# Lecture 11: File System

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#### Recall: C Low level I/O

- Operations on File Descriptors as OS object representing the state of a file
  - User has a "handle" on the descriptor

```
#include <fcntl.h>
#include <unistd.h>
#include <sys/types.h>

int open (const char *filename, int flags [, mode_t mode])
int create (const char *filename, mode_t mode)
int close (int filedes)
```

#### Bit vector of:

- Access modes (Rd, Wr, ...)
- Open Flags (Create, ...)
- Operating modes (Appends, ...)

Bit vector of Permission Bits:

User|Group|Other X R|W|X

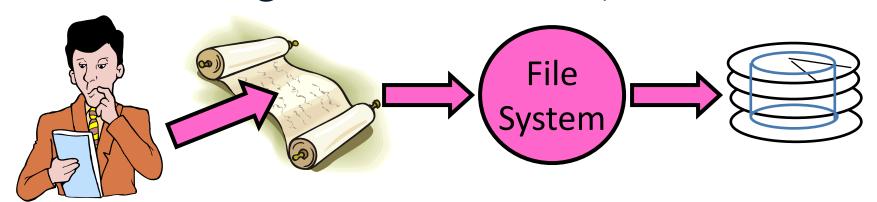
#### File System

- File System: Layer of OS that transforms block interface of disks (or other block devices) into Files, Directories, etc.
- File System Components
  - Naming: Interface to find files by name, not by blocks
  - Disk Management: collecting disk blocks into files
  - Protection: Layers to keep data secure
  - Reliability/Durability: Keeping of files durable despite crashes, media failures, attacks, etc.

#### User vs. System View of a File

- User's view:
  - Durable Data Structures
- System's view (system call interface):
  - Collection of Bytes (UNIX)
  - Doesn't matter to system what kind of data structures you want to store on disk!
- System's view (inside OS):
  - Collection of blocks (a block is a logical transfer unit, while a sector is the physical transfer unit)
  - Block size ≥ sector size; in UNIX, block size is 4KB

### Translating from User to System View

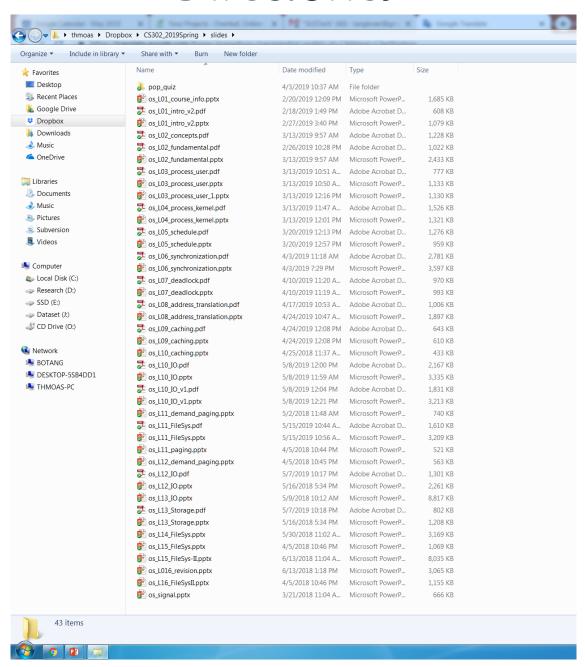


- What happens if user says: give me bytes 2—12?
  - Fetch block corresponding to those bytes
  - Return just the correct portion of the block
- ♦ What about: write bytes 2—12?
  - Fetch block
  - Modify portion
  - Write out Block
- Everything inside File System is in whole size blocks
  - $\bullet$  For example, getc(), putc()  $\Rightarrow$  buffers something like 4096 bytes, even if interface is one byte at a time
- From now on, file is a collection of blocks

#### Directory

- Basically a hierarchical structure
- Each directory entry is a collection of
  - Files
  - Directories
    - A link to another entries
- Each has a name and attributes
  - Files have data
- Links (hard links) make it a DAG, not just a tree
  - Softlinks (aliases) are another name for an entry

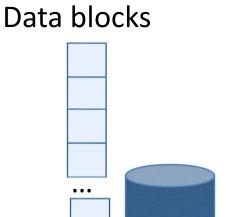
#### **Directories**



#### File

Named permanent storage

- Contains
  - Data
    - Blocks on disk somewhere
  - Metadata (Attributes)
    - Owner, size, last opened, ...
    - Access rights
      - ⋄ R, W, X
      - Owner, Group, Other (in Unix systems)
      - Access control list in Windows system



### Disk Management Policies (1/2)

- Basic entities on a disk:
  - File: user-visible group of blocks arranged sequentially in logical space
  - Directory: user-visible index mapping names to files
- Access disk as linear array of sectors.
  - Two Options:
    - Identify sectors as vectors [cylinder, surface, sector], sort in cylindermajor order, not used anymore
    - Logical Block Addressing (LBA): Every sector has integer address from zero up to max number of sectors
  - $\diamond$  Controller translates from address  $\Rightarrow$  physical position
    - First case: OS/BIOS must deal with bad sectors
    - Second case: hardware shields OS from structure of disk

### Disk Management Policies (2/2)

- Need way to track free disk blocks
  - $\diamond$  Link free blocks together  $\Rightarrow$  too slow today
  - Use bitmap to represent free space on disk
- Need way to structure files: File Header
  - Track which blocks belong at which offsets within the logical file structure
  - Optimize placement of files' disk blocks to match access and usage patterns

### File System

- Layout
  - contiguous allocation
  - linked allocation
  - inode allocation (next lecture)

Locate files easily.

