# ENVIRONMENTAL SOUND CLASSIFICATION USING A RESIDUAL NETWORK ARCHITECTURE

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# **INTRODUCTION**

- Background
- Problem Statement

#### **BACKGROUND**

- In late nineties, audio data was mainly characterized by name, file-format, sampling rate etc.
- Audio application were primarily limited to archiving, storing and separation of audio sources based on very basic characteristics.
- As many parallel technologies evolved, newer use cases of environmental awareness started emerging.
- Among them, there were use cases that required mimicking human perception based on sight and sound involving object-detection and source classification.
- Machine Learning techniques applied to audio sound classification (ASC) were limited to KNN, SVM, GMM.
- Instances of deep learning techniques started appearing in 2009 with limited datasets. Only from 2014, labelled datasets like ESC-10 and ESC-50 were available for benchmarking different models.
- Sequential Deep Learning Models based on ESC dataset started appearing in 2015.

#### PROBLEM STATEMENT

- Since 2015 most of the research on Deep Learning based ASC Task were on centered around Sequential CNN
  Architectures.
- These models use backpropagation algorithm, that incrementally update the model weights so that the model can 'learn'.
- The algorithm suffers from the problem of vanishing gradients, as the depth of the model increases.
- This is because, as the updates are propagated back to the beginning of a model, they become smaller and smaller with the depth.
- Can building incrementally deeper CNN Architectures and combating Vanishing Gradients by using skip/residual connections lead to better accuracies for audio classification problems? This leads us to a ResNet Architecture.
- Whether MFCC features alone can lead us to >76% accuracies on the ESC-10 Dataset?

#### LITERATURE REVIEW

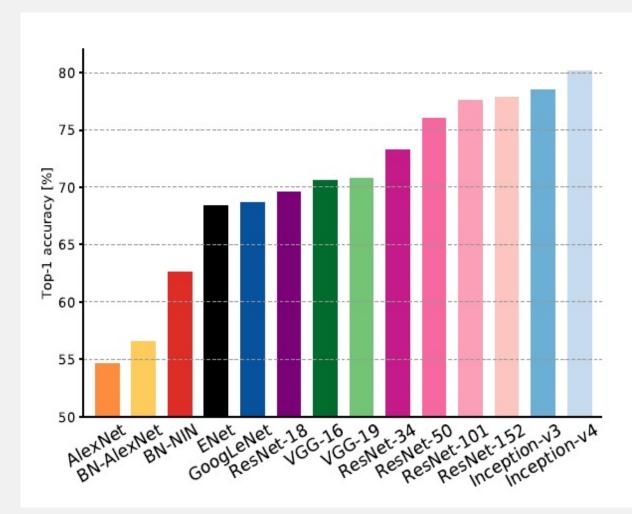
- Early Work on ASC
- Evolution of CNN Architectures
- Residual Connections
- Audio Datasets ESC and Urbansound8K
- CNN for Audio Classification

#### EARLY WORK ON ASC

- Before the ESC task, most audio classification was related to Speech/Non-Speech, Music/Movie Genre Classification or musical instrument classification.
- Wold et al (1996), built a Euclidean Distance Based Classifier based on loudness, pitch, brightness and bandwidth. It's purpose was to fetch audio from a database based on acoustical and perceptual features.
- Saunders (1996) reported Zero Crossing Rate (ZCR)Based Speech/Music discrimination as both have distinctly different ZCR characteristics.
- More complex discriminator was built by Scheirer (1997) based on a 13 feature representation and using them on GMM, k-NN and k-d classifiers. They found music was harder to classify than speech.
- Pierangelo (2002) used the findings of Saunders to build a ZB (ZCR Bayesian Classifier) based Speech/Music discriminator. When they compared it with a Neural Network, it outperformed the former by 11% in terms of Total Error Rate.
- There were multiple such efforts based on different datasets, making it difficult to benchmark them against each other.

