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VL Nichtprozedurale Programmierung

# Funktionale Programmierung

**Andreas Naderlinger**

Fachbereich Computerwissenschaften

Software Systems Center

Universität Salzburg

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# Klausurtermin

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## Racket

(+ 3 5)

(fn arg arg ...)

Parenthesis are never optional

They almost always mean: call function fn with those arguments

## Java

```
public class MyFirstProgram {  
    public static void main(String[] args) {  
  
        int x = 3+5;  
        System.out.println(x);    //we could have evaluated 3+5 here directly  
  
    }  
}
```

3 + 5	(+ 3 5)
sin(27)	(sin 27)
f(8,2)	(f 8 2)
10!	(fact 10)
$\sqrt{4}$	(sqrt 4)

# The elements of programming

- How to combine simple ideas to form more complex ones?
- 3 mechanisms:
  - Primitive expressions  
e.g. 5 (number), "hello" (string), 'aSymbol (symbol), + (procedure)
  - Means for combination  
e.g. (+ 5 3)                      (...) procedure application
  - Means for abstraction  
e.g. (define pi 3.14159)  
      (define radius 10)  
      (define circumference (\* 2 pi radius))

# Evaluation

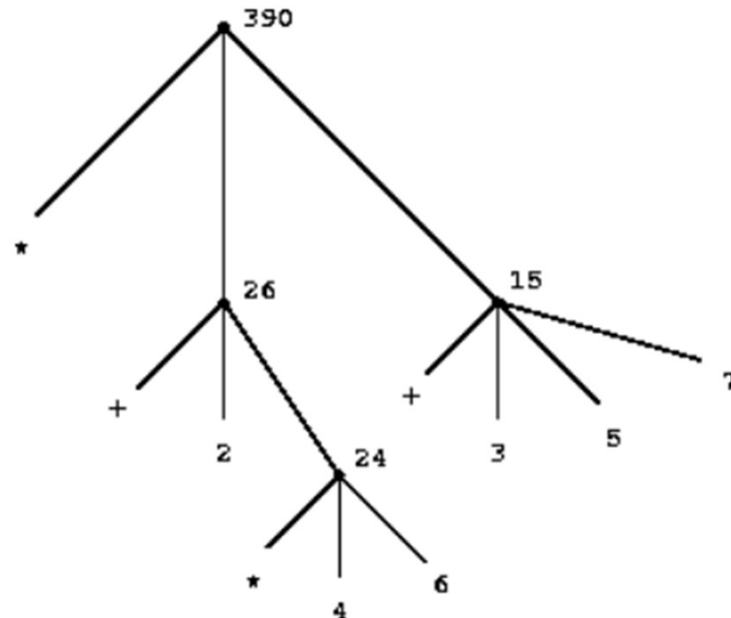
- (global) environment: keeps track of name-object pairs
- To evaluate a **combination**
  1. Evaluate the subexpressions of the combination
  2. Apply the procedure (leftmost subexpression i.e. operator) to the values of the other subexpressions (operands)

→ Recursive.

→ tree accumulation:

$(* (+ 2 (* 4 6))$   
 $(+ 3 5 7))$

4 combinations



- To evaluate **primitive expressions**
  - The values of numerals are the numbers that they name
  - The values of other names are the objects associated with those names in the environment
    - the values of built-in operators are the machine instruction sequences that carry out the corresponding operations
- Lisp has very simple syntax
  - A few **special forms**:
    - e.g. define
    - (define x 3) does not apply *define* to two arguments. → define is not a combination.

# Compound Procedures

Demo1b

- A more powerful abstraction technique:  
(define (square x) (\* x x))

General form of a procedure definition:

**(define (<name> <formal parameters>) <body>)**

Evaluating the definition creates a compound procedure and associates it with a name (e.g. square).


# Compound Procedures


Note: The body can be a sequence of expressions:

→ Evaluate each expression in sequence. Return the value of the final expression as the value of the procedure application

e.g. `(define (square x) (+ x 1) x (* x x))`

`(define (square   
formal parameter`

`(square   
actual argument expression`

 actual argument value



# Examples & Substitution Model (1)

```
(square 21)
441

(square (+ 2 5))
49

(square (square 3))
81
```

```
(define (sum-of-squares x y)
  (+ (square x) (square y)))

(sum-of-squares 3 4)
25

(define (f a)
  (sum-of-squares (+ a 1) (* a 2)))

(f 5)
136
```

To apply a compound procedure to arguments, evaluate the body of the procedure with each formal parameter replaced by the corresponding argument.

the basic principle:

```
(f 5)

(sum-of-squares (+ a 1) (* a 2))

(sum-of-squares (+ 5 1) (* 5 2))

(+ (square 6) (square 10))

(+ (* 6 6) (* 10 10))

(+ 36 100)

136
```

