

LLL algorithm and usage in cryptography

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Lattices

A lattice is a free \mathbb{Z} -module with d generators as a subset of \mathbb{R}^n

Example: \mathbb{Z}^n in \mathbb{R}^n

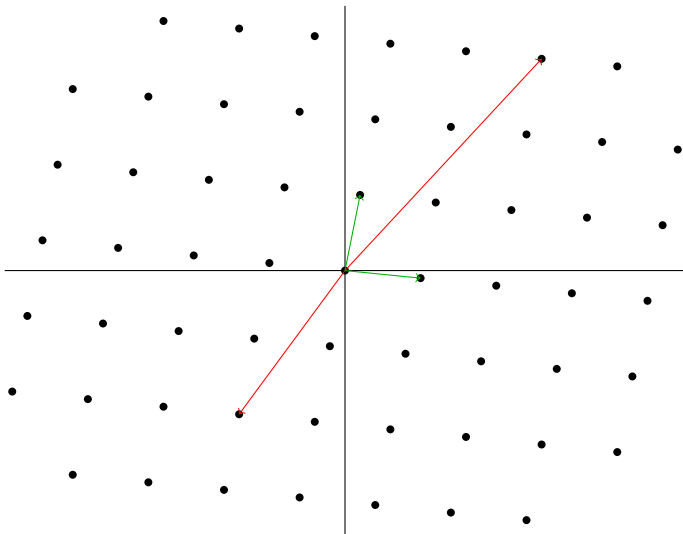
Lattices

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Example: \mathbb{Z}^n in \mathbb{R}^n

A lattice reduction algorithm is an algorithm that finds a 'short' and 'nearly orthogonal' basis

Lattice in \mathbb{R}^2



Euclidean algorithm

The Euclidean algorithm returns the gcd of a, b

```
while  $b \neq 0$  do  
  if  $|a| > |b|$  then  
     $a, b \leftarrow b, a$   
  end if  
   $d \leftarrow \frac{b}{a}$   
   $b \leftarrow b - \lfloor d \rfloor a$   
end while  
return  $a$ 
```

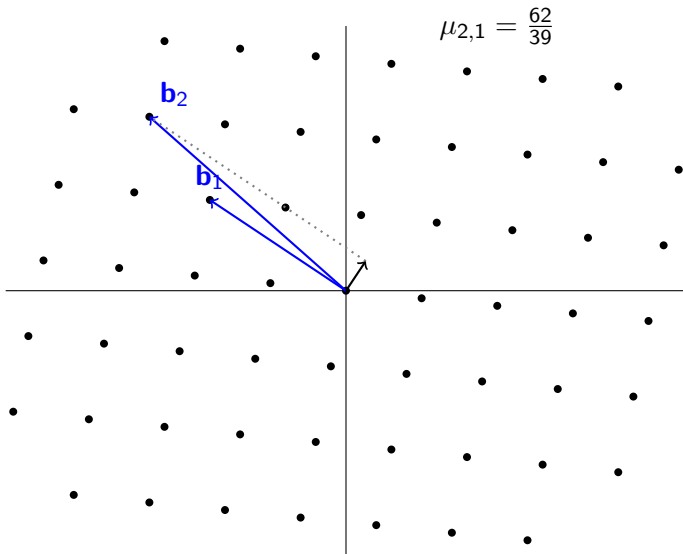
a, b is just a lattice in \mathbb{R}^1 and $\gcd(a, b)$ is it's reduced lattice