Filename	Starting Address	Size
rock.mp3	100	1900
sweet.jpg	2001	1234
game.dat	5000	1000





Root **Directory Partition** 

rock.mp3

sweet.jpg

game.dat

**File deletion** is easy! Space de-allocation is the same as updating the root directory!

Yet, how about file creation?

Filename	Starting Address	Size	
rock.mp3	100	1900	
sweet.jpg	2001	1234	
game.dat	5000	1000	



Filename	Starting Address	Size
rock.mp3	100	1900
game.dat	5000	1000



Root Directory rock.mp3 sweet jpg game.dat

Partition

Really BAD! We have enough space, but there is no holes that I can satisfy the request. The name of the problem is called:

#### **External Fragmentation**

Filename	Starting Address		
rock.mp3	100	1900	
game.dat	5000	1000	
		•	ubuntu.iso
	Root Directory	rock.mp3	game.dat
P	artition		

#### **Defragmentation process** may help!

You know, this is very expensive as you're working on disks.

Filena	me	Start Addr		Size						
rock.m	р3	100		1900						
game.c	lat	2001	-	1000						
ubuntı	u	3001	-	9000		ul	buntu	.iso		
		oot	rock.ı	mp3	game.dat	mo	ove	game.dat	<b>-</b>	
	Partiti	on								

Filename	Starting Address	Size
rock.mp3	100	1900
game.dat	2001	1000
ubuntu	3001	9000

**Growth problem!** 



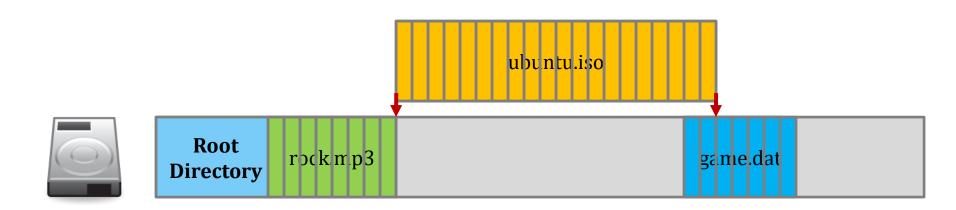
Root Directory	rock.mp3	game.dat	ubuntu.iso			
Partition						

### Contiguous allocation – application?

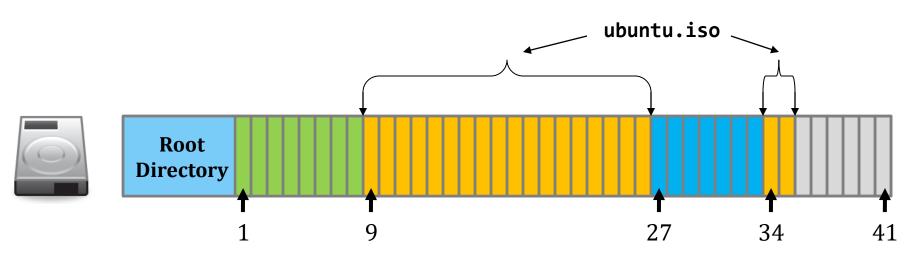
- ♦ ISO 9660
- CD-ROM
  - .iso image



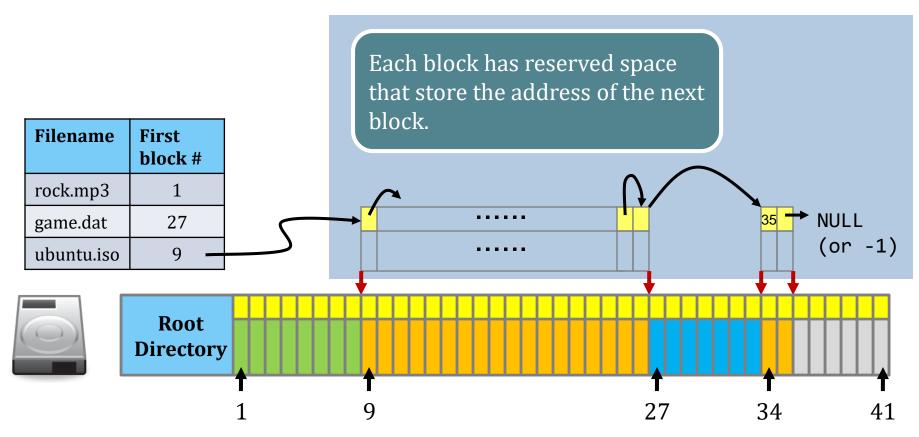
- Let's borrow the idea from <u>linked list</u>...
  - Step (1) Chop the storage device and data into equalsized blocks.



- Let's borrow the idea from the <u>linked list</u>...
  - Step (1) Chop the storage device into equal-sized blocks.
  - Step (2) Fill the empty space in a block-by-block manner.



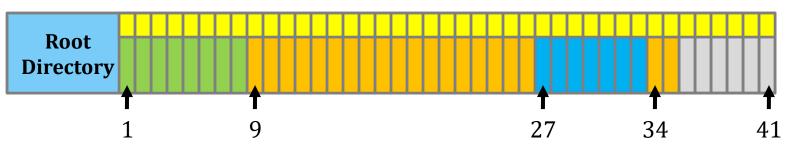
- Leave 4 bytes from each block as the "pointer"
  - To write the block # of the next block into the first 4 bytes of each block.



