

Increasing the Effectiveness of Active Learning: Introducing Artificial Data Generation in Active Learning for Land Use/Land Cover Classification

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1 Introduction

The technological development of air and space borne sensors, as well as the increasing number of remote sensing missions have allowed the continuous collection of large amounts of high quality remotely sensed data. This data is often composed of multi and hyper spectral satellite imagery, essential for numerous applications, such as Land Use/Land Cover (LULC) change detection, ecosystem management [Nagai et al., 2020], agricultural management [Huang et al., 2018], water resource management [Wang and Xie, 2018], forest management, and urban monitoring [Khatami et al., 2016]. However, updating a LULC map is still a challenging task [Gavade and Rajpurohit, 2019, Wulder et al., 2018]. They can be updated using either one of the following strategies:

1. Photo-interpreted. Consists of evaluating a patch's LULC class based on orthophoto and satellite image interpretation [Costa et al., 2020]. This method guarantees a decent level of accuracy, as it is dependent on the interpreter's expertise and human error. Typically, it is an expensive, time-consuming task that requires the expertise of a photo-interpreter. This task is also frequently applied to obtain ground-truth labels for training and/or validating Machine Learning (ML) algorithms for related tasks [Vermote et al., 2020, Costantino et al., 2020].

2. Automated mapping. It is based on the usage of a ML method or a combination of methods in order to obtain an updated LULC map. The development of a reliable automated method is still a challenge among the ML and remote sensing community, since the efficacy of existing methods vary across applications and geographical areas [Gavade and Rajpurohit, 2019]. Typically, this method requires the existence of ground-truth data, which is frequently outdated or nonexistent for the required time frame [Nagai et al., 2020]. On the other hand, employing a ML method provides readily available and relatively inexpensive LULC maps. The increasing quality of state-of-the-art classification methods have motivated the application and adaptation of these methods in this domain [Maxwell et al., 2018].
3. Hybrid approaches. They employ photo-interpreted data to augment the training dataset and improve the quality of automated mapping [Růžička et al., 2020]. It attempts to accelerate the photo-interpretation process by selecting a smaller sample of the study area to be interpreted. The goal is to minimize the inaccuracies found in the LULC map by supplying high-quality ground-truth data to the automated method. The final (photo-interpreted) dataset consists of only the most informative samples, i.e., patches that are typically difficult to classify for a traditional automated mapping method [Liu et al., 2020].

The latter method is best known as Active Learning (AL). It is especially useful whenever there is an absence of ground-truth data and/or the mapping region does not contain updated LULC maps [Su et al., 2020]. In a context of limited sample-collection budget, the collection of the most informative samples capable of optimally increasing the classification accuracy of a LULC map is of particular interest [Su et al., 2020]. AL attempts to minimize the human-computer interaction involved in photo-interpretation by selecting the data points to include into the classification process. These data points are selected based on an uncertainty measure and represent the points close to the decision borders. Afterwards, they are passed on for photo-interpretation and added to the training dataset, while the points with the lowest uncertainty values are ignored for photo-interpretation and classification. This process is iterated until a convergence criterion is reached [Pasolli et al., 2016].

The relevant work developed within AL is described in detail in Section 2. The research attempts to address some of the challenges found in AL, mainly inherited from automated and photo-interpreted mapping: mapping inaccuracies and time consuming human-computer interactions. Mapping inaccuracies have different sources:

1. Human error. The involvement of photo-interpreters in the data labeling step carries an additional risk to the creation of LULC patches. The minimum mapping unit being considered, as well as the quality of the orthophotos and satellite images being used, are some of the factors that may lead to the overlooking of small-area LULC patches and label-noisy training data [Pelletier et al., 2017].
2. High-dimensional datasets. The amount of bands (i.e., features) present in multi and hyper spectral images introduce an increased level of complexity in the classification step [Stromann et al., 2020]. These datasets are often prone to the Hughes phenomenon, also known as the curse of dimensionality.
3. Class separability. Producing an LULC map considering classes with similar spectral signatures makes them difficult to separate [Alonso-Sarria et al., 2019]. A lower pixel resolution of the satellite images may also imply mixed-class pixels, which may lead to both lower class separability as well as higher risk of human error.
4. Existence of rare land cover classes. The varying morphologies of different geographical regions naturally implies an uneven distribution of land cover classes [Feng et al., 2018]. This is particularly

relevant in the context of AL: the data selection method is based on a given uncertainty measure over data points whose class label is unknown. Consequently, AL’s iterative process of data selection may disregard wrongly classified land cover areas belonging to a minority class.

