



## Exercises

# Operations in Convolutional Neural Networks

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### Question 1.

Consider a Convolutional Neural Network (CNN) designed to process single-channel images with a size of  $6 \times 6$ .

This CNN consists of two convolutional layers, each followed by a ReLU activation function. We use a stride of 1 and no padding. The final layer is a fully connected layer, producing a single output unit. Bias terms are omitted for simplicity

Here's a detailed breakdown of the network's architecture:

— The first convolutional layer comprises three  $3 \times 3$  filters. Let's consider the following values for each filter (these weights are typically learned during the training process of the CNN):

$$W_1^{(1)} = \begin{bmatrix} 1 & 0 & -1 \\ 1 & 0 & -1 \\ 1 & 0 & -1 \end{bmatrix}; \quad W_2^{(1)} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 0 & 0 \\ -1 & -1 & -1 \end{bmatrix}; \quad W_3^{(1)} = \begin{bmatrix} 0 & 1 & 0 \\ 1 & -4 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

— The second convolutional layer consists of a single  $3 \times 3 \times 3$  filter. The weights are as follows:

$$W^{(2)}[i,j,k] = \begin{cases} 1, & \text{if } j = k = 2\\ 0, & \text{otherwise} \end{cases} \quad \text{for } i,j,k \in \{1,2,3\}$$

— The fully connected layer (third layer) consists of 4 units. The vector of weights associated with this fully connected layer is:

$$\mathbf{w}^{(3)} = \begin{bmatrix} 6\\2\\0\\-1 \end{bmatrix}.$$





1.1 Given the input image represented by the matrix X, where

$$X = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

compute the output of the first convolutional layer (or first hidden layer)  $H_i^{(1)} = \text{ReLU}(X * W_i^{(1)})$ , where i = 1, 2, 3 refers to the channel index. Each channel represents a different feature map or filter applied to the input image.

- **1.2** Given the output of the first layer,  $H_{1:3}^{(1)}$ , i.e., a collection of three feature maps, compute the output of the second layer  $H^{(2)} = \text{ReLU}(H_{1:3}^{(1)} * W^{(2)})$ . The notation  $H_{1:3}^{(1)}[i,j,k]$  refers to the elements of  $H_{1:3}^{(1)}$ , and the calculation is performed for i,j in  $\{1,2,3,4\}$ , and for k in  $\{1,2,3\}$ .
- **1.3** Given the output of the second layer,  $H^{(2)}$ , compute the output of the network  $y = \mathbf{w}^{(3)T} \cdot h^{(2)}$ , where  $h^{(2)}$  is the flattened output of the second layer.





## Question 2.

Let's consider a Neural Network designed for an image classification task with the following output categories: car, bicycle, motorbike, and bus. The input to the network is a grayscale image of size  $20 \times 20$  pixels.

Answer the following questions:

- **2.1** If the neural network comprises a fully connected layer only, with n units, how many parameters must be optimized by the gradient descent algorithm? Compute the number of parameters without and with bias terms.
- **2.2** Consider a neural network composed of the following layers:
  - 1. Convolutional layer, with m filters of size  $5 \times 5$ .
  - 2. Fully Connected layer with n units.

How many parameters does the gradient descent optimize, excluding bias terms?

- **2.3** Consider a neural network composed of the following layers:
  - 1. Convolutional layer, with m filters of size  $5 \times 5$ ;
  - 2. MaxPooling layer, with a window size of  $4 \times 4$  and a stride of 4;
  - 3. Fully Connected layer with n units.

How many parameters does the gradient descent optimize, excluding bias terms?

- **2.4** Consider a neural network with the following layers:
  - 1. Convolutional layer, consisting of  $m_1$  filters of size  $5 \times 5$ .
  - 2. Max Pooling layer, with window size of 2 and stride 2;
  - 3. Convolutional layer, comprising  $m_2$  filters of size  $3 \times 3 \times m_1$ ;
  - 4. Max Pooling layer, with window size of 2 and stride 2;
  - 5. Fully Connected layer with  $n_1$  units;
  - 6. Fully Connected layer with  $n_2$  units.

Taking into account the bias for the output layer only, determine the total number of parameters optimized by gradient descent.

Question 3. Repeat the exercises in Question 2 for an input RGB image.