

# 30 Years of Post-disturbance Recruitment in a Neotropical Forest

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## Abstract

The role of tree diversity for tropical forests functioning and services makes it crucial tree diversity and composition fate in the global changing context. Community long-term response to disturbance rely on tree recruitment, long seen as following deterministic successional pathways. These pathways however might be altered in the hyper-diverse tropical forests and of slight but recurrent disturbances induced by global changes. Post-disturbance recruitment trajectories would (i) disentangle the determinants of tree recruitment between stochastic and deterministic processes that enhance a restricted pool of species, and (ii) elucidate tropical forests taxonomic and functional resilience. We examined the trajectories over 30 years of recruited trees taxonomic and functional diversity in 75 ha of forest following a disturbance gradient. We analyzed taxonomic richness, evenness, and turnover, and functional diversity and composition (regarding 7 leaf, stem and life-history functional traits). We highlighted a three-phased successional pathway defined by the interplay of stochastic and deterministic recruitment processes. The succession translated into (i) saplings growth mirroring pre-disturbance communities, (ii) light-demanding species enhanced recruitment entailing, above a disturbance intensity threshold, the dominance of pioneers and (iii) the recovery of pre-disturbance taxonomic and functional characteristics and of stochastic recruitment processes. Although tangible, community taxonomic and functional resilience was decades-long. Post-disturbance recruitment relied on deterministic competition processes for light balancing the stochastic processes ruling undisturbed communities. Although resilient, recruitment taxonomic and functional characteristics remained altered in the long-term, calling caution for forest management.

## Keywords

Disturbance Dynamics, Neotropical Forests, Recruitment, Resilience, Taxonomic and Functional Diversity, Tree Community

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## 1. Introduction

Determining the response of tropical forests to disturbance is key to predict their fate in the global changing context. In the last decades, tropical forests experienced a wide range of disturbance, from radical land-use changes for agriculture or mining (Dezécache *et al.*, 2017a,b) to more insidious changes following climatic changes or anthropogenic activities like selective logging (Baraloto *et al.*, 2012; Aubry-Kientz *et al.*, 2015). In that respect a vast literature successfully modeled community response to disturbance in terms of tree growth, tree height and fluxes of carbon, water and nutrients (Gourlet-Fleury & Houllier, 2000; Putz *et al.*, 2012; Piponiot *et al.*, 2016; Rutishauser *et al.*, 2016). Regarding tree community diversity and composition, the ecological theory of succession assumes that disturbance initiates a suit of deterministic recruitment processes. The different processes depend on the variability of species resource use and competitive abilities (Clements, 1916; Meiners *et al.*, 2015), and their succession shape predictable trajectories corresponding to a gradual recovery of the pre-disturbance communities (Chesson, 2000; Rees *et al.*, 2001; Adler *et al.*, 2007). Specifically in forest ecosys-

tem, the successional framework comprises first the recruitment of saplings benefiting from the available resources and the low competition. Then, stand maturation implies the progressive exclusion of low-competitive species until the senescence of early-successional species and the emergence of late-successional, which restore the pre-disturbance state (Denslow & Guzman, 2000). Empirical evidence, however, show that post-disturbance trajectories often deviate from the predicted successional pattern and result from an interplay of deterministic processes with stochastic ones. Post-disturbance trajectories would also depend on random processes, like dispersal limitations, and different equilibrium state may be restored after disturbance (Hubbell, 2001; Chave, 2004; Norden *et al.*, 2015). The issue to tackle is then to determine the balance between deterministic and stochastic processes. This balance remains specifically debated in the highly diverse tropical forests, where several studies highlighted predictable and homogeneous successional patterns restoring pre-disturbance communities (Norden *et al.*, 2009; Letcher *et al.*, 2015), while other showed diverging trajectories following disturbance and different equilibrium state (Longworth *et al.*, 2014; Norden *et al.*, 2015).

