

LLL algorithm and usage in cryptography

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libgen/scihub

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Lattices

A lattice is a free \mathbb{Z} -module with d generators as a subset of \mathbb{R}^n

Example: \mathbb{Z}^n in \mathbb{R}^n

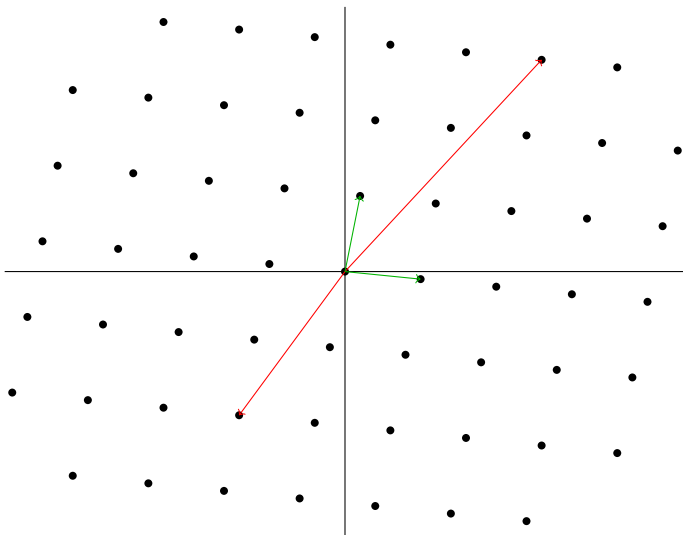
Lattices

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Example: \mathbb{Z}^n in \mathbb{R}^n

A lattice reduction algorithm is an algorithm that finds a 'short' and 'nearly orthogonal' basis

Lattice in \mathbb{R}^2



Euclidean algorithm

The Euclidean algorithm returns the gcd of a, b

```
while  $b \neq 0$  do  
  if  $|a| > |b|$  then  
     $a, b \leftarrow b, a$   
  end if  
   $d \leftarrow \frac{b}{a}$   
   $b \leftarrow b - \lfloor d \rfloor a$   
end while  
return  $a$ 
```

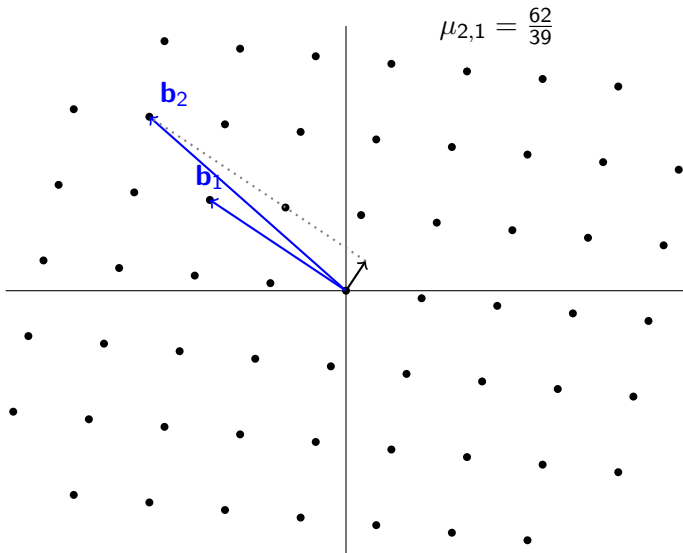
a, b is just a lattice in \mathbb{R}^1 and $\gcd(a, b)$ is it's reduced lattice

