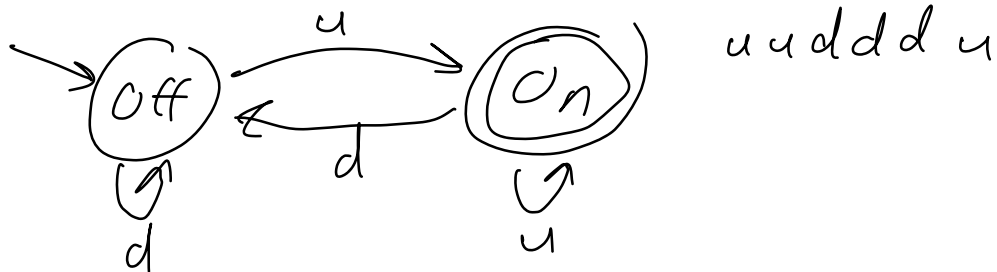


# CS4510 - Automata & Complexity

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Understanding Computation  $\begin{matrix} \nearrow \text{Computer} \\ \nearrow \text{People} \\ \rightarrow \text{Thinking} \end{matrix}$

- ① Computability: What problems are solvable?  
(How strong does computer need to be?)
  - ② Complexity: How "efficiently" can we compute?
- formal, mathematic  $\Rightarrow$  Automata

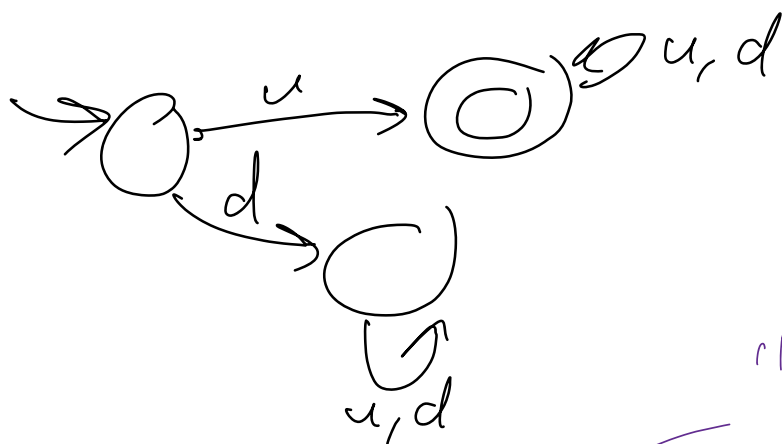


Defn: A deterministic finite automaton (DFA)

is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ :

- ①  $Q$  is a finite set of states e.g.  $Q = \{\text{On}, \text{Off}\}$
- ②  $\Sigma$  is a finite alphabet (set of symbols)  $\Sigma = \{u, d\}$
- ③  $\delta$  is our transition function e.g.  $\delta(\cdot, u) = \text{On}$   
 $\delta(\cdot, d) = \text{Off}$
- ④  $q_0 \in Q$  is start state  $q_0 = \text{Off}$
- ⑤  $F \subseteq Q$  is set of accepting states  $F = \{\text{On}\}$

E.g.: Everything that starts with  $u$ .



"dot notation"

Defn: If  $M$  is a DFA

then star

and  $w \in M.\Sigma^*$  then

$M$  accepts  $w$  if there exists a sequence of states  $q_1, \dots, q_{|w|}$

set of sequences of characters from  $M.\Sigma^*$

s.t.  $\forall i: \delta(q_i, w_i) = q_{i+1}$

and  $q_{|w|} \in F$ .

$i=0, \dots, |w|-1$

$i$ th character in  $w$

$(w = w_0 w_1 w_2 \dots w_{|w|-1})$

Defn:  $L(M) = \{w : w \in M.\Sigma^*, M \text{ accepts } w\}$

e.g. If  $M.\Sigma = \{a, d\}$

Then  $M.\Sigma^*$  is all <sup>finite</sup> strings  
consisting of a's and d's

$M.\Sigma^* = \{a, d, aa, ad, da, dd,$   
 $aaa, \dots\}$

$|a| = 1$

$|aaa| = 3$

$|da| = 2$

$M.\Sigma^*$  also contains  
the empty string.

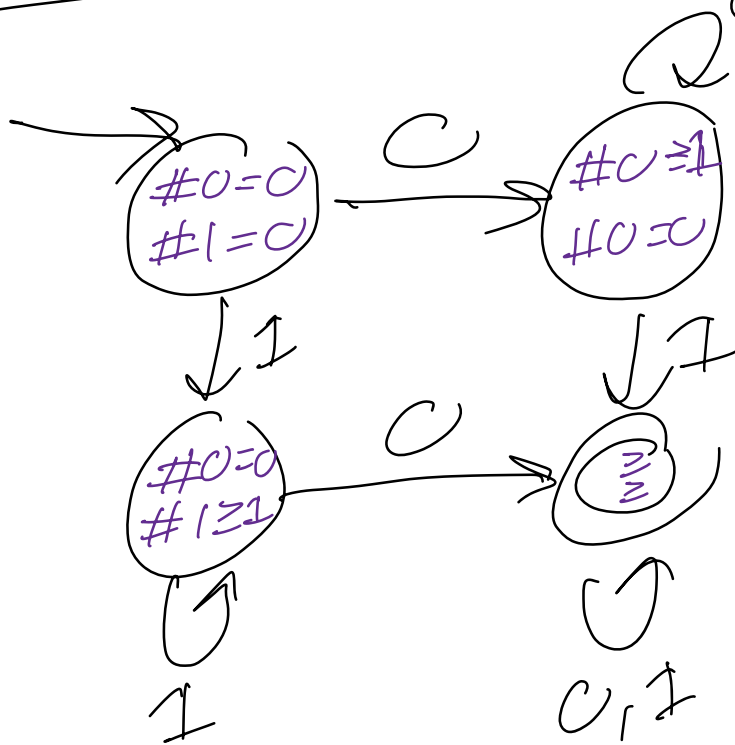
we call this string  $\epsilon$ .

epsilon

E.g. Build  $M$  s.t.