- Also keep the file size in the root directory table
  - ♦ To facilitate "ls –l" that lists the file size of each file
  - (otherwise needs to live counting how many blocks each file has)

Filename	First block #	Size
rock.mp3	1	1900
game.dat	27	1000
ubuntu.iso	9	9000





- So, how would you grade this file system?
  - External fragmentation?
  - File growth?

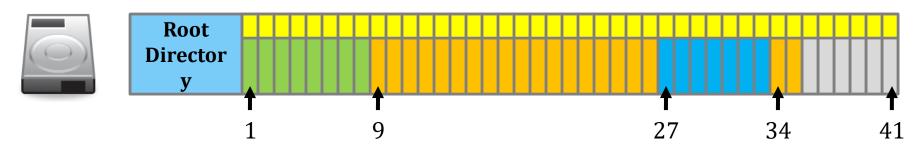
Filename	First block #	Size
rock.mp3	1	1900
game.dat	27	1000
ubuntu.iso	9	9000



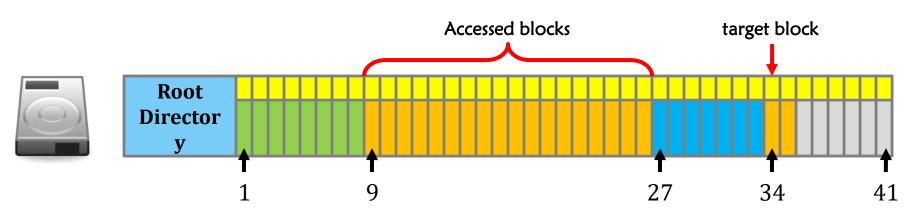


#### Internal Fragmentation.

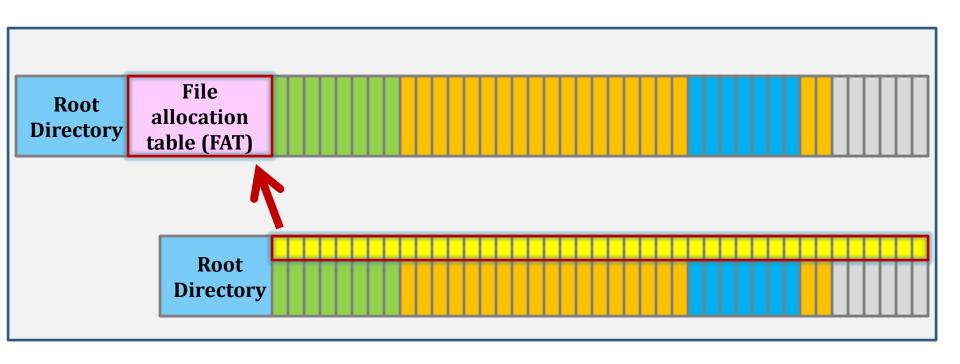
- A file is not always a multiple of the block size.
  - The last block of a file may not be <u>fully filled</u>.
  - E.g., a file of size 1 byte still occupies one block.
- The remaining space will be wasted since no other files can be allowed to fill such space.



- Poor random access performance.
  - What if I want to access the 2019-th block of ubuntu.iso?
  - You have to access blocks 1 2018 of ubuntu.iso until the 2019-th block



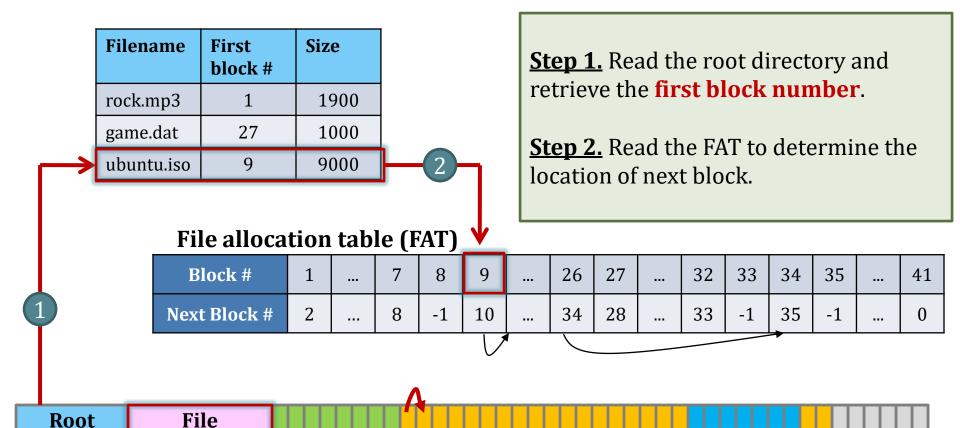
 Centralize all the block links as File Allocation Table



Task: read "ubuntu.iso" sequentially.

