Politecnico di Milano Facoltà di Ingegneria dell'Informazione



Corso di Laurea Magistrale in Ingegneria Informatica Dipartimento di Elettronica, Informazione e Bioingegneria

...Titolo della tesi ...
...al massimo su due righe ...

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Academic Year 2013-2014

dedica...

Ringraziamenti

Ringraziamenti vari, massimo una o due pagine.

Milano, 1 Aprile 2005

Fabio.

Estratto

abstract in italian

Abstract

abstract in english

Table of Contents

Li	st of	Figure	es	XV
Li	st of	Tables	S 2	cvii
1	Intr	oducti	ion	3
2	Sta	te of th	he art	5
	2.1	Introd	luction	5
	2.2	NoSQ	L databases	6
		2.2.1	NoSQL motivations	6
		2.2.2	NoSQL characteristics	6
		2.2.3	Standard language	6
	2.3	Appro	eaches for a common language	6
		2.3.1	Kundera	6
		2.3.2	Spring-data	6
		2.3.3	Espresso Logic	6
		2.3.4	Couch base UnQL	6
		2.3.5	PlayORM	6
		2.3.6	? Apache Gora	6
		2.3.7	? Apache Phoenix	6
		2.3.8	? SOS Platform	6
	2.4	Cloud	Platform Independent Model	6
	2.5	Summ	nary	6
3	Pro	$\mathbf{blem} \ \mathbf{s}$	setting	7
4	Kui	ndera e	extension	9
	4.1	Introd	uction	9

TABLE OF CONTENTS

	4.2	Overview of Kundera	9
		4.2.1 Kundera's Client Extension Framework	11
		4.2.2 Approaching the extension	11
	4.3	Developing client extensions	12
		4.3.1 Google App Engine Datastore client	13
		4.3.2 Azure Table client	19
	4.4	Summary	22
5	CP	IM extension	25
	5.1	Introduction	25
	5.2	CPIM architecture	25
		5.2.1 NoSQL service	26
	5.3	Kundera integration	28
		5.3.1 Problems encountered	29
	5.4	Hegira integration	30
		5.4.1 Migration Manager	31
	5.5	Intercept user operations	32
		5.5.1 Intercepting CRUD operations	32
		5.5.2 Intercepting queries	33
	5.6	Data synchronization	35
		5.6.1 Contacting the synchronization system	37
	5.7	Build statements from user operations	39
		5.7.1 Build statements from objects	41
		5.7.2 Build statements from JPQL queries	41
		5.7.3 Sending statements to Hegira	41
	5.8	Interoperability of stored data	42
		5.8.1 Kundera clients modification	43
	5.9	Summary	44
6	Eva	luation	45
	6.1	Introduction	45
	6.2	Test correctness of CRUD operations	45
	6.3	Performance tests	45
	6.4	Summary	45
7	Con	aclusions and future Works	47

TABLE OF CONTENTS

\mathbf{A}	ppe	ndices	49			
A	A Configuring Kundera extensions					
	A.1	$ Introduction \ . \ . \ . \ . \ . \ . \ . \ . \ . \ $	51			
	A.2	Common configuration	51			
	A.3	GAE Datastore	52			
	A.4	Azure Table	54			
В	Con	afiguring CPIM migration	57			
	B.1	$ Introduction \ . \ . \ . \ . \ . \ . \ . \ . \ . \ $	57			
	B.2	migration.xml	57			
		B.2.1 Configure the zooKeeper client	58			
		B.2.2 Configure a sequence number backup	58			
	В.3	Use CPIM without migration system	59			
\mathbf{C}	Rur	n YCSB tests	61			
	C.1	$ Introduction \ . \ . \ . \ . \ . \ . \ . \ . \ . \ $	61			
	C.2	Run tests for low-level API version	61			
	C.3	Run tests for Kundera version	61			
Bi	bliog	graphy	63			

List of Figures

4.1	Kundera architecture	10
5.1	NoSQL service architecture	27
5.2	The modified NoSQL service architecture TODO add interfaces	28
5.3	High level schema of interaction TODO	30
5.4	MigrationManager class diagram	31
5.5	MigrationManager states	31
5.6	Sequence numbers handling architecture TODO	36
5.7	Interaction with the synchronization system TODO $\ .\ .\ .\ .$.	38
5.8	Statements structure TODO	39
5.9	Statement builders TODO	40
5.10	JPQL to SQL translation TODO in alto JPQL freccia verso il	
	basso SQL	42

List of Tables

4.1	JPQL clauses support for the developed extension	23
5.1	Column family and Key mapping among supported databases.	43

List of Algorithms

1	Integrate migration logic	32
2	Wrap named queries	34
3	Persist operation	35

Chapter 1

Introduction

Introduzione al lavoro. Inizia direttamente, senza nessuna sezione. Argomenti trattati suddivisi sezione per sezione...

Original Contributions

This work include the following original contributions:

- ... riassunto sintetico dei diversi contributi
- . . .
- . . .

Outline of the Thesis

This thesis is organized as follows:

- In Chapter ...
- In Chapter ...
- In Chapter ...
- . . .

Finally, in Chapter 7, ...

Chapter 2

State of the art

2.1 Introduction

In this chapter NoSQL databases are firstly introduced and compared with SQL solutions. In section 2.3 are listed some of the solution that has been developed in defining a common language or interface to interact with different NoSQL databases. Finally the CPIM library is introduced as a tentative in defining a common interface to interacts with different vendor in a PaaS environment which include accessing the different NoSQL solution of the PaaS provider.

2.2 NoSQL databases

- 2.2.1 NoSQL motivations
- 2.2.2 NoSQL characteristics
- 2.2.3 Standard language

2.3 Approaches for a common language

- 2.3.1 Kundera
- 2.3.2 Spring-data
- 2.3.3 Espresso Logic
- 2.3.4 Couchbase UnQL
- 2.3.5 PlayORM
- 2.3.6 ? Apache Gora
- 2.3.7 ? Apache Phoenix
- 2.3.8 ? SOS Platform

2.4 Cloud Platform Independent Model

2.5 Summary

In this chapter has been introduced some of the main reasons that leads to the NoSQL database definition and why industry is so interested in those kind of technology. NoSQL technology has been compared with SQL systems to highlight the deep differences but especially the lack of a common language definition. Have been discussed some of the major project that try to define a common language among different NoSQL solution. Finally has been presented the CPIM library, a more general approach in a common language definition in PaaS environment.

Chapter 3

Problem setting

- ullet why use kundera among others
 - open source
 - JPA standard interface
 - community
 - many supported databases
 - polyglot persistence
 - client extension framework
 - used in production
- why include it into CPIM
- why extend CPIM to include migration
 - vendor lock-in and thus costs
 - cost of offline migration (shutdown, migration, restart)
 - live data synchronization and migration
 - functionality (for map reduce job better persist over hbase)

Chapter 4

Kundera extension

4.1 Introduction

In this chapter will be presented briefly the Kundera modular architecture, the way in which Kundera is supposed to be extended, the problems occurred in the process and how the community helped in achieving the result.

