

# Red-black trees

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# Symbol Table Review

Symbol table: key-value pair abstraction.

- Insert a value with specified key.
- Search for value given key.
- Delete value with given key.
- Different implementations
  - Array
  - Linked list
  - BST (binary search tree)

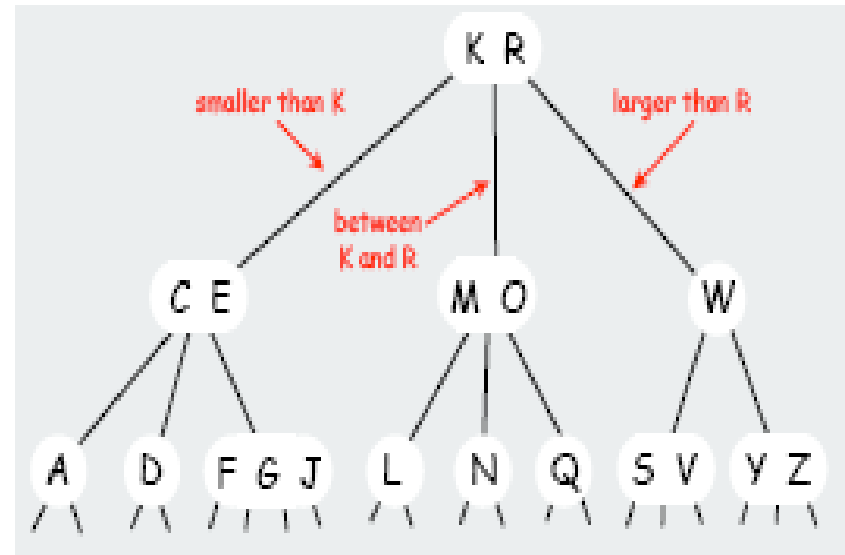
# Complexity

implementation	guarantee			average case			ordered iteration?
	search	insert	delete	search	insert	delete	
unordered array	N	N	N	N/2	N/2	N/2	no
ordered array	$\lg N$	N	N	$\lg N$	N/2	N/2	yes
unordered list	N	N	N	N/2	N	N/2	no
ordered list	N	N	N	N/2	N/2	N/2	yes
BST	N	N	N	$1.39 \lg N$	$1.39 \lg N$	?	yes
randomized BST	$7 \lg N$	$7 \lg N$	$7 \lg N$	$1.39 \lg N$	$1.39 \lg N$	$1.39 \lg N$	yes

- Randomized BST.
  - Guarantee of  $\sim c \lg N$  time per operation (probabilistic).
  - Need subtree count in each node.
  - Need random numbers for each insert/delete op.

