

1 SemMT: A Semantic-based Testing Approach for Machine Translation Systems

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10 Machine translation has wide applications in daily life. In mission-critical applications such as translating official documents, incorrect
11 translation can have unpleasant or sometimes catastrophic consequences. This motivates recent research on the testing methodologies
12 for machine translation systems. Existing methodologies mostly rely on metamorphic relations designed at the textual level (e.g.,
13 Levenshtein distance) or syntactic level (e.g., distance between grammar structures) to determine the correctness of translation results.
14 However, these metamorphic relations do not consider whether the original and the translated sentences have the same meaning (i.e.,
15 semantic similarity). To address this problem, in this paper, we propose SemMT, an automatic testing approach for machine translation
16 systems based on semantic similarity checking. SemMT applies round-trip translation and measures the semantic similarity between
17 the original and the translated sentences. Our insight is that the semantics concerning logical relations and quantifiers in sentences
18 can be captured by regular expressions (or deterministic finite automata) where efficient semantic equivalence/similarity checking
19 algorithms can be applied. Leveraging the insight, we propose three semantic similarity metrics and implement them in SemMT. We
20 compared SemMT with related state-of-the-art testing techniques, demonstrating the effectiveness of mistranslation detection. The
21 experiment results show that SemMT outperforms existing metrics, achieving an increase of 34.2% and 15.4% on accuracy and F-Score,
22 respectively. We also study the possibility of further enhancing the performance by combining various metrics. Finally, we discuss a
23 solution to locate the suspicious trip in round-trip translation, which provides hints for bug diagnosis.

24 Additional Key Words and Phrases: machine translation, metamorphic testing, testing, semantic equivalent, semantic similarity

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28 1 INTRODUCTION

29 Machine translation systems, which provide automatic translation for text and speech from a *source language* to another
30 *target language*, are widely used in daily lives [34, 35]. However, machine translation systems can give incorrect or

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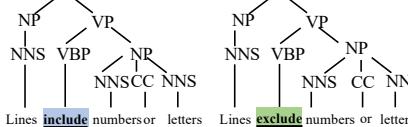
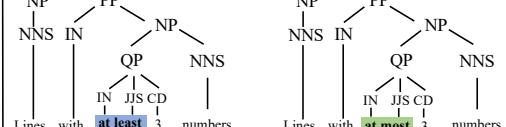
Example 1 E1: Lines include numbers or letters. E2: Lines exclude numbers or letters.	Example 2 E3: Lines with at least 3 numbers. E4: Lines with at most 3 numbers.
 Lines include numbers or letters. Lines exclude numbers or letters.	 Lines with at least 3 numbers. Lines with at most 3 numbers.
<ul style="list-style-type: none"> Textual distance (Levenshtein) = 2 Syntactic distance (Constituency structure) = 0 Semantic similarity (SBERT) = 40 % 	<ul style="list-style-type: none"> Textual distance (Levenshtein) = 3 Syntactic distance (Constituency structure) = 0 Semantic similarity (SBERT) = 93 %

Fig. 1. **Motivating Examples.** Examples of semantic differences that cannot be captured by existing textual, syntactic or semantic similarity metrics.

inappropriate translations, which could lead to harmful consequences such as embarrassment, misunderstanding, financial loss, or political conflicts [23, 49, 55, 56]. This motivates the research on testing methodologies to assure the quality of machine translation software.

Recent works [32, 35, 60, 77, 83, 92] on machine translation testing mostly adopt the metamorphic testing approach [16, 17]. The intuition is that similar sentences should be similarly translated [35, 77, 92], while sentences with different meanings should not have the same translations [32]. Mistranslations are detected by examining the textual (e.g., Levenshtein distance) or syntactic similarities between the original sentence and the translated sentence. However, a close textual or syntactic distance between two sentences does not necessarily imply close semantic meaning, and thus cannot guarantee the correctness of translation. For example, in Fig. 1 (Example 1), the verbs “include” and “exclude” are logically opposite, though their textual/syntactic distances are the same or close. Consequently, while the textual and syntactic distances between the two sentences are close, they deliver opposite semantic meanings. Using semantic-based metrics such as the state-of-the-art SBERT [67], the similarity measured over the two sentences in Example 1 is low (i.e., only 40%), which indicates that the differences can be more precisely captured in this case. However, SBERT does not necessarily perform well in all cases. In the Example 2 of Fig. 1, SBERT gives a high semantic similarity (93%) although the phrase “at least” and “at most” in E3 and E4 are two opposite quantifiers. The two examples suggest that a better metric to measure sentence semantics is needed.

However, the semantic meaning of sentences is hard to be precisely captured, which exacerbates the challenges of measuring translation correctness. It is non-trivial to judge the semantic equivalence/similarity between two sentences even for human [91]. The judgement made by human can be subjective [60, 92], making the decision varies across different individuals. Besides, the flexibility of natural language makes this problem even more challenging [92]. For example, a token or phrase may have multiple correct translations, in such cases, modern translation software does not perform well [35].

In view of these challenges, we then approach the problem by confining the scope to the semantics that concern quantifiers and logical relations (like examples in Fig. 1), and then test translation on sentences with such semantics. For what it may worth to mention, sentences with quantifiers [62, 75] and logical relations-[18, 33, 76] are pervasive in the daily life and of central importance in linguistic semantics [6, 61, 62, 78]. According to our investigation (see Section 2), in every six sentences, there is one sentence involving quantifier or logical relations, reflecting the prevalent use of such

105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124	<p>Source 1</p> <p>The share of symptomatic children who lose their lives to the virus in China has been estimated as 1 in 25,000, which is 30 times less than of the middle aged and 3,000 times less than the elderly.</p> <p>Translation 1 (Google)</p> <p>据估计，在中国，因病毒而丧生的有症状儿童的比例为25,000人中的1人，这是中年人的30倍，而中年人的3,000倍。</p> <p>(Meaning: It is estimated that the proportion of symptomatic children killed by the virus in China is 1 in 25,000, which is 30 times that of middle-aged people and 3,000 times that of middle-aged people.)</p> <p>Quantifier-related</p>
125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156	<p>Source 2</p> <p>Diarrheal disease was the cause of every tenth child's death in 2017 – more than half a million of the 5.4 million children that died in 2017 died from diarrheal disease.</p> <p>Translation 2 (Google)</p> <p>腹泻病是2017年每十分之一儿童死亡的原因-在2017年死亡的540万儿童中，超过一半死于腹泻病。</p> <p>(Meaning: Diarrheal disease was the cause of every tenth child death in 2017-of the 5.4 million children who died in 2017, more than half were due to diarrheal disease.)</p> <p>Quantifier-related</p>
125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156	<p>Source 3</p> <p>While 19.6% of household not containing only young people live in the northwest sub-area, only 4.8% of young person only and 10% of young person with child live in this area.</p> <p>Translation 3 (Google)</p> <p>虽然只有19.6%的仅包含年轻人的家庭居住在“西北”子区域，但只有4.8%的年轻人和10%的有孩子的年轻人居住在该区域。</p> <p>(Meaning: Although only 19.6% of families containing only young people live in the "Northwest" subregion, only 4.8% of young people and 10% of young people with children live in this area.)</p> <p>Logic-related</p>

Fig. 2. Examples of Mistranslation of Sentences with Quantifiers and Logical Relations.

sentences in daily life. Since they mainly specify the quantity and logical meaning of the objects, and are commonly found in legal contracts, financial statements, healthcare reports, product instructions and so on, the mistranslation regarding them can cause misunderstanding or severe consequences. For example, in Fig. 2¹, the quantity “30 times less than” in the first sentence (i.e., Source 1) is mistranslated to “30 times” by Google translator (i.e., Translation 1). The translation mistakenly reports the children’s morbidity and mortality. It can result in unnecessary public panic. Note that the original sentence is taken from a brief policy launched recently[65] on the impact of COVID-19 on children. Similarly, in the second example excerpted from a report on Diseases and Mortality [22], the number of children who suffered from disease is exaggerated after translation. Such mistranslations can cause severe consequences for the public. Apart from mistranslation of numbers, mistranslation of logical relations are also common. The third example (i.e., Source 3) taken from [48] in Fig. 2 illustrates the mistranslation of logical relation, conveying opposite meaning (i.e., exclusion and inclusion) before and after translation, resulting in a wrong cognition of the household situation in northwest. Earlier work [32] has proposed to detect mistranslations based on an intuition that semantically different sentences should not have the same translation results. However, such detection technique may not be effective because many mistranslated sentences and their semantically mutated ones do have different translation results. For example, the sentence given by Source 3 in Fig. 2 is mistranslated to Chinese by Google. Yet, Google gives a different Chinese translation when the word “not” is removed from the sentence, escaping such detection of mistranslation based on the same translation results. The same situation occurs in the translation of the other two sentences in Fig. 2 (i.e., replacing “less” with “more” in Source 1, and replacing reversely in Source 2). As such, it is important to design an effective test methodology for the translation of sentences that contain quantifiers and logical relations. Such testing methodologies have not been studied in prior work.

¹The translation results were collected on December 16, 2020 on Google Translator.

157 In this paper, we propose SemMT, an automatic testing approach for machine translation systems based on semantic
 158 similarity checking of the concerned quantifiers and logical relations. The insight of SemMT is that the semantics
 159 concerning logical relations and quantifiers in sentences can be captured by regular expressions (or deterministic
 160 finite automata) where efficient equivalence/similarity checking algorithms are available. To be more specific, SemMT
 161 addresses the difficulty of capturing semantics similarities precisely and detecting semantic mistranslation on translation
 162 systems using the following three strategies:
 163

164 **Transformation to regular expressions.** Since the semantics regarding quantifier and logical relations in sentences
 165 can be captured using regular expressions, the core step of SemMT is to transform the sentences to the semantic-
 166 equivalent regular expressions. This strategy enables the maximized precise semantic similarity capturing. As a
 167 well-explored, -tested and widely-used context-free grammar [12, 24, 80, 82, 90], the semantics over regular expression
 168 (abbrev. regex) can be evaluated under a context-free paradigm, enabling us to capture and quantify semantic similarities
 169 precisely.
 170

171 **Precise semantic similarity capturing.** Based on the above strategy, the semantic similarities over regular expres-
 172 sions then can be captured and quantified via well-established algorithms in formal language [37, 58, 89]. If the semantic
 173 similarity quantified by these algorithms between the source and translated sentences falls beyond the similarity
 174 threshold, such translations will be considered as suspicious mistranslations.
 175

176 **Semantic checking in the same language.** Semantic equivalence can hardly be measured automatically across
 177 different languages [86]. Therefore, SemMT performs testing on round-trip translation, which translates a original
 178 sentence to another language, and then translates it back. In this way, the back-translated sentence and the original
 179 sentence are in the same language, allowing their semantics to be uniformly measured and compared.
 180

181 On top of that, we implemented SemMT and compared with the state-of-the-art testing techniques. The experiment
 182 results show that SemMT achieves an increase of 23% in terms of F-Score as compared with other similarity measures.
 183 SemMT outperforms the state-of-the-art techniques, achieving an improvement of 34.2% in accuracy with comparable
 184 amount of mistranlations detected. Besides, SemMT can detect 213 bugs in Google Translator as compared with 173
 185 detected by other similarity metrics. We also study the possibilities of improving accuracy and F-Score by combining
 186 different similarity metrics. Note that a mistranlation detected by SemMT may occur at the forward translation or the
 187 backward translation [60, 74, 92]. We discuss a method to locate the translation in which the bug resides. In addition,
 188 we discuss the types of bugs detected by SemMT.
 189

190 To sum up, this paper addresses the oracle problem in testing machine translation systems with respect to their
 191 semantics. Specifically, it makes the following four main contributions:
 192

- 193 • We propose SemMT, a semantic-based machine translation testing framework. Specifically, it captures the
 194 semantics of quantifiers and logical relations during translation by semantic-equivalent regular language and
 195 detects mistranslation accordingly. To best of our knowledge, it is the first testing methodology proposed for
 196 machine translation systems based on the semantic similarity.
- 197 • We introduce an approach to determining and quantifying semantic differences. Specifically, we transform
 198 natural language to semantic-equivalent regular expressions, and then propose a metric to measure semantic
 199 similarities in formal ways.
- 200 • The experiment results show that our proposed metrics are more effective than existing similarity metrics in
 201 capturing the semantics of sentences that concern quantifiers and logical relations. Using the proposed metric,
 202 SemMT outperforms state-of-the-art techniques, achieving an increase of 34.2% in accuracy and 15.4% in F-Score.
 203

- 209 • We perform the first study to improve test effectiveness for machine translations via combining multiple similarity
 210 metrics. Experiment results show that improvements can be achieved when proper metrics are combined. The
 211 experiment data and tool are publicly available ².
 212

2 MOTIVATION

213 Measuring the semantic difference/similarity of natural languages is still an open problem due to their subjective [60, 92],
 214 flexible [92] and context-aware [44, 81] features. We, therefore, confine our measurement to the semantics of quantifiers
 215 and logical relations, which can be represented formally by regular expressions. A **quantifier** is a word/phrase that
 216 usually goes before a noun to express the quantity of the object. It is traditionally defined using set-theoretic terms in
 217 linguistic theories [5, 41, 46, 62, 75]. Commonly used quantifiers include proportional quantifiers (e.g., “some”, “a few”,
 218 “many” and “more than half”), logic quantifiers (e.g., “none”), and quantifiable quantifiers (e.g., “more than half” and
 219 “more than 3 times”). We refer to those sentences that contain quantifiers as *quantifier-related sentences*. For **logical**
 220 **relations**, we follow existing works [3, 52, 53, 59, 64, 70] and focus on those semantics expressed in first-order logic
 221 such as conjunction, disjunction, negation, inclusive and exclusive relations, such as “X contains Y”. We refer to those
 222 sentences that contain such logical semantics as *logic-related sentences*.
 223

224 Since we confine our scope to quantifier- and logic-related sentences, a legitimate question is: *Are quantifier- and*
 225 *logic-related sentences common?* To answer this question, we collected five large-scale corpora (i.e., Europarl [39],
 226 CommonCrawl and News available from the Workshop on Machine Translation (WMT) ³, News Commentary Parallel
 227 Corpus [79], Financial News from Reuters [26]) which are commonly-used for machine translation and other natural
 228 language processing tasks, and analyzed the proportion of sentences that involve quantifiers or logic relations. The
 229 corpora that we analyzed cover a broad range from policy, finance to daily news, which indicates that the findings made
 230 based on them can be generalized. We followed the methodology of earlier work [1, 62] to identify quantifier-related
 231 sentences and logic-related sentences. The list of patterns that we used for the analysis is publicly available ⁴.
 232

233 Table 1 shows the statistics of the investigation. Initially, over 4 million sentences were collected. After filtering out
 234 those containing less than 10 words (e.g., the sentence “I understand”), the statistics were conducted over 3.7 million
 235 sentences. According to Table 1, there are 639,179 (16.96%) sentences that are quantifier- or logic-related. It reflects the
 236 popular use of such sentences in daily life. For a further breakdown, 12.52% sentences are quantifier-related, and 5.22%
 237 are logic-related respectively.