Then are discussed the detail of the two developed Kundera extension, in section 4.3.1 the one for Google Datastore and in section 4.3.2 the one for Azure Table.

4.2 Overview of Kundera

Kundera [4] is an implementation of the JPA interface that now supports various NoSQL datastore. It supports by itself cross-datastore persistence in the sense that its allows an application to store and fetch data from different datastores. Kundera provides all the code necessary to implement the JPA 2.1 standard interface independently from the underlying NoSQL database.

The currently supported NoSQL databases are:

- Oracle NoSQL (versions 2.0.26 and 3.0.5)
- HBase (version 0.96)
- MongoDB (version 2.6.3)
- Cassandra(versions 1.2.9 and 2.0.4)

- Redis (version 2.8.5)
- Neo4j (version 1.8.1)
- CouchDB (version 1.0.4)
- Elastic Search (version 1.4.2)

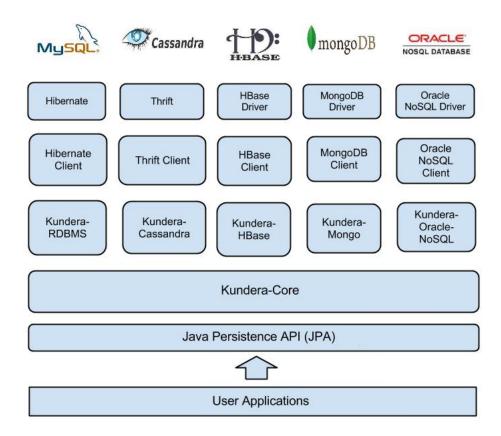


Figure 4.1: Kundera architecture

The architecture of Kundera is shown in Figure 4.1. The figure highlights the fact that the user application interacts with Kundera simply by exploiting the standard JPA interface implemented in the Kundera-Core.

Kundera-Core, each time an operation need to be executed on the underlying database, delegates the operation to the appropriate Client creating it through a Client Factory if it does not exist yet, clients are then responsible of actually executing the operation on the underlying database.

4.2.1 Kundera's Client Extension Framework

Kundera try to offer a common way to interacts with NoSQL databases through a well defined interface and as on open source project make other developers able in using and extending it, adding support to other databases. Is so available a *Client Extension Framework* described in the Kundera documentation which provides a short description about how Kundera clients should work and provides a description of interfaces and classes that should be developed in order to make the client work properly.

Basically to build a new Kundera client, these are the blocks to be developed:

- the Client, which is the gateway to CRUD operations on database, except for queries
- the Client Factory, which is used by Kundera to instantiate the Client
- the Query implementor, which is used by Kundera to run JPA queries by invoking appropriate methods in Entity Readers
- the Entity Reader, which is used by Kundera to translate the queries into correct client method calls
- optionally the Schema Manager, to support automatic schema generation

4.2.2 Approaching the extension

It all seems well structured but the problem is that the documentation is actually outdated. Two were the main problem in understating what to do and how:

- when actually defined the classes and implemented the interfaces, it turns out that there are actually little differences both on interfaces and the required methods
- the documentation skip completely to describe what kind of information are carried by the argument of the methods that needs to be implemented

Due to those problems, the solution was to write on the Kundera Google group page to ask the community for more updated information about Kundera

extension. Briefly an answer has come and I've started a conversation with one of the developers of Kundera who helped me giving the updated information for the Kundera's *Client Extension Framework* and tell me to look forward to the other client implementation for some examples. Thanks to the updated information it turns out that the Entity Reader was unnecessary and all the translation from JPA queries to datastore specific queries and their executions should be done in the Query Implementor.

At this point since no answer were given about the information carried by the methods arguments, the most valid solution was to approach the extension in a test driven way trying so to reverse engineer the arguments.

Looking at the tests code of the other clients, I've written a set of unit tests one for each feature I was planning to support (tests are analyzed in chapter 6). By doing this and by looking at the other client implementation I was finally able to understand the structure of the method arguments, they carry information about the operation to be performed and about the entity on which the entity needs to be performed. Entity information are structured in a data structure filled by Kundera by parsing the annotation that the user define on the entity class, those meta-data includes for example the table name, the column names and the relationships in which the entity is involved.

With the client structure defined, the tests written, the knowledge of the responsibilities of each method and of the Kundera meta-model, was then possible to begin the actual extension developing.

4.3 Developing client extensions

Two are the extension that have been developed for Kundera, the first one for Google Datastore and the second one for Azure Table. After the difficulties in figuring out how the extension have to be carried out, a main structure has been defined and kept for both the extension so the two projects have many parts in common. In the following sections the extensions are presented separately, each developed feature is described in a dedicated section, the general concepts are introduced as they are encountered and will be referenced further on if necessary specifying the differences if any.

4.3.1 Google App Engine Datastore client

The first extension that has been faced is the one for Google App Engine Datastore [2] the NoSQL solution available in the App Engine runtime, a key-value storage build on top of Google BigTable.

JPA identifier

In Google Datastore the most basic unit that can be stored is an *Entity* which is identified by a *Key* and composed of *Properties*. Keys contains various information about the entity itself:

- the entity Kind, which is used to group entities of the same type
- an entity identifier, used to distinguish entities of the same type
- an optional parent entity

Inspired by the Google JPA implementation for Datastore [3] the idea was to use the Java class representing the datastore Key as identifier for the entity but unfortunately this was not possible since Kundera support only a pre-defined defined set of Java data types.

The adopted solution is to handle the key internally. Each time an operation is required on Datastore the key relative to the entity is built, the key *Kind* is directly mapped to the table name and the Key identifier is the user defined id specified in the QId annotation.

IDs can be specified by the user or automatically generated, there are three possibilities:

- QId annotation on a String type field
- @Id annotation on a Long type field
- QId annotation on a primitive long type field

For each case the ID can be specified by the user before the persist operation. Since Kundera support the JPA feature for auto-generated IDs through @GeneratedValue, this possibility has been exploited for Datastore and so

the user can annotates a String ID field to be auto-generated, its value will be a string representation of a random java UUID.

Auto-generated IDs are supported by Kundera only with AUTO or TABLE strategy, it was not possible to use the Datastore API to generate IDs since is necessary to know the *Kind* of the entity to be persisted but neither the AUTO strategy nor the TABLE one provides this information at generation time.

Consistency

In Datastore entities are organized in *Entity Groups* based on their *Ancestor Path*, the ancestor path is a hierarchy containing the keys of the entities which are parents of the given one and thus in the same entity group.

Consistency is managed through entity groups and so by defining the ancestor paths. Entities within the same entity group are managed in strong consistency, eventual consistency is used otherwise.

