# Post-Quantum DNSSEC Architecture: A Quantum-Resistant Framework for DNS Security

## 1 Introduction

The Domain Name System Security Extensions (DNSSEC) provide cryptographic authentication and integrity for DNS data, protecting against attacks like cache poisoning [1]. However, the advent of quantum computing threatens traditional cryptographic algorithms (e.g., RSA, ECDSA) used in DNSSEC, as they can be broken by quantum algorithms like Shor's algorithm [10]. To address this, we propose a **Post-Quantum DNSSEC Architecture** that replaces these algorithms with quantum-resistant alternatives:

- Zone Signing Key (ZSK): Uses Falcon-512, a lattice-based signature scheme, to sign DNS resource record sets (RRsets) [12].
- Key Signing Key (KSK): Uses Falcon-512 within a Merkle Tree, a hash-based structure, to authenticate the KSK public key and sign the DNSKEY RRset [14].

This report details the architecture, its components, the end-to-end workflow, a practical example, security benefits, and practical considerations for deployment. The goal is to ensure DNSSEC remains secure in a post-quantum world while maintaining compatibility with existing standards [3].

## 2 Architecture Overview

The proposed architecture integrates post-quantum cryptography into DNSSEC while preserving its core structure: a chain of trust from the root zone to authoritative zones [2]. Below are the key components.

#### 2.1 Zone Signing Key (ZSK) - Falcon-512

- Purpose: Signs all RRsets (e.g., A, MX, TXT records) in a DNS zone.
- Algorithm: Falcon-512, a lattice-based signature scheme selected by NIST for post-quantum standardization [13].

# • Characteristics:

- Security: Based on NTRU lattices and the Short Integer Solution (SIS) problem, providing quantum-resistant security [12].
- Signature Size: 666 bytes, suitable for DNS packets.
- Performance: Offers fast signing and verification.

#### • Role in DNSSEC:

- The ZSK public key (897 bytes) is published in the DNSKEY RRset [2].
- Each RRset is signed with Falcon-512, producing an RRSIG record.

# 2.2 Key Signing Key (KSK) - Falcon-512 with Merkle Tree

- Purpose: Signs the DNSKEY RRset, establishing the chain of trust.
- Algorithm: Falcon-512 signatures, with a Merkle Tree to authenticate multiple KSK public keys [14].

#### • Characteristics:

- Security: Combines Falcon-512's lattice-based security with the collision resistance of SHA-256 in the Merkle Tree [4].
- Structure: A binary tree where leaves are SHA-256 hashes of Falcon-512 KSK public keys, and the root (Merkle root) is the KSK public key published in the DNSKEY RRset.
- Statelessness: No need to track signature state, as each KSK public key is used with Falcon-512 signatures.

#### • Role in DNSSEC:

- The Merkle root is published in the DNSKEY RRset as the KSK public key.
- The DNSKEY RRset is signed with a Falcon-512 KSK private key, producing an RRSIG.
- The RRSIG is accompanied by a Merkle authentication path to verify the KSK public key against the Merkle root.

#### 2.2.1 The Authenticated Path

- The authenticated path in the Merkle Tree consists of sibling hashes from the leaf (hash of a KSK public key) to the root [14].
- During validation, the resolver:
  - Hashes the KSK public key used in the RRSIG.
  - Iteratively combines this hash with the sibling hashes in the authenticated path to recompute the Merkle root.
  - Verifies that the recomputed root matches the KSK public key in the DNSKEY RRset.
- If valid, the resolver uses the KSK public key to verify the Falcon-512 signature on the DNSKEY RRset, ensuring the chain of trust.

#### 2.3 DNSKEY Resource Record Set

## • Contents:

- ZSK public key (Falcon-512, 897 bytes).
- KSK public key (Merkle root, 32 bytes).
- Role: Published in the zone, signed by the KSK, and used by resolvers to verify RRset signatures [2].

# 2.4 Delegation Signer (DS) Record

- Purpose: Links the child zone to the parent zone in the chain of trust.
- Content: A SHA-256 hash of the KSK public key (Merkle root) [2].
- Role: Published in the parent zone, signed by the parent's ZSK.