Research developed in the field of Active Learning typically focus on the reduction of human error by minimizing the human interaction with the process through the development of more efficient choosers and selection criteria within the generally accepted AL framework. Concurrently, the problem of rare land cover classes is rarely addressed. This is a frequent problem in the ML community, known as the Imbalanced Learning problem. This problem exists whenever there is an uneven between-class distribution in the dataset [Chawla et al., 2004]. Specifically, most classifiers are designed to optimize metrics such as overall accuracy, which are designed to work primarily with balanced datasets. Consequently, these metrics tend to introduce a bias towards the majority class by attributing an importance to each class proportional to its relative frequency [Maxwell et al., 2018]. As an example, such a classifier could achieve an overall accuracy of 99% on a binary dataset where the minority class represents 1% of the overall dataset and still be deemed useless. A number of methods have been developed to deal with this problem. They can be categorized into three different types of approaches [Fernández et al., 2013, Kaur et al., 2019]. Cost-sensitive solutions perform changes to the cost matrix in the learning phase. Algorithmic level solutions modify specific classifiers to reinforce learning on minority classes. Resampling solutions modify the dataset by removing majority samples and/or generating artificial minority samples. The latter is independent from the context and can be used alongside any classifier. We will focus on artificial data generation techniques, presented in Section 3.

In this paper, we propose a novel AL framework to address two limitations commonly found in the literature: Minimize human-computer interaction and reduce the class imbalance bias. This is done with the introduction of an additional component in the iterative AL procedure (the generator), used to generate artificial data to both balance and augment the training dataset. The introduction of this component is expected to reduce the number of iterations required until convergence of the predictor’s quality.

This paper is organized as follows: Section 1 exposes the problem and its context, Sections 2 and 3 describe the state of the art in AL and Oversampling techniques, Section 4 exposes the proposed method, Section 5 covers the datasets, evaluation metrics, ML classifiers and experimental procedure, Section 6 presents the results and statistical analyses and Section 7 reports the conclusions drawn from our findings.

2 Active Learning Approaches

AL is used as the general definition of frameworks aiming to train a learning system in multiple steps, where a set of new data points are chosen and added to the training dataset each time [Růžicka et al., 2020]. Typically, an AL framework is composed of 10 elements, out of which 4 are datasets, 2 are queries or estimations regarding the target class labels and 4 are components responsible for performing the tasks involved in AL [Sverchkov and Craven, 2017, Su et al., 2020, Růžicka et al., 2020]:

1. Data source. In the context of LULC classification, the data source is usually a hyper/multi-spectral image, a Synthetic-aperture radar (SAR) image, or a composite image.
2. Unlabeled dataset. Consists of a sample of the original data source. It is used in combination with the chooser and the selection criterion to retrieve uncertainty estimates on each iteration.

3. Initial training sample. It is a small sample of the unlabeled dataset, used to initiate the first AL iteration. The size of the initial training sample normally varies between no observations at all and 10% [Li and Guo, 2013].
4. Augmented training dataset. This dataset is the concatenation of the labeled initial training sample along with the datasets labeled by the oracle in past iterations.
5. Uncertainty map. The dataset containing the highest uncertainty points/patches to be labeled by the oracle.
6. Oracle. An external entity to which the uncertainty map is presented to. The oracle is responsible for annotating unlabeled samples to be added to the augmented dataset. In remote sensing, the oracle is typically a photo-interpreter, as is the case in [Li et al., 2020]. Some of the research also refers to the oracle as the *supervisor* [Su et al., 2020, Shrivastava and Pradhan, 2021].
7. Chooser. Produces the class probabilities for each unlabeled sample. This is a classifier trained using the augmented dataset. It is used to estimate the class probabilities for each sample over the unlabeled dataset.
8. Selection criterion. It quantifies the chooser’s uncertainty level for each sample belonging to the unlabeled dataset. It is typically based on the class probabilities assigned by the chooser. In some situations, the chooser and the selection criterion are grouped together under the concept *acquisition function* [Růžička et al., 2020] or *query function* [Su et al., 2020]. Some of the literature refers to the selection criterion by using the concept *sampling scheme* [Liu et al., 2020].
9. Predictor. The classifier used to infer the land cover classes for the final output map. Once a stopping criterion is met, the classifier is trained using the augmented dataset and the LULC classes are inferred from the data source.
10. Prediction output. In the context of LULC classification, the prediction output is the estimated LULC map raster.