$L(M) = \{w \in \{0,1\}^* : w \text{ contains}$

$M, \Sigma = \{0, 1\}$  at least one 1  
and one 0.



To prove minimality, show that  
ε, 0, 1, and 01 all must  
go to different states.

Thm. If  $L$  is accepted by  
some DFA, then its complement  
 $\bar{L}$  is accepted by some DFA.

Pf. Let  $M$  accepts  $L$ . ( $L(M) = L$ )

Then build  $N$  which is the exact same except

$$N.F = \underline{M.G} \rightarrow \underline{M.F.}$$

$\uparrow$  set subtraction.

Clearly  $L(N) = \bar{L}$ .

Defn. If  $L = L(M)$  for DFA  $M$ , then we say  $L$  is regular.

Above Thm. says that the set of regular languages is closed under complement.

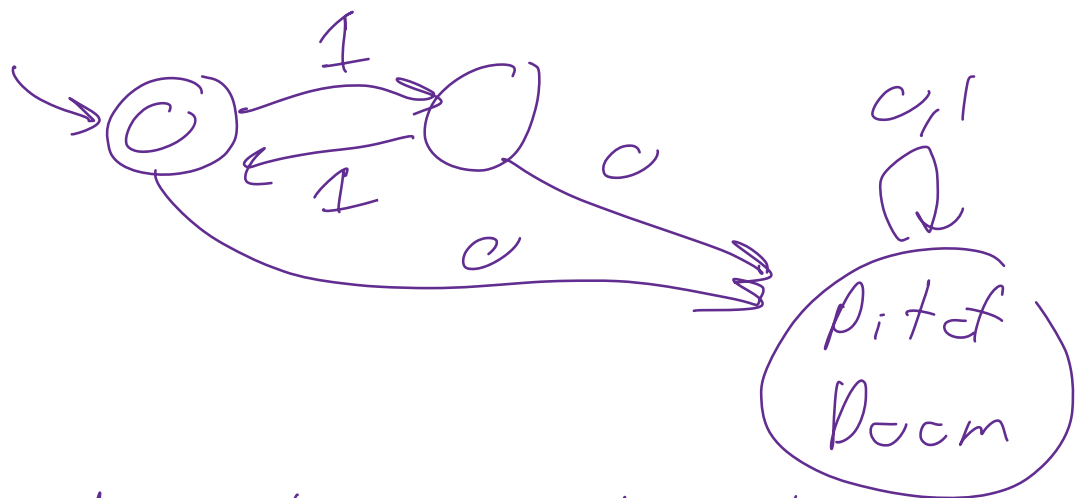
These are "languages"  
which are sets of strings.

(i.e. subsets of  $\Sigma^*$ .)

Define  $REG = \{L : L = L(M)\}$   
for some  
DFA  $M$ .

REG closed under  
complement means  $\overline{L} \in REG$   
whenever  $L \in REG$ .

E.g.  $L = \{w : w = 1^n \text{ and } n \text{ is even}\}$



$L = \{w \neq w = 1^n \mid n \text{ is divisible by } 7\}$



$$Q = \{0, \dots, 6\}$$

$$q_0 = 0$$

$$\Sigma = \{1\}$$

$$F = \{0\}$$

$$\delta(q, x) = q + 1 \bmod 7$$

$$L = \{ w \in \{0, 1\}^* : \text{int}(w) = 3 \bmod 7 \}$$

$\downarrow$   
 $\{0, \dots, 9\}$  is  
 easy extension

1011 is eleven

1011, 0

$1011 \rightarrow 10117$   
 $1011 \rightarrow 10110$   
 ① multiply by 10  
 ② Add 0 or 1

$$Q = \{0, \dots, 6\}$$

$$q_0 = 0$$

$$F = \{3\}$$

$$\Sigma = \{0, 1\}$$

$$\delta(q, x) = \underbrace{10q + x \bmod 11}_{2q + x \bmod 7}$$

E.g. If  $L, L'$  are regular  
is  $L \cap L' = \{w : w \in L \text{ and } w \in L'\}$   
also regular?

(Is REG closed under intersection?)

Pf. Yes. Let  $L(M) = L$  and  $L(N) = L'$ .

Build  $O$ .

$$O. \Sigma = M. \Sigma = N. \Sigma$$

$$O. Q = M. Q \times N. Q = \{(q, q') : \begin{matrix} q \in M. Q \\ q' \in N. Q \end{matrix}\}$$

$$q_0 = (M. q_0, N. q_0)$$

$$F = M. F \times N. F$$

$$\delta((q, q'), x) = (M. \delta(q, x), N. \delta(q', x))$$

$$L = \{w : w = O^n, n\}$$