

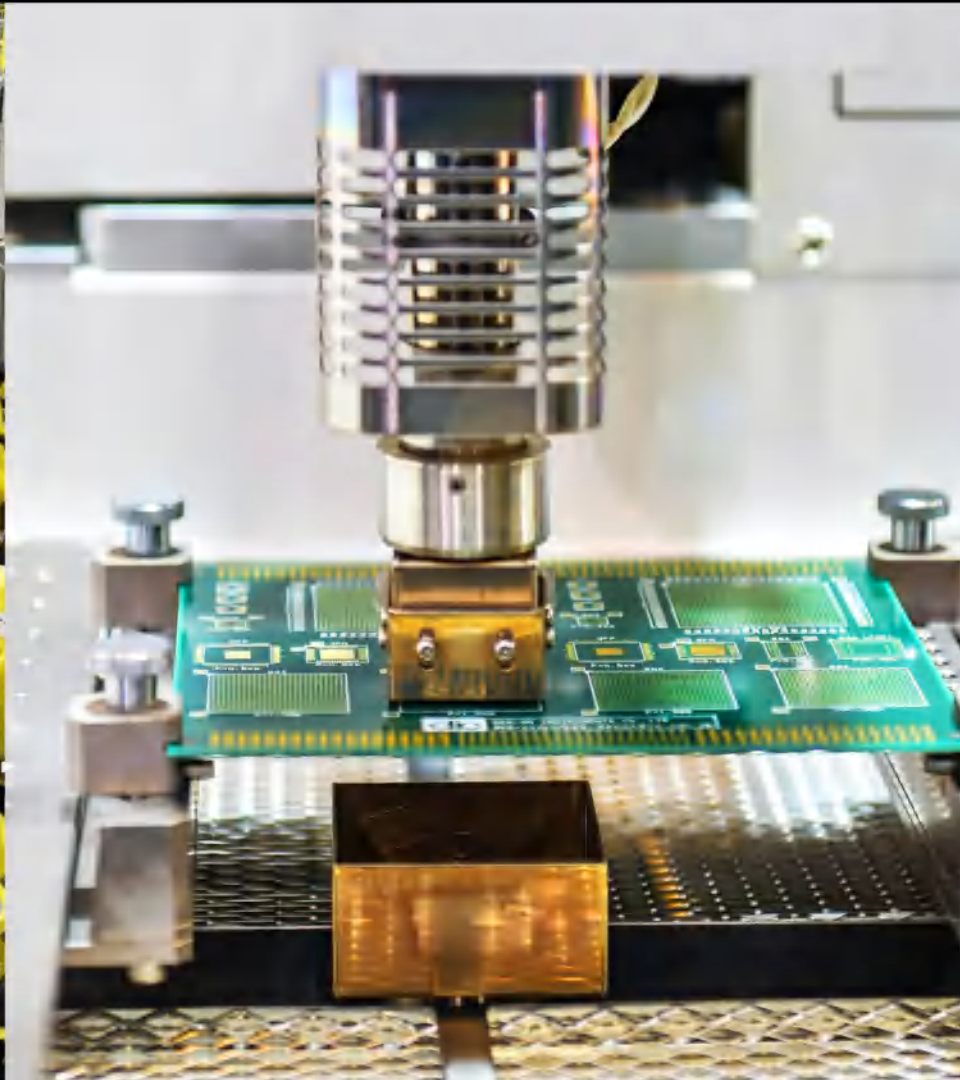


Towards A General Solution for Robotics

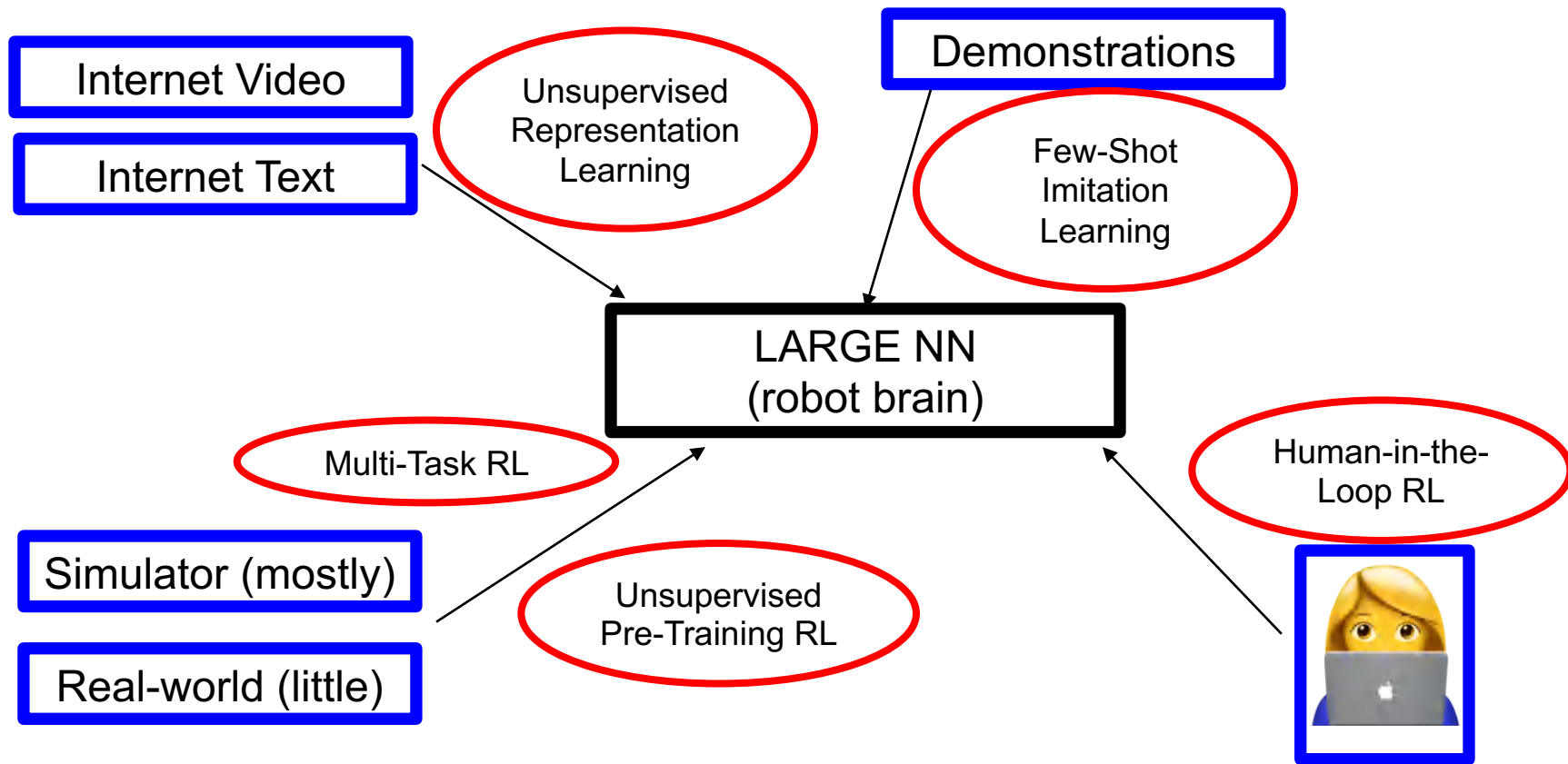
Pieter Abbeel
UC Berkeley & Covariant

PR-1

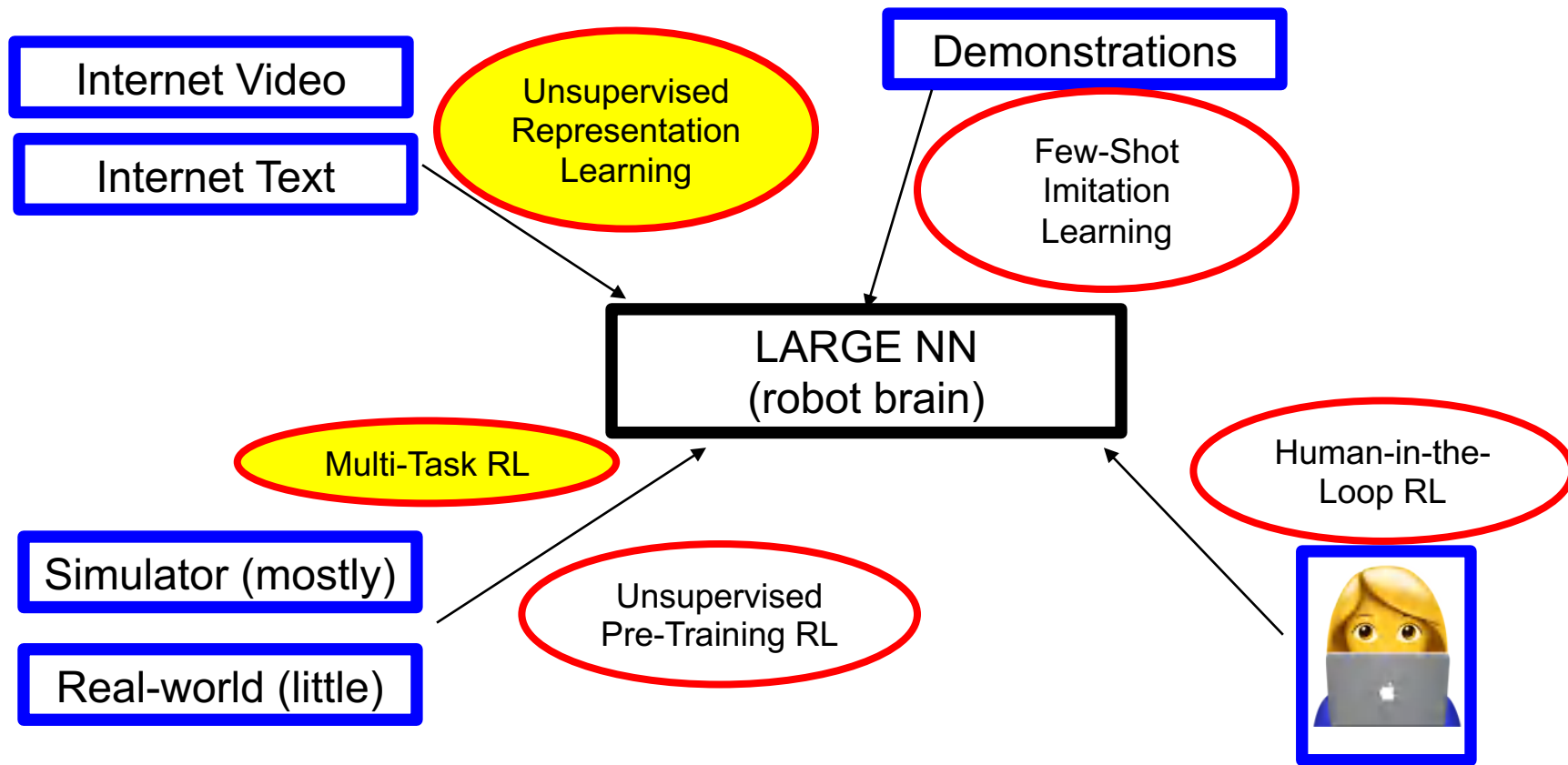




An Attempt at a Complete Picture

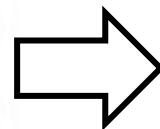
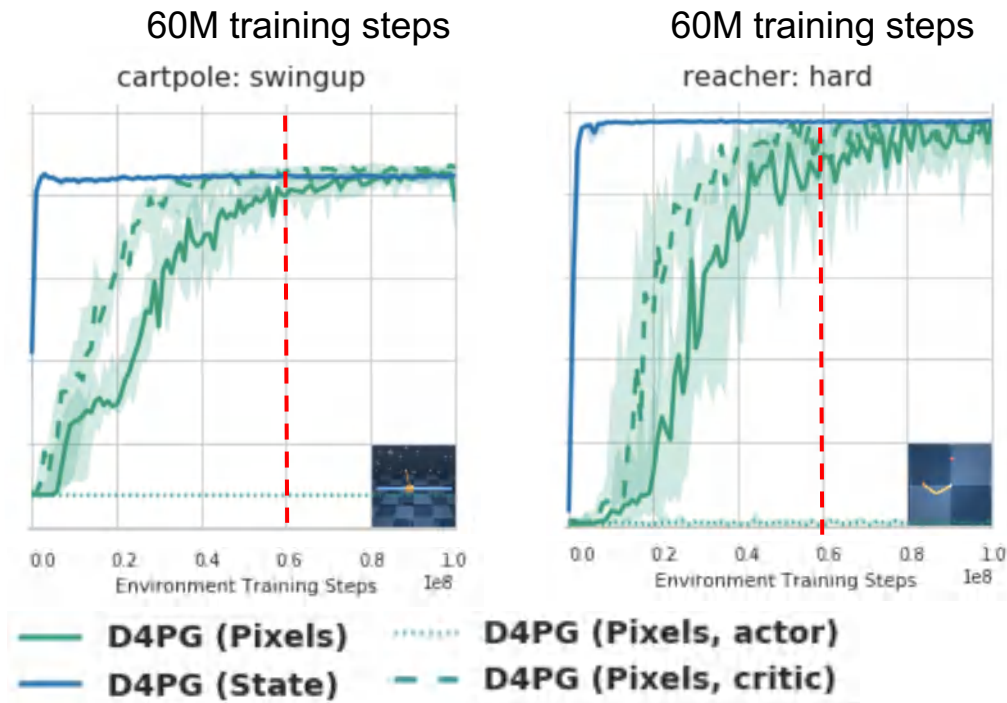


An Attempt at a Complete Picture



RL-from-pixels?

- State-based D4PG (blue) vs pixel-based D4PG (green)



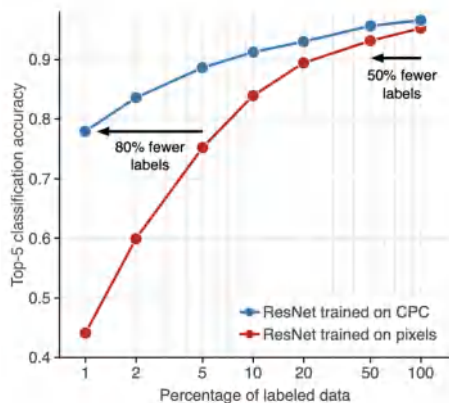
Pixel-based needs > 50M more training steps than state-based to solve same tasks



LeCake (Yann LeCun)

Contrastive learning: SOTA in computer vision

CPCv2 **top-5** ImageNet accuracy as function of labels



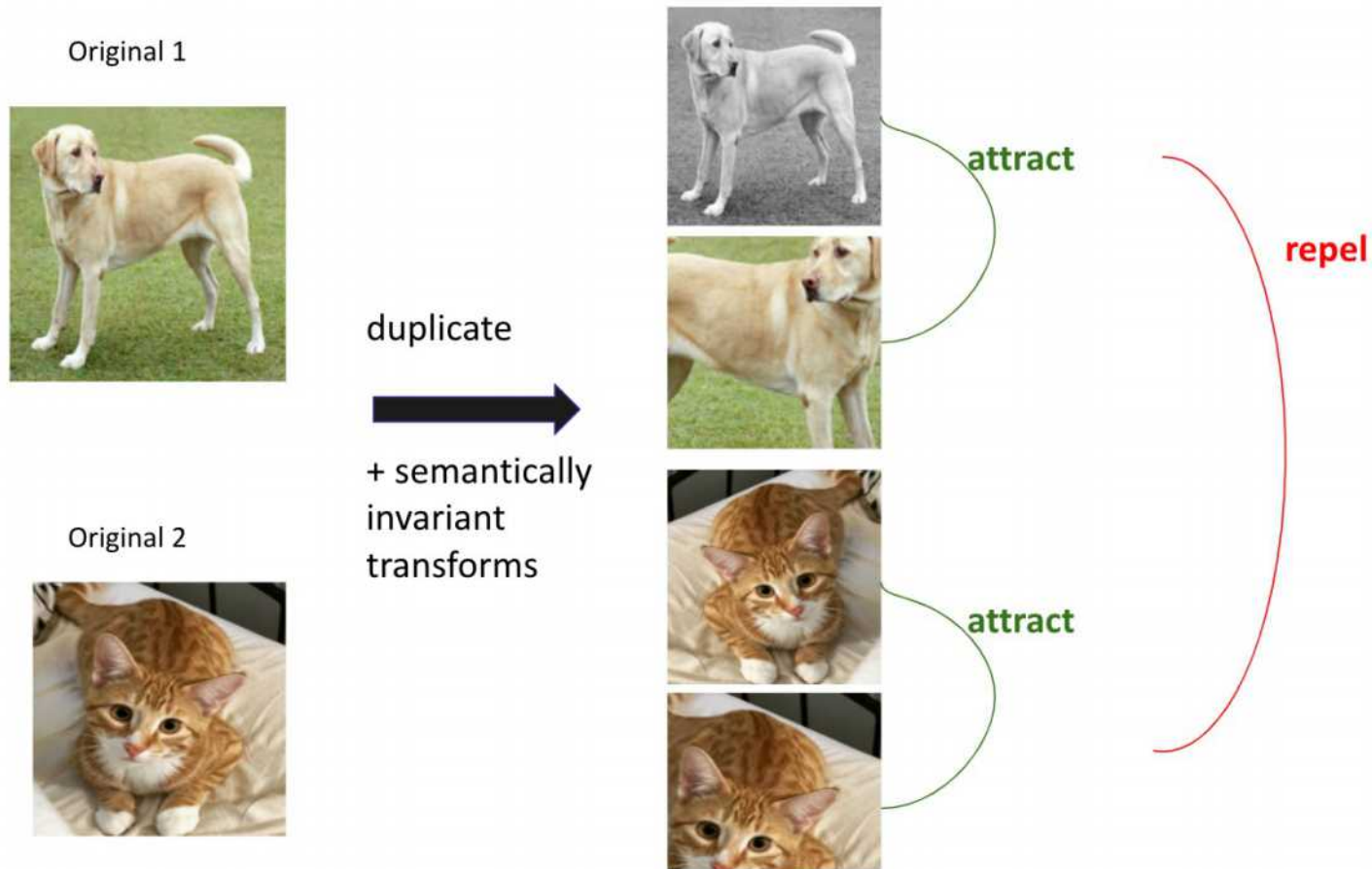
[Henaff, Srinivas et al., 2019]

[Henaff et al., 2019] Olivier J. Hénaff, Aravind Srinivas, Jeffrey De Fauw, Ali Razavi, Carl Doersch, S. M. Ali Eslami, Aaron van den Oord
[Data-Efficient Image Recognition with Contrastive Coding](#) arxiv:1905.09272, 2019.