Datastore provide the possibility to create ancestor path by defining entities parent to other entities and is basically a task left to the user, no automated sorting or guessing is provided. Other wrapper around Datastore low-level API also leave this task to the user, for example in Objectify [7] the developer make use of an **@Parent** annotation to make the user able to specify the parent relationships and so be able to organize entities through the ancestor path. Since JPA is a well defined standard, adding such kind of annotation will break the standard, the only alternative way is trying to automatic guess the ancestor path.

An approach can be look at JPA relationships since they're clearly a good place to found information for guessing if two entity kind can be hierarchically related, so for each type of relation must be defined what solution can be adopted:

- for **One to Many** and **One to One** relationships, since there's an owning side of the relationship, the owning entity can be used as parent for every related entity.
- Many to One can be skipped because they are the inverse of One to Many so such related entities should be already organized.

• for Many to Many, since the relationship is handled through a join table, it does not make sense to relate the entities involved.

Also if possible this guessing was not done in the extension for two main reasons:

- 1. entities are not require to have a single relationship
- 2. entities with a parent require the parent Key to be universally identified

So for the first reason is impossible, unless asking to the user, to decide which relation use to hierarchically organize entities, furthermore for the second reason when declaring a entity parent to another is always necessary to know the Key of the parent (and thus its Kind and identified) beside the Key of the entity itself to be able to retrieve it from Datastore and for how Kundera is structured this information is not available during find operation in which Kundera provides only the table name, the identifier and the entity class.

For those reasons was not possible, without causing errors, to automatically guess ancestor paths through JPA relationships or make the user able manage them directly through a specific annotation. Each Kind is persisted as a root Kind and so each entity is stored in a separated entity group identified by its Kind (the name of the JPA table associated to the entity).

JPA relationships

All the JPA supported relationships has been implemented in the client and have been implemented like they would be in a relational database. So for **One to One and One to Many** relationships on the owning side of the relationships a *reference* to the non-owning side entity is saved.

For Many to One relationships there would be two solutions:

- persist a list of references to the related entities;
- do not persist anything within the entity and fill the relationship with a query.

The second solution has been adopted since more consistent with other Kundera client implementation and with the classic implementation of this relation type in relational systems.

For Many to Many relationships a join table is created based on user directives specified in the entity class annotations. The join table is filled each time a many to many related entity is persisted and a new *row* is created inside the join table with the *references* to the entities involved in the relationship. The so far called *reference* for Datastore is exploited by persisting within the entity the Key (Kind and identifier) of the related entity.

Queries

Queries in Kundera are supported in JPQL the JPA query language which is a object oriented query language based on SQL [5]. Kundera supports all of the clauses of JPQL but with some restrictions, clauses can be applied on:

- primary key attributes (QId) and column attributes (QColumn).
- combination for primary key attribute and columns.

The JPQL query is parsed and validated by Kundera and to the Query Implementor are provided some meta-data extracted from the query which then needs to be read in order to build a database specific compatible query.

Datastore have on its own a very good support to queries so all the clauses are supported except for the LIKE clause.

To be able to execute queries on properties, Datastore needs to construct indexes upon those properties. Those indexes consumes the App Engine application quotas both to be stored and maintained. The API provides the possibility to decide upon which property an index should be maintained by using a different method when the property is added to the entity; setProperty(String name, Object value) is used to set a property which will be automatically indexed, setUnindexedProperty(String name, Object value) will be used otherwise.

Since a discriminator is needed to choose between the two methods, other wrapper around low-level API such Objectify [7] provides to the user an @Index annotation to be place upon the field that needs to be indexed but, as previously explained, is not convenient to add other annotation to the JPA standard, this will break interoperability. For those reasons, and to be able to actually execute the queries, all properties are set as indexed.

In table 4.1 can be found a complete list of the supported JPQL clauses for both extensions.

Embedded entities

Embedded fields are supported by the JPA [5] annotating the field that needs to be embedded with the @Embedded annotation and annotating the corresponding class with the @Embeddable annotation.

Implementation of those kind of entities is straightforward for Datastore because it supports them natively as an *Embedded Entity*. The implementation so make use of this feature translating the embeddable entity in a Datastore embeddable entity and then persist it within the parent entity.

Collection fields

JPA standard supports collection or maps to be used as entities field through the annotation @ElementCollection.

Lists are natively supported by Datastore but are supported only if composed of primitives Java data types. To be able to save whatever kind of collection or map independently by the data type that compose it, the collection or map itself is serialized to a byte array when persisted and de-serialized when read. To simplify the developing, also Lists of primitive types, even if supported natively, are serialized.

Enum fields

Enum fields are supported by the JPA through the annotation @Enumerated simply by persisting its string representation and instantiating the corresponding enum type back when the entity is read.

Schema Manager

Schema manager as required by Kundera has to exploit four operations:

• validate, which validates the persisted schema based on entity definition

- update, which updates the persisted schema based on entity definition
- *create*, which create the schema and thus the tables based on entity definitions
- create_drop, which drops (if exists) the schema and then re-creates it by re-creating the tables based on entity definitions.

The first two cases are quite useless for a Datastore since there's no fixed schema for entities, entities with same *Kind* can have different properties without restriction. Also the *create* case is meaningless for Datastore since if a new entity of an unknown *Kind* is persisted it's created without the need of explicitly define it first as a new *Kind*.

The last case *create_drop* will just drop the current schema, deleting all the persisted kinds and so all the related entities, without re-creating the schema since it constructs by itself.

Datastore specific properties

Kundera offers the possibility to define some datastore specific properties in an external xml file that need to follow a simple structure. This file is referenced inside the persistence.xml and is optional.

This possibility is exploited by the Datastore extension and make the user able to configure the following properties:

- datastore.policy.read, to set the read policy
- datastore.deadline, to define the RPCs calls deadline
- datastore.policy.transaction, to specify if Datastore have to issue implicit transactions

Those properties are read in the Client Factory and used to initialize the datastore connection with the required parameters.

For a complete reference for Google Datastore extension configuration see the appendix A.3.

4.3.2 Azure Table client

Azure Table [1] is the NoSQL solution developed by Microsoft, is a key-value storage and it's available inside Azure environment.

JPA identifier

In Azure Table an entity to be persisted must either implement a special interface TableServiceEntity or be translated into a DynamicEntity which is basically a property container. An entity is then uniquely identified inside a table by a partition-key and a row-key. Partition keys are used to handle consistency, strong consistency is guaranteed while entities are stored within the same partition key otherwise consistency will be eventual.

Since both partition-key and row-key are supported only in field of type String and since the JPA annotation <code>@Id</code> can be declared only on one field per class, partition-key and row-key are concatenated in a single <code>String</code> and handled internally by the extension through the class <code>AzureTableKey</code> built ad hoc since for Azure Table there's no a class similar to <code>Key</code> of Datastore that encapsulate both the partition-key and the row-key. This way the user have complete control over partition-key and row-key and thus on the consistency mechanism.