#### 3 End-to-End Workflow

The workflow is divided into two phases: **Key Generation and Signing** (zone owner) and **Validation** (resolver). We use example.com as the example zone.

# 3.1 Key Generation and Signing (Zone Owner)

# 3.1.1 Step 1: Generate ZSK (Falcon-512)

#### • Process:

- Generate a Falcon-512 key pair:
  - \* Private key: Short polynomials in an NTRU lattice.
  - \* Public key: 897 bytes, derived from the private key [12].
- Publish the public key in the DNSKEY RRset: example.com DNSKEY 256 3 16
  Start Company Compan

#### 3.1.2 Step 2: Generate KSK (Falcon-512 with Merkle Tree)

#### • Process:

- Generate multiple Falcon-512 KSK key pairs (e.g., 8 keys).
- Compute leaf nodes by hashing each KSK public key with SHA-256 [4].
- Build the Merkle Tree:
  - \* Pairwise hash the leaf nodes (SHA-256) to form the next layer.
  - \* Continue until reaching the Merkle root (32 bytes) [14].
- Publish the Merkle root in the DNSKEY RRset: example.com DNSKEY 257 3 16
  <a href="https://doi.org/10.1007/base64-encoded-enc
- Sign the DNSKEY RRset with a Falcon-512 KSK private key, producing an RRSIG.
- Include the corresponding KSK public key and Merkle authentication path (sibling hashes) with the RRSIG.

#### 3.1.3 Step 3: Sign RRsets with ZSK

#### • Process:

- For each RRset (e.g., example.com A 192.0.2.1):
  - \* Canonicalize the RRset and metadata (e.g., TTL, owner name) [2].
  - \* Compute a SHA-256 hash [4].
  - \* Sign with Falcon-512:
    - · Map the hash to a lattice point.
    - · Use the private key to find a short vector (signature, 666 bytes) [12].
  - \* Publish the signature in an RRSIG record.

#### 3.1.4 Step 4: Register DS Record

#### • Process:

- Compute the DS record by hashing the Merkle root (SHA-256) [2].
- Submit to the parent zone (e.g., .com), which signs it with its ZSK.

## 3.2 Validation (Resolver)

## 3.2.1 Step 1: Validate DS Record

- Query the parent zone (.com) for the DS record of example.com.
- Verify the parent's RRSIG on the DS record using the parent's ZSK [3].

## 3.2.2 Step 2: Validate DNSKEY RRset

- Query example.com for its DNSKEY RRset.
- Verify the RRSIG on the DNSKEY RRset:
  - Hash the provided KSK public key (SHA-256) [4].
  - Use the Merkle authentication path to recompute the Merkle root [14].
  - Compare with the KSK public key (Merkle root) in the DNSKEY RRset.
  - If valid, use the KSK public key to verify the Falcon-512 signature on the DNSKEY RRset [12].
- Verify the DS record by hashing the Merkle root and comparing with the DS hash.

#### 3.2.3 Step 3: Validate RRsets

- Query for the RRset (e.g., example.com A).
- Retrieve the RRSIG.
- Use the ZSK public key (Falcon-512) to verify the signature:
  - Recompute the SHA-256 hash of the canonicalized RRset and metadata [4].
  - Verify the Falcon-512 signature using the ZSK public key

#### 3.2.4 Step 4: Chain of Trust

\* Recursively validate up the hierarchy (.com to root) until a trusted anchor (root KSK) is reached [3].

# 4 Security Analysis

#### \* Quantum Resistance:

- · Falcon-512: Secure against quantum attacks (Shor's algorithm ineffective against lattice problems) [12].
- · Merkle Tree: Relies on SHA-256, providing quantum resistance via collision resistance [4, 11].

# \* Classical Security:

· Falcon-512 offers 128-bit security against classical adversaries [13].

