

**Notes
on
Design and Analysis
of
Algorithm**

By

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(Module –II)

**Advanced Data Structures: Red-Black Trees, B – Trees, Binomial Heaps,
Fibonacci Heaps, Tries, Skip List**

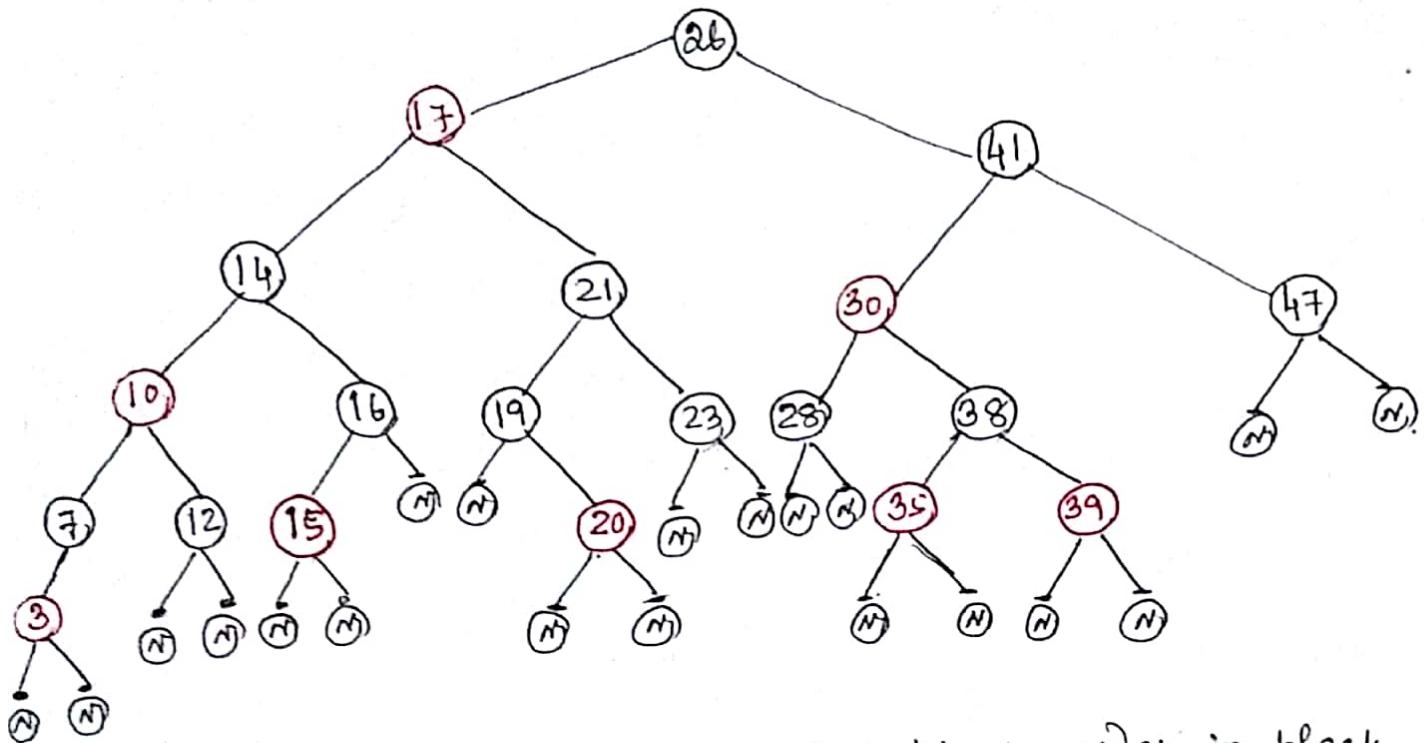
Red-Black Trees.

A red-black tree is a binary Search Tree (BST) with one extra bit of storage per node; i.e. its color, which can be either Red or Black. Hence each node of the tree contains the ~~the~~ following attributes, i.e. color, key or element data, left, right and p. (note: if a child or parent of a node does not exist, the corresponding pointer attributes of the node contain the value NULL).

A red-black tree is a binary ^{height} search tree which satisfies the following properties:-

1. Every node is either red or black.
2. The root is always black.
3. The every leaf node (i.e. the node who have no child) is always black.
4. If a node is red, then both its children is always black.
5. For each node, all simple paths from the node to descendant leaves contain the same number of black nodes. (i.e. otherwise known as black-height (bh) of a node denoted by $bh(node)$).

An example of red-black tree is given on the next page.



(Figure: A red-black tree with black nodes in black color and red nodes written in red color.

$\textcircled{1}$ \leftarrow Red nodes $\textcircled{2} \leftarrow$ Black nodes .

Rotations

Kotakam:

- The search operations are on a red-black tree with n keys or items take $O(\lg n)$ time. These operations are basically two types. They are Tree-Insert and Tree-delete. These operations modify the tree and the results may violate the properties of Red-black tree. So to restore these properties, there is a need to change the color of some nodes in the tree and also change the pointer structure of the tree.

The change in pointer structure was done by through rotation, which is a common operation in RB-Tree to for preserving the property of BST as well as RB-Tree. These

rotations are basically two types. They are left rotation and right rotation.

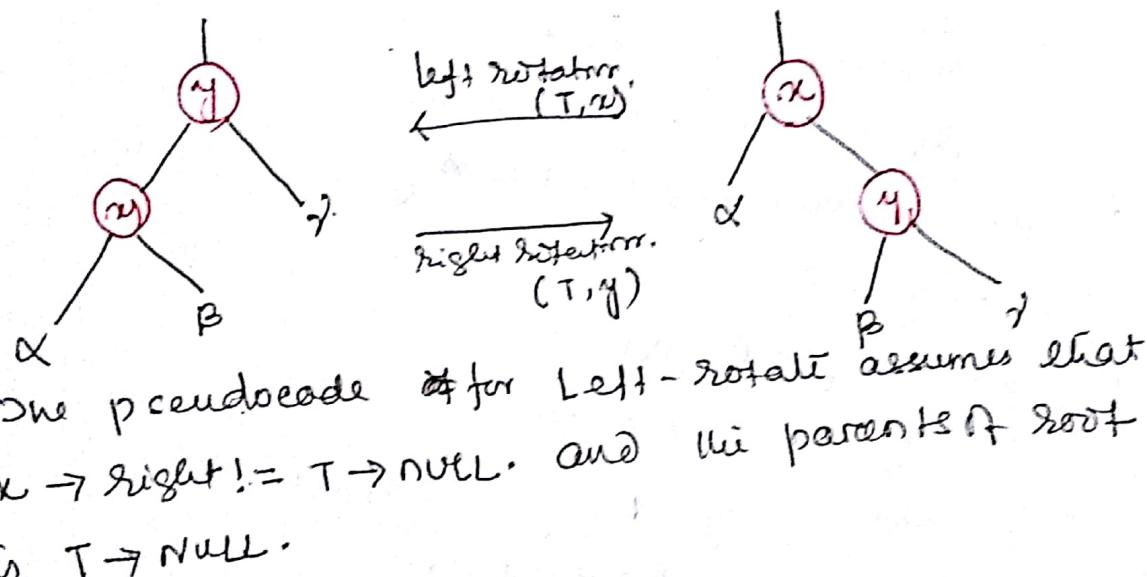
Left rotation

When a left rotation on a node α is performed,

→ it will be assumed that the right child of node α is not null.

(i.e. α may be any node in the tree T whose right child is not $T \rightarrow \text{NULL}$)

→ one left rotation is from α to γ , as shown in below figure.



The pseudocode for Left-rotate assumes that $x \rightarrow \text{right} \neq T \rightarrow \text{NULL}$. and the parent of root is $T \rightarrow \text{NULL}$.

Left Rotate (T, x)

1. $y = x \rightarrow \text{right}$ // Set y
2. $x \rightarrow \text{right} = y \rightarrow \text{left}$. // transfer $y \rightarrow \text{left}$ subtrees to the right of x .
3. if $y \rightarrow \text{left} \neq T \rightarrow \text{NULL}$
4. $y \rightarrow \text{left} \rightarrow P = x$
5. $y \rightarrow P = x \rightarrow P$.
- 6.

6. if $x \rightarrow p = T \cdot \text{NULL}$
7. $T \rightarrow \text{root} = y$
8. else if $x == x \rightarrow p \rightarrow \text{left}$
9. $x \rightarrow p \rightarrow \text{left} = y$
10. else $x \rightarrow p \rightarrow \text{right} = y$
11. $y \rightarrow \text{left} = x$
12. $x \rightarrow p = y$

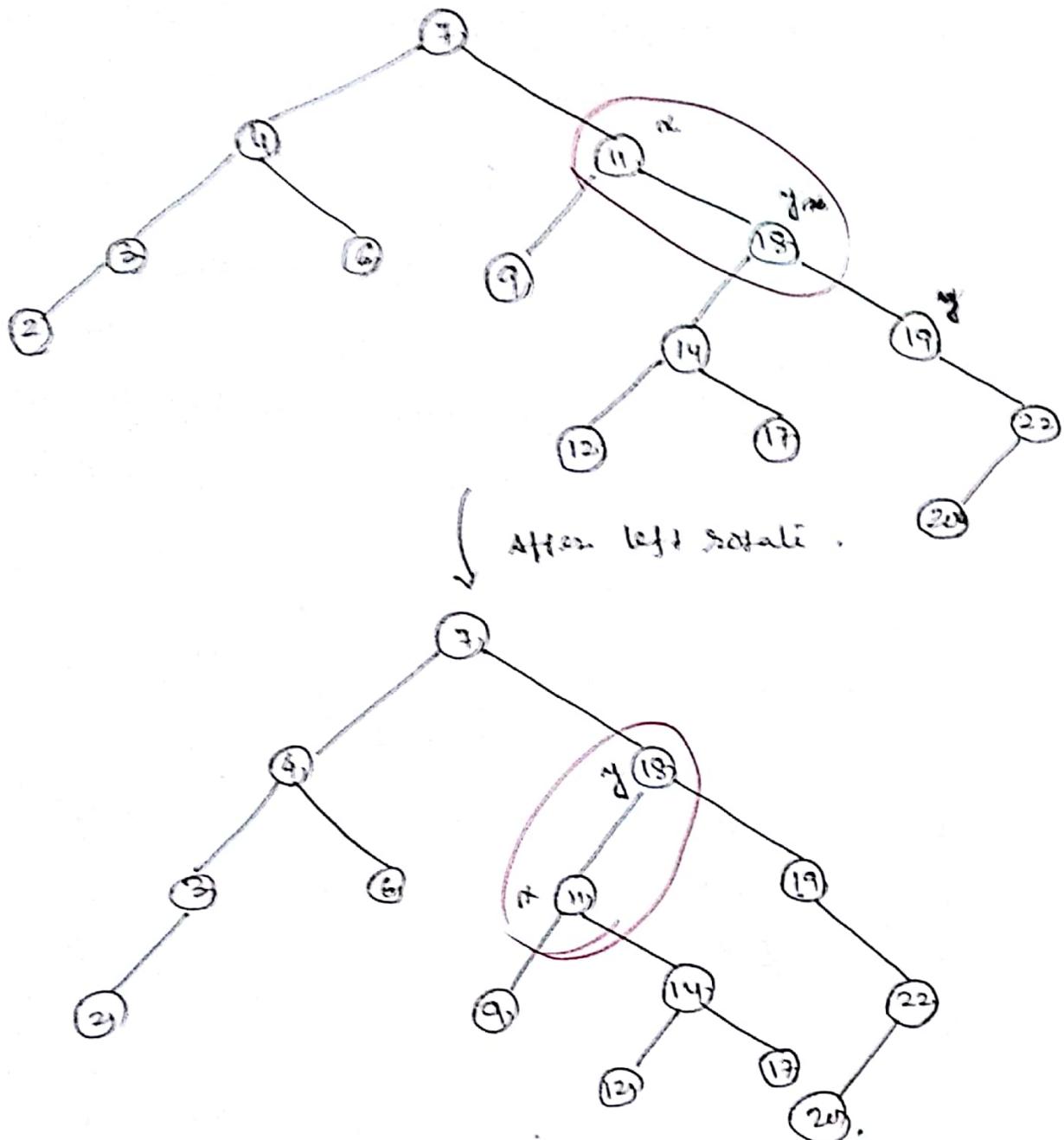
The right-rotate algorithm is symmetric.

