  and let c:=c'

#### end while



(Label the cells of  $\lambda$  in the given order  $c_1 < c_2 < \cdots < c_n$ .)

### Goal: given T obtain (P, J)

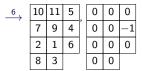
- We start with the pair  $(T_0, J_0)$  where  $T_0 := T$  and  $J_0$  is the hook tableau with all zeros.
- For k = 0, ..., n-1, we obtain  $T_{k+1}$  by applying a forward slide to  $T_k$  starting from  $c_{k+1} = (i_0, j_0)$ .
- If (i',j') is the cell at the end of this exchange path, then  $J_{k+1}$  is  $J_k$  except that

$$(J_{k+1})_{h,j_0} = \begin{cases} (J_k)_{h+1,j_0} - 1 \text{ for } i_0 \le h < i' \\ j' - j_0 \text{ for } h = i' \end{cases}$$
 (Note that if  $J_k$  is a hook tableau, then so is  $J_{k+1}$ .)

•  $(T_n, J_n)$  is a pair of a standard tableau and a hook tableau.

10	11	5		0	0	0
7	9	6	,	0	0	0
2	1	4		0	0	0
8	3			0	0	

10	11	5		0	0	0
7	9	6	,	0	0	0
2	1	4		0	0	0
8	3			0	0	



10	11	5		0	0	0
7	9	6	,	0	0	0
2	1	4		0	0	0
8	3			0	0	

$\xrightarrow{6}$	10	11	5		0	0	0
	7	9	4	,	0	0	-1
	2	1	6		0	0	0
	8	3		•	0	0	

$\xrightarrow{5}$	10	11	4	0	0	-2
	7	9	5	0	0	0
	2	1	6	0	0	0
	8	3		0	0	

10	11	5		0	0	0
7	9	6	_	0	0	0
2	1	4		0	0	0
8	3			0	0	

6	10	11	5		0	0	0
	7	9	4	,	0	0	-1
	2	1	6		0	0	0
	8	3			0	n	

$\xrightarrow{5}$	10	11	4	0	0	-2
	7	9	5	0	0	0
	2	1	6	0	0	0
	8	3		0	0	

$\xrightarrow{3}$	10	11	4		0	0	-2
	7	9	5	ľ	0	0	0
	2	1	6		0	0	0
	8	3		•	0	0	



$\xrightarrow{3}$	10	11	4		0	0	-2
	7	9	5	ľ	0	0	0
	2	1	6		0	0	0
	8	3		•	0	0	

$\xrightarrow{1}$	10	11	4		0	0	-2
	7	9	5	ľ	0	0	0
	2	1	6		0	0	0
	8	3			0	0	

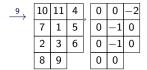
$\xrightarrow{3}$	10	11	4		0	0	-2
	7	9	5	ľ	0	0	0
	2	1	6		0	0	0
	8	3			0	0	

$\xrightarrow{1}$	10	11	4		0	0	-2
	7	9	5	ľ	0	0	0
	2	1	6		0	0	0
	8	3			0	0	

9	10	11	4		0	0	-2
	7	1	5	ľ	0	-1	0
	2	3	6		0	-1	0
	8	9			0	0	







$\xrightarrow{11}$	10	1	4		0	-2	-2	
	7	3	5	,	0	-2	0	
	2	6	11		0	1	0	
	8	9			0	0		

<u>11</u>	10	1	4		0	-2	-2
·	7	3	5	,	0	-2	0
	2	6	11		0	1	0
	8	9			0	n	

$\xrightarrow{8}$	10	1	4		0	-2	-2
	7	3	5	,	0	-2	0
	2	6	11		0	1	0
	8	9			0	0	

$\xrightarrow{2}$	10	1	4		0	-2	-2
	7	3	5	ľ	0	-2	0
	2	6	11		0	1	0
	8	9			0	0	

$\xrightarrow{2}$	10	1	4		0	-2	-2
	7	3	5	ľ	0	-2	0
	2	6	11		0	1	0
	8	9		•	0	0	

$\xrightarrow{7}$	10	1	4		0	-2	<b>-2</b>
	2	3	5	,	-1	-2	0
	6	7	11		1	1	0
	8	9			0	0	

2 ,	10	1	4	1	0	-2	-2
$\rightarrow$	7	3	5	,	0	-2	0
	2	6	11		0	1	0
	8	9			0	0	

- Start with a pair  $(T_n, J_n) := (P, J)$  where P is a standard tableau and J is a hook tableau.
- Assume  $(T_k, J_k)$  has been constructed. Which cells could have been the end of the path for the forward slide NPS $(c_k)$ ?