[Chen et al., 2020] Chen, T., Kornblith, S., Norouzi, M. and Hinton, G.

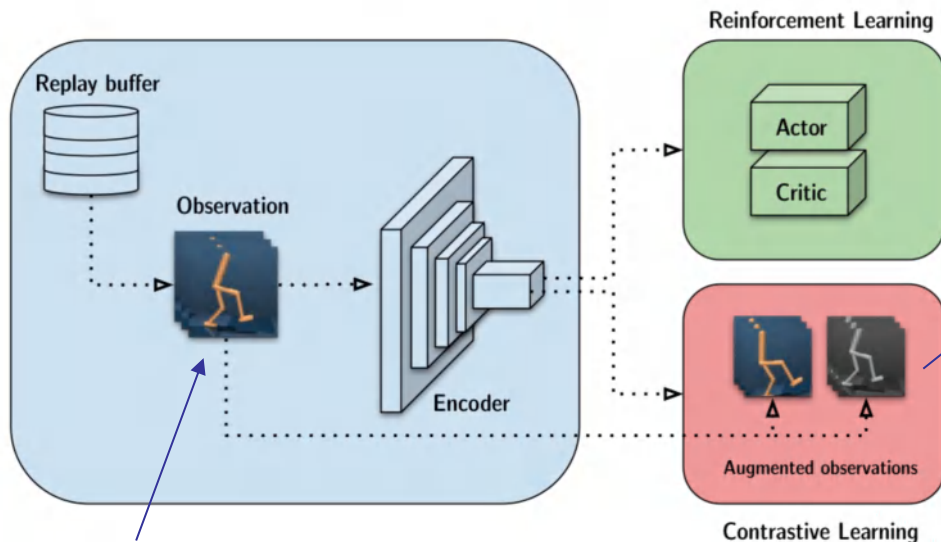
[A Simple Framework for Contrastive Learning of Visual Representations](#) arxiv:2002.05709, 2020.

SimCLR / MoCo Main Idea



Contrastive + RL

CURL

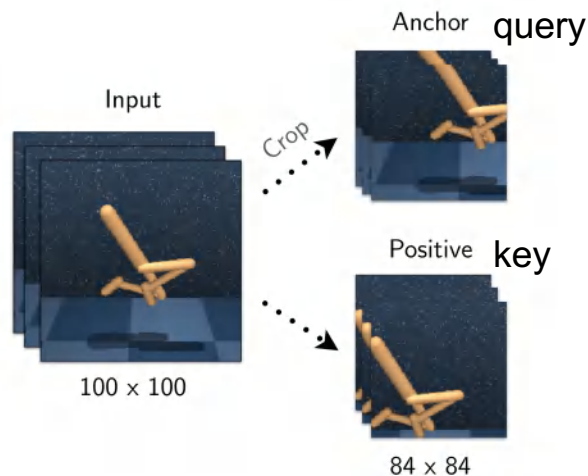


Need to define:

1. query / key pairs
2. similarity measure
3. architecture

Observations are
stacked frames

1. Query / key pairs: random crop

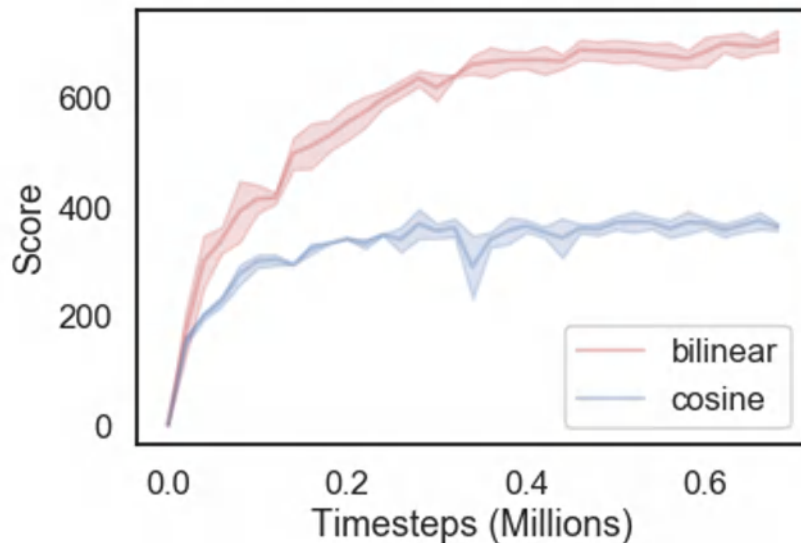


2. Bilinear inner product with learned weight matrix

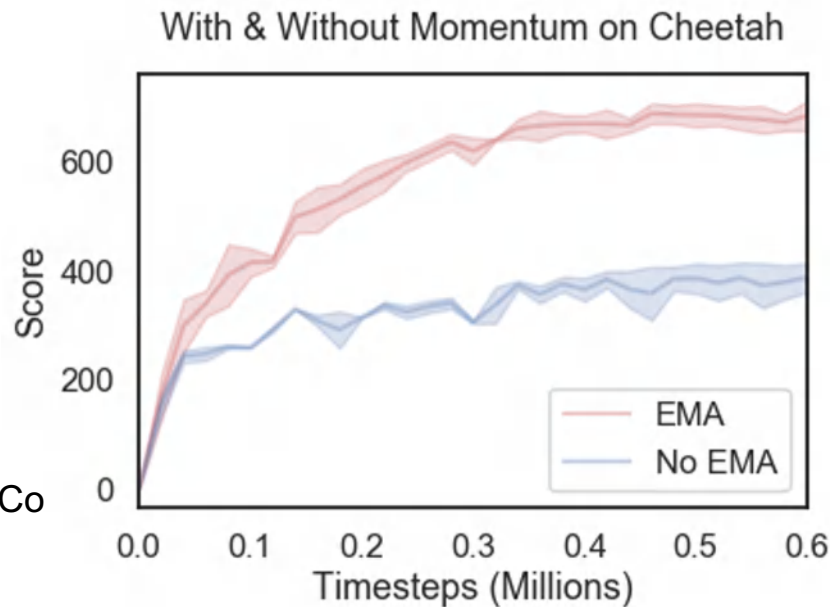
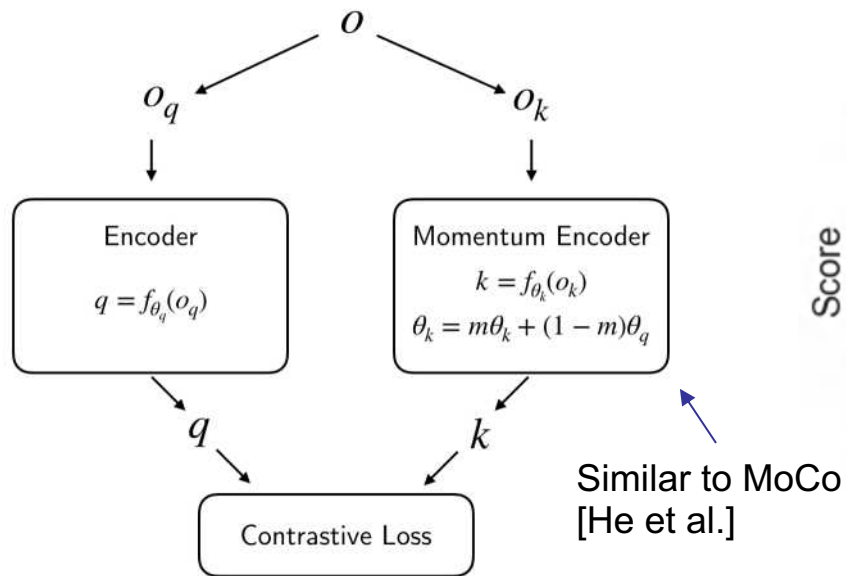
$$\begin{array}{cc} \text{logits} & \text{labels} \\ \begin{bmatrix} q_0^T W k_0 & q_0^T W k_1 & \dots & q_0^T W k_j \\ q_1^T W k_0 & q_1^T W k_1 & \dots & q_1^T W k_j \\ \vdots & \vdots & \ddots & \vdots \\ q_j^T W k_0 & q_j^T W k_1 & \dots & q_j^T W k_j \end{bmatrix} & \begin{bmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 \end{bmatrix} \end{array}$$

$$\mathcal{L}_q = \frac{\exp(q^T W k_+)}{\exp(q^T W k_+) + \sum_{i=0}^{K-1} \exp(q^T W k_i)}$$

