

Textbook: Database System Concepts by Sudarshan et al.  
7<sup>th</sup> edition

DBMS → allows us to handle large amount of data  
[Data is money (valuable)]

→ When data is large, we should take care of consistency  
(data should remain consistent), **duplicacy** (data should not be duplicate),

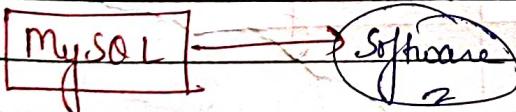
Integrity constraints eg. age of a person can not be less than zero.

Concurrency: When more than one person are trying to modify the data.

update should be **atomic**

SQL → standard language for accessing Database

↳ (Structure query language)



University Database (we will understand all examples (SQL) using this database)

- Students
- Instructors
- Classes

**Data** → We can describe data by relation b/w different data points

**Semantics** → allows us to understand data

**Data constraints**: eg: student can not take more than courses in a semester, etc.

Two types of Data Models

Relational → Tabular

entity-relationship data model →

### \* Relational Model

① Data is stored in the form of tables

ID	instructor	Dept.	Salary
①	I400		
②	I401		

We need to develop **Abstraction** <sup>while</sup> in order to store data so that appropriate person have appropriate view.

→ View level →

logical structure  
of database

Before creating Database → we need to finalize Schema

very large amount of data can not be stored at one place → That also need to be taken care of

DDL (Data Definition language) → used to create database data points (schema)

~~CREATE TABLE~~ (name, col1, ...)

DML (Data manipulation language) → used to manipulate data

procedural languages → you need to specify the whole (you have to mention everything) procedure like C++.

Declarative languages → when you just declare like join two tables.

eg. SQL

eg. Print the name of Instructor from the table instructor whose dept is maths.

SELECT name

FROM Instructor

WHERE Dept = Maths

## Limitations of SQL

SQL can not be used to develop applications.

can " " used to make visualization for user

→ These queries can be embedded in higher level languages like C++, Java, Python.

While creating Database, we need to take care of several things.

→ What is the application of Database



## Functional units of a database

- ① store manager
- ② Query processor
- ③ Transaction management component

Relational DBms → RDBms

Tables

each instance → Tuple or Row

**Attributes:** The columns of a relation. eg. name etc in the relation instructor.

**Domain of Attributes:** The data values allowed in attribute.

**Tuple:** A row of the relation.

**Database Schema:** The logical structure of the database.

**Database Instance:** A snapshot of database at some particular time.

**e.g. Schema:**

Instructor (columns as building, name, salary, dept-name)

**Super key:** The set of attributes of relation which can uniquely identify each tuple in the relation.

Suppose  $R = \{ \text{building}, \text{dept name}, \text{salary}, \text{name} \}$

Then super key  $K \subset R$ .

**Candidate keys:** Subset of super key which have least no. of attributes which can uniquely identify the each tuple in the relation.

**Primary key:**

**foreign key:**

The attribute building is said to be a foreign key which references to relation 'buildings' in the instructor. It is also present in this "buildings" and it should be a primary key in "buildings" relation.

## Relational algebra

A language which takes one or more relations as input and produces a relation as output.

not turing equivalent.

### ① SELECT $\sigma$

It allows to select some tuple from the database based on certain attribute values.

instructor				
name	dept_name	Salary	building	
Ram	maths	1000	S.B.	
Shyam	CSE	900	M.B.	
Anil	EE	1400	E.B.	

Predicate  $\rightarrow$  will result either in true or false.

$\rightarrow \sigma(\text{salary} \geq 1000) (\text{instructor}) \rightarrow$  will point Ram tuple.  
 $(=, \neq, >, <, \geq, \leq)$

$\rightarrow \sigma(\text{salary} \geq 1000) \wedge (\text{dept\_name} = \text{maths}) (\text{instructor}) \rightarrow$  Ram tuple

$\wedge$  (AND),  $\vee$  (OR),  $\neg$  (NOT)

To combine predicate.

### ② Project operation ( $\pi$ ) $\rightarrow$ unary operator

$\pi(\text{name}, \text{dept\_name}) (\text{instructor})$

will point name and dept\_name.

name	dept_name
Ram	maths

## Relational algebra

composition of ↑ operations :

e.g.