238 While not all of these quantifiers and logical relations can be precisely captured by regexes (e.g., “a few” and “many”
 239 cannot be quantified by an exact number), we further measured the proportion of quantifiers and logical relations that
 240 can be precisely captured by regexes. As revealed by Table 1, 89.6% (=15.19/16.96) of the quantifier- and logic-related
 241 sentences in the selected dataset can be captured precisely. A closer examination reveals that 90.8% of the quantifier-
 242 and 83.9% of the logic-related sentences can be precisely captured. Such high ratios reflect the fact that quantifier-
 243 or logic-related sentences that can be captured precisely are also pervasive, and the focus on such sentences will not
 244 largely narrow the scope of application of our approach to detect mistranslation bugs. Besides, we also discuss the
 245 situation where the semantics can be captured approximately in §5.3.
 246

247 ²<https://github.com/SemMT-2021/SemMT>

248 ³<http://www.statmt.org/wmt13/>

249 ⁴<https://github.com/SemMT-2021/SemMT>

Table 1. Prevalence of Quantifier and Logic-related Sentences in Real-World Corpora. The table shows the total number of sentences before (#Total) and after (# Filtered) filtering, the number and ratio of sentences with quantifiers (# Qty, % Qty), with logical relations (# Lgc, % Lgc), and with either one (# Related, % Related). Those quantifier or logic-related sentences that can be quantified are labeled with subscript *PRS*.

Corpora	# Total	# Filtered	Quantity-related				Logic-related				Related			
			# Qty	# Qty _{PRS}	% Qty	% Qty _{PRS}	# Lgc	# Lgc _{PRS}	% Lgc	% Lgc _{PRS}	# Related	# Related _{PRS}	% Related	% Related _{PRS}
ReutersNews	285,964	243,664	53,453	51,627	21.94%	21.19%	12,061	10,394	4.95%	4.27%	62,119	59,107	25.49%	24.26%
WMT-News	14,522	12,219	2,331	2,189	19.08%	17.91%	507	443	4.15%	3.63%	2,711	2,526	22.19%	20.67%
CommonCrawl	1,845,286	1,640,632	199,945	175,989	12.19%	10.73%	93,572	77,653	5.70%	4.73%	279,598	243,909	17.04%	14.87%
Commentary	174,441	153,258	21,892	19,111	14.28%	12.47%	6,876	5,867	4.49%	3.83%	27,633	24,210	18.03%	15.80%
Europarl	1,965,734	1,718,060	194,110	179,657	11.30%	10.46%	83,730	70,744	4.87%	4.12%	267,118	242,436	15.55%	14.11%
Total	4,285,947	3,767,833	471,731	428,573	12.52%	11.37%	196,746	165,101	5.22%	4.38%	639,179	572,188	16.96%	15.19%

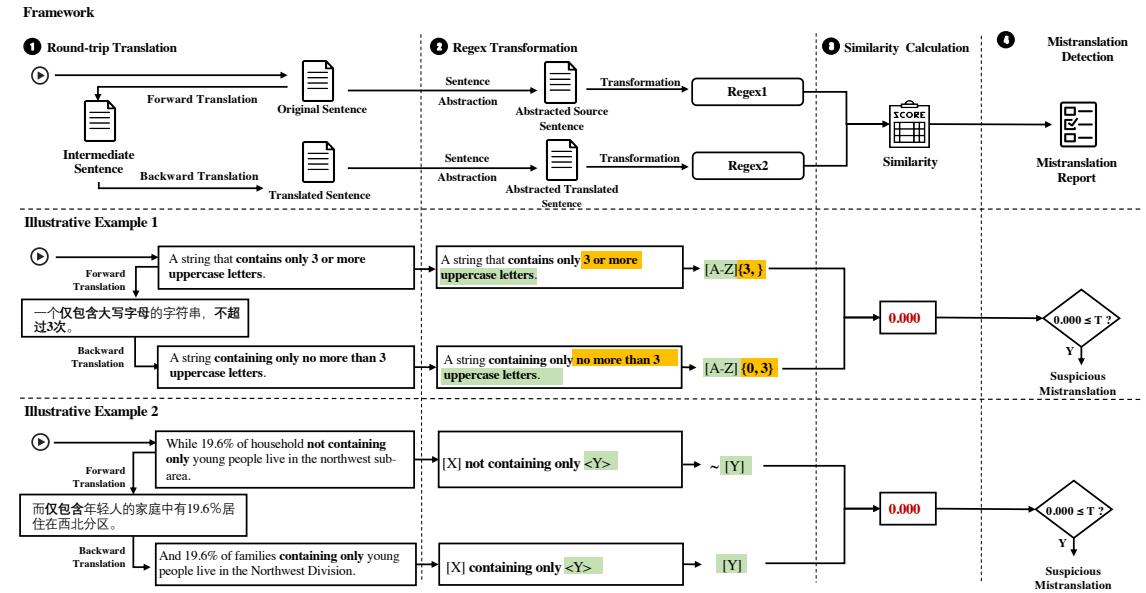


Fig. 3. Framework and Two Illustrative Examples. The words highlighted in yellow are quantifiers that modify the object words highlighted in green.

3 APPROACH

In this section, we first give an overview of SemMT, followed by the explanation of its methodology.

3.1 Overview

Fig. 3 illustrates the framework of SemMT with two illustrative examples. The upper diagram in Fig. 3 shows the general workflow of SemMT. Given the original sentences in one language, SemMT detects mistranslation in four steps: (1) conducting the round-trip translation to collect the intermediate and translated sentences, (2) abstracting and transforming the source and translated sentences into regular expressions using existing tools, (3) calculating the semantic similarity between the regular expressions based on a set of regex-related metrics and (4) detecting mistranslation according to customized thresholds. Finally, the detected mistranslations are reported.

The middle and lower diagrams demonstrate two mistranslations that can be detected by SemMT⁵. The first original sentences is taken from the NL-RX-Synth dataset [47], and the second one is taken from an online housing needs document [48] (same as the third example in Fig. 1). Given the original sentences, the round-trip translation is firstly conducted by the forward translation from the source language (take English as example) to the intermediate language (take Chinese as example), then backward translation to the source language. Secondly, the semantics regarding the quantifiers and logical relations are identified from the source and translated sentences and transformed into the corresponding regular expressions. The purpose of sentence abstraction is to capture the quantifiers and logical relations as precise as possible, and the details of sentence abstraction and regular expression transformation are explained in §3.3.1 and §3.3. After the second step, the semantics of the source and translated sentences can be captured by regular expressions. Take Illustrative Example 1 as an example, the meaning of two English sentences can be described by the corresponding two regular expressions $[A-Z]\{3,\}$ and $[A-Z]\{0,3\}$, where $[A-Z]$ represents an uppercase letter, and quantifiers $\{3,\}$ and $\{0,3\}$ serve as quantifiers “3 or more” and “no more than 3”, respectively. Next, semantic similarity is calculated over the two regular expressions by regex-based semantic similarity metrics. In particular, SemMT utilized three semantic similarity metrics to differentiate the semantic meanings. If the similarity is higher than the predefined threshold, the semantic meaning of the original sentence is well-preserved after translation; otherwise, SemMT reports it as a candidate round-trip mistranslation. As shown in the illustrative examples, take DFA-based similarity (details can be found in § 3.4.2) as example, the semantic similarities of two examples are relatively low (0.000) for both translations, SemMT, therefore, reports the source, intermediate and and translated sentences as a potential round-trip mistranslation.

In the following of this section, we will explain the details of the four steps using two illustrative examples, showing how SemMT is able to capture semantic difference and detect mistranslations in round-trip translations. Challenges arise in the measurement of similarity between regexes and the determination of thresholds. We will discuss them in §3.3, §3.4 and §3.5.

3.2 Round-Trip Translation

Round trip translation (RTT) is also known as back-and-forth translation. It translates a given text or sentence into an intermediate language (the forward translation), and then translates the result back into the source language (the back translation) [74]. It reflects the general quality of a translation system over longer texts [74] and is a cost-effective choice [54, 60, 92] to derive reference translation automatically.

The benefit of adopting RTT in our methodology is that the semantics of the source and back-translated sentences can be uniformly measured and compared in the same language. The selection of source and intermediate languages are not restricted by our methodology. Yet the selection of language pairs will affect the effectiveness of methodology in two aspects. First, in some languages (e.g., Chinese and Japanese), nouns are the same in both singular and plural forms, while they are in different forms in other languages like English and Russian. The RTT between these two kinds of languages may lose/switch the singularity or plurality information, making the semantics changed. Second, the availability of automated transformation approaches used in the following steps (i.e., the second step in Fig. 3) also needs to be considered. If the automatic approaches of deriving regex from natural language sentences in the source language is unavailable, the workflow is unlikely to proceed automatically. Hence the selection of language

⁵The translation results were collected on December 17, 2020 on Google Translator.

365 pairs under test should take these two aspects into account. Besides, since SemMT adopts a black-box testing manner,
366 so the translators under test can be either open- or close-sourced.

367 Nevertheless, one may concern that RTT involves testing two systems instead of one [60, 92] and it is unclear which
368 trip is buggy when a sentence is mistranslated. It subsequently motivates us to explore the possibility to locate the
369 buggy trip automatically , and we discuss one possible solution in Section 5.4.
370

371 3.3 Regular Expression and Deterministic Finite Automaton Transformation

372 SemMT is empowered by the recent advances made on the synthesis of regular expressions from natural languages
373 using rule-based [42, 66] and learning-based [47, 58, 89] approaches. In this subsection, we present the key ideas of how
374 these techniques can be applied in the methodology of SemMT. In the following, we adapt two illustrative examples
375 in Fig. 3 as running examples to show how the resulting transformed regexes and deterministic finite automata are
376 derived together with the introduction of the related formalism.
377

- 378 • **Running Example 1 (Original Sentences)**

- 379 – S1: A string that contains only **3 or more** uppercase letters.
- 380 – S2: A string containing only **no more than 3** uppercase letters.

- 381 • **Running Example 2 (Original Sentences)**

- 382 – S3: While 19.6% of household **not containing only** young people live in the northwest sub-area.
- 383 – S4: And 19.6% of families **containing only** young people live in the Northwest Division.

384 3.3.1 **Sentence Abstraction.** Sentence abstraction is a preprocessing step conducted on the source and translated
385 sentences before converting them into regular expressions. It helps to focus on semantics that relate to quantifiers and
386 logical relations. In general, the sentence abstraction works in two steps: (1) identify the non-terminal words/tokens
387 that describe quantifiers and logical relations in the sentence, and (2) abstract the terminals or non-terminals that are
388 grouped by the identified non-terminals as abstracted objects, denoted by symbols such as X and Y. Specifically, the
389 non-terminals and terminals we used are specified and used in existing works [47, 58], and are associated verbalization
390 for both regular expressions and language descriptions. For the first running example, the terminals (e.g., “uppercase
391 letters”) and non-terminals (e.g., “contains only”, “3 or more”, “no more than 3”) are specified in [47], and therefore no
392 abstraction is needed. While for the second running example, the terminal nouns/phrases grouped by non-terminals
393 (i.e., “not containing only” and “containing only”) are not specified, so they are abstracted and denoted as abstract
394 symbols X and Y. Hence, after abstraction, the sentences in two running examples are as follows:
395

- 396 • **Running Example 1 (Abstracted Sentences)**

- 397 – S1': A string that contains only **3 or more** uppercase letters.
- 398 – S2': A string containing only **no more than 3** uppercase letters.

- 399 • **Running Example 2 (Abstracted Sentences)**

- 400 – S3': X **not containing only** Y.
- 401 – S4': X **containing only** Y.

402 Note that after abstraction, the meaning of sentences in the first example are almost preserved while in the second
403 one, the most semantics are abstracted, remaining only the logical related meaning.