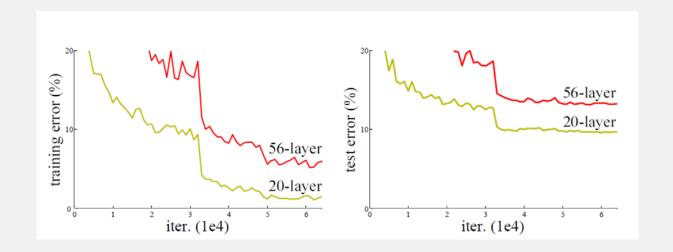
#### **EVOLUTION OF CNN ARCHITECTURES**

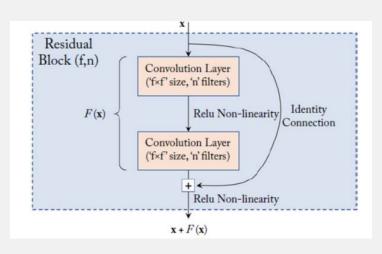
- Unlike auditory problems, deep learning architectures had access to a large repository of labelled imagery datasets.
- As CNN architectures grew deeper and complex, they could be compared against each other, because they were all benchmarked in ILSVRC Challenge.
- Earlier models were based on convolutional layers stacked one after another.
- Szedgedy (2015) made the first departure from a linear architecture by introducing inception blocks in GoogLeNet.
- He et al (2016) introduced the concept of residual connection in their architecture called ResNet.
- Then on, most of the deep learning architectures departed from the linear architecture.



#### RESIDUAL CONNECTIONS

- Though the winner of the ILSVRC in 2014 was the VGGNET architecture, the deep learning community realized that deeper models do not necessarily mean better performance.
- This happens because of the vanishing-gradient problem, making it harder for weights in the earlier stages of a model to update themselves.
- As He et al pointed out in their seminal paper 2016, the following illustration (left) shows how a 56 layers struggles to achieve the same error rate as a 20-layer model.
- They introduced Residual Blocks (right), which could combat vanishing gradient, thereby allowing much deeper models.





#### **AUDIO DATASETS**

- Piczak et al (2015) noticed that, research on environmental sound classification has been limited due to absence of labelled dataset. This is unlike research on Computer Vision, where there multiple datasets like MNIST, CIFAR and Imagenet.
- The use of the Freesound Project was demonstrated as potential research resource by Font et al in 2013.
- The Freesound Project has a large repository of user uploaded audio samples since 2005.
- Piczak used the Freesound API to build the ESC-10 and ESC-50 Datasets. Salamon presented an even bigger dataset called Urbansound8K in 2018

#### ESC-10

- 400 Samples of 10 Classes divided into 5 folds.
- Each Class had 40 audio samples
- Each Fold having 8 audio samples

#### **ESC-50**

- 2000 Samples of 50 Classes divided into 5 folds.
- Each Class had 40 audio samples
- Each Fold having 8 audio samples

#### Urbasound8K

- 400 Samples of 10 Classes divided into 5 folds.
- Each Class had 40 audio samples
- Each Fold having 8 audio samples

## CNN FOR AUDIO CLASSIFICATION

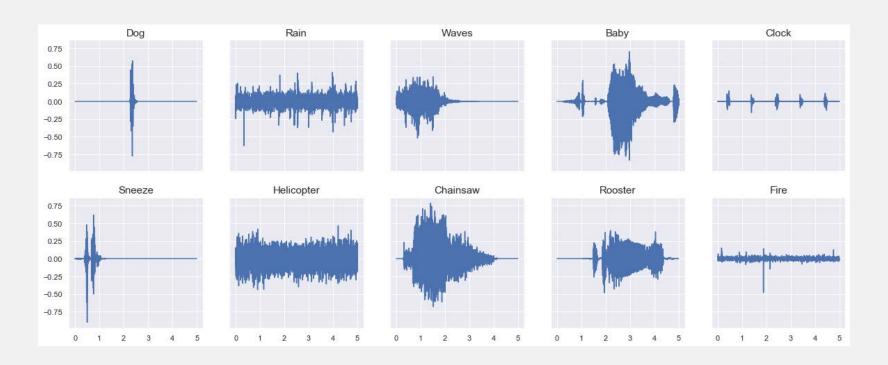
- Prior to 2009, Deep Learning hadn't been used for Auditory problems as reported by Honglak et al.
- They built a CDBN architecture for Speaker, Gender or Phone Classification, but still this wasn't based on ESC dataset.
- We notice CNN architectures applied to ESC datasets from 2015 by Piczak, Tokuzume and Khamaparia in successive years.
- We will use the following as our references and explore the performance of our models with residual connections compared to them.