Right Rotate (T, y)

1. $y = x \rightarrow \text{left}$
2. $x \rightarrow \text{left} = y \rightarrow \text{right}$
3. if $y \rightarrow \text{right} = T \rightarrow \text{NULL}$
4. $x \rightarrow y \rightarrow \text{right} \rightarrow p = *y$
5. $x \rightarrow p = y \rightarrow p$
6. if $y \rightarrow p = T \rightarrow \text{NULL}$
7. $T \rightarrow \text{root} = y$
8. else if $y = *p = y \rightarrow p \rightarrow \text{right}$
9. $y \rightarrow p \rightarrow \text{right} = x$
10. else $y \rightarrow p \rightarrow \text{left} = x$
11. $x \rightarrow \text{right} = y$
12. $y \rightarrow p = x$

Note: Both Left-rotate and Right-rotate run in $O(1)$ time.

An example of Left Rotation is given below.



Insertion:

→ Insert a node into an n -node Red-Black tree takes $O(\lg n)$ time.

→ A slightly modified version of Tree-Insert procedure for BST is used.

→ Where node z is inserted into the tree T and then the color of z changed to red.

→ The an ~~additional~~ auxiliary procedure named as RB-Insert-Fixup() is called to preserve the Red-black properties by recoloring the nodes and performing rotations.

RB-Insert-Fixup()

- ~~Implementation of red-black tree~~ ↗
- An auxiliary procedure RB-Insert-Fixup() is used to recolor nodes and perform rotations for preserving the properties of red-black tree.

(Note: Assumed that, the key value of insert node z is already filled.)

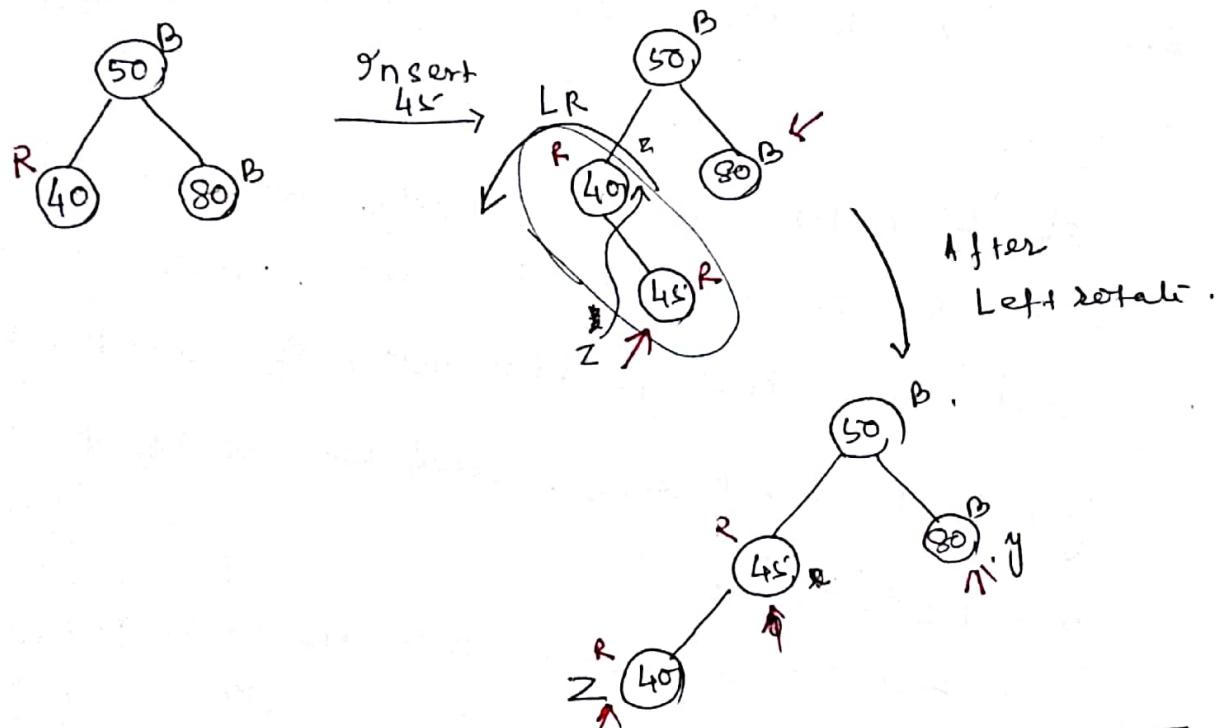
RB-Insert(T, z)

1. $y = \text{NULL}$
2. $x = T \rightarrow \text{root}$.
3. while ($x \neq \text{NULL}$)
 4. $y = x$
 5. if $z \rightarrow \text{key} < x \rightarrow \text{key}$
 $x = x \rightarrow \text{left}$.
 6. else $x = x \rightarrow \text{right}$.
 7. $z \rightarrow p = y$
 8. if ~~z~~ $y = \text{NULL}$
 $\text{root} = z$
 9. 10.
 11. else if $z \rightarrow \text{key} < y \rightarrow \text{key}$
12. $y \rightarrow \text{left} = z$
 13. else $y \rightarrow \text{right} = z$.

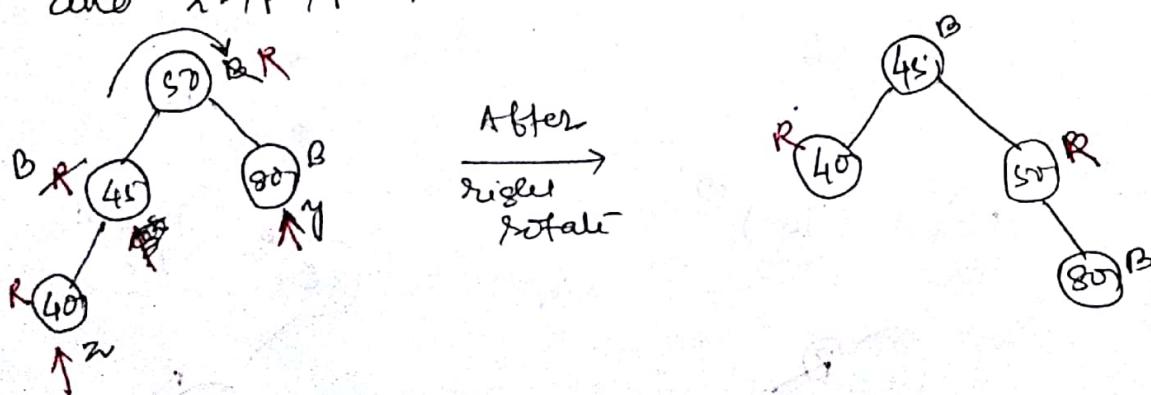
Case-2

If y (uncle of x) is black and z is the right child of its parent then new value of z is the parent of x i.e. $z \rightarrow p$ or $p[z]$ and Left Rotate (T, z) .

Let explain with an example.



Case-3 If y (uncle of x) is black and z is the left child of its parent then change the color $z \rightarrow P$ or $P[z]$ Right Rotate (T, z) then change the color $z \rightarrow P$ or $P[z]$ and $z \rightarrow P \rightarrow P$ or $P[P[z]]$ and Right-rotate $(T, z \rightarrow P \rightarrow P)$



14. $z \rightarrow \text{left} = \text{NULL}$

15. $z \rightarrow \text{right} = \text{NULL}$

16. $z \rightarrow \text{color} = \text{red}$

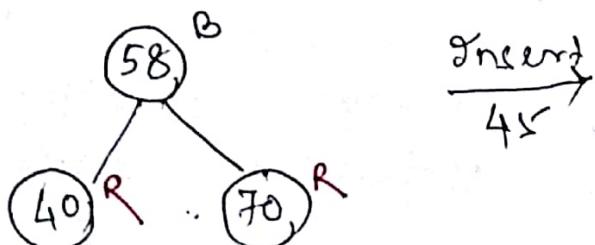
17. RB - Insert - fixup(T, z)

The rebalancing in RB-Tree at the time of insertion follow those cases. They are.

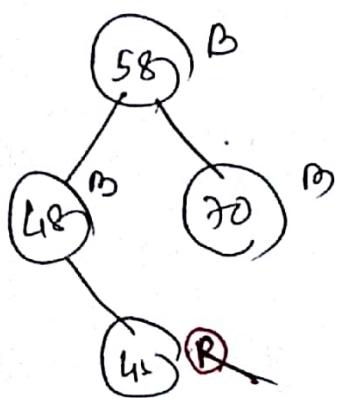
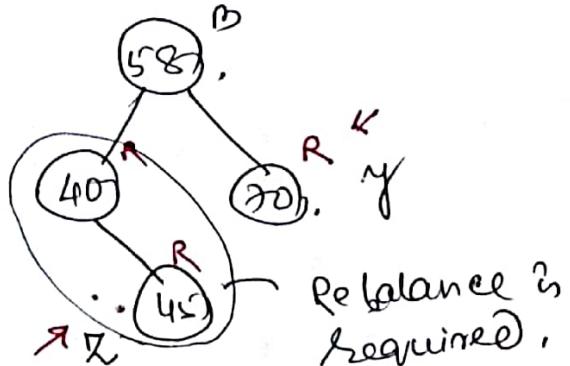
Case 1:

If 'x' is the inserting node whose color is always red and 'y' (the uncle of z) is also red then change the color of $z \rightarrow p \rightarrow p$ (i.e $P[z]$), $y, z \rightarrow p$ (i.e $P[z]$). and then $z = L \rightarrow P \rightarrow P$ i.e., $z = P[P[z]]$.

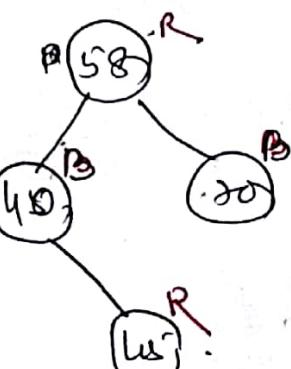
Let us explain with an example.



Insert
45



↓ apply case 1.



Q. Insert the following elements into an empty RB-tree

$\langle 11, 2, 14, 1, 7, 15, 5, 8, 4 \rangle$

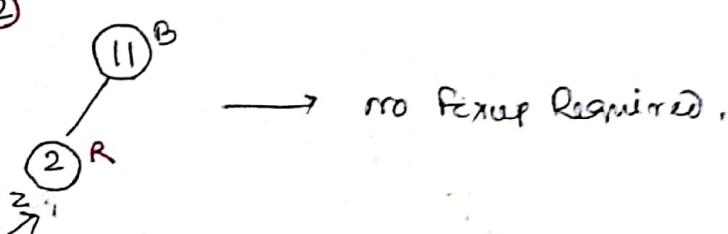
Insert-11



→ Fixup

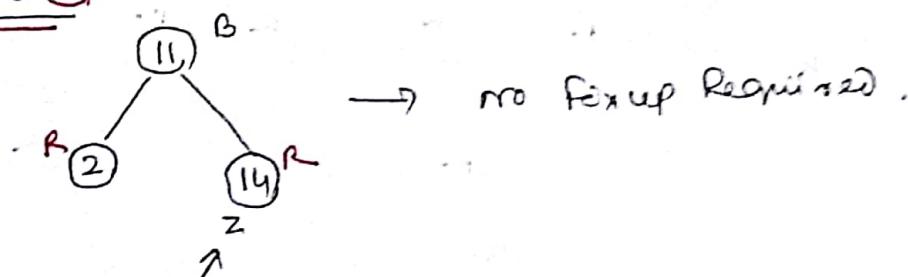
11^B

Insert-2



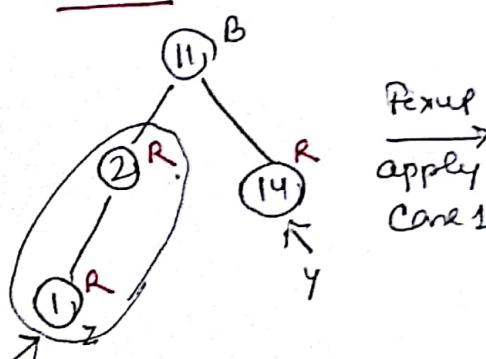
→ no fixup Required.

Insert-14

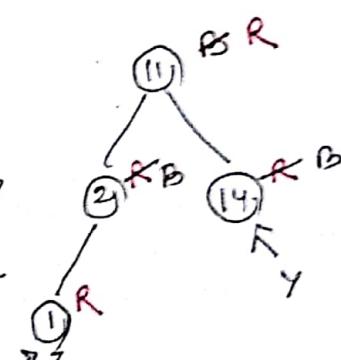


→ no fixup Required.