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- The set of candidate cells for  $c_k = (i_0, j_0)$  in  $T_k$  is

$$C_k := \{(i,j) \in \lambda \mid i \geq i_0, \ j = j_0 + (J_k)_{i,j_0}, \ (J_k)_{i,j_0} \geq 0\}$$

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For example, if

$$(T_{10}, J_{10}) = \begin{bmatrix} 13 & 18 & 10 & 1 & 4 \\ 17 & 15 & 2 & 3 & 5 \\ 14 & 16 & 6 & 7 & 11 \\ 19 & 12 & 8 & 9 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 & -2 & -2 \\ 0 & 0 & -1 & -2 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

then 
$$C_k = \{(2,3), (3,2)\}$$



For k = n, ..., 1 we obtain  $T_{k-1}$  from  $T_k$  by performing a backward slide:

$$\begin{array}{l} \textbf{procedure} \ \mathrm{SPN} \\ \text{Pick} \ c = (i,j) \in \mathcal{C}_k, \ \text{assume} \ c_k = \left(i_0,j_0\right) \\ \textbf{while} \ c \neq c_k \ \textbf{do} \\ \text{Let} \ c' \ \text{be the cell of} \begin{cases} \max \left\{ (T_k)_{i-1,j}, (T_k)_{i,j-1} \right\} \ \text{if} \ j > j_0 \ \text{and} \ i > 0 \\ (T_k)_{i,j-1} \ \text{if} \ j > j_0 \ \text{and} \ i > 0 \end{cases} \\ \text{Exchange} \ T_c \ \text{and} \ T_{c'} \ \text{and} \ \text{let} \ c := c' \\ \end{array}$$

### end while

For k = n, ..., 1 we obtain  $T_{k-1}$  from  $T_k$  by performing a backward slide:

procedure 
$$\operatorname{SPN}$$
Pick  $c=(i,j)\in\mathcal{C}_k$ , assume  $c_k=(i_0,j_0)$ 
while  $c\neq c_k$  do

Let  $c'$  be the cell of 
$$\begin{cases} \max{\{(T_k)_{i-1,j},(T_k)_{i,j-1}\}} & \text{if } j>j_0 \text{ and } i>0\\ (T_k)_{i,j-1} & \text{if } j>j_0 \text{ and } i=0\\ (T_k)_{i-1,j} & \text{if } j=j_0 \text{ and } i>0 \end{cases}$$
Exchange  $T_c$  and  $T_{c'}$  and let  $c:=c'$ 
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- Any such path can be written as a string of Ns and Ws encoding the sequence of north/west steps taken. This sequence is read backward and then padded with  $\emptyset$ .
- This allows us to define a lexicographical order on the paths by stipulating that  $N < \emptyset < W$ .

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ullet  $J_{k-1}$  remains the same as  $J_k$  except that

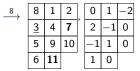
$$(J_{k-1})_{h,j_0} = \begin{cases} (J_k)_{h-1,j_0} + 1 & \text{if } i_0 < h \le i' \\ 0 & \text{if } h = i_0 \end{cases}$$

(It's unclear that  $J_{k-1}$  is a hook tableau given that  $J_k$  is one, as  $(J_k)_{h-1,j_0}+1$  may exceed  $a_{h,j_0}$  for some  $i_0 < h \le i'$ .)



1	2	7		1	1	-2
3	4	8	,	2	-1	0
5	9	10		-1	1	0
6	11			1	0	

1	2	7		1	1	-2
3	4	8	,	2	-1	0
5	9	10		-1	1	0
6	11			1	0	



1	2	7		1	1	-2
3	4	8	,	2	-1	0
5	9	10		-1	1	0
6	11			1	0	

8	8	1	2		0	1	-2
	3	4	7	,	2	$^{-1}$	0
	5	9	10		-1	1	0
	6	11			1	0	

$\xrightarrow{7}$	8	1	2		0	1	-2
	7	3	4	_	0	-1	0
	<u>5</u>	9	10		-1	1	0
	6	11			1	0	

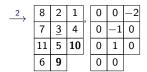
$\xrightarrow{11}$	8	1	2		0	1	-2
	7	3	4	<u> </u>	0	-1	0
	11	5	10		0	1	0
	6	9			0	0	

$\xrightarrow{11}$	8	1	2		0	1	-2
	7	3	4	,	0	-1	0
	11	5	10		0	1	0
	6	9			0	0	

$\xrightarrow{6}$	8	1	2		0	1	-2
	7	3	4	ľ	0	-1	0
	11	5	10		0	1	0
	6	9			0	0	







$\xrightarrow{10}$	8	2	1		0	0	-2
	7	10	4	_	0	0	0
	11	<u>3</u>	5		0	0	0
	6	9			0	0	

$\stackrel{9}{\longrightarrow}$	8	2	Ŧ	l.	U	U	-2
	7	10	4	ľ	0	0	0
	11	3	5		0	0	0
	6	9			0	0	