				Custom
	ESC-10	ESC-50	Urban 8K	<b>Dataset</b>
Piczak, 2015	85%	77%	65%	-
Tokuzume, 2017	74.10%	-	-	-
Kaustumbh, 2018	-	-	-	85%
Sang, 2018	-	-	79%	-
Khamparia, 2019	77%	49%	-	-

## RESEARCH METHODOLOGY

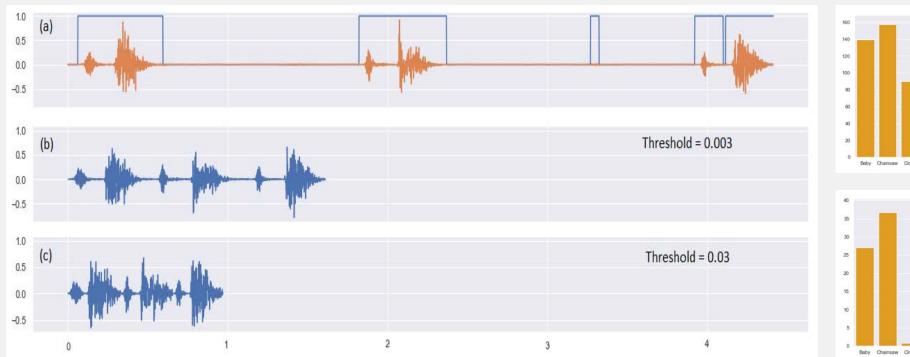
- Pre-processing and Visualization
- Cleaning
- Feature Extraction | & 2
- Dataset for Modelling

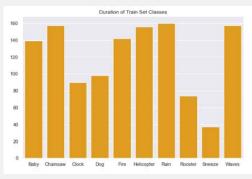
#### PRE-PROCESSING AND VISUALIZATION

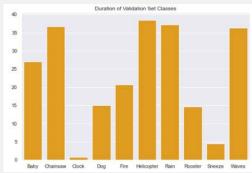


- The ESC-10 Dataset has 400 files with 10 classes. We show here one sample from each class sampled at 44100samples/second.
- We divide the 400 files into 320 and 80 for respectively our training and validation sets.
- Some samples are dominated by silent periods.
- We clean the silent periods from each file and re-write them onto the disk

#### **CLEANING**



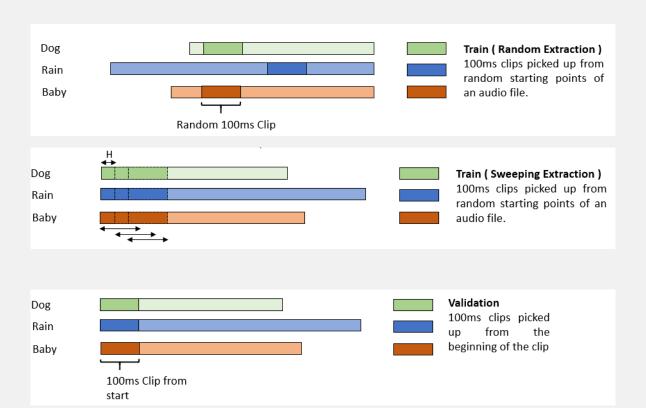




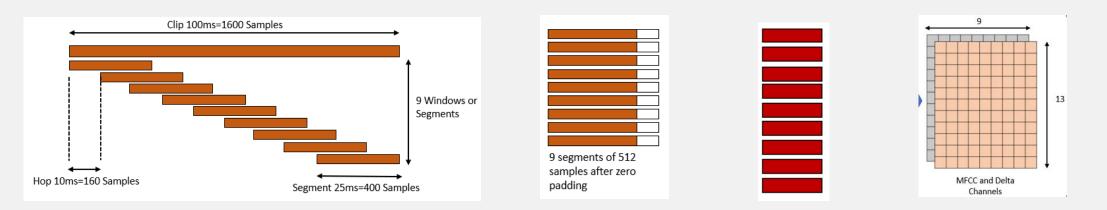
- We eliminate the silent portions of an audio file by taking a rolling average over 100ms.
- We eliminate the portions, wherever the average is less than 0.003 for training and 0.03 for validation set.
- The cleaned files are re-written onto the disk at a rate of 16000 samples/second.
- Note, how we are left with very limited duration of audio for few classes ( clock and sneeze ) in the validation set

#### FEATURE EXTRACTION 1/2

- For building the training set, we extract 100ms clips (1600 samples) at random from anywhere within the audio file. We call this random extraction
- For sweeping extraction, we use a gradually moving window with hop length H
- For validation set however, we always extract the first 100ms as a clip.
- This process leaves us with a large number of 100ms clips, that we'll use to build our training data.