For the user three different approaches to handle those identifiers are available:

- 1. define manually both row-key and partition-key
- 2. define manually only the row-key
- 3. let the extension to completely handle the identifier annotating the ID field also with @GeneratedValue(strategy = GenerationType.AUTO)

For the first case, to help the user in defining both the partition key and the row key independently by the way the extension handle them, a static method AzureTableKey.asString(String partitionKey, String rowKey) is provided; its usage is not required but in case the ID is manually specified, it must follow the convention used by the extension which is partitionKey_rowKey. The second case is exploited setting the ID to a string value, this value is interpreted by the extension as the row key while the partition key is set to a default value that can be modified in the datastore specific property file

Kundera extension

described later on. Also for this case, to have a more fluent API, an utility method is provided: AzureTableKey.asString(String rowKey)

The third and last method will generate a java random UUID for the row key and set the partition key to the default value.

JPA relationships

Also for Azure Table relationships are implemented similarly to relational systems as described previously for Datastore (4.3.1).

The only difference is that when is needed to keep a *reference* to another entity, is persisted within the entity the partition key and the row key of the related entity. Even if the pair (partition-key, row-key) is not sufficient to identify an entity universally, it is sufficient in Kundera since the information of the table is always available to the client just by looking at the meta-data of the relationship.

Queries

Supporting queries for Azure Tables was straightforward, the procedure was the same described in 4.3.1 but due to the different operator supported by Tables, beside the *LIKE* clause also the *IN* and *ORDER BY* clauses are not supported.

In table 4.1 can be found a complete list of the supported JPQL clauses for both extensions.

Embedded entities

Embedded fields (described in 4.3.1) are not supported natively by Azure Table so the solution adopted is to serialize the field annotated with @Embedded to be able to persist it to the storage like a byte array and de-serializing it when the entity is read.

Collection fields

As described for Datastore (4.3.1) JPA supports collections but are not supported in Azure Tables even if composed of supported data types.

To support even complex collection or maps the simplest solution is to serialize the entire collection or map to a byte array when persisting the entity and de-serialize it when reading the entity from the storage.

Enum fields

Enum fields are supported by the JPA through the annotation @Enumerated simply by persisting its string representation and instantiating the corresponding enum type back when the entity is read.

Schema Manager

Schema manager (as described in 4.3.1) have been also implemented for Azure Table and like Google Datastore, the first two cases are quite useless since there's no fixed schema and entities within the same Table can have different properties without restriction.

Azure Table need that the Table in which entities are stored exists before trying to create entities so the *create* case simply iterate over all table names and creates it in the database.

For the *create_drop* case, all tables should be dropped (and so all the contained entities) and re-created. The problem here is that tables deletion is performed asynchronously and so exists an unpredictable amount of time in which the table cannot be re-created since it still exists even if not listed. To overcome to this problem two solution can be adopted:

- catch the StorageException thrown when the table is created while still exists, put the process to sleep for an amount of time and then try again until success
- do not delete the table itself but delete all its entities in bulk

The first method is clearly dangerous since no deadline is given or guaranteed for the table delete operation, the second solution is actually not so convenient because, even if deletion is performed as a batch operation, both the partition key and row key must be specified and thus one or more queries must be performed over the table to retrieve at least partition key and row key for each entity in the table, this will require an high number of API call and thus an high cost of usage.

Kundera extension

So for the *create_drop* case is performed a drop of all the Tables and then are re-created even if this can cause the previously mentioned conflict, this option is left as is for testing purposes since in the storage emulator the problem is not showing because the Table storage is emulated over a SQL server instance.

Datastore specific properties

As described for Datastore (4.3.1), Kundera provides a datastore specific properties file that let the user set some specific configuration.

This possibility is supported also for Azure Tables with the following available properties:

- table.emulator and table.emulator.proxy, to make the user able to test against the local storage emulator on Windows
- table.protocol, to make the user able to decide between *HTTP* or *HTTP* for storage API RPCs
- table.partition.default, to let the user specify the value for the default partition key

For a complete reference for Azure Table extension configuration see the appendix A.4.

4.4 Summary

In this chapter has been introduced in details how Kundera extension should been developed, the problem encountered during the development, how they've been addressed and the detail of the implementation of the two extensions including the feature that are currently supported.

JPA-QL Clause	Datastore support	Table support
Projections	✓	1
SELECT	✓	✓
UPDATE	✓	✓
DELETE	✓	✓
ORDER BY	✓	×
AND	✓	✓
OR	✓	✓
BETWEEN	✓	✓
LIKE	×	×
IN	✓	×
=	✓	✓
>	✓	✓
<	✓	✓
>=	✓	✓
<=	✓	✓

Table 4.1: JPQL clauses support for the developed extension

Chapter 5

CPIM extension

5.1 Introduction

In this chapter will be presented the architecture of CPIM especially for the NoSQL service as it was before this work, then will be explained how was possible to include Kundera as persistence layer for the NoSQL service in CPIM and what problem has been faced during the process. Furthermore in section 5.4 is shown how the integration with the migration system *Hegira* has been introduced, what are the feature supported by this integration and which design choices has been put in place.

5.2 CPIM architecture

To be able to expose a common interface for the multiple services supported by the library, CPIM adopts heavily the factory and singleton patterns.

The main access point of the library is the MF (Manager Factory) a singleton object which is responsible of reading the configuration files and exposing a set of methods that will build instances for the service factories. The initialization is done through the first call to MF.getFactory() which read the configuration files and build an instance of CloudMetadata which will be referenced by all the other services and contains all the information stored in the configuration files.

The library is organized in several packages each of one is responsible of

a particular service. Each service exposes a factory class which is invoked through the MF factory, the service factory maintains a singleton instance of the provider-specific service implementation which is built at the first call based on the configuration available inside the singleton instance of CloudMetadata. The result of this process is that with the same method call, based on the configuration file, is instantiated one service implementation or another.

5.2.1 NoSQL service

Before this work CPIM library supports NoSQL interaction through Java Persistence API. The interoperability with the supported clouds is made possible thanks to the previously described factory pattern, a complete class diagram for this service can be seen in the figure 5.1.

To use the service, the first step is instantiate a CloudEntityManagerFactory and, depending on the configuration file, this factory instantiate the vendor specific factory. For example in case that Google is the chosen vendor, the instantiated factory will be GoogleEntityManagerFactory. Each provider-specific EntityManagerFactory is responsible of instantiating an EntityManager which is the gateway to the underlying database. All the vendor-specific EntityManager(s) implement the common CloudEntityManager interface to achieve uniformity in methods and behavior. The various implementation of the CloudEntityManager delegates every method call to the vendor-specific persistence provider.