404 3.3.2 **Regular Expression (regex).** Regular expressions are widely used in practice. Let Σ be a finite alphabet of
405 symbols, a *word* is a finite sequence of symbols chosen from this alphabet, and the set of all words over Σ is denoted by
406

⁴¹⁷ Σ^* . For example, 01101 is a *word* from the binary alphabet $\Sigma = \{0, 1\}$. The empty word and the empty set are denoted by ϵ and \emptyset , respectively. Regexes over Σ are defined inductively as follows: $\epsilon, \emptyset, a \in \Sigma$, and $[C]$ where $C \subseteq \Sigma$ are regular expressions; for regular expressions r_1 and r_2 , the disjunction $r_1|r_2$, the concatenation r_1r_2 , the intersection $r_1 \& r_2$, the negation $\neg r_1$, and the quantifier $r_1\{m, n\}$ where $m \in \mathbb{N}$, $n \in \mathbb{N} \cup \{\infty\}$, and $m \leq n$ are also regular expressions. Besides, $r?, r^*, r^+$ and $r\{i\}$ where $i \in \mathbb{N}$ are abbreviations of $r\{0, 1\}$, $r\{0, \infty\}$, $r\{1, \infty\}$ and $r\{i, i\}$, respectively. $r_1\{m, \infty\}$ is often simplified as $r_1\{m, \}$. For the running examples, the sentences are tokenized and fed into the state-of-the-art model [58], resulting in the following regexes:

- **Running Example 1 (Transformed Regexes)**

- R1: $[A-Z]\{3, \}$.
- R2: $[A-Z]\{0, 3\}$.

- **Running Example 2 (Transformed Regexes)**

- R3: $\sim[Y]$
- R4: $[Y]$

where $[A - Z]$ denotes an uppercase letter, the quantifier $\{0, 3\}$ represents the object before it (i.e., $[A-Z]$ in the example) could appear zero to three times. While the quantifier $\{3, \}$ represents the object could appear three or more times. One may notice that after transformation, the abstract symbol X in the second running example is not appear in the regex R4. It is because for the non-terminals which describe part-whole relations [52, 53, 70] such as inclusion (e.g., “contain”) or exclusion (e.g., “exclude”), the preceding terminal is regarded as the collection while the latter one represents the part/component inside. For example, the sentence “ X containing only Y ” (S4') is transformed to $[Y]$ where X is the collection which includes only the abstract symbol Y . Similarly, the terminal “a string” in the first example is also regarded as the collection which is absent in R1 and R2.

⁴⁴⁴ **3.3.3 Language of regular expression.** The term *language* denotes a set of strings chosen from the set of words ⁴⁴⁵ Σ^* . Let $L(r)$ be the language of regular expression r . $L(r)$ can be defined inductively as follows: $L(\emptyset) = \emptyset$; $L(\epsilon) = \{\epsilon\}$; $L(a) = \{a\}$; $L([C]) = C$; $L(r_1|r_2) = L(r_1) \cup L(r_2)$; $L(r_1r_2) = \{vw \mid v \in L(r_1), w \in L(r_2)\}$; $L(r_1 \& r_2) = L(r_1) \cap L(r_2)$; $L(\neg r_1) = \{v \mid v \notin L(r_1)\}$; $L(r\{m, n\}) = \bigcup_{m \leq i \leq n} L(r)^i$.

For the running examples, the languages of the regular expressions in the running examples are:

- **Running Example 1 (Language of Regexes)**

- $L(R1) = L([A - Z]\{3, \}) = \{AAA, AAB, \dots, ZZZ, AAAA, \dots\}$
- $L(R2) = L([A - Z]\{0, 3\}) = \{\epsilon, A, B, C, \dots, ZZZ\}$

- **Running Example 2 (Language of Regexes)**

- $L(R3) = L(\sim[Y]) = L(\Sigma^*) \setminus \{Y\}$ ⁶
- $L(R4) = L([Y]) = \{Y\}$

In addition, two regular expressions are **equivalent** if they describe the same language. Also, we denote $|L(r)|$ as the *language size* of regex r , which is the number of words described by r . In the running examples, $|L(R2)|$ and $|L(R4)|$ are $1 + 26 + 26^2 + 26^3 = 18279$ and 1, respectively, while $|L(R1)|$ and $|L(R3)|$ are infinite.

⁴⁶³ **3.3.4 Deterministic Finite Automaton (DFA).** A Deterministic Finite Automaton (DFA) is a finite-state machine that accepts or rejects a given string of symbols, by running through a state sequence uniquely determined by the string [37]. It has equivalent expressive power as the corresponding regular expression, which means any regular

⁶We set the alphabet (Σ) of symbols is set to be letters and numbers.

expression can be converted into a DFA that recognizes the language described, and vice versa. A DFA [37] can be formally defined as a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, where Q is a finite set of *states*, Σ is an *alphabet*, $\delta : Q \times \Sigma \longrightarrow Q$ is the *transition function*, $q_0 \in Q$ is the *start state*, and $F \subseteq Q$ is the *set of accept states*. In Fig. 4, we visualized the DFAs of two regular expressions (R_1 and R_2) for better illustration.

In addition, according to §3.3.3, each regular language can be expressed by a regular expression. There exists a unique minimal automaton that accepts the given regular language in a minimum number of states. This minimal automaton is known as a minimal DFA. A minimal DFA is guaranteed to have a regular expression which is semantically equivalent to the minimal DFA [89]. For example, the illustration of the DFAs of the regular expressions R_1 and R_2 in §3.3.2 are shown in Fig. 4.

3.3.5 Approximated Semantic Transformation. In the two running examples above, the meaning of quantifiers such as “3 or more” and “no more than 3” can be precisely captured with the quantifiers in regex $\{3, \}$ and $\{0, 3\}$, respectively. However, as mentioned in §2, some quantifiers and logical relations such as “a few” and “many” can only be approximately captured by regexes or DFAs. Hence, we give an example to illustrate how SemMT can be adapted to handle this situation.

Take the following sentence as an example:

- S5: The U.S. contains **a few** states which choose to have the judges in the state courts serve for life terms.⁷

The vague quantifier “a few” is used with plural countable nouns. It emphasizes a small number of objects. To transform this sentence into regex, we first process it via sentence abstraction. The resulting abstracted sentence is :

- S5': [X] contains **a few** [Y].

where the abstract symbol [Y] represents the “states which choose to have the judges on the state’s courts serve for life terms”, and X, abstracted from the words “The U.S.”, represents the collection which includes only the abstract symbol Y.

Then, to approximate the semantics of S5 by regex, either over- and under-approximation can be applied. Specifically, the over-approximation enlarges the number of countable objects compared with the original number, while under-approximation underestimates the amount. For the example S5, two possible approximated sentences are as follows⁸:

- $S5_O$: [X] contains **at least three** [Y].
- $S5_U$: [X] contains **at most three** [Y].

which can be transformed into the following regexes:

- $R5_O$: $Y\{3, \}$
- $R5_U$: $Y\{1, 3\}$

where the quantifiers $\{3, \}$ and $\{1, 3\}$ in $R5_O$ and $R5_U$ prescribe the symbol Y could appears more than three times and once to three times, respectively.

By doing so, the semantics of sentences like S5 can be approximately captured by regexes, then the semantic similarities can be calculated on top of them, hence the mistranslation can be calculated accordingly. However, the approximated semantics may affect the effectiveness of mistranslation detection to some extent, so we also discussed such influence in §5.3. Note that the conversion of sentences to regexes is a major research problem in natural language processing. We do not make contribution to such conversion in SemMT but leverage existing conversion techniques.

⁷This sentence is adapted from https://en.wikipedia.org/wiki/U.S._state

⁸Since “a few” is a vague quantifier, there is no specific number that everyone would agree what it actually means. In this example, we quantify “a few” as “at least 3” following a common usage of “a few”.

521 Since the effectiveness of mistranslation detection can be affected by the quality of the approximated regexes, we
 522 evaluate SemMT based on the sentences with quantifiers or logical relations that can be precisely quantified due to
 523 the availability of automated tools for transforming such relations. The finding based on the five large-scale natural
 524 language corpora in §2 indicates that a large majority (89.6%) of quantifiers and logical relations can be precisely
 525 quantified.
 526

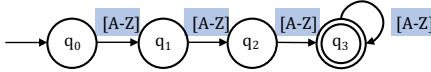
528 S1: A string that contains only uppercase letters, **3 or more times.**

529 R1: $[A - Z] \{3, \}$

530 L(R1) = {AAA, AAB, ..., ZZZ, AAAA, ...}

531 DFA(R1) = $\{Q = \{q_0, q_1, q_2, q_3\},$

532 $\Sigma = \{A, B, \dots, Z\}, \delta, q_0,$
 533 $F = \{q_3\}\}$



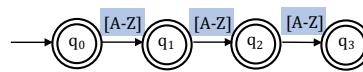
528 S2: A string that contains only uppercase letters, **no more than 3 times.**

529 R2: $[A - Z] \{0, 3\}$

530 L(R2) = {ε, A, B, C, ..., ZZZ}

531 DFA(R2) = $\{Q = \{q_0, q_1, q_2, q_3\},$

532 $\Sigma = \{A, B, \dots, Z\}, \delta, q_0,$
 533 $F = \{q_0, q_1, q_2, q_3\}\}$



534 Fig. 4. A Running Example of the Transformation from Natural Language to Regular Expressions, Language of Regular Expressions
 535 and Deterministic Finite Automata.

541 3.4 Semantic Similarity Calculation

542 The semantic differences of sentences involving quantifiers and logical relations cannot be adequately captured by
 543 SBERT [67], which is the state-of-the-art metric proposed to measure semantic similarities. As shown in the second
 544 example of Fig. 1, SBERT gives nearly 100% semantic similarity between “at least” and “at most”. Such weakness is
 545 commonly found when SBERT is applied to the NL-RX-Synth [47] dataset used by the natural language processing
 546 community for sentences that contain quantifiers and logical relations. We will discuss it in more detail in our experiment.
 547 This motivates us to develop new metrics based on regexes and DFAs to better measure the semantic similarities of
 548 sentences involving quantifiers and logical relations.

549 **3.4.1 Regex-based Similarity (SemMT-R).** Considering the transformation rules of regular expression, the similarity
 550 between two regexes can be calculated by their Levenshtein distance. Specifically:

$$551 S_{REG} = 1 - \frac{D_L(r1, r2)}{\text{Max}(\text{len}(r1), \text{len}(r2))} \quad (1)$$

552 where $D_L(r1, r2)$ is a function that computes the Levenshtein distance between regular expressions $r1$ and $r2$. Note
 553 that in Levenshtein distance calculation, we followed the convention to count terminals (e.g., $[a - z]$ which denotes an
 554 arbitrary lower-case letter, and $[0 - 9]$ which represents an arbitrary number from 0 to 9) specified in [47] as distance
 555 one. Hence, for the running examples, the regex-based similarities are:

- 556 • **Running Example 1 (SemMT-R Similarity)**

$$557 S_{REG}(R1, R2) = 1 - 3/6 = 0.500$$

- 558 • **Running Example 2 (SemMT-R Similarity)**

$$559 S_{REG}(R3, R4) = 1 - 1/4 = 0.750$$

Though efficient, this regex-based similarity may fail to capture the semantic difference in some situations [12, 21]. For example, R3 and R4 have semantically opposite meaning, while the Levenshtein distance between them is only 1. Hence, we further explore the measurement of semantic similarity using DFAs, which can capture the languages described by the regexes.

3.4.2 DFA-based Similarity (SemMT-D). An alternative to measure similarity between two regexes is to evaluate the Jaccard similarity between their regular languages. This approach can be effective in finding similarity between two finite sets of data, drawn from various application domains [4, 21, 29]. Specifically, given two regexes, one can construct two corresponding semantic equivalent minimal DFAs, then calculate the Jaccard similarity using the following equation [21, 37]:

$$S_{DFA}(r_1, r_2) = \frac{|L(r_1) \cap L(r_2)|}{|L(r_1) \cup L(r_2)|} \quad (2)$$

whether $L(r_1)$ and $L(r_2)$ are languages of regexes r_1 and r_2 , respectively.

However, an issue with such similarity is that regular languages can be infinite. To address this issue, we adapt ideas from existing works [8, 9, 19, 69] to improve the efficiency. We first define the function $S'_{DFA}(r_1, r_2, \lambda)$ as follows:

$$S'_{DFA}(r_1, r_2, \lambda) = \frac{|L(r_1)^{\leq \lambda} \cap L(r_2)^{\leq \lambda}|}{|L(r_1)^{\leq \lambda} \cup L(r_2)^{\leq \lambda}|} \quad (3)$$

where $\lambda \in \mathbb{N}$. Formally, for a language $L(r)$, let $|L(r)^{\leq \lambda}|$ denote the number of words in $L(r)$ of length at most λ . Then, we reduce the calculating function $S_{DFA}(r_1, r_2)$ to calculate the limit of function $S'_{DFA}(r_1, r_2, \lambda)$, as shown below:

$$S_{DFA}(r_1, r_2) = \lim_{\lambda \rightarrow +\infty} S'_{DFA}(r_1, r_2, \lambda) \quad (4)$$

Finally, the calculation proceeds iteratively until the solution converges to a preset threshold. Note that the threshold is customized to balance the efficiency and effectiveness. In our evaluation, we set the threshold as 0.001.