Recruitment processes impact both community taxonomic characteristics (that refer to neutral species assemblages) and functional characteristics (that account for species ecology and functioning) (MacArthur & Levins, 1967; Violle *et al.*, 2007; Kunstler *et al.*, 2016). A joint analysis of community taxonomic and functional characteristics would then highlight the successive processes underlying post-disturbance trajectories (Fukami *et al.*, 2005; Chalmardrier *et al.*, 2015; Cequinel *et al.*, 2018). Stochastic processes would correspond to a random recruitment of species independent of their functional characteristics, and would result in diverging trajectories among communities. Deterministic processes to the contrary would translate into predictable recruitment trajectories driven by a succession of processes based on species competitive ability (Rees *et al.*, 2001; Perronne *et al.*, 2017). As light is the limiting resource in tropical forests, deterministic trajectories would correspond to a functional gradient from fast-growing species with “acquisitive” resource use to slow-growing, long-lived species with “conservative” resource use (Denslow, 1980; Molino & Sabatier, 2001; Bongers *et al.*, 2009).

Key leaf, wood and life-history functional traits assessing species resources acquisition strategy and ecology would then grasp the competition processes at stake (Wright *et al.*, 2004; Chave *et al.*, 2009; Hérault *et al.*, 2011). Post-disturbance recruitment processes besides determine community recovery and the restoration of pre-disturbance community (Clements, 1916; Diamond, 1975).

In this paper we followed recruitment trajectories over 30 years of 75 ha of Neotropical forest plots set up on a gradient of disturbance intensity, from 10 to 60% of forest biomass removed. We examined the recruited trees (i) taxonomic composition, richness and evenness, (ii) taxonomic turnover compared to pre-disturbance community, and (iii) functional composition and diversity based on seven ma-

jor leaf, stem and life-history traits. We compared the recruitment trajectories to neutral models corresponding to a stochastic recruitment and a randomization of species functional traits. Specifically, we (i) elucidated the successional pathway shaping community response to disturbance and the underlying ecological processes and (ii) clarified the extent of community taxonomic and functional resilience, in the sense of pre-disturbance characteristics recovery, and its consequences for tropical forest management.

## 2. Material and Methods

### 2.1 Study Site

The Paracou station is located in a lowland tropical rain-forest in French Guiana (518°N and 5253°W). Climate is tropical wet with mean annual precipitation averaging 2980 mm.yr<sup>-1</sup> (30-yr period) and a 3-months dry season (< 100 mm.mo<sup>-1</sup>) from mid-August to mid-November, and a one-month dry season in March (Wagner *et al.*, 2011). Elevation ranges from 5 to 50 m and mean annual temperature is 26°C. Soils are ferralitic acrisols over a layer of transformed saprolite. The ferralitic surface is thin with a low permeability generating a lateral drainage in the whole area, except in the highest hilltops where the thick surface allows a free vertical drainage (Gourlet-Fleury *et al.*, 2004).

The experiment is a network of twelve 6.25 ha plots (Table 1) that underwent three disturbance treatments in 1987 according to a randomized plot design with three replicate blocks of four plots (Hérault & Pioniot, 2018).

The experiment comprised three control plots (hereafter T0), 3 plots with selective logging (T1), 3 plots with selective logging and thinning (T2) and 3 plots with selective logging, thinning and fuelwood harvesting (T3). Plots T1, T2 and T3 underwent a selective logging with an average of 10 trees/ha of commercial species logged with 50 cm minimum DBH. Plots T2 and T3 besides underwent a thinning treatment through poison-girdling of non-commercial species with an average 30 trees/ha with 40 cm minimum DBH (T2), and an average 20 trees /ha with 50 cm minimum DBH (T3). Plots T3 eventually underwent the logging of 15 trees/ha with a 40 cm minimum DBH to simulate additional cutting for fuelwood. Considering the silvicultural treatments and the corresponding exploitation damage, the three treatments corresponded to gradients of above-ground biomass (AGB) removed and surface disturbed. The experiment then corresponded to a gradient of disturbance intensity (Blanc *et al.*, 2009; Pioniot *et al.*, 2016; ?).

