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**Facoltà di Ingegneria dell'Informazione**



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**... al massimo su due righe ...**

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*dedica...*



# Ringraziamenti

Ringraziamenti vari, massimo una o due pagine.

Milano, 1 Aprile 2005

*Fabio.*



# Estratto

abstract in italiano





# Abstract

abstract in english



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# Chapter 1

## Introduction

Introduzione al lavoro. Inizia direttamente, senza nessuna sezione.

Argomenti trattati suddivisi sezione per sezione...

Per citare un articolo, ad esempio [5] o [1, 2] utilizzare il comando `cite`.

Per gestire i file di tipo `bib` esiste il programma `JabRef` disponibile sul sito <http://jabref.sourceforge.net/>.

## Original Contributions

This work include the following original contributions:

- ...riassunto sintetico dei diversi contributi
- ...
- ...

## Outline of the Thesis

This thesis is organized as follows:

- In Chapter 1 ...
- In Chapter ?? ...
- In Chapter ?? ...

## Introduction

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- ...

Finally, in Chapter 7, ...



# Chapter 2

## State of the art

### 2.1 Introduction

Introduzione agli argomenti trattati nel capitolo, dalle 4 alle 10 righe.

### 2.2 Summary

Riassunto del capitolo



# Chapter 3

## Problem setting

### 3.1 Introduction

Introduzione agli argomenti trattati nel capitolo, dalle 4 alle 10 righe.

### 3.2 Summary

Riassunto del capitolo



# Chapter 4

## Kundera extension

### 4.1 Introduction

In this chapter will be presented briefly the Kundera modular architecture, the way in which Kundera is supposed to be extended, the problems occurred in the process and how the community helped in achieving the result.

Then are discussed the detail of the two developed Kundera extension, in section 4.3.1 the one for Google Datastore and in section 4.3.2 the one for Azure Table.

### 4.2 Overview of Kundera

Kundera [4] is an implementation of the JPA interface that now supports various NoSQL datastore. It supports by itself cross-datastore persistence in the sense that its allows an application to store and fetch data from different datastores. Kundera provides all the code necessary to implement the JPA 2.1 standard interface independently from the underlying NoSQL database.

The currently supported NoSQL databases are:

- Oracle NoSQL (versions 2.0.26 and 3.0.5)
- HBase (version 0.96)
- MongoDB (version 2.6.3)
- Cassandra(versions 1.2.9 and 2.0.4)

## Kundera extension

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- Redis (version 2.8.5)
- Neo4j (version 1.8.1)
- CouchDB (version 1.0.4)
- Elastic Search (version 1.4.2)