$\Pi(\text{name})(\sigma(\text{salary} \geq 1000)(\text{instructor})) \rightarrow$  will point Ram.

The result of the operations is a relation which can then be used as input for another operator. The operators can be composed into relational algebra expression

Cartesian Product operation ( $\times$ )

$\text{instructor} \times \text{Teachers}$

will have 9 rows and 7 columns

Teaches

name	course	no. of students
Ram	math1	10
Ram	math2	12
Aarti	EE1	7

instructor.name	dept.name	salary	building	teacher.name	course	no.of. student
Ram				Ram	math1	
"				Ram	math2	
"				Aarti	EE1	
X Shyam				Ram	math1	
"				Ram	math2	
X "				Aarti	EE1	
X Aarti				Ram	math1	
"				Ram	math2	
"				Aarti	EE1	

$\sigma(\text{instructor.name} = \text{teachers.name})(\text{instructor} \times \text{teachers})$

(

Table with 3 rows 7 col.

## ① JOIN OPERATION ( $\bowtie$ ) → Binary

It is denoted as  $R_1 \bowtie_{\theta} R_2$  where  $R_1$  and  $R_2$  are relations, and  $\theta$  is a predicate with values True / False for all each tuple.

$$R_1 \bowtie_{\theta} R_2 = \pi_{\theta}(R_1 \times R_2)$$

## UNION OPERATION (U) → Binary ( $R_1 \cup R_2$ )

- ① The number of columns in ' $R_1$ ' and ' $R_2$ ' must be the same
- ② Each column must have the same data type

① Find instructor's name which whose salary  $\geq 1000$  or they teach maths

②  $\Pi_{name} (\sigma_{(salary \geq 1000)}(instructor)) \cup \Pi_{name} (\sigma_{course=math} (teacher))$

name
Ram
Aarti

## INTERSECTION OPERATION ( $\cap$ ) → Binary

$$\Pi_{name} (\sigma_{(salary \neq 1000)}(instructor)) \cap \Pi_{name} (\sigma_{course=math} (teacher))$$

name
Ram, Shyam
Aarti

name
Ram

Intersection is φ  
↳ empty

DIFFERENCE OPERATION (-) ( $\cup - \Delta$ )

→ tuples present in  $\Delta$  will be removed from  $\cup$ .

→ It allows us to find the set of tuples in one table ( $\cup$ ) but not in ( $\Delta$ ).

ASSIGNMENT OPERATION ( $\leftarrow$ )

$$\Delta_2 \leftarrow \Pi_0 (\Delta (\Delta_1, \Delta_2))$$

$$\Delta_2 \leftarrow \Pi_0 (\sigma_{\Delta_1} (\Delta_1) \cup \sigma_{\Delta_2} (\Delta_2))$$

## RENAME OPERATOR

This operator will name the resultant relation of RA expression as  $x$

$$(Px (RA \text{ expression}))$$

Relational algebra

## Database:

branch (branch\_name, city, assets)

Customer (ID, name, street, city)

loan (loan\_no, branch\_name, amount)

borrower (ID, loan\_no)

account (ac\_no, branch\_name, balance)

depositor (ID, ac\_no)

① → find out each loan no. with loan amount greater than 10K?

$$\Pi_{loan\_no} (\sigma_{amount > 10,000} (loan))$$

OR

$$\Pi_{ID} (\sigma_{\substack{\text{balance} > 5,000 \\ \text{dipositor.ac-no} = \text{account.ac-no}}} (\text{account} \times \text{dipositor}))$$

FREEMIND  
Date \_\_\_\_\_  
Page \_\_\_\_\_

(Cartesian product)

⑥ find the ID of each depositor who has an account balance greater than 5k.

$$\Pi_{ID} (\sigma_{\substack{\text{balance} > 5,000}} (\text{account} \bowtie \text{dipositor}))$$

natural join  
(join on ac-no)

⑦ find the ID of each depositor who has an account with balance at least 5k at Dhanbad branch.

$$\Pi_{ID} (\sigma_{\substack{\text{balance} > 5,000}} (\text{account} \bowtie \text{dipositor}))$$