### 2.2 Inventories Protocol and Dataset Collection

Dominant families in the study site are Fabaceae, Chrysobalanaceae, Lecythidaceae and Sapotaceae. All trees above 10 cm DBH were mapped and measured annually since 1984. Trees are first identified with a vernacular name assigned by the forest worker team, and afterward with a scientific name assigned by botanists during regular botanical campaigns. Botanical campaigns have been carried out every five to six years from 2003 onwards but identification levels varied between campaigns.

These variability of protocols in time raised methodological issues as vernacular names usually correspond to differ-

**Table 1.** Intervention table, summary of the disturbance intensity for the 4 plot treatments in Paracou.

Treatment	Timber	Thinning	Fuelwood	%AGB lost
Control	-	-	-	0
T1	DBH $\geq$ 50 cm, commercial species, $\approx 10 \text{ trees.ha}^{-1}$	-	-	[12 – 33]
T2	DBH $\geq$ 50 cm, commercial species, $\approx 10 \text{ trees.ha}^{-1}$	DBH $\geq$ 40 cm, non-valuable species, $\approx 30 \text{ trees.ha}^{-1}$	-	[33 – 56]
T3	DBH $\geq$ 50 cm, commercial species, $\approx 10 \text{ trees.ha}^{-1}$	DBH $\geq$ 50 cm, non-valuable species, $\approx 15 \text{ trees.ha}^{-1}$	40 cm $\leq$ DBH $\leq$ 50 cm, non-valuable species, $\approx 15$ $\text{trees.ha}^{-1}$	[35 – 56]

ent botanical species. It resulted in significant taxonomic uncertainties that had to be propagated to composition and diversity metrics. The uncertainty propagation was done through a Bayesian framework reconstituting complete inventories at genus level from real incomplete ones on the basis of vernacular/botanical names association. Vernacular names were replaced through multinomial trials based on the association probability  $[\alpha_1, \alpha_2, \dots, \alpha_N]$  observed across all inventories between each vernacular name  $v$  and the species  $[s_1, s_2, \dots, s_N]$ :

$$M_v([s_1, s_2, \dots, s_N], [\alpha_1, \alpha_2, \dots, \alpha_N])$$

See appendix 1 and Aubry-Kientz *et al.* (2013) for the detailed methodology.

To minimize the remaining identification uncertainties, the simulated botanical inventories were reported at genus level.

Six functional traits representing the leaf economics (leaves thickness, toughness, total chlorophyll content and specific leaf area) and stem economics spectra (wood specific gravity and bark thickness), and life-history traits (maximum specific height and seed mass) were considered. Traits were extracted from the BRIDGE project (<http://www.ecofog.gf/Bridge/>) where trait values were assessed from a selection of individuals located in nine permanent plots in French Guiana, including two in Paracou, and comprised 294 species pertaining to 157 genera. Missing trait values (10%) were filled by multivariate imputation by chained equation (van Buuren & Groothuis-Oudshoorn, 2011). Imputations were restricted within genus or family when samples were too scarce, in order to account for the phylogenetic signal.

As seed mass information was classified into classes, no data filling process was applied and analyses were restricted to the 414 botanical species recorded.

All composition and diversity metrics were obtained after 50 iterations of the uncertainty propagation framework.

### 2.3 Recruitment trajectories

Communities were split into surviving trees of pre-disturbance communities and trees recruited afterward. Recruitment trajectories starting from the first year after disturbance considered trees recruited by 2-years intervals (*i.e.* for the date Y, the trees that were under 10 cm DBH at Y-1 but above 10 cm DBH at Y).

Taxonomic diversity trajectories were assessed through species richness and evenness (the Hill number translation of the Simpson index) (Chao & Jost, 2015; Marcon, 2015). The two diversities belong to the set of HCDT or generalized entropy, respectively corresponding to the zero and two order of diversity ( $q$ ), which grasps the balance between richness and evenness in the community through the value of  $q$  that emphasizes common species.

Functional diversity trajectories were assessed through the Rao index of quadratic entropy, which combines species abundance distribution and average pairwise dissimilarity based on all functional traits. Functional composition trajectories were assessed through the functional traits community weighted means (CWM), representing the average trait value in a community weighted by species relative abundance based on the number of stem (Díaz *et al.*, 2007). Seed mass trajectories were reported by the proportion of each class recorded in the inventories.