Figure 1 schematizes the steps involved in a complete AL iteration. For a better context within the remote sensing domain, the prediction output is identified as the LULC map. This framework starts by collecting unlabeled data from the original data source. It is used to generate a random initial training sample and is labeled by the oracle. In practical applications, the oracle is frequently a group of photo-interpreters [Kottke et al., 2017]. The chooser is trained on the resulting dataset and is used to predict the class probabilities on the unlabeled dataset. They are fed into a selection criterion to estimate the prediction’s uncertainty, out of which the samples with the highest uncertainty will be selected. This calculation is motivated by the absence of labels in the uncertainty dataset. Therefore, it is impossible to estimate the prediction’s accuracy in a real case scenario. The iteration is completed when the selected points are tagged by the oracle and added to the training dataset (i.e., the augmented dataset).

Selecting an efficient selection criterion is particularly important to find the samples closest to the decision border (i.e., samples difficult to classify) [Shrivastava and Pradhan, 2021]. Therefore, most of AL related studies focus on the design of the query/acquisition function [Su et al., 2020]. There are **X** main selection criteria

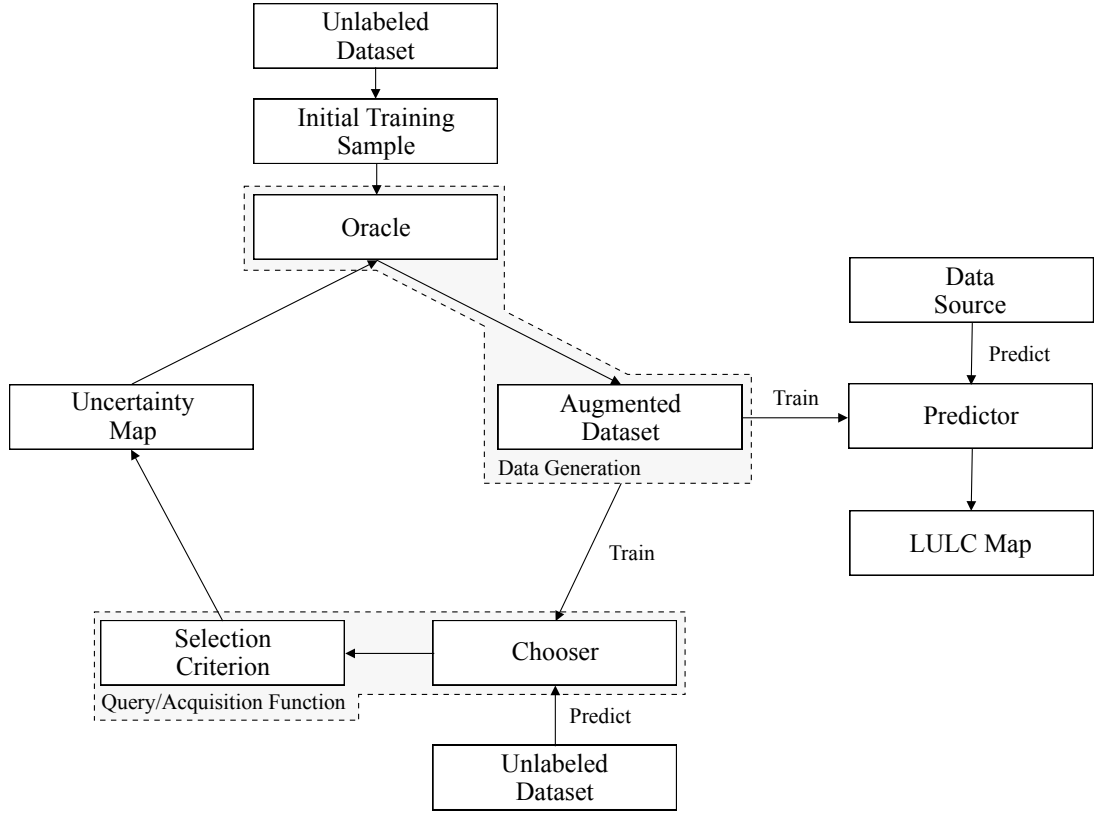


Figure 1: Typical AL framework.