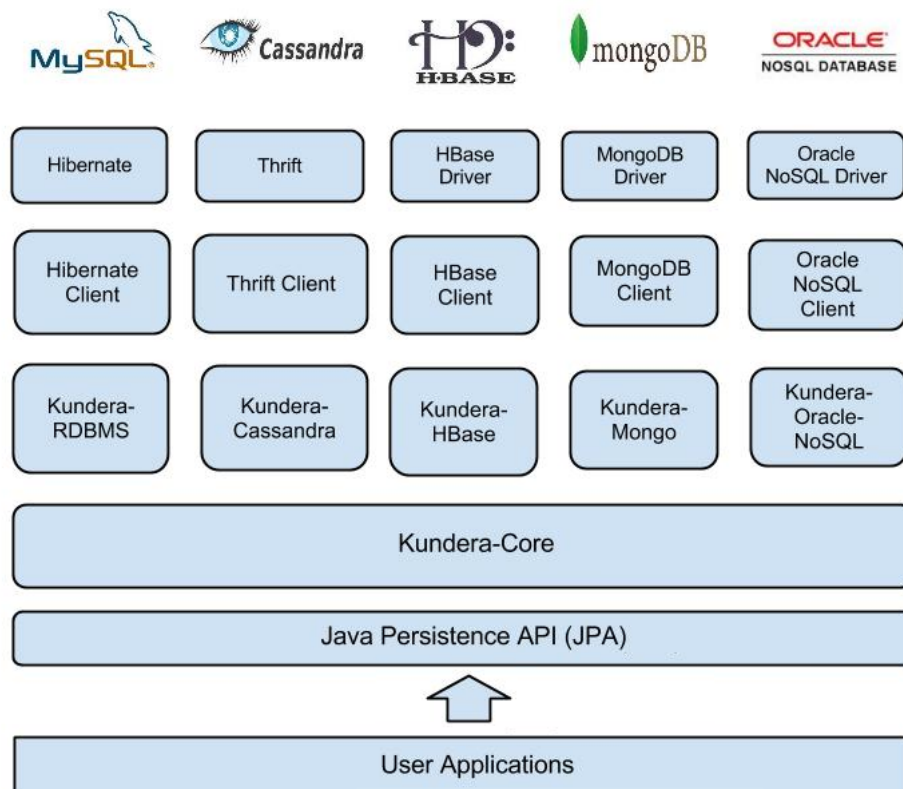


Figure 4.1: Kundera architecture

The architecture of Kundera is shown in Figure 4.1. The figure highlights the fact that the user application interacts with Kundera simply by exploiting the standard JPA interface implemented in the Kundera-Core.

Kundera-Core, each time an operation need to be executed on the underlying database, delegates the operation to the appropriate **Client** creating it through a **Client Factory** if it does not exists yet, clients are then responsible of actually executing the operation on the underlying database.

### 4.2.1 Kundera's Client Extension Framework

Kundera try to offer a common way to interacts with NoSQL databases through a well defined interface and as on open source project make other developers able in using and extending it, adding support to other databases. Is so available a *Client Extension Framework* described in the Kundera documentation which provides a short description about how Kundera clients should work and provides a description of interfaces and classes that should be developed in order to make the client work properly.

Basically to build a new Kundera client, these are the blocks to be developed:

- the `Client`, which is the gateway to CRUD operations on database, except for queries
- the `Client Factory`, which is used by Kundera to instantiate the `Client`
- the `Query implementor`, which is used by Kundera to run JPA queries by invoking appropriate methods in `Entity Readers`
- the `Entity Reader`, which is used by Kundera to translate the queries into correct client method calls
- optionally the `Schema Manager`, to support automatic schema generation

### 4.2.2 Approaching the extension

It all seems well structured but the problem is that the documentation is actually outdated. Two were the main problem in understating what to do and how:

- when actually defined the classes and implemented the interfaces, it turns out that there are actually little differences both on interfaces and the required methods
- the documentation skip completely to describe what kind of information are carried by the argument of the methods that needs to be implemented

Due to those problems, the solution was to write on the Kundera Google group page to ask the community for more updated information about Kundera

extension. Briefly an answer has come and I've started a conversation with one of the developers of Kundera who helped me giving the updated information for the Kundera's *Client Extension Framework* and tell me to look forward to the other client implementation for some examples. Thanks to the updated information it turns out that the **Entity Reader** was unnecessary and all the translation from JPA queries to datastore specific queries and their executions should be done in the **Query Implementor**.

At this point since no answer were given about the information carried by the methods arguments, the most valid solution was to approach the extension in a test driven way trying so to reverse engineer the arguments.

Looking at the tests code of the other clients, I've written a set of unit tests one for each feature I was planning to support (tests are analyzed in chapter 6). By doing this and by looking at the other client implementation I was finally able to understand the structure of the method arguments, they carry information about the operation to be performed and about the entity on which the entity needs to be performed. Entity information are structured in a data structure filled by Kundera by parsing the annotation that the user define on the entity class, those meta-data includes for example the table name, the column names and the relationships in which the entity is involved.

With the client structure defined, the tests written, the knowledge of the responsibilities of each method and of the Kundera meta-model, was then possible to begin the actual extension developing.

### 4.3 Developing client extensions

Two are the extension that have been developed for Kundera, the first one for Google Datastore and the second one for Azure Table. After the difficulties in figuring out how the extension have to be carried out, a main structure has been defined and kept for both the extension so the two projects have many parts in common. In the following sections the extensions are presented separately, each developed feature is described in a dedicated section, the general concepts are introduced as they are encountered and will be referenced further on if necessary specifying the differences if any.



### 4.3.1 Google App Engine Datastore client

The first extension that has been faced is the one for Google App Engine Datastore [2] the NoSQL solution available in the App Engine runtime, a key-value storage build on top of Google BigTable.