Comparing Similarity Measures on Cheetah

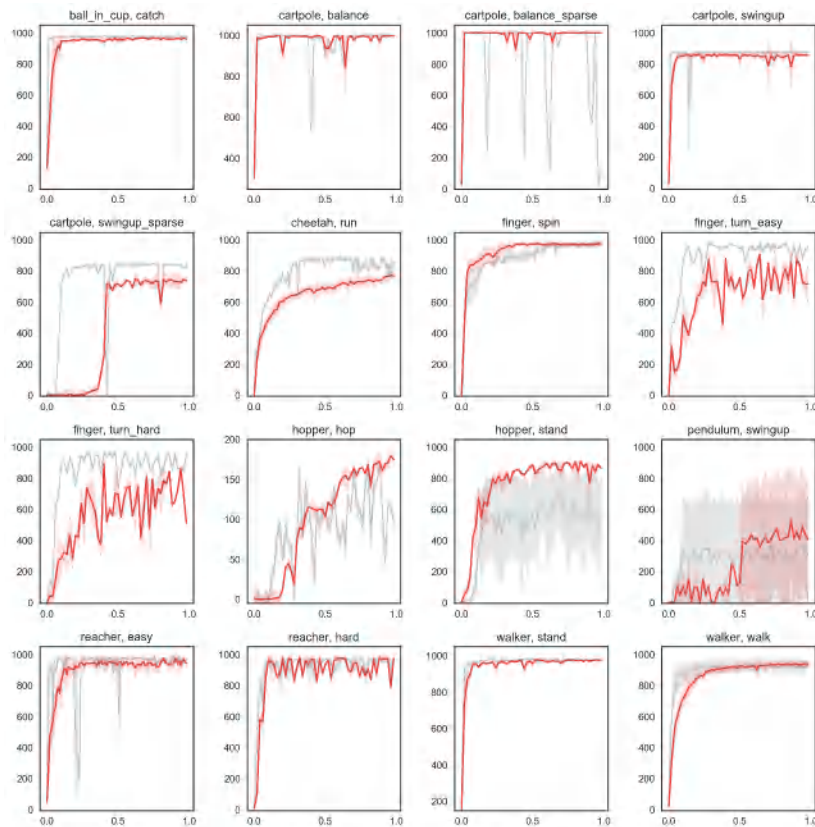


3. Keys encoded with momentum

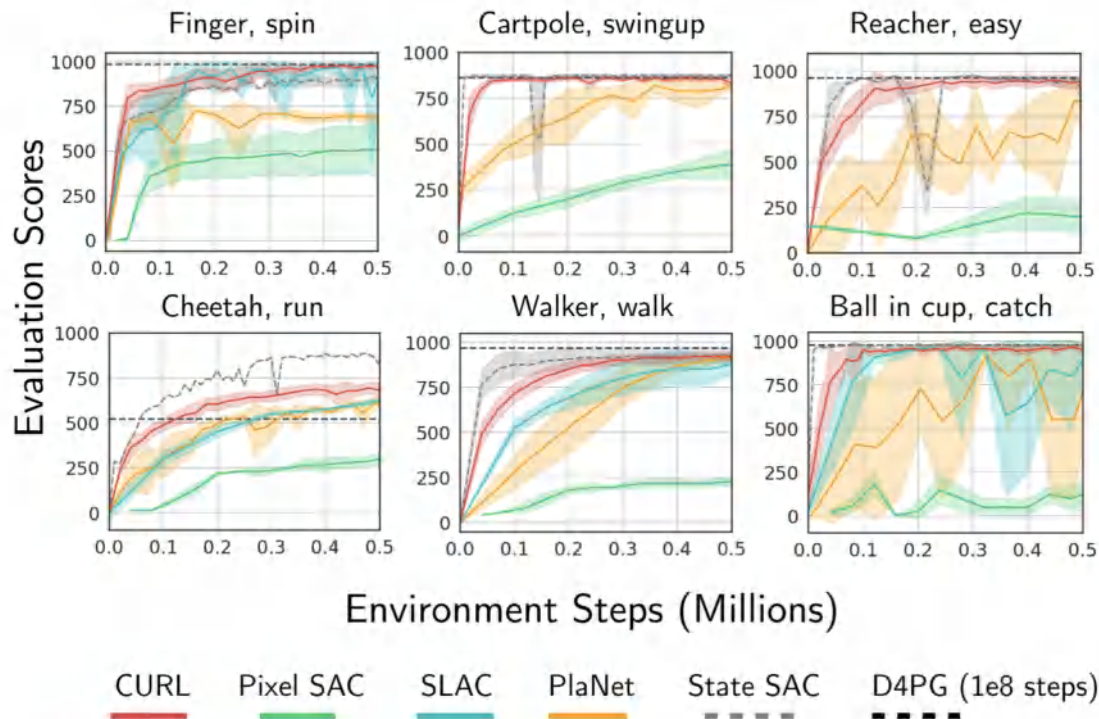


CURL from pixels matches state-based SAC

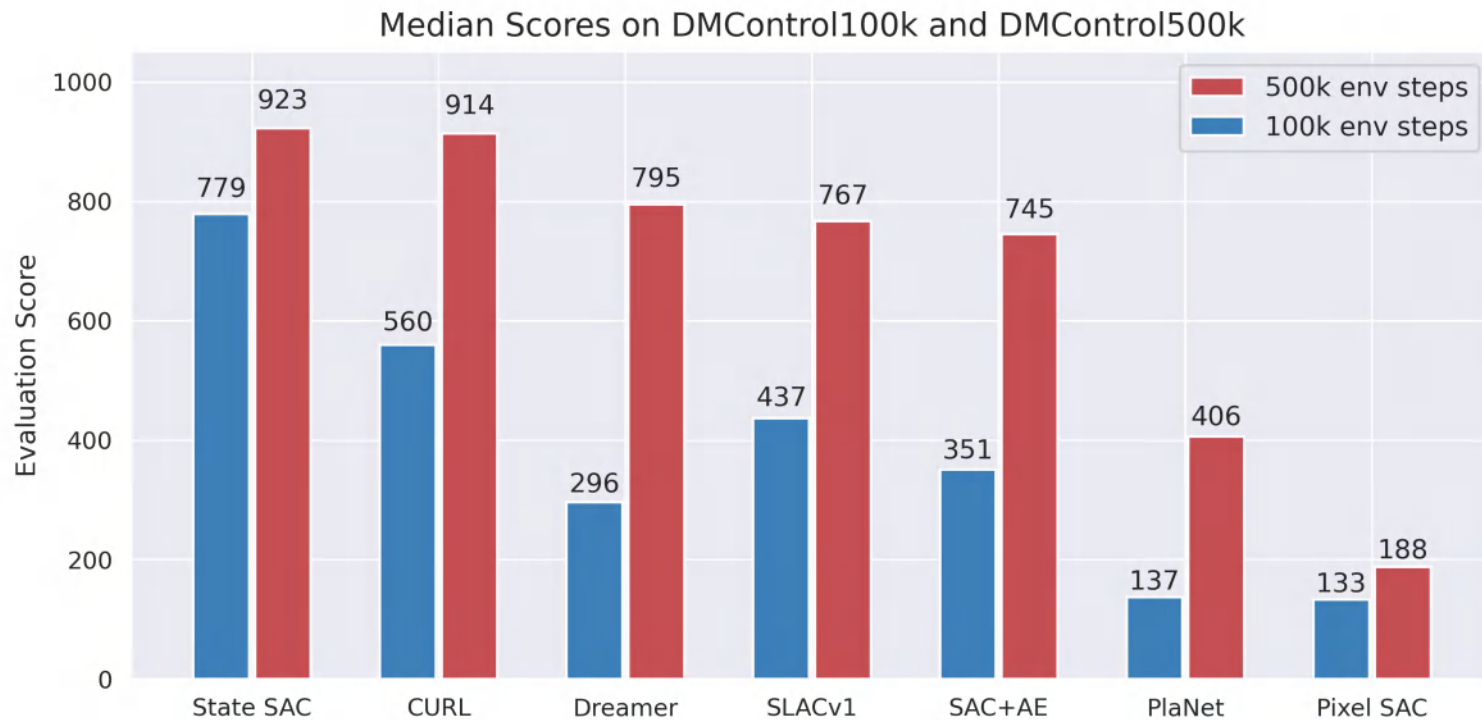
GRAY: SAC State
RED: CURL



CURL Comparison: DeepMind Control Suite

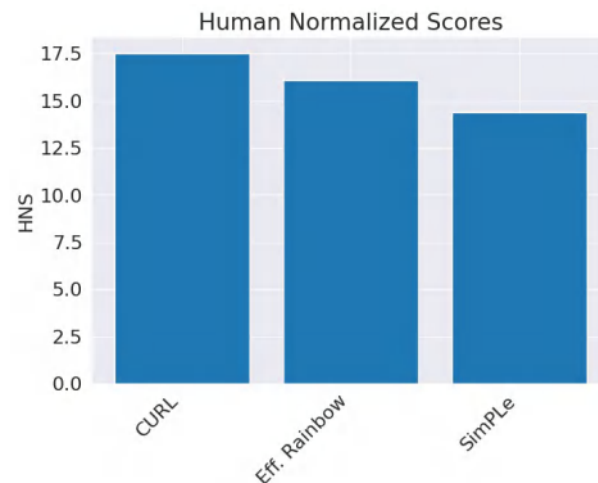


CURL Comparison: DeepMind Control Suite

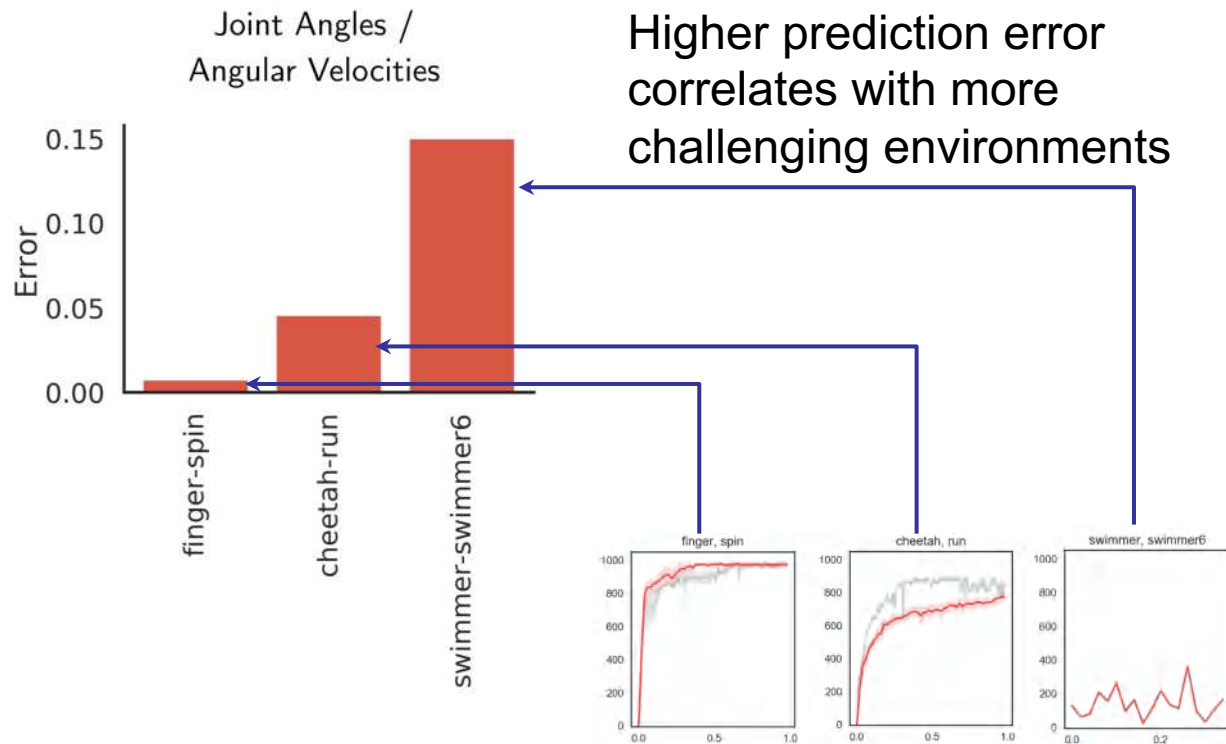


CURL Comparison: Atari 100K

GAME	HUMAN	RANDOM	RAINBOW	SIMPLE	OTRAINBOW	EFF. RAINBOW	CURL
ALIEN	7127.7	227.8	318.7	616.9	824.7	739.9	558.2
AMIDAR	1719.5	5.8	32.5	88.0	82.8	188.6	142.1
ASSAULT	742.0	222.4	231	527.2	351.9	431.2	600.6
ASTERIX	8503.3	210.0	243.6	1128.3	628.5	470.8	734.5
BANK HEIST	753.1	14.2	15.55	34.2	182.1	51.0	131.6
BATTLE ZONE	37187.5	2360.0	2360.0	5184.4	4060.6	10124.6	14870.0
BOXING	12.1	0.1	-24.8	9.1	2.5	0.2	1.2
BREAKOUT	30.5	1.7	1.2	16.4	9.84	1.9	4.9
CHOPPER COMMAND	7387.8	811.0	120.0	1246.9	1033.33	861.8	1058.5
CRAZY CLIMBER	35829.4	10780.5	2254.5	62583.6	21327.8	16185.3	12146.5
DEMON ATTACK	1971.0	152.1	163.6	208.1	711.8	508.0	817.6
FREEWAY	29.6	0.0	0.0	20.3	25.0	27.9	26.7
FROSTBITE	4334.7	65.2	60.2	254.7	231.6	866.8	1181.3
GOPHER	2412.5	257.6	431.2	771.0	778.0	349.5	669.3
HERO	30826.4	1027.0	487	2656.6	6458.8	6857.0	6279.3
JAMESBOND	302.8	29.0	47.4	125.3	112.3	301.6	471.0
KANGAROO	3035.0	52.0	0.0	323.1	605.4	779.3	872.5
KRULL	2665.5	1598.0	1468	4539.9	3277.9	2851.5	4229.6
KUNG FU MASTER	22736.3	258.5	0.	17257.2	5722.2	14346.1	14307.8
MS PACMAN	6951.6	307.3	67	1480.0	941.9	1204.1	1465.5
PONG	14.6	-20.7	-20.6	12.8	1.3	-19.3	-16.5
PRIVATE EYE	69571.3	24.9	0	58.3	100.0	97.8	218.4
QBERT	13455.0	163.9	123.46	1288.8	509.3	1152.9	1042.4
ROAD RUNNER	7845.0	11.5	1588.46	5640.6	2696.7	9600.0	5661.0
SEAQUEST	42054.7	68.4	131.69	683.3	286.92	354.1	384.5
UP_N_DOWN	11693.2	533.4	504.6	3350.3	2847.6	2877.4	2955.2

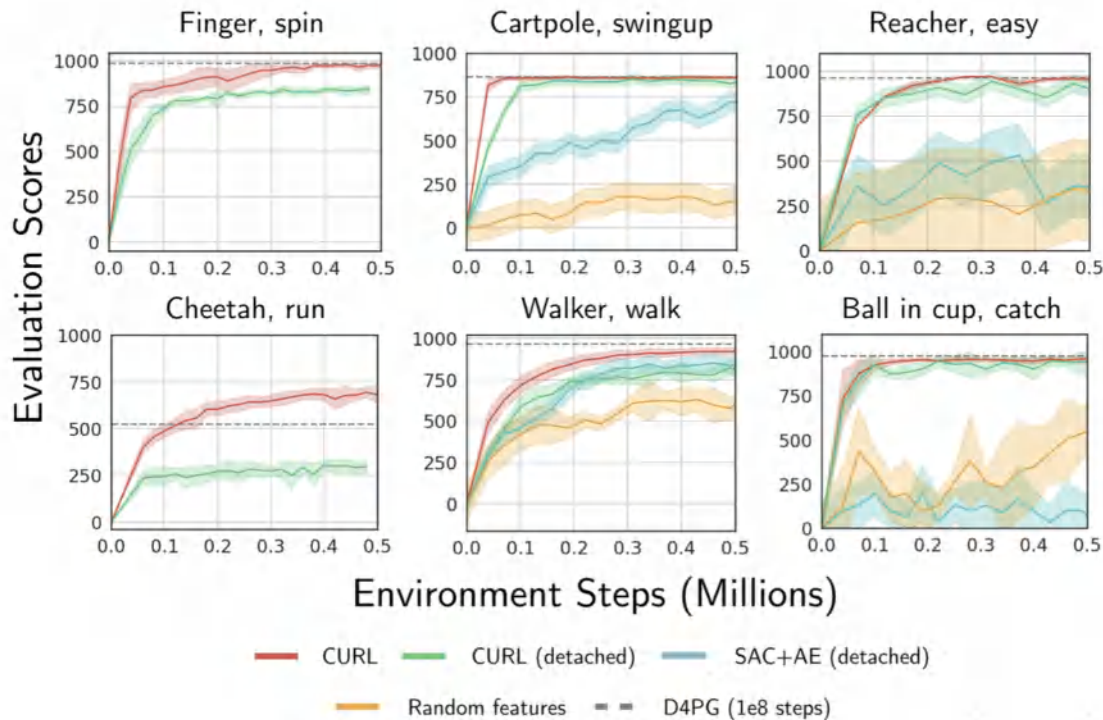


Predicting state from pixels

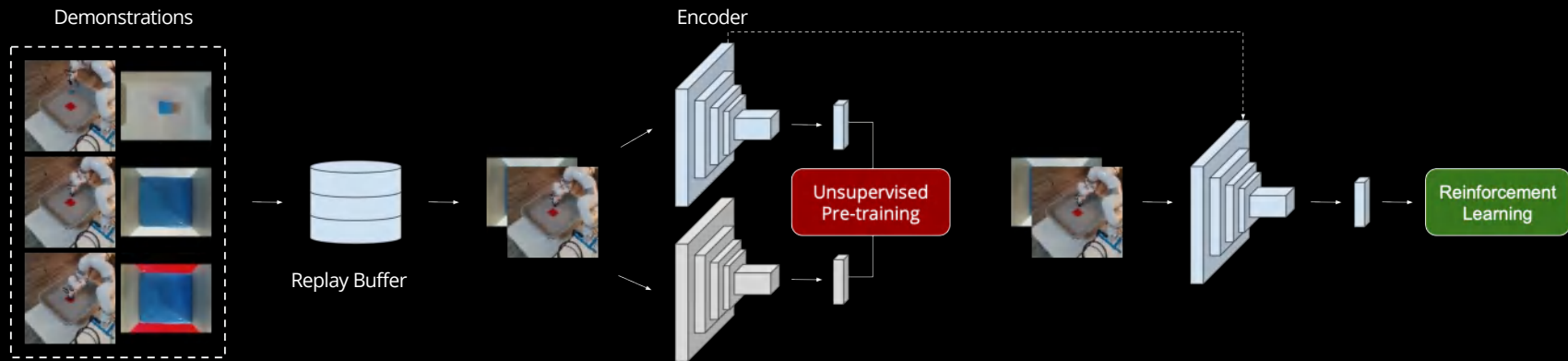


Can CURL learn representations w/o reward?

1. Detached CURL performs slightly worse than CURL
2. However, promising for learning representations independent of reward



Framework for Efficient Robotic Manipulation



(i) Collect 10 human demonstrations

Takes ~10 mins

(ii) Initialize CNN encoder with contrastive pre-training

Takes ~1 min

(iii) Continue training with data-augmented RL

Takes ~30 mins

Task: Pull

Demonstrations

10 ep \approx 10:00 min



First Success

5 ep \approx 5:12 min



Optimal Policy

45 ep \approx 29:10 min



Evaluation

28/30 Success



Results

1. Learns **6 diverse tasks** with sparse reward, entirely from pixels, within an hour.
2. Uses the **same hyperparameters** across all tasks

	Reach	Pickup	Move	Pull	Light Switch	Drawer Open
Task Description + Difficulty	Reach a block	Pickup a block	Move a block to a given location	Pull a large object to itself	Flip on the Light Switch	Open the drawer
First Success	3:05	15:00	33:00	05:12	05:01	5:56
Optimal	15:00	26:00	46:00	29:10	16:05	20:21
Evaluation	100%	100%	86.7%	93.3%	100%	100%

Related Work

- Auto-encoder representation

- SAC+AE – Yarats, Zhang, Kostrikov, Amos, Pineau, Fergus, 2019
- SLAC – Lee, Nagabandi, Abbeel, levine, 2019

- Augmentation can go a long way

- RAD – Laskin, lee, Stooke, Pinto, Abbeel, Srinivas, 2020
- DrQ – Kostrikov, Yarats, Fergus, 2020
- SPR – Schwarzer, Anand, Goel, Hjelm, Courville, Bachman, 2020

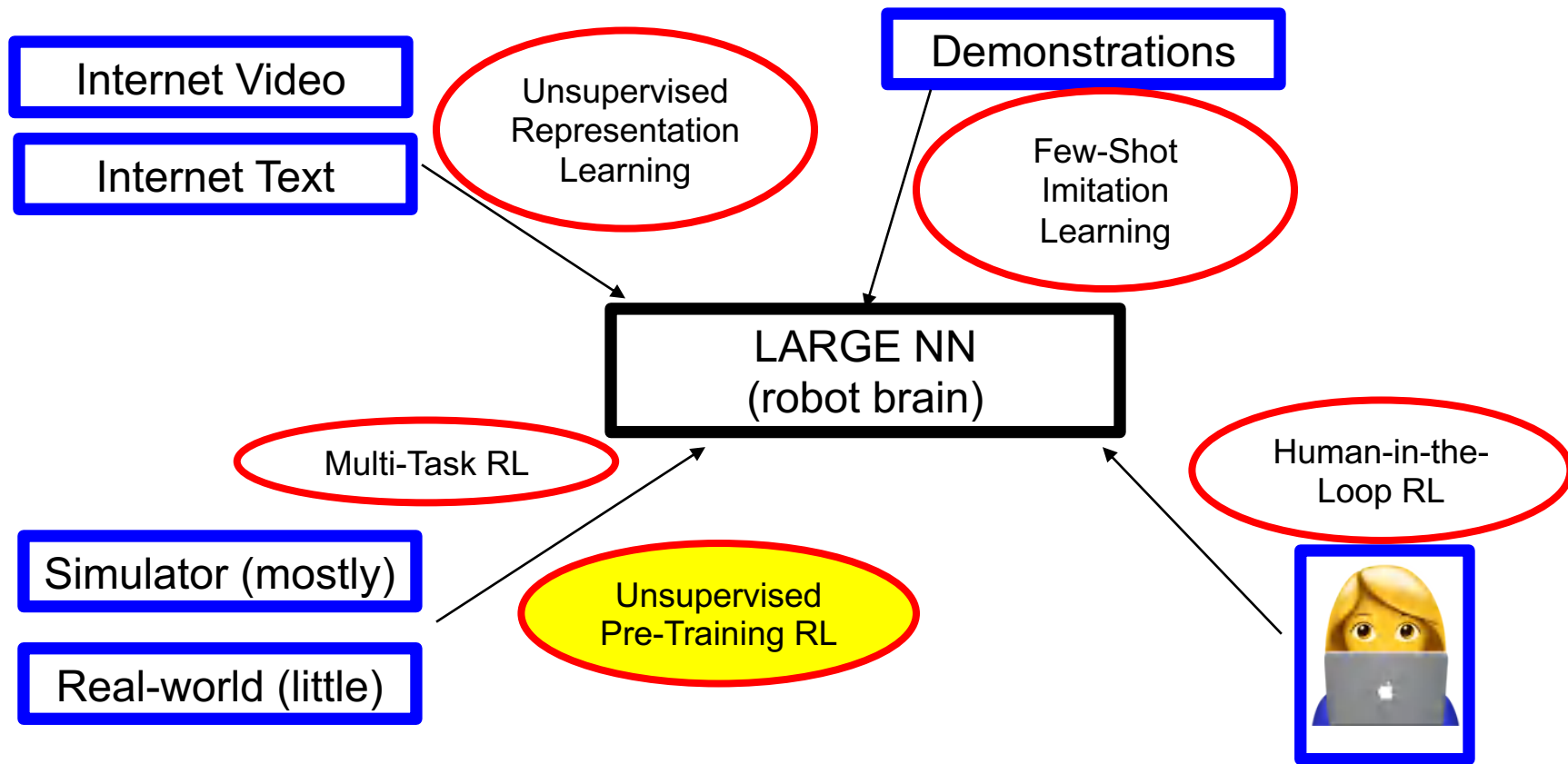
- Decoupling representation learning from RL with augmented temporal contrast

- ATC – Stooke, Lee, Abbeel, Laskin, 2020
- Deep InfoMax RL – Mazoure, des Combes, Doan, Bachman, Hjelm, 2020 (temporal, not always decoupled)

- Application to real robot

- FERM – Zhan, Zhao, Pinto, Abbeel, Laskin, 2020

An Attempt at a Complete Picture



Intrinsic Reward for Unsupervised Pre-Training

- Incentivizing exploration by introducing intrinsic rewards based on a measure of state novelty
- **State entropy** as intrinsic reward

$$r^{\text{intrinsic}} = \mathcal{H}(s) = -\mathbb{E}_{s \sim p(s)} [\log p(s)]$$