27

34



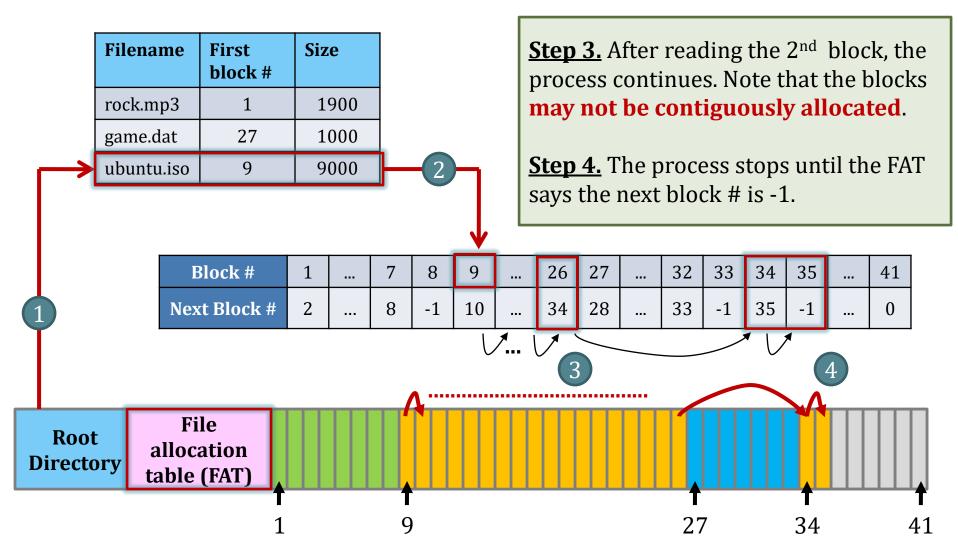
allocation

table (FAT)

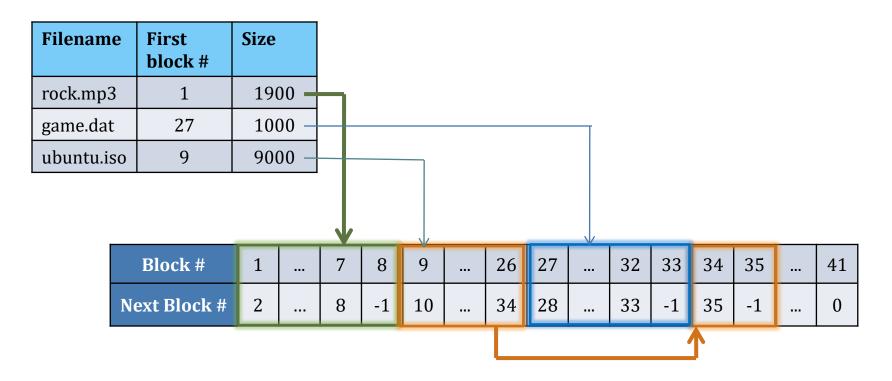
**Director** 

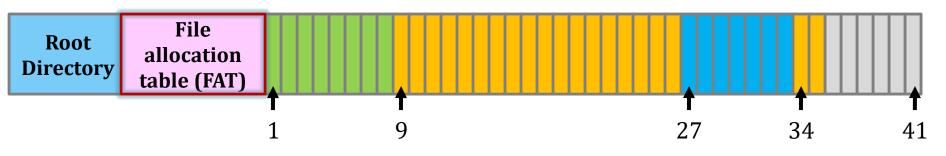
y

Task: read "ubuntu.iso" sequentially.

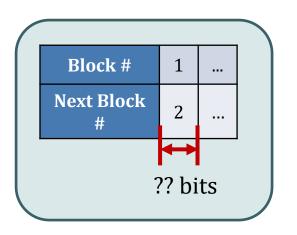


#### Resulting layout & file allocation.





- Start from floppy disk and DOS
- On DOS, a block is called as a 'cluster'
- ◆ E.g., FAT12
  - 12-bit cluster address
  - $\bullet$  Can point up to  $2^{12} = 4096$  blocks



	FAT12	FAT16	FAT32
Cluster address length	12 bits	16 bits	28 bits
Number of clusters	2 <sup>12</sup> (4,096)	2 <sup>16</sup> (65,536)	$2^{28}$





MS
reserves 4
bits
(but
nobody
eventually
used those)

#### Size of a block (cluster):

		A		ole bloc (bytes)	k sizes			
512	1K	2K	8K	16K	32K	64K	128K	256K

block size: 32KB block address: 28 bits

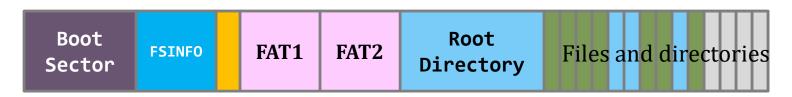
E.g.,

File system size.  $(32 \times 2^{10}) \times 2^{28} = 2^5 \times 2^{10} \times 2^{28} = 2^{43} (8 TB)$ 

\* but MS deliberately set its formatting tool to format it up to 32GB only to lure you to use NTFS  $\,$ 

## FAT series – layout overview

		Propose	Size
אַרט	Boot sector	FS-specific parameters	1 sector, 512 bytes
vezet ven	FSINFO	Free-space management	1 sector, 512 bytes
Sections	More reserved sectors	Optional	Variable, can be changed during formatting
	FAT (2 pieces)	1 copy as backup	Variable, depends on disk size and cluster size.
	Root directory	Start of the directory tree.	At least one cluster, depend on the number of directory entries.