#### JPA identifier

In Google Datastore the most basic unit that can be stored is an *Entity* which is identified by a *Key* and composed of *Properties*. Keys contains various information about the entity itself:

- the entity *Kind*, which is used to group entities of the same type
- an entity identifier, used to distinguish entities of the same type
- an optional parent entity

Inspired by the Google JPA implementation for Datastore [3] the idea was to use the Java class representing the datastore *Key* as identifier for the entity but unfortunately this was not possible since Kundera support only a pre-defined defined set of Java data types.

The adopted solution is to handle the key internally. Each time an operation is required on Datastore the key relative to the entity is built, the key *Kind* is directly mapped to the table name and the Key identifier is the user defined id specified in the `@Id` annotation.

IDs can be specified by the user or automatically generated, there are three possibilities:

- `@Id` annotation on a `String` type field
- `@Id` annotation on a `Long` type field
- `@Id` annotation on a primitive `long` type field

For each case the ID can be specified by the user before the persist operation. Since Kundera support the JPA feature for auto-generated IDs through `@GeneratedValue`, this possibility has been exploited for Datastore and so

## Kundera extension

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the user can annotate a **String** ID field to be auto-generated, its value will be a string representation of a random java **UUID**.

Auto-generated IDs are supported by Kundera only with **AUTO** or **TABLE** strategy, it was not possible to use the Datastore API to generate IDs since it is necessary to know the *Kind* of the entity to be persisted but neither the **AUTO** strategy nor the **TABLE** one provides this information at generation time.

### Consistency

In Datastore entities are organized in *Entity Groups* based on their *Ancestor Path*, the ancestor path is a hierarchy containing the keys of the entities which are parents of the given one and thus in the same entity group.

Consistency is managed through entity groups and so by defining the ancestor paths. Entities within the same entity group are managed in strong consistency, eventual consistency is used otherwise.

Datastore provide the possibility to create ancestor path by defining entities parent to other entities and is basically a task left to the user, no automated sorting or guessing is provided. Other wrapper around Datastore low-level API also leave this task to the user, for example in Objectify [6] the developer make use of an **@Parent** annotation to make the user able to specify the parent relationships and so be able to organize entities through the ancestor path.

Since JPA is a well defined standard, adding such kind of annotation will break the standard, the only alternative way is trying to automatic guess the ancestor path.

An approach can be look at JPA relationships since they're clearly a good place to found information for guessing if two entity kind can be hierarchically related, so for each type of relation must be defined what solution can be adopted:

- for **One to Many** and **One to One** relationships, since there's an owning side of the relationship, the owning entity can be used as parent for every related entity.
- **Many to One** can be skipped because they are the inverse of **One to Many** so such related entities should be already organized.

- for **Many to Many**, since the relationship is handled through a join table, it does not make sense to relate the entities involved.

Also if possible this guessing was not done in the extension for two main reasons:

1. entities are not required to have a single relationship
2. entities with a parent require the parent Key to be universally identified

So for the first reason is impossible, unless asking to the user, to decide which relation use to hierarchically organize entities, furthermore for the second reason when declaring a entity parent to another is always necessary to know the Key of the parent (and thus its Kind and identified) beside the Key of the entity itself to be able to retrieve it from Datastore and for how Kundera is structured this information is not available during find operation in which Kundera provides only the table name, the identifier and the entity class.

For those reasons was not possible, without causing errors, to automatically guess ancestor paths through JPA relationships or make the user able manage them directly through a specific annotation. Each Kind is persisted as a root Kind and so each entity is stored in a separated entity group identified by its Kind (the name of the JPA table associated to the entity).

### JPA relationships

All the JPA supported relationships has been implemented in the client and have been implemented like they would be in a relational database. So for **One to One** and **One to Many** relationships on the owning side of the relationships a *reference* to the non-owning side entity is saved.

For **Many to One** relationships there would be two solutions:

- persist a list of *references* to the related entities;
- do not persist anything within the entity and fill the relationship with a query.

The second solution has been adopted since more consistent with other Kundera client implementation and with the classic implementation of this relation type in relational systems.

## Kundera extension

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For **Many to Many** relationships a join table is created based on user directives specified in the entity class annotations. The join table is filled each time a many to many related entity is persisted and a new *row* is created inside the join table with the *references* to the entities involved in the relationship.

The so far called *reference* for Datastore is exploited by persisting within the entity the Key (Kind and identifier) of the related entity.

