

Nick Draper, Christine Goins, Kaveh Pezeshki, Zunyan Wang, Nancy Wei ${\bf November~2017}$

Contents

1	Intr	roduction	1							
2	Pro 2.1 2.2	ject Goals Constraints	2 2 2							
3		kground Information	3							
	3.1	Image Signal Processing	3							
		3.1.1 Typical ISP Pipelines	3							
	3.2	Image Recognition via CNN	3							
4	Mic	croarchitecture Specifications	4							
5	ISP Pipeline Testing									
	5.1	Testing Goals	5							
	5.2	Background	5							
		5.2.1 RAW Conversion	5							
		5.2.2 Metric Calculation	6							
	5.3	Image Set Acquisition	6							
		5.3.1 Image Set 1: Cats	6							
		5.3.2 Image Set 2: Cats	6							
		5.3.3 Image Set 3: Squash	7							
	5.4	Experimental Design	7							
		5.4.1 Overall Parameter Testing	7							
		5.4.2 Pipeline Step Testing	8							
	5.5	Results and Data Analysis	9							
		5.5.1 Overall Parameter Testing	9							
		5.5.2 Pipeline Step Testing	9							
	5.6	Conclusion	10							
ß	Spr	ing Plans	11							

7	Appendix						
	7.1	DCRaw Test Data	12				
	7.2	Condensed DCraw Codebase	12				
	7.3	Verilog ISP Implementation	12				
	7.4	Tensorflow Testing Pipeline	12				
	7.5	UFraw Test Commands	12				

List of Figures

5.1	Example Images from Set 1	6
5.2	Example Images from Set 2	7
5.3	Example Images from Set 3	7
5.4	UFraw Parameter Test Settings	8
5.5	DCraw Pipeline	9
5.6	Recognition Rate-Parameter Graphs	9
5.7	DCraw Pipeline Statistics	10

List of Tables



Introduction

For the 2017-2018 term the Clay-Wolkin fellows will develop an image signal processor (ISP) specifically for object recognition by convolutional neural networks. The team is working with guidance from Reduced Energy Microsystems, and will design the ISP according to their specifications. Commercial ISPs are highly proprietary and open source ISPs are not designed for machine learning in particular. Thus, the project presents an interesting area of research and application.

Project Goals

The overall objective of the project is to develop an ISP suitable for object recognition by convolutional neural networks.

2.1 Constraints

There are several critical constraints for the ISP, as defined by the team and Reduced Energy Microsystems:

- The ISP must achieve image recognition rates comparable to images produced by commercial ISPs. The metric for recognition success is determined by the team.
- The ISP will be made open source at the end of the project.

2.2 Hardware Specification

The ISP inputs are defined below:

- Parameters specific to the camera sensor:
 - Bayer filter array pattern (RGGB, BGGR, GBRG, or GRBG)
 - Color conversion matrix
- Number of bits per pixel
- Desired file format of output (JPEG, PNG, or TIFF)

The ISP will output processed images in the desired file format.

Background Information

- 3.1 Image Signal Processing
- 3.1.1 Typical ISP Pipelines
- 3.2 Image Recognition via CNN

Microarchitecture Specifications

ISP Pipeline Testing

5.1 Testing Goals

In order to establish a pipeline specification for the ISP, it was first necessary to discover what pipeline steps were necessary to achieve adequate machine learning performance, and furthermore, what algorithms were readily available, and easy to implement in hardware, to accomplish these steps. Our required statistic was 90%+ recognition through all image sets.

5.2 Background

5.2.1 RAW Conversion

In industry, tools such as Adobe Photoshop and Lightroom are often used to edit and convert RAW image formats to standardized formats such as PNG and JPG¹. However, these products are 'black boxes' and therefore inadequate for the Fellowship's approach to optimizing an ISP for machine learning.

The team therefore investigated the open-source tools UFraw² and DCraw³. While both tools are open-source and provide image conversion and editing capabilities, UFraw provides a powerful and user-friendly wrapper to the DCraw engine. These tools provided a simple way to fine-tune and convert RAW images for machine learning.

Research on the image quality - machine learning performance correlation already exists⁴, and indicates that image deviations that can be compensated for in post-processing have substantial impact on recognition rate. The team therefore decided to proceed to test image optimization via UFraw and DCraw.