<u>10</u>	8	2	1		0	0	-2
	7	10	4	_	0	0	0
	11	<u>3</u>	5		0	0	0
	6	9			0	0	

$\xrightarrow{3}$	8	2	1		0	0	-2
	7	10	4	,	0	0	0
	11	3	5		0	0	0
	6	9		,	0	0	

$\xrightarrow{9}$	8	2	1		0	0	-2
	7	10	4	,	0	0	0
	11	3	5		0	0	0
	6	9			0	0	

$\xrightarrow{4}$	8	2	4		0	0	0
	7	10	1	ľ	0	0	-1
	11	3	5		0	0	0
	6	9			0	0	

$\xrightarrow{9}$	8	2	1		0	0	-2
	7	10	4	ľ	0	0	0
	11	3	5		0	0	0
	6	9			0	0	

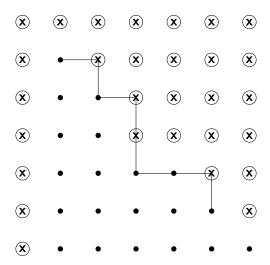
$\xrightarrow{4}$	8	2	4		0	0	0
	7	10	1	,	0	0	-1
	11	3	5		0	0	0
	6	9			0	0	

5	8	2	4		0	0	0
	7	10	5	ľ	0	0	0
	11	3	1		0	0	0
	6	9			0	0	

### Lemma (Characterizing Reverse Paths)

Suppose all paths in  $\mathcal{R}_k$  go through  $c_k = (i_0, j_0)$ , and  $r' \in \mathcal{R}_k$  is a reverse path that starts at (i', j'). Then r' is the largest reverse path if and only if any initial cell (i, j) of  $r \in \mathcal{R}_k$ ,  $r \neq r'$ , satisfies:

- (1)  $i_0 \le i \le i'$  and (i,j) is west and weakly south of i', or
- (2) i' < i and r enters row i' weakly west of r'.

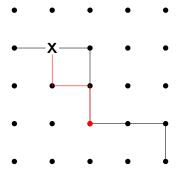


### Lemma (Bounding Reverse Paths)

Suppose some cell of a reverse path  $r'' \in \mathcal{R}_{k-1}$  is south of a reverse path  $r' \in \mathcal{R}_k$  which goes through  $c_k$ . Then r'' goes through  $c_{k-1}$ .

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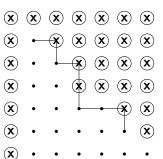


### Theorem (SPN is well-defined)

For all k, the hook tableau  $J_k$  is well defined and all reverse paths go through  $c_k = (i_0, j_0)$  in  $T_k$ .

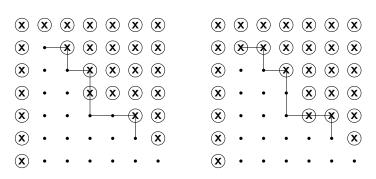
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If  $(T_k, J_k)$  is obtained from  $(T_{k-1}, J_{k-1})$  by an application of NPS, with a forward slide p' from  $(i_0, j_0)$  to (i', j'), then we have the following lemma:

### Lemma (Characterizing Reverse Paths II)

Suppose all paths in  $\mathcal{R}_{k-1}$  go through  $c_{k-1}$ , and  $i'' \in \mathcal{R}_{k-1}$  is a reverse path with initial cell (i'', j''). If p' does not consist solely of E (east) steps then

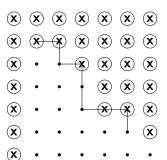
- (1) If  $i_0 + 1 \le i' \le i'$  then (i'', j'') is south and weakly west of p'.
- (2) If i'' > i' then r'' enters row i' weakly west of p'.

### Theorem (SPN inverts NPS)

Suppose  $(T_k, J_k)$  is obtained from (T, 0) with k applications of NPS. Then all reverse paths in  $\mathcal{R}_k$  go through  $c_k = (i_0, j_0)$  in  $T_k$ , and furthermore applying SPN to  $(T_k, J_k)$  we get  $(T_{k-1}, J_{k-1})$ .

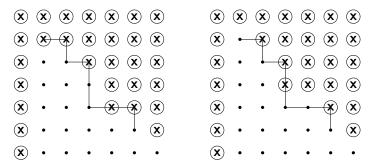
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- (1) If the pair  $(T_{k-1}, J_{k-1})$  is derived from k-1 applications of NPS to (T, 0), then applying NPS followed by SPN is the identity map.
- (2) If the pair  $(T_k, J_k)$  is derived from n k applications of SPN to (P, J), then applying SPN followed by NPS is the identity map.

The first statement is the content of the second theorem. The second statement is true because forward and backward slides are step-by-step inverses, and there is no question about which cell to use to undo a backward slide.

#### References



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