So for the running examples, the DFA similarities between two groups of regexes are as follows:

- **Running Example 1 (SemMT-D Similarity)**

$$\begin{aligned} S_{DFA}(R1, R2) &= \lim_{\lambda \rightarrow +\infty} S'_{DFA}(R1, R2, \lambda) \approx S'_{DFA}(R1, R2, 6) = \frac{|L(R1)^{\leq 6} \cap L(R2)^{\leq 6}|}{|L(R1)^{\leq 6} \cup L(R2)^{\leq 6}|} \\ &= \frac{26^3}{1 + 26 + 26^2 + \dots + 26^6} = \frac{17576}{321272407} \approx 0.000 \end{aligned}$$

- **Running Example 2 (SemMT-D Similarity)**

$$S_{DFA}(R3, R4) = \lim_{\lambda \rightarrow +\infty} S'_{DFA}(R3, R4, \lambda) \approx S'_{DFA}(R3, R4, 1) = \frac{|L(R3)^{\leq 1} \cap L(R4)^{\leq 1}|}{|L(R3)^{\leq 1} \cup L(R4)^{\leq 1}|} = 0.000$$

where 6 and 1 are the resulting λ s given that the limit of the function $S'_{DFA}(r_1, r_2, \lambda)$ can approximate the DFA similarities over the infinite regular languages.

3.4.3 Hybrid Similarity (SemMT-H). The above described SemMT-R and SemMT-D methods have their own advantages in the measurement of semantic similarities. Regex-based similarity measures the semantics similarity between two regexes in the textual level, while SemMT-D similarity measures it from the perspective of language set. Hence, we propose a hybrid metric to enjoy both advantages by combining SemMT-R and SemMT-D with customized weights.

625 The hybrid similarity is calculated by the following equation:

$$626 \quad S_{HYB}(r_1, r_2) = K \cdot S_{REG}(r_1, r_2) + (1 - K) \cdot S_{DFA}(r_1, r_2) \quad (5)$$

628 where K is a customized parameter which adjusts the balance of REG- and DFA-based similarity metrics. For example,
 629 if K is set to be 0.5, the hybrid similarity of the running examples are calculated as follow:
 630

- 631 • **Running Example 1 (SemMT-H Similarity)**

$$633 \quad S_{HYB}(R1, R2)_{K=0.5} = K \cdot S_{REG}(R1, R2) + (1 - K) \cdot S_{DFA}(R1, R2) = 0.250$$

- 635 • **Running Example 2 (SemMT-H Similarity)**

$$637 \quad S_{HYB}(R3, R4)_{K=0.5} = K \cdot S_{REG}(R3, R4) + (1 - K) \cdot S_{DFA}(R3, R4) = 0.375$$

639 Note that the selection of different values for parameter K can influence the effectiveness of SemMT-H similarity. The
 640 larger the parameter K is, the SemMT-H similarity S_{HYB} depends more on SemMT-R similarity (S_{REG}), or vice versa.
 641 To further demonstrate the influence of K on the effectiveness of HYB-based similarity, we conducted an experiment to
 642 discuss this issue in §5.5.
 643

644 3.5 Mistranslation Detection

647 Using one of the above regex-based metrics, the semantic similarity between the source and back-translated sentences
 648 can be calculated. We then decide whether a given translated sentence is far enough from the source to indicate the
 649 presence of a mistranslation. To do so, following an existing study TransRepair [77], we first conduct the threshold
 650 selection through fine-level granularity enumeration guided by the target evaluation metrics (i.e., F-Score), and then
 651 detect mistranslations based on the similarity threshold which achieves the optimum performance. Finally, we identify
 652 those sentences whose semantics are less similar to the original sentence than the threshold as suspicious mistranslated
 653 sentences. The intuition of guiding by F-Score is that it can better balance precision and recall. Besides, the threshold
 654 can be customized since the user may prioritize minimizing false positives or maximizing recall depending on their
 655 goals. In §4, we show the trade-offs for different threshold values.

658 If the similarity between the source and back-translated sentences is less than the threshold, SemMT will report it as
 659 a suspicious mistranslation. Considering the fact that a forward mistranslation can hardly lead to a reasonable backward
 660 translation [74], some may believe it is enough to only report the forward trip translation (i.e., the pair of source and
 661 intermediate sentences). However, according to our analysis and investigation, there are 26% mistranslations detected
 662 by SemMT are introduced in the backward trip (§5.4). Therefore, when the similarity falls beyond the threshold, SemMT
 663 will report the source, intermediate and back-translated sentences together.

666 4 EXPERIMENTS

668 This section reports the effectiveness of SemMT by studying the following three research questions (RQs):

- 670 • **RQ1: How effective is SemMT in finding buggy translations?** We evaluated the effectiveness of SemMT
 671 in terms of accuracy, F-Score, precision and recall compared with other semantic and non-semantic similarity
 672 metrics. We also quantified their capabilities in distinguishing buggy and correct translations.
- 673 • **RQ2: Can SemMT outperform the state-of-the-art works?** We evaluated the performance using the number
 674 of issues detected, precision, recall and F-score for each work.

- RQ3: Can SemMT's effectiveness be improved by combining different similarity metrics? Different metrics tend to evaluate similarity from diverse aspects, so we explored combinations of similarities to see whether they are mutually-improved on the effectiveness.
- RQ4: What is the applicability of SemMT? The applicability of a testing framework is also critical to measure its practical usefulness. So we further investigated the applicability of our framework, i.e., whether it can achieve similar effectiveness on different translators, language pairs and datasets.

4.1 Experiment Setup

We implemented SemMT in Python, and conducted experiments on a machine powered by one Intel i7-8700K@3.7GHz CPU that supports 6 cores, Nvidia GeForce Titan V 12GB VRAM, 64GB memory and Dual Tesla M60 8GB VRAM. The parameter K for SemMT-H is set to be 0.5 on the purpose of striking the balance between them. All experiment results have been released for validation.⁹

Dataset. Since we mainly focus on the semantics of quantifier and logical relations, the dataset selected should be consistent of sentences conveying such semantics, which is non-trivial for the evaluation. In this study, we adopted two benchmarks, NL-RX-Synth [47] and KB13 [47, 58] as the source of test inputs for SemMT. The two benchmarks are frequently-used [14, 47, 58] in generating regular expressions for natural language description tasks. In these datasets, the words/tokens can be represented as semantic-equivalent alphabet of symbols, quantities, logic relations or quantitative modifiers. For example, the word “numbers” can be represented by the set of digits ([0–9]), and the semantic relations “A or B” can be represented as the disjunction between them ($A \mid B$). In total, NL-RX-Synth consists of 10,000 pairs of English sentences and the corresponding regular expressions. The average character number of sentences in NL-RX-Synth dataset is 66.36, and 40.61 in KB13. For the first three RQs, the test inputs were randomly selected from NL-RX-Synth, while for RQ4, the test inputs were randomly selected from KB13.

Labeling. The output of SemMT is a list of suspicious issues, each of which consists of the original sentence, intermediate translation and target translation. Two of the authors inspected all the results separately and discussed all the inconsistent labels until convergence. Besides labeling whether the RTT is correct or not, we also labeled whether the forward and backward translations are correct. In addition, to compare with existing the state-of-the-art approaches, we also labeled the issues reported by each of them. The output issues of existing approaches are a list of suspicious issues including source, intermediate and back-translated sentences.

Model Training. We adopted the state-of-the-art regex synthesis work [58] to transform natural language to regex, which used reinforcement learning to train a sequence-to-sequence model. In addition, to make it better fit into our work, we proceeded the grammar checking and data augmentation to the original NL-RX-Synth dataset in order to improve accuracy and enlarge vocabulary size. Specifically, to augment data, we selected one fourth of the sentences and then replace with synonyms. Note that a manual checking of the replaced synonyms is necessary because we need to ensure the semantic meaning is maintained after the replacement. We also confirmed with a linguist if the semantics are preserved after the synonym substitution. After augmentation, 13,588 sentences were obtained with an average vocabulary size of 123. We then split the training, validation and testing set by 80%, 5%, 15% respectively. The model was trained for 30 epochs in total, achieving 90.93% accuracy on the test set. To answer RQ4, we also perform training

⁹<https://github.com/SemMT-2021/SemMT>

on the KB13 dataset using a process similar to that on the NL-RX-Synth dataset. The resulting model can achieve the accuracy of 77.67% on the test set.¹⁰

Similarity Metrics. Various similarities have been used by recent works to detect translation errors [32, 35, 77] and estimate translation quality [15, 45, 50, 51, 51, 54, 57, 63, 81]. We selected three syntactic-based similarities that are recently used by related works [35, 77] and one state-of-the-art semantic-based similarity [67] as baselines to compare the accuracy, precision and recall of bug detection with the three similarities supported by SemMT.

- **Levenshtein-based similarity (LEVEN).** It is a way of quantifying how dissimilar two strings are by calculating Levenshtein distance (a.k.a., Edit distance), i.e., counting the minimum number of operations required to transform one string into the other [68] and normalizing it in the same way as [31, 77, 87]
- **Dependency-based similarity (DEP).** Dependency relations describe the direct relationships [13] of strings. We evaluated the distance between two sets of dependency relations by summing up the absolute difference in the number of each type of dependency relations as described in a recent work [35].
- **BLEU-based similarity (BLEU).** The BLEU (BiLingual Evaluation Under study) [57] aims to automatically evaluate machine translation quality by checking the correspondence between the output of machines and that of humans [20, 57]. For one target sentence, the score of BLEU is calculated by comparing it to a set of good quality reference translations. The details of BLEU can be found in [57].
- **SBERT similarity (SBERT).** We also used the state-of-the-art sentence-level semantic approach, SBERT [67], a refined version of BERT [25], as a baseline for semantic similarity metric in our evaluation, because it performs the best in RTT quality estimation as [54] suggests.

Comparisons. In the experiment, we compared with SIT [35], TransRepair [77] and PatInv [32]. These works utilize different methods to generate mutants, then detect inconsistencies according to different metrics. In particular, SIT [35] generated syntactically equivalent mutants using BERT by replacing noun and adjective words in sentences. Similarly, TransRepair [77] generated mutants by replacing nouns, adjective words and numbers with their synonyms and further construct the word-mutant pair. For comparison, we implemented these works to generate mutants either using their release source code [35] or by carefully following the explanations in their paper [32, 77]. We adjusted the parameters for these works following the original strategies published in their papers based on our dataset. TransRepair [77] used 0.9 as the minimum cosine similarity of word embeddings to generate word pairs. In our experiment, we lowered the threshold to 0.8 in order to generate sufficient number of pairs. For SemMT, since it is not originally designed for mutant generation, we adapted similar mutant generation processes in the baselines by replacing nouns, numbers and relational adverbs with their synonyms. We also manually validate the generated mutants to preserve the semantics after synonym substitution. The manual checking process was under the guidance of a linguist to reduce the threat to the reliability of experimental results that may be introduce. and removed semantically-changed mutants by manual check. For each seed, SemMT generated up to two mutants.

Note that since SemMT adopts the RTT paradigm, the reported issues may be caused by either the forward and backward trips. Therefore, we labeled both translation trips, and for fairness, the comparison only focused on the correctness of the forward translation while removing those whose backward translations are incorrect.

Evaluation metrics. To evaluate the effectiveness, we adopted accuracy, precision, recall and F1-Score (abbrev. F-Score). Given the number of true positives (TPs, a TP refers to a mistranslated sentence that is reported to be a

¹⁰Since the accuracy on the KB13 dataset is lower than that on the NL-RX-Synth dataset, which may affect the comparison with the effectiveness between two datasets, two authors manually checked and refined the resulting regexes until convergence for better comparison.

mistranslation), false positives (FPs, a FP refers to a correctly translated sentence that is reported to be a mistranslation), true negatives (TNs, a TN refers to a correctly translated sentence that is not reported to be a mistranslation) and false negatives (FNs, a FN refers to a mistranslated sentence that is not reported to be a mistranslation), the metrics are defined as follow:

- **Accuracy:** the proportion of correctly reported (whether the translation is correct or not) sentences.

$$\text{Accuracy} = \frac{TP + TN}{TP + TN + FP + FN} \quad (6)$$

- **Precision:** the proportion of real mistranslations over the reported mistranslations.

$$\text{Precision} = \frac{TP}{TP + FP} \quad (7)$$

- **Recall:** the ratio of reported mistranslations over all the real mistranslations.

$$\text{Recall} = \frac{TP}{TP + FN} \quad (8)$$

- **F-Score:** twice of the multiplication of precision and recall divided by the sum of them.

$$F - \text{Score} = 2 * \frac{\text{Precision} * \text{Recall}}{\text{Precision} + \text{Recall}} \quad (9)$$

4.2 RQ1: Effectiveness of SemMT

To evaluate the effectiveness of our SemMT, we randomly sampled 500 sentences from the NL-RX-Synth dataset, applied the round-trip translation and collected the translation results.¹¹ We then transformed both the original sentences and the round-trip translation results into regular expressions by the trained transformation model, which is described earlier in the experiment setup. The three SemMT similarities (SemMT-R, SemMT-D, SemMT-H) were then calculated based on regular expressions. Other metrics were calculated on the source and target sentences. Worth to mention that in order to evaluate all four evaluation metrics, we labeled all 500 translations following the previously mentioned labeling process.

Table 2. **Effectiveness of Different Similarity Metrics For Bug Detection.** Each entry reports the average/highest score among threshold values ranging from 0 to 1 at step 0.01.

	Accuracy	Precision	Recall	F-Score
LEVEN	0.52 / 0.56	0.53 / 0.75	0.42 / 1.00	0.40 / 0.70
DEP	0.47 / 0.55	0.41 / 0.54	0.34 / 1.00	0.31 / 0.70
SBERT	0.47 / 0.56	0.34 / 0.55	0.22 / 1.00	0.23 / 0.70
BLEU	0.48 / 0.53	0.47 / 0.72	0.40 / 1.00	0.37 / 0.69
SemMT-R	0.54 / 0.60	0.67 / 1.00	0.42 / 1.00	0.41 / 0.70
SemMT-D	0.56 / 0.59	0.56 / 0.59	0.71 / 1.00	0.63 / 0.69
SemMT-H	0.55 / 0.58	0.61 / 1.00	0.57 / 1.00	0.52 / 0.70

¹¹The translation results were collected on July 27, 2020 on Google translator.