#### FEATURE EXTRACTION 2/2



- Make overlapping segments of 25ms (M = 400samples), using a moving window of step size 10ms (L=160Samples).
- This produces 9 segments of 25ms (400 samples) each, which we zero-pad to make the length 512 to calculate FFT.
- The corresponding power spectra is given as  $|Xi|(k)|^2$ .
- Each of the 9 power spectra is filtered through a mel-filter bank followed by a discreet cosine transform to generate the MFCC and Delta Features

## DATASET FOR MODELLING

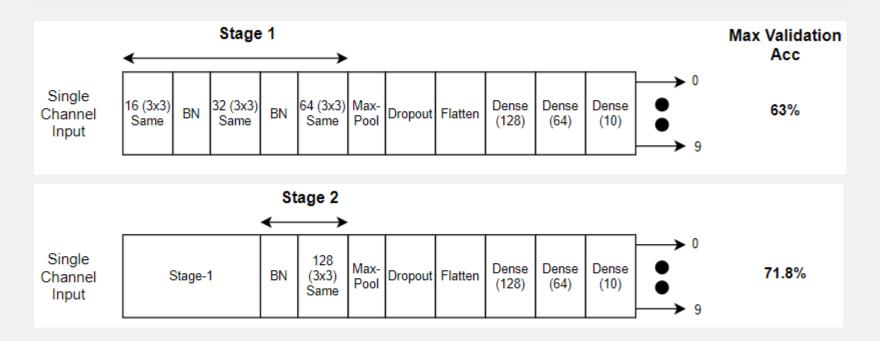
	Training Set Size	Validation Set Size
ESC_10 One Channel Input Random Extraction	24200 x 13 x 9	71 × 13 × 9
ESC_I0 Two Channel Input Random Extraction	24200 x 13 x 9 x 2	$71 \times 13 \times 9 \times 2$
ESC_10 One Channel Input Sweeping Extraction	92274 x 13 x 9	71 × 13 × 9
Usound_I 000 Sweeping Extraction	263633 x 13 x 9 x 1	554 x 13 x 9 x 1
Usound_800 Sweeping Extraction	327185 x 13 x 9 x 1	554 x 13 x 9 x 1

- The feature extraction highlighted earlier gives us these datasets that were used to train models.
- For the Urbansound8K dataset, we used the sweeping extraction method exclusively
- During training, we built a generator function to extract random batches from these arrays.

## MODELLING

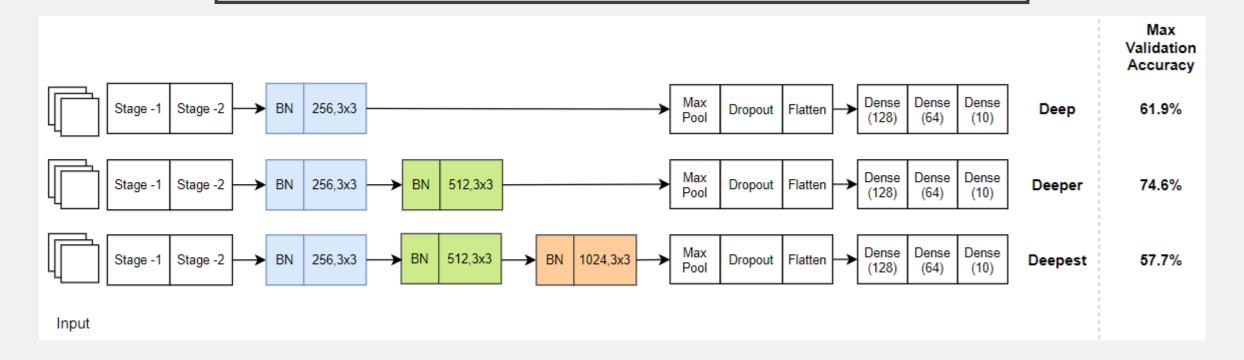
- Baseline Models
- Deeper Models
- Introducing Skip Connections
- Implementing ResNet50

## **BASELINE MODELS**



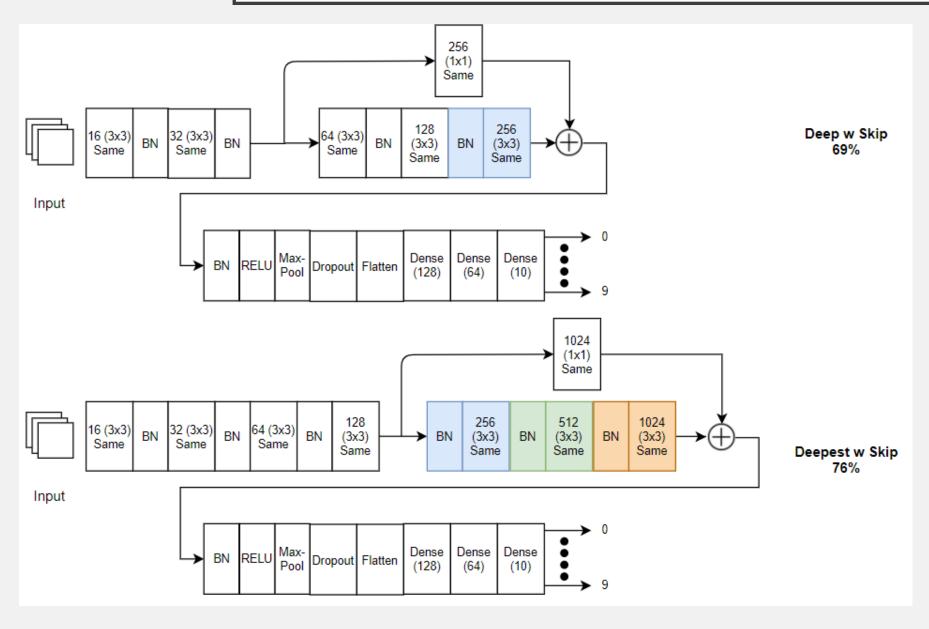
- We built a shallow model with 11 stages and it could reach a maximum validation accuracy of 63%
- When we introduce two additional layers, we reach a validation accuracy of 71.8%.
- Does this mean, adding more layers can generate better accuracies?