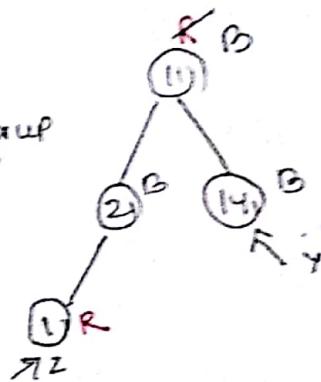
Insert-1



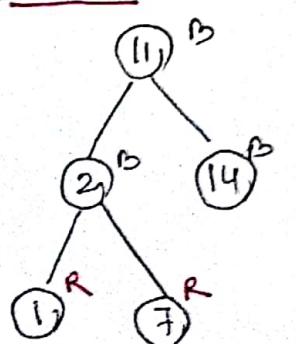
Fixup
apply
Case 1



Fixup

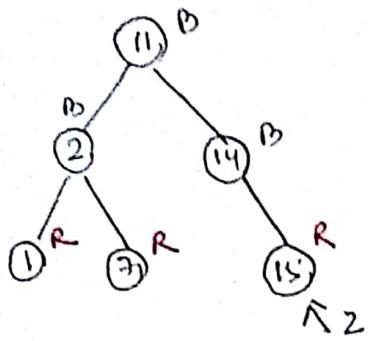


Insert-7



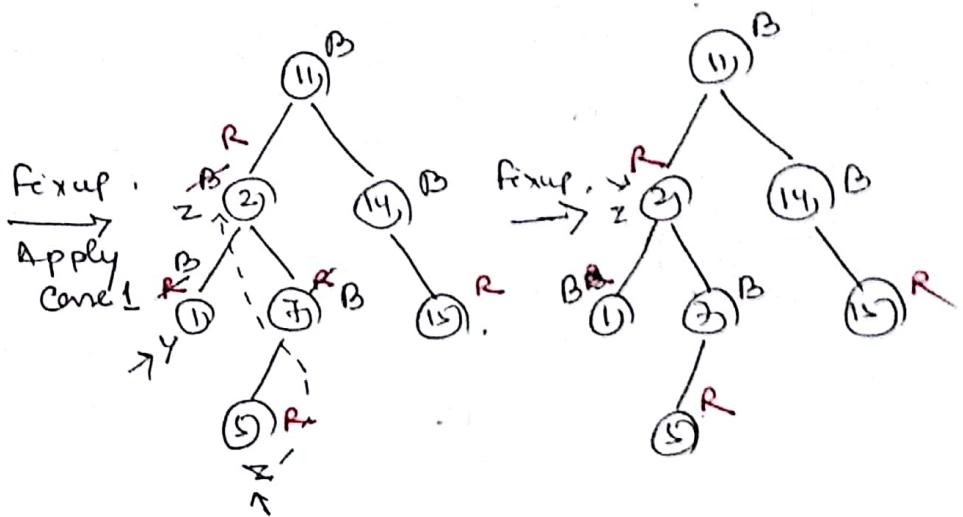
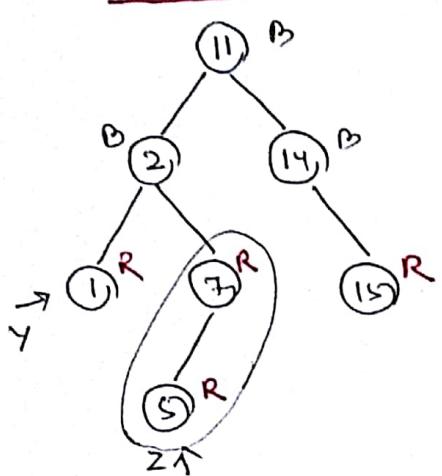
→ no fixup required

Insert - 15

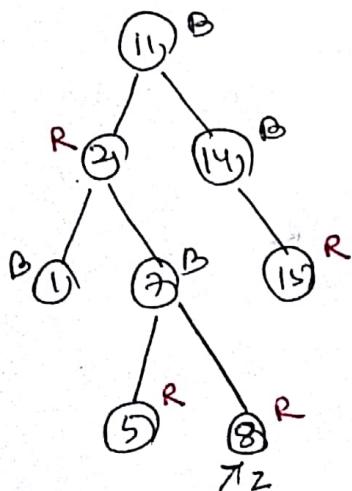


→ (no fix up required)

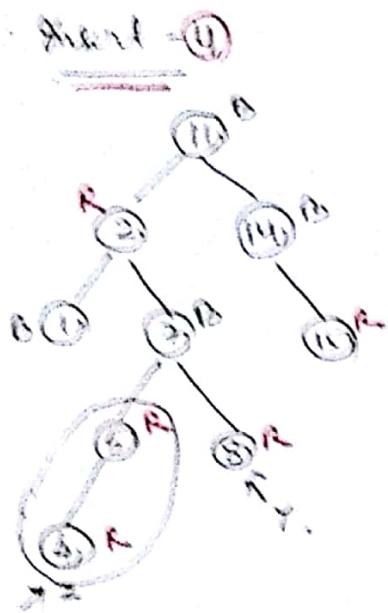
Insert - 5



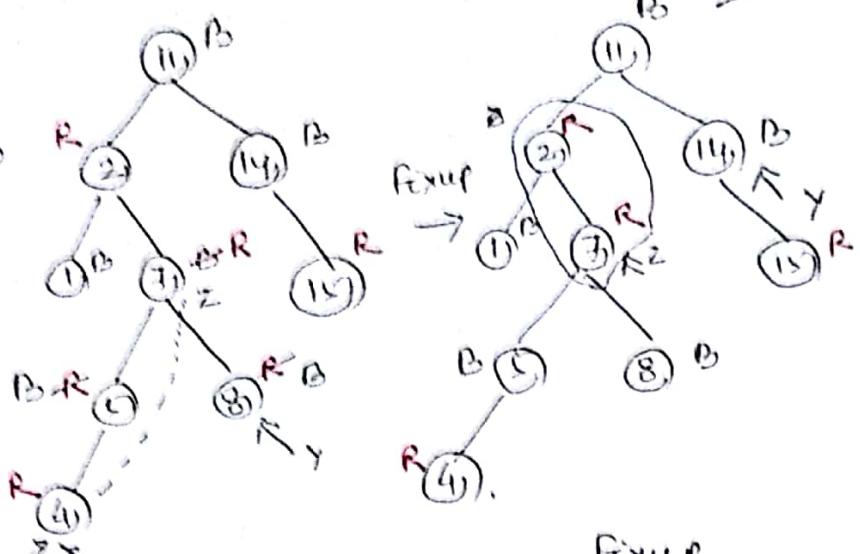
Insert - 8



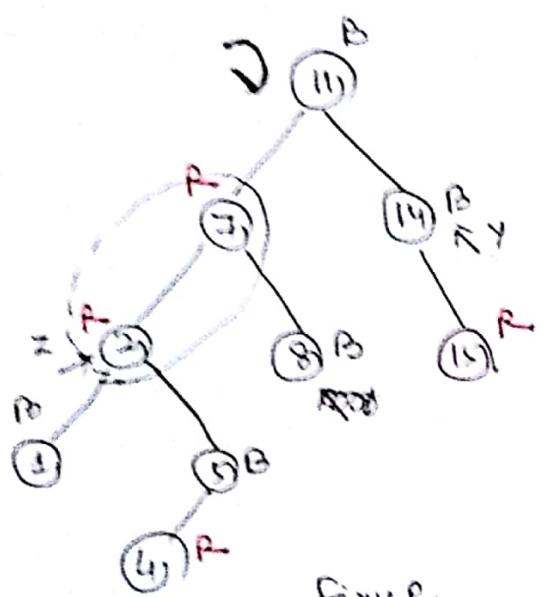
→ (no fix up required)



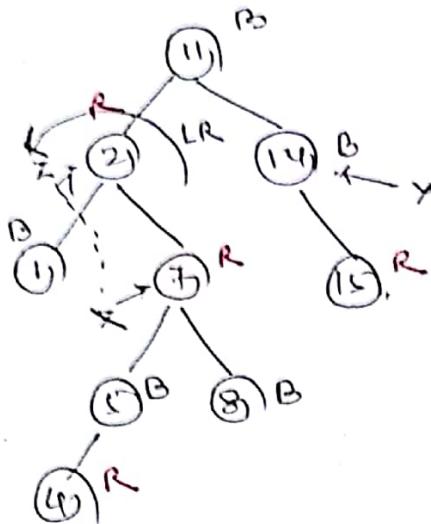
Fixup
Apply
case-1



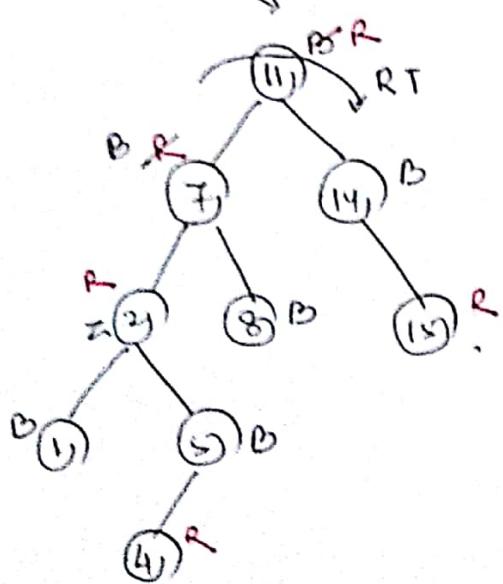
Fixup
Apply
case-2



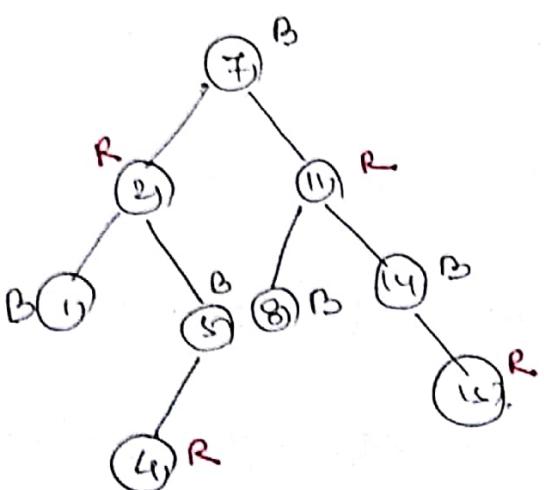
Fixup.
by LR



Fixup.
Apply case 2.



Fixup.
→



RB - Insert - fixup (T, z)

1. while $z \rightarrow p \rightarrow \text{color} = \text{Red}$
2. if $z \rightarrow p = z \rightarrow p \rightarrow p \rightarrow \text{left}$
3. $y = z \rightarrow p \rightarrow p \rightarrow \text{right}$.
4. if $y \rightarrow \text{color} = \text{Red}$
5. $z \rightarrow p \rightarrow \text{color} = \text{Black}$
6. $y \rightarrow \text{color} = \text{Black}$
7. $z \rightarrow p \rightarrow p \rightarrow \text{color} = \text{Red}$
8. $z \leftarrow z \rightarrow p \rightarrow p$.
9. else if $z = z \rightarrow p \rightarrow \text{right}$.
10. $z \leftarrow z \rightarrow p$.

Left-Rotate (T, z)

12. $z \rightarrow p \rightarrow \text{color} = \text{Black}$
13. $z \rightarrow p \rightarrow p \rightarrow \text{color} = \text{Red}$
14. Right-Rotate ($T, z \rightarrow p \rightarrow p$)

15. else (same as this clause
with right and left exchanged)

16. $T \rightarrow \text{root} \rightarrow \text{color} = \text{Black}$

- Q. Insert the following keys in a initially
empty red-black tree.

$\langle 50, 40, 30, 45, 20, 5 \rangle$

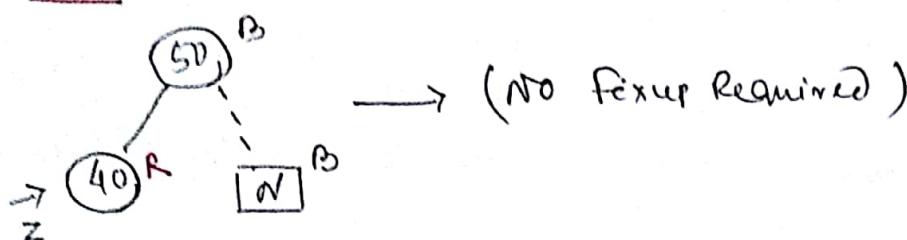
* Available on
next page
problem.