833 Table 2 shows the comparison on the average and highest accuracy, recall, precision and F-Score of different similarity
 834 metrics against thresholds (from 0.1 to 1.0 with step 0.01). Generally, the three similarity metrics in SemMT perform
 835 better than the other similarity metrics regarding all four measurements. Moreover, SemMT-D achieves the highest
 836 average recall (0.71) and F-Score (0.63), while the highest value of other similarity metrics (i.e., LEVEN, DEP, SBERT,
 837 BLEU) are 29% and 23% lower. Particularly, SemMT-D performs the best among our three similarities and baseline
 838 similarities with respect to most of the evaluation metrics.
 839

840 Since the effectiveness of these metrics are affected by customized threshold, we also illustrated how the performance
 841 of these evaluation metrics vary against different thresholds. Specifically, we normalized all similarity values, and set
 842 the threshold from 0.0 to 1.0, with step 0.01. In Fig 5, we presented the trends on accuracy, precision, recall and F-Score.
 843 Overall, all the three of our similarity metrics (drawn in red) outperform the others in terms of accuracy, precision, recall
 844 and F-Score for most of the threshold values. Apart from our three similarities, LEVEN outperforms other similarities at
 845 most times. Although SBERT measures semantic similarity, its performance is not as good as expected.
 846

847
 848 **Summary of Findings Related to RQ1:** The three similarity metrics used in SemMT are effective for mistranslation
 849 detection. They outperform the other metrics against almost all threshold values. Specifically, in terms of
 850 precision, recall and F-Score, our metrics achieve an increase of 13%, 30% and 23% compared with the highest
 851 value achieved by other metrics.
 852

853
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 857 **4.3 RQ2: Comparison with Existing Works**
 858
 859 In this section, we compared SemMT with SIT [35], TransRepair [77] and PatInv [32] on mutant generation and the
 860 effectiveness of bug detection in terms of accuracy, precision, recall and F-Score under the En-Zh language setting on
 861 the Google translator. We randomly selected 200 sentences from the dataset and used them as seeds.
 862

863 We first generated and filtered mutants in the way as described in the original paper of baselines [32, 35, 77]. The
 864 numbers of generated mutants are listed in Table 3. These mutants are generated using their own generation approaches,
 865 and after filtering, there are 223 to 452 mutants left for each work. Note that the number of filtered mutants does not
 866 necessarily indicate a better capability on bug detection, it is depended on different strategy of mutant generation, the
 867 effectiveness is continued to be evaluated. Specifically, we evaluated the effectiveness of each method in terms of the
 868 four evaluation metrics. The results are presented in Table 3. The threshold that achieves the optimum performance
 869 with respect to F-Score is chosen for each work, as listed in Table 3. Note that the threshold of SIT is the distance
 870 between the dependency parse trees, while PatInv is not tuned by the threshold. We choose two most well-performed
 871 (with the highest F-Score at 0.82 and 0.82 with thresholds 0.963 and 0.906, respectively) metric values out of the four in
 872 TransRepair, which is Levenshtein- (denoted as “ED” in [77]) and BLEU-based method, written as TransRepair(L) and
 873 TransRepair(B). For TransRepair(L) and (B), a buggy translation issue is reported when the metric value is smaller than
 874 or equal to the selected thresholds. For SIT, a buggy translation issue will be reported when the metric value is larger
 875 than or equal to the selected threshold.
 876

877 According to Table 3, SemMT achieves the highest accuracy and F-Score compared with existing works with similar
 878 number of issues detected. In particular, the highest accuracy achieved by SemMT (74.1% by SemMT-H) is 34.2% higher
 879 than the highest accuracy achieved by TransRepair(B) (55.2%), and the highest F-Score (56.3%) achieved by SemMT
 880 (SemMT-R) is 15.4% larger than the highest value achieved by SIT (48.8%). In addition, although SIT achieves the best
 881

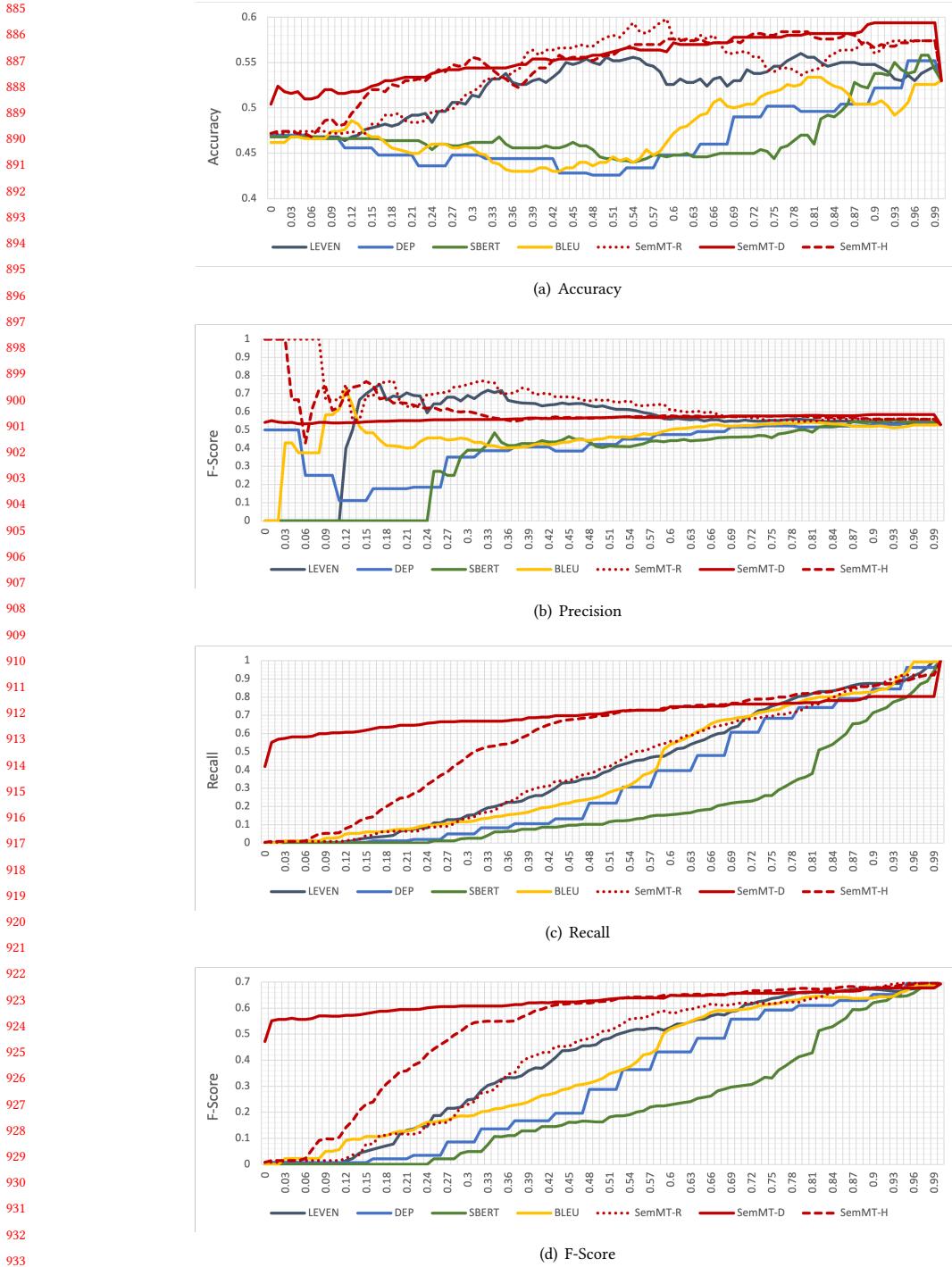


Fig. 5. Effectiveness of Mistranslation Detection Over Different Similarity Thresholds

937 Table 3. **Precision and the Number of Mistranslation Using Different Threshold Values.** The symbol ‘-’ denotes the values
 938 are inapplicable.

	# Mutants Filter (Gen)	Thrsh	# Issues	Acc	Recall	Prec	F-Score
SIT	452 (635)	5	124	0.497	0.932	0.331	0.488
TransRepair(L)	223 (869)	0.96	108	0.520	0.506	0.398	0.446
TransRepair(B)	223 (869)	0.88	93	0.552	0.459	0.419	0.438
PatInv	266 (358)	—	16	—	—	0.565	—
SemMT-R	328 (384)	0.62	105	0.730	0.710	0.466	0.563
SemMT-D	328 (384)	0.42	166	0.585	0.855	0.355	0.502
SemMT-H	328 (384)	0.32	86	0.741	0.594	0.476	0.529

955 recall (93.2%), its precision is relatively low (33.1%). The highest precision is achieved by PatInv (56.5%), yet the number
 956 of issues identified is small (16). In contrast, SemMT (SemMT-D) achieves a comparatively high recall (85.5%) with 150
 957 (166 - 16 = 150) more issues reported.

958 Moreover, we plotted the correlation between precision and the number of mistranslation detected in Fig 6 as threshold
 959 varies. A translation is regarded as a candidate mistranslation if its similarity is smaller than (for the thresholds of
 960 distance, it should be larger than) or equal to the given threshold. The X and Y axes represent the number of issues
 961 detected and precision, respectively. The threshold setup for each method is proceeded as follow: For SemMT and
 962 TransRepair, we normalized the similarity values to the range of [0,1], and set the threshold from 0.0 to 1.0, with a step
 963 of 0.1. The threshold of distance for SIT ranges from 1 to 17. No threshold is required for PatInv. Therefore, there are 11
 964 dots for SemMT-R, SemMT-D, SemMT-H, TransRepair(B) and TransRepair(L), 17 dots for SIT and 1 dot for PathInv. In
 965 the figure, we did not denote every threshold for each dots, while the threshold values can be implied - the closer the
 966 dot is to the y-axis, the larger the similarity threshold (the smaller the distance threshold).

967 According to Fig 6, we can see that there is a trade-off between the precision and the number of mistranslation issues
 968 reported, i.e., with the similarity threshold increases, the more translations are regarded as mistranslation (i.e., the
 969 larger the number of issues reported), while the more false positives may be involved, resulting in a lower precision.
 970 To better illustrate such balance, we set precision and the number of mistranslation reported as axes, and the more
 971 the dot is closed to the top-right corner, the more the result strikes the balance. Overall, three variants of SemMT (as
 972 shown in red) outperform other baseline works for most of the cases with the change of thresholds, followed by SIT
 973 and TransRepair(B). On the contrary, PathInv and TransRepair(B) perform less satisfying, with less number of reported
 974 mistranslations and lower accuracy.

975 **Summary of Findings Related to RQ2:** SemMT outperforms the state-of-the-art approaches. In particular,
 976 compared with the optimum performance achieved by other works, SemMT achieves an improvement of 34.2%
 977 and 15.4% on accuracy and F-Score with similar number of issues detected. Further experiment shows that in
 978 general, SemMT can report more mistranslations with higher precisions over the change of thresholds.

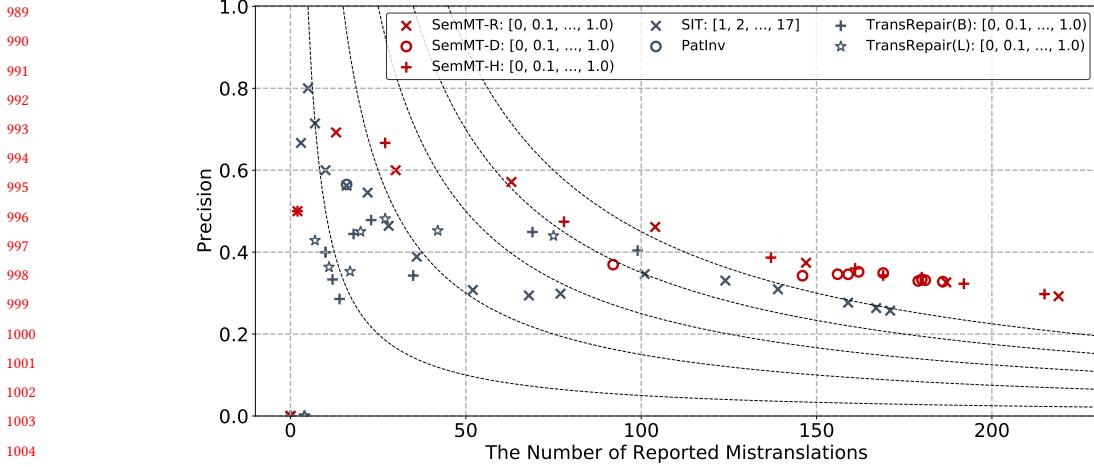


Fig. 6. Precision and the Number of Mistranslation Reported by SemMT Compared With Other Works.

4.4 RQ3: Can Metrics of SemMT Find Mistranslations That Cannot Be Detected By Other Metrics?

To answer RQ3, we analyzed whether the mistranslations reported by different metrics overlap. We also explored whether the combination of metrics can improve the performance with respect to accuracy, F-Score and the number of issues detected. As previously mentioned, a metric's performance varies with threshold values. Therefore, we chose the threshold value that maximizes its performance based on the largest product of true positives and false negatives for each metric in order for fair comparison.