## Queries

Queries in Kundera are supported in JPQL the JPA query language which is a object oriented query language based on SQL [5]. Kundera supports all of the clauses of JPQL but with some restrictions, clauses can be applied on:

- primary key attributes (`@Id`) and column attributes (`@Column`).
- combination for primary key attribute and columns.

The JPQL query is parsed and validated by Kundera and to the `Query Implementor` are provided some meta-data extracted from the query which then needs to be read in order to build a database specific compatible query.

Datastore have on its own a very good support to queries so all the clauses are supported except for the *LIKE* clause.

To be able to execute queries on properties, Datastore needs to construct indexes upon those properties. Those indexes consumes the App Engine application quotas both to be stored and maintained. The API provides the possibility to decide upon which property an index should be maintained by using a different method when the property is added to the entity; `setProperty(String name, Object value)` is used to set a property which will be automatically indexed, `setUnindexedProperty(String name, Object value)` will be used otherwise.

Since a discriminator is needed to choose between the two methods, other wrapper around low-level API such Objectify [6] provides to the user an `@Index` annotation to be place upon the field that needs to be indexed but, as previously explained, is not convenient to add other annotation to the JPA standard, this will break interoperability. For those reasons, and to be able to actually execute the queries, all properties are set as indexed.

In table 4.1 can be found a complete list of the supported JPQL clauses for both extensions.

### Embedded entities

Embedded fields are supported by the JPA [5] annotating the field that needs to be embedded with the `@Embedded` annotation and annotating the corresponding class with the `@Embeddable` annotation.

Implementation of those kind of entities is straightforward for Datastore because it supports them natively as an *Embedded Entity*. The implementation so make use of this feature translating the embeddable entity in a Datastore embeddable entity and then persist it within the parent entity.

### Collection fields

JPA standard supports collection or maps to be used as entities field through the annotation `@ElementCollection`.

Lists are natively supported by Datastore but are supported only if composed of primitives Java data types. To be able to save whatever kind of collection or map independently by the data type that compose it, the collection or map itself is serialized to a `byte` array when persisted and de-serialized when read. To simplify the developing, also Lists of primitive types, even if supported natively, are serialized.

### Enum fields

Enum fields are supported by the JPA through the annotation `@Enumerated` simply by persisting its string representation and instantiating the corresponding enum type back when the entity is read.

### Schema Manager

Schema manager as required by Kundera has to exploit four operations:

- *validate*, which validates the persisted schema based on entity definition

## Kundera extension

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- *update*, which updates the persisted schema based on entity definition
- *create*, which create the schema and thus the tables based on entity definitions
- *create\_drop*, which drops (if exists) the schema and then re-creates it by re-creating the tables based on entity definitions.

The first two cases are quite useless for a Datastore since there's no fixed schema for entities, entities with same *Kind* can have different properties without restriction. Also the *create* case is meaningless for Datastore since if a new entity of an unknown *Kind* is persisted it's created without the need of explicitly define it first as a new *Kind*.

The last case *create\_drop* will just drop the current schema, deleting all the persisted kinds and so all the related entities, without re-creating the schema since it constructs by itself.

### Datastore specific properties

Kundera offers the possibility to define some datastore specific properties in an external xml file that need to follow a simple structure. This file is referenced inside the `persistence.xml` and is optional.

This possibility is exploited by the Datastore extension and make the user able to configure the following properties:

- `datastore.policy.read`, to set the read policy
- `datastore.deadline`, to define the RPCs calls deadline
- `datastore.policy.transaction`, to specify if Datastore have to issue implicit transactions

Those properties are read in the `Client Factory` and used to initialize the datastore connection with the required parameters.

For a complete reference for Google Datastore extension configuration see the appendix A.2.

### 4.3.2 Azure Table client

Azure Table [1] is the NoSQL solution developed by Microsoft, is a key-value storage and it's available inside Azure environment.

#### JPA identifier

In Azure Table an entity to be persisted must either implement a special interface `TableServiceEntity` or be translated into a `DynamicEntity` which is basically a property container. An entity is then uniquely identified inside a table by a *partition-key* and a *row-key*. Partition keys are used to handle consistency, strong consistency is guaranteed while entities are stored within the same partition key otherwise consistency will be eventual.