The keys are $\langle 40, 40, 30, 45, 20, 5 \rangle$

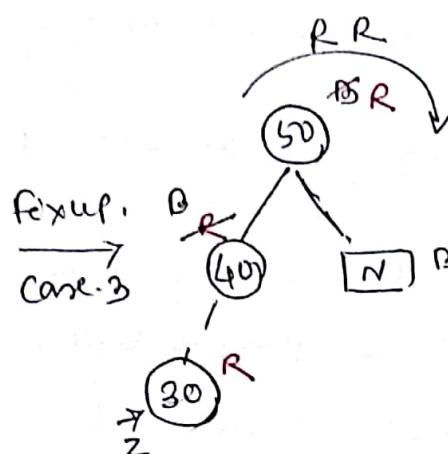
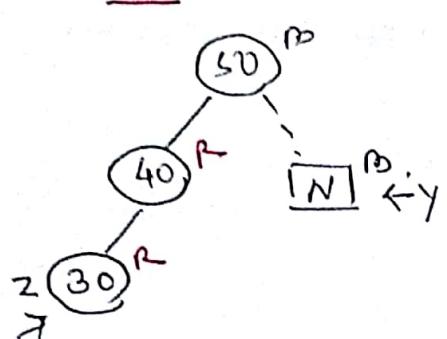
Insert - 60



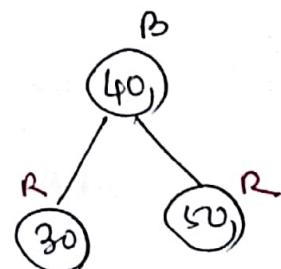
Insert - 40



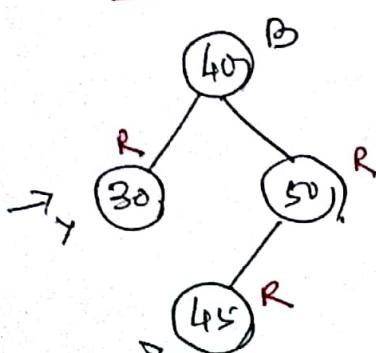
Insert - 30



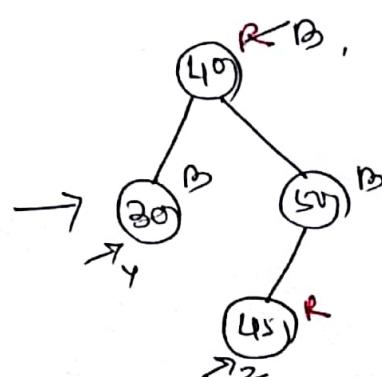
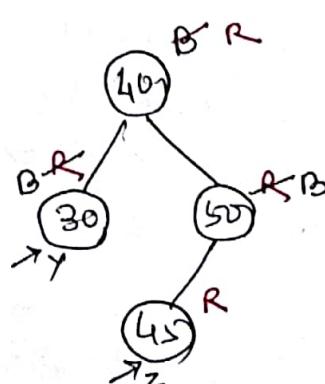
case. 3



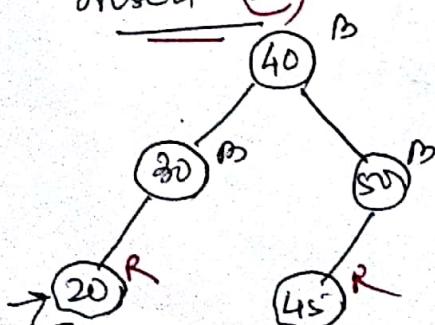
Insert - 45



fixup.
Apply
Case 1.

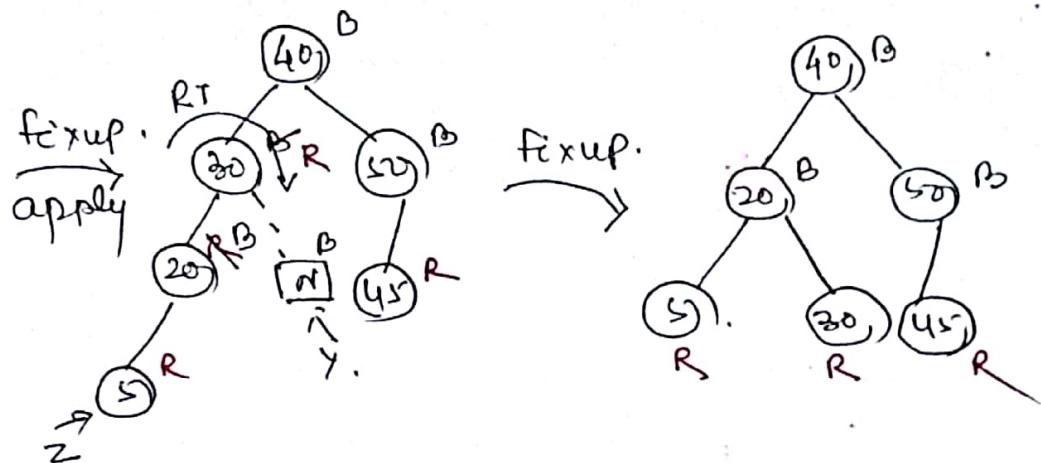
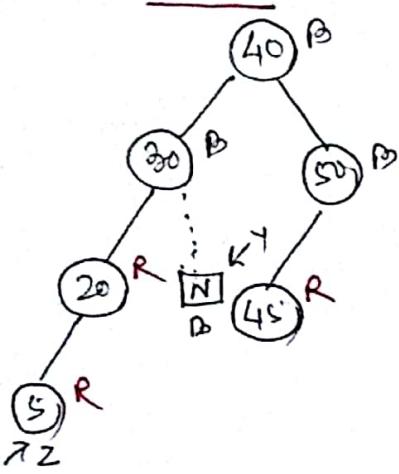


Insert - 20



no fixup required.

Insert - 5



Q. Insert the following elements in an empty (initially) RB Tree.

$\langle 4, 7, 12, 15, 3, 5, 14, 18 \rangle$

Algorithm for Line 15 (i.e. the else part of RB-Insert-Fixup(T))

else if $z \rightarrow p = z \rightarrow p \rightarrow p \rightarrow \text{right}$.

$y = z \rightarrow p \rightarrow p \rightarrow \text{left}$.

If $y \rightarrow \text{color} = \text{red}$

$z \rightarrow p \rightarrow \text{color} = \text{black}$

$z \rightarrow p \rightarrow p \rightarrow \text{color} = \text{red}$

$y \rightarrow \text{color} = \text{black}$

$z = z \rightarrow p \rightarrow p \rightarrow \text{right}$

else if $z = z \rightarrow p \rightarrow \text{left}$

$z = z \rightarrow p$.

Right rotate (T, z)

$z \rightarrow p \rightarrow \text{color} = \text{black}$

$z \rightarrow p \rightarrow p \rightarrow \text{color} = \text{red}$

Left rotate ($T, z \rightarrow p \rightarrow p$)

Now execute the above problem.

Deletion in RB-tree.

Initially, we delete a node for RB-tree as we deleted a node in normal BST. as the algorithm given below.

RB-Delete (T, z)

if $z \rightarrow \text{left} = \text{NULL}$ or $z \rightarrow \text{right} = \text{NULL}$

then $y \neq z$

else

$y = \text{Tree-Successor}(z)$

if $y \rightarrow \text{left} \neq \text{NULL}$

then $x = y \rightarrow \text{left}$

else

$x = y \rightarrow \text{right}$.

$x \rightarrow p = y \rightarrow p$.

if $y \rightarrow p = \text{NULL}$

then $T \rightarrow \text{root} = x$

else if $y = y \rightarrow p \rightarrow \text{left}$.

then $y \rightarrow p \rightarrow \text{left} = x$

else $y \rightarrow p \rightarrow \text{right} = x$.

if $y \neq z$

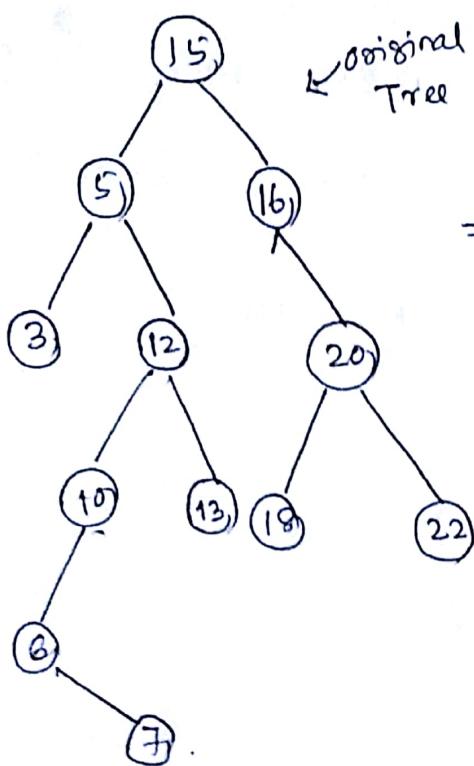
then $z \rightarrow \text{key} = y \rightarrow \text{key}$
(i.e copy ~~parent~~ data of y to data of z)

if $y \rightarrow \text{color} = \text{black}$

then call RB-Delete-Fixup (T, x)

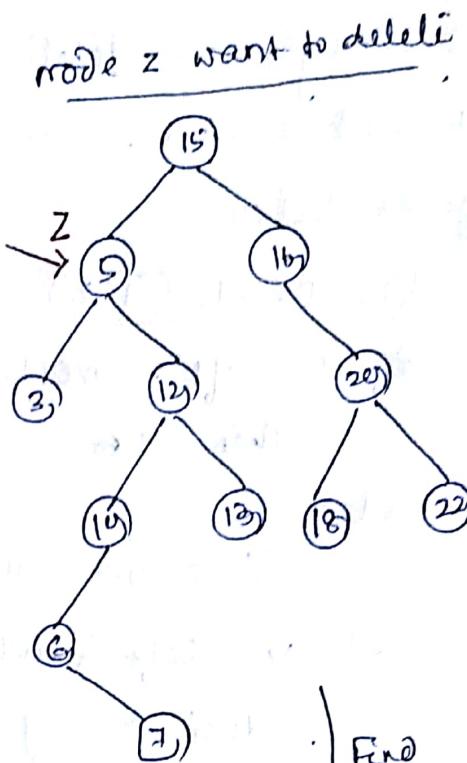
return y .

Let us execute the RB-Delete(T, z) with the help of an example.



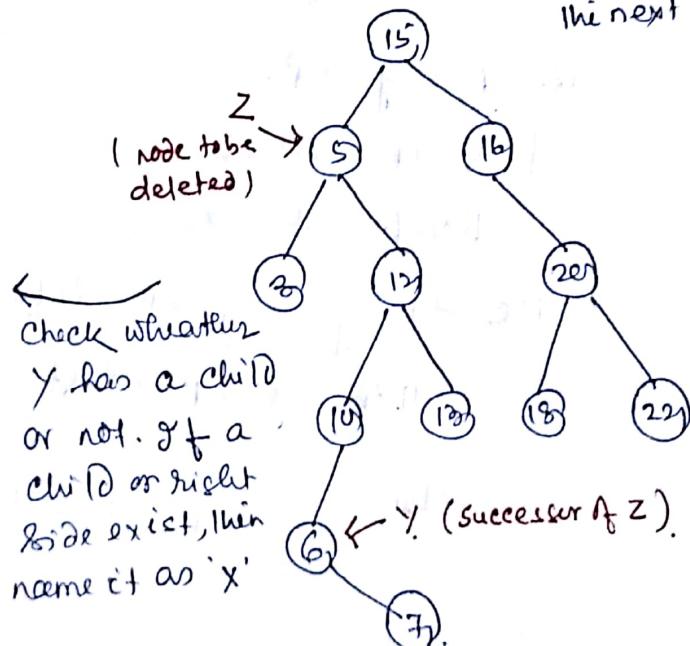
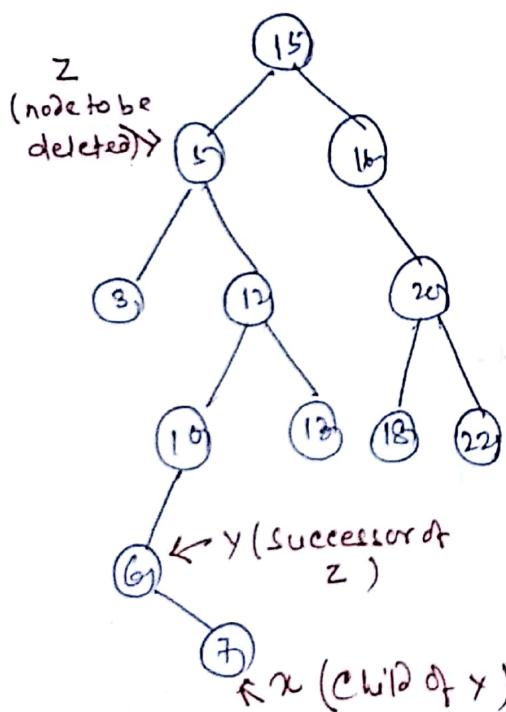
original Tree

\Rightarrow



node z want to delete

Find successor,
i.e. y
the next no. Bz



check whether
 y has a child
or not. If a
child or right
side exist, then
name it as ' x '

Basic Idea for deletion: (Move the extra black
up to the tree until)

\rightarrow if point to a red & black node \Rightarrow
turn it into black node

→ x points to the root \Rightarrow just remove the extra black

→ we can do certain rotations and recoloring the nodes and finish.