Gaussian Lattice Reduction

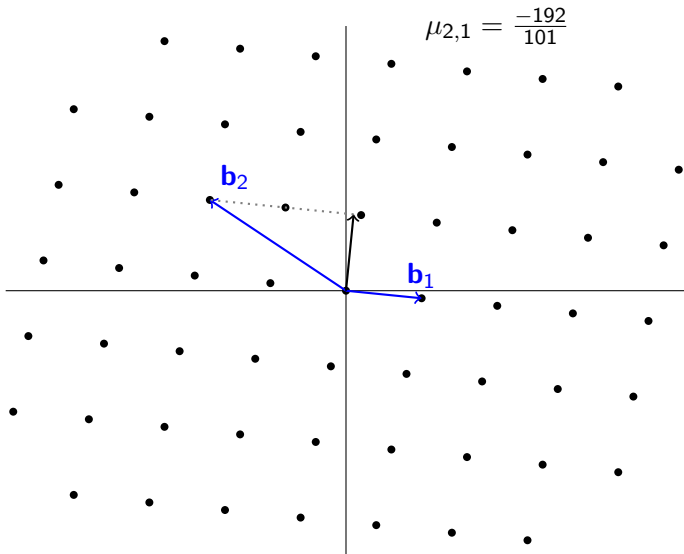
Let $\mathbf{b}_1, \mathbf{b}_2$ be a basis

```
while  $\lfloor \mu_{2,1} \rfloor \neq 0$  do  
  if  $\|\mathbf{b}_1\| > \|\mathbf{b}_2\|$  then  
     $\mathbf{b}_1, \mathbf{b}_2 \leftarrow \mathbf{b}_2, \mathbf{b}_1$   
  end if  
   $\mu_{2,1} \leftarrow \frac{(\mathbf{b}_2, \mathbf{b}_1)}{\|\mathbf{b}_1\|^2}$   
   $\mathbf{b}_2 \leftarrow \mathbf{b}_2 - \lfloor \mu_{2,1} \rfloor \mathbf{b}_1$   
end while  
return  $\mathbf{b}_1, \mathbf{b}_2$ 
```

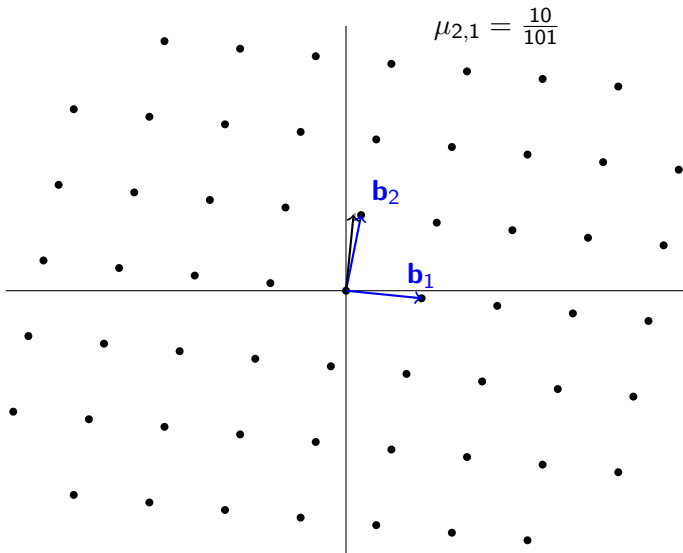
Example



Example



Example



Gram-Schmidt

For some vectors $\mathbf{b}_i \in \mathbb{R}^n$, define the orthogonal vectors \mathbf{b}_i^* as

$$\mathbf{b}_i^* = \mathbf{b}_i - \sum_{j=1}^{i-1} \frac{(\mathbf{b}_i, \mathbf{b}_j^*)}{\|\mathbf{b}_j^*\|^2} \mathbf{b}_j^* = \mathbf{b}_i - \sum_{j=1}^{i-1} \mu_{j,i} \mathbf{b}_j^*$$

with $\mu_{i,j} = \frac{(\mathbf{b}_i, \mathbf{b}_j^*)}{\|\mathbf{b}_j^*\|^2}$

Then the space generated by b_i and b_i^* are the same

Typically we normalize the vectors but for lattice reduction purposes this is not done

LLL-reduced

For some basis \mathbf{b}_i , let \mathbf{b}_i^* be the Gram-Schmidt orthogonalized basis. Then the basis is LLL-reduced for $\delta \in \left(\frac{1}{4}, 1\right)$ iff:

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$$\mu_{i,j} = \frac{(\mathbf{b}_i, \mathbf{b}_j^*)}{\|\mathbf{b}_j^*\|^2}$$