Gaussian Lattice Reduction

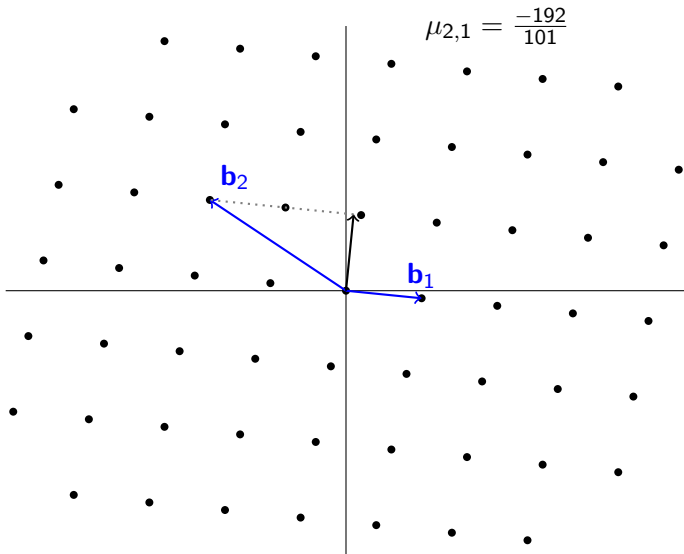
Let $\mathbf{b}_1, \mathbf{b}_2$ be a basis

```
while  $\lfloor \mu_{2,1} \rfloor \neq 0$  do  
  if  $\|\mathbf{b}_1\| > \|\mathbf{b}_2\|$  then  
     $\mathbf{b}_1, \mathbf{b}_2 \leftarrow \mathbf{b}_2, \mathbf{b}_1$   
  end if  
   $\mu_{2,1} \leftarrow \frac{(\mathbf{b}_2, \mathbf{b}_1)}{\|\mathbf{b}_1\|^2}$   
   $\mathbf{b}_2 \leftarrow \mathbf{b}_2 - \lfloor \mu_{2,1} \rfloor \mathbf{b}_1$   
end while  
return  $\mathbf{b}_1, \mathbf{b}_2$ 
```

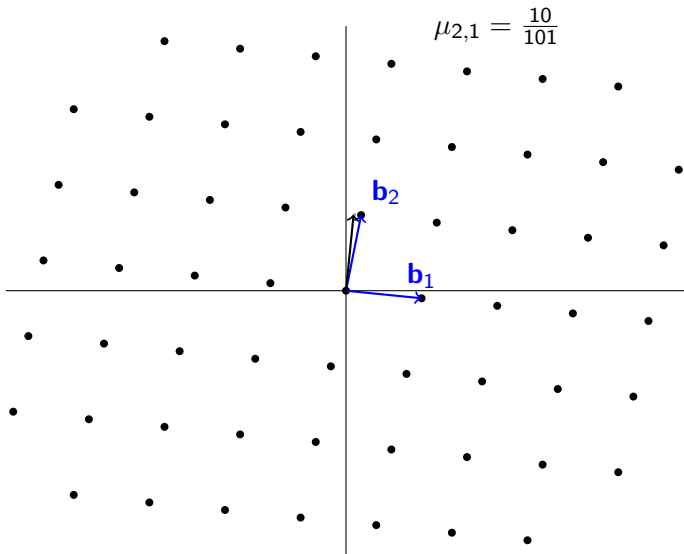
Example



Example



Example



Gram-Schmidt

For some vectors $\mathbf{b}_i \in \mathbb{R}^n$, define the orthogonal vectors \mathbf{b}_i^* as

$$\mathbf{b}_i^* = \mathbf{b}_i - \sum_{j=1}^{i-1} \frac{(\mathbf{b}_i, \mathbf{b}_j^*)}{\|\mathbf{b}_j^*\|^2} \mathbf{b}_j^* = \mathbf{b}_i - \sum_{j=1}^{i-1} \mu_{j,i} \mathbf{b}_j^*$$

with $\mu_{i,j} = \frac{(\mathbf{b}_i, \mathbf{b}_j^*)}{\|\mathbf{b}_j^*\|^2}$

Then the space generated by b_i and b_i^* are the same

Typically we normalize the vectors but for lattice reduction purposes this is not done

LLL-reduced

For some basis \mathbf{b}_i , let \mathbf{b}_i^* be the Gram-Schmidt orthogonalized basis. Then the basis is LLL-reduced for $\delta \in \left(\frac{1}{4}, 1\right)$ iff:

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$$\mu_{i,j} = \frac{(\mathbf{b}_i, \mathbf{b}_j^*)}{\|\mathbf{b}_j^*\|^2}$$

1. Size reduced: $j < i, \mu_{i,j} \leq \frac{1}{2}$

LLL-reduced

For some basis \mathbf{b}_i , let \mathbf{b}_i^* be the Gram-Schmidt orthogonalized basis. Then the basis is LLL-reduced for $\delta \in \left(\frac{1}{4}, 1\right)$ iff:

$$\mu_{i,j} = \frac{(\mathbf{b}_i, \mathbf{b}_j^*)}{\|\mathbf{b}_j^*\|^2}$$

1. Size reduced: $j < i, \mu_{i,j} \leq \frac{1}{2}$
2. Lovász condition: $(\delta - \mu_{i+1,i}^2) \|\mathbf{b}_i^*\|^2 \leq \|\mathbf{b}_{i+1}^*\|^2$