JPA is not a default language for NoSQL (as described in chapter 2) but, due to its wide usage among Java developers, several JPA implementation has been build upon various NoSQL databases both developed by the vendor of the NoSQL storage or by the community. This means that to support the NoSQL service through the JPA interface, an implementation of the JPA interface must be found. There are so three different persistence providers, one for each cloud provider:

• for *Google Datastore* its used an official JPA implementation, available inside the SDK

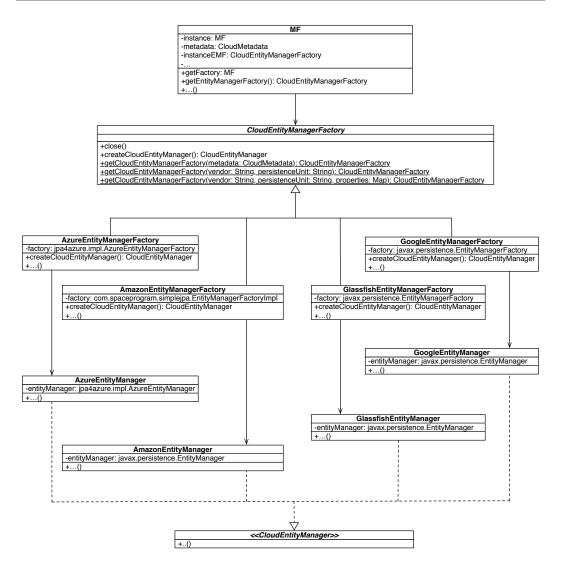


Figure 5.1: NoSQL service architecture

- for Amazon SimpleDB its used **SimpleJPA**, a third-party implementation of the JPA interface
- for Azure Tables its used **jpa4azure**, a third-party implementation of the JPA interface

There are couple of things to notice: Amazon SimpleDB has been deprecated in favor of DynamoDB and *jpa4azure* is not being maintained anymore, therefore CPIM needs to be updated in order to get rid of those outdated software.

5.3 Kundera integration

To solve this problems and reduce the number of software on which the CPIM rely on to provide the NoSQL service, the proposed solution to modify the current CPIM architecture with a unique persistence provider that has been identified in Kundera.

The proposed solution is resumed in the architecture of figure 5.2 in which the benefit of having a single JPA provider are clearly visible. The architecture is slightly less articulated and no check on the selected provider is needed since this is handled by Kundera while reading the persistence.xml file in which the user will define what datastore is interested in. Another benefit of this architecture is that the choice of the NoSQL technology is no more bound to the vendor specified in the CPIM configuration file, is in fact possible deploy the application in one of the supported PaaS provider and choose as NoSQL solution of another one which will be addressed remotely, simply by configuring the persistence.xml, moreover it's possible exploiting the Kundera polyglot persistency, to persist part of the data in a database and another part in another one defining the persistence units properly.

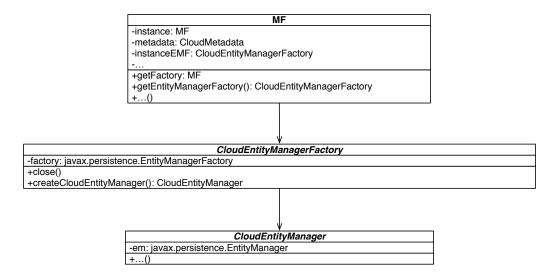


Figure 5.2: The modified NoSQL service architecture TODO add interfaces

The actual implementation is completely provider agnostic in the sense that actually Kundera is not required as dependency and in fact is not listed as a dependency for CPIM. At runtime, when a Kundera client will be listed

in the dependency of the user application, as well as CPIM, the persistence provider dependency will be satisfied. This is due to the fact that the CloudEntityManagerFactory and the CloudEntityManager implements respectively the JPA interfaces EntityManagerFactory and EntityManager. The actual call to the runtime provider is within the CloudEntityManager that on construction instantiate an instance of the provider EntityManager and uses that reference to delegate every method execution to it.

This can seems a over-designed architecture but it tourns out to be extremely necessary in order to provides a transparent interaction with the migration system as it will explained later on in this chapter.

5.3.1 Problems encountered

Kundera provides an uniform access through the JPA interface independently from the provider, which is somehow defined in the *persistence.xml* through the Kundera client selection. For this reasons all the old libraries that provides a JPA implementation for a specific provider can be removed from the CPIM. This tentative in cleaning the dependency of CPIM caused two main problems:

- 1. *jpa4azure* turns out to be used also for Queue and Blob service of Windows Azure
- 2. Kundera seems to have problem when multiple persistence provider are found in the classpath and has not be found a way to force the selection of Kundera as persistence provider (besides specifying it in the *persistence.xml* file)

To solve the first problem, the code of the extended version of *jpa4azure* has been inspected since it was extended to support some missing functionalities of the JPA interface, the library contains two main packages:

- jpa4azure, which contains the code that implement the JPA
- com.windowsazure.samples, which contains the code do ease the communication with the Azure services

The jpa4azure package has been removed and the library rebuild since the other package is the one used in the Blob and Queue service. Its possible to

completely remove jpa4azure but is necessary to rewrite also the CPIM Blob storage service for Azure using the Azure SDK.

CPIM shows more errors in the code in the Queue service and after some investigations, turns out that when *jpa4azure* was extended the class AzureQueueManagerFactory and other were introduced. The problem was that AzureQueueManagerFactory use the JPA interface to communicate with the Queue service so removing the support to JPA interface has leaded to lose the support to Azure Queue service. One possible solution to this would be rewrite the CPIM Queue services for Azure using Azure SDK.

5.4 Hegira integration

To support data synchronization and migration, the NoSQL service was further modified to integrate with **Hegira** [6]. An high level schema of the interaction we want to achieve is reported in figure 5.3

Figure 5.3: High level schema of interaction TODO

As can be stated from the schema, the CPIM library needs to connect to the migration system on order to understand when migration is in progress and in that case only bypass the interaction with Kundera by building a string representation of the user operation in a SQL format, then this string is sent

to the commit log of *Hegira* that then will pop the statements and translate the SQL statement into a datastore-specific operation.

5.4.1 Migration Manager

Interaction with the migration system is handled primarily by the MigrationManager class which follows a state pattern represented in the class diagram 5.4.

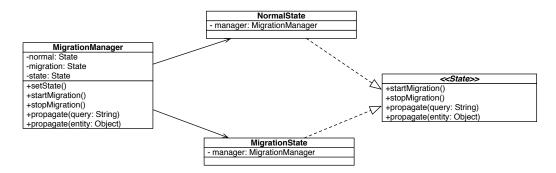


Figure 5.4: MigrationManager class diagram

The pattern permits to the MigrationManager to delegates the method execution to the current state, the state diagram is the one represented in figure 5.5 is and composed by two states Migration and Normal that encapsulate the required behavior.

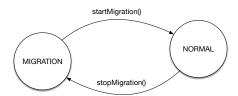


Figure 5.5: MigrationManager states

This part of the design was actually made before knowing exactly how the interactions will exactly be since the component to interact with were not finished yet. Hence in order to have a well-defined place in which behavior has to be encapsulated, the state pattern was the best solution even for future extensibility in case the interaction with the migration system will become more complex.

5.5 Intercept user operations

The first operation that needs to be analyzed is where is possible to intercept user operation is a way that is completely transparent to the user. The operation that we want to intercept are the insert, update and delete operation cause those are the operation that alter the structure of the data and thus are the one that needs to be processed by the migration system.