1. Size reduced: $j < i, \mu_{i,j} \leq \frac{1}{2}$

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For some basis \mathbf{b}_i , let \mathbf{b}_i^* be the Gram-Schmidt orthogonalized basis. Then the basis is LLL-reduced for $\delta \in \left(\frac{1}{4}, 1\right)$ iff:

$$\mu_{i,j} = \frac{(\mathbf{b}_i, \mathbf{b}_j^*)}{\|\mathbf{b}_j^*\|^2}$$

1. Size reduced: $j < i, \mu_{i,j} \leq \frac{1}{2}$
2. Lovász condition: $(\delta - \mu_{i+1,i}^2) \|\mathbf{b}_i^*\|^2 \leq \|\mathbf{b}_{i+1}^*\|^2$

LLL algorithm

```
 $i \leftarrow 2$   
while  $i < n$  do  
  for  $j = i - 1, i - 2, \dots, 1$  do  
    if  $|\mu_{i,j}| > \frac{1}{2}$  then  
       $\mathbf{b}_i \leftarrow \mathbf{b}_i - \lfloor \mu_{i,j} \rfloor \mathbf{b}_j$   
    end if  
  end for  
  if  $(\delta - \mu_{i,i-1}^2) \|\mathbf{b}_{i-1}^*\|^2 \leq \|\mathbf{b}_i^*\|^2$  then  
     $i \leftarrow i + 1$   
  else  
     $i \leftarrow \max(i - 1, 2)$   
     $\mathbf{b}_{i-1}, \mathbf{b}_i \leftarrow \mathbf{b}_i, \mathbf{b}_{i-1}$   
  end if  
end while
```

Example

$$\mathbf{b}_1$$
$$(1, 2, 0)$$

$$\mathbf{b}_2$$

$$(1, 3, 2)$$

$$\mathbf{b}_3$$
$$(2, 2, 1)$$

$$\mathbf{b}_1^* \\ (1, 2, 0)$$

$$\mathbf{b}_2^* \left(-\frac{2}{5}, \frac{1}{5}, 2\right)$$

$$\mathbf{b}_3^* \left(\frac{20}{21}, -\frac{10}{21}, \frac{5}{21} \right)$$

$$\mu_{2,1} = \frac{7}{5}$$

Example

$$\mathbf{b}_1$$
$$(1, 2, 0)$$

$$\mathbf{b}_2$$

$$(0, 1, 2)$$

$$\mathbf{b}_3$$

$$(2, 2, 1)$$

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$$\mathbf{b}_3^* \left(\frac{20}{21}, -\frac{10}{21}, \frac{5}{21} \right)$$

$$\mu_{2,1} = \frac{2}{5}$$

$$\left(\frac{3}{4} - \left(\frac{2}{5}\right)^2\right) \|\mathbf{b}_1^*\|^2 \leq \|\mathbf{b}_2^*\|^2$$

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$$\mu_{3,2} = \frac{8}{21}$$

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$$\mu_{3,1} = \frac{6}{5}$$

Example

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$$\mathbf{b}_2$$

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$$\mathbf{b}_2^* \left(-\frac{2}{5}, \frac{1}{5}, 2\right)$$

$$\mathbf{b}_3^* \left(\frac{20}{21}, -\frac{10}{21}, \frac{5}{21} \right)$$

$$\mu_{3,2} = \frac{8}{21}$$

$$\left(\frac{3}{4} - \left(\frac{8}{21}\right)^2\right) \|\mathbf{b}_2^*\|^2 > \|\mathbf{b}_3^*\|^2$$

Example

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$$(1, 0, 1)$$

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$$\mathbf{b}_1^* \\ (1, 2, 0)$$

$$\mathbf{b}_2^* = \left(\frac{4}{5}, -\frac{2}{5}, 1\right)$$

$$\mathbf{b}_3^* = \left(-\frac{10}{9}, -\frac{5}{9}, \frac{10}{9}\right)$$

$$\mu_{2,1} = \frac{1}{5}$$

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$$\mathbf{b}_2^* \left(\frac{1}{2}, 2, -\frac{1}{2} \right)$$

$$\mathbf{b}_3^* = \left(-\frac{10}{9}, -\frac{5}{9}, \frac{10}{9}\right)$$

$$\mu_{2,1} = \frac{1}{2}$$

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$$\mu_{3,2} = \frac{2}{9}$$

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$$\mu_{3,1} = 1$$

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$$\mathbf{b}_2^* \left(\frac{1}{2}, 2, -\frac{1}{2} \right)$$

$$\mathbf{b}_3^* = \left(-\frac{10}{9}, -\frac{5}{9}, \frac{10}{9}\right)$$

$$\mu_{3,2} = \frac{2}{9}$$

$$\left(\frac{3}{4} - \left(\frac{2}{9}\right)^2\right) \|\mathbf{b}_2^*\|^2 > \|\mathbf{b}_3^*\|^2$$