$\wedge$  (branch-name  
= 'Dhanbad')

OR

$$Q1 \leftarrow (\text{dipositor} \bowtie_{\text{dep.ac-no}} \text{account})$$

$= \text{ac.acno.}$

$$\Pi_{ID} (\sigma_{\substack{\text{balance} > 5,000}} (Q1))$$

$\wedge$  (branch-name  
= 'Dhanbad')

SOL

Domain types in SOL  
Data types

- ① char(n) → string of fixed length 'n'
- ② varchar(n) → string of maximum length 'n'
- ③ numeric(p,d) → 'p' is total no. of digits and 'd' is no. of digits after decimal
- ④ float(n)
- ⑤ double precision
- ⑥ int

# SQL

How to create a table in the Database?

```
CREATE TABLE CUSTOMER /  
    name (varchar(15)),  
    branch_name (varchar(10)),  
    ac_no (int),  
    ID (int), income (int),  
    PRIMARY KEY (ID),  
    FOREIGN KEY (branch_name) REFERENCES branch)
```

COMMANDS:

INSERT → `INSERT INTO CUSTOMER VALUES()`

DELETE → `DELETE FROM`

DROP →

will add attribute city to table Customer

ALTER → `ALTER TABLE CUSTOMER ADD city varchar(10)`

    "    "    "    DROP branch\_name

    C    will delete attribute branch\_name from table

Customer.

~~SQL Query~~

→ SELECT name → name of attributes which we want to display  
FROM CUSTOMER if we put \* (asterisk) instead, then all attributes will be displayed.

WHERE branch-name = 'Dhanbad'

will display names of customer whose branch-name is Dhanbad from table customer.

→ ALTER CUSTOMER ADD yearly-income int

→ SELECT name, yearly-income/12  
FROM CUSTOMER

WHERE branch-name = 'Dhanbad'

name	yearly-income/12

→ SELECT \*  
FROM customers, teaches } This will return all the attributes and tuples of cartesian product of tables customer and teaches.

→ SELECT \*

FROM customer, branch

WHERE customer.branch-name = branch.branch-name

will rename the attribute while displaying

→ SELECT name, yearly-income/12 AS monthly-income  
FROM CUSTOMER

WHERE branch-name = 'Dhanbad'

name	monthly-income

→ SELECT name .

FROM CUSTOMER AS T , CUSTOMER AS S

WHERE T.income > S.income AND

S.branch-name = 'Dhanbad'

Instructor (name , dept-name , salary , id)

Q. Find inst instructor whose salary is greater than at least some instructors in CSE?

SELECT name

FROM instructor

WHERE salary > SOME

(SELECT salary

FROM Instructor

WHERE dept-name = 'CSE')

} my soln

(Nested query)

OR

SELECT T.name

FROM instructor AS T , instructor AS S

WHERE T.salary > S.salary

AND S.name = S.dept-name = 'CSE'

} using cartesian

product

## STRING operations

① % matches with any substring → (set of characters)

② \_ matches with any character

Check whether given string "Aarti" has t in it.

% t %

Ques. Find Select instructors who has t in their name.

```
SELECT Name  
From Instructor  
WHERE name LIKE %t%
```

- ① In% → matches with any string beginning with int as introduction, introduce etc
- ② In\_t\_ → matches with any string of exact length and 1<sup>st</sup>, 2<sup>nd</sup>, 4<sup>th</sup> character should be i, n, t respectively
- ③ \_\_\_% → matches with any string of length at least 3.
- ④ \_\_\_ → matches with any string of length exactly 3

Sorting in tables

Order:

\* SELECT name  
FROM Instructor } By default Ascending  
ORDER BY name

\* SELECT name  
FROM Instructor  
ORDER BY name DESC/ASC } <sup>descending</sup> <sub>ascending</sub>

\* SELECT name, dept name  
FROM Instructor  
ORDER BY name, dept name } will order by ~~name~~ then by dept name.