→ substitution model:  
**applicative-order** evaluation

**Racket uses applicative-order**

# Examples & Substitution Model (2)

```
(square 21)
441

(square (+ 2 5))
49

(square (square 3))
81
```

```
(define (sum-of-squares x y)
  (+ (square x) (square y)))

(sum-of-squares 3 4)
25

(define (f a)
  (sum-of-squares (+ a 1) (* a 2)))

(f 5)
136
```

To apply a compound procedure to arguments, evaluate the body of the procedure with each formal parameter replaced by the corresponding argument.

an alternative model:

```
(f 5)
(sum-of-squares (+ 5 1) (* 5 2))

(+ (square (+ 5 1)) (square (* 5 2)))
(+ (* (+ 5 1) (+ 5 1)) (* (* 5 2) (* 5 2)))
```

followed by the reductions

```
(+ (* 6 6) (* 10 10))
(+ 36 100)
136
```

substitution model:

**normal-order** evaluation:

→ fully expand and then reduce

## We want more ....

- The expressive power of the class of procedures that we can define so far is very limited.

# Conditional Expressions & Predicates

- $x$  if  $x > 0$   
•  $|x| = 0$  if  $x = 0$   
 $-x$  if  $x < 0$

```
(define (abs x)
  (cond ((> x 0) x)
        ((= x 0) 0)
        ((< x 0) (- x))))
```

Demo1c

- `cond` : special form in Lisp for case analysis

```
(cond (<p1> <e1>)
      (<p2> <e2>)
      :
      (<pn> <en>))
```

parenthesized pairs of expressions:  
“clauses”

<p<sub>i</sub>> ... predicate: expression that  
evaluates to true #t or false #f

## Conditional Expressions & Predicates (2)

- $|x| = \begin{cases} x & \text{if } x > 0 \\ 0 & \text{if } x = 0 \\ -x & \text{if } x < 0 \end{cases}$   

```
(define (abs x)
  (cond ((> x 0) x)
        ((= x 0) 0)
        ((< x 0) (- x))))
```

- cond else 

```
(define (abs x)
  (cond ((< x 0) (- x))
        (else x)))
```

- If 

```
(define (abs x)
  (if (< x 0)
      (- x)
      x))
```

 (for precisely two cases)

# Conditional Expressions & Predicates (3)

- Primitive predicates  $<$ ,  $=$ ,  $>$
- Logical composition operations
  - to construct compound predicates

```
(and <e1> ... <en>)
```

```
(and (> x 5) (< x 10))
```

```
(or <e1> ... <en>)
```

```
(not <e>)
```

Note: **and** and **or**  
are special forms (see later)

- $\geq$ 

```
(define (>= x y)
  (or (> x y) (= x y)))
```

```
(define (>= x y)
  (not (< x y)))
```

---

# How to determine the evaluation model

- applicative
- normal

# Examples & Substitution Model (1)

```
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(square (+ 2 5))
49

(square (square 3))
81
```

```
(define (sum-of-squares x y)
  (+ (square x) (square y)))

(sum-of-squares 3 4)
25

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(f 5)
136
```

To apply a compound procedure to arguments, evaluate the body of the procedure with each formal parameter replaced by the corresponding argument.

the basic principle:

```
(f 5)

(sum-of-squares (+ a 1) (* a 2))

(sum-of-squares (+ 5 1) (* 5 2))

(+ (square 6) (square 10))

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```

→ substitution model:  
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# Examples & Substitution Model (2)

```
(square 21)
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```

```
(define (sum-of-squares x y)
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(f 5)
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```

To apply a compound procedure to arguments, evaluate the body of the procedure with each formal parameter replaced by the corresponding argument.

an alternative model:

```
(f 5)
(sum-of-squares (+ 5 1) (* 5 2))

(+ (square (+ 5 1)) (square (* 5 2)))
(+ (* (+ 5 1) (+ 5 1)) (* (* 5 2) (* 5 2)))
```

followed by the reductions

```
(+ (* 6 6) (* 10 10))
(+ 36 100)
136
```

substitution model:

**normal-order** evaluation:

→ fully expand and then reduce

# How to determine the evaluation model

- applicative
- normal

```
(define (p) (p))
```

```
(define (test x y)
  (if (= x 0)
      0
      y))
```

Then he evaluates the expression

```
(test 0 (p))
```

Note: this is important! -- be sure to understand this.

Try also this: (test 0 p)

# Recursion

- see *Fibonacci* (from lecture 0)
- see *evaluate a combination* (from this lecture)
- ... a function calls itself as a subroutine
  - Direct vs. indirect recursion
- Efficiency
  - code size?
  - runtime?
  - memory?
- Widely used in functional programming
  - It helps to avoid mutation