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$$\mathbf{b}_3^*$$
$$\left(\frac{5}{6}, -\frac{5}{3}, -\frac{5}{6}\right)$$

$$\mu_{2,1} = 0$$

Example

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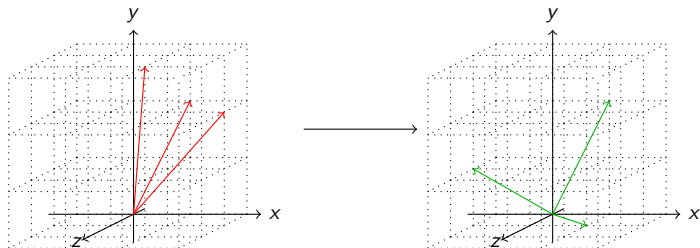
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Example

$$\begin{pmatrix} 1 & 2 & 0 \\ 1 & 3 & 2 \\ 2 & 2 & 1 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 & 1 \\ -1 & 1 & 1 \\ 1 & 2 & 0 \end{pmatrix}$$



Bounds

A lattice is a free \mathbb{Z} -module with d generators as a subset of \mathbb{R}^n
Some matrix B generate a lattice with its rows as the basis b_i

$$\det(B) = \sqrt{\det(BB^T)} = \prod_i \|b_i^*\|$$

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Suppose B is LLL-reduced and let λ_1 be length of the shortest vector in the lattice

$$\|b_1\| \leq \min \left(\left(\frac{4}{4\delta - 1} \right)^{\frac{d-1}{2}} \lambda_1, \left(\frac{4}{4\delta - 1} \right)^{\frac{d-1}{4}} \det(L)^{\frac{1}{d}} \right)$$

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For random lattices LLL usually finds $\|b_1\| \lesssim 1.02^d \det(L)^{\frac{1}{d}}$

Rational approximation

To find a rational approximation of x , let B be a big number.

$$\begin{pmatrix} 1 & 0 & xB \\ 0 & 1 & -B \end{pmatrix}$$

Smallest vector from LLL is of the form (a, b, k) with
 $0 \approx \frac{k}{B} = ax - b$

Approximate integer linear relations

Let x_i be some arbitrary numbers and B be a big number

$$\begin{pmatrix} 1 & 0 & \dots & 0 & x_1 B \\ 0 & 1 & \dots & 0 & x_2 B \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & 1 & x_n B \end{pmatrix}$$

Smallest vector from LLL is of the form $(c_1, c_2, \dots, c_n, x)$ with $\sum c_i x_i \approx 0$

Algebraic number approximation

To find an algebraic approximation of x , let B be a big number and n be the degree of a polynomial

$$\begin{pmatrix} 1 & 0 & \dots & 0 & B \\ 0 & 1 & \dots & 0 & xB \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & 1 & x^n B \end{pmatrix}$$

Then the smallest vector of the LLL reduced matrix is of the form $(f_0, f_1, \dots, f_n, k)$ with k small $\sum f_i x^i \approx 0$

Howgrave Graham

Let $f(x)$ be some univariate polynomial of degree d . For some modulus N and bound B :

$f(x_0) = 0 \pmod{N}$, $x_0 < B$ and $|f(x)| < N$ for all $0 < x < B$
implies $f(x_0) = 0$ over \mathbb{R}

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implies $f(x_0) = 0$ over \mathbb{R}

$f(x_0) = 0 \pmod{N}$ and $\|f(Bx)\|_2 < \frac{N}{\sqrt{d}}$ implies $f(x_0) = 0$ over \mathbb{R}

Coppersmith algorithm(sketch)