Find the instructor name whose salary is between 1000 and 1500

```
SELECT name, dept_name
FROM instructor
WHERE salary BETWEEN 1000 AND 1500
```

OR

```
SELECT name
FROM instructor
WHERE (salary > 1000) AND (salary < 1500)
```

OR (SELECT name

```
FROM instructor
```

```
WHERE salary > 1000)
```

INTERSECT

```
(SELECT name
```

```
FROM instructor
```

```
WHERE salary < 1500)
```

① UNION

② INTERSECT

③ EXCEPT

SET Difference

By default remove  
duplicate tuples.

\* If we want duplicates as well then  
we write

① UNION ALL

② INTERSECT

need to ?  
check ?

③

NULL values and NULL operations

NULL + 5 → NULL

NULL = NULL → unknown

## NULL values

- ① They are used to denote missing values in database
- ② SQL treats as unknown the following

$5 > \text{null}$  → unknown

$\text{null} > \text{null}$  → unknown

$\text{null} = \text{null}$  → unknown

$5 > 2$  → True

$2 > 5$  → False

Some examples:

①  $(5 > \text{null}) \vee (1 > 2)$  → unknown

unknown  
 (may be true  
 or false)      ↓  
 false

②  $(5 > \text{null}) \vee (5 > 2)$  → True.

unknown      ↓  
 (may be true  
 or false)      True

whatever be the value of unknown, since  
 it is an OR operator with  
 true hence the result will be True.

instructor

ID	name	dept name	Salary
1	Ram	CSE	1000
2	Shyam	Maths	900
3	Aarti	Maths	1500
4	Sarab	CSE	700

## AGGREGATE FUNCTIONS

**Avg**

**Count**

**Min**

**Max**

**Sum**

Q → find average salary in 'CSE' dept.

```
SELECT AVG(salary)
  FROM instructor
```

WHERE dept-name = 'CSE'

Q → Count the no. of instructor whose salary is greater than 1000 from CSE dept

```
SELECT COUNT(salary)
  FROM instructor
```

WHERE dept-name = 'CSE' AND salary > 1000

## GROUP BY

① SELECT dept-name , Avg(salary)

FROM instructor

GROUP BY dept-name

dept-name	salary
CSE	850
Maths	1200

② SELECT dept-name , avg(salary)  
FROM instructor  
GROUP BY dept-name  
HAVING AVG(salary) > 1000

Section				
Course-id	Section	Semester	Year	
MTH101	A	Monsoon	2020	
CSE101	B	Winter	2021	
MTH102	A	Winter	2022	
MTH103	B	Monsoon	2021	
MTH101	B	Winter	2021	

### NESTED QUERIES

Ques. Find the courses that were offered in Monsoon 2020 and (notin) Winter 2021.

```

SELECT course_id
FROM section
WHERE Semester = 'Monsoon' (NOT IN)
AND year = 2020
AND course_id IN (
    SELECT course_id
    FROM section
    WHERE semester = 'winter'
    AND year = 2021)
  
```

If we will try to do this query in a single query, we will have to use cartesian product of table section because we need to process two tuples at the same time

EXIST ( )  $\rightarrow$  True if  $\exists i \neq \phi$ , false otherwise

NOT EXIST ( )  $\rightarrow$  True if  $\exists i = \phi$ , false otherwise

Some

All

Q Find name of instructors whose salary is greater than some instructor in CSE?

SELECT name

From instructors

WHERE salary > Some (SELECT salary  
From instructor)

WHERE dept\_name = 'CSE'

$$\star 5 > \text{Some} \left( \frac{6}{7}, \frac{8}{8} \right) \text{ false}$$

$$15 > \text{All} \left( \frac{6}{7}, \frac{8}{8} \right) \text{ True}$$

$$4 < \text{Some} \left( \frac{0}{-5}, \frac{1}{-5} \right) \text{ false}$$

$$4 < \text{All} \left( \frac{0}{-5}, \frac{1}{-5} \right) \text{ False}$$

$$5 = \text{Some} \left( \frac{0}{5}, \frac{1}{5} \right) \text{ True}$$

$$5 \neq \text{All} \left( \frac{0}{5}, \frac{1}{5} \right) \text{ False}$$

$$3 \neq \text{Some} \left( \frac{3}{0} \right) \text{ True}$$

$$3 \neq \text{All} \left( \frac{3}{0} \right) \text{ False}$$

Ques. Find the name of instructors whose salary is greater than all the instructors in CSE.