The taxonomic similarity between recruited trees and pre-disturbance forest was measured with the relativized abundance replacement measuring species turnover between communities (Podani *et al.*, 2013)

$$T_{ab} = \frac{\sum_{i=1}^n |x_i^a - x_i^b| - \left| \sum_{i=1}^n x_i^a - \sum_{i=1}^n x_i^b \right|}{\sum_{i=1}^n \max(x_i^a, x_i^b)} \quad (1)$$

. With Tab the turnover between communities a and b, n the total number of species in the two communities and  $x_{ij}$  the abundance of species I in community j.

The observed recruitment trajectories were compared to null trajectories obtained from taxonomic and functional null models. The taxonomic null models were built for each

plot and for each year independently as random samplings of the recruited trees within the corresponding living communities. The functional null models were a reassignment of species trait values among species, which randomized traits abundances in the communities but maintained the abundance distribution (Mason *et al.*, 2013). Null trajectories were similarly obtained after 50 iterations of the random sampling the models

### 3. Results

#### 3.1 Taxonomic richness and evenness and functional diversity

In undisturbed communities the recruitment taxonomic richness and evenness remained stable over the 30 years and with values equivalent to those of the taxonomic null model (Figure (1)).

In disturbed communities the taxonomic richness followed hump-shaped trajectories first increasing until a maximum reached after around 15 years and positively correlated to the disturbance intensity ( $\rho_{\text{spearman}}^{\text{Richness}} = 0.93$ ). Afterward the taxonomic richness decreased and recovered the pre-disturbance values after 30 years. The observed taxonomic richness was increasingly lower than this of null model for 15 years, then the difference started to shrink but the observed richness remained negative until after 30 years. The taxonomic evenness decreased independently of the disturbance intensity over the 30 years ( $\rho_{\text{spearman}}^{\text{simpson}} = -0.35$ ). The observed taxonomic evenness was increasingly lower than this of the null model until 15 years after disturbance, when the difference stabilized.

The functional diversity in the undisturbed plots remained stable and equivalent to this of the functional null model over the 30 years. In the lowest disturbance plots the functional diversity remained stable or slightly increasing, and was higher than this of the null model for two of the T1 plots. In the disturbed plots of higher disturbance intensity (T2 and T3) the functional diversity decreased until 15 years after disturbance, when it started to recover towards initial values. The observed functional diversity remained lower than this of the null model over the 30 years.

#### 3.2 Functional composition

In undisturbed plots functional traits values remained stable over the 30 years while it followed hump-shaped trajectories in all disturbed plots, to the exception of the leaf chlorophyll content. Trajectories of SLA and bark thickness first increased before decreasing towards initial values. Conversely, trajectories of leaf thickness, leaf toughness, wood specific gravity, and maximum height first decreased and then started returning towards initial values but their recovery remained unachieved after 30 years (Figure 2).

#### 3.3 Recruitment Turnover

Over the 30 years in control plots the turnover of recruited species compared to initial community remained low (Figure 3). In disturbed plots the recruited species turnover followed a marked hump-shaped trajectory, with a maximum reached around 15 years after disturbance. The maximum

turnover was positively correlated to the disturbance intensity ( $\rho_{\text{spearman}} = 0.93$ ). Thirty years after disturbance the turnover had returned to low values.