2.1 Non-informed selection criteria

Only one non-informed selection criterion was found. Random sampling selects unlabeled samples without considering any external information produced by the chooser. Since the method for selecting the unlabeled samples is random, this method disregards the usage of a chooser and is comparatively worse than any other selection criterion. Although, random sampling is still a powerful baseline method [Cawley, 2011]. Generally, different AL initializations return high performance variability [Kottke et al., 2017]. When this happens, the analysis of the mean performances over multiple repetitions is not of interest. Instead, it is preferable to do pairwise comparison of different methods along with their corresponding variances.

2.2 Ensemble-based selection criteria

Ensemble disagreement is based on the class predictions of a set of classifiers. The disagreement between all the predictions for a given observation is a common measure for uncertainty, although computationally inefficient [Růžicka et al., 2020, Pasolli et al., 2016]. This method was implemented successfully for complex applications like deep active learning [Růžicka et al., 2020].

Multiview [Muslea et al., 2006] consists on the training of multiple independent classifiers using different views, which correspond to the selection of subsets of features or observations in the dataset. Therefore, it can be seen as a bootstrap aggregation (bagging) ensemble disagreement method. The set of classifications over a single observation is used to calculate the maximum disagreement metric, given by the number of votes assigned to the most frequent class [Shrivastava and Pradhan, 2021]. A lower value for this metric means a higher classification uncertainty. Multiview-based maximum disagreement has been

successfully applied to hyper-spectral image classification in [Di and Crawford, 2012] and [Zhou et al., 2014].

An adapted disagreement criterion for an ensemble of k -nearest neighbors has been proposed in [Pasolli et al., 2016]. This method employs a k -nearest neighbors classifier and computes an instance’s classification uncertainty based on the neighbors’ class frequency using the maximum disagreement metric over varying values for k . As a result, this method is comparable to computing the dominant class’ score over a weighted k -nearest neighbors classifier. This method was also used on a multimetric active learning framework [Zhang et al., 2016].

Another relevant ensemble-based selection criterion is the binary random forest-based query model [Su et al., 2020]. This method employs a one-versus-one ensemble method to demonstrate an efficient data selection method using the estimated probability of each binary random forest and determining the classification uncertainty based on the probabilities closest to 0.5 (i.e., the least separable pair of classes are used to determine the uncertainty value). Although, this study fails to compare the proposed method with other benchmark methods, such as random sampling.

2.3 Entropy-based criteria

A number of contributions have focused on entropy-based querying. The application of entropy is common among active deep learning applications [Aghdam et al., 2019], where the training of an ensemble of classifiers is often too expensive. The measure of entropy is formulated as follows:

$$H(x_i) = \sum_{\omega=1}^{N_i} p(y_i^* = \omega|x_i) \log_2[p(y_i^* = \omega|x_i)] \quad (1)$$

The measurement of entropy H is based on the observed probability $p(y_i^* = \omega|x_i)$ of obtaining class ω as the predicted class label y_i^* , where N_i is the number classes predicted for observation x_i .

Entropy query-by-bagging (EQB), also defined as maximum entropy [Liu et al., 2020], is an ensemble approach of the entropy selection criterion, originally proposed in [Tuia et al., 2009]. This strategy uses the set of predictions produced by the ensemble classifier to calculate those many entropy measurements. The estimated uncertainty measure for one sample is given by the maximum entropy within that set. EQB was observed to be an efficient selection criterion. Specifically, [Shrivastava and Pradhan, 2021] applied EQB on hyper-spectral remote sensing imagery using Support Vector Machines (SVM) and Extreme Learning Machines (ELM) as choosers, achieving optimal results when combining EQB with ELM. This method was also successful when applied alone

Query by bagging normalization [Copa et al., 2010]

[Shrivastava and Pradhan, 2021]

- Margin Sampling [Shrivastava and Pradhan, 2021] SVM specific, it’s the distance of the point to the decision boundary
- Multiclass Level Uncertainty [Shrivastava and Pradhan, 2021]
- Breaking Ties

- Mutual information
- Loss prediction [Yoo and Kweon, 2019]

Some of the literature fail to specify the strategy employed, although inferring it is generally intuitive. For example, [Ertekin et al., 2007] successfully used AL to address the imbalanced learning problem. They employed an ensemble of Support Vector Machines as the chooser and predictor to employ the ensemble-based selection criterion.