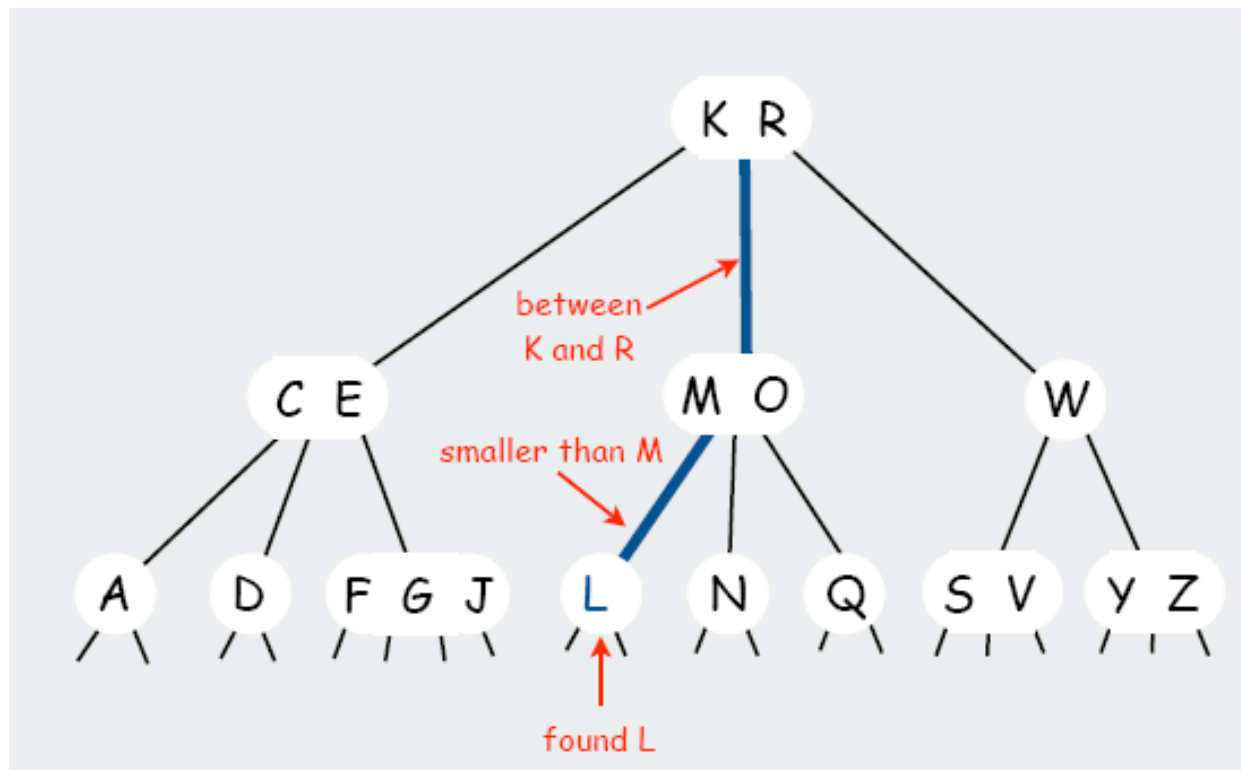
# 2-3-4 tree

- 2-3-4 tree. Generalize node to allow multiple keys; help to keep tree balanced.
- Perfect balance. Every path from root to leaf has same length.
- Allow 1, 2, or 3 keys per node.
  - 2-node: one key, two children.
  - 3-node: two keys, three children.
  - 4-node: three keys, four children.