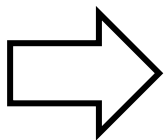
- Maximizing state entropy \sim good state coverage

Intrinsic Reward for Unsupervised Pre-Training

- Incentivizing exploration by introducing intrinsic rewards based on a measure of state novelty
- **State entropy** as intrinsic reward

$$r^{\text{intrinsic}} = \mathcal{H}(s) = -\mathbb{E}_{s \sim p(s)} [\log p(s)]$$

- Maximizing state entropy \sim good state coverage

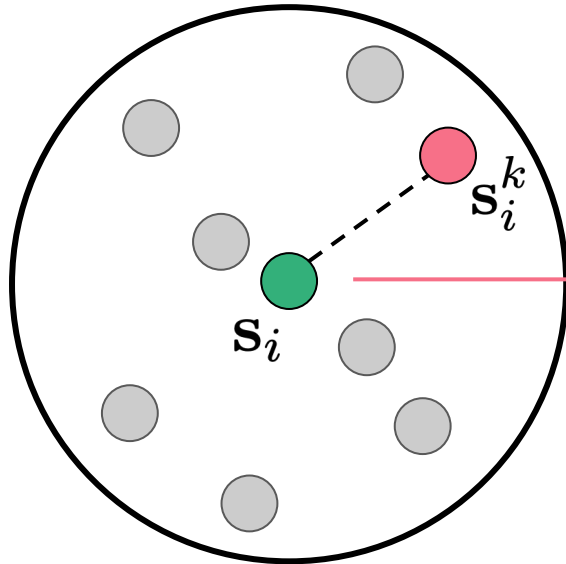


Measuring state entropy is intractable to
compute in most setting

K-Nearest-Neighbor Entropy Estimator

- K-nearest entropy estimator

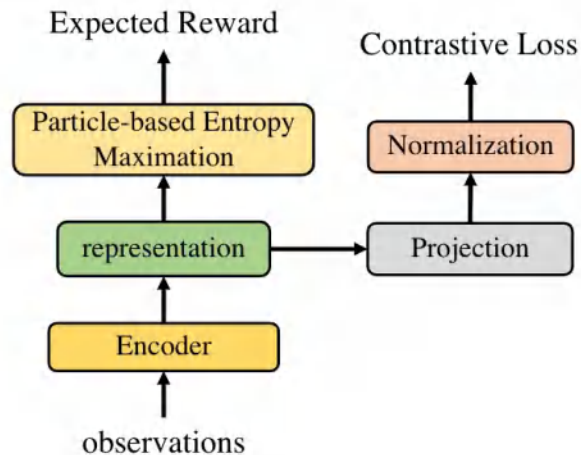
$$\mathcal{H}(s) = -\mathbb{E}_{s \sim p(s)} [\log p(s)]$$



$$\hat{\mathcal{H}}(s) \propto \sum_i \log(||s_i - s_i^k||)$$

- Distribution \rightarrow Store N number of visited states
- Compute the distance between each state and its K-NN

APT: Active Pre-Training



Algorithm 1: Training APT

Randomly Initialize f encoder

Randomly Initialize π and Q networks

for $e := 1, \infty$ **do**

for $t := 1, T$ **do**

 Receive observation s_t from environment

 Take action $a_t \sim \pi(\cdot | s_t)$, receive observation s_{t+1} and \mathcal{R}_t from environment

$\mathcal{D} \leftarrow \mathcal{D} \cup (s_t, a_t, \mathcal{R}_t, s_{t+1})$

$\{(s_i, a_i, \mathcal{R}_i, s'_i)\}_{i=1}^N \sim \mathcal{D}$

 // sample a mini batch

 Train neural encoder f on mini batch

 // representation learning

for each $i = 1..N$ **do**

$a'_i \sim \pi(\cdot | s'_i)$

$\hat{Q}_i = Q_{\theta'}(s'_i, a'_i)$

 Compute r_{APT} with equation (5)

 // particle-based entropy reward

$y_i \leftarrow r_{\text{APT}} + \gamma \hat{Q}_i$

end

$loss_Q = \sum_i (Q(s_i, a_i) - y_i)^2$

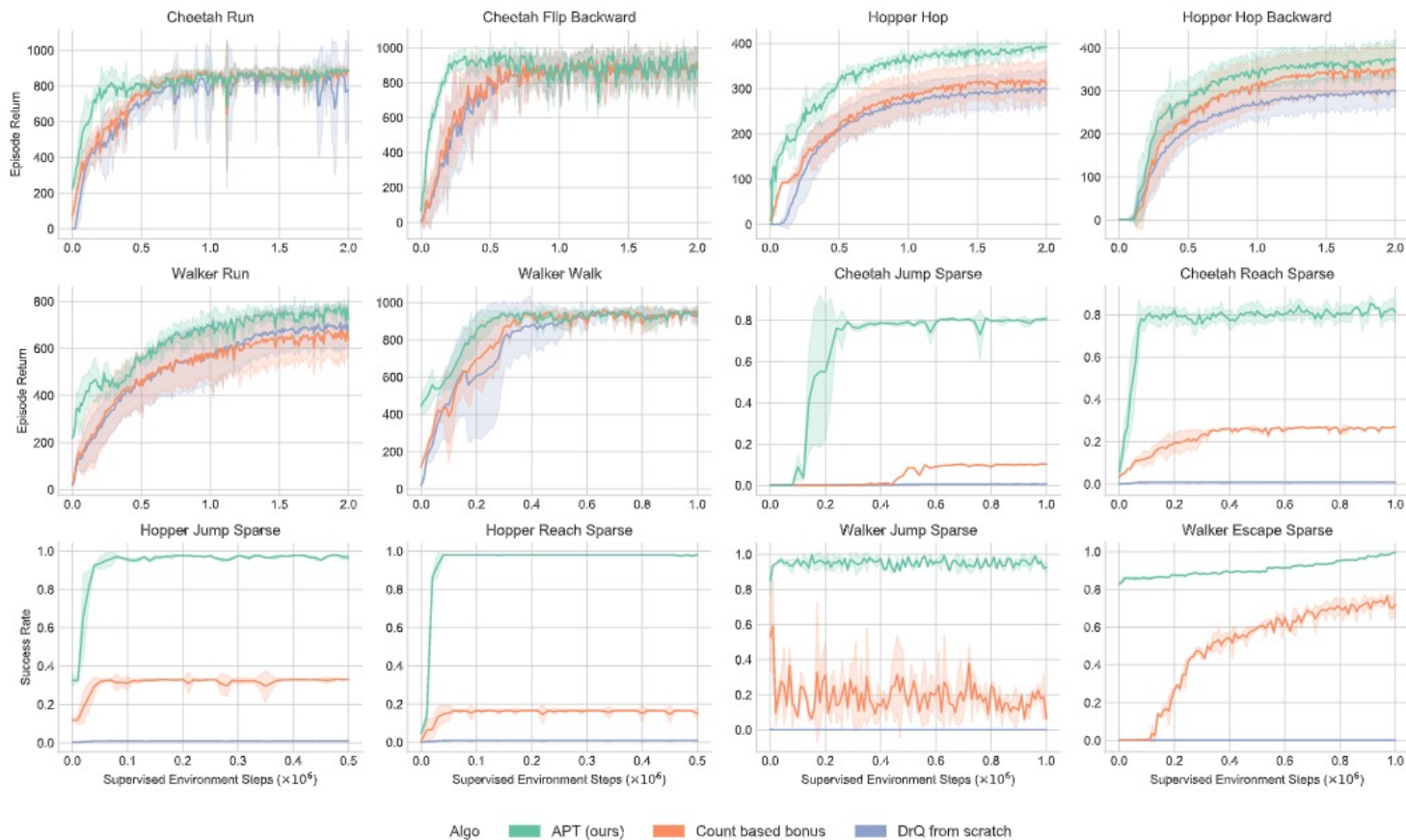
 Gradient descent step on Q and π

 // standard Q-learning

end

end

Experiments: DM Control Suite

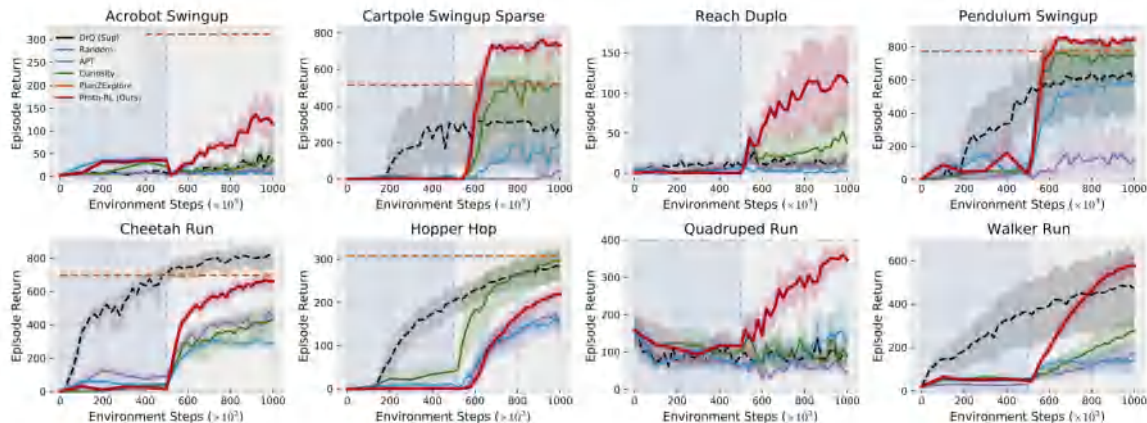
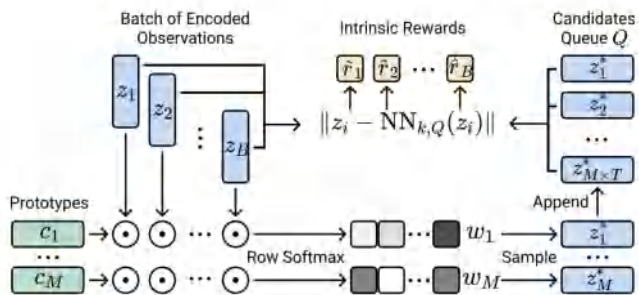


Experiments: Atari

Game	Random	Human	SimPLe	DER	CURL	DrQ	SPR	VISR	APT (ours)
Alien	227.8	7127.7	616.9	739.9	558.2	771.2	801.5	364.4	2614.8
Amidar	5.8	1719.5	88.0	188.6	142.1	102.8	176.3	186.0	211.5
Assault	222.4	742.0	527.2	431.2	600.6	452.4	571.0	12091.1	891.5
Asterix	210.0	8503.3	1128.3	470.8	734.5	603.5	977.8	6216.7	185.5
Bank Heist	14.2	753.1	34.2	51.0	131.6	168.9	380.9	71.3	416.7
BattleZone	2360.0	37187.5	5184.4	10124.6	14870.0	12954.0	16651.0	7072.7	7065.1
Boxing	0.1	12.1	9.1	0.2	1.2	6.0	35.8	13.4	21.3
Breakout	1.7	30.5	16.4	1.9	4.9	16.1	17.1	17.9	10.9
ChopperCommand	811.0	7387.8	1246.9	861.8	1058.5	780.3	974.8	800.8	317.0
Crazy Climber	10780.5	23829.4	62583.6	16185.2	12146.5	20516.5	42923.6	49373.9	44128.0
Demon Attack	10780.5	35829.4	62583.6	16185.3	12146.5	20516.5	42923.6	8994.9	5071.8
Freeway	0.0	29.6	20.3	27.9	26.7	9.8	24.4	-12.1	29.9
Frostbite	65.2	4334.7	254.7	866.8	1181.3	331.1	1821.5	230.9	1796.1
Gopher	257.6	2412.5	771.0	349.5	669.3	636.3	715.2	498.6	2590.4
Hero	1027.0	30826.4	2656.6	6857.0	6279.3	3736.3	7019.2	663.5	6789.1
Jamesbond	29.0	302.8	125.3	301.6	471.0	236.0	365.4	484.4	356.1
Kangaroo	52.0	3035.0	323.1	779.3	872.5	940.6	3276.4	1761.9	412.0
Krull	1598.0	2665.5	4539.9	2851.5	4229.6	4018.1	2688.9	3142.5	2312.0
Kung Fu Master	258.5	22736.3	17257.2	14346.1	14307.8	9111.0	13192.7	16754.9	17357.0
Ms Pacman	307.3	6951.6	1480.0	1204.1	1465.5	960.5	1313.2	558.5	2827.1
Pong	-20.7	14.6	12.8	-19.3	-16.5	-8.5	-5.9	-26.2	-8.0
Private Eye	24.9	69571.3	58.3	97.8	218.4	-13.6	124.0	98.3	96.1
Qbert	163.9	13455.0	1288.8	1152.9	1042.4	854.4	669.1	666.3	17671.2
Road Runner	11.5	7845.0	5640.6	9600.0	5661.0	8895.1	14220.5	6146.7	4782.1
Seaquest	68.4	42054.7	683.3	354.1	384.5	301.2	583.1	706.6	2116.7
Up N Down	533.4	11693.2	3350.3	2877.4	2955.2	3180.8	28138.5	10037.6	8289.4
Mean HNS	0.000	1.000	44.3	28.5	38.1	35.7	70.4	64.31	69.55
Median HNS	0.000	1.000	14.4	16.1	17.5	26.8	41.5	12.36	47.50
# Superhuman	0	N/A	2	2	2	2	7	6	7

How about size of replay buffer for entropy estimates?

→ Keep around cluster representatives for entropy estimation



How about “skills”?

- **VIC**: Variational Intrinsic Control – Gregor et al, 2016
DIAYN: Diversity is all you need – Eysenbach, Gupta, Ibarz, Levine, 2018
Valor: Variational Option Discovery Algorithms – Achiam, Edwards, Amodei, Abbeel, 2018
VISR: Fast Task Inference with Variational Intrinsic Successor Features – Hansen et al, 2020
- They all optimize (up to some details):

$$MI(z; s_{0:H}) = H(z) - H(z | s_{0:H})$$

APS Active Pretraining with Successor Features:

-- optimize $H(s_{0:H}) - H(s_{0:H} | z)$

using the particle entropy and feature learning as in APT

--from image inputs

Closely related: EDL: Explore, Discover and Learn – Campos et al, 2020

APS on Atari

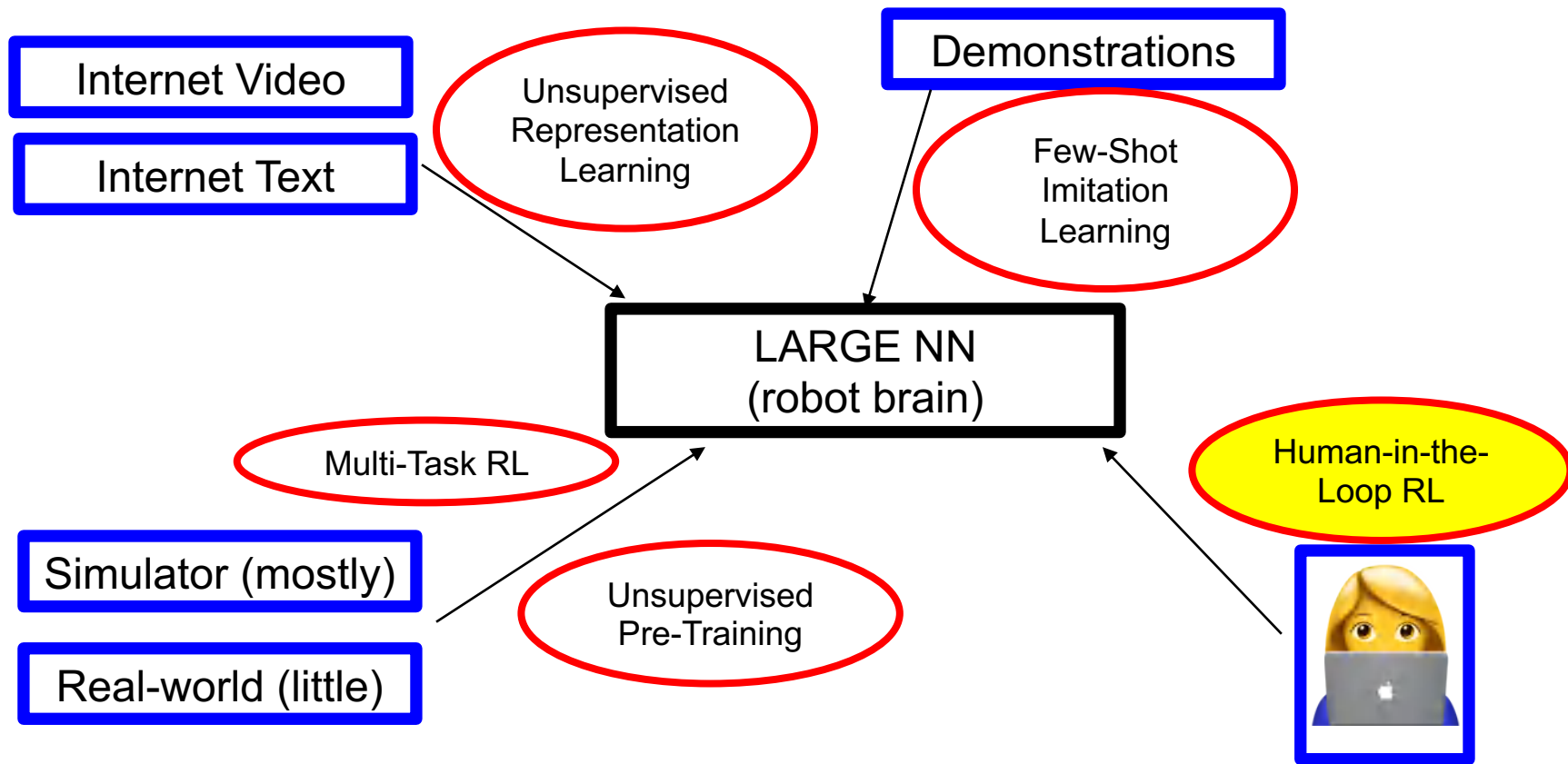
Game	Random	Human	SimPLe	DER	CURL	DrQ	SPR	VISR	APT	APS (ours)
Alien	227.8	7127.7	616.9	739.9	558.2	771.2	801.5	364.4	2614.8	934.9
Amidar	5.8	1719.5	88.0	188.6	142.1	102.8	176.3	186.0	211.5	178.4
Assault	222.4	742.0	527.2	431.2	600.6	452.4	571.0	12091.1	891.5	413.3
Asterix	210.0	8503.3	1128.3	470.8	734.5	603.5	977.8	6216.7	185.5	1159.7
Bank Heist	14.2	753.1	34.2	51.0	131.6	168.9	380.9	71.3	416.7	262.7
BattleZone	2360.0	37187.5	5184.4	10124.6	14870.0	12954.0	16651.0	7072.7	7065.1	26920.1
Boxing	0.1	12.1	9.1	0.2	1.2	6.0	35.8	13.4	21.3	36.3
Breakout	1.7	30.5	16.4	1.9	4.9	16.1	17.1	17.9	10.9	19.1
ChopperCommand	811.0	7387.8	1246.9	861.8	1058.5	780.3	974.8	800.8	317.0	2517.0
Crazy Climber	10780.5	23829.4	62583.6	16185.2	12146.5	20516.5	42923.6	49373.9	44128.0	67328.1
Demon Attack	10780.5	35829.4	62583.6	16185.3	12146.5	20516.5	42923.6	8994.9	5071.8	7989.0
Freeway	0.0	29.6	20.3	27.9	26.7	9.8	24.4	-12.1	29.9	27.1
Frostbite	65.2	4334.7	254.7	866.8	1181.3	331.1	1821.5	230.9	1796.1	496.5
Gopher	257.6	2412.5	771.0	349.5	669.3	636.3	715.2	498.6	2590.4	2386.5
Hero	1027.0	30826.4	2656.6	6857.0	6279.3	3736.3	7019.2	663.5	6789.1	12189.3
Jamesbond	29.0	302.8	125.3	301.6	471.0	236.0	365.4	484.4	356.1	622.3
Kangaroo	52.0	3035.0	323.1	779.3	872.5	940.6	3276.4	1761.9	412.0	5280.1
Krull	1598.0	2665.5	4539.9	2851.5	4229.6	4018.1	2688.9	3142.5	2312.0	4496.0
Kung Fu Master	258.5	22736.3	17257.2	14346.1	14307.8	9111.0	13192.7	16754.9	17357.0	22412.0
Ms Pacman	307.3	6951.6	1480.0	1204.1	1465.5	960.5	1313.2	558.5	2827.1	2092.3
Pong	-20.7	14.6	12.8	-19.3	-16.5	-8.5	-5.9	-26.2	-8.0	12.5
Private Eye	24.9	69571.3	58.3	97.8	218.4	-13.6	124.0	98.3	96.1	117.9
Qbert	163.9	13455.0	1288.8	1152.9	1042.4	854.4	669.1	666.3	17671.2	19271.4
Road Runner	11.5	7845.0	5640.6	9600.0	5661.0	8895.1	14220.5	6146.7	4782.1	5919.0
Seaquest	68.4	42054.7	683.3	354.1	384.5	301.2	583.1	706.6	2116.7	4209.7
Up N Down	533.4	11693.2	3350.3	2877.4	2955.2	3180.8	28138.5	10037.6	8289.4	4911.9
Mean Human-Norm'd	0.000	1.000	44.3	28.5	38.1	35.7	70.4	64.31	69.55	99.04
Median Human-Norm'd	0.000	1.000	14.4	16.1	17.5	26.8	41.5	12.36	47.50	58.80
# Superhuman	0	N/A	2	2	2	2	7	6	7	8

Active Pre-Training: References

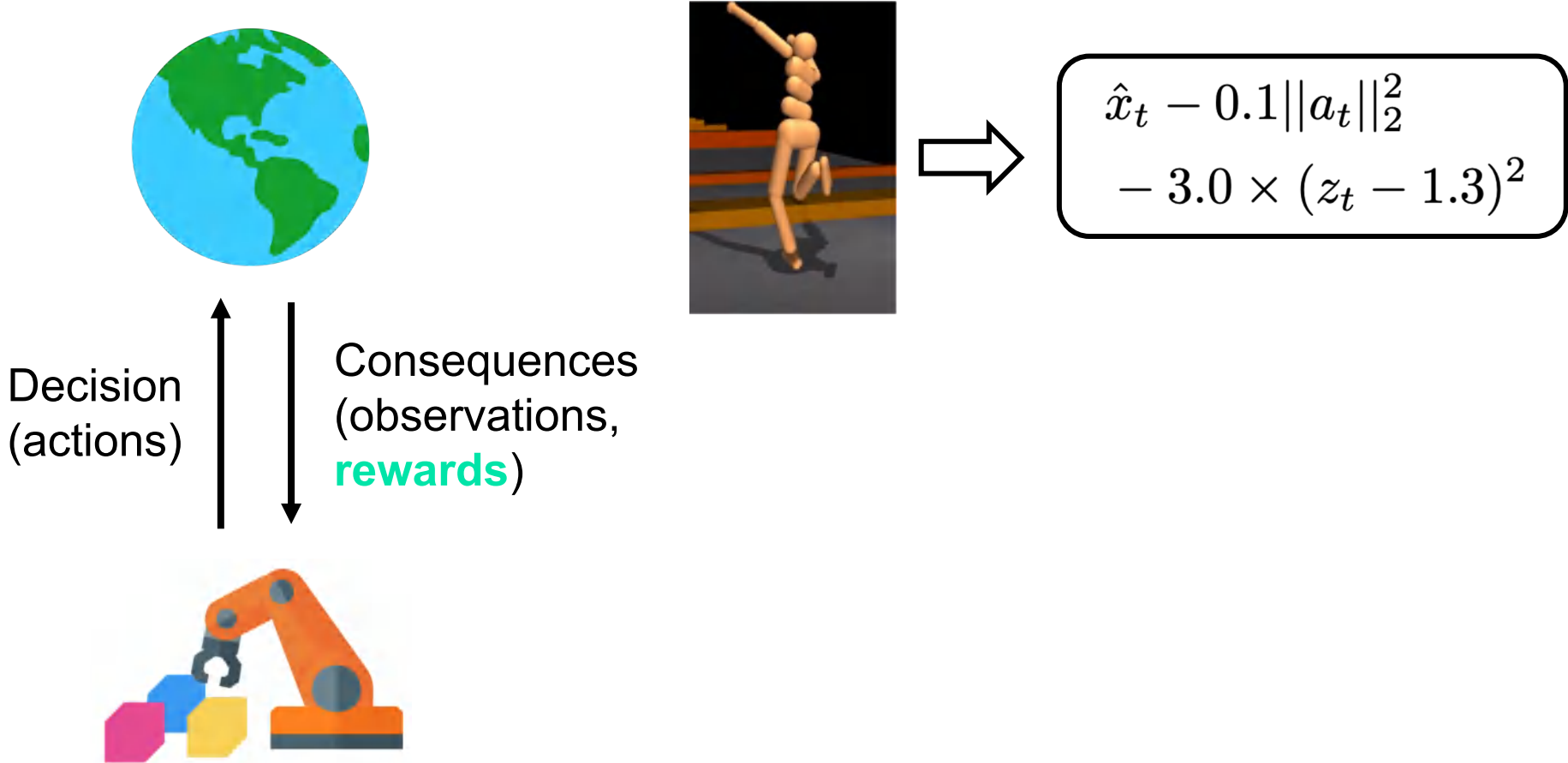
- **VIC:** Variational Intrinsic Control
Gregor et al, 2016
- **DIAYN:** Diversity is all you need
Eysenbach, Gupta, Ibarz, Levine, 2018
- **Valor:** Variational Option Discovery Algorithms
Achiam, Edwards, Amodei, Abbeel, 2018
- **VISR:** Fast Task Inference with Variational Intrinsic Successor Features
Hansen et al, 2020
- **MEPOL:** Task-Agnostic Exploration via Policy Gradient of a Non-Parametric State Entropy Estimate
Mirco Mutti, Lorenzo Pratissoli, Marcello Restelli, 2020
- **EDL:** Explore, Discover and Learn
Campos et al, 2020
- **APT:** Behavior From the Void: Unsupervised Active Pre-Training
Hao Liu & Pieter Abbeel, 2020
- **CPT:** Coverage as a Principle for Discovering Transferable Behavior in Reinforcement Learning
Campos et al, 2021
- **ProtoRL:** Reinforcement Learning with Prototypical Representations
Yarats, Fergus, Lazarus, Pinto, 2021
- **RE3:** State Entropy Maximization with Random Encoders for Efficient Exploration
Seo*, Chen*, Shin, Lee, Abbeel, Lee, 2021
- **APS:** See the Future through the Void: Active Pre-Training with Successor Features
Hao Liu & Pieter Abbeel, 2021
- **ASP:** Intrinsic Motivation and Automatic Curricula via Asymmetric Self-Play
Sukhbaatar, Lin, Kostrikov, Synnaeve, Szlam, Fergus, 2017
- Asymmetric Self-Play for Automatic Goal Discovery in Robotic Manipulation
OpenAI, 2021

Also related: Exploration Bonuses, Curiosity, Surprise, VIME, Planning2Explore, GoExplore

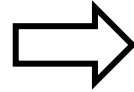
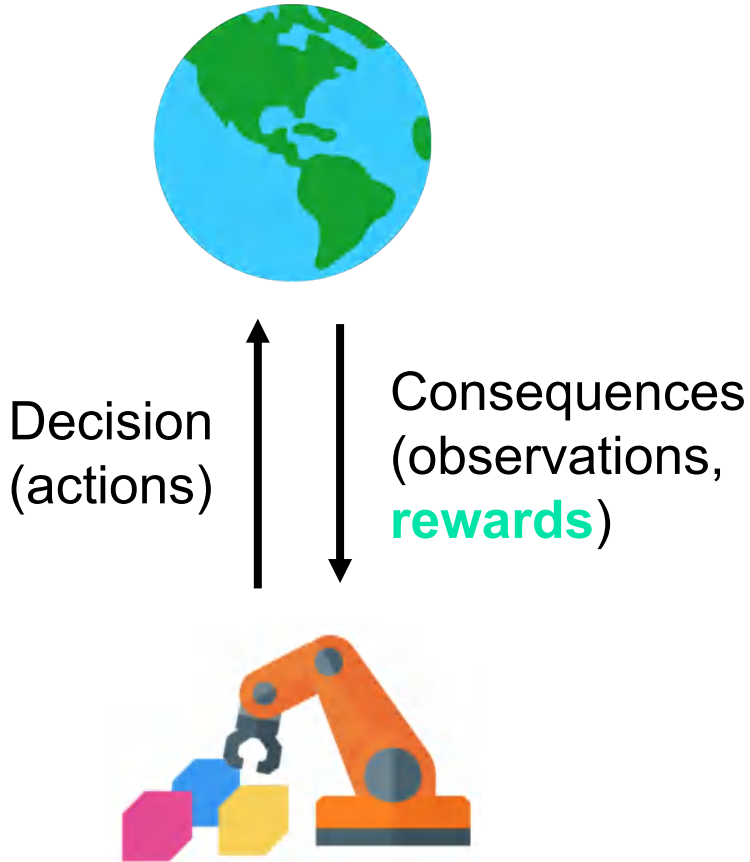
An Attempt at a Complete Picture



Challenge: Designing Suitable Reward



Challenge: Designing Suitable Reward

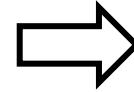
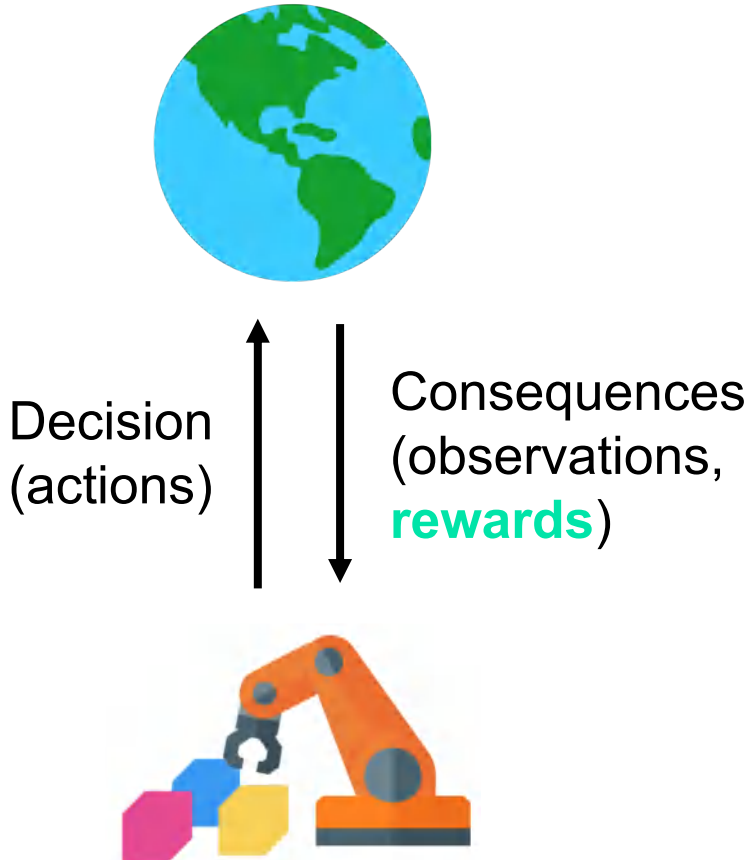


$$\hat{x}_t - 0.1 ||a_t||_2^2 - 3.0 \times (z_t - 1.3)^2$$



Hard tasks to define a reward (e.g. cooking)

Challenge: Designing Suitable Reward



$$\hat{x}_t - 0.1 ||a_t||_2^2 - 3.0 \times (z_t - 1.3)^2$$



Hard tasks to define a reward (e.g. cooking)



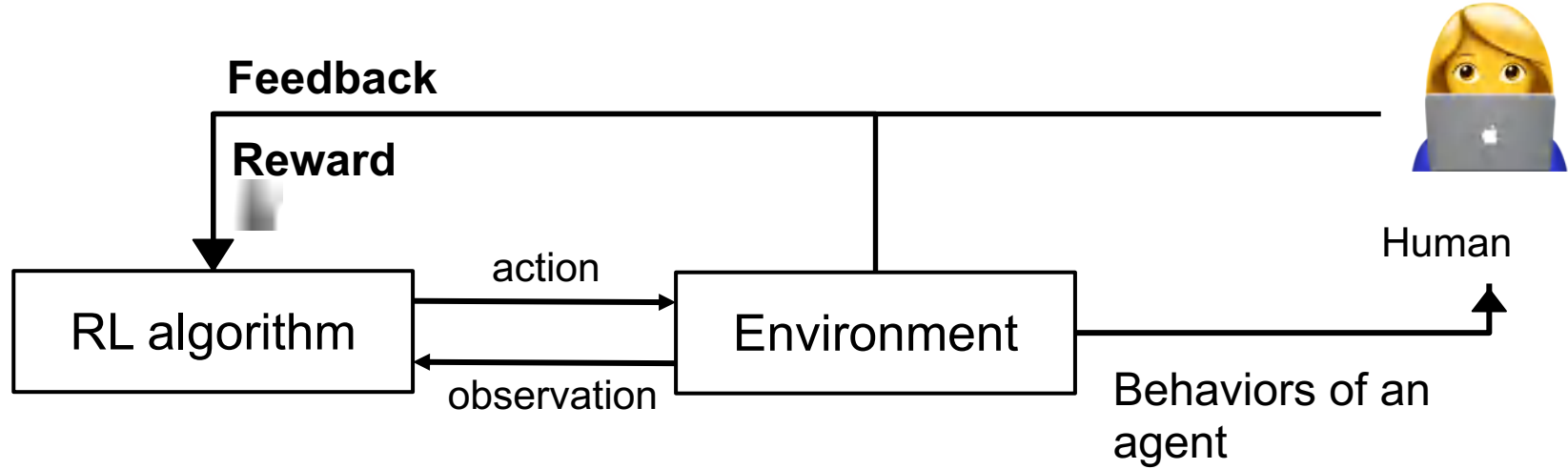
Reward exploitation

<https://openai.com/blog/faulty-reward-functions>

What is an Alternative Solution?

