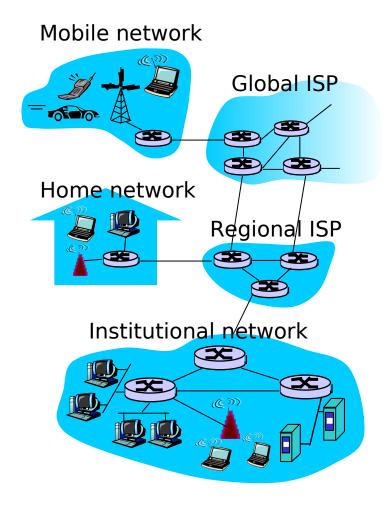
# Computer Systems: Network Programming (Sockets)

#### **David Marchant**

Based on slides by Randal E. Bryant and David R. O'Halloran, with alterations by Vivek Shah

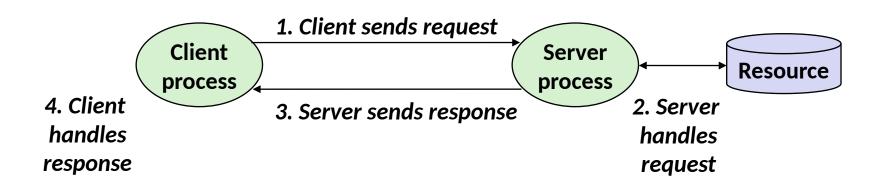
## Recap: A Network of Networks





## **A Client-Server Transaction**

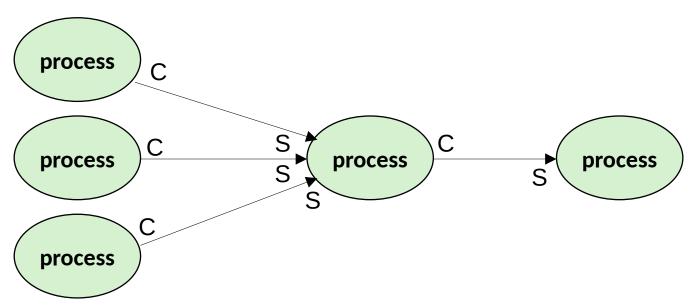
- Most network applications are based on the client-server model:
  - A server process and one or more client processes
  - Server manages some resource
  - Server provides service by manipulating resource for clients
  - Server activated by request from client (vending machine analogy)



Note: clients and servers are processes running on hosts (can be the same or different hosts)

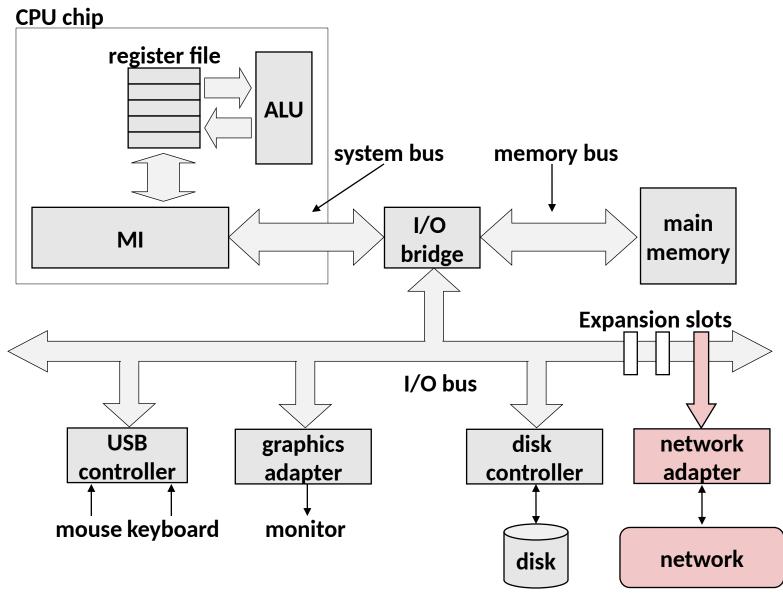
## **A Client-Server Transaction**

- Most network applications are based on the client-server model:
  - There can be multiple clients connected to a single server
  - Individual hosts can act as both clients and servers at the same time



Note: clients and servers are processes running on hosts (can be the same or different hosts)

## Hardware Organization of a Network Host



## **Global IP Internet (upper case)**

- Most famous example of an internet
- Based on the TCP/IP protocol family
  - IP (Internet Protocol) :
    - Provides basic naming scheme and unreliable delivery capability of packets (datagrams) from host-to-host
  - UDP (Unreliable Datagram Protocol)
    - Uses IP to provide unreliable datagram delivery from process-to-process
  - TCP (Transmission Control Protocol)
    - Uses IP to provide reliable byte streams from process-to-process over connections
- Accessed via a mix of Unix file I/O and functions from the sockets interface

## A Programmer's View of the Internet

- 1. Hosts are mapped to a set of 32-bit IP addresses
  - 128.2.203.179
- 2. The set of IP addresses is mapped to a set of identifiers called Internet domain names
  - 128.2.217.3 is mapped to www.cs.cmu.edu
- 3. A process on one Internet host can communicate with a process on another Internet host over a connection

## Aside: IPv4 and IPv6

- The original Internet Protocol, with its 32-bit addresses, is known as Internet Protocol Version 4 (IPv4)
- 1996: Internet Engineering Task Force (IETF) introduced Internet Protocol Version 6 (IPv6) with 128-bit addresses
  - Intended as the successor to IPv4
- As of 2021, majority of Internet traffic still carried by IPv4
  - Only 30-35% of users access Google services using IPv6.
- We will focus on IPv4, but will show you how to write networking code that is protocol-independent.

## Aside: IPvX versions

IPv0	Reserved
IPv1-3	Initial attempts, became IPv4 and TCP('73-'79)
IPv4	First actually deployed protocol ('81)
5	Experiment ('90 -'95) into video streaming protocol
IPv6	Improvement on IPv4 ('95)
IPv7	Incorrectly assigned when IPv6 was assumed to already be in use('88)
8	PIP, obsolete replacement for IPv4 ('94)
9 IPv9 IPv9	TUBA, obsolete replacement for IPv4 ('92), April Fools Joke by IETF ('94), Chinese research project, seemingly abandoned ('04)
IPv11-14	Unassigned
IPv15	Reserved



#### **IP Addresses**

- 32-bit IP addresses are stored in an IP address struct
  - IP addresses are always stored in memory in network byte order (bigendian byte order)
  - True in general for any integer transferred in a packet header from one machine to another.
    - E.g., the port number used to identify an Internet connection.

```
/* Internet address structure */
struct in_addr {
    uint32_t s_addr; /* network byte order (big-endian) */
};
```

#### **Dotted Decimal Notation**

- By convention, each byte in a 32-bit IP address is represented by its decimal value and separated by a period
  - IP address: 0x8002C2F2 = 128.2.194.242
- Use inet\_ntop, inet\_pton functions for converting between dotted decimal notation and IP addresses
  - Use hton1, htons, ntoh1 and ntohs functions for network byte order conversions
- Use getaddrinfo and getnameinfo functions (described later) to convert between IP addresses and dotted decimal format.