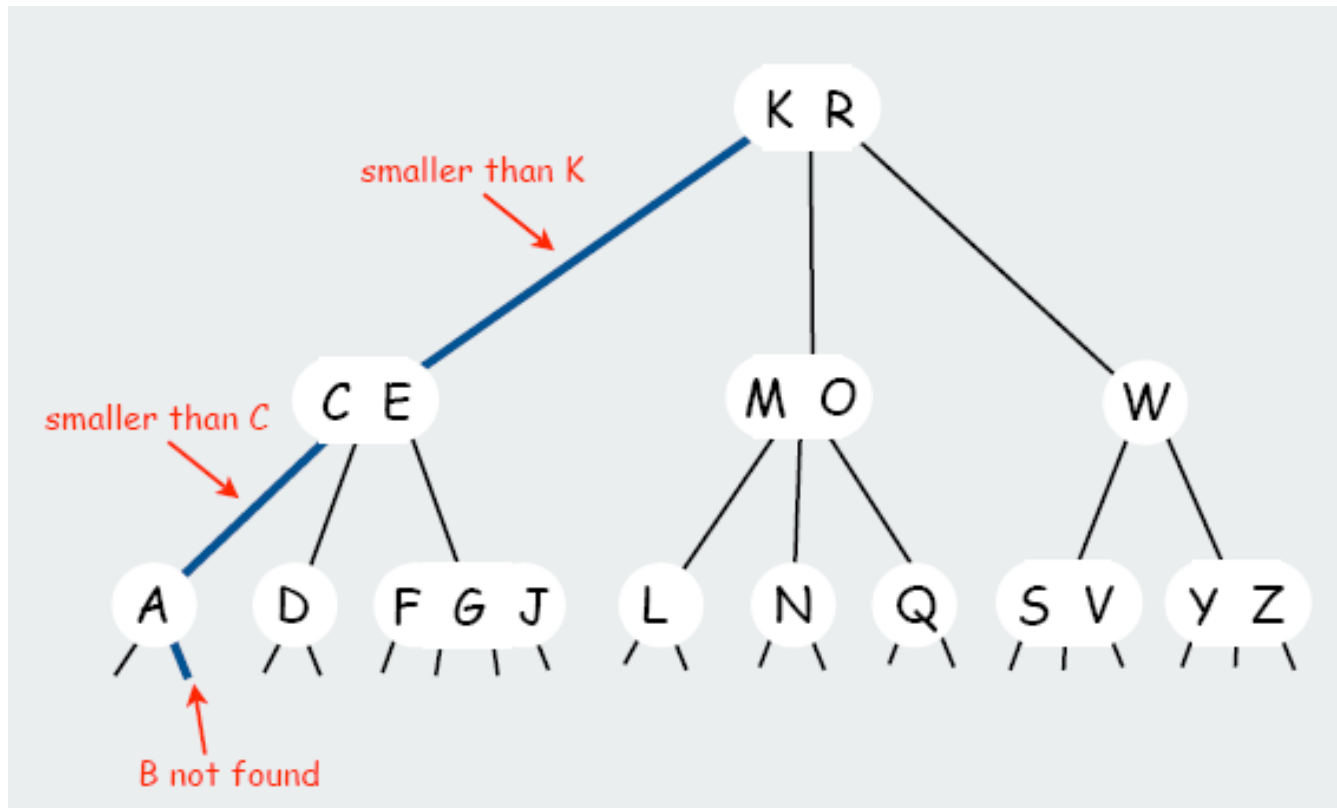
# Search

- Compare search key against keys in node.
- Find interval containing search key.
- Ex. Search for L



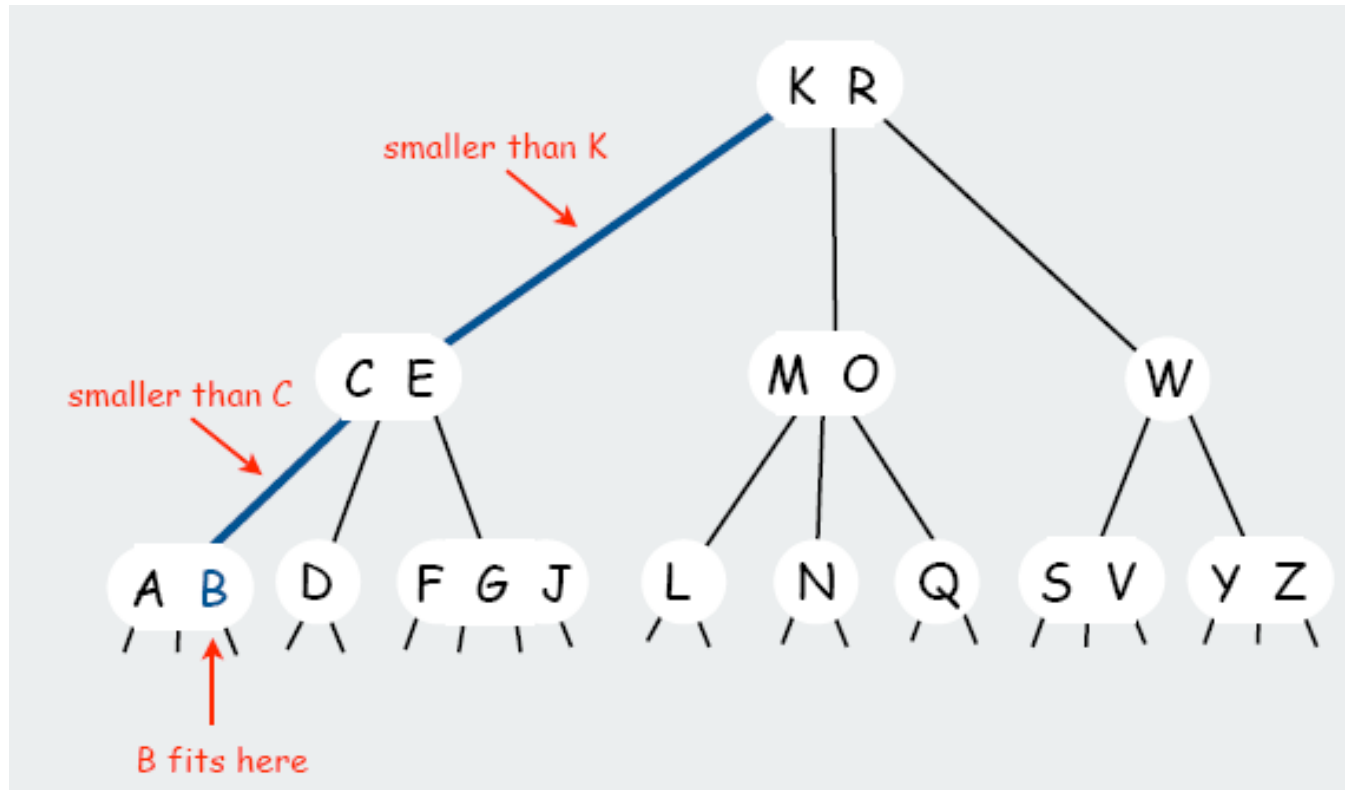
# Insert (1)