5.5.1 Intercepting CRUD operations

CRUD operation are always handled in the EntityManager, three are the methods that needs to be intercepted:

- EntityManager.persist(Object entity) for the insert operation
- EntityManager.merge(Object entity) for the update operation
- EntityManager.remove(Object entity) for the delete operation

User is not directly invoking method on the provider entity manager but interacts with the persistence provider through the CloudEntityManager class. Without the support for the migration system the CloudEntityManager, as stated previously, delegates every method call to the provider entity manager. To integrate migration and synchronization logic, the methods mentioned above should contain a little more amount of logic shown in the snippet of code 1 taking as example the update operation.

Algorithm 1 Integrate migration logic

```
1: procedure EntityManager.merge(object)
2: if MigrationManager.isMigrating() then
3: MigrationManager.propagate(object, OperationType.UPDATE)
4: else
5: // delegate operation to the provider
6: end if
7: end procedure
```

In case of migration is visible the call to the **propagate** method. It accept two arguments:

- the entity to be converted to a statement
- the operation that needs to be generated

The method is called on the EntityManager which then delegates the execution to the current state which should be the migration state at that point. The propagate method of the migration state is responsible of building the requested statements using the statement builders and then sending the generated statements to the commit log of *Hegira*. Both action are described in detail later on.

5.5.2 Intercepting queries

A first look at the JPQL specification [5] revealed that JPQL does not support INSERT statements and so the only way user have to persist entities is through EntityManager.persist(Object entity) that is one of the case described in the previous section, so only the remaining cases (UPDATE and DELETE) needs to be intercepted.

JPA interface provides several ways to build and execute queries all available by calling the proper methods defined in the EntityManager interface:

- createQuery from JPQL query string
- createQuery from an instance of CriteriaQuery
- createNamedQuery
- createNativeQuery

Native queries are clearly not supported by Kundera and thus from the migration system clearly because there not so many storage that provides a SQL-like language to specify queries. Create queries through CriteriaQuery is currently not supported. The remaining two kind of methods are the supported ones.

JPA does not provide through the Query interface to get the JPQL representation of the query. Queries are supposed to be written as method argument when creating them through the EntityManager or called by name if they are

CPIM extension

defined as named queries upon some class. That was actually a problem since in order to be able to parse the query its JPQL representation is crucial. The easiest solution was to implement the interfaces for Query and TypedQuery respectively with the classes CloudQuery and TypedCloudQuery. This allows to transparently maintains the JPQL string representation of the query. The wrapping of the persistence provider queries is performed in the entity manager and is performed in the query creation method in both the versions that return an instance of Query and TypedQuery. The actual JPA query generation is delegated to the persistence provider then, before returning to the user the result query is wrapped in a CloudQuery that contains the generated query and the string representation of it (used by the provider to build the query).

For named queries things are little trickier since the user create instance of Query or TypedQuery just by giving the query name. As can be seen from the code snippet 2, named queries metadata are maintained inside the PersistenceMetadata class. This class, besides maintaining information about named queries, maintains principally a mapping between table names and their class canonical name (full package plus the class name). The content of this class is built the first time is queried (since is a singleton instance) and does not read directly the configuration files but reads the CloudMetadata instance that has been modified to include all the required parameters that needs to be read from configuration files. Information of table to class mapping is required for statements building and for sequence number handling both described later on.

Algorithm 2 Wrap named queries

- 1: **procedure** EntityManager.createNamedQuery(name)
- 2: $jpqlString \leftarrow PersistenceMetagada.get(name)$
- 3: $providerQuery \leftarrow provider.createNamedQuery(name)$
- 4: **return** CloudQuery(jpqlString, providerQuery)
- 5: end procedure

5.6 Data synchronization

In the previous section, an overview of the required logic that has been built to integrate *Hegira* was described. Now let's face the problem of synchronization that allows the migration to be performed live.

A special look needs to be reserved for the insert operation. When the user updates or delete an entity no matter if through the entity manager or through a query, he already knows the identifier of that entity since the insert operation have already persisted the entity into the underlying database and thus generated the identifier. Since we want to guarantee a synchronization with the migration system, user cannot define its own identifiers but them needs to be assigned from the migration system. The main caveats is that such assignment has to be made even if the migration is not running yet so the identifier assignment has to be made in two cases:

- 1. insert statements built from persist operation during a migration phase
- 2. standard insert operation through the entity manager during a normal state

The solution is actually quite simple since everything can be checked inside the EntityManager.persist method as described in the snippet of code 3.

Algorithm 3 Persist operation

```
1: procedure EntityManager.persist(object)
      if MigrationManager.isMigrating() then
2:
         MigrationManager.propagate(object, OperationType.INSERT)
3:
      else
4:
         id \leftarrow \text{SeqNumberProvider.getNextSequenceNumber}(table)
5:
         object.setId(id)
6:
7:
         // delegate operation to the provider
      end if
8:
9: end procedure
```

In the code snippet is visible a call to the SeqNumberProvider class which is the class responsible of actually interacts with the synchronization service of Hegira and handle the *sequence numbers* i.e. the entities identifiers defined by Hegira to achieve synchronization. TODO flow chart ??

Handling the sequence numbers

The sequence numbers are handled by the class textttSeqNumberProvider, a singleton instance that provides a simple way to get the assigned sequence numbers per table. The class diagram of this component and of the component it interacts with is shown in figure 5.6

Figure 5.6: Sequence numbers handling architecture TODO

The SeqNumberProvider keeps an instance of SeqNumberDispenserImpl for each table that needs to be persisted. The SeqNumberDispenserImpl is responsible of managing and maintaining the sequence numbers for a specific table by requesting when necessary more sequence number to the synchronization system. SeqNumberDispenserImpl is an implementation of the general interface SeqNumberDispenser made to be able, maybe in the future, to create more dispenser with different logics.

More in detail the SeqNumberProvider is responsible of:

- 1. provide a unique access point where requesting the next assigned sequence number for a table
- 2. initialize or restore the state of the dispenser for each of the persisted tables

The first is performed through the method getNextSequenceNumber(String tableName) that delegates the operation to the correct SeqNumberDispenser associated to the requested table. The second functionality is achieved by requesting to the SeqNumberDispenser(s) their state representation and then saving it to a Blob storage or to file depending to the configuration file migration.xml described in appendix B. The restoring phase is performed on construction, if a backup exists, either on file or on the Blob storage, the SeqNumberDispenser(s) are initialized giving them their state representation to restore. This mechanism in which the SeqNumberProvider is completely agnostic to the actual state representation of the SeqNumberDispenser(s) make future extensibility more easy and less constrained.

The list of all the tables to be persisted is retrieved from the PersistenceMetadata mentioned previously for named queries.

