

ADVANCED OPERATING SYSTEMS

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Module 5 [7 Hours]

Database Systems: Requirements of a Database Operating System, Problem of Concurrency Control, Serializability, Basic Synchronization Primitives for Concurrency Control- Lock Based Algorithms-Static Locking, Two-Phase Locking (2PL), Time Stamp Based Algorithms- Basic Timestamp Ordering Algorithm, Thomas Write Rule (TWR), Multiversion Timestamp Ordering Algorithm, Conservative Timestamp Ordering Algorithm, Optimistic Algorithms.

Self-Study: Computer security and database security.

OPTIMISTIC ALGORITHMS

- Optimistic concurrency control algorithms are based on the assumption that conflicts among transactions are rare.
- In optimistic algorithms, no synchronization is performed when a transaction is executed, but at the end of the transaction's execution, a check is performed to determine if the transaction has conflicted with any other concurrently running transaction.
- In case of a conflict, the transaction is aborted, otherwise it is committed.
- When conflicts among transactions are rare, very few transactions need to be rolled back.
- Thus, transaction roll-backs can be effectively used as a concurrency control mechanism rather than locking.

Kung-Robinson Algorithm

- In their technique, a transaction always executes concurrently with other transactions without any synchronization check, but before its writes are written in the database, it is validated.
- In the validation phase, it is determined whether actions of the transaction have conflicted with those of any other transaction.
- If found in conflict, then the tentative writes of the transaction are discarded and the transaction is restarted. The basic algorithm is as follows:

Algorithm

- The execution of a transaction is divided into three phases: read phase, validation phase, and write phase.
- In the read phase, appropriate data objects are read, the intended computation of the transaction is done, and writes are made on a temporary storage.
- In the validation phase, it is checked if the writes made by the transaction violate the consistency of the database.
- If the check passes, then in the write phase, all the writes of the transaction are made to the database.

THE VALIDATION PHASE.

- In the validation phase of a transaction T , it is checked if a transaction exists that has its write phase after the beginning of the read phase of T , but before the validation phase of T , and which has its writeset intersected by the readset of T .
- If there exists such a transaction, a conflict occurs and T is restarted.
- Formally, each transaction is assigned a unique (monotonically increasing) sequence number after it passes the validation check and before its write phase starts.

Let t_s be the highest sequence number at the start of T and t_f be the highest sequence number at the beginning of its validation phase.

After the read phase of transaction T , the following algorithm is executed which consists of the validation phase and a possible write phase of T :

➤ <valid: = true;

for $t := t_s + 1$ to t_f do

if ($\text{writeset}[t] \cap \text{readset}[T] \neq \emptyset$) then

 valid: = false;

if valid then {write phase; increment counter,

 assign T a sequence number} >

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- A read-only transaction does not have a write phase, but it still has to be validated using the above validation algorithm.
 - The optimistic approach is suitable only in environments where conflicts are unlikely to occur, as in a query dominant system.
 - Schlegeter proposed an improvement to the Kung-Robinson method wherein a read transaction always proceeds without validation check and thus without the risk of restarts ,
 - In the Kung-Robinson method, read transactions are treated in the same way as update transactions, and thus, are subject to a validation check with the risk of restart