- Search to bottom for key.
- Ex. Insert B



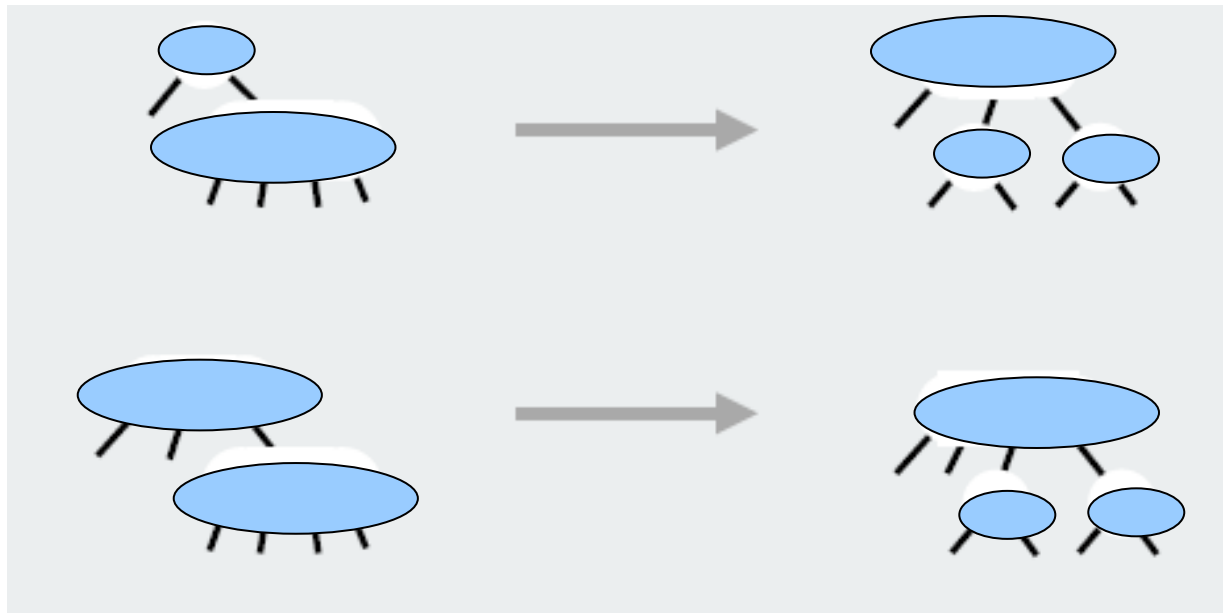
# Insert (2)

- 2-node at bottom: convert to 3-node.
- 3-node at bottom: convert to 4-node.
- Ex. Insert B



# Transformation

- Local transformations should be applied to keep the tree balanced.
- Ensures that most recently seen node is not a 4-node.
- Transformations to split 4-nodes:





# Growth of a tree

Tree grows **up** from the bottom

insert A



insert S

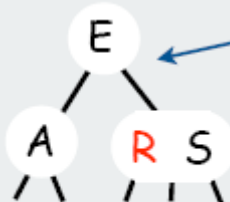


insert E

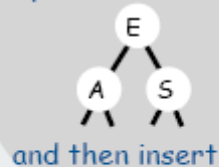


insert R

tree grows  
up one level

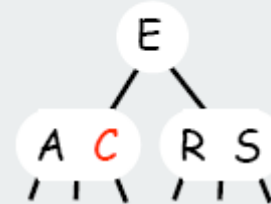


split 4-node to

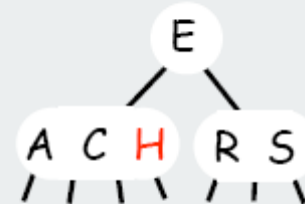


and then insert

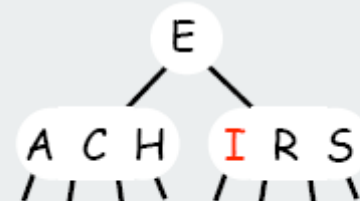
insert C



insert H

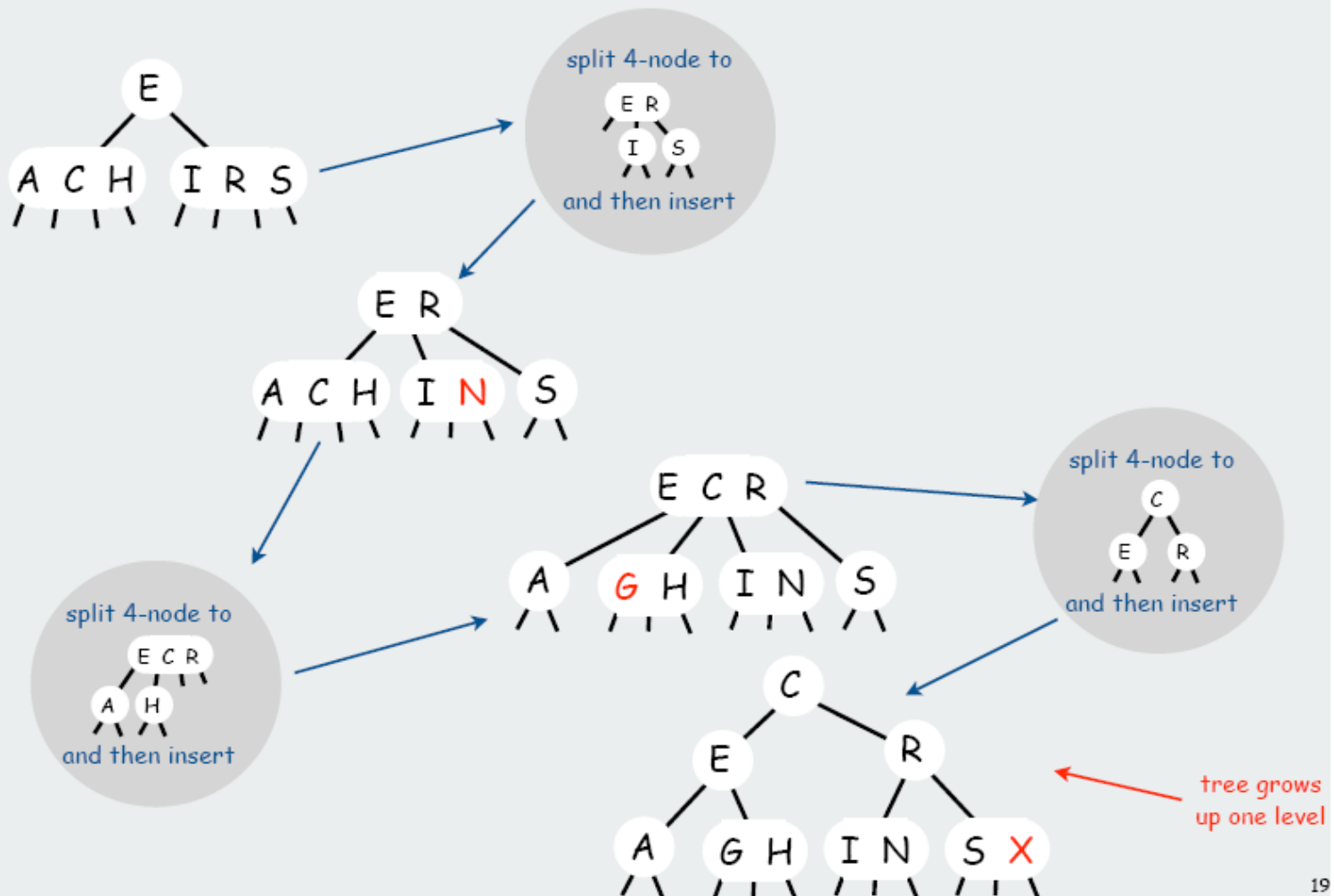


insert I



# Growth of a tree (cont.)

Tree grows **up** from the bottom



# Complexity

implementation	guarantee			average case			ordered iteration?