#### **Internet Connections**

- Clients and servers communicate by sending streams of bytes over connections. Each connection is:
  - Point-to-point: connects a pair of processes.
  - Full-duplex: data can flow in both directions at the same time,
  - Reliable: stream of bytes sent by the source is eventually received by the destination in the same order it was sent.
- A socket is an endpoint of a connection
  - Socket address is an IPaddress:port pair
- A port is a 16-bit integer that identifies a process:
  - **Ephemeral port:** Assigned automatically by client kernel when client makes a connection request.
  - Well-known port: Associated with some service provided by a server (e.g., port 80 is associated with Web servers)

## **Well-known Ports and Service Names**

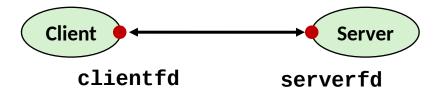
- Popular services have permanently assigned well-known ports and corresponding well-known service names:
  - echo server: 7/echo
  - ssh servers: 22/ssh
  - email server: 25/smtp
  - Web servers: 80/http
- Mappings between well-known ports and service names is contained in the file /etc/services on each Linux machine.

## **Sockets Interface**

- Set of system-level functions used in conjunction with Unix I/O to build network applications.
- Created in the early 80's as part of the original Berkeley distribution of Unix that contained an early version of the Internet protocols.
- Available on all modern systems
  - Unix variants, Windows, OS X, IOS, Android, ARM

#### **Sockets**

- What is a socket?
  - To the kernel, a socket is an endpoint of communication
  - To an application, a socket is a file descriptor that lets the application read/write from/to the network
    - Remember: All Unix I/O devices, including networks, are modeled as files
- Clients and servers communicate with each other by reading from and writing to socket descriptors



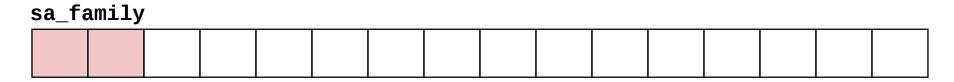
The main distinction between regular file I/O and socket I/O is how the application "opens" the socket descriptors

#### **Socket Address Structures**

- Generic socket address:
  - For address arguments to connect, bind, and accept
  - Necessary only because C did not have generic (void \*) pointers when the sockets interface was designed
  - For casting convenience, we adopt the Stevens convention:

```
typedef struct sockaddr SA;
```

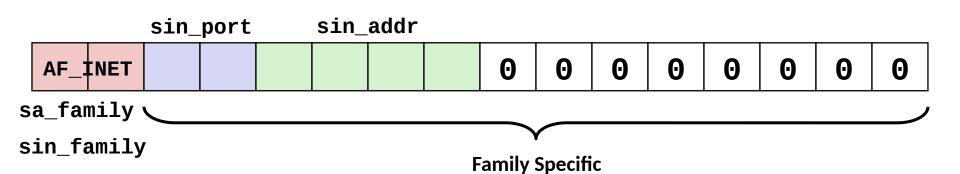
```
struct sockaddr {
  uint16_t sa_family; /* Protocol family */
  char sa_data[14]; /* Address data. */
};
```



**Family Specific** 

#### **Socket Address Structures**

- Internet (IPv4) specific socket address:
  - Must cast (struct sockaddr\_in \*) to (struct sockaddr \*) for functions that take socket address arguments.



## Host and Service Conversion: getaddrinfo

- getaddrinfo is the modern way to convert string representations of hostnames, host addresses, ports, and service names to socket address structures.
  - Replaces obsolete gethostbyname and getservbyname funcs.

#### Advantages:

- Reentrant (can be safely used by threaded programs).
- Allows us to write portable protocol-independent code
  - Works with both IPv4 and IPv6

#### Disadvantages

- Somewhat complex
- Fortunately, a small number of usage patterns suffice in most cases.

## Host and Service Conversion: getaddrinfo

- Given host and service, getaddrinfo returns result that points to a linked list of addrinfo structs, each of which points to a corresponding socket address struct, and which contains arguments for the sockets interface functions.
- Helper functions:
  - freeadderinfo frees the entire linked list.
  - gai\_strerror converts error code to an error message.

## Do-it-yourself Recap: System-level I/O

- What is the difference between the Unix I/O and the book's robust I/O APIs?
- When can short counts be returned by I/O functions? Why?
- What did each of the following functions do?
- ssize\_t rio\_writen(int fd, void \*usrbuf, size\_t n);
- ssize\_t rio\_readlineb(rio\_t \*rp, void \*usrbuf, size\_t maxlen);
- ssize\_t rio\_readnb(rio\_t \*rp, void \*usrbuf, size\_t n);

## **Socket Programming Example**

- Echo server and client
- Server
  - Accepts connection request
  - Repeats back lines as they are typed
- Client
  - Requests connection to server
  - Repeatedly:
    - Read line from terminal
    - Send to server
    - Read reply from server
    - Print line to terminal

#### **Echo Client: Main Routine**

```
#include "csapp.h"
int main(int argc, char **argv)
{
    int clientfd;
    char *host, *port, buf[MAXLINE];
    rio_t rio;
    host = argv[1];
    port = argv[2];
    clientfd = Open_clientfd(host, port);
    Rio_readinitb(&rio, clientfd);
    while (Fgets(buf, MAXLINE, stdin) != NULL) {
     Rio_writen(clientfd, buf, strlen(buf));
     Rio_readlineb(&rio, buf, MAXLINE);
     Fputs(buf, stdout);
    Close(clientfd);
    exit(0);
                                                 echoclient.
```