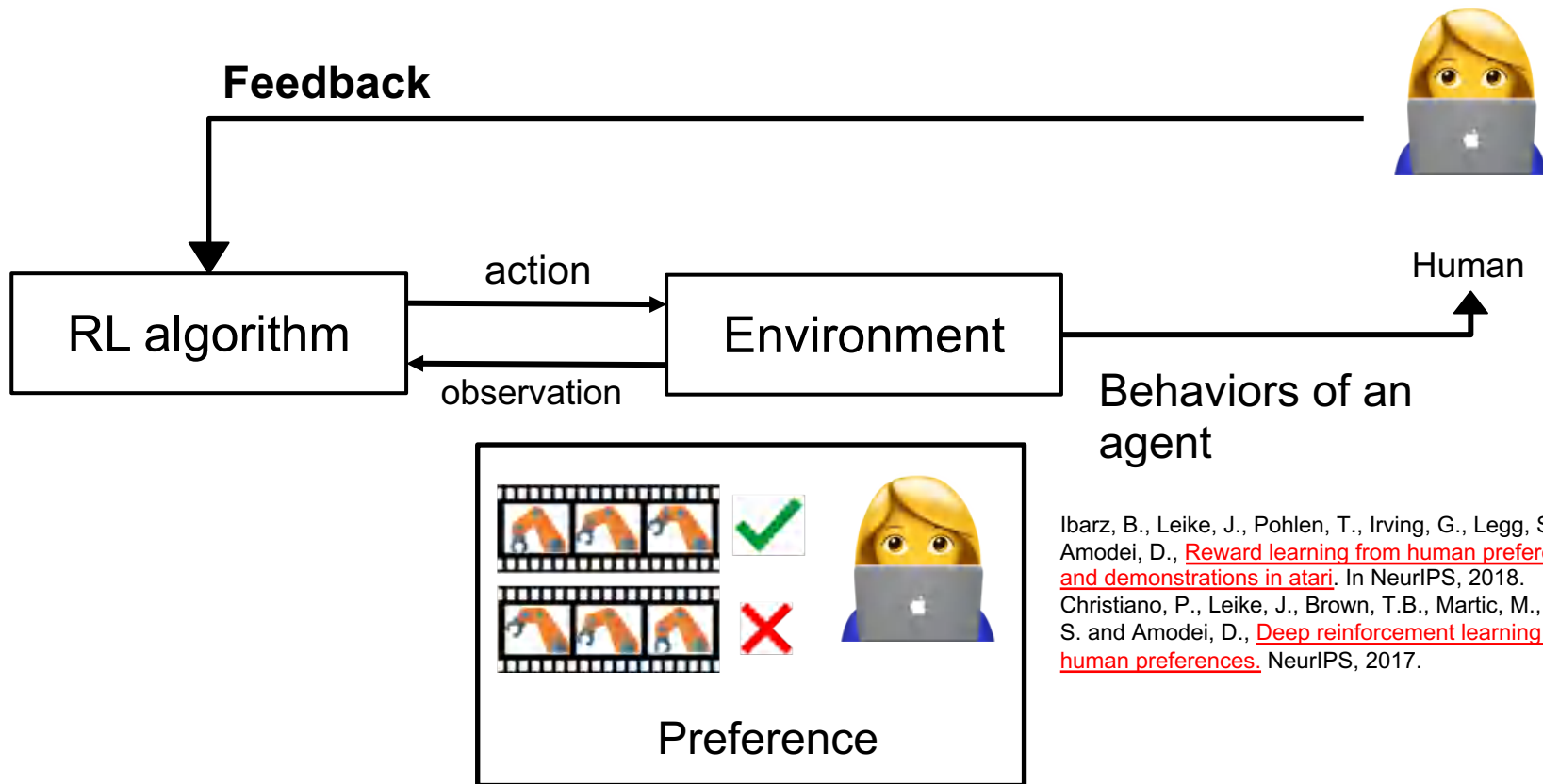
What is an Alternative Solution?

- Putting (non-expert) humans into the agent learning loop!



What is an Alternative Solution?

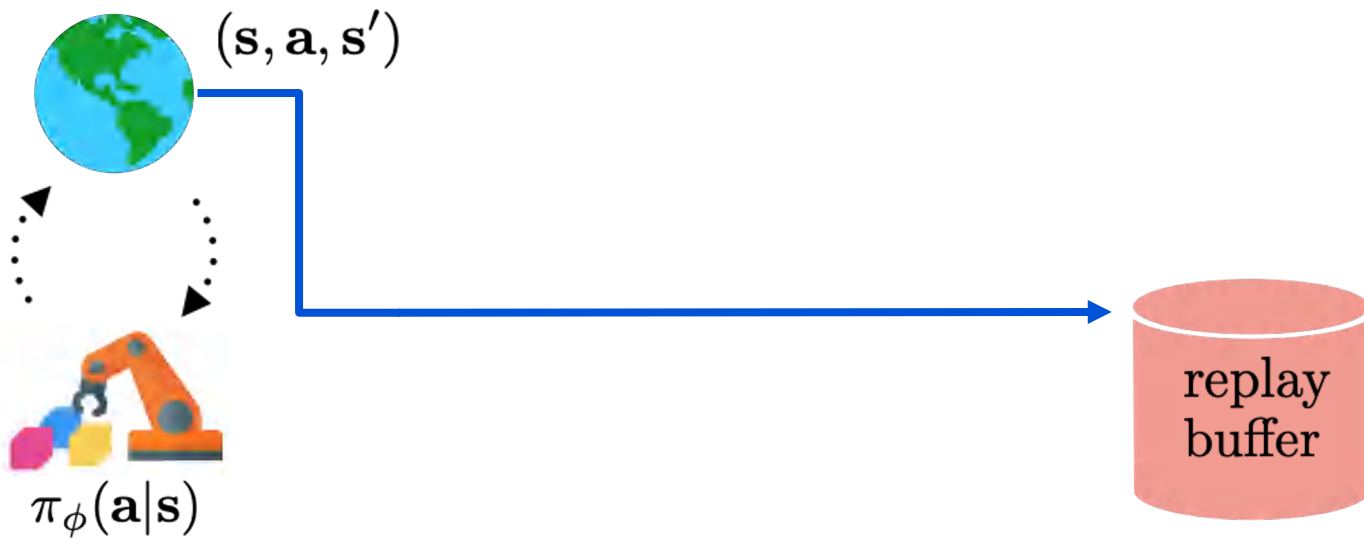
- Putting (non-expert) humans into the agent learning loop!



Ibarz, B., Leike, J., Pohlen, T., Irving, G., Legg, S. and Amodei, D., [Reward learning from human preferences and demonstrations in atari](#). In NeurIPS, 2018.
Christiano, P., Leike, J., Brown, T.B., Martic, M., Legg, S. and Amodei, D., [Deep reinforcement learning from human preferences](#). NeurIPS, 2017.

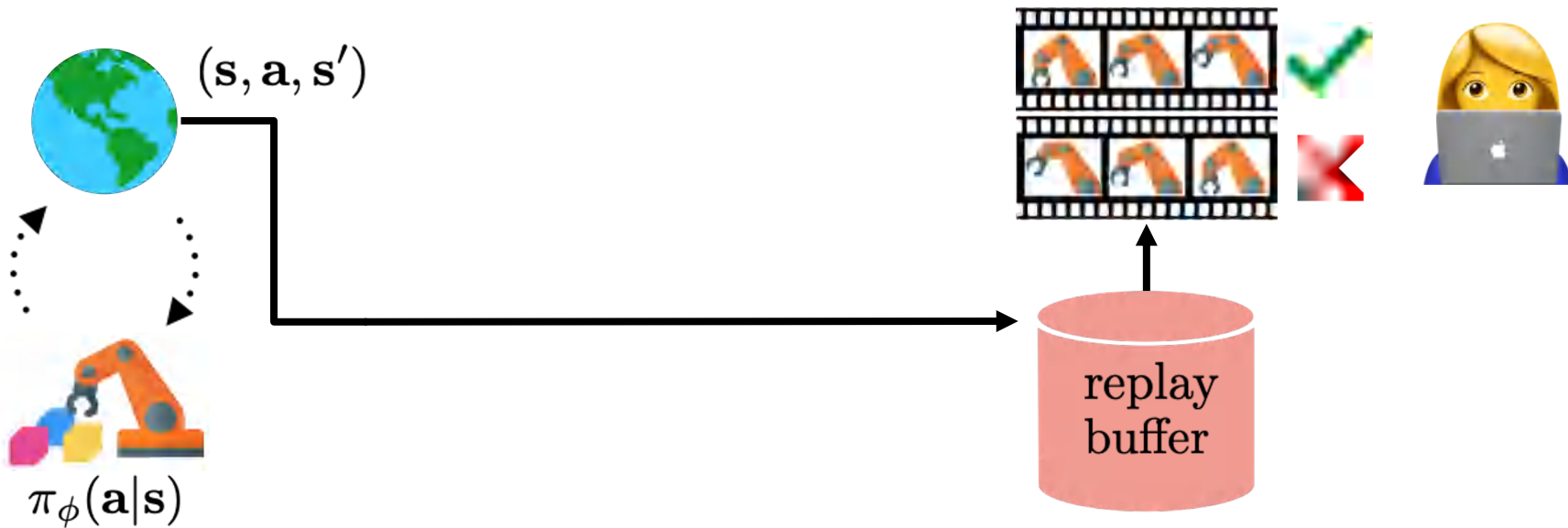
Overall Framework

- Step 1. Collect samples via interactions with environment



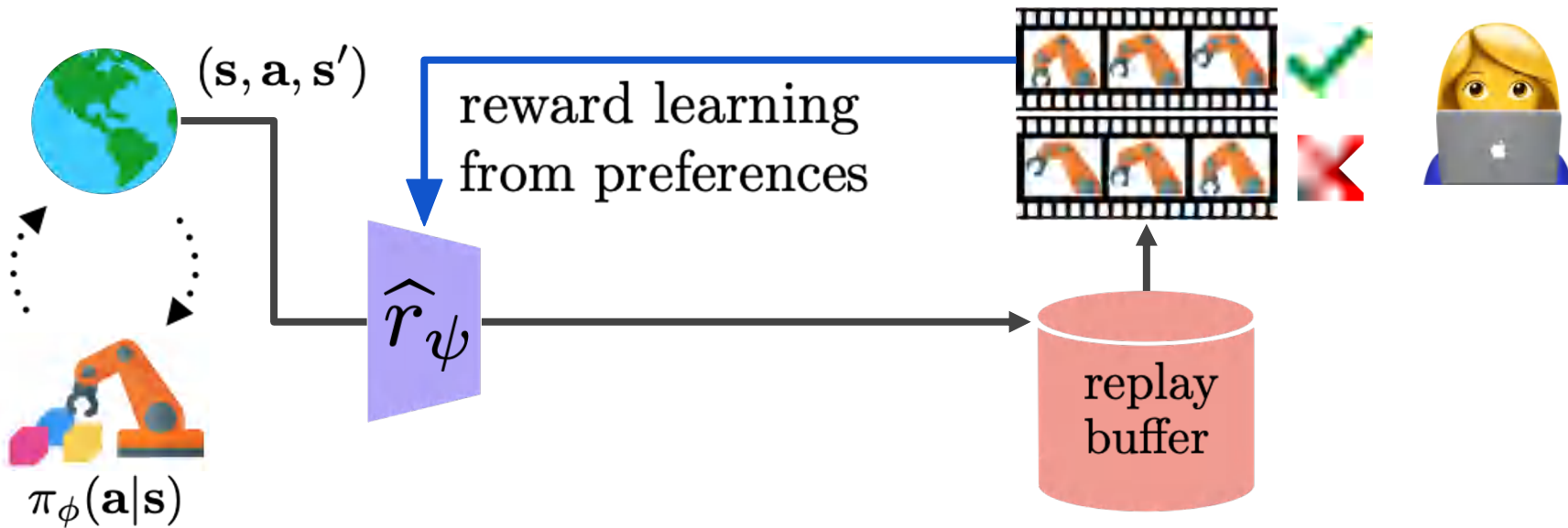
Overall Framework

- Step 1. Collect samples via interactions with environment
- Step 2. Collect human preferences



Overall Framework

- Step 1. Collect samples via interactions with environment
- Step 2. Collect human preferences
- Step 3. Optimize a reward model using cross entropy loss



Learning Reward from Preferences

- Fitting a reward model [1]
 - Main idea: formulate this problem as a binary classification!
 - By following the Bradley-Terry model [2], we can model a **preference predictor** as follows:

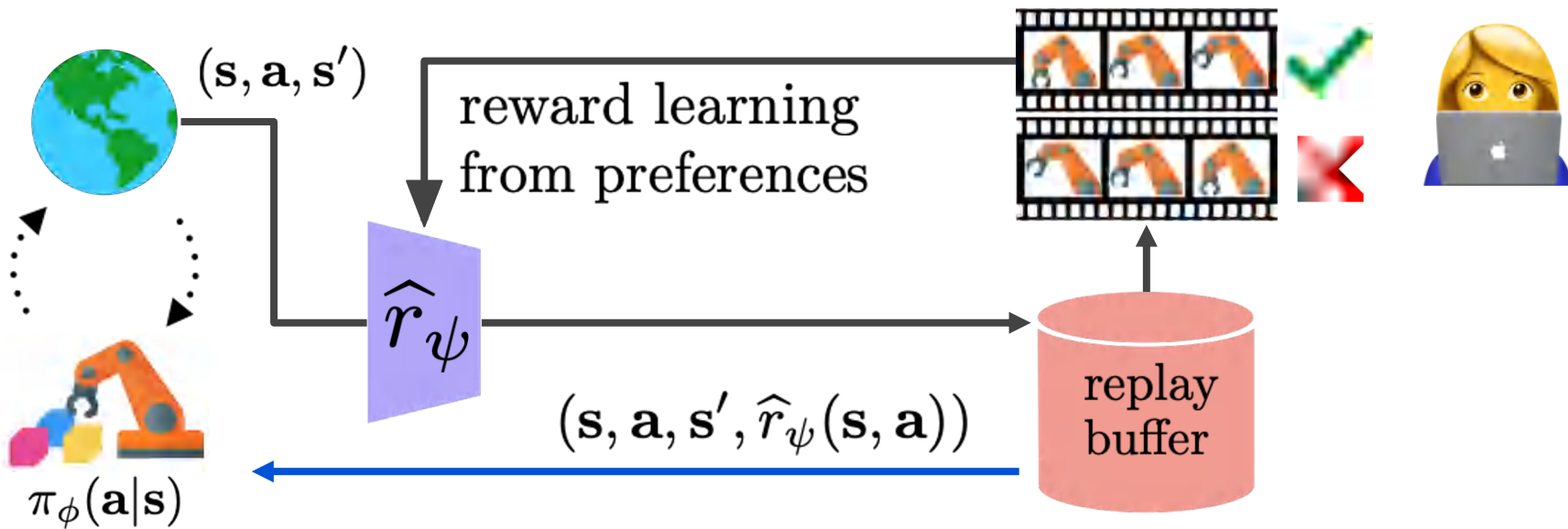
$$P_{\psi}[\sigma^1 \succ \sigma^0] = \frac{\exp \sum_t \hat{r}(\mathbf{s}_t^1, \mathbf{a}_t^1)}{\sum_{i \in \{0,1\}} \exp \sum_t \hat{r}(\mathbf{s}_t^i, \mathbf{a}_t^i)}$$

[1] Christiano, P., Leike, J., Brown, T.B., Martic, M., Legg, S. and Amodei, D., Deep reinforcement learning from human preferences. NeurIPS, 2017.

[2] Bradley, R.A. and Terry, M.E., Rank analysis of incomplete block designs: I. The method of paired comparisons. Biometrika, 39(3/4), pp.324-345, 1952.