TODO spiegare come s tato implementato SeqNumberDispenserImpl e che si pu modificare a runtime

5.6.1 Contacting the synchronization system

The interaction with the synchronization system as now was only described as a method call. Those calls are made on an external library (zkWrapper) that connects to a zookeeper instance to communicate with the synchronization system and receive the assigned sequence numbers. Since the zookeeper library issues threads to handle communication, was not possible to use this method for Google App Engine since the App Engine runtime does not permit to spawn thread. Two are the feature that requires to communicate with the synchronization system and so use the zkWrapper:

- the migration state listener that modify the MigrationManager state accordingly
- the SeqNumberDispenser(s) that needs to retrieve the sequence number assigned to tables

The solution adopted was to modify the zkWrapper library to include an API version that handle the calls not by connecting directly to a zookeeper instance

CPIM extension

but contacting a remote server through some defined API that ultimately interacts with the migration system.

A simple structure has been built to make both the MigrationManager and the SeqNumberDispenser(s) transparent to the type of client that is used to retrieve information from the synchronization system. The architecture is shown in figure 5.7

Figure 5.7: Interaction with the synchronization system TODO

The HegiraConnector is the class responsible of deciding which kind of client needs to be instantiated reading the configuration parsed in CloudMetadata, the HegiraConnector keeps internally an instance of the instantiated client and provides access to its method by delegation. The two available clients implements the interface ZKAdapter, built to uniform the methods of the two implementations.

Thread-based client If the user deploy the application on a thread-capable client and configure the *migration.xml* accordingly, an instance of ZKThread is built. This version of the client uses directly the implementation of the library zkWrapper since there should not be any problem in thread spawning. The isSynchronizing() methods returns a value which is keept inside the ZKThread instance and is queried by the MigrationManager. Both the state of the MigrationManager and the value inside ZKThread are modified

by the SynchronizationListener which is asynchronously notified by the zkWrapper library when the migration state change.

HTTP-based client In case that threads are not supported by the cloud provider the client version that is instantiated (by looking at the configuration) is ZKHttp which uses the API-caller added to the zkWrapper library. Since no listener can be register and asynchronously notified of a change in the migration state and is not possible to somehow cache the state or make assumption on it, each call of the MigrationManager to the method isSynchronizing() will perform an API call to the remote server and will return the state of the synchronization just queried.

5.7 Build statements from user operations

To be able to create SQL-like statements from queries and operation upon entity objects, the first step has been to introduce the *statement* concept in the library. This has been done through the abstract class Statements that encapsulate the structure needed for maintaining the necessary data for the statements and is then extended by the three classes InsertStatement, UpdateStatement and DeleteStatement that basically implements the toStirng() method to acutally build the specific statement. The class diagram of this statements structure is shown in figure 5.8

Figure 5.8: Statements structure TODO

The Statement class maintains three main fields:

- table, that contains the table which the statements refer to
- fields, a list of element of class Filter that contains the elements presents in the *SET* clause in case of *UPDATE* statements or the inserted values in case of *INSERT* statements
- conditions, a linked list of Filter elements and CompareOperator elements, to represents the WHERE clause

Since not all those elements are needed in all the statements type, specific statements implementations overrides the method that Statements provide for handling those fields to deny their usage. For example since the INSERT statements does not permit a WHERE clause, trying to add a condition to the statement will result in an UnsupportedOperationException. Another case is the DELETE statements that requires only the WHERE clause so the exception is thrown trying to add to it a field.

Defined the statements structure is then necessary to provide a way to build the correct instance of statement starting by the query or the operation on an object. To do this in an agile way a builder class has been implemented. The class diagram of the builders, shown in figure 5.9, shows that the same pattern used for statements has been adopted.

Figure 5.9: Statement builders TODO

The main abstract class StatementBuilder proivdes the facilities to build a generic statements both from object and from a query string. Since many operations are the same for all the three types of statements to be built, the StatementBuilder class provides an implementation of those common behaviors, and define some abstract methods that are statement-specific and handled in different ways in the three statement builder classes: InsertBuilder, UpdateBuilder and DeleteBuilder. This degree of abstraction has been possible due to the abstract definition of the Statement class, this allow to the StatemetnBuilder to acts indipendently from the specific statement type and then delegate to the specific builder in the cases in which such abstraction is not sufficient anymore.

5.7.1 Build statements from objects

TODO

5.7.2 Build statements from JPQL queries

For query there's not the problem of cascading since there is only the statement the user specify to be sent to *Hegira*. The main problem for JPQL queries was parsing. Since JPQL is a object query language it make use of an object identifier on which use the dot notation to specify the object properties. The translation we want to perform is shown in figure 5.10.

To take inspiration, the Kundera code was inspected to understand how they parsed JPQL queries but turns out they used a custom quite-complex parser expecially for validation purposes. Even looking online no specific JPQL parser has been found so, since we are not interested in validating queries or build complex logic upon them, a simple and less time consuming solution was to write a lexer that through regular expressions tokenize the JPQL string. An instance of Statement is then build while iterating over the tokes.

5.7.3 Sending statements to Hegira

TODO

Figure 5.10: JPQL to SQL translation TODO in alto JPQL freccia verso il basso SQL

5.8 Interoperability of stored data

The Kundera client developed and described in chapter 4 was developed to be as much as consistent to the other client developed for Kundera to be more likely accepted by the community and so are not built to be completely interoperable. In an optic of data migration what we want to achieve is that data stored within a database and migrated to another one are still readable to the application without changes besides the new database configuration. The problem for Kundera clients are the relationships. Each database have its own ways to define identifier for the persisted entities, for Google Datastore there's the Key with Kind and an identifier, for Azure there are the partitionkey and the row-key. Concepts are different but actually quite similar since both databases are key-value columnar database. A solution to the problem would have been to modify the migration system in order to make it aware of the problem and let it translate the relational columns in the format of the target database, in this way the relational columns should have been identified in some way to let the system handle them. This can be done by adding a pre-defined prefix or a suffix to those columns. Since this solution require a good amount of changes in the migration system, other solution have been explored.

Back to the concept of identifier, generally, in columnar databases, columns are grouped in a *column family* and set of columns are identified by a *key*, actually the *key* can span among different *column families* but that's not possible either in Datastore or Azure Table. The pair (*column family*, *key*) is sufficient to identify an entity (composed by one or more columns) is so needed a mapping between database-specific terminology to the more general one, this mapping is shown in table 5.1.

General concept	Datastore	Azure Table
Column Family	Kind	partition-key
Key	key-identifier	row-key

Table 5.1: Column family and Key mapping among supported databases.

At this point is needed a common way of save relationships as column family and key in a way that is interoperable among both the client extension. The proposed solution is to persist columnFamily_key an idea that came from the Azure Table extension which already save relationships similar to this. This solution has to be preferred w.r.t the one that require modification of the migration system since the interoperability is achieved transparently to it.

5.8.1 Kundera clients modification

Since lot of work has already been done on the Kundera clients, the modification to them has been made on a separate branch of the projects named *migration*.