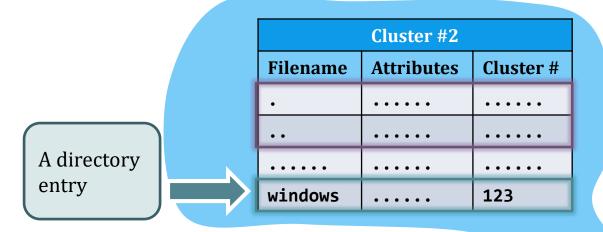
A FAT partition

## FAT series – directory traversal

**Step (1)** Read the directory file of the root directory starting from **Cluster #2**.

"C:\windows" starts from Cluster #123.

```
c:\> dir c:\windows
.....
06/13/2007 1,033,216 gamedata.dat
08/04/2004 69,120 notepad.exe
.....
c:\> _
```



Boot Sector

**FSINFO** 

FAT1

FAT2

Root Directory

Files and directories

### FAT series – directory traversal

Step (2) Read the directory file of the
"C:\windows" starting from Cluster #123.

Cluster #123							
Filename Attributes Cluster #							
	• • • • •	• • • • •					
••	• • • • •	• • • • •					
••••	• • • • •	• • • • •					
notepad.exe	••••	456					

Boot Sector	FAT1	FAT2	Root Directory	Files and directories
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#### FAT series – directory entry

- A 32-byte directory entry in a directory file
- A directory entry is describing a file (or a sub-directory) under a particular directory

Bytes	Description
0-0	1 <sup>st</sup> character of the filename (0x00 or 0xe5 means unallocated)
1-10	remaining characters of filename + extension.
11-11	File attributes (e.g., read only, hidden)
12-12	Reserved.
13-19	Creation and access time information.
20-21	High 2 bytes of the first cluster address (0 for FAT16 and FAT12).
22-25	Written time information.
26-27	Low 2 bytes of first cluster address.
28-31	File size.

Filename	Attributes	Cluster #
explorer.dat	• • • • •	32

		Х	р	1	0	r	e	r	7
8	е	Х	e	•••	•••	•••	•••	•••	15
16			•••	•••	00	00	•••	•••	23
24			20	00	00	C4	0F	00	31

**Note.** This is the 8+3 naming convention.

8 characters for name + 3 characters for file extension

### FAT series – directory entry

#### The 1<sup>st</sup> block address of that file

Bytes	Description
0-0	1 <sup>st</sup> character of the filename (0x00 or 0xe5 means unallocated)
1-10	7+3 characters of filename + extension.
11-11	File attributes (e.g., read only, hidden)
12-12	Reserved.
13-19	Creation and access time information.
20-21	High 2 bytes of the first cluster address (0 for FAT16 and FAT12).
22-25	Written time information.
26-27	Low 2 bytes of first cluster address.
28-31	File size.

Filename	Attributes	Cluster #
explorer.dat	• • • • •	32

0	e	Х	р	1	0	r	e	r	7
8	e	Х	e	•••	•••	•••	•••	•••	15
16	•••	•••	•••	•••	00	00	•••	•••	23
24		•••	20	00	00	C4	0F	00	31

### FAT series – directory entry

#### Directory entry is just a structure.

Bytes	Description
0-0	1 <sup>st</sup> character of the filename (0x00 or 0xe5 means unallocated)
1-10	7+3 characters of filename + extension.
11-11	File attributes (e.g., read only, hidden)
12-12	Reserved.
13-19	Creation and access time information.
20-21	High 2 bytes of the first cluster address (0 for FAT16 and FAT12).
22-25	Written time information.
26-27	Low 2 bytes of first cluster address.
28-31	File size.

Filename	Attributes	Cluster #
explorer.dat	• • • • •	32

0	e	Х	р	1	0	r	e	r	7
8	e	Х	e	•••	•••	•••	•••	•••	15
16	•••		•••	•••	00	00	•••	•••	23
24			20	00	00	C4	0F	00	31

#### So, what is the largest size of a FAT32 file?

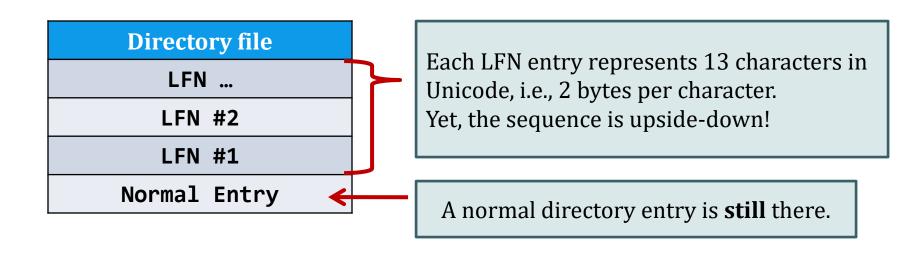
**4G - 1 bytes** 

Bounded by the file size attribute! **Why "- 1"?** 

- Imagine 3 bits: 000, 001, ..., 110, 111
- Largest number is  $111 = 2^3-1$
- i.e., we also need to represent "0 bytes"

## FAT series – LFN directory entry

- LFN: Long File Name.
  - In old days, Uncle Bill set the rule that every file should follow the 8+3 naming convention.
  - To support LFN
    - Abuse directory entries to store the file name!
    - Allow to use up to 20 entries for one LFN



## FAT series – LFN directory entry

## Normal directory entry vs LFN directory entry

Bytes	Description
0-0	1 <sup>st</sup> character of the filename (0x00 or 0xe5 means unallocated)
1-10	7+3 characters of filename + extension.
11-11	File attributes (e.g., read only, hidden)
12-12	Reserved.
13-19	Creation and access time information.
20-21	High 2 bytes of the first cluster address (0 for FAT16 and FAT12).
22-25	Written time information.
26-27	Low 2 bytes of first cluster address.
28-31	File size.