#### **Iterative Echo Server: Main Routine**

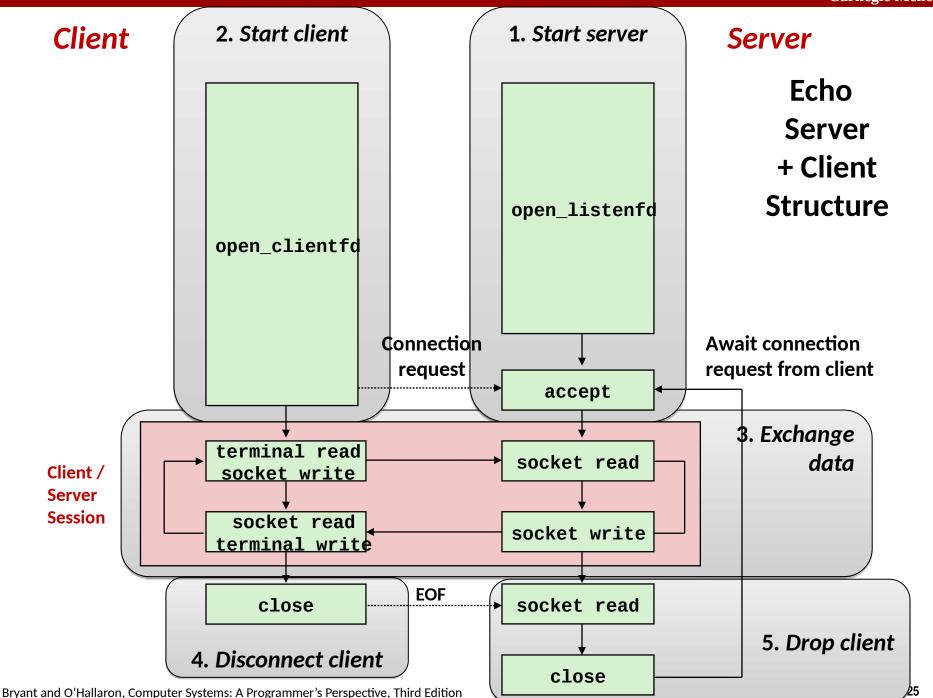
```
#include "csapp.h"
void echo(int connfd);
int main(int argc, char **argv)
    int listenfd, connfd;
    socklen t clientlen;
    struct sockaddr_storage clientaddr; /* Enough room for any addr */
    char client_hostname[MAXLINE], client_port[MAXLINE];
    listenfd = Open_listenfd(argv[1]);
    while (1) {
     clientlen = sizeof(struct sockaddr_storage); /* Important! */
     connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
     Getnameinfo((SA *) &clientaddr, clientlen,
                    client_hostname, MAXLINE, client_port, MAXLINE,
0);
     printf("Connected to (%s, %s)\n", client_hostname, client_port);
     echo(connfd);
     Close(connfd);
                                                              echoserveri.c
    exit(0);
```

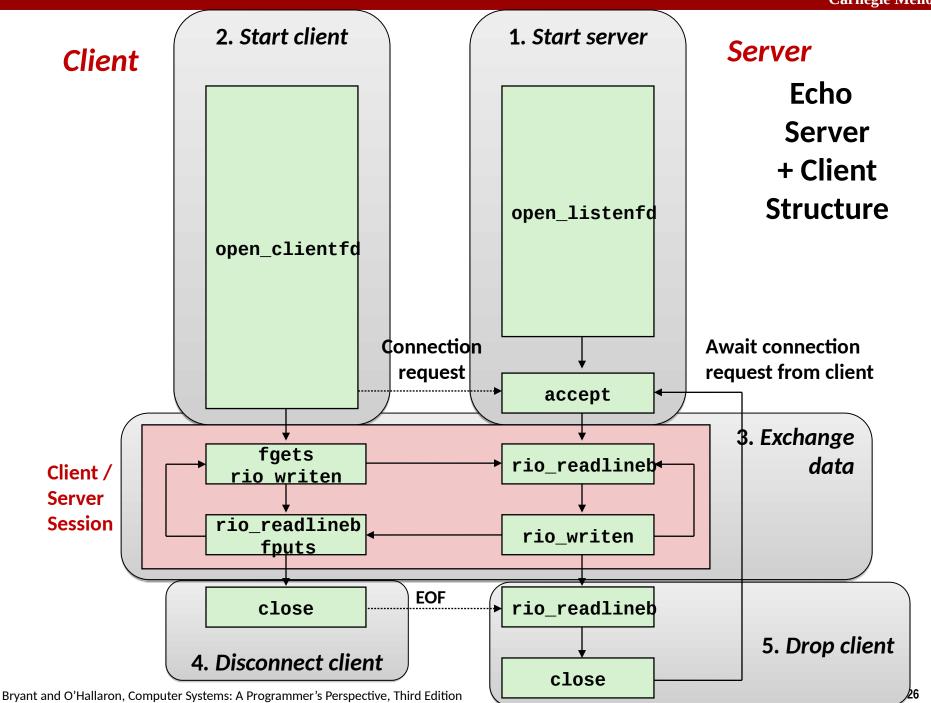
## **Echo Server: echo function**

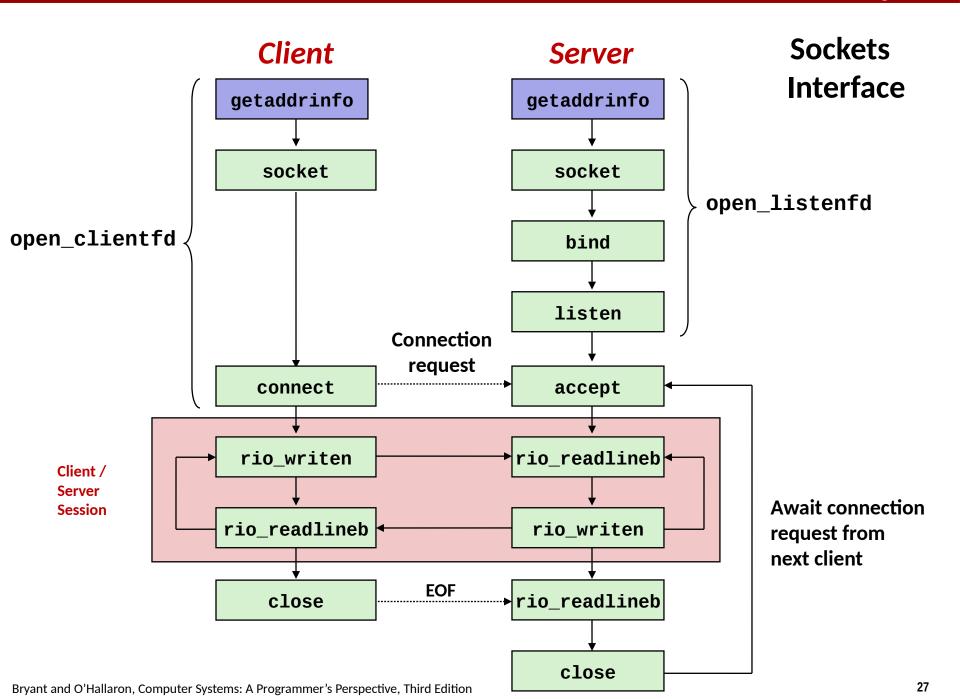
- The server uses RIO to read and echo text lines until EOF (end-of-file) condition is encountered.
  - EOF condition caused by client calling close(clientfd)

```
void echo(int connfd)
{
    size_t n;
    char buf[MAXLINE];
    rio_t rio;

    Rio_readinitb(&rio, connfd);
    while((n = Rio_readlineb(&rio, buf, MAXLINE)) != 0) {
        printf("server received %d bytes\n", (int)n);
        Rio_writen(connfd, buf, n);
    }
}
```