## 4. Discussion

### 4.1 A three-phased deterministic successional pathway

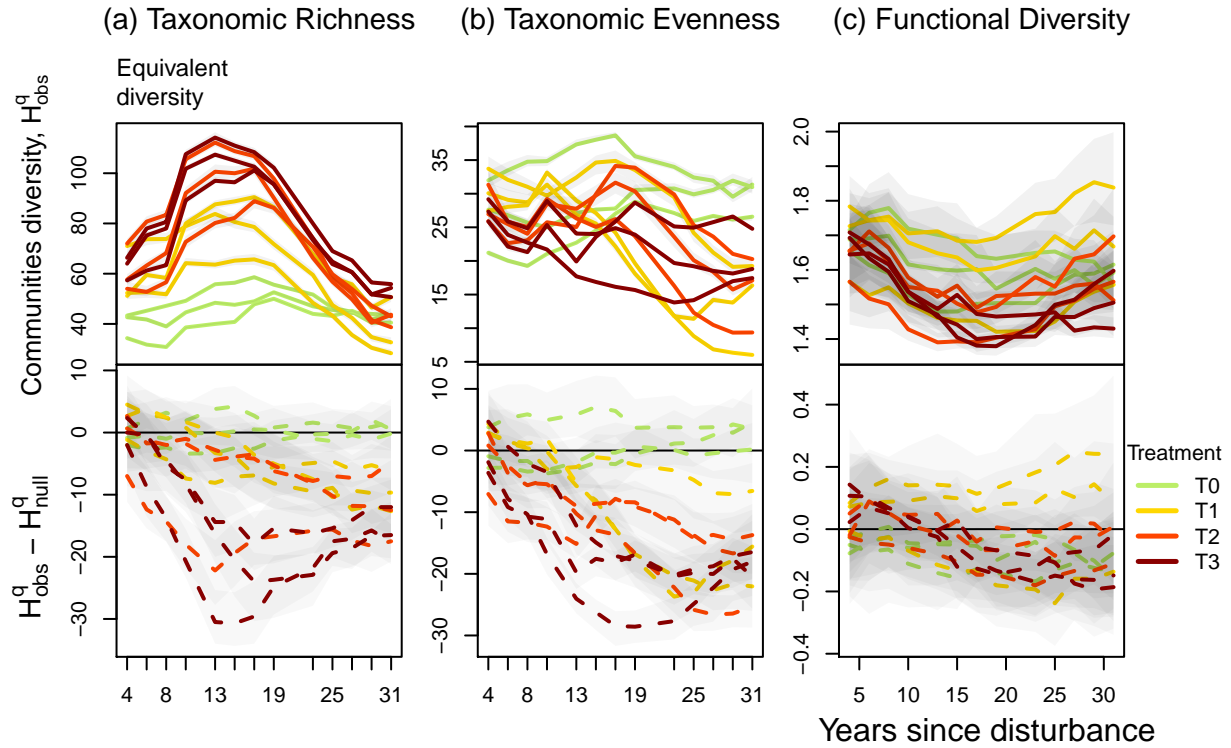
Post-disturbance recruitment trajectories relied on a three-phased successional pathway defined by the emergence of deterministic competition processes for light gradually balancing the stochastic recruitment specific to undisturbed communities.

A first phase (0-8 years) was marked with a very low taxonomic turnover between recruited trees and initial communities. At the same time, the match of observed and null trajectories showed the taxonomic and functional similarity of recruited trees with the pre-disturbance communities, suggesting that recruitment processes then matched the null stochastic recruitment model. The first phase might then correspond to the recruitment of pre-disturbance surviving saplings (DBH < 10 cm), grown and almost settled before disturbance and that were the first to benefit from the increased enlighten and alleviated competition induced by disturbance (Denslow & Guzman, 2000; Herault *et al.*, 2010).