Bytes	Description
0-0	Sequence Number
1-10	File name characters (5 characters in Unicode)
11-11	File attributes - always 0x0F (to indicate it is a LFN)
12-12	Reserved.
13-13	Checksum
14-25	File name characters (6 characters in Unicode)
26-27	Reserved
28-31	File name characters (2 characters in Unicode)

## FAT series – LFN directory entry

Filename:

"I\_love\_the\_operating\_system\_course.txt".

Byte 11 is always 0x0F to indicate that is a LFN.

```
436d 005f 0063 006f 0075 000f 0040 7200
                                                    Cm. .c.o.u...@r.
LFN #3
         7300 6500 2e00 7400 7800 0000 7400 0000
                                                   s.e...t.x...t...
         0265 0072 0061 0074 0069 00<mark>0f 0040 6e00</mark>
                                                   .e.r.a.t.i...@n.
LFN #2
         6700 5f00 7300 7900 7300 0000 7400 6500
                                                    g._.s.y.s...t.e.
         0149 005f 006c 006f 0076 000f 0040 6500
                                                   .I. .1.o.v...@e.
LFN #1
         5f00 7400 6800 6500 5f00 0000 6f00 7000
                                                   _.t.h.e._...o.p.
                                                    I LOVE~1TXT
         495f 4c4f 5645 7e31 5458 5420 0064 b99e
Normal
         773d 773d 0000 b99e 773d 0000 0000 0000
                                                    W=W=....W=....
```

### FAT series – 1 directory entry can hold

This is the sequence number, and they are arranged in descending order.

The terminating directory entry has the sequence number OR-ed with 0x40.

```
Directory file

LFN #3: "m_cou" "rse.tx" "t"

LFN #2: "erati" "ng_sys" "te"

LFN #1: "I_lov" "e_the_" "op"

Normal Entry
```

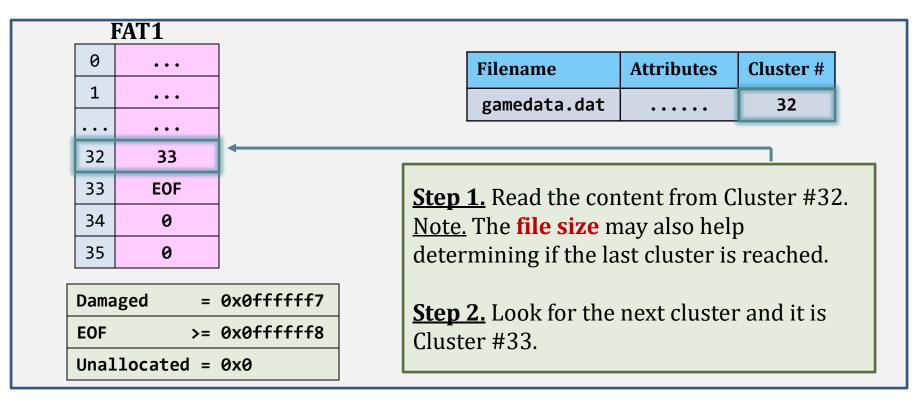
```
436d 005f 0063 006f 0075 000f 0040 7200
                                                   Cm. .c.o.u...@r.
LFN #3
         7300 6500 2e00 7400 7800 0000 7400 0000
                                                   s.e...t.x...t...
         0265 0072 0061 0074 0069 000f 0040 6e00
                                                  .e.r.a.t.i...@n.
LFN #2
         6700 5f00 7300 7900 7300 0000 7400 6500
                                                   g._.s.y.s...t.e.
         0149 005f 006c 006f 0076 000f 0040 6500
                                                   .I. .1.o.v...@e.
LFN #1
         5f00 7400 6800 6500 5f00 0000 6f00 7000
                                                   _.t.h.e._...o.p.
                                                   I_LOVE~1TXT .d..
         495f 4c4f 5645 7e31 5458 5420 0064 b99e
Normal
         773d 773d 0000 b99e 773d 0000 0000 0000
                                                   W=W=....W=....
```

# FAT series – directory entry: a short summary

- A directory is an extremely important part of a FAT-like file system.
  - It stores the start cluster number.
  - It stores the <u>file size</u>; without the file size, how can you know when you should stop reading a cluster?
  - It stores all file attributes.

## FAT series – reading a file

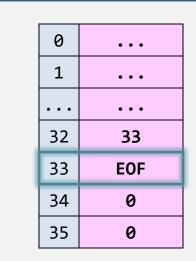
Task: read "C:\windows\gamedata.dat" sequentially.





### FAT series – reading a file

Task: read "C:\windows\gamedata.dat" sequentially.

