An example of a thesis on the subject of your degree

by

Stuart Arthur Dent

M.Sc., Wossamotta University, 1963 B.Sc., Unseen University, 1836

Thesis Submitted in Partial Fulfillment of the Requirements for the Degree of Doctor of Philosophy

 $\begin{array}{c} \text{in the} \\ \text{Department of Inadvisably Applied Mathematics} \\ \text{Faculty of Example Names} \end{array}$

© Stuart Arthur Dent 2021 SIMON FRASER UNIVERSITY Fall 2021

Copyright in this work is held by the author. Please ensure that any reproduction or re-use is done in accordance with the relevant national copyright legislation.

Declaration of Committee

Name: Stuart Arthur Dent

Degree: Doctor of Philosophy

Thesis title: An example of a thesis on the subject of your

 \mathbf{degree}

Committee: Chair: Pamela Isely

Assistant Professor, Computing Science

Emmett Brown

Supervisor

Professor, Computing Science

Bonnibel Bubblegum

Committee Member

Associate Professor, Computing Science

James Moriarty

Committee Member

Adjunct Professor, Computing Science

Kaylee Frye

Examiner

Assistant Professor, Engineering Science

Hubert J. Farnsworth

External Examiner

Professor

Department of Quantum Fields

Mars University

Abstract

Abstract paragraphs should be unindented. Master's abstracts are limited to 150 words; the limit is 350 words for doctoral abstracts. Abstract text must fit on a single page.

 $\textbf{Keywords:} \ \text{thesis template; Simon Fraser University; } \ \underline{\texttt{LMTEX}} \ \text{time travel paradoxes}$

Dedication

This is an optional page. Use your choice of paragraph style for text on this page.

Acknowledgements

This is an optional page. Use your choice of paragraph style for text on this page.

Table of Contents

| Declaration of Committee Abstract Dedication | | | | i | |
|--|---|---|--|-----------|------------------|
| | | | | iii iv | |
| | | | | | Acknowledgements |
| Table of Contents | | | | vi | |
| Li | st of | Table | ${f s}$ | vii | |
| Li | st of | Figur | es | ix | |
| 1 | Introduction | | | 1 | |
| | 1.1 | Black | boxes and Evaluation homomorphism | 1 | |
| | | 1.1.1 | Blackboxes | 1 | |
| | | 1.1.2 | Evaluation homomorphism | 1 | |
| 2 | Maximal Quotient Rational Function Reconstruction | | | 2 | |
| | 2.1 | Univariate Rational function reconstruction | | 2 | |
| | | 2.1.1 | Interpolation | 2 | |
| | | 2.1.2 | Extended Euclidean Algorithm | 2 | |
| | | 2.1.3 | Chinese Remainder Theorem | 3 | |
| | | 2.1.4 | Chinese remainder theorem and evaluating black box for polynomials | 3 | |
| | | 2.1.5 | Main idea | 4 | |
| 3 | Ben-Or and Tiwari's Multivariate Polynomial Interpolation | | | 6 | |
| | 3.1 Introduction | | 6 | | |
| 4 | Multivariate Rational function interpolation | | | 8 | |
| | 4.1 Introduction | | 8 | | |
| Bibliography | | | | | |

List of Tables

List of Figures

Introduction

1.1 Blackboxes and Evaluation homomorphism

1.1.1 Blackboxes

Definition 1.1.1. A blackbox is a function that takes an input and produces an output. The internal workings of the function are not known to the user. The user can only interact with the blackbox by providing inputs and observing the outputs.

1.1.2 Evaluation homomorphism

Definition 1.1.2. Let K be a field and $K[x] \in K(x)$ be the ring of polynomials and field of rational functions respectively. Let $\alpha \in K$ be a point in the field. The evaluation homomorphism is a map

$$\phi: K[x] \longrightarrow K[x]/(x-\alpha) \cong K$$
$$f(x) \longmapsto f(\alpha)$$

Maximal Quotient Rational Function Reconstruction

2.1 Univariate Rational function reconstruction

We are given a black box for a rational function $F(x) = \frac{f(x)}{g(x)} \in K(x)$ where $f(x), g(x) \in K[x]$ and $g(x) \neq 0$. We need to recover the polynomials f(x), g(x) upto a constant factor. We can evaluate the black box at n distinct points $\alpha_1, \ldots, \alpha_n$ to gets the values y_1, \ldots, y_n .