#### DEEPER MODELS



- As we increase the depth of the models, we notice that the *Deep* and *Deepest* models struggle to keep up with our baseline performance.
- At this point, if we introduce skip connection in the Deep and Deepest models, can we recover the lost accuracy?

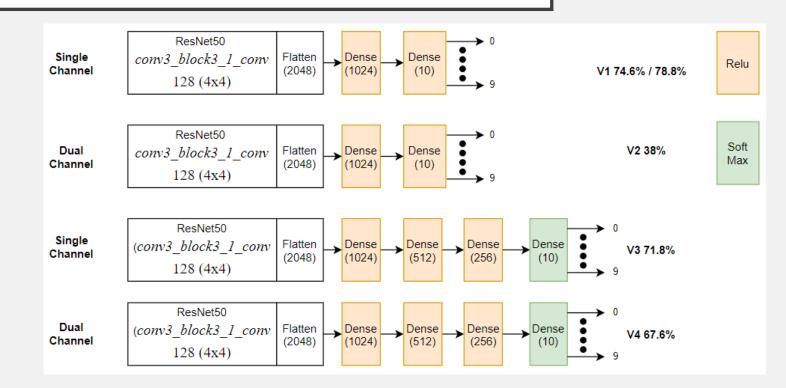
#### INTRODUCING SKIP CONNECTIONS



- The choice of the position of the residual connection is very important.
- These configurations lead to accuracies that are close to or better than the baseline models.

#### IMPLEMENTING RESNET ARCHITECTURE

- We used a section of the ResNet50 architecture to see how they perform.
- We only use the first 62 layers of the ResNet50 model and follow it with custom flattening layers.
- The dual channel input causes performance to degrade.
- The single channel input performance are very close to or better than the baseline model.
- Finally, when we try the VI variant with sweeping extraction input, we are able to exceed 76% (our problem statement)



# **RESULTS**

- Final Results
- Further Studies

# FINAL RESULTS

Architecture	ESC -10 Dataset	Max Validation	Train Accuracy at	Number of	Reference
	(Single/Dual Channel Input)	Accuracy	Max Val	Stages	
Shallow Model		63.0%	61.2%	11	
Best Baseline Single	Random Single	71.8%	73.5%	13	
Best Baseline Multi	Random Dual	66.2%	75.5%	13	
Deep		61.9%	58.0%	15	
Deep w Skip		69.0%	71.5%	15	
Deeper	Random Single	74.6%	77.7%	17	Piczak - 85%
Deepest		57.7%	73.9%	19	Tokuzume -74.10%
Deepest w Skip		76.0%	79.9%	19	
Resnet V1	Random Single	74.6%	99.4%	62	Khamparia - 77%
Resnet V1 Sweep	Sweep Single	78.8%	99.4%	62	
Resnet V2 Multi	Random Dual	38.0%	34.3%	62	
Resnet V3	Random Single	71.8%	96.0%	62	
Resnet V4 Multi	Random Dual	67.6%	97.9%	62	

#### **FURTHER STUDIES**

- The impact of residual connection on classification provides motivation for training the variants of Resnet architecture proposed by He et al.
- We were able to reach 78.8% validation accuracy based on the MFCC features alone. Adding a second or third channel
  with feature selection techniques can provide potentially better results.
- The performance of some of the classes like Clock and Sneeze, warrant more samples from Freesound to get better results.
- The performance of a reduced version of the Resnet50 also paves the way of other alternatives like ResNext or Inception.
- We did not use any data augmentation techniques in particular. This can be explored with the full ResNet or Inception architectures to get even better results.

# THANK YOU