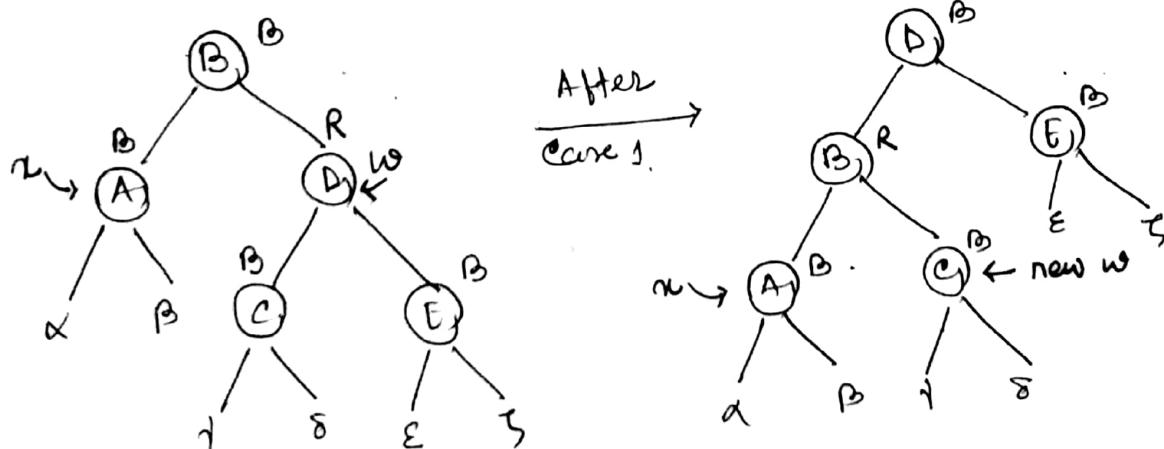
~~the above algorithm~~

we execute the above idea with the help of RB-Delete-Fixup function, and the function is executed until $x \neq \text{root}$ and $x \rightarrow \text{color} = \text{black}$.

for that we first find the sibling of x as ' w '
and ' w ' can not be NULL.

There are 8 cases, 4 of which are symmetric to the other 4. (like RB-Tree-Insertion fixup).
we will look at cases in which x is the left child of its parent.

Case 1. when ' w ' (sibling of ' x ') is red. and ~~root~~



~~Roots for case 1~~

~~→ w must have black children~~

~~→ $w \rightarrow \text{color} = \text{black}$ and $x \rightarrow p \rightarrow \text{color} = \text{red}$.~~

~~→ Make $w \rightarrow \text{color} = \text{black}$ and $x \rightarrow p \rightarrow \text{color} = \text{red}$.~~

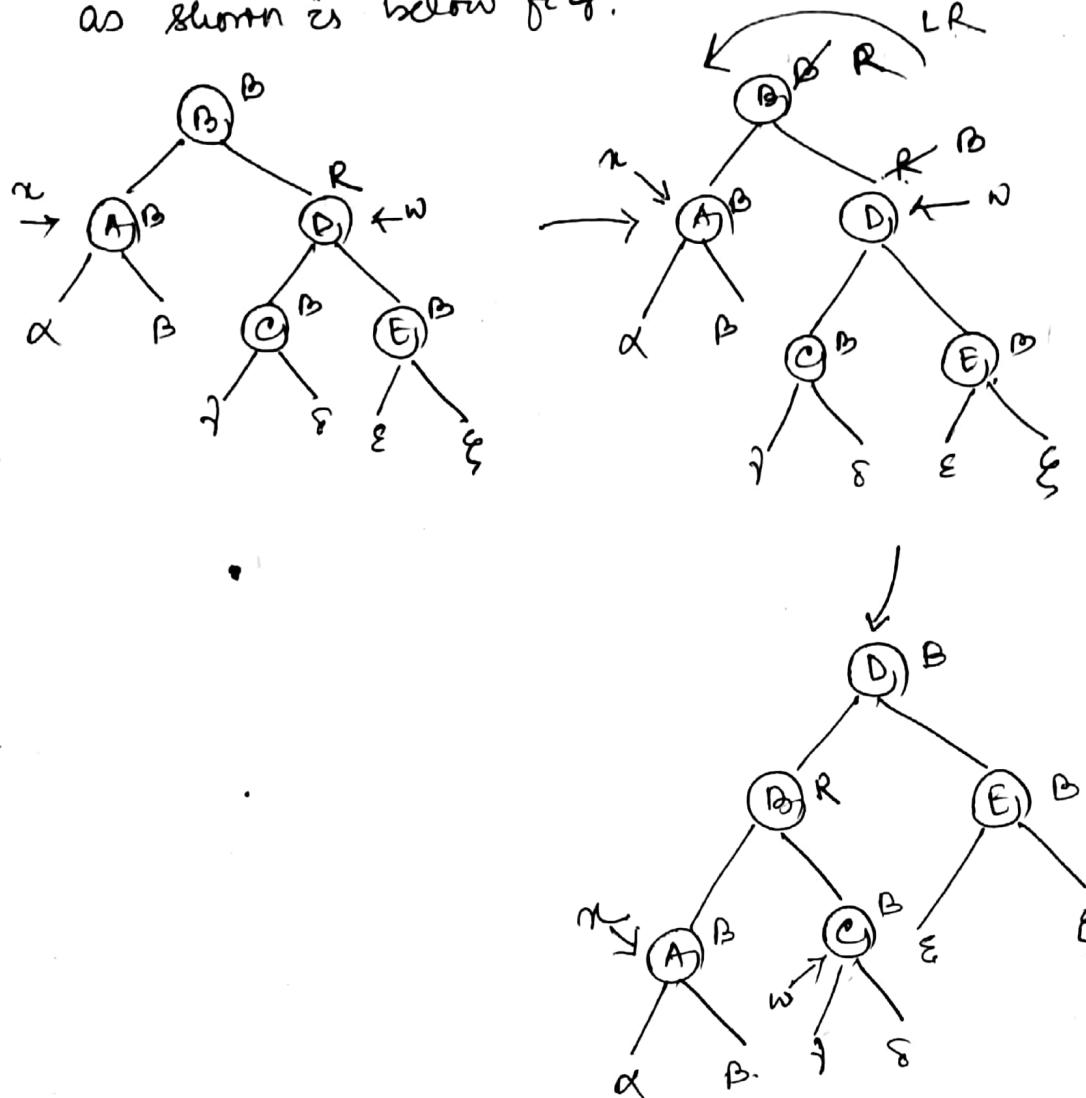
~~→ Then left rotate on $x \rightarrow p$.~~

~~→ New sibling~~

Cone 1 Rules.

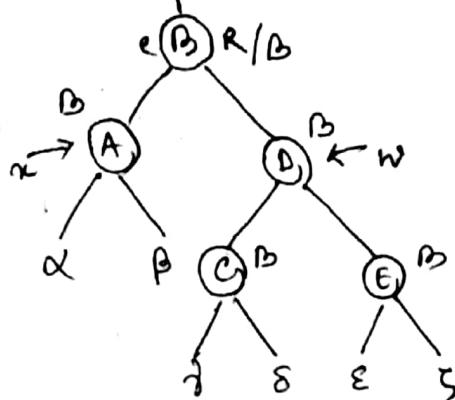
1. change left $w \rightarrow \text{color} = \text{Black}$
2. change the $x \rightarrow p \rightarrow \text{color} = \text{Red}$
3. Left - rotate ($T, x \rightarrow p$)
4. Move $w = x \rightarrow p \rightarrow \text{right}$.

as shown in below fig.



Case 2: when 'w' (sibling of 'x') is black and both the children of 'w' is also black.

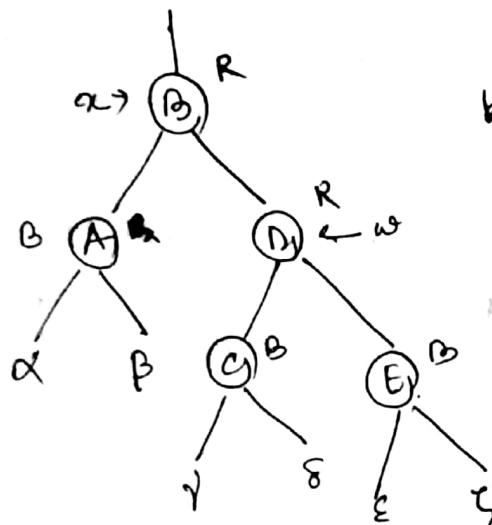
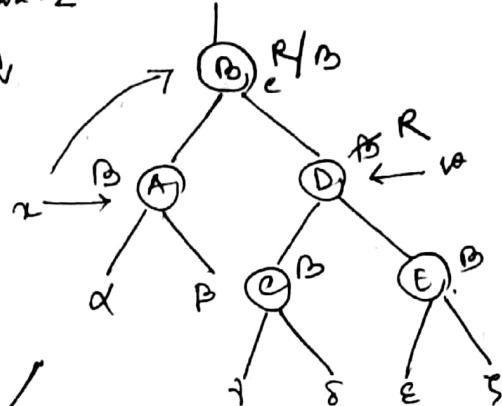
(note: node with R/B represent unknown color represented by c)



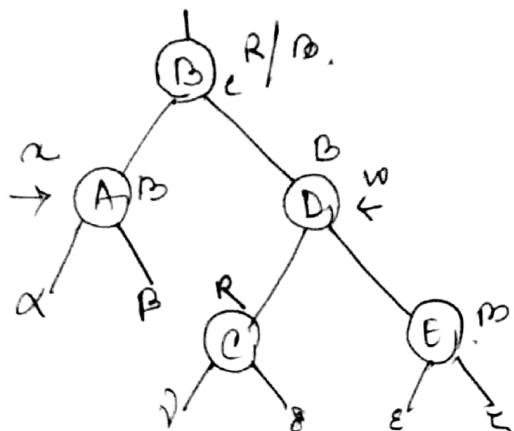
Rule of Case 2

1. Make $w \rightarrow \text{color} = \text{Red}$
2. Move $x \leftarrow B \rightarrow P$.

case 2

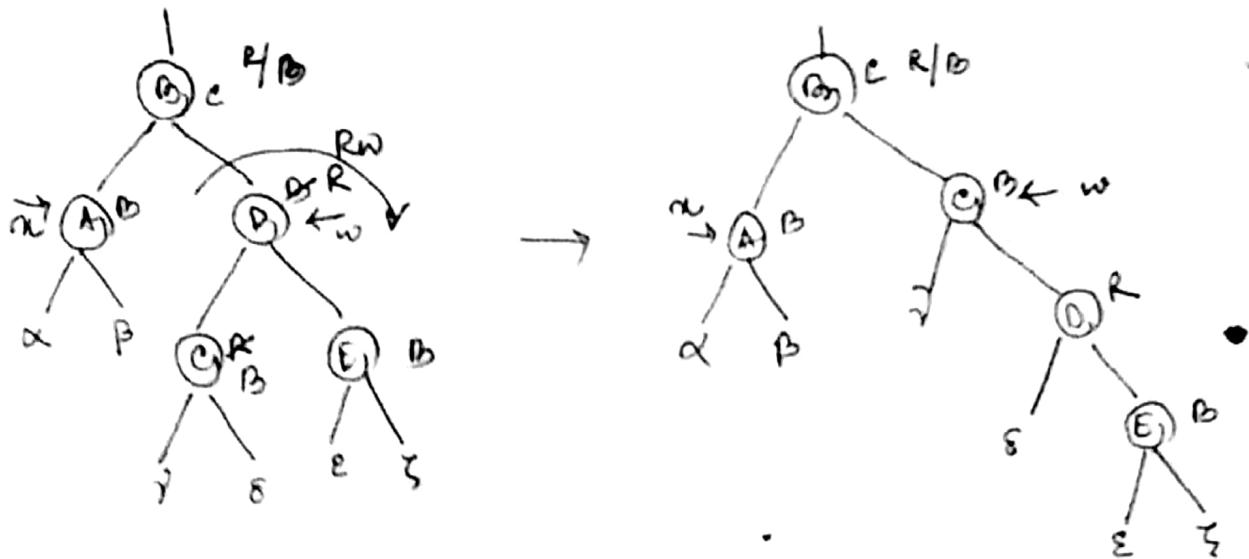


Case 3: when 'w' (sibling of 'x') is black, 'w's left child is red, and 'w's right child is black