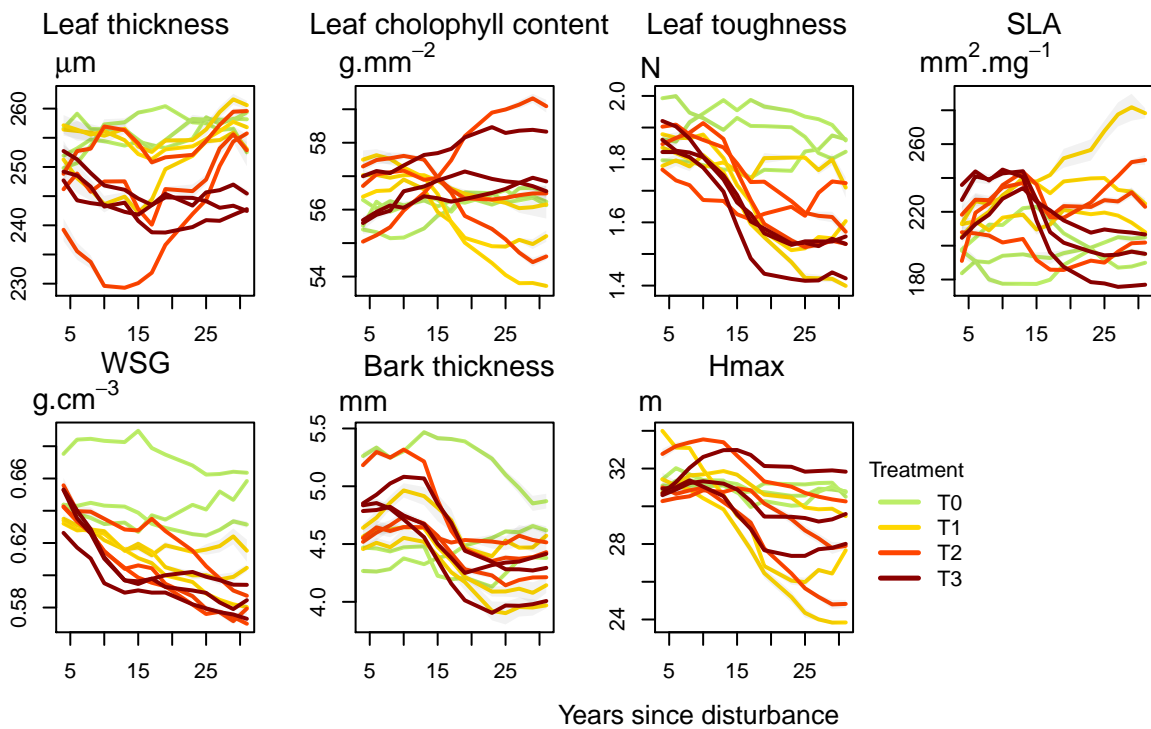
A second phase (8-15 years) was marked by a shift in community functional composition towards more “acquisitive” functional strategies and the dominance of a restricted set of species. The recruitment then involved true recruits, *i.e.* trees germinating from the seeds bank, representing the main part of the post-disturbance recruitment (Lawton & Putz, 1988). The recruitment was dominated by short-lived, fast growing hard pioneers displaying efficient light acquisition, characterized by low maximum height, leaf toughness and wood specific gravity and by high SLA (Wright *et al.*, 2004; Chave *et al.*, 2009; Hérault *et al.*, 2011). As already demonstrated in temperate forests, the pool of recruited species would be restricted by trait-based deterministic processes favoring species with efficient light acquisition (high SLA and leaf chlorophyll content) and inexpensive, short-lived tissues (low leaf thickness and toughness, small Hmax and low wood specific gravity and bark thickness) (Chave, 2004; Kunstler *et al.*, 2016). This emergence of trait-based deterministic processes balanced the stochastic recruitment observed in the first place, and the relative importance of both processes was determined by the disturbance intensity. After low intensity disturbance (T1 plots) recruited species still mirrored pre-disturbance taxonomic composition, but included more long-lived pioneers and light-demanding species (Bongers *et al.*, 2009). For intense disturbance in contrast (T2 and T3 plots), the composition of recruited trees rapidly differed from pre-disturbance community and with the high dominance of hard pioneers, such as *Cecropia spp.* or *Vismia spp.*, likely entailing significant changes in communities functioning (Díaz *et al.*, 2005).