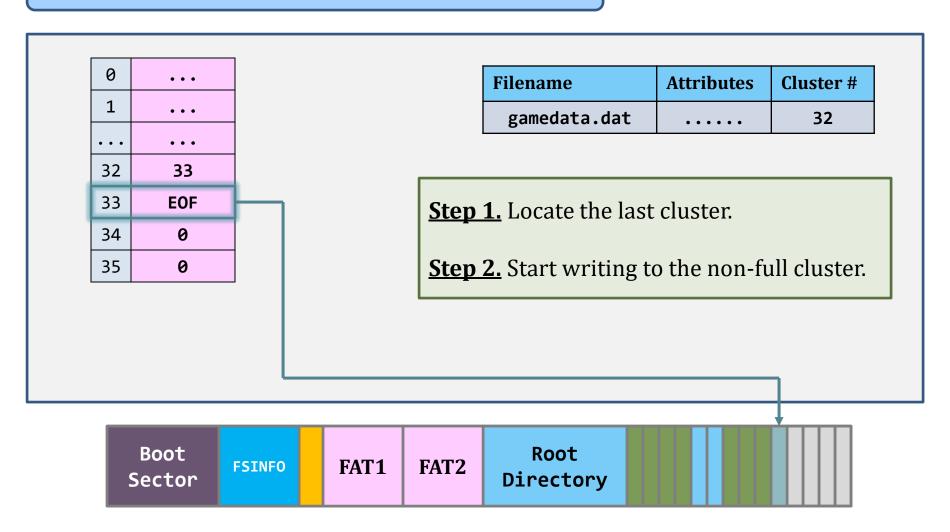


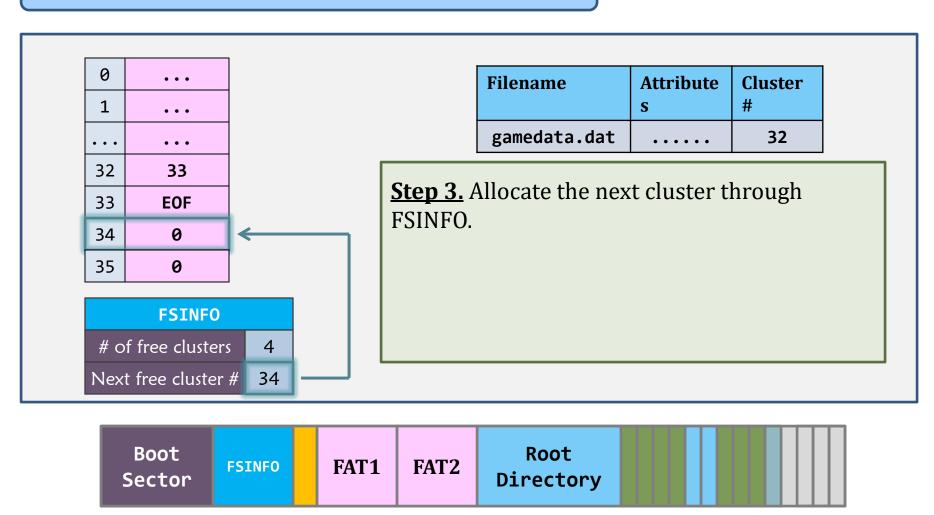
Filename	Attributes	Cluster #
gamedata.dat	• • • • •	32

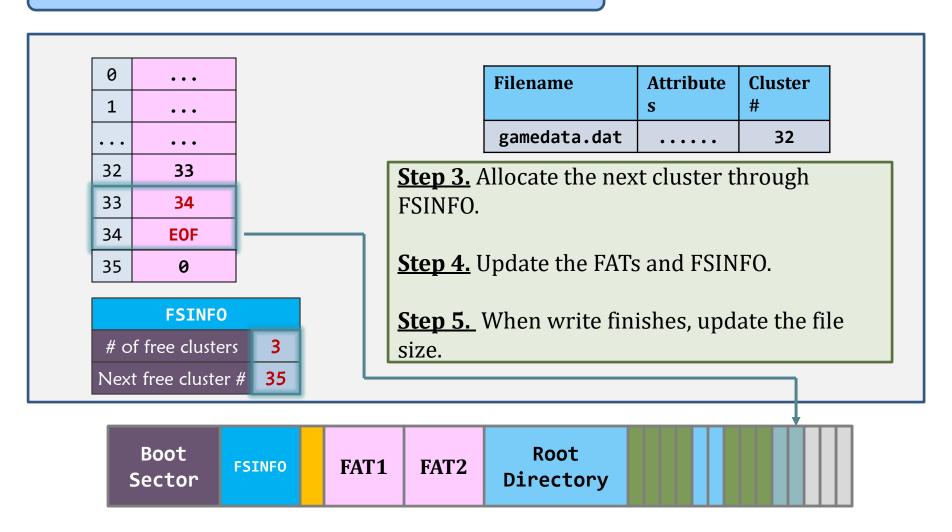
**Step 3.** Since the FAT has marked "EOF", we have reached the last cluster of that file.