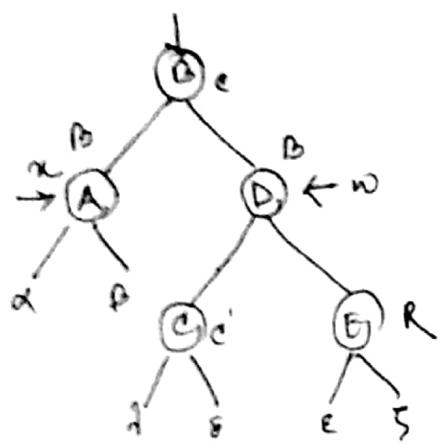


Rules for Case 3

1. $w \rightarrow \text{left child} \rightarrow \text{color} = \text{Black}$
2. $w \rightarrow \text{color} = \text{Red}$
3. right rotate (T, w)
4. Move $w \leftarrow x \rightarrow P \rightarrow \text{right}$

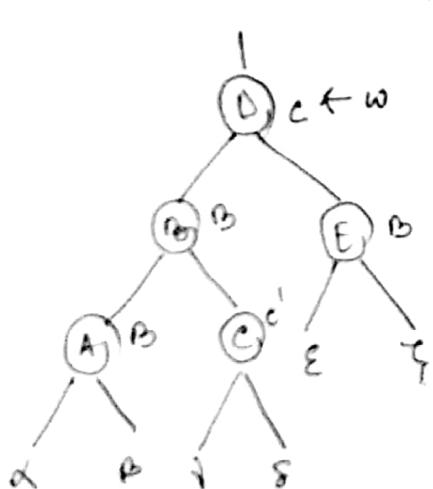


Case - 4 when 'w' (sibling of 'x') is black,
 'w' left child is black ~~and~~ or Red (i.e unknown color)
 and 'w' right child is red

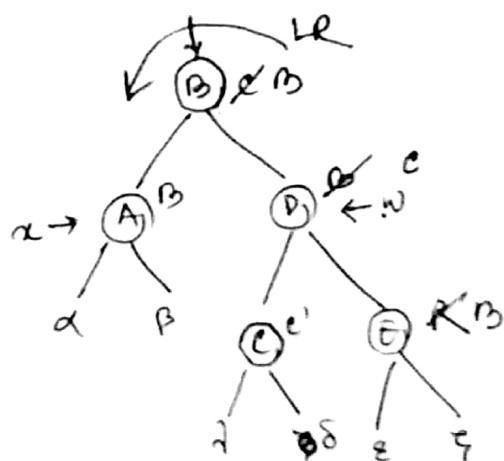


Rules for Case - 4

1. $w \rightarrow \text{color} = \alpha \rightarrow P \rightarrow \text{color}$
2. $\alpha \rightarrow P \rightarrow \text{color} = \text{black}$
3. $w \rightarrow \text{reset} \rightarrow \text{color} = \text{black}$
4. Left. rotate ($T, \theta \alpha \rightarrow P$)
5. $\alpha \leftarrow T \rightarrow \text{reset}$.



After Case 4
st-1



After Case 4
st-2

here $\alpha = T \rightarrow \text{reset}$

The algorithm for removing violations by calling RB-Delete-Fixup() is given below,

1. while $x \neq T \rightarrow \text{root}$ and $x \rightarrow \text{color} = \text{black}$
2. do if $x = x \rightarrow p \rightarrow \text{left}$.
3. then $w = x \rightarrow p \rightarrow \text{right}$. // sibling of x
4. if $w \rightarrow \text{color} = \text{red}$ // case 1
5. then $w \rightarrow \text{color} = \text{black}$
6. $x \rightarrow p \rightarrow \text{color} = \text{red}$
7. Left-Rotate($T, x \rightarrow p$) } Action on case 1
8. $w = x \rightarrow p \rightarrow \text{right}$
9. if $w \rightarrow \text{left} \rightarrow \text{color} = \text{black}$ and $w \rightarrow \text{right} \rightarrow \text{color} = \text{black}$ // case 2
10. then $w \leftarrow \text{color} = \text{red}$ } Action on case 2
11. $x = x \rightarrow p$
12. else if $w \rightarrow \text{right} \rightarrow \text{color} = \text{black}$ // case 3
13. then $w \rightarrow \text{left} \rightarrow \text{color} = \text{black}$
14. $w \rightarrow \text{color} = \text{red}$
15. Right-Rotate(T, w) } Action on case 3
16. $w \rightarrow \text{color} = x \rightarrow p \rightarrow \text{color}$
17. $x \rightarrow p \rightarrow \text{color} = \text{black}$
18. $w \rightarrow \text{right} \rightarrow \text{color} = \text{black}$
19. Left-Rotate($T, x \rightarrow p$) } Action on case 4
20. $x = T \rightarrow \text{root}$
- 21.
22. else (same as then clause with "right" and "left" exchanged)

Analysis: $x \rightarrow \text{color} = \text{black}$

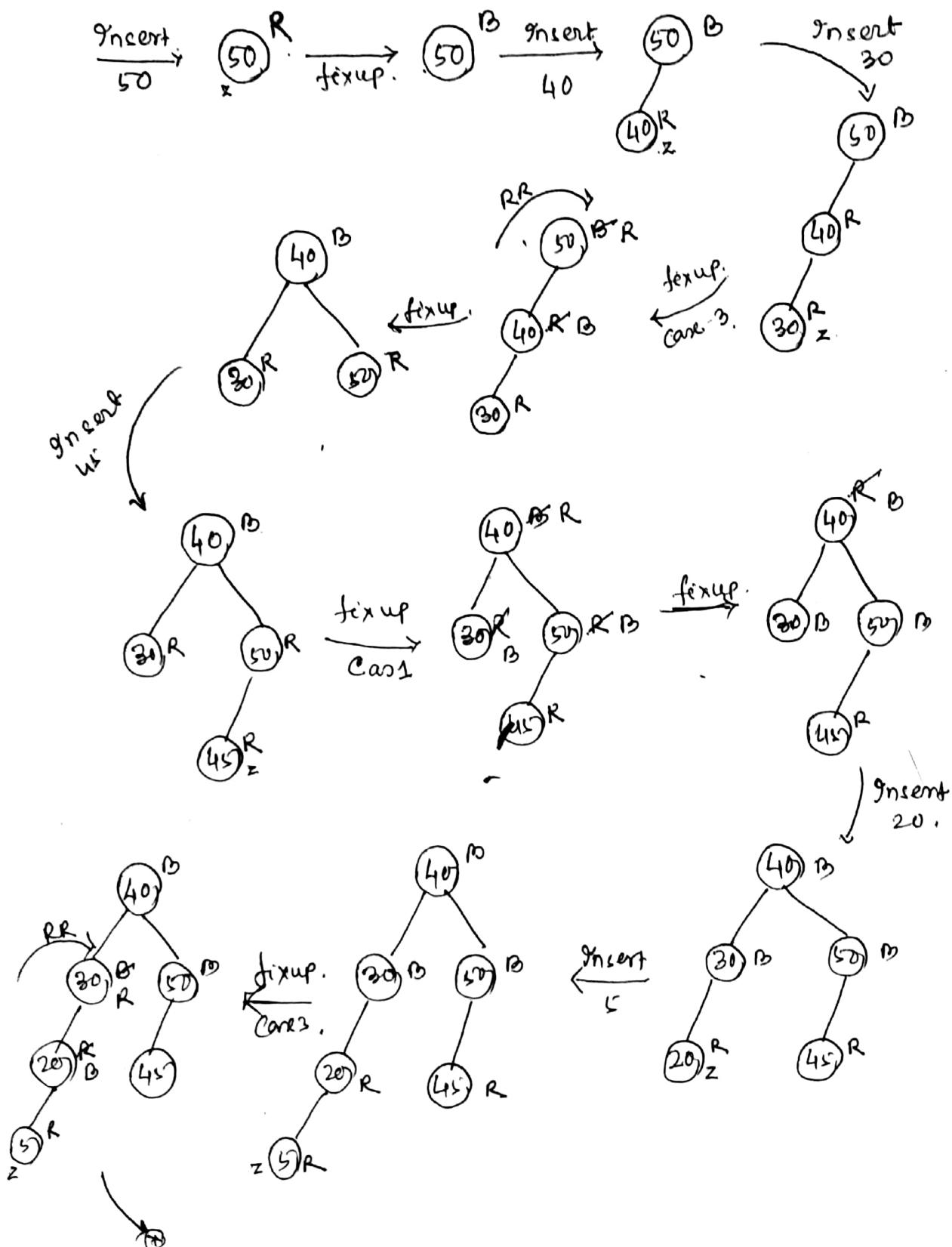
Since the height of a red-black tree of n nodes is $O(\lg n)$, the total cost of the RB-Delete without RB-Delete-Fixup takes $O(\lg n)$ time. where as all the cases of RB-Delete-Fixup takes $O(\lg n)$ time. So the overall time for RB-Delete is therefore also $O(\lg n)$.

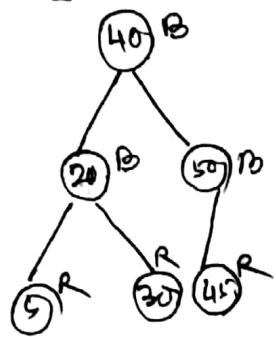
Questions on Red-Black Tree.

- Q1. Show the following key list insert on an initially empty Red-Black tree.

