MODULE SplitOrder

This module implements a hashmap using Shalev et al.'s split-ordered list structure

EXTENDS Integers

CONSTANTS NULL, PossibleKeys, PossibleValues, LoadFactor, MaxSize

Variables keys, list, buckets, size, count

ASSUME

- $\land PossibleKeys \subseteq 0 \dots 15$
- $\land NULL \notin PossibleKeys$
- $\land \mathit{NULL} \not\in \mathit{PossibleValues}$

Lookup table for bit-reversed keys with MSB set

$$SORegularKey(k) \stackrel{\Delta}{=} CASE \ k = 0 \rightarrow 1$$

- $\Box k = 1 \rightarrow 9$
- $\Box k = 2 \rightarrow 5$
- $\Box k = 3 \rightarrow 13$
- $\Box k = 4 \rightarrow 3$
- $\Box k = 5 \to 11$
- $\Box k = 6 \rightarrow 7$
- $\Box k = 7 \rightarrow 15$
- $\Box k = 8 \rightarrow 1$
- $\Box k = 9 \rightarrow 9$
- $\Box k = 10 \rightarrow 5$
- $\Box k = 11 \rightarrow 13$
- $\Box k = 12 \rightarrow 3$
- $\Box k = 13 \to 11$ $\Box k = 14 \to 7$
- $\Box k = 14 \to t$ $\Box k = 15 \to 15$
- Lookup table for bit-reversed keys

$SODummyKey(k) \stackrel{\Delta}{=} CASE \ k = 0 \rightarrow 0$

- $\Box k = 1 \rightarrow 8$
- $\Box k = 2 \to 4$
- $\Box k = 3 \rightarrow 12$
- $\Box k = 4 \rightarrow 2$
- $\Box k = 5 \rightarrow 10$
- $\Box k = 6 \rightarrow 6$
- $\Box k = 7 \to 14$
- $\Box k = 8 \to 1$
- $\Box k = 9 \rightarrow 9$
- $\Box k = 10 \rightarrow 5$
- $\Box k = 11 \rightarrow 13$ $\Box k = 12 \rightarrow 3$

$$\Box k = 13 \rightarrow 11$$
$$\Box k = 14 \rightarrow 7$$
$$\Box k = 15 \rightarrow 15$$

Lookup table for parent buckets

$$Parent(b) \triangleq \text{CASE } b = 0 \rightarrow 0$$

$$\Box b = 1 \rightarrow 0$$

$$\Box b = 2 \rightarrow 0$$

$$\Box b = 3 \rightarrow 1$$

$$\Box b = 4 \rightarrow 0$$

$$\Box b = 5 \rightarrow 1$$

$$\Box b = 6 \rightarrow 2$$

$$\Box b = 7 \rightarrow 3$$

$$\Box b = 8 \rightarrow 0$$

$$\Box b = 9 \rightarrow 1$$

$$\Box b = 10 \rightarrow 2$$

$$\Box b = 11 \rightarrow 3$$

$$\Box b = 12 \rightarrow 8$$

$$\Box b = 13 \rightarrow 5$$

$$\Box b = 14 \rightarrow 6$$

$$\Box b = 15 \rightarrow 7$$

The Init for split-order keys is initially empty the map maps every possible key to NULL The list initially contains only the 0 dummy node

$$SOInit \triangleq \land keys = \{\} \\ \land list = [n \in 0 ... 255 \mapsto \text{if } n = 0 \text{ Then } SODummyKey(0) \text{ else } NULL] \\ \land buckets = [m \in PossibleKeys \mapsto \text{if } m = 0 \text{ Then } SODummyKey(0) \text{ else } NULL] \\ \land size = 1 \\ \land count = 0$$