Since both partition-key and row-key are supported only in field of type `String` and since the JPA annotation `@Id` can be declared only on one field per class, partition-key and row-key are concatenated in a single `String` and handled internally by the extension through the class `AzureTableKey` built *ad hoc* since for Azure Table there's no a class similar to `Key` of Datastore that encapsulate both the partition-key and the row-key. This way the user have complete control over partition-key and row-key and thus on the consistency mechanism.

For the user three different approaches to handle those identifiers are available:

1. define manually both row-key and partition-key
2. define manually only the row-key
3. let the extension to completely handle the identifier annotating the ID field also with `@GeneratedValue(strategy = GenerationType.AUTO)`

For the first case, to help the user in defining both the partition key and the row key independently by the way the extension handle them, a static method `AzureTableKey.asString(String partitionKey, String rowKey)` is provided; its usage is not required but in case the ID is manually specified, it must follow the convention used by the extension which is `partitionKey_rowKey`. The second case is exploited setting the ID to a string value, this value is interpreted by the extension as the row key while the partition key is set to a default value that can be modified in the datastore specific property file

## Kundera extension

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described later on. Also for this case, to have a more fluent API, an utility method is provided: `AzureTableKey.asString(String rowKey)`

The third and last method will generate a java random UUID for the row key and set the partition key to the default value.

## JPA relationships

Also for Azure Table relationships are implemented similarly to relational systems as described previously for Datastore (4.3.1).

The only difference is that when is needed to keep a *reference* to another entity, is persisted within the entity the partition key and the row key of the related entity. Even if the pair (partition-key, row-key) is not sufficient to identify an entity universally, it is sufficient in Kundera since the information of the table is always available to the client just by looking at the meta-data of the relationship.

## Queries

Supporting queries for Azure Tables was straightforward, the procedure was the same described in 4.3.1 but due to the different operator supported by Tables, beside the *LIKE* clause also the *IN* and *ORDER BY* clauses are not supported.

In table 4.1 can be found a complete list of the supported JPQL clauses for both extensions.

## Embedded entities

Embedded fields (described in 4.3.1) are not supported natively by Azure Table so the solution adopted is to serialize the field annotated with `@Embedded` to be able to persist it to the storage like a `byte` array and de-serializing it when the entity is read.

## Collection fields

As described for Datastore (4.3.1) JPA supports collections but are not supported in Azure Tables even if composed of supported data types.



To support even complex collection or maps the simplest solution is to serialize the entire collection or map to a `byte` array when persisting the entity and de-serialize it when reading the entity from the storage.

### Enum fields

Enum fields are supported by the JPA through the annotation `@Enumerated` simply by persisting its string representation and instantiating the corresponding enum type back when the entity is read.

### Schema Manager

Schema manager (as described in 4.3.1) have been also implemented for Azure Table and like Google Datastore, the first two cases are quite useless since there's no fixed schema and entities within the same Table can have different properties without restriction.

Azure Table need that the Table in which entities are stored exists before trying to create entities so the *create* case simply iterate over all table names and creates it in the database.

For the *create\_drop* case, all tables should be dropped (and so all the contained entities) and re-created. The problem here is that tables deletion is performed asynchronously and so exists an unpredictable amount of time in which the table cannot be re-created since it still exists even if not listed. To overcome to this problem two solution can be adopted:

- catch the `StorageException` thrown when the table is created while still exists, put the process to sleep for an amount of time and then try again until success
- do not delete the table itself but delete all its entities in bulk

The first method is clearly dangerous since no deadline is given or guaranteed for the table delete operation, the second solution is actually not so convenient because, even if deletion is performed as a batch operation, both the partition key and row key must be specified and thus one or more queries must be performed over the table to retrieve at least partition key and row key for each entity in the table, this will require an high number of API call and thus an high cost of usage.

## Kundera extension

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So for the *create\_drop* case is performed a drop of all the Tables and then are re-created even if this can cause the previously mentioned conflict, this option is left as is for testing purposes since in the storage emulator the problem is not showing because the Table storage is emulated over a SQL server instance.

### Datastore specific properties

As described for Datastore (4.3.1), Kundera provides a datastore specific properties file that let the user set some specific configuration.

This possibility is supported also for Azure Tables with the following available properties:

- `table.emulator` and `table.emulator.proxy`, to make the user able to test against the local storage emulator on Windows
- `table.protocol`, to make the user able to decide between *HTTP* or *HTTPS* for storage API RPCs
- `table.partition.default`, to let the user specify the value for the default partition key

For a complete reference for Azure Table extension configuration see the appendix A.3.