LLL algorithm

```
 $i \leftarrow 2$   
while  $i < n$  do  
  for  $j = i - 1, i - 2, \dots, 1$  do  
    if  $|\mu_{i,j}| > \frac{1}{2}$  then  
       $\mathbf{b}_i \leftarrow \mathbf{b}_i - \lfloor \mu_{i,j} \rfloor \mathbf{b}_j$   
    end if  
  end for  
  if  $(\delta - \mu_{i,i-1}^2) \|\mathbf{b}_{i-1}^*\|^2 \leq \|\mathbf{b}_i^*\|^2$  then  
     $i \leftarrow i + 1$   
  else  
     $i \leftarrow \max(i - 1, 2)$   
     $\mathbf{b}_{i-1}, \mathbf{b}_i \leftarrow \mathbf{b}_i, \mathbf{b}_{i-1}$   
  end if  
end while
```

Example

$$\mathbf{b}_1$$

$$(1, 2, 0)$$

$$\mathbf{b}_2$$
$$(1, 3, 2)$$

$$\mathbf{b}_3$$
$$(2, 2, 1)$$

$$\mathbf{b}_1^* \\ (1, 2, 0)$$

$$\mathbf{b}_2^* \left(-\frac{2}{5}, \frac{1}{5}, 2\right)$$

$$\mathbf{b}_3^* \left(\frac{20}{21}, -\frac{10}{21}, \frac{5}{21} \right)$$

$$\mu_{2,1} = \frac{7}{5}$$

Example

$$\mathbf{b}_1$$

$$(1, 2, 0)$$

$$\mathbf{b}_2$$

$$(0, 1, 2)$$

$$\mathbf{b}_3$$
$$(2, 2, 1)$$

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$$\mathbf{b}_3^* \left(\frac{20}{21}, -\frac{10}{21}, \frac{5}{21} \right)$$

$$\mu_{2,1} = \frac{2}{5}$$

$$\left(\frac{3}{4} - \left(\frac{2}{5}\right)^2\right) \|\mathbf{b}_1^*\|^2 \leq \|\mathbf{b}_2^*\|^2$$

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$$\mu_{3,2} = \frac{8}{21}$$

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$$\mu_{3,1} = \frac{6}{5}$$

Example

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$$\mathbf{b}_3^* \left(\frac{20}{21}, -\frac{10}{21}, \frac{5}{21} \right)$$

$$\mu_{3,2} = \frac{8}{21}$$

$$\left(\frac{3}{4} - \left(\frac{8}{21}\right)^2\right) \|\mathbf{b}_2^*\|^2 > \|\mathbf{b}_3^*\|^2$$

Example

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$$(1, 0, 1)$$

$$\mathbf{b}_3$$
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$$\mathbf{b}_1^* \\ (1, 2, 0)$$

$$\mathbf{b}_2^* = \left(\frac{4}{5}, -\frac{2}{5}, 1\right)$$

$$\mathbf{b}_3^* = \left(-\frac{10}{9}, -\frac{5}{9}, \frac{10}{9}\right)$$

$$\mu_{2,1} = \frac{1}{5}$$

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$$\mathbf{b}_2^* \left(\frac{1}{2}, 2, -\frac{1}{2} \right)$$

$$\mathbf{b}_3^* = \left(-\frac{10}{9}, -\frac{5}{9}, \frac{10}{9}\right)$$

$$\mu_{2,1} = \frac{1}{2}$$

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$$\left(\frac{3}{4} - \left(\frac{1}{2}\right)^2\right) \|\mathbf{b}_1^*\|^2 \leq \|\mathbf{b}_2^*\|^2$$

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$$\mu_{3,2} = \frac{2}{9}$$

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$$\mu_{3,1} = 1$$

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$$\mathbf{b}_2^* \left(\frac{1}{2}, 2, -\frac{1}{2} \right)$$

$$\mathbf{b}_3^* = \left(-\frac{10}{9}, -\frac{5}{9}, \frac{10}{9}\right)$$

$$\mu_{3,2} = \frac{2}{9}$$

$$\left(\frac{3}{4} - \left(\frac{2}{9}\right)^2\right) \|\mathbf{b}_2^*\|^2 > \|\mathbf{b}_3^*\|^2$$