	search	insert	delete	search	insert	delete	
unordered array	N	N	N	N/2	N/2	N/2	no
ordered array	lg N	N	N	lg N	N/2	N/2	yes
unordered list	N	N	N	N/2	N	N/2	no
ordered list	N	N	N	N/2	N/2	N/2	yes
BST	N	N	N	1.38 lg N	1.38 lg N	?	yes
randomized BST	7 lg N	7 lg N	7 lg N	1.38 lg N	1.38 lg N	1.38 lg N	yes
2-3-4 tree	c lg N	c lg N		c lg N	c lg N		yes

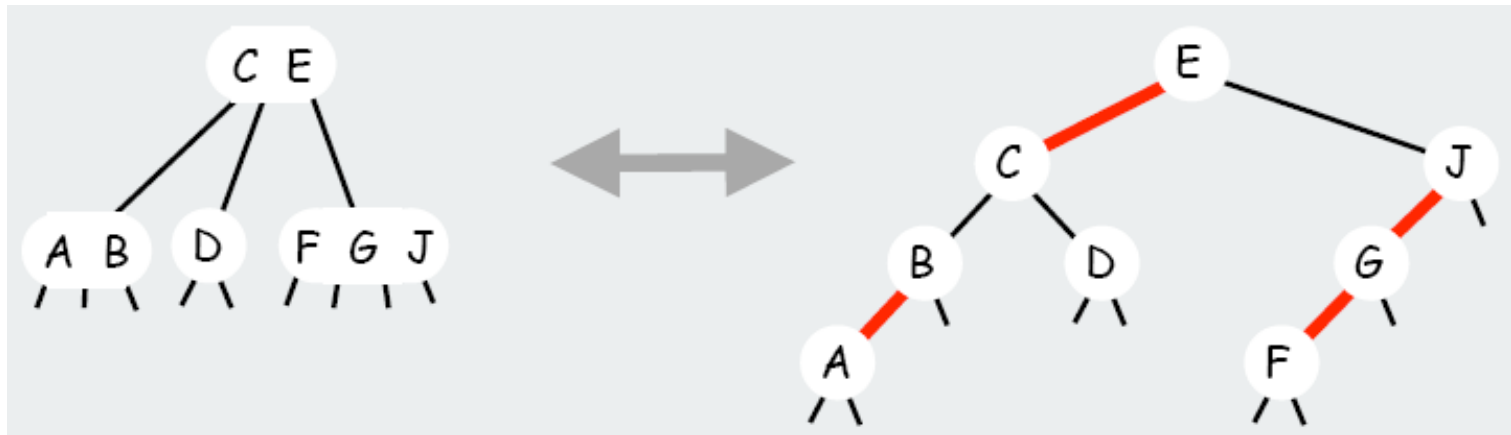
- Worst case: lg N [all 2-nodes]
- Best case:  $\log_4 N = 1/2 \lg N$  [all 4-nodes]
- Between 10 and 20 for a million nodes.
- Between 15 and 30 for a billion nodes.