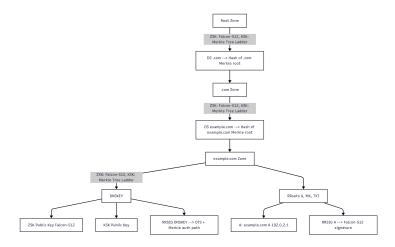


Figure 1: Post-Quantum DNSSEC workflow with Falcon-512 and Merkle Tree.

· Merkle Tree ensures KSK public key authenticity with SHA-256's 256-bit security [4].

## \* Attacks Mitigated:

- · Forgery: Prevented by Falcon-512 signatures and Merkle Tree authentication [1].
- · Key Compromise: Mitigated by frequent ZSK rotation and secure KSK management [5].

# \* Merkle Tree Integrity:

· Ensures authenticity of multiple KSK public keys without requiring state management [14].

# 5 Advantages

\* Quantum Resistance: Falcon-512 and SHA-256-based Merkle Tree are NIST-aligned for post-quantum security [13].

## \* Performance:

- · Falcon-512: Compact signatures (666 bytes) and fast operations [12].
- · Merkle Tree: Efficient verification with small authentication paths (e.g., 3 hashes for 8 keys) [14].
- \* Compatibility: Uses existing DNSSEC records (DNSKEY, DS, RRSIG) [2].

# \* Separation of Concerns:

- · ZSK (Falcon-512): Optimized for frequent RRset signing.
- · KSK (Falcon-512 + Merkle Tree): Authenticates KSK public keys for long-term security.
- \* Statelessness: No need to track signature state, unlike one-time signature schemes [15].

# 6 Practical Considerations

# \* Signature Sizes:

- · Falcon-512: 666-byte signatures fit within DNS packets (with EDNS0) [6].
- · Merkle Tree: Authentication paths (e.g., 96 bytes for 3 levels) are compact but require EDNS0 or DNS-over-TLS/DoH for larger RRsets [7, 9].

## \* Key Rotation:

- · ZSK: Rotate monthly using standard DNSSEC rollover [5].
- · KSK: Rotate when KSK key pairs are depleted or compromised, updating the Merkle root and DS record.

# \* Implementation:

- · Use liboqs for Falcon-512 and OpenSSL for SHA-256 [16].
- · Update DNS software (e.g., BIND, Unbound) to support algorithm ID 16 (Falcon-512) and Merkle Tree paths [17, 18].

## \* Transition Strategy:

- · Deploy hybrid DNSKEY RRsets with ECDSA and Falcon-512 [19].
- · Update trust anchors gradually as resolvers support new algorithms.

#### \* Storage:

- · Store KSK private keys securely (e.g., HSM) [8].
- · Cache Merkle Tree data for efficient signing and verification.

# 7 Summary Table

Component Algorithm		Purpose	Signing Data	Validation Process
ZSK	Falcon-512	Signs RRsets	A, MX, TXT, etc.	Verify Falcon-512 sig using ZSK public key
KSK	Falcon-512 - Merkle Tree	+ Signs DNSKEY RRset	DNSKEY RRset	Verify Falcon-512 sig + Merkle path to root
DS	SHA-256	Authenticates KSK	Hash of Merkle root	Compare hash with KSK public key

Table 1: Summary of Post-Quantum DNSSEC Components

# 8 Conclusion

The proposed Post-Quantum DNSSEC Architecture ensures DNSSEC's security in a quantum computing era. By using Falcon-512 for both ZSK and KSK, and a Merkle Tree to authenticate KSK public keys, it balances performance, security, and compatibility [13, 14]. The workflow for example.com demonstrates its practicality, while the security analysis confirms its robustness against quantum and classical threats [10, 11]. Deployment requires DNS software updates and careful KSK management, but the architecture provides a scalable foundation for securing DNS in a post-quantum world

# 9 References

## References

[1] R. Arends, R. Austein, M. Larson, D. Massey, and S. Rose, "DNS Security Introduction and Requirements," RFC 4033, March 2005. https://tools.ietf.org/html/rfc4033