Fig. 7 compares the number of bugs uniquely and commonly detected by three semantic-based metrics (i.e., REG, DFA, HYB) with other metrics. As shown in the figure, DFA detects the most mistranslations (213) compared with other metrics, with 40 (i.e., 213 - 173) more than the second most. Besides, though the total number of mistranslations reported by REG and HYB are not the most, the number of unique mistranslations (i.e., mistranslations that can only be detected by one metric) are high, with 19 and 18, respectively. Such results also reveal that existing metrics mildly complement each other since they can detect different mistranslations, indicating that the combination of them may lead to improvement. Motivated by this, we studied if the performance of SemMT can be boosted by combining it with other existing techniques. Specifically, we adopted a simple strategy which assumes a translation as buggy if either of the two combined metrics report it as a mistranslation.

The experiment result is illustrated in Fig. 8. The heatmaps show the increase of the number of issues, ratio of accuracy and F-Score according achieved by different combinations. The value in each grid (e.g., $v[i][j]$) in i-th row, j-th column) represents the improvement of the i-th similarity metric combining with the j-th metric. The greatest improvement is achieved when LEVEN is combined with DFA, detecting 102 more issues and achieving 16% improvement in F-Score. In particular, a combined use of any of our three metrics with an existing one can detect 38 to 102 more issues. Our metrics can also detect 9 to 79 more mistranslations when combined with another existing metric. Even for DFA which has already reported 213 issues, a combination with SBERT can help to detect 24 more bugs. The F-Score of all similarity metrics are mostly improved when a metric is combined with another one. Finally, we combined all 7 metrics and found that 246 bugs can be found, with 1% increase in F-score.

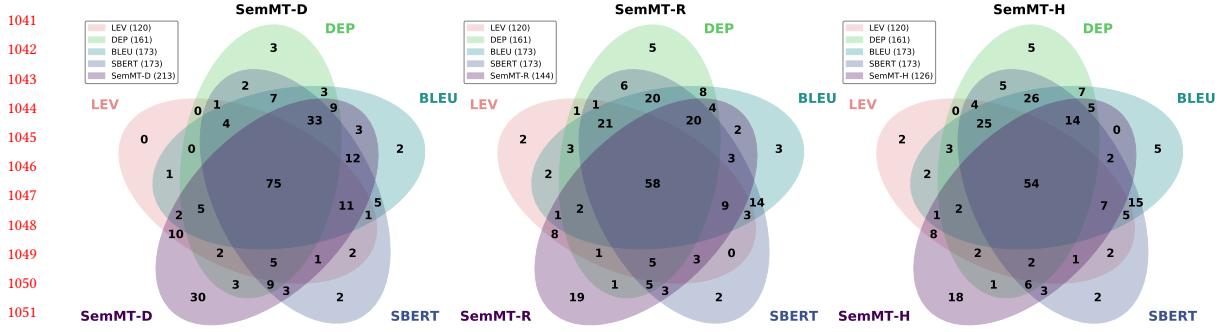


Fig. 7. Mistranslations Reported By Different Similarity Metrics.

	LEV	DEP	BLEU	SBERT	S-D	S-R	S-H	LEV	DEP	BLEU	SBERT	S-D	S-R	S-H	LEV	DEP	BLEU	SBERT	S-D	S-R	S-H	
LEV -	0	69	67	73	102	57	49	0.00	-0.03	-0.02	0.00	0.03	0.03	0.01	0.00	0.10	0.10	0.12	0.16	0.11	0.09	
DEP -	28	0	32	37	72	48	40	0.04	0.00	0.03	0.02	0.08	0.06	0.05	0.06	0.00	0.06	0.06	0.12	0.09	0.08	
BLEU -	25	31	0	34	70	48	43	0.04	0.02	0.00	0.04	0.07	0.07	0.06	0.05	0.05	0.05	0.00	0.06	0.12	0.09	0.09
SBERT -	20	25	23	0	64	38	37	0.03	-0.01	0.00	0.00	0.04	0.04	0.04	0.04	0.03	0.03	0.03	0.00	0.09	0.07	0.07
S-D -	9	20	19	24	0	10	0	-0.01	-0.03	-0.04	-0.02	0.00	0.00	0.00	0.00	0.01	0.00	0.01	0.00	0.01	0.00	0.00
S-R -	33	65	66	67	79	0	10	-0.01	-0.05	-0.04	-0.03	-0.00	0.00	-0.02	0.04	0.06	0.07	0.08	0.10	0.00	0.01	0.00
S-H -	43	75	79	84	87	28	0	-0.01	-0.01	0.00	0.02	0.04	0.03	0.00	0.08	0.11	0.12	0.13	0.15	0.06	0.00	0.00

Fig. 8. Improvement on Number/Accuracy/F-Score of Mistranslations Detection Reported By Different Similarity Metrics. (S-D, S-R and S-H are abbreviations for SemMT-D, SemMT-R and SemMT-H, respectively.)

Summary of Findings Related to RQ3: The combination of different metrics can improve the effectiveness mutually to a large degree in terms of number of mistranlations reported, accuracy and F-Score. In particular, DFA boosts the performance of other similarity metrics the most, with 102 more mistranlations detected and 16% higher F-Scores achieved. Besides, DFA can be in turn boosted by SBERT with 24 more mistranlations.

4.5 RQ4: Applicability of SemMT

In the above RQs, we evaluate the effectiveness of SemMT by testing Google translator under the EN-ZH (i.e., English-Chinese) language setting. In the following, we present three experiments to further investigate whether similar effectiveness can be observed on other popular translators, language pairs and test sets, respectively.

4.5.1 Impact of Translator Under Test. Our first experiment is to repeat the experiment in RQ1 by replacing the Google translator with Microsoft Bing Translator. Specifically, we randomly selected 100 sentences as test inputs from the NL-RX-Synth dataset, applied the round-trip translation on the Bing translator and collected the translation

1093 results.¹² We repeated the labeling procedure as described in § 4.1 and calculated similarities across thresholds 0.0 to
 1094 1.0 at the step of 0.01, making sure the experiment setup is the same as that of RQ1 apart from the translator under test.
 1095

1096 The experiment result is shown in Fig. 9. We can see that SemMT-D and SemMT-H outperform other metrics on both
 1097 accuracy and F-Score for most threshold values. Specifically, SemMT-R achieves the highest accuracy (76%) and F-Score
 1098 (84%) when the threshold is above 0.9. Among the existing metrics, LEVEN and DEP achieve the highest accuracy and
 1099 F-Score than others in general, while SBERT is the least effective one for most threshold values. The result also shows
 1100 that changing the translator under test cast little impact on the effectiveness of the three SemMT metrics. Specifically,
 1101 different variants of SemMT still outperform existing baselines significantly while SemMT-D performs the best over
 1102 most threshold values.
 1103

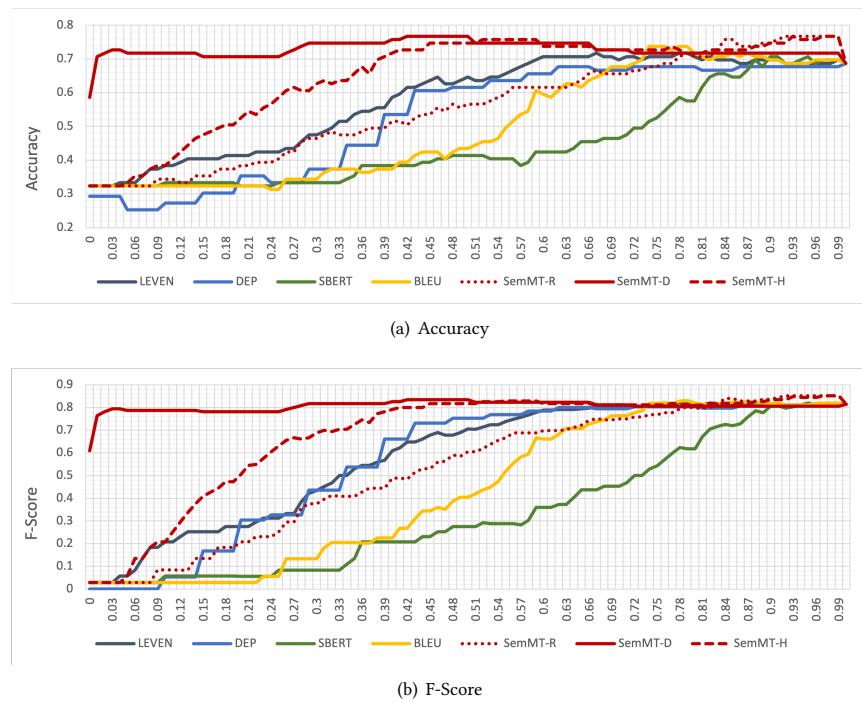


Fig. 9. Effectiveness of Mistranslation Detection On the Microsoft Bing Translator.

1133 **4.5.2 Impact of Language Pair.** We also examined whether the use of language pair would affect the effectiveness of
 1134 SemMT. Specifically, besides the translation between English and Chinese, we conducted another round-trip translation
 1135 between English and Japanese using the Google translator on 100 randomly selected sentences from the NL-RX-Synth
 1136 dataset.¹³ The experiment results are illustrated in Fig. 10. The result shows that our three metrics outperform others
 1137 for most of the thresholds. And among our three similarity metrics, SemMT-D outperforms SemMT-R and SemMT-H
 1138 at most times. Among other existing metrics, LEVEN outperforms other existing metrics in terms of accuracy and
 1139 F-Score, while DEP and SBERT reach the lowest accuracy and F-Score, respectively. The result also echos that in Fig. 5,
 1140 F-Score, while DEP and SBERT reach the lowest accuracy and F-Score, respectively. The result also echos that in Fig. 5,
 1141

1142 ¹²The translation results were collected on March 11, 2021 on Microsoft Bing translator.

1143 ¹³The translation results were collected on March 11, 2021 on Google translator.

indicating that the change of language pair has little impact on the effectiveness of our three SemMT metrics, i.e., they outperform existing baselines significantly while SemMT-D achieves the best overall effectiveness. Furthermore, as compared with the result of RQ1 (as shown in Fig. 5), SemMT shows similar effectiveness over the thresholds when changing the language pair, which indicates the effectiveness of SemMT may hold under the change of language pairs.

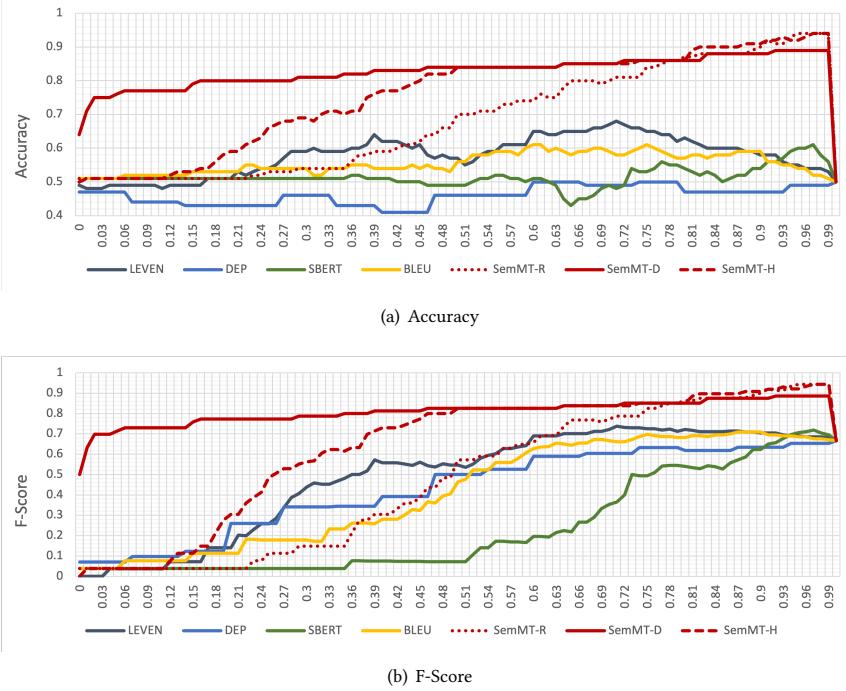


Fig. 10. Effectiveness of Mistranslation Detection on the English-Japanese Language Pair.

4.5.3 Impact of Test Dataset. Finally, we explored whether similar effectiveness can be achieved given test inputs extracted from other datasets. We thus randomly selected 100 sentences from the KB13 dataset to proceed the evaluation. The round-trip translation was conducted on the Google translator between English and Chinese.¹⁴ The result is illustrated in Fig. 11. As shown in the red lines, we can see that three metrics of SemMT outperform other metrics as the threshold changes. Specifically, SemMT-D achieves the highest effectiveness on average, while SBERT and BLEU are less effective among these similarity metrics. In addition, compared with the results displayed in Fig. 5, our three metrics follow similar trends on both datasets, indicating the potential applicability of applying SemMT on various datasets. While other existing metrics (such as LEVEN, SBERT and BLEU) show apparently less effectiveness in terms of F-Score than that on NL-RX-Synth dataset.

¹⁴The translation results were collected on March 11, 2021 on Google translator.