 $^{{}^{1}\}rm https://digital-photography-school.com/raw-workflow-a-pros-approach/$

 $^{^2} http://ufraw.sourceforge.net/\\$

³https://www.cybercom.net/ dcoffin/dcraw/

 $^{^4}$ https://arxiv.org/pdf/1604.04004.pdf

5.2.2 Metric Calculation

In order to judge the efficacy of image optimization, it was first necessary to establish a metric. Focusing on the Inception-V3 image recognition model, trained on ImageNet⁵ and implemented on Google's Tensorflow CNN framework⁶, the team decided on a simple binary calculation scheme.

Each image set would focus on a specific keyword, with images of the keyword's subject as well as a distribution of images of related and unrelated entities. The metric would be equal to:

```
metric = \frac{Number\ of\ correct\ top-5\ positive\ identifications}{number\ of\ images} + \frac{Number\ of\ correct\ negative\ identifications}{number\ of\ images}
```

This calculation, as well as an automated image loading and output parsing script, are present in a set of Python scripts. These are available at a Github repository⁷ as well as in Appendix C.

5.3 Image Set Acquisition

5.3.1 Image Set 1: Cats

The initial keyword chosen was 'cat'. This was due to the large proportion of cats and related cat breeds in the ImageNet database??, as well as similar quadripedal animals. These characteristics of the ImageNet database would provide a large amount of granularity in the Inception-V3 output. This granularity would allow for a greater level of fine-tuning in ISP pipeline optimization.

There is an animal shelter near Harvey Mudd College, Priceless Pet Rescue [?]. The initial image set was composed of 179 RAW images of cats and dogs under CFL-lit conditions. There were 32 dogs and 51 cats in this image set. Images were taken in simultaneous RAW-JPG mode with a Canon Rebel t30i, at 5184x3465 pixel resolution.

Images were also taken of dogs and other shelter animals to provide a negative reference. A few example images, processed through the camera pipeline, can be seen in Figure 5.1.

Figure 5.1: Example Images from Set 1

5.3.2 Image Set 2: Cats

Realizing that a set of images taken under optimal, well-lit, conditions are likely not representative of images in potential applications of the team's ISP, the team

⁵http://www.image-net.org/

⁶ https://www.google.com/url?sa=t&rct=j&q=&esrc=s&source=web&cd=1&ved=0ahUKEwiLjZT008LXAhXoqlQKHXgJB0

⁷https://github.com/Arcturus314/tensorflow_loader

elected to take another set of images at the Priceless Pet Rescue. There were 102 images of cats and 58 images of dogs in this image set for a total of 160 images. Pictures were again taken in simultaneous RAW-JPG mode on a Canon Rebel t30i, except with unoptimal ISO, shutter speed, camera shake, and other parameters.

As before, non-cat images of dogs and other animals were included in the set. Example images can be seen in Figure 5.2. The image quality degradations are immediately clear from these examples.

Figure 5.2: Example Images from Set 2

5.3.3 Image Set 3: Squash

The third and final image set was taken with an unrelated subject –squash—to help ensure that identification rates were not directly correlated with the cat subject. This image set was taken in simultaneous RAW-JPG mode on a Canon Eos 80D, under outdoor nighttime conditions. Negative samples were of street signs, food products, and other similar objects. There were 29 images of pumpkins of the 102 images in this set.

As before, example images are given in Figure 5.3.

Figure 5.3: Example Images from Set 3

5.4 Experimental Design

The team had two goals in pipeline testing: to ensure that it was possible for the open-source DCraw engine to provide acceptable recognition rates (90%+), and to minimize the number and complexity of image processing steps required to achieve these recognition rates.

5.4.1 Overall Parameter Testing

The first step in this investigation was to examine whether the DCraw engine can match the Inception-V3 binary recognition rate of the integrated camera ISP, and, if so, the parameter distribution required to do so.

For each image set, 47 converted sets were generated by UFraw, each with a different set of parameter distributions. The settings of each UFraw parameter are shown in Figure 5.4.1, with all parameters not described by the given settings

Figure 5.4: UFraw Parameter Test Settings					
temperature	green	gamma	exposure	saturation	black-point
2700	0.2	0.1	-3	0	0
3000	0.6	0.3	-2	1	0.2
3300	1	0.5	-1	2	0.4
3600	1.4	0.7	0	3	0.6
3900	1.8	0.9	1	4	0.8
4200	2.2		2	5	1
4500			3	6	
4800				7	
5100				8	
5400					
5700					
6000					
6300					

left at their defaults, such that an image set exists for every parameter value. A parameter description can be seen on the UFraw man page⁸.