- [2] R. Arends, R. Austein, M. Larson, D. Massey, and S. Rose, "Resource Records for the DNS Security Extensions," RFC 4034, March 2005. https://tools.ietf.org/html/rfc4034
- [3] R. Arends, R. Austein, M. Larson, D. Massey, and S. Rose, "Protocol Modifications for the DNS Security Extensions," RFC 4035, March 2005. https://tools.ietf.org/html/rfc4035
- [4] D. Eastlake 3rd and T. Hansen, "US Secure Hash Algorithms (SHA and SHA-based HMAC and HKDF)," RFC 6234, May 2011. https://tools.ietf.org/html/rfc6234
- [5] O. Kolkman, W. Mekking, and R. Gieben, "DNSSEC Operational Practices, Version 2," RFC 6781, December 2012. https://tools.ietf.org/html/rfc6781
- [6] J. Damas, M. Graff, and P. Vixie, "Extension Mechanisms for DNS (EDNS(0))," RFC 6891, April 2013. https://tools.ietf.org/html/rfc6891
- [7] Z. Hu, L. Zhu, J. Heidemann, A. Mankin, D. Wessels, and P. Hoffman, "Specification for DNS over Transport Layer Security (TLS)," RFC 7858, May 2016. https://tools.ietf.org/html/rfc7858
- [8] O. Gudmundsson and P. Wouters, "Managing DS Records from the Parent via CDS/CDNSKEY," RFC 8078, March 2017. https://tools.ietf.org/html/rfc8078
- [9] P. Hoffman and P. McManus, "DNS Queries over HTTPS (DoH)," RFC 8484, October 2018. https://tools.ietf.org/html/rfc8484
- [10] P. W. Shor, "Algorithms for quantum computation: Discrete logarithms and factoring," in *Proceedings 35th Annual Symposium on Foundations of Computer Science*, pp. 124–134, November 1994. https://doi.org/10.1109/SFCS.1994.365700
- [11] L. K. Grover, "A fast quantum mechanical algorithm for database search," in Proceedings of the Twenty-Eighth Annual ACM Symposium on Theory of Computing, pp. 212–219, May 1996. https://doi.org/10.1145/237814.237866
- [12] T. Prest, P.-A. Fouque, J. Hoffstein, P. Kirchner, V. Lyubashevsky, T. Pornin, T. Ricosset, G. Seiler, W. Whyte, and Z. Zhang, "Falcon: Fast-Fourier Latticebased Compact Signatures over NTRU," Submission to NIST Post-Quantum Cryptography Standardization, 2020. https://falcon-sign.info/falcon.pdf
- [13] National Institute of Standards and Technology, "NIST Announces First Four Quantum-Resistant Cryptographic Algorithms," July 2022. https://www.nist.gov/news-events/news/2022/07/nist-announces-first-four-quantum-resistant-cryptographic-algorithms
- [14] R. C. Merkle, "A Digital Signature Based on a Conventional Encryption Function," in Advances in Cryptology CRYPTO '87, pp. 369–378, Springer, 1987. https://doi.org/10.1007/3-540-48184-232
- [15] D. J. Bernstein, D. Hopwood, A. Hülsing, T. Lange, R. Niederhagen, L. Papachristodoulou, M. Schneider, P. Schwabe, and Z. Wilcox-O'Hearn, "SPHINCS: Practical Stateless Hash-Based Signatures," in Advances in Cryptology EUROCRYPT 2015, pp. 368–397, Springer, 2015. https://doi.org/10.1007/978-3-662-46800-5<sub>1</sub>5
- [16] Open Quantum Safe, "liboqs: C library for quantum-resistant cryptographic algorithms," 2023. https://openquantumsafe.org/liboqs/
- [17] Internet Systems Consortium, "BIND 9 Documentation," 2023. https://bind9.readthedocs.io/en/latest/
- [18] NLnet Labs, "Unbound: A validating, recursive, caching DNS resolver," 2023. https://www.nlnetlabs.nl/projects/unbound/about/

[19] S. Huque, "Post-Quantum Cryptography in DNSSEC," NANOG 77, October 2019. https://archive.nanog.org/sites/default/files/20191028 $_Huque_Post-Quantum_Cryptography_In_v1.pdf$