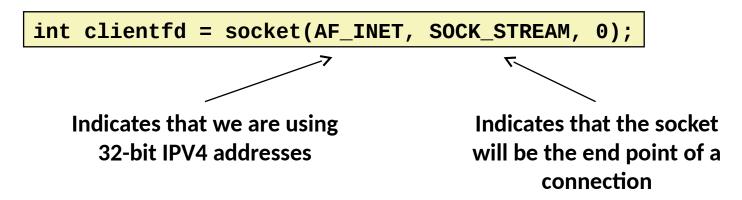


#### Sockets Interface: socket

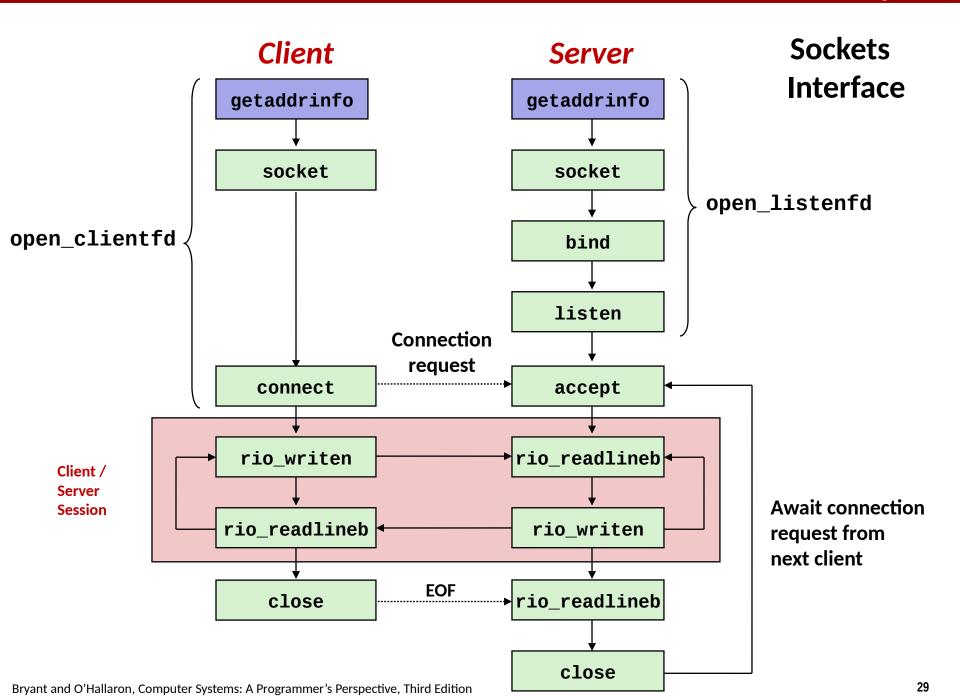
Clients and servers use the socket function to create a socket descriptor:

```
int socket(int domain, int type, int protocol)
```

Example:



Protocol specific! Best practice is to use getaddrinfo to generate the parameters automatically, so that code is protocol independent.



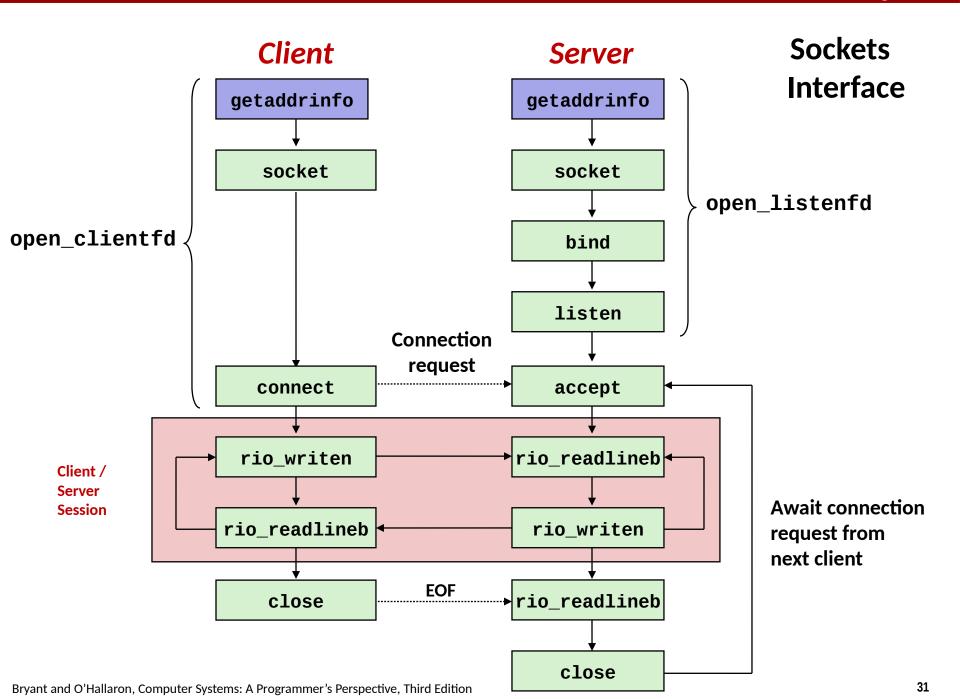
### Sockets Interface: bind

A server uses bind to ask the kernel to associate the server's socket address with a socket descriptor:

```
int bind(int sockfd, SA *addr, socklen_t addrlen);
Recall: typedef struct sockaddr SA;
```

- Process can read bytes that arrive on the connection whose endpoint is addr by reading from descriptor sockfd
- Similarly, writes to sockfd are transferred along connection whose endpoint is addr

Best practice is to use getaddrinfo to supply the arguments addr and addrlen.

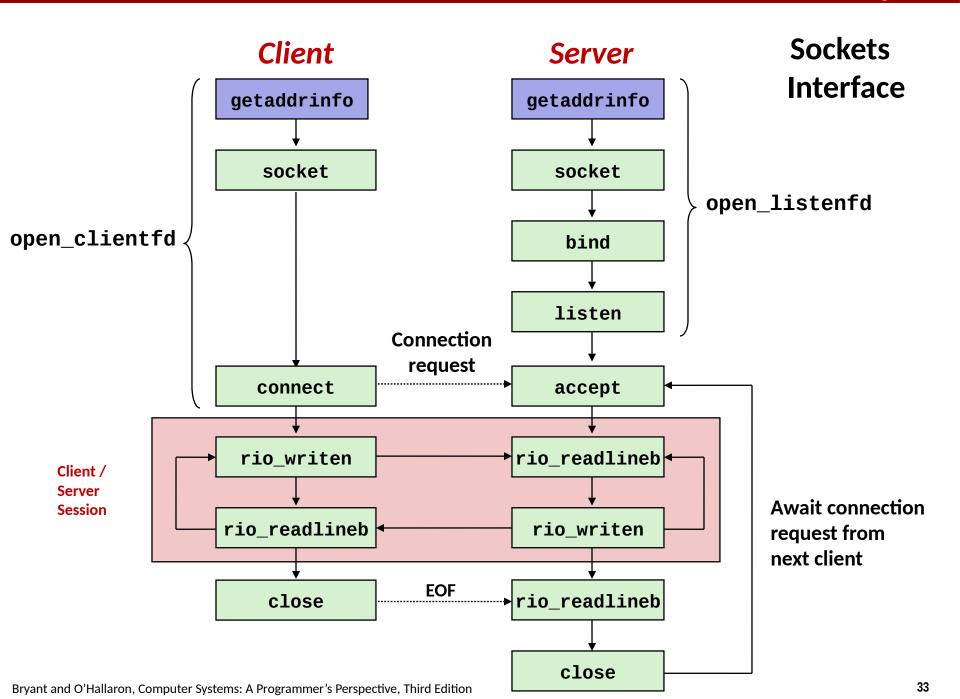


#### Sockets Interface: listen

- By default, kernel assumes that descriptor from socket function is an active socket that will be on the client end of a connection.
- A server calls the listen function to tell the kernel that a descriptor will be used by a server rather than a client:

```
int listen(int sockfd, int backlog);
```