# Red-black tree

- Represent 2-3-4 tree as a BST.
- Use "internal" left-leaning edges for 3- and 4- nodes.



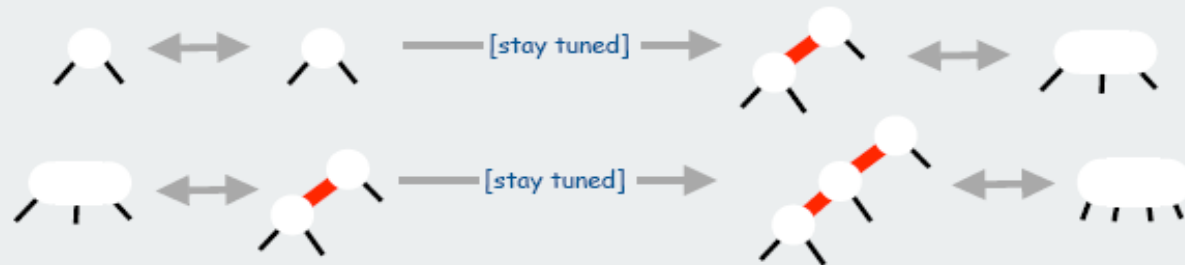
- 1-1 correspondence between 2-3-4 and left-leaning red-black trees.



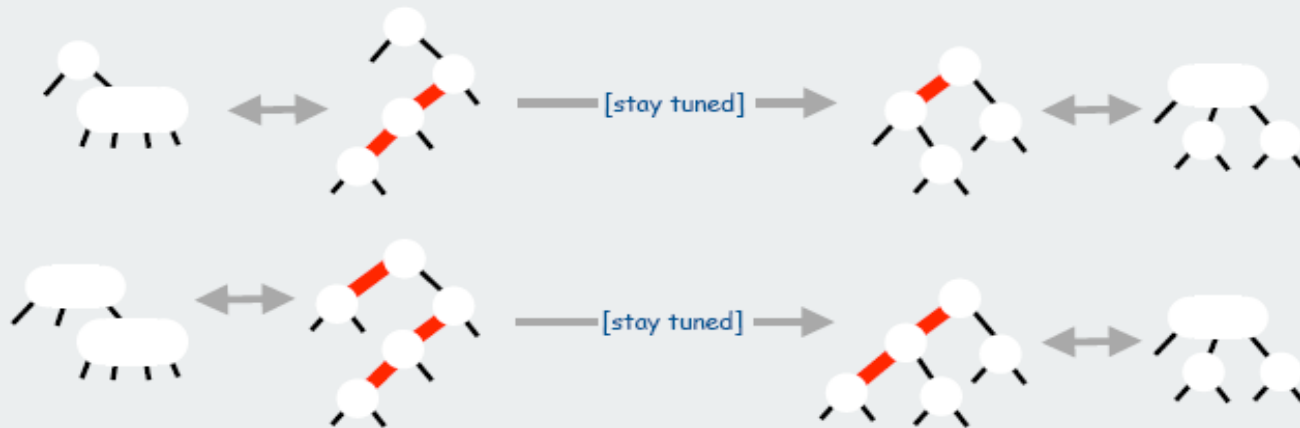
# Insert implementation

Basic idea: **maintain 1-1 correspondence with 2-3-4 trees**

1. If key found on recursive search reset value, as usual
2. If key not found **insert a new red node at the bottom**



3. **Split 4-nodes** on the way DOWN the tree.