2.1.1 Interpolation

12: return $r_l, s_l, t_l \in K[x]$

Given a set of points

2.1.2 Extended Euclidean Algorithm

Algorithm 1 Extended Euclidean Algorithm

1: $r_0 \leftarrow f$, $s_0 \leftarrow 1$, $t_0 \leftarrow 0$ 2: $r_1 \leftarrow g$, $s_1 \leftarrow 0$, $t_1 \leftarrow 1$ 3: i=14: **while** $r_i \neq 0$ **do** do 5: $q_i \leftarrow r_{i-1}/r_i$ 6: $r_{i+1} \leftarrow r_{i-1} - q_i r_i$ 7: $s_{i+1} \leftarrow s_{i-1} - q_i s_i$ 8: $t_{i+1} \leftarrow t_{i-1} - q_i t_i$ 9: $i \leftarrow i+1$ 10: **end while** 11: $l \leftarrow i-1$

$$\frac{f}{g} = \underbrace{g^{-1}f}_{g}$$

$$\frac{g^{-1}f}{f}$$

$$\frac{-f}{0}$$

Theorem 2.1.1. Bezout's identity

Let
$$f, g \in K[x]$$
 such that $f, g \neq 0$
Let $d = gcd(f, g)$ then $\exists s, t \in K[x]$ such that $s_i f + t_i g = d$
when $gcd(f, g) = 1 \implies s_l f + t_l g = 1$
 $\implies t_l g \equiv 1 \mod f \implies t_l = \frac{1}{g} \mod f$

2.1.3 Chinese Remainder Theorem

Theorem 2.1.2. [1] Let $I_1, I_2, ..., I_k$ be ideals in a ring R. The map

$$\phi: R \longrightarrow R/I_1 \times R/I_2 \times \cdots \times R/I_k$$
$$r \longmapsto (r+I_1, r+I_2, \dots, r+I_k)$$

is a ring homomorphism with kernel $I_1 \cap I_2 \cap \cdots \cap I_k$. If for each $i, j \in \{1, 2, \dots, k\}$ with $i \neq j$, the ideals I_i, I_j are called comaximal and the map ϕ is surjective. Moreover,

$$I_1 \cap I_2 \cap \cdots \cap I_k = I_1 I_2 \dots I_k$$

$$\implies R/(I_1 I_2 \dots I_k) = R/(I_1 \cap I_2 \cap \cdots \cap I_k) \cong R/I_1 \times R/I_2 \times \cdots \times R/I_k.$$

2.1.4 Chinese remainder theorem and evaluating black box for polynomials

We know the following result from algebra that,

Theorem 2.1.3. Let K[x] be a polynomial ring over a field K and let $I \subset K[x]$ be an ideal of the ring then K[x]/I is a field if and only if I is a maximal ideal.

Given a black box B for a polynomial $f(x) \in K[x]$ and $x = \alpha \in K$ we can evaluate $f(\alpha)$ by querying the black box B at α .

$$\phi: K[x] \longrightarrow K[x]/(x-\alpha)$$

$$f(x) \longmapsto f(\alpha)$$

Thus, evaluating the polynomial at n points is querying the black box at n points $\alpha_1, \ldots, \alpha_n$ is the following homomorphism.

$$\phi: K[x] \longrightarrow K[x]/(x-\alpha_1) \times K[x]/(x-\alpha_2) \times \cdots \times K[x]/(x-\alpha_n)$$
$$f(x) \longmapsto (f(\alpha_1), f(\alpha_2), \dots, f(\alpha_n))$$

From the Chinese remainder theorem, we know that

$$K[x]/(x-\alpha_1) \times K[x]/(x-\alpha_2) \times \cdots \times K[x]/(x-\alpha_n) \cong K[x]/((x-\alpha_1)(x-\alpha_2)\dots(x-\alpha_n))$$