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$$\mathbf{b}_3^* = \left(\frac{5}{6}, -\frac{5}{3}, -\frac{5}{6}\right)$$

$$\mu_{2,1} = 0$$

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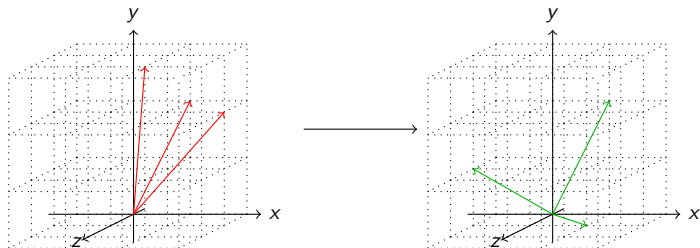
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Example

$$\begin{pmatrix} 1 & 2 & 0 \\ 1 & 3 & 2 \\ 2 & 2 & 1 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 & 1 \\ -1 & 1 & 1 \\ 1 & 2 & 0 \end{pmatrix}$$



Bounds

A lattice is a free \mathbb{Z} -module with d generators as a subset of \mathbb{R}^n
Some matrix B generate a lattice with its rows as the basis b_i

$$\det(B) = \sqrt{\det(BB^T)} = \prod_i \|b_i^*\|$$

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Suppose B is LLL-reduced and let λ_1 be length of the shortest vector in the lattice

$$\|b_1\| \leq \min \left(\left(\frac{4}{4\delta - 1} \right)^{\frac{d-1}{2}} \lambda_1, \left(\frac{4}{4\delta - 1} \right)^{\frac{d-1}{4}} \det(L)^{\frac{1}{d}} \right)$$

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For random lattices LLL usually finds $\|b_1\| \lesssim 1.02^d \det(L)^{\frac{1}{d}}$

Rational approximation

To find a rational approximation of x , let B be a big number.

$$\begin{pmatrix} 1 & 0 & xB \\ 0 & 1 & -B \end{pmatrix}$$

Smallest vector from LLL is of the form (a, b, k) with
 $0 \approx \frac{k}{B} = ax - b$

Approximate integer linear relations

Let x_i be some arbitrary numbers and B be a big number

$$\begin{pmatrix} 1 & 0 & \dots & 0 & x_1 B \\ 0 & 1 & \dots & 0 & x_2 B \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & 1 & x_n B \end{pmatrix}$$

Smallest vector from LLL is of the form $(c_1, c_2, \dots, c_n, x)$ with $\sum c_i x_i \approx 0$

Algebraic number approximation

To find an algebraic approximation of x , let B be a big number and n be the degree of a polynomial

$$\begin{pmatrix} 1 & 0 & \dots & 0 & B \\ 0 & 1 & \dots & 0 & xB \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & 1 & x^n B \end{pmatrix}$$

Then the smallest vector of the LLL reduced matrix is of the form $(f_0, f_1, \dots, f_n, k)$ with k small $\sum f_i x^i \approx 0$

Howgrave Graham

Let $f(x)$ be some univariate polynomial of degree d . For some modulus N and bound B :

$f(x_0) \equiv 0 \pmod{N}$, $x_0 < B$ and $|f(x)| < N$ for all $0 < x < B$
implies $f(x_0) = 0$ over \mathbb{R}

Howgrave Graham

Let $f(x)$ be some univariate polynomial of degree d . For some modulus N and bound B :

$f(x_0) = 0 \pmod{N}$, $x_0 < B$ and $|f(x)| < N$ for all $0 < x < B$

implies $f(x_0) = 0$ over \mathbb{R}

$f(x_0) = 0 \pmod{N}$ and $\|f(Bx)\|_2 < \frac{N}{\sqrt{d}}$ implies $f(x_0) = 0$ over \mathbb{R}

Coppersmith algorithm(sketch)

If $x_0 < B$ is a root for some polynomials f, g_i in $\frac{\mathbb{Z}}{N\mathbb{Z}}$, then the lattice generated by f, g_i all have x_0 as a root in $\frac{\mathbb{Z}}{N\mathbb{Z}}$

Coppersmith algorithm(sketch)