SELECT name

FROM instructors

WHERE salary > ALL (SELECT salary  
From instructor)

WHERE dept\_name = 'CSE')

Ques. ~~Find~~ To find the average salary of instructors in the department where average salary is greater than 900 ? (do not use ~~it~~ without using HAVING CLAUSE, use nested subquery)

~~dept\_name,~~  
SELECT ↑ AVG(salary)  
FROM ~~instructors~~

WHERE

SELECT dept\_name, avg\_salary  
FROM (SELECT dept\_name, ~~avg(salary)~~ AS avg\_salary  
From instructor  
GROUP By dept\_name)  
WHERE avg\_salary > 900

department

dept-name	building	Floor
CSE	Science Bui	3
maths	Khosla Bui	2
history	Huma Bi	2

Instructor

name	dept-name	salary	ID
Ram	CSE	900	1
Shyam	maths	300	2
Aarti	CSE	1200	3
Dalvibh	history	1300	4

Views

Views are subset of relations that are not materialised but rather stored as an expression.

→ Create a view without salary column in instructor

CREATE VIEW view-name AS

SELECT ID, name, dept-name

FROM Instructor

→ We can create another view from views.

If  $v_1$  and  $v_2$  be two views.

e.g.

CREATE VIEW  $v_3$  AS ( $v_1, v_2$ )

→ We may sometimes update through ↑ but sometimes a conflict may arise.

→ Create a view which with columns : ID, instructor.name, dept-name, building.

CREATE VIEW view2 AS

SELECT ID, name, building

FROM instructor, building

WHERE instructor.dept-name = department.dept-name )

- \* now suppose someone try to insert a tuple into this view.  
This will lead to a conflict.

- \* If a view is created using more than one relation, insertion into that view may lead to a conflict.

But if a view is created from only one relation, most of the languages allows updation in that case.

If a view contains detail of instructors in CSE dept. Then updating it with (Sanjay, biology; 3) is not possible.

SIMPLE VIEWS → When SELECT has only  
should not have any aggregate functions

TRANSACTIONS → Represent a unit of work

↳ group certain no. of queries to perform a task.

Transaction is a unit of work that consists of a sequence of queries.

It ends with :

(1) Commit work :

(2) Rollback work :

Isolation

Concurrent transactions

Basic purpose of these transactions is to fulfill integrity constraints.

### Check (command)

↳ will make sure given constraints are satisfied.

`CREATE TABLE instructor`

`(ID varchar`

`name`

`salary`

Rupesh

`PRIMARY KEY (ID)`

`CHECK ( salary > 100 )`

`FOREIGN KEY (dept_name) REFERENCES department`

`ON UPDATE CASCADE ON DELETE CASCADE )`

data type

defined on next page.

→ Cascading actions on delete.

If an update violates foreign key constraint then either rejects it or do cascade operations

→ ASSERTIONS

`CREATE ASSERTION` → can also

and allow us to maintain consistency of data.

`CREATE ASSERTION`    `CHECK ( — )`

## DATA TYPES

→ There are by default certain data types as date,

But we can also create our own data types.

example:

① Date : with format 'YYYY-MM-DD'

② time :

③ timestamp :

→ CREATE TYPE Rupee AS  
numeric(10,2)

## Date types

→ CREATE DOMAIN dept-name AS varchar(10)  
CONSTRAINT dept-domain-constraint  
CHECK (VALUE IN ('maths', 'CSF', 'biology'))

Index → help to retrieve a tuple quickly

↳ It allows to search the database efficiently without scanning the whole database again for retrieving a particular tuple. So it will not create index by default. You will have to give a command for that.

~~CREATE INDEX~~ index-name

CREATE INDEX index.name ON instructor (ID);

SELECT \*

FROM student/instructor  
WHERE ID = 170

} → After creating an index, this query will run ~~more~~ much faster.