A third recruitment phase (15-30 years) corresponded to the recovery of pre-disturbance taxonomic and functional characteristics. Although the recruits remained mainly light-

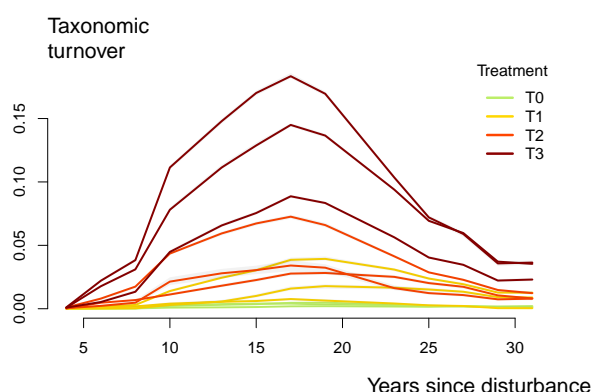




**Figure 1.** Upper panels, trajectories over 30 years of taxonomic richness (a), taxonomic evenness (b) and functional diversity (c) of observed 2-years laps recruitment  $H_{obs}^q$ . Lower panels, diversity differences to null models  $H_{obs}^q - H_{null}^q$ .



**Figure 2.** Community weighted means (CWM) of the leaf, the two stem and specific maximum height. Shaded areas are the credibility intervals.



**Figure 3.** Trajectories over 30 years of the abundance-based turnover between 2-years laps recruited trees pre-disturbance communities.

demanding species their functional diversity increased and they increasingly resembled the pre-disturbance taxonomic composition. The deterministic recruitment processes then gradually left room to stochastic recruitment processes specific to undisturbed forest (Lawton & Putz, 1988; Chave, 2004).

#### 4.2 The achievement of communities recovery

After disturbance the stochastic recruitment specific to undisturbed communities was progressively restored and drove community taxonomic and functional recovery. This confirmed previous results from the Paracou experiment, conducted 10 years (Molino & Sabatier, 2001) and 20 years (Baraloto *et al.*, 2012) after disturbance, where the early signs of the resilience of pre-disturbance taxonomic and functional composition recovery had been detected.

Recruitment taxonomic richness and evenness recovered pre-disturbance values and the taxonomic composition converged towards the pre-disturbance community, thus maintaining the initial differences among communities for all disturbance intensity. Community taxonomic convergence to the local pre-disturbance recruitment composition revealed the scarce recruitment of species that did not belong to pre-disturbance community, due to the commonness of dispersal limitation among tropical tree species (Svenning & Wright, 2005).

Functional composition and diversity trajectories converged similarly in the functional space towards the recovery of pre-disturbance values, suggesting a common and resilient functioning despite communities' taxonomic divergence (Fukami *et al.*, 2005).

Trait-based enhancement processes made deterministic the community functional response to disturbance but dispersal limitation and steady-state stochastic recruitment made community taxonomic response historically contingent. Although resilient, the functional and taxonomic composition of recruited trees remained altered 30 years after disturbance by the dominance of light-demanding species. This long-term impact specifically raises questions for the management of exploited forests, as most valuable species are late-successional and would thus require cutting cycles

of more than 30 years (Putz *et al.*, 2012).

## 5. Conclusion

The post-disturbance recruitment trajectories highlighted a three-phased deterministic successional pathway shaped by the emergence of niche processes enhancing light-acquisitive species and balancing the stochastic recruitment of undisturbed communities. The successional pathway first corresponded to the enhanced growth of pre-disturbance surviving saplings mirroring the taxonomic and functional characteristics of pre-disturbance communities. Second, recruitment trajectories were shaped by true recruits from the seeds bank selected through the emergence of competitive exclusion for light fostering pioneer species. Above a disturbance intensity threshold the second recruitment phase was dominated by short-lived hard pioneers that drastically changed community composition, diversity and likely functioning. A third phase eventually corresponded to the return towards pre-disturbance recruitment composition and taxonomic and functional diversity, through the recovery of stochastic recruitment processes specific to undisturbed communities. Besides, repeated disturbance might have increasingly strong impacts, as community recovery involved the seeds bank and probably altered the composition and diversity of the seeds stock (Norden *et al.*, 2009).

## 6. Acknowledgement

We are in debt with all technicians and colleagues who helped setting up the plots and collecting data over years. Without their precious work, this study would have not been possible and they may be warmly thanked here.

## 7. Data availability

This article is based upon the dataset of the Paracou station, which is part of the Guyafor permanent plot network in French Guiana (Cirad-CNRS-ONF). The dataset is available upon request to the scientific director (<https://paracou.cirad.fr>).

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