If $x_0 < B$ is a root for some polynomials f, g_i in $\frac{\mathbb{Z}}{N\mathbb{Z}}$, then the lattice generated by f, g_i all have x_0 as a root in $\frac{\mathbb{Z}}{N\mathbb{Z}}$

1. Construct polynomials g_i
2. Use $f(Bx)$ and $g_i(Bx)$ in the lattice
3. h is hopefully a small vector in the lattice with
$$\|h(x)\|_2 < \frac{N}{\sqrt{d}} \implies h\left(\frac{x_0}{B}\right) = 0 \text{ in } \mathbb{R}$$

Coppersmith algorithm

$g_i(x) = Nx^i$ has root x_0 in $\frac{\mathbb{Z}}{N\mathbb{Z}}$

$$G = \begin{pmatrix} N & 0 & 0 & \dots & 0 & 0 \\ 0 & NB & 0 & \dots & 0 & 0 \\ 0 & 0 & NB^2 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & NB^{d-1} & 0 \\ f_0 & f_1 B & f_2 B^2 & \dots & f_{d-1} B^{d-1} & B^d \end{pmatrix}$$

$$\det(G) = N^d B^{\frac{d(d+1)}{2}} \quad \dim(G) = d + 1$$

Let \mathbf{v} be a short vector from LLL, then $h(x) = \sum_{i=0}^n v_i x^i$ possibly has a root $\frac{x_0}{B}$ over \mathbb{R}

Theoretical discussion

Current lattice only ensures shortest vector of $O\left(N^{\frac{d}{d+1}} B^{\frac{d}{2}}\right)$, which must be less than $O(N)$ to work, so $B < O\left(N^{\frac{2}{d(d+1)}}\right)$

$B < N^{\frac{1}{d}}$ is a open conjectured theoretical limit for finding 'small roots' efficiently

Take $f(x) = x^2 + px \pmod{p}^2$, if $B = p^{\frac{1}{d} + \epsilon}$, number of small roots is unbounded and our polynomial over integers can't have so many roots

Add more vectors in $(f(x), N)$ to decrease $\det(G)^{\frac{1}{d}}$

Notation

Let g_i be some polynomials $\sum_j g_{i,j}x^j$, then define the lattice G generated from these polynomials as

$$G = \begin{pmatrix} g_{0,0} & g_{0,1} & g_{0,2} & \dots \\ g_{1,0} & g_{1,1} & g_{1,2} & \dots \\ g_{2,0} & g_{2,1} & g_{2,2} & \dots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

First improvement

Define $g_{0,j}(x) = Nx^j$ and $g_{1,j}(x) = f(x)x^j$, $0 \leq j < d$ and construct a lattice G using coefficients of $g_{i,j}(Bx)$

$$\det(G) = N^d B^{\frac{(2d-1)2d}{2}} \quad \dim(G) = 2d$$

The shortest vector has length $O\left(N^{\frac{1}{2}} B^{\frac{2d-1}{2}}\right)$, bounded by $O(N)$ to find small roots

$$B < O\left(N^{\frac{1}{2d-1}}\right)$$

Some motivation

$f(x)^a \pmod{N^a}$ has the same roots as $f(x) \pmod{N}$

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Some motivation

$f(x)^a \pmod{N^a}$ has the same roots as $f(x) \pmod{N}$

$N^a g(x) \pmod{N^{a+b}}$ has the same roots as $g(x) \pmod{N^b}$

Adding more vectors(strategically) decreases $\frac{N^m}{\det(L)^{\frac{1}{d}}}$, allowing for larger bounds of size of roots

Final improvement

Define $g_{i,j}(x) = N^{h-j} f(x)^j x^i$ for some h , $0 \leq i < d$, $0 \leq j < h$ and construct a lattice G using coefficients of $g_{i,j}(Bx)$

$$\det(G) = N^{\frac{dh(h+1)}{2}} B^{\frac{(dh-1)dh}{2}} \quad \dim(G) = dh$$

The shortest vector has length $O\left(N^{\frac{h+1}{2}} B^{\frac{dh-1}{2}}\right)$, bounded by $O\left(N^h\right)$ to find small roots

$$B < O\left(N^{\frac{h-1}{dh-1}}\right)$$

$\lim_{h \rightarrow \infty} \frac{h-1}{dh-1} = \frac{1}{d}$, can get arbitrary close to $N^{\frac{1}{d}}$