If $x_0 < B$ is a root for some polynomials f, g_i in $\frac{\mathbb{Z}}{N\mathbb{Z}}$, then the lattice generated by f, g_i all have x_0 as a root in $\frac{\mathbb{Z}}{N\mathbb{Z}}$

1. Construct polynomials g_i
2. Use $f(Bx)$ and $g_i(Bx)$ in the lattice
3. h is hopefully a small vector in the lattice with
$$\|h(x)\|_2 < \frac{N}{\sqrt{d}} \implies h\left(\frac{x_0}{B}\right) = 0 \text{ in } \mathbb{R}$$

Coppersmith algorithm

$g_i(x) = Nx^i$ has root x_0 in $\frac{\mathbb{Z}}{N\mathbb{Z}}$

$$G = \begin{pmatrix} N & 0 & 0 & \dots & 0 & 0 \\ 0 & NB & 0 & \dots & 0 & 0 \\ 0 & 0 & NB^2 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & NB^{d-1} & 0 \\ f_0 & f_1 B & f_2 B^2 & \dots & f_{d-1} B^{d-1} & B^d \end{pmatrix}$$

$$\det(G) = N^d B^{\frac{d(d+1)}{2}} \quad \dim(G) = d + 1$$

Let \mathbf{v} be a short vector from LLL, then $h(x) = \sum_{i=0}^n v_i x^i$ possibly has a root $\frac{x_0}{B}$ over \mathbb{R}

Theoretical discussion

Current lattice only ensures shortest vector of $O\left(N^{\frac{d}{d+1}} B^{\frac{d}{2}}\right)$, which must be less than $O(N)$ to work, so $B < O\left(N^{\frac{2}{d(d+1)}}\right)$

$B < N^{\frac{1}{d}}$ is a open conjectured theoretical limit for finding 'small roots' efficiently

Take $f(x) = x^2 + px \pmod{p}^2$, if $B = p^{\frac{1}{d} + \epsilon}$, number of small roots is unbounded and our polynomial over integers can't have so many s

Add more vectors in $(f(x), N)$ to decrease $\det(G)^{\frac{1}{d}}$

Notation

Let g_i be some polynomials $\sum_j g_{i,j}x^j$, then define the lattice G generated from these polynomials as

$$G = \begin{pmatrix} g_{0,0} & g_{0,1} & g_{0,2} & \dots \\ g_{1,0} & g_{1,1} & g_{1,2} & \dots \\ g_{2,0} & g_{2,1} & g_{2,2} & \dots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

First improvement

Define $g_{0,j}(x) = Nx^j$ and $g_{1,j}(x) = f(x)x^j$, $0 \leq j < d$ and construct a lattice G using coefficients of $g_{i,j}(Bx)$

$$\det(G) = N^d B^{\frac{(2d-1)2d}{2}} \quad \dim(G) = 2d$$

The shortest vector has length $O\left(N^{\frac{1}{2}} B^{\frac{2d-1}{2}}\right)$, bounded by $O(N)$ to find small roots

$$B < O\left(N^{\frac{1}{2d-1}}\right)$$

Some motivation

$f(x)^a \pmod{N^a}$ has the same roots as $f(x) \pmod{N}$
 $N^a g(x) \pmod{N^{a+b}}$ has the same roots as $g(x) \pmod{N^b}$

Some motivation

$f(x)^a \pmod{N^a}$ has the same roots as $f(x) \pmod{N}$

$N^a g(x) \pmod{N^{a+b}}$ has the same roots as $g(x) \pmod{N^b}$

Adding more vectors(strategically) decreases $\frac{N^m}{\det(L)^{\frac{1}{d}}}$, allowing for larger bounds of size of roots

Final improvement

Define $g_{i,j}(x) = N^{h-j}f(x)^j x^i$ for some h , $0 \leq i < d$, $0 \leq j < h$ and construct a lattice G using coefficients of $g_{i,j}(Bx)$

$$\det(G) = N^{d \frac{(h+1)h}{2}} B^{\frac{(dh-1)dh}{2}} \quad \dim(G) = dh$$

The shortest vector has length $O\left(N^{\frac{h+1}{2}} B^{\frac{dh-1}{2}}\right)$, bounded by $O\left(N^h\right)$ to find small roots

$$B < O\left(N^{\frac{h-1}{dh-1}}\right)$$

$\lim_{h \rightarrow \infty} \frac{h-1}{dh-1} = \frac{1}{d}$, can get arbitrary close to $N^{\frac{1}{d}}$