- Converts sockfd from an active socket to a listening socket that can accept connection requests from clients.
- backlog is a hint about the number of outstanding connection requests that the kernel should queue up before starting to refuse requests.

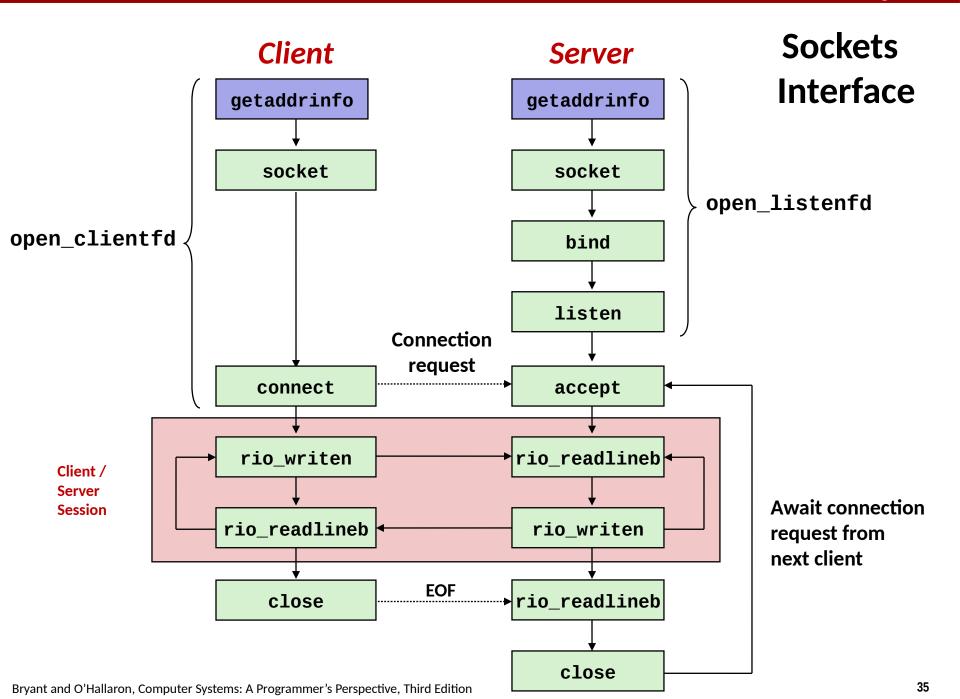


## Sockets Interface: accept

Servers wait for connection requests from clients by calling accept:

```
int accept(int listenfd, SA *addr, int *addrlen);
```

- Waits for connection request to arrive on the connection bound to listenfd, then fills in client's socket address in addr and size of the socket address in addrlen.
- Returns a connected descriptor that can be used to communicate with the client via Unix I/O routines.



#### Sockets Interface: connect

A client establishes a connection with a server by calling connect:

```
int connect(int clientfd, SA *addr, socklen_t addrlen);
```

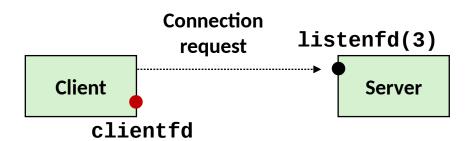
- Attempts to establish a connection with server at socket address addr
  - If successful, then clientfd is now ready for reading and writing.
  - Resulting connection is characterized by socket pair (x:y, addr.sin\_addr:addr.sin\_port)
    - x is client address
    - y is ephemeral port that uniquely identifies client process on client host

Best practice is to use getaddrinfo to supply the arguments addrand addrlen.

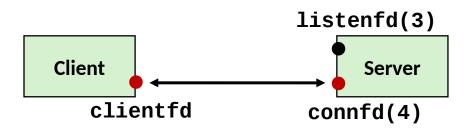
# accept Illustrated



1. Server blocks in accept, waiting for connection request on listening descriptor listenfd



2. Client makes connection request by calling and blocking in connect



3. Server returns connfd from accept. Client returns from connect. Connection is now established between clientfd and connfd

# **Connected vs. Listening Descriptors**

#### Listening descriptor

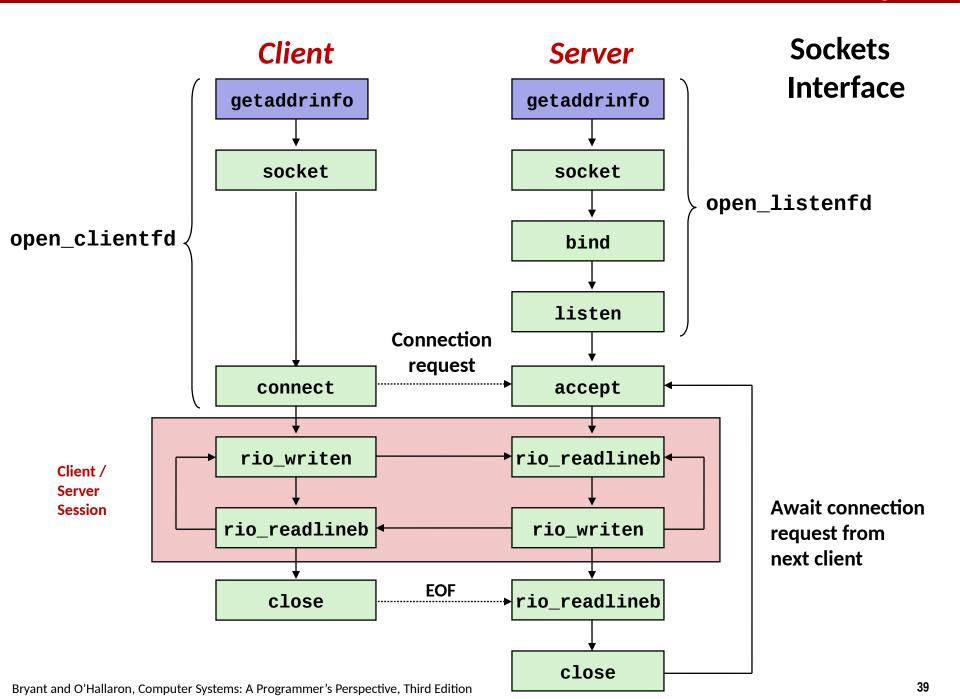
- End point for client connection <u>requests</u>
- Created once and exists for lifetime of the server