Example

For some bound B , polynomial $x^3 + f_2x^2 + f_1x + f_0$ and modulus N
 $h = 3$, $g_{i,j}(x) = N^{h-j}f(x)^j x^i$, $0 \leq i < d$, $0 \leq j < h$

$$\begin{pmatrix} N^3 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & BN^3 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & B^2N^3 & 0 & 0 & 0 & 0 & 0 & 0 \\ N^2f_0 & BN^2f_1 & B^2N^2f_2 & B^3N^2 & 0 & 0 & 0 & 0 & 0 \\ 0 & BN^2f_0 & B^2N^2f_1 & B^3N^2f_2 & B^4N^2 & 0 & 0 & 0 & 0 \\ 0 & 0 & B^2N^2f_0 & B^3N^2f_1 & B^4N^2f_2 & B^5N^2 & 0 & 0 & 0 \\ Nf_0^2 & 2BNf_0f_1 & (Nf_1^2 + 2Nf_0f_2)B^2 & 2(Nf_1f_2 + Nf_0)B^3 & (Nf_2^2 + 2Nf_1)B^4 & 2B^5Nf_2 & B^6N & 0 & 0 \\ 0 & BNf_0^2 & 2B^2Nf_0f_1 & (Nf_1^2 + 2Nf_0f_2)B^3 & 2(Nf_1f_2 + Nf_0)B^4 & (Nf_2^2 + 2Nf_1)B^5 & 2B^6Nf_2 & B^7N & 0 \\ 0 & 0 & B^2Nf_0^2 & 2B^3Nf_0f_1 & (Nf_1^2 + 2Nf_0f_2)B^4 & 2(Nf_1f_2 + Nf_0)B^5 & (Nf_2^2 + 2Nf_1)B^6 & 2B^7Nf_2 & B^8N \end{pmatrix}$$

Unknown modulus

Unknown modulus $p < N^\beta$ with $p|N$

Unknown modulus

Unknown modulus $p < N^\beta$ with $p|N$

Define $g_{i,j}(x) = N^{h-j}f(x)^j x^i$, $0 \leq i < d$, $0 \leq j < h$ and $g_{i,h} = f(x)^h x^i$ with $0 \leq i < t$ and construct a lattice G using coefficients of $g_{i,j}(Bx)$ and let $n = dh + t$ for convenience.

$$\det(G) = N^{\frac{dh(h+1)}{2}} B^{\frac{(n-1)n}{2}} \quad \dim(G) = n$$

The shortest vector has length $O\left(N^{\frac{dh(h+1)}{2n}} B^{\frac{n-1}{2}}\right)$, bounded by $O\left(N^{\beta h}\right)$ to find small roots

$$B < O\left(N^{\frac{n-1}{n}\left(\frac{2\beta h}{n} - \frac{dh(h+1)}{n^2}\right)}\right) \stackrel{n=\frac{d}{\beta}h}{=} O\left(N^{\frac{n-1}{n}\left(2 - \frac{h+1}{h}\right)\frac{\beta^2}{d}}\right)$$

$$\lim_{h,n \rightarrow \infty} \frac{n-1}{n} \left(1 - \frac{1}{h}\right) \frac{\beta^2}{d} = \frac{\beta^2}{d}$$

Multivariate

Using the polynomials $g_{i,j,k,\dots} = N^{h-i}f(x,y,\dots)^ix^jy^k\dots$ and $f(x)^hx^iy^j\dots$ to construct a lattice and get polynomials with identical small roots over integers

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Determinant is hard to compute, bound is of the form

$XY \dots < O(N^x)$ where $x < X, y < Y, \dots$ so they can't be too big

Summary

LLL finds a short vector in a lattice

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Coppersmith algorithm can find small roots of univariate and bivariate polynomials mod a potentially unknown factor of N

Mertens conjecture and roots of $\zeta(t)$

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Bound c_ρ assuming Mertens and with LLL on roots

$$\rho < 2516 \implies \limsup_{x \rightarrow \infty} \frac{M(x)}{\sqrt{x}} > 1.06 \quad \liminf_{x \rightarrow \infty} \frac{M(x)}{\sqrt{x}} < -1.009$$

RSA

$N = pq$ for primes p, q and e, d such that $ed = 1 \pmod{\lambda(N)}$.