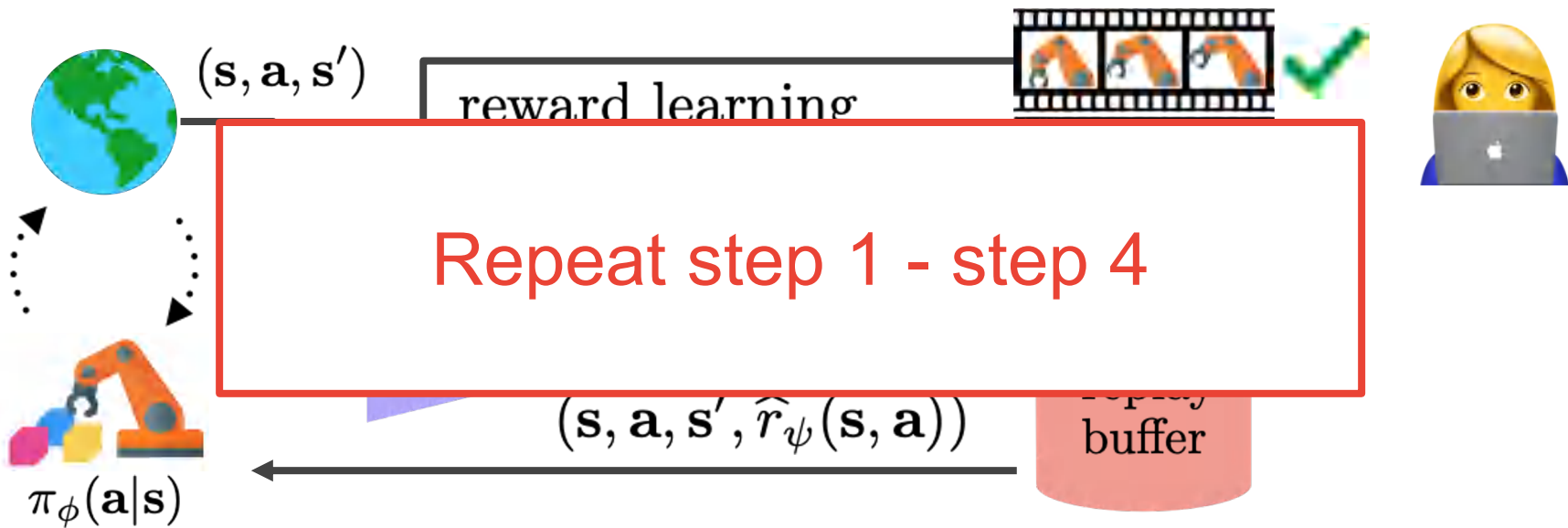
Overall Framework

- Step 1. Collect samples via interactions with environment
- Step 2. Collect human preferences
- Step 3. Optimize a reward model using cross entropy loss
- Step 4. Optimize a policy using off-policy algorithms



Overall Framework

- Step 1. Collect samples via interactions with environment
- Step 2. Collect human preferences
- Step 3. Optimize a reward model using cross entropy loss
- Step 4. Optimize a policy using off-policy algorithms



Unsupervised Pre-training: APT

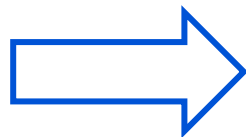
- Obtaining a good initial state space coverage is important!
 - Human can't convey much meaningful information to the agent

Unsupervised Pre-training: APT

- Obtaining a good initial state space coverage is important!
 - Human can't convey much meaningful information to the agent



Behavior from random exploration policy

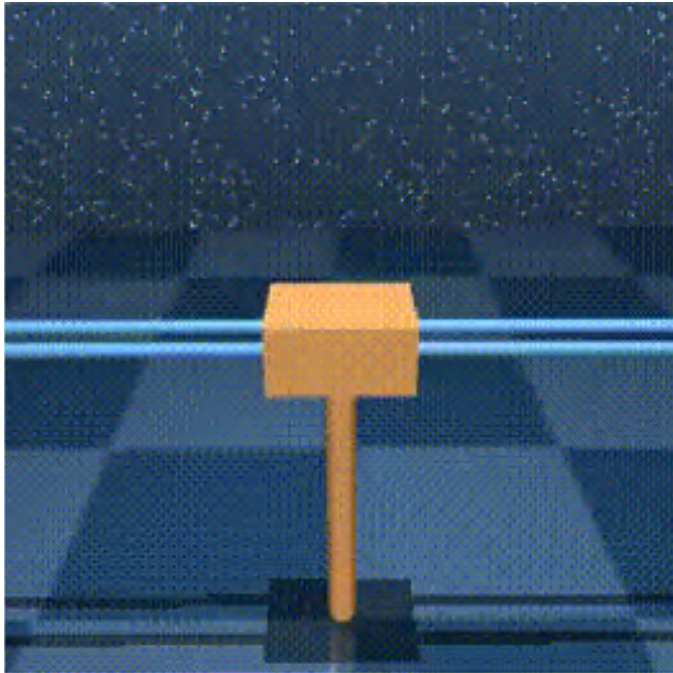


Behavior from pre-trained policy

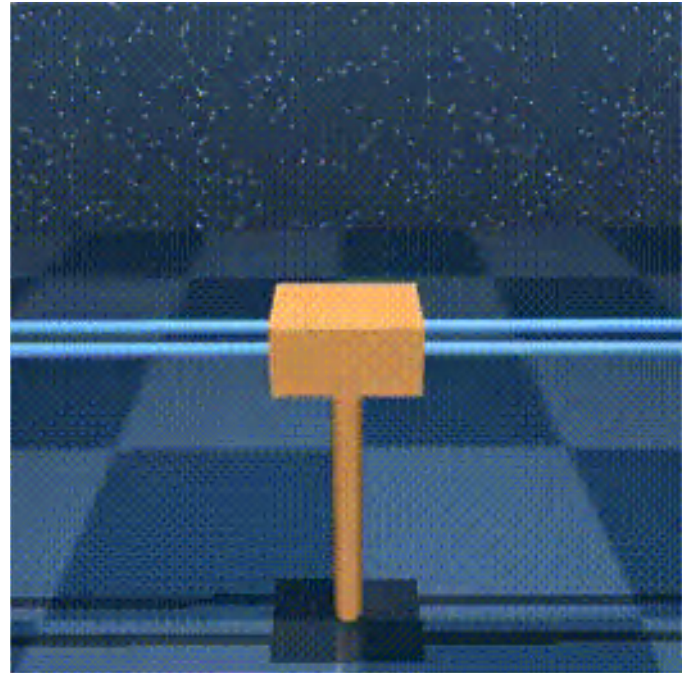
Can Human Teach Novel Behaviors?

Can Human Teach Novel Behaviors?

- 40 queries in less than 5 mins



Counter clockwise



Clockwise

Can Human Teach Novel Behaviors?

- 200 queries in less than 30 mins



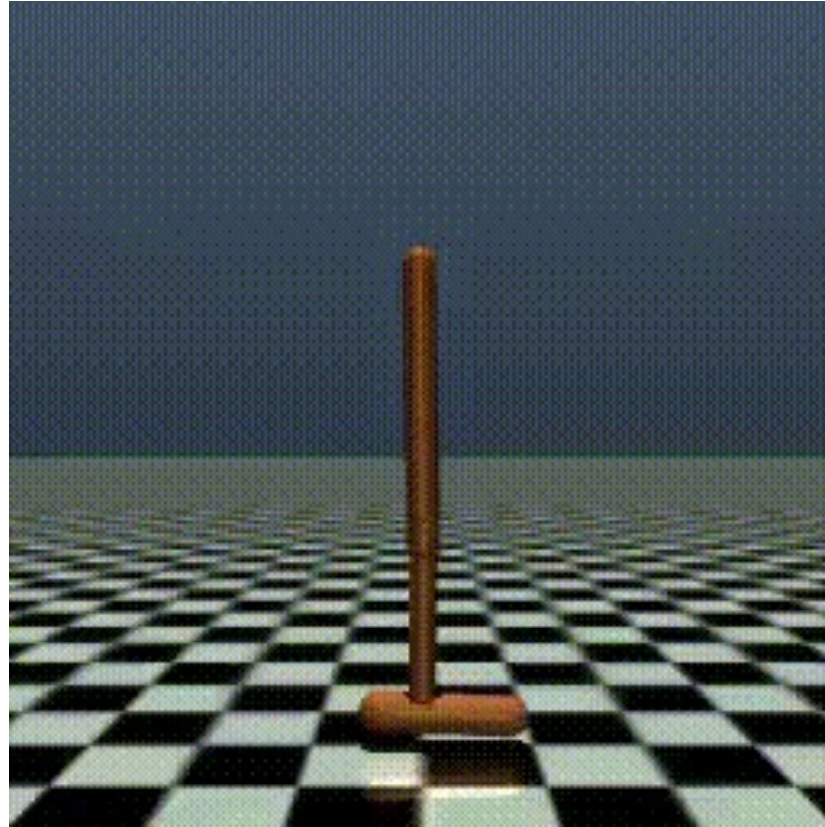
Waving left front leg



Waving right front leg

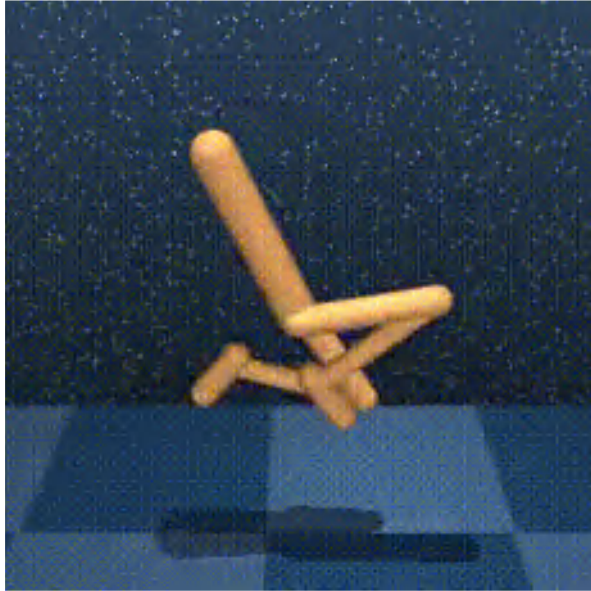
Can Human Teach Novel Behaviors?

- 50 queries



Can We Avoid Reward Exploitation?

Can We Avoid Reward Exploitation?



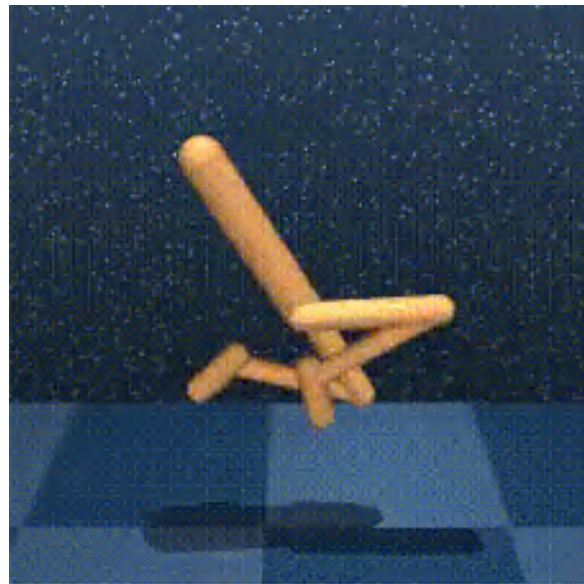
SAC with task reward on walker, walk
(use one leg even if score ≈ 1000)

Can We Avoid Reward Exploitation?

- 150 queries in less than 20 mins



SAC with task reward on walker, walk
(use one leg even if score ≈ 1000)



SAC trained with human feedback
(use both legs)

Benchmarking

- We generate preferences using a scripted teacher [1, 2]:

$$\begin{array}{l} \sigma^0 = \{(s_t^0, a_t^0), \dots, (s_{t+H}^0, a_{t+H}^0)\} \\ \sigma^1 = \{(s_t^1, a_t^1), \dots, (s_{t+H}^1, a_{t+H}^1)\} \end{array} \Rightarrow \text{Robot} \Rightarrow y = 1 \quad \text{if } \sum_t r^*(s_t^1, a_t^1) > \sum_t r^*(s_t^0, a_t^0)$$

True task reward

Preferences are immediately generated
→ more rapid experiments

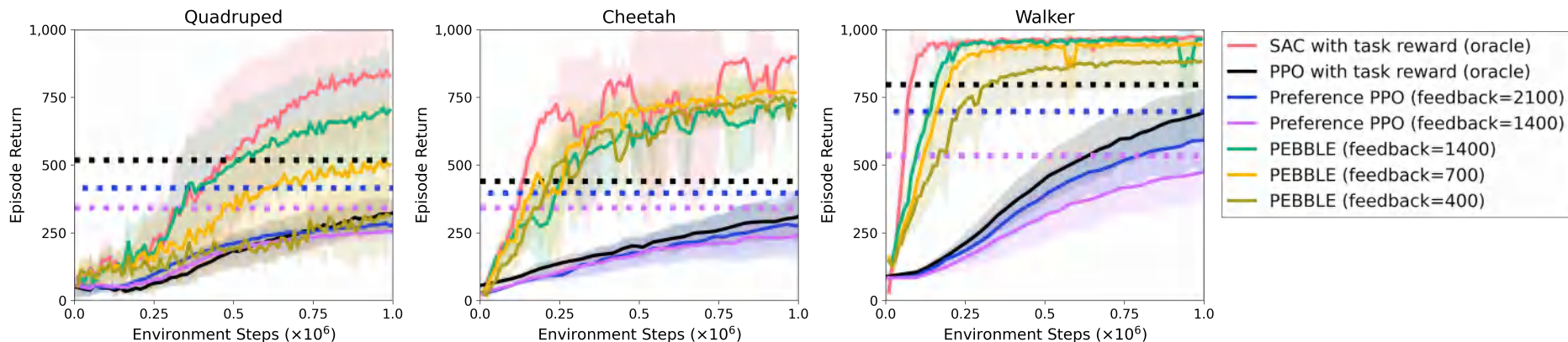
We can evaluate the agent quantitatively by
measuring the true average return

[1] Ibarz, B., Leike, J., Pohlen, T., Irving, G., Legg, S. and Amodei, D., [Reward learning from human preferences and demonstrations in atari](#). In NeurIPS, 2018.

[2] Christiano, P., Leike, J., Brown, T.B., Martic, M., Legg, S. and Amodei, D., [Deep reinforcement learning from human preferences](#). NeurIPS, 2017.

Comparison: Locomotion Tasks

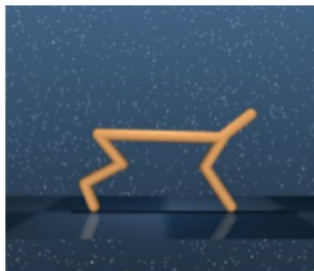
- Learning curves (10 random seeds)



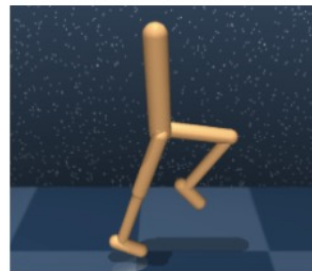
* Asymptotic performance of PPO and Preference PPO is indicated by dotted lines of the corresponding color



Quadruped



Cheetah

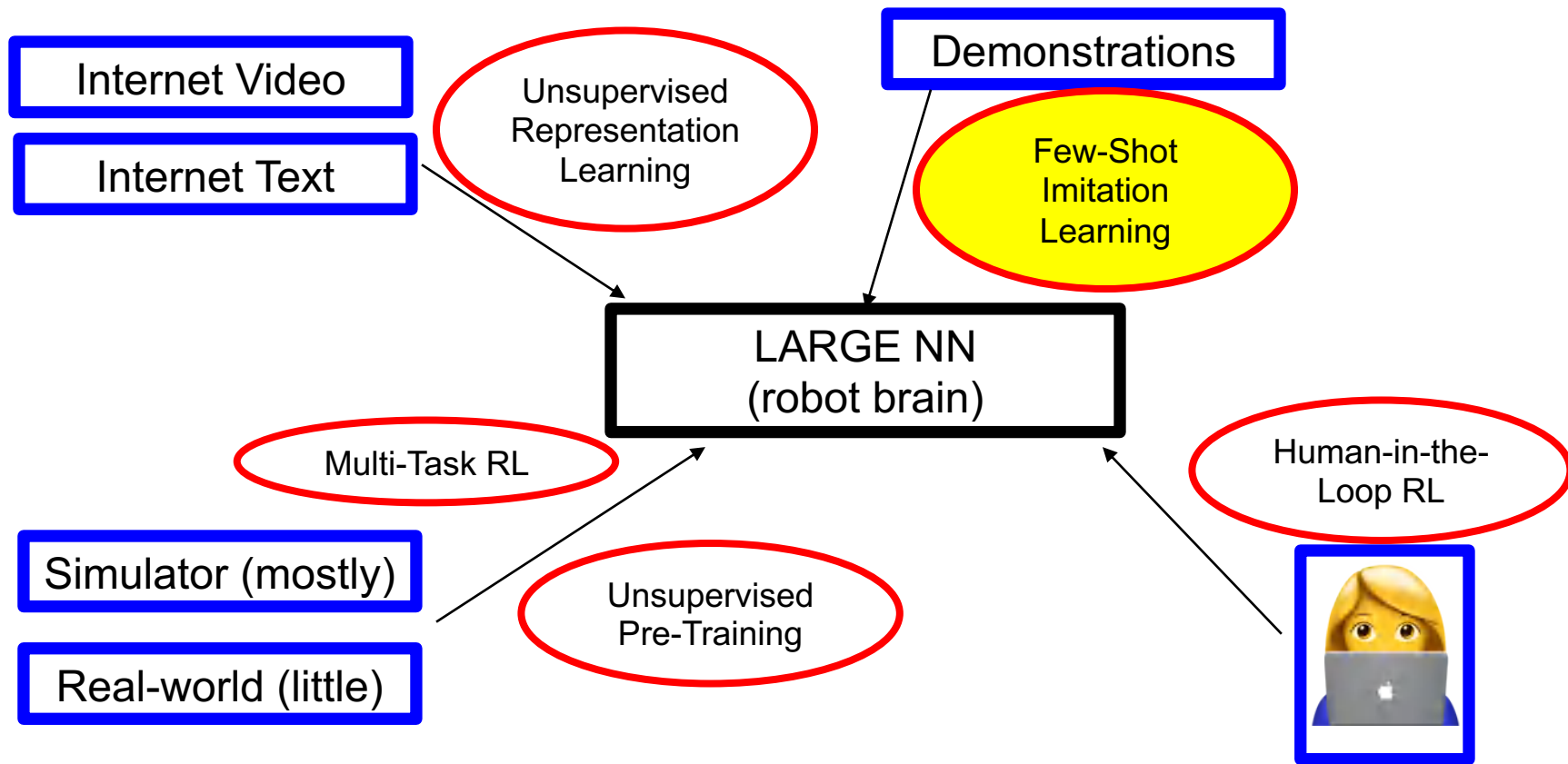


Walker

References

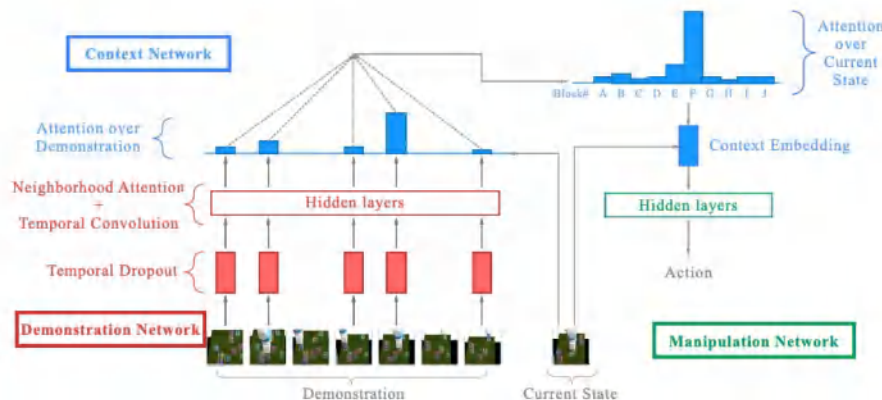
- **Preference-based RL**
 - **PEBBLE: Feedback-Efficient Interactive Reinforcement Learning via Relabeling Experience and Unsupervised Pre-training**
Kimin Lee*, Laura Smith*, Pieter Abbeel, 2021
 - **DRL from Human Preferences**
Paul Christano, J Leike, T Brown, M Martic, S Legg, D Amodei, 2017
 - **Reward Learning from Human Preferences and Demonstrations**
Ibarz, Leike, Pohlen, Irving, Legg, Amodei 2018
- **Binary-feedback RL**
 - **COACH: Interactive Learning from Policy-Dependent Human Feedback**
MacGlashan, Ho, Loftin, Peng, Wang, Roberts, Taylor, Littman, 2017
 - **Deep Coach: Deep Reinforcement Learning from Policy-Dependent Human Feedback**
Arumugam, Lee, Saskin, Littman, 2019
 - **TAMER: Interactively Shaping Agents via Human Reinforcement: The TAMER Framework**
Knox, Stone, 2009
 - **Deep TAMER: Interactive Agent Shaping in High-Dimensional State Spaces**
Warnell, Waytowich, Lawhern, Stone, 2018