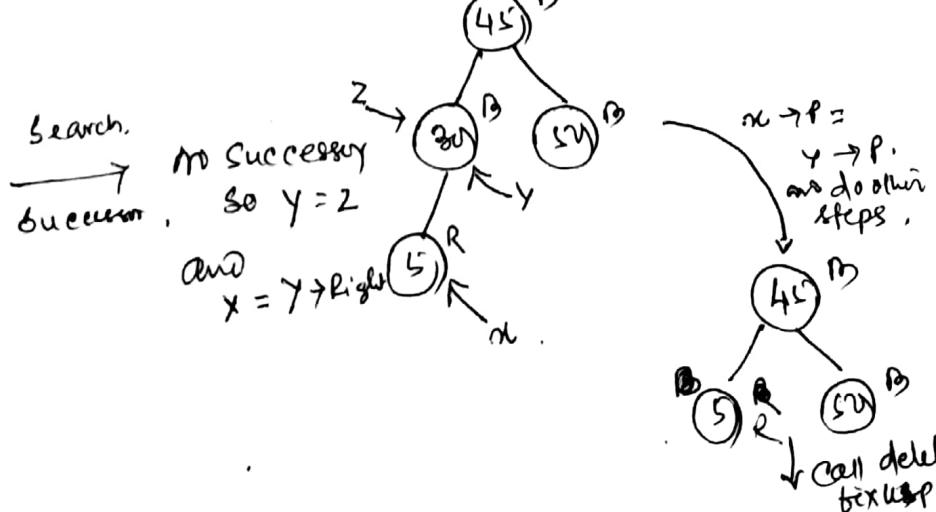
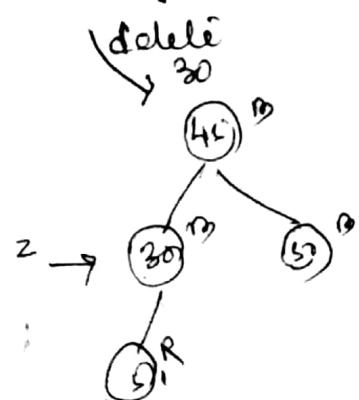
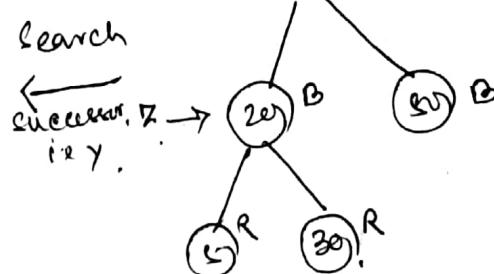
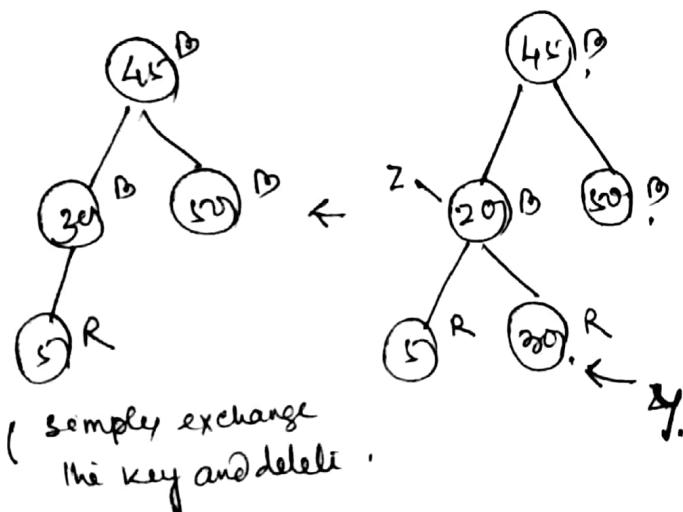
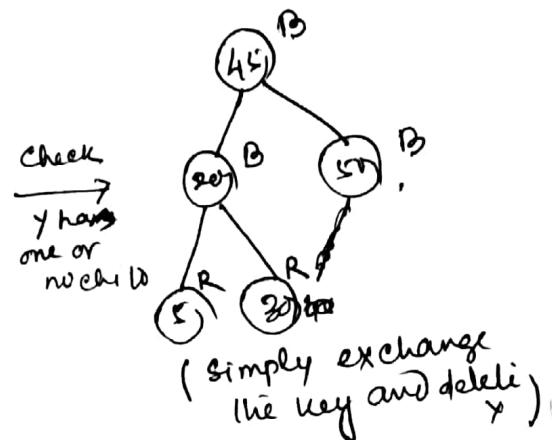
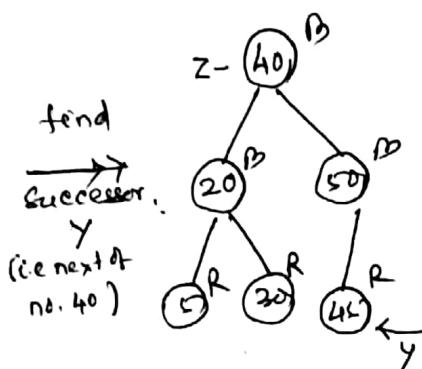
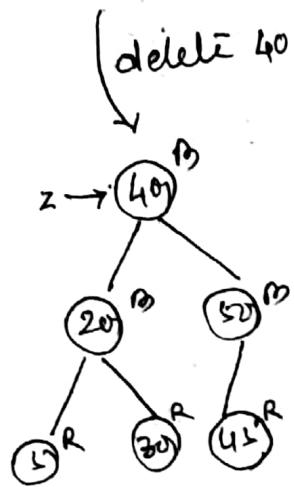
$\langle 50, 40, 30, 45, 20, 5 \rangle$

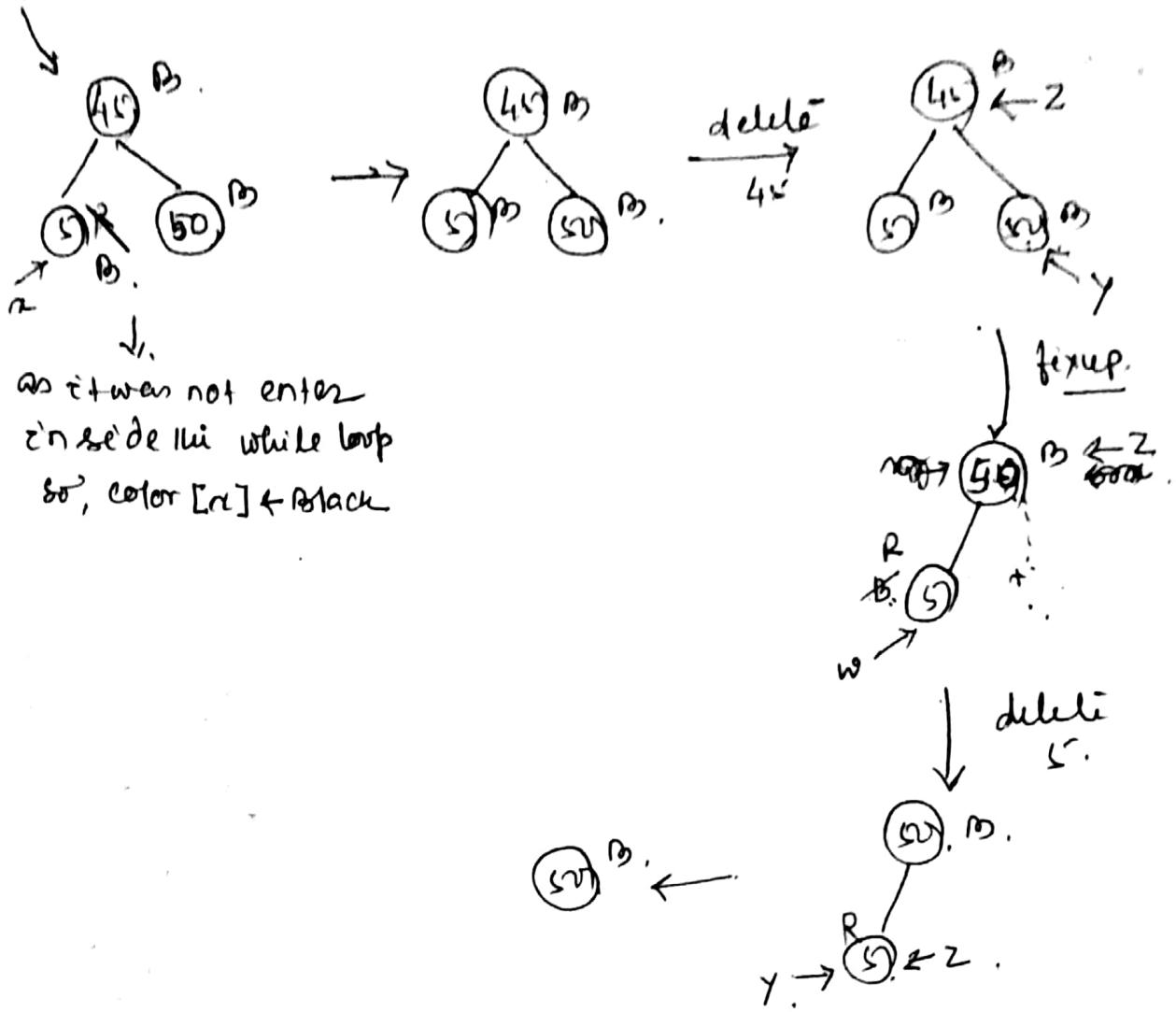
and then perform delete $40, 20, 30, 45$ & 5





now start deletion operator sequentially
on following data:
40, 20, 30, 45 & 5

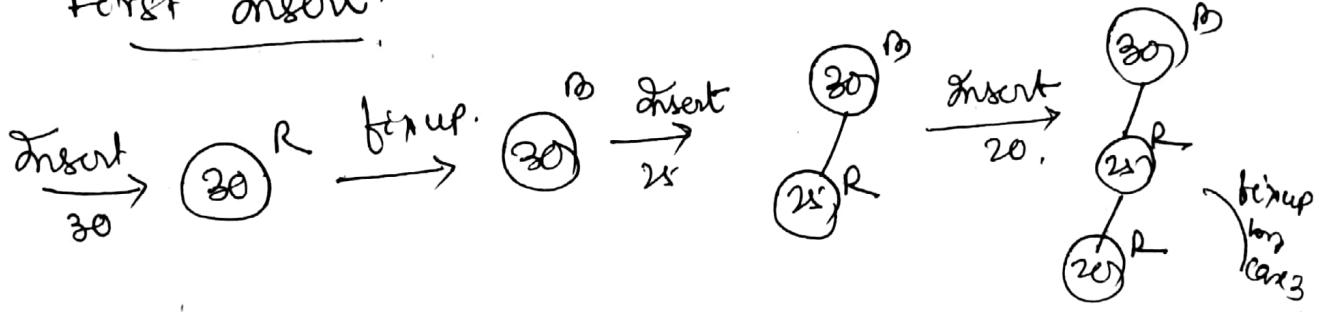


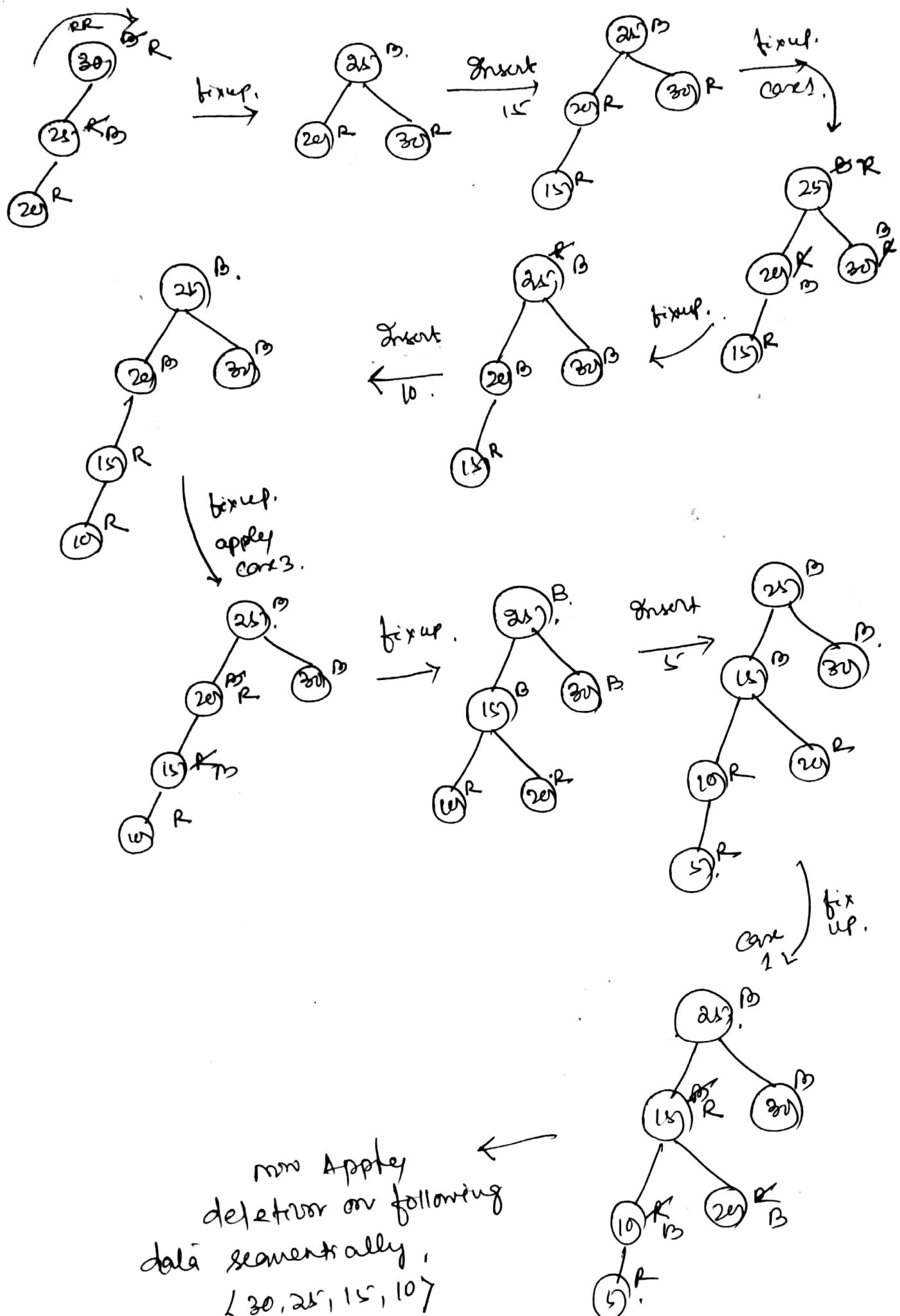


Q2. Insert the following element in the red black tree sequentially
 $\langle 30, 25, 20, 15, 10, 5 \rangle$