Google Datastore The Datastore extension has been modified to persist relationships as kind_key-identifier instead of the Key instance. Join tables require particular care. Kundera is not providing the class of the entities involved in the join table but just the column names and the identifier (the one with the @Id annotation). Queries are possible even if the Kind is unknown since Kundera provides the entity class with the entity identifier in the find operation. To be more consistent and apply the identifier pattern (kind_key-identifier) even for join tables, a map is maintained in the client

and built inspecting Kundera metadata to keep track of which entity classes are involved in which many to many relationship.

Azure Table The Azure Table extension has been modified too to reflect the newly defined standard for relationships. The actual problem here is that user can manually handle the partition-key but is not a possibility that can be guaranteed since if an entity is persisted with a partition-key, it will be read by the Datastore extension as of that *Kind*. Since the Kind in Datastore extension is the entity table name, has been decided to lock the Azure Table partition-key to the table name so user cannot decide its own since this will break the interoperability of data. The thing to notice is that the decided pattern partition-key_row-key has not been broken, the only limitation is the one that fix the partition-key to the table name.

The same discussion for the join tables made previously for Datastore applies as is also for the Azure Table extension.

5.9 Summary

In this chapter has been rapidly described the CPIM structure and the architecture of the NoSQL service before this work. Then has been described how was possible to integrate Kundera as unique persistence provider in the NoSQL service and the problem encountered in the process.

From section 5.4 has been described the general interaction we wanted to build to make CPIM and Hegira communicate and was then introduced and described the architecture and the design choices operated in order to develop such interaction.

Chapter 6

Evaluation

6.1 Introduction

Introduzione agli argomenti trattati nel capitolo, dalle 4 alle 10 righe.

6.2 Test correctness of CRUD operations

JUnit tests

6.3 Performance tests

Task about YCSB and Kundera-benchmarks

6.4 Summary

Riassunto del capitolo

Chapter 7

Conclusions and future Works

Conclusioni del lavoro e sviluppi futuri. Massimo una o due pagine.



Appendix A

Configuring Kundera extensions

A.1 Introduction

In this appendix are described in detail the configurations that are available for the two developed Kundera extensions. Are described the required properties that needs to be configured in the *tersistence.xml* file and the available datastore specific properties that can be defined in the external datastore specific property file.

A.2 Common configuration

All the configuration is performed in the *persistence.xml* file and so it follows the JPA standard. The skeleton of the file is as follow:

Configuring Kundera extensions

A name for the persistence unit is needed as it will be referenced inside the classes of the model. In the <calss> tag must be listed, one per tag, the full name of the classes that needs to be handled through this persistence unit. The only differences for the extensions are the kundera properties that needs to be specified inside the properties> tag.

A.3 GAE Datastore

The configuration is done in the *persistence.xml* file, two configuration are possible:

- 1. use the datastore instance within the appengine application
- 2. use a remote datastore instance through remote API

The properties to be specified inside the tag for the first case
are:

- kundera.client.lookup.class required, must be set to
 it.polimi.kundera.client.datastore.DatastoreClientFactory
- kundera.ddl.auto.prepare optional, possible values are:
 - create which creates the schema (if not already exists)
 - create-drop which drop the schema (if exists) and creates it
- kundera.client.property *optional*, the name of the xml file containing the datastore specific properties.

In addition to the previous properties and in case of remote API, those properties are also necessary:

- kundera.nodes required, url of the appengine application on which the datastore is located
- kundera.port optional default: 443, port used to connect to datastore
- kundera.username required, username of an admin on the remote server
- kundera.password required, password of an admin on the remote server

To test against local appengine runtime emulator the configuration is as follow:

the value for kundera.password does not matter.

Datastore specific properties file

A file with client specific properties can be created and placed inside the classpath, you need to specify its name in the persistence.xml file. The skeleton of the file is the following:

The available properties are:

- datastore.policy.read [eventual—strong] default: strong, set the read policy.
- datastore.deadline optional, RPCs deadline in seconds.
- datastore.policy.transaction [auto—none] default: none, define if use implicit transaction.

A.4 Azure Table

The configuration is done in the persistence.xml file, the properties to be specified inside the properties; tag are:

- kundera.username required, the storage account name (from azure portal)
- kundera.password required, the storage account key (from azure portal)
- kundera.client.lookup.class required, and set to
 it.polimi.kundera.client.azuretable.AzureTableClientFactory
- kundera.ddl.auto.prepare optional, possible values are:
 - create which creates the schema (if not already exists)
 - create-drop which drop the schema (if exists) and creates it
- kundera.client.property optional, the name of the xml file containing the datastore specific properties.

Datastore specific properties file

A file with client specific properties can be created and placed inside the classpath, you need to specify its name in the persistence.xml file. The skeleton of the file is the following:

The available properties are:

- table.emulator [true—false] default: false. If present (and set to true) storage emulator is used. When using dev server kundera.username and kundera.password in persistence xml are ignored.
- table.emulator.proxy default: localhost. If storage emulator is used set the value for the emulator proxy.
- table.protocol [http—https] default: https. Define the protocol to be used within requests.
- table.partition.default default: **DEFAULT**. The value for the default partition key, used when no one is specified by the user.

Appendix B

Configuring CPIM migration

B.1 Introduction

In this appendix is presented the new configuration file added to CPIM to support the configuration of the migration system.

${ m B.2} \quad migration.xml$

The skeleton of the *migration.xml* file is the following:

Configuring CPIM migration

Three are the main section that can be configured:

- 1. zooKeeper client
- 2. sequence number backup
- 3. follow cascades while build statements

The first two options are the most complex and are described in the following sections, the third option can assume the values of *true* or *false* but is *optional* since is set to *false* by default. In case the value is set to *true*, the statement builders, when builds the statements from objects, will read the values for the <code>@CascadeType</code> annotation and, if necessary, builds the cascade statements and sends them to *Hegira* in the correct execution order as described in 5.7

B.2.1 Configure the zooKeeper client

B.2.2 Configure a sequence number backup

A sequence number backup can be configured either on a blob storage (when running on PaaS) or to file (when running to IaaS).

The **<execute>** tag define if backup should or should not be performed, the possible values are *yes* or *no*. This tag is *optional* since is set as default to **yes**.

For the other configuration options, two cases must be distinguished:

Backup to Blob An example configuration would be the following one:

Backup to file An example configuration would be the following one:

The <directory> tag is mandatory and must contain the path to the directory in which the backup file will be placed and retrieved in case they needs to be restored.

For the For the considerations made for backup to blob applies.

B.3 Use CPIM without migration system

The migration.xml file is not necessary if the user won't use the migration system. If the file is not present inside the META-INF folder, the NoSQL service will interact only with the underlying persistence provider without instantiating any of the required classes.

This is possible due to the lazy initialization of those components that are initialized only the first time are actually used since are built with a singleton pattern.

Appendix C

Run YCSB tests

C.1 Introduction

Introduzione agli argomenti trattati nell'appendice, dalle 4 alle 10 righe.

- C.2 Run tests for low-level API version
- C.3 Run tests for Kundera version

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