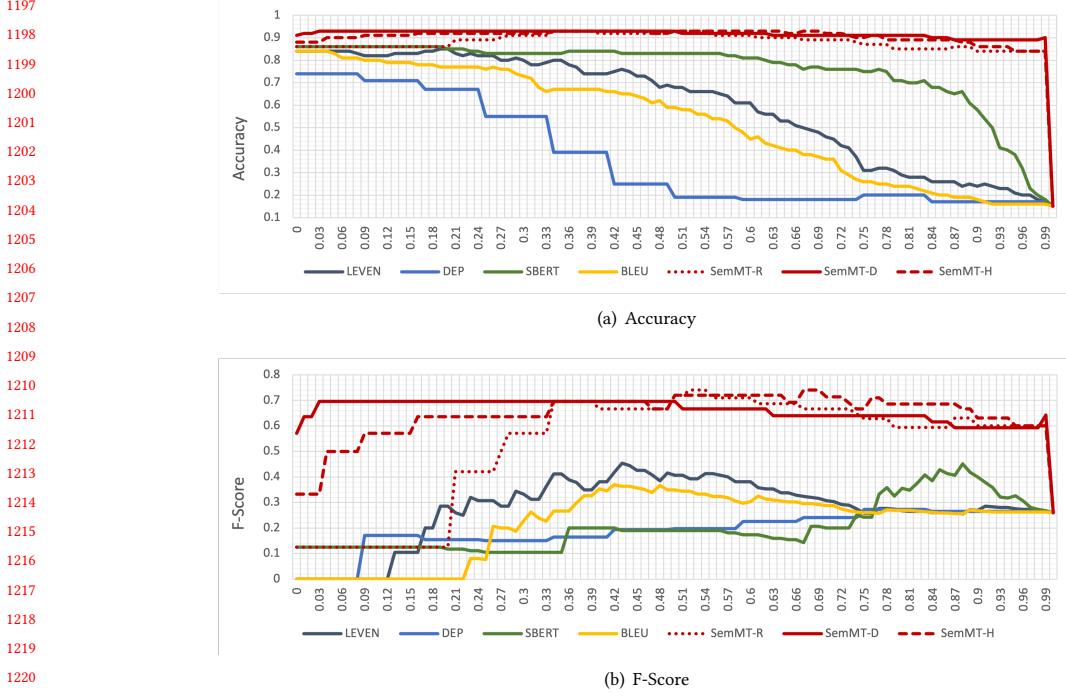


Fig. 11. Effectiveness of Mistranslation Detection Over KB13 Dataset.

Summary of Findings Related to RQ4: The applicability of SemMT has been evaluated by changing the translator (i.e., Bing translator), language pair (English-Japanese) and test set (KB13 [47, 58]). The results obtained are similar with those in RQ1, which indicate that SemMT is applicable to performing testing under different settings, and three similarity metrics of SemMT outperform the existing ones for most thresholds.

4.6 Summary and Recommendation

We made three observations on SemMT's performance from our experiments. First, the experiment results reveal that our proposed similarity metrics (i.e., SemMT-R, SemMT-D and SemMT-H) outperform the baselines on a wide range of threshold values in terms of accuracy and F-Score, etc. Second, compared with other state-of-the-art works, SemMT offers a better balance on precision and number of mistranslation detected, and achieves an improvement of 34.2% and 15.4% on accuracy and F-Score, respectively, with a similar number of issues detected. Third, we investigate the potential improvement that can be achieved by combining different metrics, and find that SemMT-D can boost the performance of other similarity metrics with 102 more issues detected and 16% higher F-Scores achieved.

The three SemMT similarities have their own merits. For best precision (> 0.8), SemMT-R with small threshold (< 0.1) is a good choice. For best recall (> 0.8), SemMT-H with high threshold (> 0.8) and a K value of 0.5 is recommended. For best F-Score, SemMT-D outperforms the other two metrics in a wide threshold range. One may switch between the three similarity metrics and adjust the threshold value according to the need of an application.

1249 **5 DISCUSSION**

1250 **5.1 Characteristics of Mistranslations Detected by SemMT**

1252 To follow up on RQ3, since each similarity metric tends to capture certain aspect of mistranslations, we then analyzed
 1253 the types of mistranslations detected by each metric for further investigation. We manually labeled the type of
 1254 mistranslations according to the existing work [35], which has concluded five types of mistranslations (i.e., Under-
 1255 Translation, Over-Translation, Word/phrase Mistranslation, Incorrect Modification and Unclear Logic).

1256 The statistics are listed in Table 4. We can see that for the first three types, the number of mistranslations detected
 1257 by each metric type are relatively similar, while for “Unclear logic” and “Modification”, the number varies a lot. As such,
 1258 we further subdivided these two mistranslation types to better characterize these two categories of mistranslations
 1259 detected by SemMT. These new subcategories are motivated by the mistranslations detected in sentences that are
 1260 mainly logic- or quantifier-related.

1261 We subdivide “Unclear Logic” (i.e., all the tokens/phrases are correctly translated but the sentence logic is incor-
 1262 rect [35]) into three subcategories.

1263 **1 Incorrect Order.** If all tokens and phrases are translated correctly, yet the order of tokens/phrases are organized
 1264 in different orders after translation, it is an incorrect order mistranslation. For example, as shown in Table 5
 1265 (Example 1), in the original sentence, the string “dog” is arranged before the string “truck” or a *letter*, while after
 1266 translation, the *letter* may be arranged before string “truck”.

1267 **2 Incorrect Affiliation.** If all tokens and phrases are translated correctly, yet the affiliation relation is incorrect,
 1268 it is an incorrect affiliation mistranslation. As shown in Table 5 (Example 2), the string contains letters and
 1269 lowercase letters in the original sentence, while after translation, the affiliation is missed.

1270 **3 Incorrect Semantics.** If tokens/phrases are correctly translated, yet the semantic logic of the original sentence
 1271 is not preserved after translation, it is an incorrect semantics mistranslation. An example is presented in Table 5
 1272 (Example 3), the original semantic logic describes that the lines contains 5 or more numeric characters, while
 1273 after translation, the semantic meaning is changed, describing the number of lines instead of the lines themselves.

1274 For “Incorrect Modification” (i.e., if the modifier modify the wrong element), we subdivide it into two subcategories
 1275 according to the type of modifiers.

1276 **1 Incorrect Qualitative Modification.** If a qualitative modifier modifies the wrong element in a sentence, it is an
 1277 incorrect qualitative modification mistranslation. An example is illustrated in Table 5 (Example 4). The attribute
 1278 “string” modifies “dog” in the original sentence, while after translation, the modifier of “dog” becomes “numeric
 1279 character”.

1280 **2 Incorrect Quantitative Modification.** Similarly, if a qualitative modifier modifies the wrong elements , it is
 1281 an incorrect quantitative modification mistranslation. An example is illustrated in Table 5 (Example 5). The
 1282 quantitative modifier “at least once” modifies different elements after translation.

1283 **5.2 False Positives of SemMT**

1284 **False Positives Caused by Singularity and Plurality.** When we analyzed the mistranslations reported by SemMT
 1285 in dataset used by RQ4.2, there are 148 mistranslations among 500 are plural-related, i.e., nouns are the same in both
 1286 singular and plural forms in Chinese, while they are in different forms in English. As a result, the singularity/plurality
 1287 is mistakenly missed or imposed during translation. Such plural-related mistranslations are commonly-seen across

Table 4. Number of Quantifier- and Logic-Related Mistranslations

	LEVEN	DEP	BLEU	SBERT	SemMT-D	SemMT-R	SemMT-H
Under-Translation (35)	27	30	32	28	28	24	20
Over-Translation (6)	5	5	5	1	5	4	4
Mistranslation (1)	1	1	1	1	1	1	1
Unclear logic (60)	36	34	37	30	59	47	43
Affiliation (32)	13	13	13	10	31	21	21
Order (19)	14	12	15	11	19	17	13
Semantic (9)	9	9	9	9	9	9	9
Modification (183)	65	107	114	121	136	79	65
Quality (8)	4	6	6	7	7	6	5
Quantity (175)	61	101	108	114	129	73	60

Table 5. Examples of Five Subcategories of Mistranslations Reported by SemMT.

Example 1 (Incorrect Order)	lines with the string “dog” before the string “truck” or a letter . 在字符串“truck” <u>之前</u> 的字符串“dog”或 <u>字母</u> the string “dog” or letter before the string “truck”.
Example 2 (Incorrect Affiliation)	strings with a letter followed by a lower-case letter, zero or more times. 字符串，其 <u>后跟</u> 一个小写字母的 <u>字母</u> ，零次或多次 string , followed by a letter with a lowercase letter, zero or more times.
Example 3 (Incorrect Semantic)	lines with a number , 5 or more times. 行数 <u>大于等于</u> 5次 the number of rows is greater than or equal to 5.
Example 4 (Qualitative Modification)	lines with the string “dog” before a vowel or a numeric character. 在元音或数字字符之前的 <u>字符串“dog”</u> string before vowel or numeric character “dog” .
Example 5 (Quantitative Modification)	lines starting with a lower case letter at least once or a capital letter . 以 <u>小写字母</u> 开头的行或 <u>至少一个大写字母</u> 的行 lines beginning with lowercase letters or lines with at least one capital letter

languages. For example, languages such as Slovenian, Russian, and Welsh have several plural forms, while languages such as Chinese and Japanese do not have counterparts to the forms of singular and plural in languages like English. Though minor and easy-to-neglect, in aid of regex and DFA, our SemMT is able to capture such subtle differences to some degree, nevertheless false positives may be caused due to this reason.

False Positives Caused by Inaccurate Transformation From Natural Language to Regular Expression. The inaccurate transformation from natural language sentences to the corresponding regexes may also lead to false positives of SemMT. The main reason is caused by the existence of out-of-vocabulary words in the round-trip translated sentences, leading to the inaccurate transformation from natural language to regex. To alleviate such concerns, we enlarged

1353 the vocabulary of the training dataset in order to achieve accuracy as high as we can. Specifically, we collected the
 1354 translation results from the Google translator, and obtained a list of parsed tokens. Then we augmented the training
 1355 data by synonym substitution (i.e., replacing the tokens in the original training dataset by the tokens that are not in the
 1356 original dataset but are derived in the returned dataset.).
 1357

1358
 1359 **5.3 Influence of Approximated Semantics Measurement on Mistranslation Detection**
 1360 In §3.3.5, we explained how can the semantics be captured over- or under-approximately. However, if we apply the
 1361 approximated semantics to detect mistranslations over source and translated sentences, the derived results are likely to
 1362 be unreliable due to the approximated semantics. Take the example (i.e., S5) and its back-translated sentence (i.e., T5) in
 1363 §3.3.5 as examples ¹⁵:
 1364

- 1366 • S5: The U.S. contains **a few** states which choose to have the judges on the state's courts serve for life terms.
- 1367 • T5: The United States contains **several** states, which choose to let judges in state courts serve for life.

1368 After sentence abstraction, we will obtain the following abstracted sentences:
 1369

- 1370 • S5': [X] contains **a few** [Y].
- 1371 • T5': [X] contains **several** [Y].

1372 Since “a few” and “several” are vague quantifiers for which the semantics are hard to be precisely quantified, we
 1373 approximate their semantics using regexes as follows:
 1374

- 1375 • R_S5' O : [Y]{3,}
- 1376 • R_T5' O : [Y]{5,}

1377 After calculation, the SemMT-D semantic similarity is up to 0.957, meaning that there is little difference after
 1378 translation. However, people tend to believe “a few” is less than “several” [36], while such semantic difference between
 1379 these two vague quantifiers is hard to be captured after approximation. As a result, it may be harder to capture semantic
 1380 difference due to the wider range of quantification.
 1381

1382 Therefore, we discuss one possible solution, i.e., to quantify vague quantifiers more precisely by considering the
 1383 common practice and the context of the sentence. Plenty of studies have been performed on quantifying vague
 1384 quantifiers [10, 38, 43, 73, 85] from logical, linguistic and psychological aspects. For example, “a few” and “several” are
 1385 believed to be less than the quantifier “a half”. And being aware of the fact that the number of states in the U.S. is at
 1386 most 50, then the quantification-related semantics of the above sentences (S5' and T5') can be more precisely quantified
 1387 by the following regexes:
 1388

- 1389 • RS5' P : [Y]{3,25}
- 1390 • RT5' P : [Y]{5,25}

1391 where the quantifiers “a few” and “several” are quantified to be {3,25} and {5,25}, respectively. By doing so, the
 1392 SemMT-D similarity between them is 0.84, where the subtle semantic difference can be detected.
 1393

1394 **5.4 Buggy Trip Localization**

1395 Despite the advantage of round-trip translation, it has been criticized for not testing one translation system but
 1396 two [54, 74]. Hence, in this section, we discuss a potential solution to localize buggy trip (the trip that produces wrong
 1397 translation) using the idea of cross reference. The intuition is as follows: if the translation returned by one translator is
 1398

1403 ¹⁵The translation results were collected on December 24, 2020 on Google Translator, using Chinese as the intermediate language.

1405 **Table 6. Statistics of Buggy Trip Localization.** The first three major columns denote the average similarity scores or distances over
 1406 correctly/mistranslated sentences across different translators. The last major column shows the number and accuracy of correctly
 1407 identified buggy trip using different similarity metrics. The values in bold represent the maximum number of correctly located buggy
 1408 trip.

	AveSim_Correct		AveSim_BuggyFW		AveSim_BuggyBW		# FW	# BW	Accuracy
	Sim_FW	Sim_BW	Sim_FW	Sim_BW	Sim_FW	Sim_BW			
LEVEN	0.50	0.64	0.39	0.66	0.42	0.54	57	19	0.65
DEP	3.15	6.34	3.07	5.70	3.28	8.87	36	8	0.38
BLEU	0.42	0.67	0.36	0.74	0.44	0.62	55	23	0.67
SBERT	0.94	0.90	0.91	0.92	0.95	0.89	74	15	0.76

1419
 1420 different from the results of other translators, it is likely to be incorrect. The less similar with other translation results,
 1421 the more likely the original sentence is error-prone.