#### Connected descriptor

- End point of the <u>connection</u> between client and server
- A new descriptor is created each time the server accepts a connection request from a client
- Exists only as long as it takes to service client

#### Why the distinction?

- Allows for concurrent servers that can communicate over many client connections simultaneously
  - E.g., Each time we receive a new request, we fork a child to handle the request



## **Echo Client: Main Routine**

```
#include "csapp.h"
int main(int argc, char **argv)
{
    int clientfd;
    char *host, *port, buf[MAXLINE];
    rio_t rio;
    host = argv[1];
    port = argv[2];
    clientfd = Open_clientfd(host, port);
    Rio_readinitb(&rio, clientfd);
    while (Fgets(buf, MAXLINE, stdin) != NULL) {
     Rio_writen(clientfd, buf, strlen(buf));
     Rio_readlineb(&rio, buf, MAXLINE);
     Fputs(buf, stdout);
    Close(clientfd);
    exit(0);
```

## **Iterative Echo Server: Main Routine**

```
#include "csapp.h"
void echo(int connfd);
int main(int argc, char **argv)
    int listenfd, connfd;
    socklen t clientlen;
    struct sockaddr_storage clientaddr; /* Enough room for any addr */
    char client_hostname[MAXLINE], client_port[MAXLINE];
    listenfd = Open_listenfd(argv[1]);
    while (1) {
     clientlen = sizeof(struct sockaddr_storage); /* Important! */
     connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
     Getnameinfo((SA *) &clientaddr, clientlen,
                    client_hostname, MAXLINE, client_port, MAXLINE,
0);
     printf("Connected to (%s, %s)\n", client_hostname, client_port);
     echo(connfd);
     Close(connfd);
                                                              echoserveri.c
    exit(0);
```

# **Echo Server: echo function**

- The server uses RIO to read and echo text lines until EOF (end-of-file) condition is encountered.
  - EOF condition caused by client calling close(clientfd)

```
void echo(int connfd)
{
    size_t n;
    char buf[MAXLINE];
    rio_t rio;

    Rio_readinitb(&rio, connfd);
    while((n = Rio_readlineb(&rio, buf, MAXLINE)) != 0) {
        printf("server received %d bytes\n", (int)n);
        Rio_writen(connfd, buf, n);
    }
}
```

# Testing Servers Using telnet

- The telnet program is invaluable for testing servers that transmit ASCII strings over Internet connections
  - Our simple echo server
  - Web servers
  - Mail servers
- Usage:
  - linux> telnet <host> <portnumber>
  - Creates a connection with a server running on <host> and listening on port portnumber>

# Testing the Echo Server With telnet

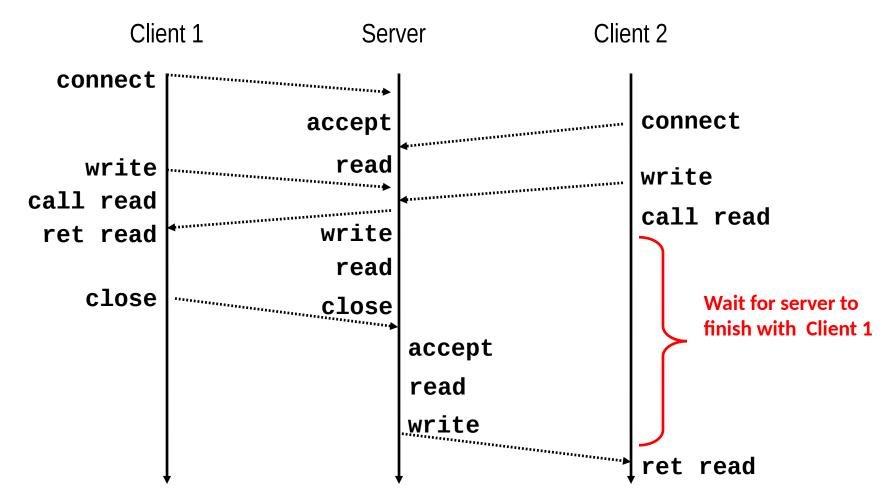
```
whaleshark> ./echoserveri 15213
Connected to (MAKOSHARK.ICS.CS.CMU.EDU, 50280)
server received 11 bytes
server received 8 bytes
makoshark> telnet whaleshark.ics.cs.cmu.edu 15213
Trying 128.2.210.175...
Connected to whaleshark.ics.cs.cmu.edu (128.2.210.175).
Escape character is '^]'.
Hi there!
Hi there!
Howdy!
Howdy!
^]
telnet> quit
Connection closed.
makoshark>
```

## **Summary**

- Sockets used to communicate across processes over a network (even same network card)
  - TCP sockets Listening vs connecting sockets
  - Quirks in structs representing network addresses.
  - Use getaddrinfo() or fill up the struct yourself.
  - Usage of rio library for buffered I/O.

## **Iterative Servers**

Iterative servers process one request at a time



## Where Does Second Client Block?

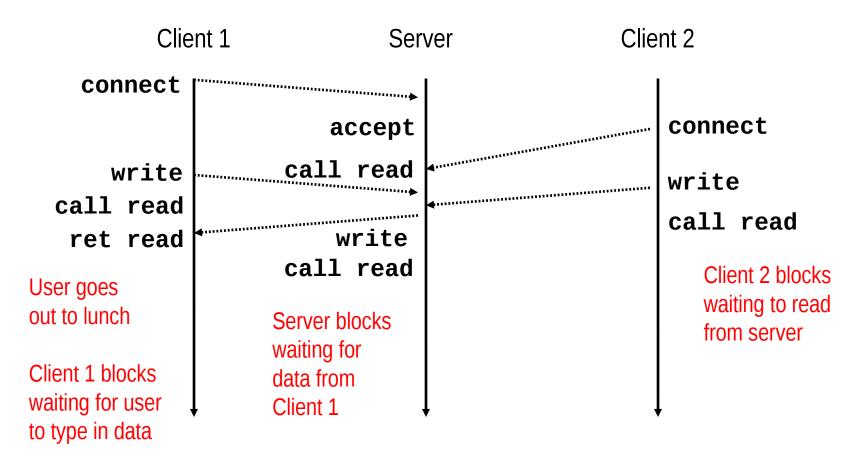
Second client attempts to connect to iterative server

# Client socket open\_clientfd Connection request connect rio writen rio\_readlineb

#### Call to connect returns

- Even though connection not yet accepted
- Server side TCP manager queues request
- Feature known as "TCP listen backlog"
- Call to rio\_writen returns
  - Server side TCP manager buffers input data
- Call to rio\_readlineb blocks
  - Server hasn't written anything for it to read yet.