Let
$$\left[\alpha_1,\ldots,\alpha_n\right]\in K^n$$

such that

$$\alpha_i \neq \alpha_j \forall i \neq j \text{ and } n>0.$$
 Let $F(x)=\frac{f(x)}{g(x)} \in K(x), \text{ where } f(x), g(x) \in K[x],$

Let
$$y_i = F(\alpha_i) = \frac{f(\alpha_i)}{g(\alpha_i)}, \forall 1 \le i \le n$$
, such that $g(\alpha_i) \ne 0$.

$$\exists! \ u(x) \in K[x] \text{ of degree} < n \text{ such that } u(\alpha_i) = y_i$$

$$\implies u(x) \equiv y_i \mod (x - \alpha_i)$$

$$\implies F(x) = \frac{f(x)}{g(x)} \equiv u(x) \mod (x - \alpha_i)$$

Let
$$\overline{m}(x) = \prod_{i=1}^{n} (x - \alpha_i)$$

2.1.5 Main idea

- 1. We are given the black box of rational polynomial $F(x) \in K(x)$. We have the option to choose n distinct points $\alpha_1, \ldots, \alpha_n$, such that $n > degree_numerator + degree_denominator$ and evaluate the black box at these points to get y_1, \ldots, y_n .
- 2. Construct two polynomials m, u out of these two sets of points.
- 3. $m(x) = \prod_{i=1}^{n} (x \alpha_i)$ is the Chinese remainder theorem polynomial.
- 4. $u(x) = Interpolation(\alpha_i, y_i)$. Note that the degree of u(x) = n 1 and the degree of m(x) = n.
- 5. We take these two polynomials m, u and apply the extended euclidean algorithm to get $f(x), g(x) \implies f, g$ appear as remainder and coefficient in the division algorithm.

- 6. We can think of any rational function $\frac{f}{g}$ as members of an equivalence class.(localization?) with other elements.
- 7. What MQRFR says is that the $f = r_i \text{nd } g = t_i$ for the i^{th} iteration of the extended euclidean algorithm such that $deg(q_i)$ is max.
- 8. There does seem to be some relationship between Univariate rational functions, the interpolated polynomial u the product polynomial m and the extended euclidean algorithm(m,u).
- 9. CRT from 265 Dummit and Foote.

10.

Ben-Or and Tiwari's Multivariate Polynomial Interpolation

3.1 Introduction

Ben-Or Tiwari is an multivariate interpolation algorithm that interpolates all the variables of the polynomial simultaneously as opposed to Zippel's multivariate interpolation algorithm which interpolates the variables one by one.

```
Algorithm 2 Ben-Or & Tiwari Multivariate Interpolation
```

```
Require: Black-box function f (evaluates the target polynomial), number of variables n,
    degree bound D, finite field \mathbb{Z}(p)
Ensure: Sparse polynomial P(x_1, x_2, \dots, x_n) as a list of [coefficient, exponents] pairs.
 1: T_{\text{max}} \leftarrow (D+1)^n
                                                            ▶ Upper bound on number of terms
 2: m \leftarrow 2 \cdot T_{\text{max}}
                                                                  ▶ Number of evaluation points
 3: Step 2: Generate evaluation points
 4: evaluations \leftarrow empty list
 5: for k \leftarrow 1 to m do
       x_k \leftarrow [\alpha^{k^i} \text{ for } i = 1 \dots n]
        v_k \leftarrow f(x_k)
 7:
        Append v_k to evaluations
 9: end for
10: Step 3: Construct minimum characateristic polynomial using Berlekamp-
    Massey algorithm
11: \Lambda \leftarrow Berlekamp\_Massey(evaluations, p)
12: Step 5: Factor \Lambda(z) to find roots
13: roots \leftarrow FIND\_ROOTS(\Lambda)
14: Step 6: Recover exponents from roots
15: terms \leftarrow \text{empty list}
16: for each root in roots do
        exponents \leftarrow TRIAL\_DIVISION(root, n)
17:
18:
        Append exponents to terms
19: end for
20: Step 7: Recover coefficients via linear system
21: A \leftarrow \text{CONSTRUCT\_VANDERMONDE\_MATRIX}(terms, evaluations)
22: b \leftarrow evaluations[1...T_{max}]
23: coefficients \leftarrow SOLVE LINEAR SYSTEM(A, b)
24: Step 8: Validate with additional evaluations
25: validated \leftarrow TRUE
26: for k \leftarrow m+1 to m+10 do
       x_k \leftarrow [f(\alpha)^{k^i} \text{ for } i = 1 \dots n]
        if f(x_k) \neq \text{EVALUATE\_POLYNOMIAL}(terms, coefficients, x_k) then
28:
           validated \leftarrow FALSE
29:
30:
           break
        end if
31:
32: end for
33: if not validated then
        Increase T_{\rm max} and restart
34:
36: return SPARSE POLYNOMIAL(terms, coefficients)
```