Inserting into the "linked list"

$$\begin{array}{ccc} \textit{ListInsert}(k, \ v) \ \stackrel{\triangle}{=} \ \textit{If} \ \textit{list}[k] = \textit{NULL} \\ & \text{THEN} \ \textit{list}' = [\textit{list} \ \textit{except} \ ![k] = v] \land \textit{count}' = \textit{count} + 1 \\ & \text{ELSE} \ \textit{UNCHANGED} \ \langle \textit{list}, \textit{count} \rangle \\ \end{array}$$

Removing from the "linked list"

$$ListRemove(k) \triangleq \text{If } list[k] = NULL$$

$$\text{THEN UNCHANGED } \langle list, \ count \rangle$$

$$\text{ELSE } list' = [list \ \text{EXCEPT } ![k] = NULL] \land count' = count - 1$$

Recursively initializes buckets

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RECURSIVE BucketInit(_)
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BucketInit(b) \triangleq \text{If } buckets[Parent(b)] = NULL \land Parent(b) \neq 0
\text{THEN } BucketInit(Parent(b))
\text{ELSE } buckets' = [buckets \text{ EXCEPT } ![b] = SODummyKey(b)]
```

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Find the value of the key k in the bucket b Results in the value if b is initialized and k is in b ListFind(b, k) \triangleq \text{IF } k > b \land list[b] \neq NULL \text{ THEN } list[k] \text{ ELSE } NULL
```

SOFind finds a key in the map

 $SOFind(k) \stackrel{\triangle}{=} IF \ buckets[k\%size] = NULL$

THEN NULL should initialize bucket, but also needs a "return value" ELSE ListFind(buckets[k%size], k)

 $Min(a, b) \triangleq \text{If } a > b \text{ Then } b \text{ Else } a$ $BucketGrow \triangleq \text{If } count' \neq 0 \land size \div count' > LoadFactor$ THEN size' = Min(size * 2, MaxSize) ELSE TRUE

Inserting into the buckets

$$BucketInsert(k, v) \triangleq \text{ Either a bucket needs to be initialized} \\ \lor \land buckets[k\%size] = NULL \\ \land BucketInit(k\%size) \\ \land ListInsert(SORegularKey(k), v) \\ \land keys' = keys \cup \{k\} \\ \text{ Or the bucket is already initialized} \\ \lor \land buckets[k\%size] \neq NULL \\ \land ListInsert(SORegularKey(k), v) \\ \land keys' = keys \cup \{k\} \\ \land \text{ UNCHANGED } \langle buckets \rangle \\ \end{cases}$$

Removing from the buckets

$$BucketRemove(k) \triangleq \land ListRemove(SORegularKey(k)) \\ \land keys' = keys \setminus \{k\} \\ \land \texttt{UNCHANGED} \ \langle buckets \rangle$$

$$SOInsert \triangleq \land \exists k \in PossibleKeys : \\ \exists v \in PossibleValues : \\ BucketInsert(k, v) \\ \land \text{UNCHANGED } size$$

$$SORemove \stackrel{\triangle}{=} \land \exists k \in PossibleKeys : BucketRemove(k) \land UNCHANGED size$$

The Next for split order

$$SONext \triangleq \land \lor SOInsert \lor SORemove \land BucketGrow$$

Split-order spec

```
SOSpec \ \stackrel{\Delta}{=} \ SOInit \land \Box [SONext]_{\langle keys, \, list, \, buckets, \, size, \, count \rangle}
```

A refinement mapping of the hashmap spec with the map defined by the SOFind action

INSTANCE hashmap with map $\leftarrow [k \in PossibleKeys \mapsto SOFind(k)]$

Split-order implements hashmap

Theorem $SOSpec \Rightarrow HashmapSpec$

Type correctness of keys and values

$$SOTypeOK \triangleq \forall k \in keys :$$

$$\land k \in PossibleKeys$$

$$\land list[SORegularKey(k)] \in PossibleValues$$

Each bucket is either uninitialized or points to a dummy node

```
SOBucketOK \triangleq \forall n \in 0 ... size : 
 \lor buckets[n] = NULL 
 \lor buckets[n] = SODummyKey(0)
```