## **Fundamental Flaw of Iterative Servers**



- Solution: use concurrent servers instead
  - Concurrent servers use multiple concurrent flows to serve multiple clients at the same time

# **Approaches for Writing Concurrent Servers**

Allow server to handle multiple clients concurrently

#### 1. Process-based

- Kernel automatically interleaves multiple logical flows
- Each flow has its own private address space

#### 2. Event-based

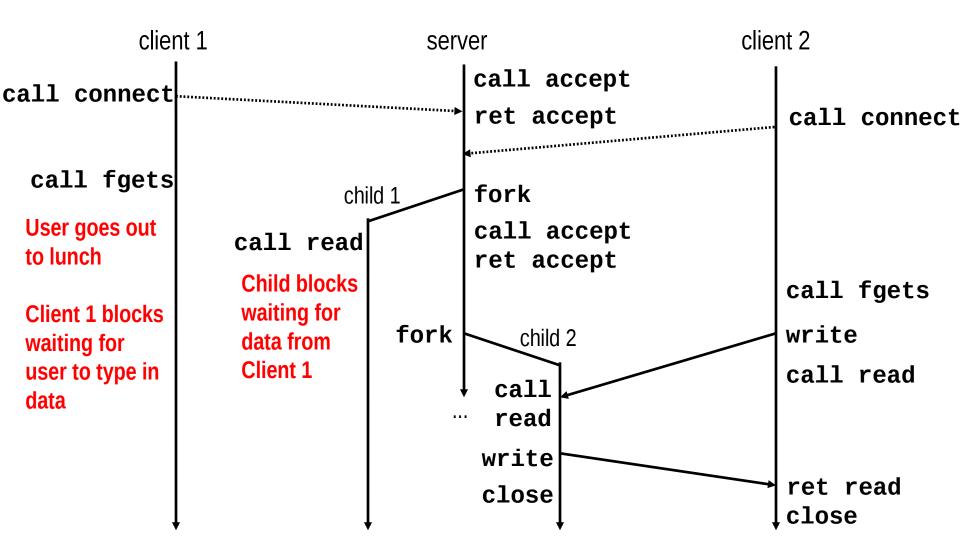
- Programmer manually interleaves multiple logical flows
- All flows share the same address space
- Uses technique called I/O multiplexing.

#### 3. Thread-based

- Kernel automatically interleaves multiple logical flows
- Each flow shares the same address space
- Hybrid of of process-based and event-based.

## **Approach #1: Process-based Servers**

Spawn separate process for each client



#### **Process-Based Concurrent Echo Server**

```
int main(int argc, char **argv)
  int listenfd, connfd;
  socklen t clientlen;
  struct sockaddr storage clientaddr;
  Signal(SIGCHLD, sigchld handler);
  listenfd = Open listenfd(argv[1]);
  while (1) {
    clientlen = sizeof(struct sockaddr storage);
     connfd = Accept(listenfd, (SA *) &clientaddr, &clientlen);
    if(Fork() == 0) {
       Close(listenfd); /* Child closes its listening socket */
       echo(connfd); /* Child services client */
       Close(connfd); /* Child closes connection with client */
       exit(0); /* Child exits */
     Close(connfd); /* Parent closes connected socket (important!) */
                                                                      echoserverp.c
```

## **Process-Based Concurrent Echo Server (cont)**

```
void sigchld_handler(int sig)
{
    while (waitpid(-1, 0, WNOHANG) > 0)
    ;
    return;
}
```

Reap all zombie children

#### **Issues with Process-based Servers**

- Listening server process must reap zombie children
  - to avoid fatal memory leak
- Parent process must close its copy of connfd
  - Kernel keeps reference count for each socket/open file
  - After fork, refcnt(connfd) = 2
  - Connection will not be closed until refcnt(connfd) = 0

## **Pros and Cons of Process-based Servers**

- + Handle multiple connections concurrently
- + Clean sharing model
  - descriptors (no)
  - file tables (yes)
  - global variables (no)
- + Simple and straightforward
- Additional overhead for process control
- Nontrivial to share data between processes
  - Requires IPC (interprocess communication) mechanisms
    - FIFO's (named pipes), System V shared memory and semaphores

## **Approach #2: Event-based Servers**

- Server maintains set of active connections
  - Array of connfd's
- Repeat:
  - Determine which descriptors (connfd's or listenfd) have pending inputs
    - e.g., using select or epoll functions
    - arrival of pending input is an event
  - If listenfd has input, then accept connection
    - and add new connfd to array
  - Service all connfd's with pending inputs
- Details for select-based server in book

#### **Pros and Cons of Event-based Servers**

- + One logical control flow and address space.
- + Can single-step with a debugger.
- + No process or thread control overhead.
  - Design of choice for high-performance Web servers and search engines. e.g., Node.js, nginx, Tornado
- Significantly more complex to code than process- or thread-based designs.
- Hard to provide fine-grained concurrency
  - E.g., how to deal with partial HTTP request headers
- Cannot take advantage of multi-core
  - Single thread of control

# **Approach #3: Thread-based Servers**

- Very similar to approach #1 (process-based)
  - ...but using threads instead of processes

# Threads vs. Processes

- How threads and processes are similar
  - Each has its own logical control flow
  - Each can run concurrently with others (possibly on different cores)
  - Each is context switched
- How threads and processes are different
  - Threads share all code and data (except local stacks)
    - Processes (typically) do not
  - Threads are somewhat less expensive than processes
    - Process control (creating and reaping) twice as expensive as thread control
    - Linux numbers:
      - ~20K cycles to create and reap a process
      - ~10K cycles (or less) to create and reap a thread

## **Posix Threads (Pthreads) Interface**

- Pthreads: Standard interface for ~60 functions that manipulate threads from C programs
  - Creating and reaping threads
    - pthread\_create()
    - pthread\_join()
  - Determining your thread ID
    - pthread\_self()
  - Terminating threads
    - pthread\_cancel()
    - pthread\_exit()
    - exit() [terminates all threads], RET [terminates current thread]
  - Synchronizing access to shared variables
    - pthread\_mutex\_init
    - pthread\_mutex\_[un]lock

## **Thread-Based Concurrent Echo Server**

```
int main(int argc, char **argv)
{
  int listenfd, *connfdp;
  socklen t clientlen;
  struct sockaddr_storage clientaddr;
  pthread t tid;
  listenfd = Open listenfd(argv[1]);
  while (1) {
    clientlen=sizeof(struct sockaddr storage);
    connfdp = Malloc(sizeof(int));
    *connfdp = Accept(listenfd,
          (SA *) &clientaddr, &clientlen);
    Pthread create(&tid, NULL, thread, connfdp);
                                           echoservert.c
```