Note that usually $ed = 1 \pmod{\phi(N)}$

Encryption: $c = m^e \pmod{N}$

Decryption: $m = c^d \pmod{N}$

Franklin-Reiter Related Message Attack

$m_2 = f(m_1)$, f a known polynomial and c_1, c_2 are ciphertexts of m_1, m_2
 $x^e - c_1 \pmod{N}$ and $f(x)^e - c_2 \pmod{N}$ has m_1 as a root

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$$\gcd_{\frac{\mathbb{Z}}{N\mathbb{Z}}[x]}(x^e - c_1, f(x)^e - c_2) = x - m_1$$

Coppersmith's Short Pad Attack

$m_2 = m_1 + r_1$ for some pad r_1 , and c_1, c_2 are ciphertexts of m_1, m_2

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$$f(y) = \text{res}_x(x^e - c_1, (x + y)^e - c_2)$$

Find a small root of $f(y) \pmod{N}$ with coppersmith algorithm

Known approximation of factor

If $p_0 \approx p$, find 'small roots' of $p + x \pmod{N}$ with coppersmith algorithm

$$N = pq \quad p \approx r_p t, q \approx r_q t$$

$$t \approx \sqrt{\frac{N}{r_p r_q}} \implies N = (r_p t + x)(r_q t + y)$$

Approximately similar prime factors

Assume we have modulus $N_i = p_i q_i$ with p_i close to each other, construct a lattice with columns having 2 non-zero elements, $N_i, -N_j$ and the i th row lacking $\pm N_i$

Example:

$$\begin{pmatrix} N_2 & N_3 & 0 \\ -N_1 & 0 & N_3 \\ -N_1 & -N_2 & 0 \end{pmatrix}$$

Since $q_i N_j - q_j N_i = q_i q_j (p_i - p_j)$ is small, LLL is likely to find such a vector and we can take GCD

Wiener attack

If d is small, we can compute d by simple algebraic means:

$$ed - 1 = k\phi(N) \implies \frac{e}{\phi(N)} - \frac{k}{d} = \frac{1}{d\phi(N)}$$

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$$\frac{e}{N} \approx \frac{k}{d}$$

Note that for $d < N^{\frac{1}{4}}$, $\frac{k}{d}$ is in the convergents of $\frac{e}{N}$'s continued fractions

Boneh-Durfee attack

$$ed = 1 + x(p-1)(q-1) = 1 + x(N-y) \equiv 0 \pmod{e}$$

$$d < O\left(N^{\frac{7-2\sqrt{7}}{6}} \approx 0.284\right)$$

Removing certain 'bad vectors':

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$$d < O\left(N^{\frac{1}{2}}\right)?$$

Weak NTRU keys

$$f, g \in \frac{\mathbb{Z}[x]}{x^{N-1}-1}, \text{ coefficients of } f, g \text{ are } -1, 0, 1. \quad f_p f = 1 \pmod{p} \text{ and } h = pf_p g \pmod{q}$$

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$$L = \begin{pmatrix} \lambda I_N & 0 \\ H & qI_n \end{pmatrix}$$

where H is circulant matrix with first column being coefficients of $f_p g \pmod{q}$

$L \begin{pmatrix} f' \\ kq \end{pmatrix} = \begin{pmatrix} \lambda f' \\ g' \end{pmatrix}$ is hopefully short for some k . $pg' = f'h \pmod{q}$ breaks NTRU

ROCA attack

Primes of the form $p = kM + (e^a \pmod{M})$ with M being some primorial and $e = 65537$ was used, keys using these can be factored with coppersmith, hence the name the Return Of Coppersmith Attack

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$$N = (kM + e^a \pmod{M})(lM + e^b \pmod{M}) \equiv e^{a+b} \pmod{M}$$

By bruteforcing a in a certain way, we can construct the polynomial $xM + (65537^a \pmod{M})$ and find small roots



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