An Attempt at a Complete Picture



Few Shot Imitation Learning

- One-Shot Imitation Learning, Duan et al, NeurIPS 2017



- One-Shot Visual Imitation Learning via Meta-Learning, Finn et al, CoRL 2017
- Transformers for One-Shot Visual Imitation, Dasari & Gupta, CorL 2020

Few Shot Imitation Learning -- Limitation

- Single task family

- E.g.



Language Models (e.g. OpenAI's GPT-2)

Prompt: A train carriage containing controlled nuclear materials was stolen in Cincinnati today. Its whereabouts are unknown.

GPT-2: *The incident occurred on the downtown train line, which runs from Covington and Ashland stations. In an email to Ohio news outlets, the U.S. Department of Energy said it is working with the Federal Railroad Administration to find the thief.*

'The theft of this nuclear material will have significant negative consequences on public and environmental health, our workforce and the economy of our nation,' said Tom Hicks, the U.S. Energy Secretary, in a statement. 'Our top priority is to secure the theft and ensure it doesn't happen again.'

The stolen material was taken from the University of Cincinnati's Research Triangle Park nuclear research site, according to a news release from Department officials.

The Nuclear Regulatory Commission did not immediately release any information. According to the release, the U.S. Department of Energy's Office of Nuclear Material Safety and Security is leading that team's investigation.

'The safety of people, the environment and the nation's nuclear stockpile is our highest priority,' Hicks said. 'We will get to the bottom of this and make no excuses.'

Text Generation

's GPT-2)

SYSTEM PROMPT
(HUMAN-WRITTEN)

In a shocking find, remote, previously unknown, surprising to the English.

MODEL COMPLETION
(MACHINE-WRITTEN,
10 TRIES)

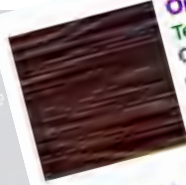
The scientist named Ovid's Unicorn. The previously unknown

Now, after almost two odd phenomenon is finally

Dr. Jorge Pérez, an evolutionary biologist from La Paz, and several colleagues found Unicorn Mountains when they found fossils of humans. Pérez noticed a natural fountain, surrounded by snow.

Pérez and the others then found the time we reached the top of the mountain with some crystals on top,"

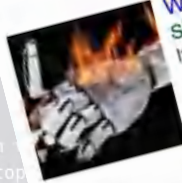
Pérez and his friends were astonished. These creatures could be seen in the mountains too much to see them – they were called Unicorn horns.



OpenAI built a text generator so good, it's considered too dangerous to release ...
TechCrunch - 17 Feb 2019
OpenAI built a text generator so good, it's considered too dangerous to release ...
OpenAI said its new natural language model, GPT-2, was trained to ... said, it's only releasing a smaller version of the language model, citing its ...
So Advanced They Say It's Too Dangerous ...
Scientists Developed an AI
ScienceAlert - 18 Feb 2019
Scientists Developed an AI **too dangerous to release**, creators claim

AI text writing technology 'absolutely devastate' the internet as we know it
The Drum - 17 Feb 2019
This technology could 'absolutely devastate' the internet as we know it
NEWS.com.au - 17 Feb 2019
This AI is **so good at writing** that its creators won't let you use it
In-Depth - CNN - 18 Feb 2019
Lord of The Rings, Celebrity Gossip: This AI is So Good at Writing That ...
In-Depth - News18 - 18 Feb 2019

[View all](#)



When Is Technology Too Dangerous To Release to the Public?
Slate Magazine - 22 Feb 2019
If your knowledge of the model, called GPT-2, came solely on headlines ... U.K. read, "Elon Musk-Founded OpenAI Builds Artificial Intelligence **So ...** had trained a language model using text from 8 million webpages to predict ...
AI Weekly: Experts say OpenAI's controversial model is a potential ...
In-Depth - VentureBeat - 22 Feb 2019

OpenAI's Text Model so Disruptive it's Deemed Too Dangerous To Release ...
Computer Business Review - 15 Feb 2019
OpenAI's Text Model so Disruptive it's Deemed Too Dangerous To Release ...
OpenAI has declined to release the full research due to concerns over ... We've trained an unsupervised language model that can generate ...
New AI fake text generator may be too dangerous to release, say ...
Highly Cited - The Guardian - 14 Feb 2019



[View all](#)

bizarre creatures the scientists discovered spoke some fairly regular English. Pérez gave an example, that they have a common dialect or dialectic."

The unicorns may have originated in the mountains. They were believed to be descendants of a creature that lived there before the arrival of humans in the Americas.

Unclear, some believe that perhaps a human and a unicorn met each other in civilization. According to Pérez, the creatures seem to be quite common."

that it is likely that the only creatures are indeed the descendants of a creature that lived there before the arrival of humans in the Americas. "But they seem to be able to speak some fairly regular English, which I believe is a sign of a creature that lived there before the arrival of humans in the Americas," said the social organization," said the

Benchmarks – GPT-2

DATASET	METRIC	OUR RESULT	PREVIOUS RECORD	HUMAN
Winograd Schema Challenge	accuracy (+)	70.70%	63.7%	92%+
LAMBADA	accuracy (+)	63.24%	59.23%	95%+
LAMBADA	perplexity (-)	8.6	99	~1-2
Children's Book Test Common Nouns (validation accuracy)	accuracy (+)	93.30%	85.7%	96%
Children's Book Test Named Entities (validation accuracy)	accuracy (+)	89.05%	82.3%	92%
Penn Tree Bank	perplexity (-)	35.76	46.54	unknown
WikiText-2	perplexity (-)	18.34	39.14	unknown

Benchmarks -- BERT

GLUE Results

System	MNLI-(m/mm) 392k	QQP 363k	QNLI 108k	SST-2 67k	CoLA 8.5k	STS-B 5.7k	MRPC 3.5k	RTE 2.5k	Average -
Pre-OpenAI SOTA	80.6/80.1	66.1	82.3	93.2	35.0	81.0	86.0	61.7	74.0
BiLSTM+ELMo+Attn	76.4/76.1	64.8	79.9	90.4	36.0	73.3	84.9	56.8	71.0
OpenAI GPT	82.1/81.4	70.3	88.1	91.3	45.4	80.0	82.3	56.0	75.2
BERT _{BASE}	84.6/83.4	71.2	90.1	93.5	52.1	85.8	88.9	66.4	79.6
BERT _{LARGE}	86.7/85.9	72.1	91.1	94.9	60.5	86.5	89.3	70.1	81.9

Might these pre-trained transformers
be ***even*** more general?

Pretrained Transformers As Universal Computation Engines

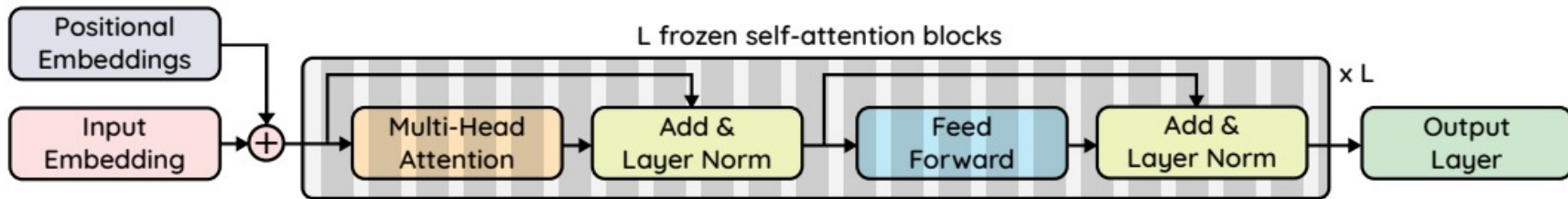
Kevin Lu
UC Berkeley
kzl@berkeley.edu

Pieter Abbeel
UC Berkeley
pabbeel@cs.berkeley.edu

Aditya Grover
Facebook AI Research
adityagrover@fb.com

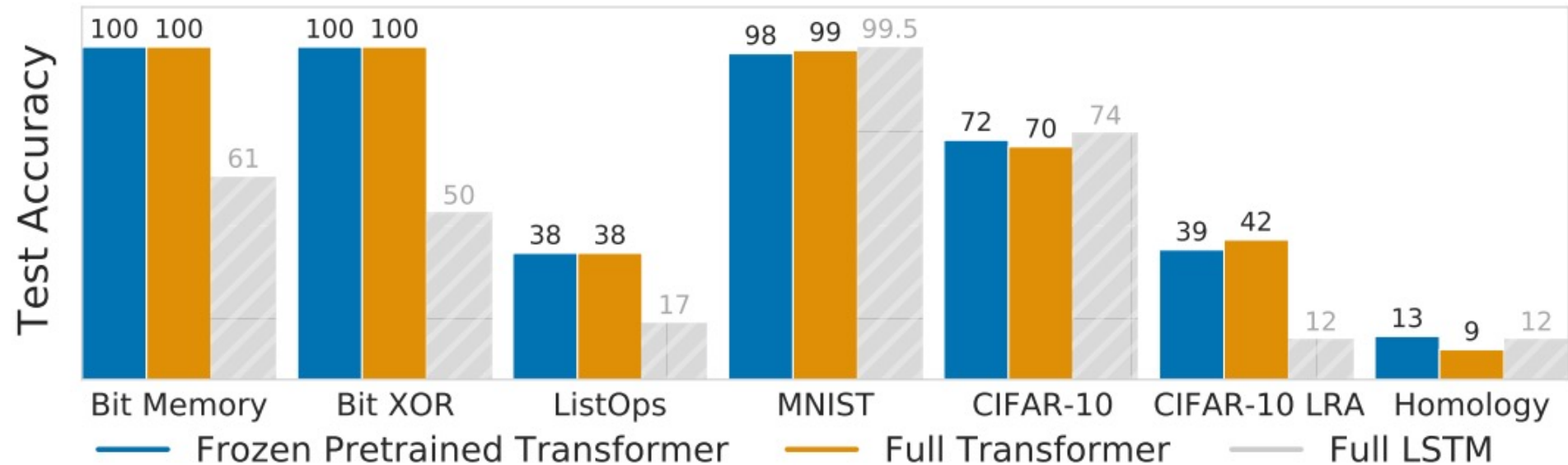
Igor Mordatch
Google Brain
imordatch@google.com

Pre-Trained Model + .1% finetune



- ***Pre-train:*** language corpus next-token prediction
- ***Minimally fine-tune:***
 - Bit memory
 - Bit XOR
 - ListOps
 - MNIST
 - CIFAR-10 and CIFAR-10 LRA
 - Remote homology detection

Can pretrained LMs transfer to new modalities?

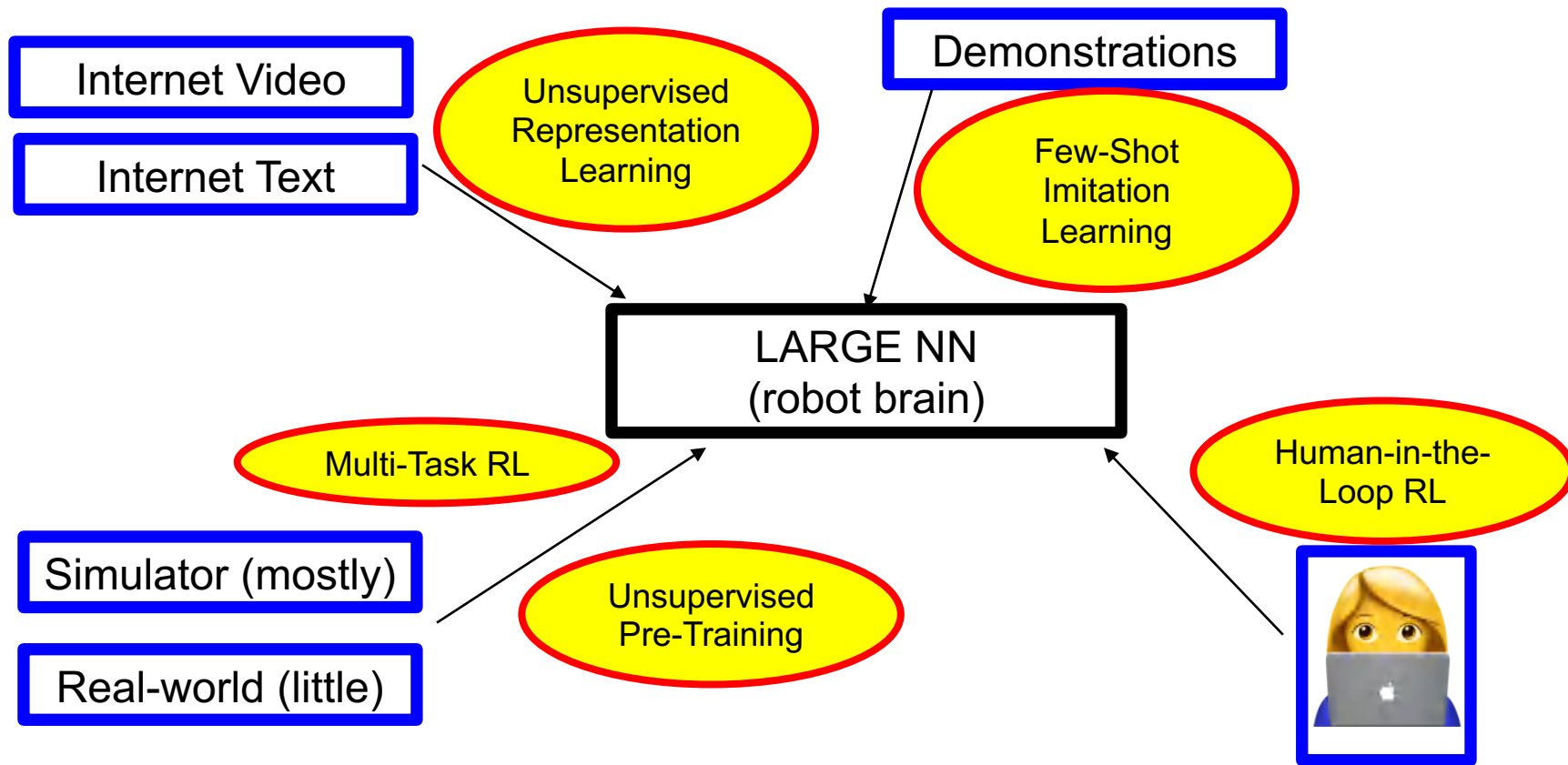


What's the importance of the pretraining modality?

Model	Bit Memory	XOR	ListOps	MNIST	C10	C10 LRA	Homology
FPT	100%	100%	38.4%	98.0%	68.2%	38.6%	12.7%
Random	75.8%	100%	34.3%	91.7%	61.7%	36.1%	9.3%
Bit	100%	100%	35.4%	97.8%	62.6%	36.7%	7.8%
ViT	100%	100%	37.4%	97.8%	72.5%	43.0%	7.5%

Table 2: Test accuracy of language-pretrained (FPT) vs randomly initialized (Random) vs Bit Memory pretraining (Bit) vs pretrained Vision Transformer (ViT) models. The transformer is frozen.

Summary



Thank you!

pabbeel@cs.berkeley.edu