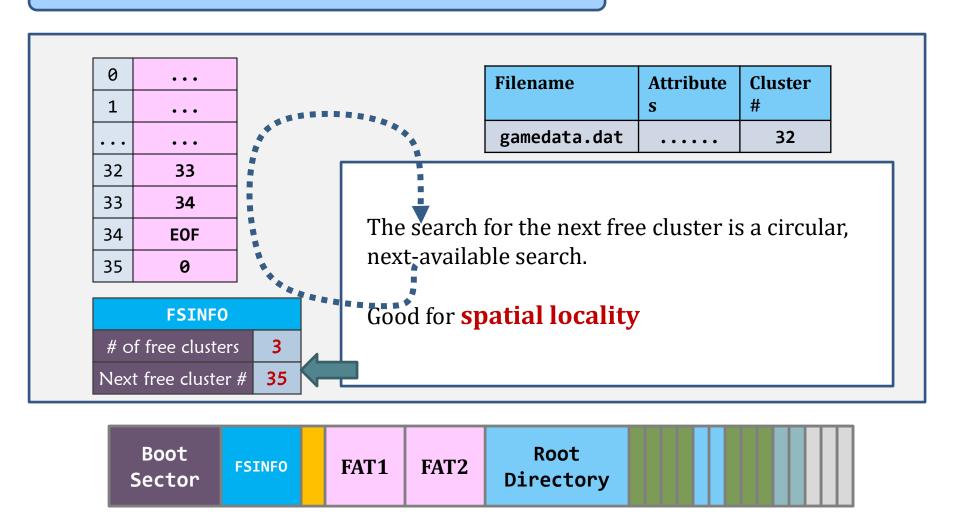
Note. The file size help determining **how many bytes to read** from the last cluster.





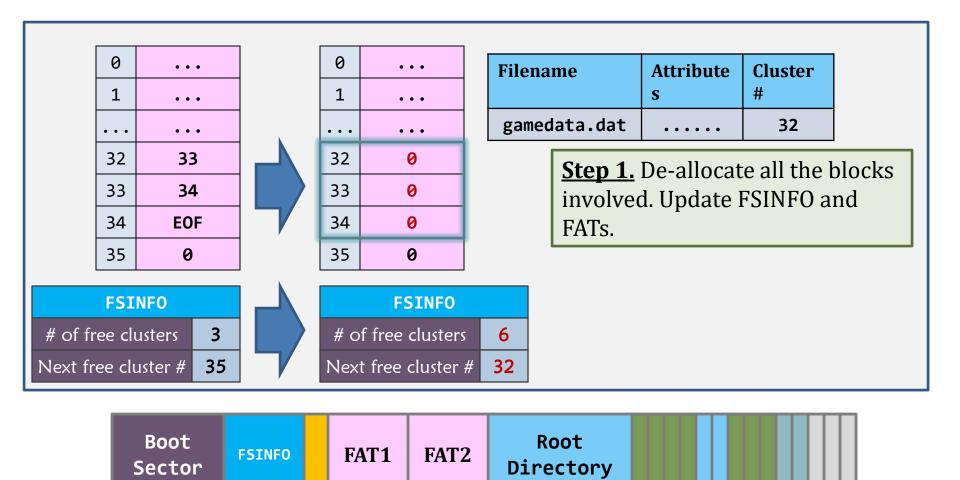






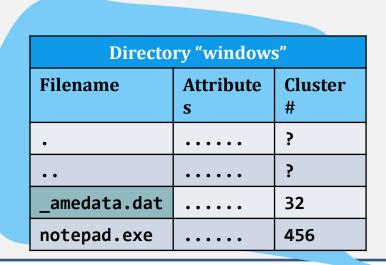
#### FAT series – delete a file

Task: delete "C:\windows\gamedata.dat".



#### FAT series – delete a file

Task: delete "C:\windows\gamedata.dat".



**Step 2.** Change the first byte of the directory entry to \_ (0xE5)

That's the end of deletion!

Boot Sector FSINFO FAT1 FAT2 Root Directory

### FAT series – really delete a file?

- Can you see that: the file is not really removed from the FS layout?
  - Perform a search in all the free space. Then, you will find all deleted file contents.

- "Deleted data" persists until the de-allocated clusters are reused.
  - This is an issue between performance (during deletion) and security.

Any way(s) to delete a file securely?

### FAT series – how to recover a deleted file?

- If you really care about the deleted file, then...
  - **PULL THE POWER PLUG AT ONCE!**
  - Pulling the power plug stops the target clusters from being over-written.

File size is within one block (cluster)	Because <b>the first cluster address</b> in the direct is still readable, the recovery is having a very high successful rate.
File size spans more than 1 block	Because of the next-available search, clusters of a file are likely to be contiguous allocated. This provides a hint in looking for deleted blocks.
	Can you devise an undelete algorithm for FAT32?

#### FAT series – conclusion

- Space efficient:
  - 4 bytes overhead (FAT entry) per data cluster.
- Delete:
  - Lazy delete efficient
  - Insecure
    - designed for single-user 20+ years ago
- Deployment: (FAT32 and FAT12)
  - It is everywhere: CF cards, SD cards, USB drives
- Search:
  - Block addresses of a file may scatter discontinuously
  - To locate the 888-th block of a file?
    - Start from the first FAT entry and follow 888 pointers
  - The most commonly used filesystem in the world

### Designing a File System ...

- What factors are critical to the design choices?
- Durable data store => it's all on disk
- (Hard) Disks Performance !!!
  - Maximize sequential access, minimize seeks
- Open before Read/Write
  - Can perform protection checks and look up where the actual file resource are, in advance
- Size is determined as they are used !!!
  - Can write (or read zeros) to expand the file
  - Start small and grow, need to make room
- Organized into directories
  - What data structure (on disk) for that?
- Need to allocate / free blocks
  - Such that access remains efficient

### Summary

#### File System:

- Transforms blocks into Files and Directories
- Optimize for access and usage patterns
- Maximize sequential access, allow efficient random access

#### File Allocation Table (FAT) Scheme

- Linked-list approach
- Very widely used: Cameras, USB drives, SD cards
- Simple to implement, but poor performance and no security

# Thank You!