# Complexity

implementation	guarantee			average case			ordered iteration?
	search	insert	delete	search	insert	delete	
unordered array	N	N	N	N/2	N/2	N/2	no
ordered array	lg N	N	N	lg N	N/2	N/2	yes
unordered list	N	N	N	N/2	N	N/2	no
ordered list	N	N	N	N/2	N/2	N/2	yes
BST	N	N	N	1.38 lg N	1.38 lg N	?	yes
randomized BST	7 lg N	7 lg N	7 lg N	1.38 lg N	1.38 lg N	1.38 lg N	yes
2-3-4 tree	c lg N	c lg N		c lg N	c lg N		yes
red-black tree	3 lg N	3 lg N	3 lg N	lg N	lg N	lg N	yes

# Libfdr

- Libfdr is a library which contains an implementation for generic red-black trees in C
- Download and compile instructions at <http://www.cs.utk.edu/~plank/plank/classes/cs360/360/notes/Libfdr/>

# Jval datatype

- A big union to represent a generic data type

```
typedef union {  
    int i;  
    long l;  
    float f;  
    double d;  
    void *v;  
    char *s;  
    char c;  
    unsigned char uc;  
    short sh;  
    unsigned short ush;  
    unsigned int ui;  
    int iarray[2];  
    float farray[2];  
    char carray[8];  
    unsigned char uarray[8];  
} Jval;
```



# Jval usage

- Use Jval to store an integer  
Jval j;  
j.i = 4;
- Jval.h defines a whole bunch of prototypes for ``constructor functions."

```
extern Jval new_jval_i(int);  
extern Jval new_jval_f(float);  
extern Jval new_jval_d(double);  
extern Jval new_jval_v(void *);  
extern Jval new_jval_s(char *);
```

Example:

```
Jval j = new_jval_i(4);
```

# JRB datatype

- JRB is defined as a pointer to a node of the  
typedef struct jrb\_node {  
 unsigned char red;  
 unsigned char internal;  
 unsigned char left;  
 unsigned char roothed;  
 struct jrb\_node \*flink;  
 struct jrb\_node \*blink;  
 struct jrb\_node \*parent;  
 Jval key;  
 Jval val;  
} \*JRB;

# JRB API (1)

- Make a new tree
  - JRB make\_jrb();
- Insert a new node to a tree
  - JRB jrb\_insert\_str(JRB tree, char \*key, Jval val);
  - JRB jrb\_insert\_int(JRB tree, int ikey, Jval val);
  - JRB jrb\_insert\_dbl(JRB tree, double dkey, Jval val);
  - JRB jrb\_insert\_gen(JRB tree, Jval key, Jval val, int (\*func)(Jval,Jval));
- Find a node via key
  - JRB jrb\_find\_str(JRB root, char \*key);
  - JRB jrb\_find\_int(JRB root, int ikey);
  - JRB jrb\_find\_dbl(JRB root, double dkey);
  - JRB jrb\_find\_gen(JRB root, Jval, int (\*func)(Jval, Jval));

# JRB API (2)

- Free a node (but not the key or val)
  - `void jrb_delete_node(JRB node);`
- Free all the tree
  - `void jrb_free_tree(JRB root);`
- Navigation in the tree
  - `#define jrb_first(n) (n->flink)`
  - `#define jrb_last(n) (n->blink)`
  - `#define jrb_next(n) (n->flink)`
  - `#define jrb_prev(n) (n->blink)`
  - `#define jrb_empty(t) (t->flink == t)`
  - `#define jrb_nil(t) (t)`
  - `#define jrb_traverse(ptr, lst) \`  
`for(ptr = jrb_first(lst); ptr != jrb_nil(lst); ptr = jrb_next(ptr))`

# Quiz 1

- Try to compile and run some example programs (using libfdr) given at

<http://www.cs.utk.edu/~plank/plank/classes/cs360/360/notes/JRB/>

## Quiz 2

- Use libfdr to write the phone book program (add, delete, modify phone numbers). The phone book should be stored in a file.
- NB: In the JRB, the insert function always creates a new node even the key exists already in the tree.
  - You should check the existence of a record before insert it in the tree

# Instruction

- Create a phone book
  - `JRB book = make_jrb();`
- Insert a new entry
  - `jrb_insert_str(book, strdup(name), new_jval_l(number));`
    - You must allocate memory to store the name for the new node's key. This memory should to be free when we delete all the key.
- Navigation
  - `jrb_traverse(node, book)`
    - `/* code to do something on node */`

# Solution

- `phonebook_jrb.c`