Another frequent object of study is the chooser and predictor (which is often the same classifier).

3 Artificial Data Generation Approaches

3.1 Non-informed resampling methods

3.2 Heuristic methods

4 Proposed method

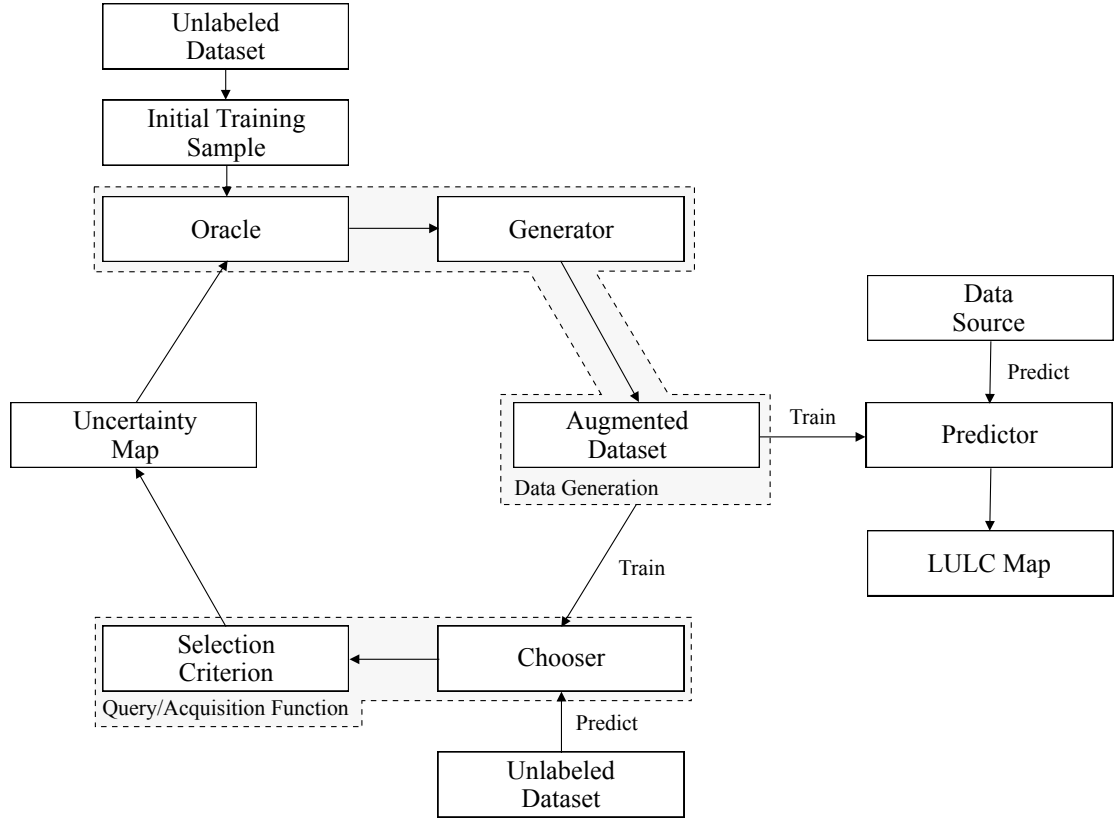


Figure 2: Proposed AL framework.

5 Methodology

5.1 Datasets

5.2 Evaluation Metrics

5.3 Machine Learning Algorithms

5.4 Experimental Procedure

A common practice in methodological evaluations is the implementation of an offline experiment [Kagy et al., 2019]. It consists of using an existing set of labeled data as a proxy for the population of unlabeled samples. Because the dataset is already fully labeled, the oracle’s typical annotation process involved in each iteration is done at zero cost.

5.5 Software Implementation

6 Results

6.1 Statistical Analysis

7 Conclusion

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