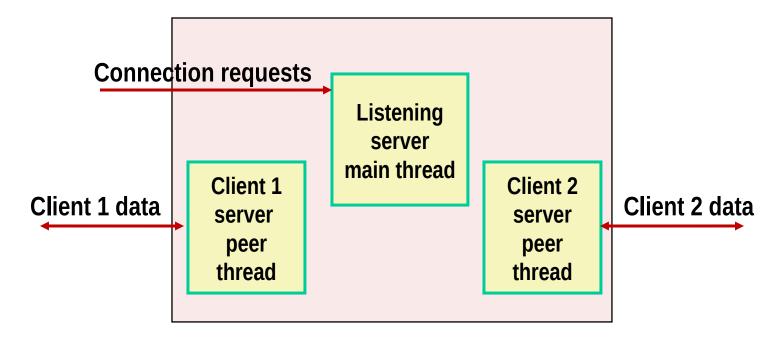
malloc of connected descriptor necessary to avoid deadly race

## **Thread-Based Concurrent Server (cont)**

```
/* Thread routine */
void *thread(void *vargp)
{
   int connfd = *((int *)vargp);
   Pthread_detach(pthread_self());
   Free(vargp);
   echo(connfd);
   Close(connfd);
   return NULL;
}
```

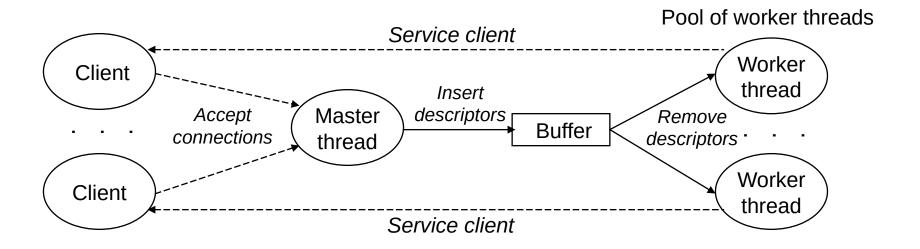
- Run thread in "detached" mode.
  - Runs independently of other threads
  - Reaped automatically (by kernel) when it terminates
- Free storage allocated to hold connfd.
- Close connfd (important!)

## **Thread-based Server Execution Model**



- Each client handled by individual peer thread
- Threads share all process state except TID
- Each thread has a separate stack for local variables

## **Pre-threaded Server Model**



- Clients handled using a thread-pool architecture
- Bounded/Unbounded buffer can be used for synchronization

# **Pros and Cons of Thread-Based Designs**

- + Easy to share data structures between threads
  - e.g., logging information, file cache
- + Threads are more efficient than processes
- Unintentional sharing can introduce subtle and hard-to-reproduce errors!
  - The ease with which data can be shared is both the greatest strength and the greatest weakness of threads
  - Hard to know which data shared & which private
  - Hard to detect by testing
    - Probability of bad race outcome very low
    - But nonzero!

# **Summary: Approaches to Concurrency**

#### Process-based

- Hard to share resources: Easy to avoid unintended sharing
- High overhead in adding/removing clients

#### Event-based

- Tedious and low level
- Total control over scheduling
- Very low overhead
- Cannot create as fine grained a level of concurrency
- Does not make use of multi-core

#### Thread-based

- Easy to share resources: Perhaps too easy
- Medium overhead
- Not much control over scheduling policies
- Difficult to debug
  - Event orderings not repeatable

Code references can be found at

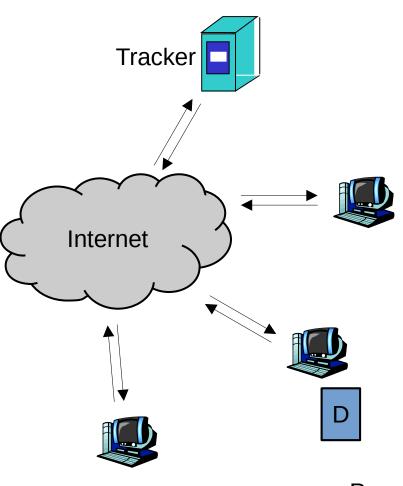
http://csapp.cs.cmu.edu/3e/code.html

#### Intro to A3 and A4

- A3 handed out today, due on 21/11 at 16:00
- A4 handed out 22/11, due on 05/12 at 16:00
- Same format as before, some programming plus a report
- Both are linked, you will build your answer to A4 on A3
- This is a large system, with some aspects you will not (yet) understand

#### **Peer to Peer Network**

- P2P is a way to share data files
- Peers connect to a network by registering with a tracker server
- Peers can get a list of all registered peers from the tracker (This also enrols them in the network)
- Peers can download locally missing data from other peers, or provide locally available data to others



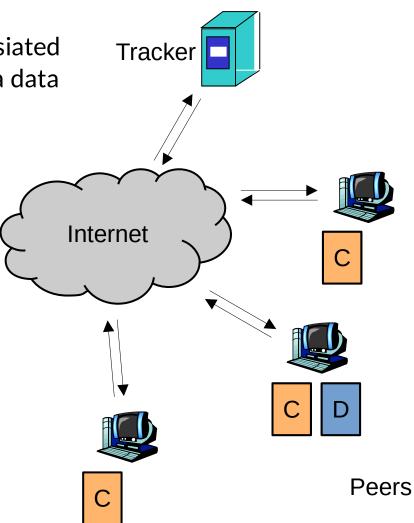
Peers

## **Cascade Files**

Each data file has a cascade file assosiated with it, used to track what contents a data file should contain

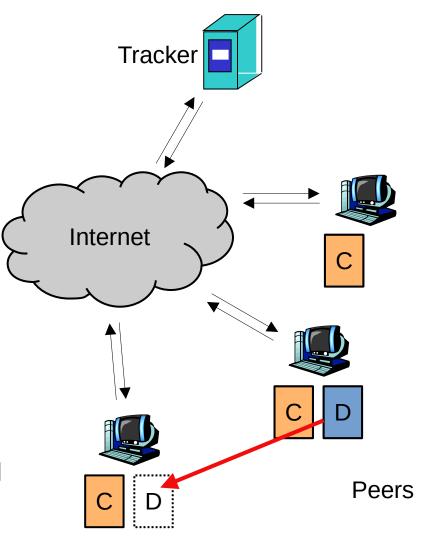
Data files are divided into chunks, with a hash taken of each chunk. This is a way of checking what the data contains, without replicating all of the data.

A peer can read a cascade file, and determine if the expected data is locally present.



#### **A3**

- For A3 you need to implement the first half of the peer
- Your peer should parse a cascade file, connect to the tracker, and retrieve missing data from other peers
- A skeleton (cascade.c) has been provided
- Python implementations of both the peer and tracker have been provided



#### **A4**

- For A3 you will implement the second half
- Your peer should also be able to act as a source for other peers, once the data is available locally
- Your peer should be capable of accepting multiple inputs at once
- You can complete A3 in isolation, but may also wish to keep A4 in mind during A3