```
(define (factorial n)
  (if (zero? n) 1
      (* n (factorial (sub1 n)))))
```

Special case (break condition)

# Function vs. Procedure (1)

- A **function** is a connection between some values you already know and a new value you want to find out. (e.g. *square: 8 -> 64*)
- A **procedure** is a description of the process by which a computer can work out some result that we want.
- E.g. 2 definitions:
  - $f(x)=3x+12$
  - $g(x)=3(x+4)$
  - These two equations describe different *processes*, but they compute the same *function*. The function is just the association between the starting value(s) and the resulting value, no matter how that result is computed.
  - In Racket we'd say that *f* and *g* are two procedures that represent the same function.
    - `(define (f x) (+ (* 3 x) 12))`
    - `(define (g x) (* 3 (+ x 4)))`

# Function vs. Procedure (2)

- An important difference between mathematical functions and computer procedures:
  - Procedures must be effective.
- E.g.
  - $\sqrt{x}$  = the  $y$  such that  $y \geq 0$  and  $y^2 = x$ 
    - describes a perfectly legitimate mathematical function
    - we could use it to recognize whether one number is the square root of another or to derive facts about square roots in general.
    - does not describe a procedure
    - does not tell us how to actually find the square root of a given number.

# iterative improvement

- $\sqrt[2]{x}$  *guess:  $y$  better guess:  $\frac{\left(\frac{x}{y}\right) + y}{2}$*
- $\sqrt[3]{x}$  *guess:  $y$  better guess:  $\frac{\left(\frac{x}{y^2}\right) + 2y}{3}$*
- General computational strategy: **iterative improvement**  
... to compute something,
  - we start with an **initial guess** for the answer,
  - test if the guess is **good enough**, and otherwise
  - **improve** the guess and
  - **continue** the process using the improved guess as the new guess.

# Example: $\sqrt[2]{x}$ by Newton's Method

- $\sqrt[2]{x}$  guess:  $y$  better guess:  $\frac{\left(\frac{x}{y}\right) + y}{2}$
- E.g.  $x = 2$ ; initial guess  $y: 1$

Guess	Quotient	Average
-------	----------	---------

1	$(2/1) = 2$	$((2 + 1)/2) = 1.5$
---	-------------	---------------------

1.5	$(2/1.5) = 1.3333$	$((1.3333 + 1.5)/2) = 1.4167$
-----	--------------------	-------------------------------

1.4167	$(2/1.4167) = 1.4118$	$((1.4167 + 1.4118)/2) = 1.4142$
--------	-----------------------	----------------------------------

1.4142 ...	...	
------------	-----	--

1,41421356...

# Formalize the process (with procedures)

- $\sqrt{x} \rightarrow \text{result}$  (*sqrt*)
- first guess  $\rightarrow$  result (*sqrtIter*)
  - guess  $\rightarrow$  better guess (*improve*)
    - calculations (+, /)
    - stop? (*goodEnough?*)



# Example: $\sqrt{x}$ by Newton's Method (2)

Demo1d

```
(define (average x y)
  (/ (+ x y) 2))
```

```
(define (sqrt-iter guess x)
  (if (good-enough? guess x)
      guess
      (sqrt-iter (improve guess x)
                  x)))
```

```
(define (good-enough? guess x)
  (< (abs (- (square guess) x)) 0.001))
```

```
(define (improve guess x)
  (average guess (/ x guess)))
```

```
(define (sqrt x)
  (sqrt-iter 1.0 x))
```

**No loops!**

→ Sufficient for writing any purely numerical program that one could write in C, Java, etc.

# Block structure and lexical scoping

```
(define (sqrt x)
  (define (good-enough? guess x)
    (< (abs (- (square guess) x)) 0.001))
  (define (improve guess x)
    (average guess (/ x guess)))
  (define (sqrt-iter guess x)
    (if (good-enough? guess x)
        guess
        (sqrt-iter (improve guess x) x)))
  (sqrt-iter 1.0 x))
```

# Block structure and lexical scoping

```
(define (sqrt x)
  (define (good-enough? guess)
    (< (abs (- (square guess) x)) 0.001))
  (define (improve guess)
    (average guess (/ x guess)))
  (define (sqrt-iter guess)
    (if (good-enough? guess)
        guess
        (sqrt-iter (improve guess))))
  (sqrt-iter 1.0))
```

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### References

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- Brian Harvey, Matthew Wright. Simply Scheme
- Robert W. Sebesta. Concept of Programming Languages, Pearson.
- Felleisen et al. Realm of Racket
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- Neal Ford. Functional Thinking

**Thank you!**