1422 For better understanding, we analyzed 500 pairs of round-trip translations used in §4.2 and manually identified the
 1423 buggy trip for all the 265 mistranslations. If both trips are mistranslated, we regarded it as a forward mistranslation
 1424 because it is where the mistranslation was first introduced. Apart from the 148 plural-related mistranslations, there are
 1425 87 forward mistranslations and 30 backward mistranslations in total. For cross reference, we used the Microsoft Bing
 1426 and Youdao translator. Then we conducted preliminary statistics, calculating the average similarity scores using four
 1427 similarity metrics (i.e., LEVEN, DEP, BLEU and SBERT) across two translation trips (i.e., forward trip translating from
 1428 English to Chinese, and backward trip from Chinese to English) for correctly and incorrectly translated sentences.
 1429

1430 The result is shown in Table 6. The values in the first three major columns (i.e., AveSim_Correct, AveSim_BuggyFW)
 1431 and AveSim_BuggyBW denote the average similarity scores of the forward translations (columns Sim_FW) in Chinese
 1432 and the backward sentences (columns Sim_BW) except for DEP which calculates the distance. The higher the similarity
 1433 scores, the more similar the sentences that are translated by different translators. We can see that similarity scores
 1434 are not identical in different translation trips, and on average, the similarity scores of the correct translated sentences
 1435 (column AveSim_Correct) are higher than that of mistranslated ones (column AveSim_BuggyFW and AveSim_BuggyBW).
 1436 For example, for LEVEN, the average similarity scores in the forward and backward trips are 0.50 and 0.64, respectively.
 1437 And the average similarity on correctly translated sentences is 0.50, while if the forward translation on Google is
 1438 mistranslated, the similarity between sentences translated by Google was lower (with 0.39) than 0.50. Similar patterns
 1439 can be observed for other similarity metrics. To sum up, we made three observations: (1) The similarity score for
 1440 correct or incorrect translations vary from languages. (2) Within the same language, the similarity scores also vary
 1441 from different similarity metrics. (3) The similarity scores of the correct translated sentences are higher than that of
 1442 mistranslated ones on average.

1443 On top of these observations, we tried the following strategy: given an original sentence which has been mistranslated
 1444 in either trip, if the difference between the average similarity scores (i.e., as shown in Table 6) for the correct translations
 1445 and the forward similarity scores is larger than or equal to backward trip, then this mistranslation is considered as a
 1446 forward-trip mistranslation, otherwise a backward-trip mistranslation. The result is listed in the last three columns
 1447 in Table 6. We can see that the capability of differentiating mistranslation trip differs for different similarity metrics.
 1448 Specifically, SBERT achieved the highest accuracy (76%) by correctly identifying 74 forward mistranslations over 87
 1449 and 15 backward mistranslations over 30, while the syntactic-based DEP has the lowest accuracy (38%). In addition, the
 1450

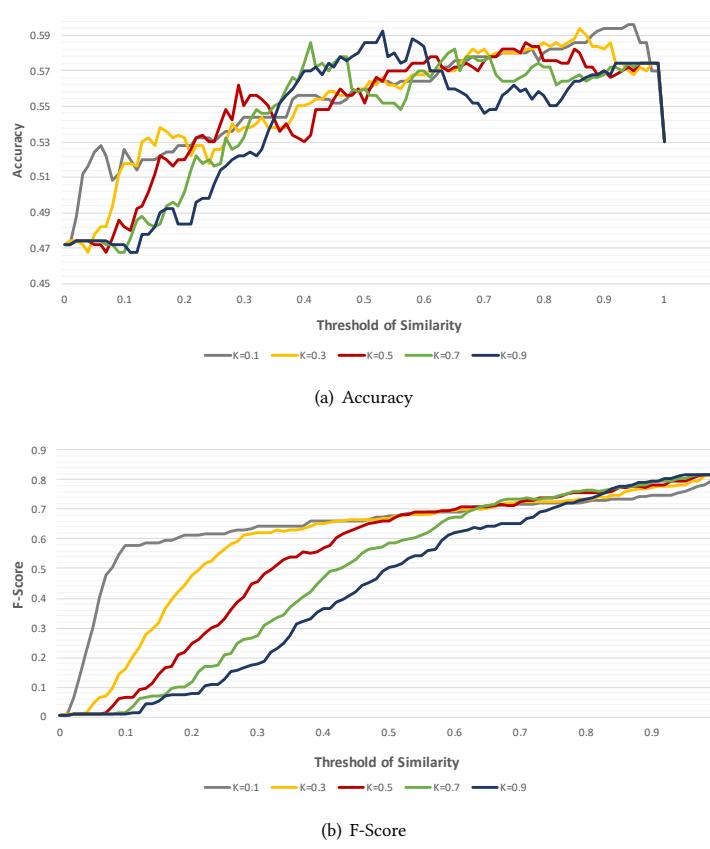


Fig. 12. Effectiveness of Mistranslation Detection Over Different Parameter K on Hybrid-based Similarity.

result indicates different similarity metrics tend to have diverse capabilities in identifying different translation trips. For example, SBERT finds the most forward buggy trip (74) while BLEU performs better in identifying the buggy backward trip.

5.5 Effect of the Parameter K on SemMT-H Similarity

On top of the remarkable results achieved by SemMT, we explore a further question: *whether the setting of K will affect the effectiveness of SemMT-H?* To answer this question, we set K from 0.1 to 0.9 with a step of 0.2 (i.e., K is set to be 0.1, 0.3, 0.5, 0.7 and 0.9), and examined the accuracy and F-Score on SemMT-H with different values of K against all the threshold values. We can see from Fig. 12 that the general trends of accuracy are similar under various fluctuations, while the trends of F-Score vary largely. Specifically, for accuracy, choosing a smaller K value in the hybrid metric would achieve better performance when the similarity threshold is less than 0.4 or larger than 0.8. For F-Score, a small K value in the hybrid metric outperforms that using a large K value when threshold is less than 0.8, and the reverse situation is observed when using a threshold beyond (0.8 to 1.0). Furthermore, considering accuracy and F-Score together, SemMT-H similarity offers a better performance over a wide threshold range when K assumes a value between 0.3 and 0.5. And if

1509 SemMT-H similarity is to be used under a small threshold value where precision takes priority, a small K such as 0.1 is
 1510 preferable.
 1511

1512 6 RELATED WORK

1513 6.1 Machine Translation Testing

1516 Machine translation testing aims at finding sentences that trigger translation errors [32]. Pesu et al. [60] first applied
 1517 metamorphic testing on machine translation systems. They proposed a Monte Carlo method to avoid round-trip
 1518 translation by selecting eight target languages given the fixed source language, English. Under the factorial design and
 1519 analysis, they evaluated the performance of translation over different combination of the source and target languages.
 1520 After that, more metamorphic testings were developed. Sun et al. [92] designed straight-forward metamorphic relations
 1521 focusing on short sentences in *subject-verb-object* structure (e.g., “Mike loves to eat KFC” and “Mouse loves to eat
 1522 KFC”). They generated test inputs by replacing human names before “likes” or “hates”, and brands after them. Wang
 1523 et al. [84] detected under- and over-translation in the absence of reference translation. By checking the frequency of
 1524 occurrence and learning mappings between bilingual words and phrase, their work is able to detect these two kinds
 1525 of mistranslations efficiently and scalably. Later, He et al. [35] and Sun et al. [77] developed metamorphic testing
 1526 techniques for general translation errors based on the assumption that similar sentences should have similar translation
 1527 results. To be more specific, SIT [35] generated similar testing inputs by substituting one word in a given sentence, such
 1528 that the generated inputs are semantically-similar and syntactically equivalent as the given sentence. Then they further
 1529 reported the suspected issues if structures of translated sentences are different. Similarly, TransRepair [77] conducted
 1530 mutation testing via context-similar word replacement. The intuition is that the translations of both original sentence
 1531 and mutants should be consistent except for the changed token. While PatInv [32], on the other hand, considered
 1532 pathological invariance: sentences of with different meanings should not have the same translation. Following this
 1533 intuition, they generated syntactically similar but semantically different sentences by either replacing one word with a
 1534 non-synonymous word using masked language models or removing one word based on its constituency structure. They
 1535 further detected the potential mistranslations with closer textual similarity. We can see that the existing techniques
 1536 mainly focused on textual or syntactical level, while the preservation of semantics during translation has not gained
 1537 enough attention. Therefore, SemMT aims at filling this gap by evaluating whether the semantic meaning is preserved
 1538 during translation, complementary to the existing works.
 1539

1540 6.2 Robustness of Neural Machine Translator

1541 Evaluating on adversarial examples has become a standard procedure to measure the robustness of deep learning
 1542 models [30]. Adversarial examples are inputs designed to slightly manipulate the real-world examples such that a
 1543 well-trained machine learning model performs poorly against these adversarial examples [27]. In general, these works
 1544 mainly fall into two categories: black-box and white-box methodologies. In particular, for black-box adversarial samples
 1545 generation, they assume the implementation of translation system is agnostic. Belinkov et al. [7] showed that character-
 1546 level machine translation systems are overly sensitive to random character manipulations, such as keyboard typos.
 1547 They used black-box heuristics to generate character-level adversarial examples, without using the model parameters
 1548 or gradients to generate adversarial examples. Zhao et al. [88] searched for black-box adversarial examples in the space
 1549 of encoded sentences and generate adversarial examples by perturbing the latent representation until the model is
 1550 tricked. On the other hand, the white-box methodologies are model-aware. Ebrahimi et al. [27] investigated adversarial
 1551

examples of both untargeted and targeted attack for character-level neural machine translation in a white-box manner. They transferred this problem into an optimization problem, then generated the adversarial examples utilizing gradients of translation models to inflict more damaging manipulations for a larger decrease in the BLEU score or other target metrics.

6.3 Quality Estimation of Machine Translation

Unlike machine translation testing, quality estimation considers beyond correctness - it aims at deriving similar estimation results made by humans. Traditionally, it estimates the required amount of post-editing efforts for converting the given translation result to the reference translation [72]. In the recent decade, the trend is to find effective quality estimation metrics which can directly provide scores to the translation result without human-written reference [28]. To alleviate the manual effort in providing reference translation, round-trip translation (RTT) has been proposed [40, 71]. The general idea of RTT is to use the original sentence as reference, compared the translated sentence with it, and calculated estimation metrics such as BLEU score to show the correlation with human judgement. In early 2010s, Aiken et al. [2] manually reassessed the correlation on input sentences and translated outputs and reassessed this correlation in a RTT manner. The result implied that if a suitable semantic-level metric is provided, RTT-based method can be reliably used for machine translation evaluation. They also pointed out that RTT quality might reflect the general quality of machine translation system used over the length of a longer text or multiple language pairs. Afterwards, with the emergence of BERT [25] and SBERT [67], semantic similarity on both word- and sentence-level can be better captured [11]. Moon et al. [54] then revisited RTT for quality estimation and achieved the highest correlations with human judgments compared with the state-of-the-art works, indicating that RTT-based method can be used to evaluate machine translation systems when semantic similarity is considered. By observing the correlated results, they illustrated the robustness of the choice of backward translation system on RTT-based quality estimation. It motivates us to adopt RTT and develop three semantic similarity metrics.

7 THREATS TO VALIDITY

The semantic metrics used in SemMT rely on the transformation of regexes from natural language. The precision of regex synthesis may affect SemMT's performance. If the derived regexes are imprecise, our proposed semantic similarity metrics might not accurately measure the real semantic relationship between source and translated sentence. To alleviate the influence of imprecise regex transformation, we adopted the state-of-the-art model and trained the model on augmented dataset to minimize the inaccurate prediction caused by out-of-vocabulary problem.

Our analysis on false positives reported by SemMT shows that the performance of SemMT can also be influenced by invalid synthesized regexes such as unpaired or mis-paired brackets (e.g., `[a-z{0,3}` is an invalid regex due to lacking of a square bracket) or incorrect combination of operators (e.g., `+{0,3}`). The invalid regex cannot be transformed into DFA, making the similarity computation of DFAs infeasible. To solve this problem, we performed post processing of transformed regexes to mitigate this problem, pairing/repairing the unpaired/mis-paired brackets.

Moreover, the precision cannot be ensured if the original sentence presents unclear/ambiguous logic [47, 58, 89]. Even though the round-trip testing that we used is to compare two sentences in the same language, the ambiguity of translation between the two languages in the forward trip and backward trip can influence our test results. The consequences of ambiguity include mistranslation of sentences and incorrect regex transformation (i.e., the regex is mistakenly transformed, leading to the change in semantic meaning after transformation). Besides, the reliance on published transformation tools from natural language to regex limits our evaluation to English datasets.

Finally, the English proficiency of authors may cast impacts on the evaluation. To alleviate such impacts, we consulted a linguist to ensure the semantics are preserved during the synonym substitution (during dataset augmentation and mutation operator construction described in § 4.1). In addition, for quantifying vague quantifiers when conducting semantics approximation (§3.3.5 and §5.3), we also discussed with the expert linguist for confirmation.

8 CONCLUSION

In this paper, we proposed SemMT, a semantic-based machine translation testing framework. It tests the semantic similarity during translation, taking the first step to semantic-aware testing approach for translation systems. Specifically, we focused on the semantics of quantifiers and logical relations, which take up a non-trivial ratio in the daily life, and the mistranslation of them may cause severe consequences. Via transforming such sentences into regular expressions, SemMT can capture the semantics of sentences during translation, and detect the suspicious mistranslation by semantic similarity measurements. The evaluation showed that SemMT can achieve higher effectiveness compared with state-of-the-art works, achieving an increase of 34.2% on accuracy. Furthermore, considering the unique mistranslation detection, SemMT can cover the most of the bugs found by existing techniques and can locate 67 additional bugs that are ignored by existing techniques. Furthermore, our exploration also indicated the potential improvement may occur when proper combinations of various similarity metrics are adopted.

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