### AUTHORIZATION:

Read If you give authorization Read, then user can only read the Data

Insert

Modify

Delete

These accesses can be given on indices, relations (resources), Alteration, Drop.  
~~Index, Resources~~ → change in DB

Select

grant select on Instructor to user\_id

grant insert " " " "

delete " " " "

update " " " "

all privileges

Revoke: to take back privileges

REVOKE insert ON instructor FROM user\_id

→ If PUBLIC → Then all users get that access

Role :

CREATE A ROLE faculty

CREATE A ROLE Dean

GRANT faculty ~~TO~~ To Aarthi

GRANT Dean To Ram

GRANT ~~no~~ MODIFY ON instructor ~~TO~~ TO Dean

GRANT Faculty To DEAN

Next chapterCREATING FUNCTIONS WITHIN SQL

↳ (user defined)

→ A function that returns the count of the <sup>from</sup> instructor in a dept <sup>in</sup> instructor table.

dept\_count SELECT dept\_name

FROM ~~instructor~~ department

WHERE ~~&~~ dept\_count(dept\_name) ≥ 2

→ We can embed SQL queries in Python code.

Ques Given name of department find the count of instructors in that department.

Instructor( ID, name, dept\_name, salary)

department( dept\_name, building, floor)

CREATE FUNCTION dept\_count(dept\_name varchar(20))

RETURNS INTEGER

BEGIN

```

DECLARE d-count INTEGER
SELECT COUNT(*) INTO d-count
FROM instructor
WHERE instructor.dept-name = dept-name
RETURN d-count
END

```

find count of instructors in science block.

```

SELECT dept-name, dept-count(dept-name)
FROM department
WHERE department.building = "science block"

```

#

```

SELECT dept-name
FROM department
WHERE dept-count(dept-name) > 1

```

## Table functions in SQL

Ques. Given name of department find the details of all instructors in that department.

```

CREATE FUNCTION instructor_of (dept-name CHAR(20)) RETURN (20)
RETURNS TABLE ( ID CHAR,
                 salary INT,
                 name CHAR,
                 dept-name CHAR)

```

RETURN TABLE ( SELECT ID, salary, name, dept-name  
FROM instructor  
WHERE instructor.dept-name = dept-name )

→ SELECT \*

FROM table instructor-of (maths))

# ~~FOR~~

# FOR LOOP

DECLARE &count INTEGER DEFAULT 0;

FOR &i AS

SELECT salary

FROM instructor

DO

SET &count = &count + &i.salary

END FOR

TRIGGERS

↳ similar syntax as that of Functions. (need to  
 write CREATE TRIGGER instead of CREATE FUNCTION)

↳ you can disable triggers & in certain situations (when needed)

## Course

#2  
FREEMIND

course_id	prereq_id
MTH104	MTH103
CSE102	CSE101
MTH103	MTH102
MTH102	MTH101

Date \_\_\_\_\_

Page \_\_\_\_\_

## RECURSION

Ques Find all the pre-requisites for a given course?

### rec-course

course_id	prereq_id
MTH104	MTH103
CSE102	CSE101
MTH103	MTH102
MTH102	MTH101
MTH104	MTH102
MTH103	MTH101
<del>MTH104</del>	<del>MTH103</del>
MTH104	MTH101

### Iteration 1

MTH104	MTH102
MTH103	MTH101

### Iteration 2

MTH104	MTH102
MTH103	MTH101
MTH104	MTH101

Query:

WITH RECURSIVE rec-course (course\_id, prereq\_id)

AS ( SELECT \*

FROM course

UNION SELECT rec-course.course\_id, course.prereq\_id

FROM ~~rec~~ rec-course , course

WHERE rec-course.prereq\_id = course.course\_id)

SELECT \*

FROM rec-course

## windowing

Next chapter (E-R model)

Entity Relationship Model

object

relation b/w two objects

Instructor

name	ID	salary	dept-name

student

name	dept-name

Entity: An object or thing in database distinguishable from other objects. It is described by a set of attributes.

Relationship: An association between different entities.

Entity set: A set of entities with same attribute

Instructor
name
ID
salary
dept-name

Relationship set: A mathematical relation between entity sets.  
 $\{(e_1, e_2, \dots, e_n) \mid e_i \in E_1, e_2 \in E_2, e_3 \in E_3, \dots, e_n \in E_n\}$   
 each  $E_i$  is entity set  
 $e_j$  is tuple