Example

For some bound B , polynomial $x^3 + f_2x^2 + f_1x + f_0$ and modulus N
 $h = 3$, $g_{i,j}(x) = N^{h-j}f(x)^jx^i$, $0 \leq i < d$, $0 \leq j < h$

$$\begin{pmatrix} N^3 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & BN^3 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & B^2N^3 & 0 & 0 & 0 & 0 & 0 & 0 \\ N^2f_0 & BN^2f_1 & B^2N^2f_2 & B^3N^2 & 0 & 0 & 0 & 0 & 0 \\ 0 & BN^2f_0 & B^2N^2f_1 & B^3N^2f_2 & B^4N^2 & 0 & 0 & 0 & 0 \\ 0 & 0 & B^2N^2f_0 & B^3N^2f_1 & B^4N^2f_2 & B^5N^2 & 0 & 0 & 0 \\ Nf_0^2 & 2BNf_0f_1 & (Nf_1^2 + 2Nf_0f_2)B^2 & 2(Nf_1f_2 + Nf_0)B^3 & (Nf_2^2 + 2Nf_1)B^4 & 2B^5Nf_2 & B^6N & 0 & 0 \\ 0 & BNf_0^2 & 2B^2Nf_0f_1 & (Nf_1^2 + 2Nf_0f_2)B^3 & 2(Nf_1f_2 + Nf_0)B^4 & (Nf_2^2 + 2Nf_1)B^5 & 2B^6Nf_2 & B^7N & 0 \\ 0 & 0 & B^2Nf_0^2 & 2B^3Nf_0f_1 & (Nf_1^2 + 2Nf_0f_2)B^4 & 2(Nf_1f_2 + Nf_0)B^5 & (Nf_2^2 + 2Nf_1)B^6 & 2B^7Nf_2 & B^8N \end{pmatrix}$$

Unknown modulus

Unknown modulus $p < N^\beta$ with $p|N$

Unknown modulus

Unknown modulus $p < N^\beta$ with $p|N$

Define $g_{i,j}(x) = N^{h-j}f(x)^j x^i$, $0 \leq i < d$, $0 \leq j < h$ and $g_{i,h} = f(x)^h x^i$ with $0 \leq i < t$ and construct a lattice G using coefficients of $g_{i,j}(Bx)$ and let $n = dh + t$ for convenience.

$$\det(G) = N^{d \frac{(h-1)h}{2}} B^{\frac{(n-1)n}{2}} \quad \dim(G) = n$$

The shortest vector has length $O\left(N^{\frac{(h-1)h}{2n}} B^{\frac{n-1}{2}}\right)$, bounded by $O(N^{\beta h})$ to find small roots

$$B < O\left(N^{\frac{n-1}{n}\left(\frac{2\beta h}{n} - \frac{d(h-1)h}{n^2}\right)}\right) \stackrel{n=\frac{d}{\beta}h}{=} O\left(N^{\frac{n-1}{n}\left(2 - \frac{h-1}{h}\right)\frac{\beta^2}{d}}\right)$$

$$\lim_{h,n \rightarrow \infty} \frac{n-1}{n} \left(2 - \frac{h-1}{h}\right) \frac{\beta^2}{d} = \frac{\beta^2}{d}$$

Multivariate

Using the polynomials $g_{i,j,k,\dots} = N^{h-i}f(x,y,\dots)x^jy^k\dots$ and $f(x)^hx^iy^j\dots$ to construct a lattice and get polynomials with identical small roots over integers

Multivariate

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Determinant is hard to compute, bound is of the form

$XY \dots < O(N^x)$ where $x < X, y < Y, \dots$ so they can't be too big

Summary

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Coppersmith algorithm can find small roots of univariate and bivariate polynomials mod a potentially unknown factor of N

Mertens conjecture and roots of $\zeta(t)$

$$|M(n)| = \left| \sum_{k=1}^n \mu(k) \right| < \sqrt{n}?$$

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Bound c_ρ assuming Mertens and with LLL on roots

$$\rho < 2516 \implies \limsup_{x \rightarrow \infty} \frac{M(x)}{\sqrt{x}} > 1.06 \quad \liminf_{x \rightarrow \infty} \frac{M(x)}{\sqrt{x}} < -1.009$$

RSA

$N = pq$ for primes p, q and e, d such that $ed = 1 \pmod{\lambda(N)}$.