Multivariate Rational function interpolation

4.1 Introduction

Algorithm 3 Multivariate rational function interpolation

```
1: Input: Modular black box, B for rational function \frac{ff(x_1, x_2, ..., x_n)}{gg(x_1, x_2, ..., x_n)}, p,
 2: where ff, gg \in K[x_1, x_2, ..., x_n].
 3: while true do
         num \leftarrow [\ ]
 4:
         den \leftarrow []
 5:
         T \leftarrow 4
 6:
         for i \leftarrow 0 to T do
 7:
              \Sigma \leftarrow [[2^i, 3^i, \dots, \Psi^i]], \text{ where } \sigma_i \leftarrow [2^i, 3^i, \dots, \Psi^i] \in \mathbb{Z}_n^n
 8:
              while true do
 9:
10:
                  t \leftarrow T
                  Pick random vector \alpha_i = [\alpha_{i1}, \dots, \alpha_{it}] \in \mathbb{Z}_p^t
11:
                  Pick random vector \beta_i = [\beta_{i1}, \dots, \beta_{i(n-1)}] \in \mathbb{Z}_p^{n-1}
12:
                  m_i(x) = \prod_{k=1}^t (x - \alpha_{ik}), \text{ where } m_i(x) \in \mathbb{Z}_p[x].
13:
                  u_i(x) \leftarrow Interpolate(\alpha_i, Y_i, x) \bmod p
14:
                  f_i(x), g_i(x), deg\_q_i \leftarrow MQRFR(m_i, u_i) \bmod p
15:
16:
                  if deg\_q_i > 1 then
                       num.insert(f_i(\sigma_{i1})) \mod p
17:
                       den.insert(g_i(\sigma_{i1})) \bmod p
18:
                       break
19:
20:
                  else
21:
                       t \leftarrow 2t
                  end if
22:
              end while
23:
24:
         end for
         Construct minimum characateristic polynomial using Berlekamp-Massey
25:
     algorithm
         \Lambda_n \leftarrow Berlekamp\_Massey(num, p)
26:
         \Lambda_d \leftarrow Berlekamp\_Massey(den, p)
27:
         Find number of terms in denominator and numerator
28:
         terms_n \leftarrow degree(\Lambda_n)
29:
         terms_d \leftarrow degree(\Lambda_d)
30:
          Factor \Lambda_n(z) and \Lambda_d(z) to find roots
31:
         roots_n \leftarrow \text{ROOTS}(\Lambda_n)
32:
33:
         roots_d \leftarrow \text{ROOTS}(\Lambda_d)
         Check if number of terms and roots are equal
34:
         if terms_n \neq roots_n or terms_d \neq roots_d then
35:
              T \leftarrow 2T
36:
         else
37:
38:
              break
         end if
39:
40: end while
41: Recover monomials from roots using trial division
42: Recover coefficients via Zippel Vandermonde solver
43: coeff_n \leftarrow \text{Zippel\_Vandermonde\_solver}(num, terms_n, Roots_n, \Lambda_n, p)
44: coeff_d \leftarrow \text{Zippel\_Vandermonde\_solver}(den, terms_d, Roots_d, \Lambda_d, p)
```

Bibliography

- [1] D.S. Dummit and R.M. Foote. Abstract Algebra. Wiley, 2003.

Appendix A

Code

Appendices should be used for supplemental information that does not form part of the main research. Remember that figures and tables in appendices should not be listed in the List of Figures or List of Tables.