A full parameter command list is available in Section 7.5.

After image set generation was completed, the Tensorflow test pipeline scripts in Section 7.4 were used to generate output metrics for each set of UFraw parameters.

5.4.2 Pipeline Step Testing

After image generation via UFraw, the team's next goal was to minimize the pipeline steps required to achieve adequate recognition rates. These tests were run without the UFraw frontend, as the raw DCraw engine provides much more control over applied ISP steps.

The team first eliminated all DCraw steps requiring external files, such as dark frame correction. Steps that did not drastically affect recognition rate were removed, such as wavelet noise removal and highlight reconstruction. As shown in the previous parameter testing, DCraw conversion pipeline is capable of producing images with adequate recognition rates without these image processing steps.

The condensed DCraw pipeline is shown in Figure 5.4.2. These tests were run on the third image set with keyword squash.

 $^{^8 \}rm https://www.freebsd.org/cgi/man.cgi?query=ufraw&manpath=FreeBSD+Ports+7.0-RELEASE$

Figure 5.5: DCraw Pipeline

Conversion Step	Algorithm Options	Command-Line Arguments	
Decode raw data	camera-specific	n/a	
Set up gamma curve	universal	n/a	
White balancing	auto	-a	
	camera statistics	-W	
	default statistics	n/a	
	none	-r 1 1 1 1	
Colorspace conversion calculation	camera-specific	n/a	
Wavelet noise removal	universal	-n	
Color scaling	universal	n/a	
Interpolation	bilinear	-q 0	
	VNG	-q 1	
	PPG	-q 2	
	AHD	-q 3	
Highlight reconstruction	Clip all	-H 0	
	Leave unclipped	-H 1	
	Blend highlights	-H 2	
	Reconstruct highlights	-H 5	

Figure 5.6: Recognition Rate-Parameter Graphs

5.5 Results and Data Analysis

5.5.1 Overall Parameter Testing

While raw data is available in 7.1, the relevant data can be seen in Figure 5.5.1. It is clear that while parameter-recognition rate relationships differ between image sets, it is possible to achieve 90%+ recognition rates through all image sets, and therefore fulfilled the required statistic.

5.5.2 Pipeline Step Testing

Raw data is available in Figure 5.5.2. All options provide similar percent recognition, all above the 90% threshold. This indicates that for this image set it is possible to only implement the simplest algorithms in the hardware ISP: no white balancing, bilinear interpolation, no highlight reconstruction, and no denoising.

Figure 5.7: DCraw Pipeline Statistics

Conversion Step	Algorithm Options	Recognized Images	Percent Recognition
White balancing	auto	96	94.1%
_	camera statistics	93	91.1%
	default statistics	94	92.2%
	none	92	90.1%
Interpolation	bilinear	93	91.1%
	VNG	93	91.1%
	PPG	93	91.1%
	AHD	93	91.1%
Highlight Reconstruction	Clip all	93	91.1%
	Leave unclipped	93	91.1%
	Blend highlights	93	91.1%
	Reconstruct highlights	93	91.1%
Wavelet Noise Removal	No denoising	93	91.1%
	92	91.1%	

5.6 Conclusion

The data from both pipeline tests illustrated that it is feasible to base the hardware ISP off of the algorithms found in DCraw. Importantly, recognition rates past the 90% threshold are possible by implementing only the simplest DCraw pipeline, allowing a simpler and more power and area efficient hardware design.

Spring Plans

In the spring semester, the team plans to implement the ISP on hardware in SystemVerilog. The ISP pipeline will implement the algorithms from the simplified DCraw pipeline described in Section 5. It will be able to perform real time image processing on a 60 fps stream of 4K resolution images, with slower processing speeds for larger images up to 50 megapixels. The ISP will be consistent with the simplified DCraw's output within rounding error. The recognition rate of the ISP will be greater than or equal to the those of commercial ISPs.

Appendix

- 7.1 DCRaw Test Data
- 7.2 Condensed DCraw Codebase
- 7.3 Verilog ISP Implementation
- 7.4 Tensorflow Testing Pipeline
- 7.5 UFraw Test Commands