Note that usually $ed = 1 \pmod{\phi(N)}$

Encryption: $c = m^e \pmod{N}$

Decryption: $m = c^d \pmod{N}$

Franklin-Reiter Related Message Attack

$m_2 = f(m_1)$, f a known polynomial and c_1, c_2 are ciphertexts of m_1, m_2
 $x^e - c_1 \pmod{N}$ and $f(x)^e - c_2 \pmod{N}$ has m_1 as a root

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$$\gcd_{\frac{\mathbb{Z}}{N\mathbb{Z}}[x]}(x^e - c_1, f(x)^e - c_2) = x - m_1$$

Coppersmith's Short Pad Attack

$m_2 = m_1 + r_1$ for some pad r_1 , and c_1, c_2 are ciphertexts of m_1, m_2

$$\text{res}_x(f(x), g(x)) = 0 \iff f \text{ and } g \text{ shares a root}$$

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$$f(y) = \text{res}_x(x^e - c_1, (x + y)^e - c_2)$$

Find a small root of $f(y) \pmod{N}$ with coppersmith algorithm

Known approximation of factor

If $p_0 \approx p$, find 'small roots' of $p + x \pmod{N}$ with coppersmith algorithm

$$N = pq \quad p \approx r_p t, q \approx r_q t$$

$$t \approx \sqrt{\frac{N}{r_p r_q}} \implies N = (r_p t + x)(r_q t + y)$$

Approximately similar prime factors

Assume we have modulus $N_i = p_i q_i$ with p_i close to each other, construct a lattice with columns having 2 non-zero elements, $N_i, -N_j$ and the i th row lacking $\pm N_i$

Example:

$$\begin{pmatrix} N_2 & N_3 & 0 \\ -N_1 & 0 & N_3 \\ -N_1 & -N_2 & 0 \end{pmatrix}$$

Since $q_i N_j - q_j N_i = q_i q_j (p_i - p_j)$ is small, LLL is likely to find such a vector and we can take GCD

Wiener attack

If d is small, we can compute d by simple algebraic means:

$$ed - 1 = k\phi(N) \implies \frac{e}{\phi(N)} - \frac{k}{d} = \frac{1}{d\phi(N)}$$

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$$\frac{e}{N} \approx \frac{k}{d}$$

Note that for $d < N^{\frac{1}{4}}$, $\frac{k}{d}$ is in the convergents of $\frac{e}{N}$'s continued fractions

Boneh-Durfee attack

$$ed = 1 + x(p-1)(q-1) = 1 + x(N-y) \equiv 0 \pmod{e}$$

$$d < O\left(N^{\frac{7-2\sqrt{7}}{6}} \approx 0.284\right)$$

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$$d < O\left(N^{\frac{1}{2}}\right)?$$

Weak NTRU keys

$$f, g \in \frac{\mathbb{Z}[x]}{x^{N-1}-1}, \text{ coefficients of } f, g \text{ are } -1, 0, 1. \quad f_p f = 1 \pmod{p} \text{ and } h = pf_p g \pmod{q}$$

Weak NTRU keys

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$$L = \begin{pmatrix} \lambda I_N & 0 \\ H & qI_n \end{pmatrix}$$

where H is circulant matrix with first column being coefficients of $f_p g \pmod{q}$

$L \begin{pmatrix} f' \\ kq \end{pmatrix} = \begin{pmatrix} \lambda f' \\ g' \end{pmatrix}$ is hopefully short for some k . $pg' = f'h \pmod{q}$ breaks NTRU

Coppersmith in the wild

Primes of the form $p = a + 2^t x + y$ with a known and t bruteforactable, x, y unknown errors appeared in Taiwan's national Citizen Digital Certificate database

Coppersmith method for bivariate polynomial and unknown modulus worked, but the theoretical bounds are not satisfied

ROCA attack

Primes of the form $p = kM + (e^a \pmod{M})$ with M being some primorial and $e = 65537$ was used, keys using these can be factored with coppersmith, hence the name the Return Of Coppersmith Attack

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$$N = (kM + e^a \pmod{M})(lM + e^b \pmod{M}) \equiv e^{a+b} \pmod{M}$$

By bruteforcing a in a certain way, we can construct the polynomial $xM + (65537^a \pmod{M})$ and find small roots

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