## 4.4 Summary

In this chapter has been introduced in details how Kundera extension should been developed, the problem encountered during the development, how they've been addressed and the detail of the implementation of the two extensions including the feature that are currently supported.

JPA-QL Clause	Datastore support	Table support
<i>Projections</i>	✓	✓
<i>SELECT</i>	✓	✓
<i>UPDATE</i>	✓	✓
<i>DELETE</i>	✓	✓
<i>ORDER BY</i>	✓	✗
<i>AND</i>	✓	✓
<i>OR</i>	✓	✓
<i>BETWEEN</i>	✓	✓
<i>LIKE</i>	✗	✗
<i>IN</i>	✓	✗
<i>=</i>	✓	✓
<i>&gt;</i>	✓	✓
<i>&lt;</i>	✓	✓
<i>&gt;=</i>	✓	✓
<i>&lt;=</i>	✓	✓

Table 4.1: JPQL clauses support for the developed extension



# Chapter 5

## CPIM extension

### 5.1 Introduction

Introduzione agli argomenti trattati nel capitolo, dalle 4 alle 10 righe.

### 5.2 ...

Argomenti trattati suddivisi sezione per sezione...

### 5.3 Figure

Per includere delle figure come la Figura 5.1 usare il comando `includegraphics`.

### 5.4 Algoritmi

Per includere degli algoritmi come l'Algoritmo 1 usare lo stile `algpseudocode` presente nel package `algorithmicx`.

### 5.5 Summary

Riassunto del capitolo

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**Algorithm 1** Un esempio di algoritmo.

---

```
1: Initialize  $Q(\cdot, \cdot)$  arbitrarily
2: for all episodes do
3:    $t \leftarrow 0$ 
4:   Initialize  $s_t$ 
5:   repeat
6:      $a_t \leftarrow \pi(s_t)$ 
7:     perform action  $a_t$ ; observe  $r_{t+1}$  and  $s_{t+1}$ 
8:      $Q(s_t, a_t) \leftarrow Q(s_t, a_t) + \alpha(r_{t+1} + \gamma \max_{a \in A} Q(s_{t+1}, a) - Q(s_t, a_t))$ 
9:      $t \leftarrow t + 1$ 
10:  until  $s_t$  is terminal
11: end for
```

---

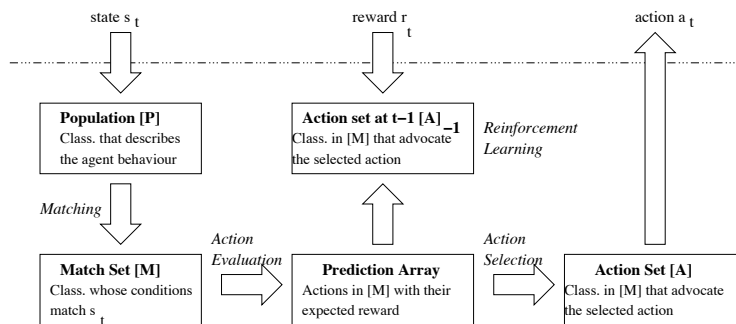


Figure 5.1: ...titolo





# Chapter 6

## Evaluation

### 6.1 Introduction

Introduzione agli argomenti trattati nel capitolo, dalle 4 alle 10 righe.

### 6.2 Test correctness of CRUD operations

JUnit tests

### 6.3 Performance tests

Task about YCSB and Kundera-benchmarks

### 6.4 Summary

Riassunto del capitolo



# Chapter 7

## Conclusions and future Works

Conclusioni del lavoro e sviluppi futuri. Massimo una o due pagine.



# Appendices



# Appendix A

## Configuring Kundera extensions

### A.1 Introduction

Introduzione agli argomenti trattati nell'appendice, dalle 4 alle 10 righe.

### A.2 GAE Datastore

### A.3 Azure Table

### A.4 ...

Argomenti trattati suddivisi sezione per sezione. Alla fine del capitolo non includere alcun sommario.





# Appendix B

## Run YCSB tests

### B.1 Introduction

Introduzione agli argomenti trattati nell'appendice, dalle 4 alle 10 righe.

### B.2 ...

Argomenti trattati suddivisi sezione per sezione. Alla fine del capitolo non includere alcun sommario.



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