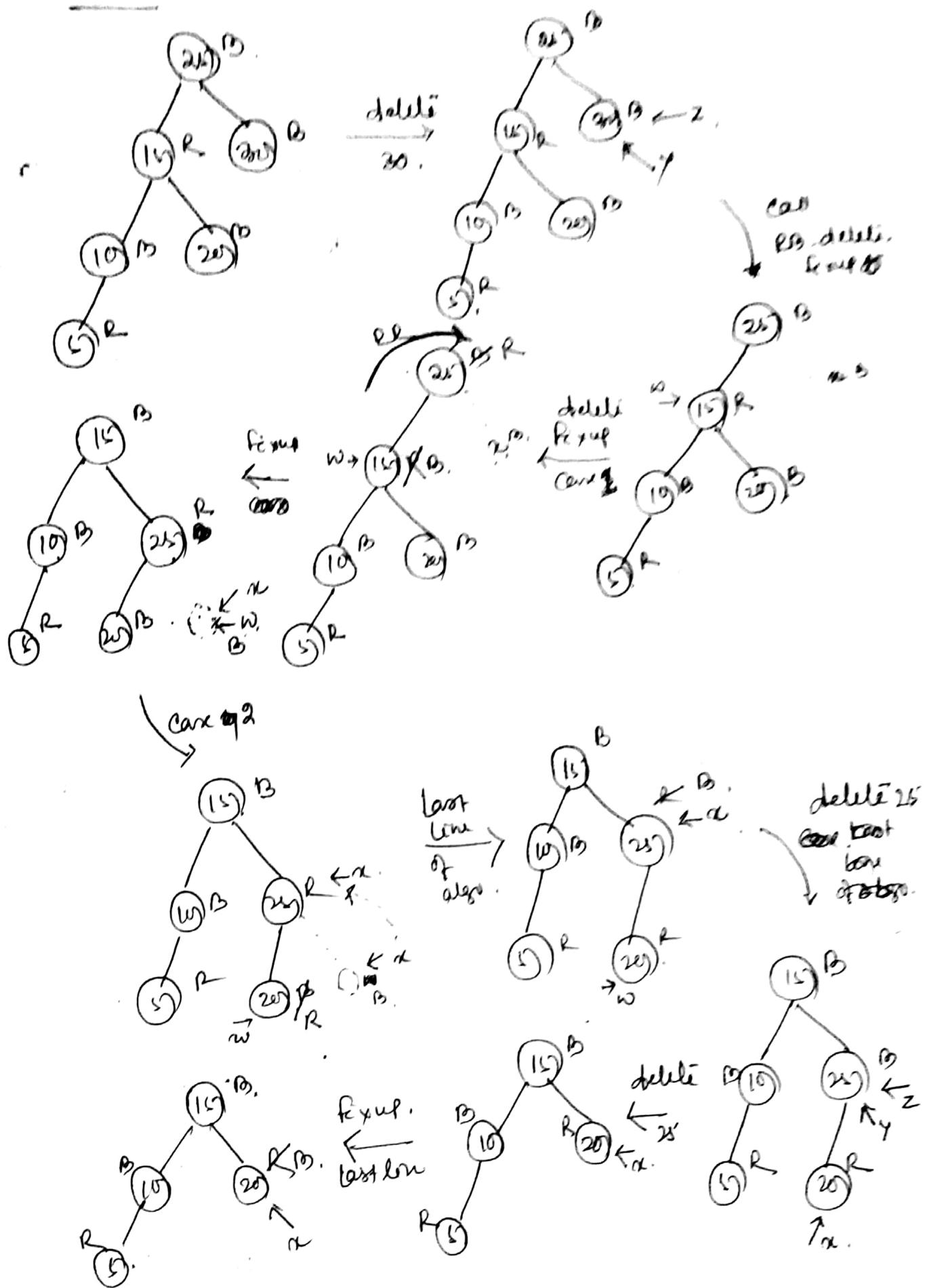
and then delete the following element sequentially.
 $\langle 30, 25, 15, 10 \rangle$

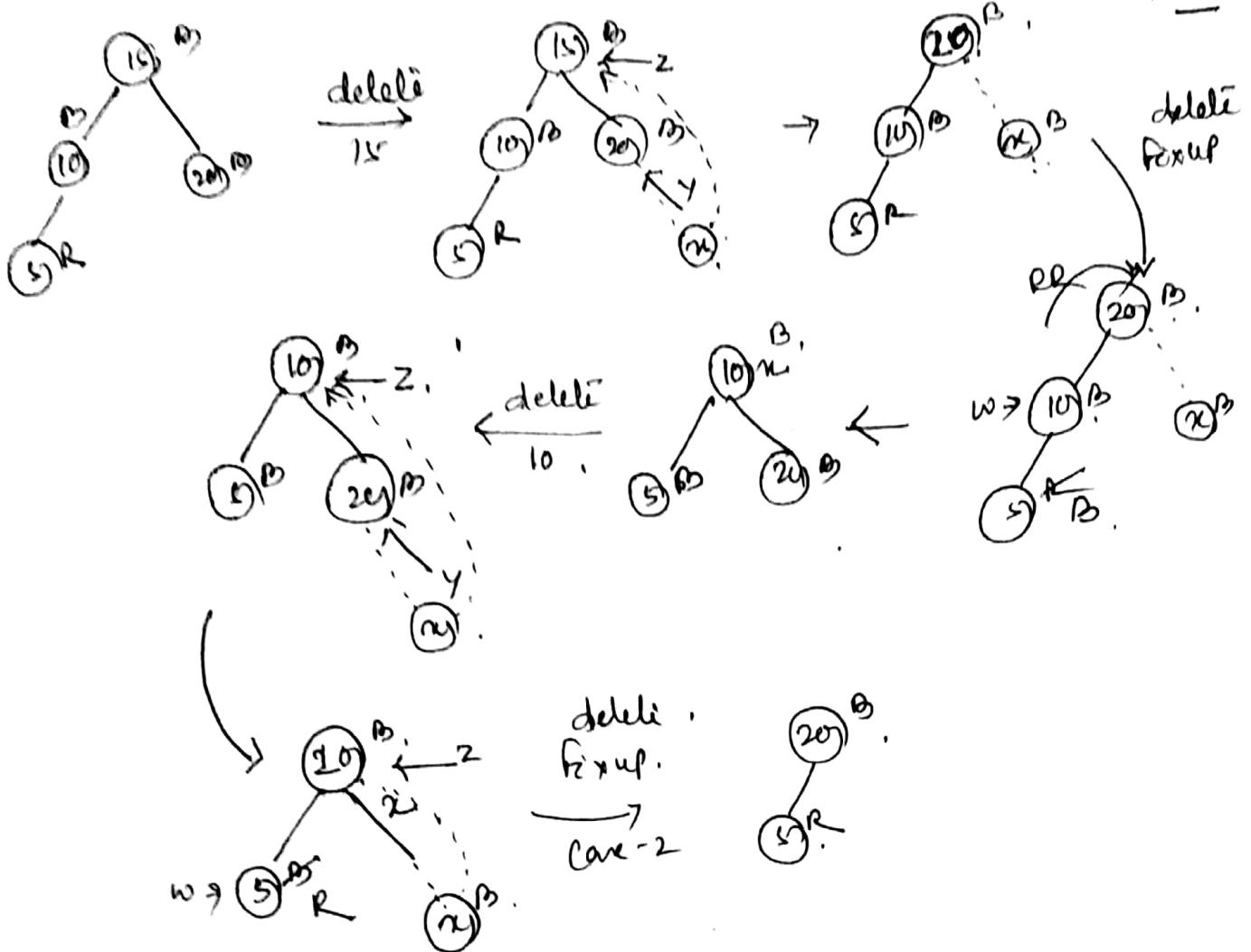
First Insert.





deletion





Lemma

A red-black tree with n internal nodes has height at most $2 \lg(n+1)$

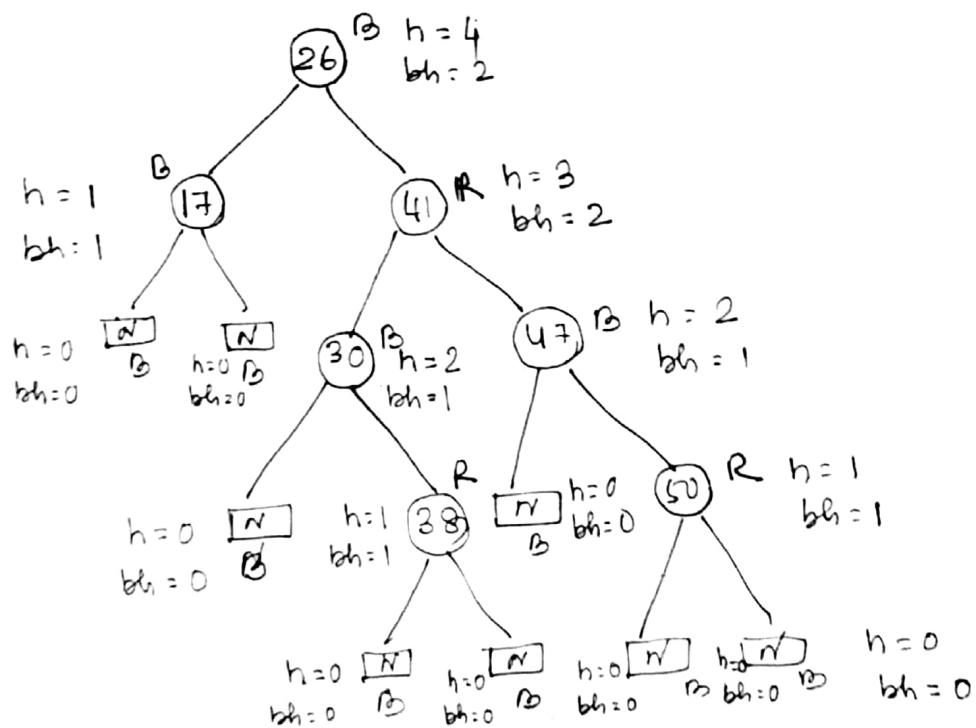
Proof:

Consider any node x and the subtree rooted at x . We will first show that "the subtree rooted at any node x contains at least $2^{bh(x)} - 1$ internal nodes."

We prove this by induction on the height of x .

→ If the height of x is 0, then x must be a leaf node.

Let us take an example:



(note: for convenience, we add NIL nodes and refer to them as the leaves of the tree)

Claim:

Now we prove first part that "the subtree rooted at any node x contains at least $2^{bh(x)} - 1$ internal nodes (i.e. the nodes who bearing keys only)".
And we prove it by induction hypothesis.

Base: ~~if~~ $h(x) = 0$.

Hence x is a leaf node.

So $bh(x) = 0$. and

$$\begin{aligned} \text{Internal node}(x) &\geq 2^{bh(x)} - 1 \\ &= 2^0 - 1 = 1 - 1 = 0. \end{aligned}$$

Inductive hypothesis

Assume that it is true for

$$h(x) = h-1$$

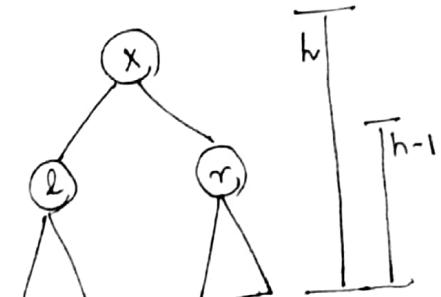
Inductive step:

$$h(x) = h.$$

Internal node(x) =

$$\text{Internal node}(l) + \text{Internal node}(r) + 1$$

by using inductive hypothesis.



$$\text{Internal node}(x) \geq (2^{bh(l)} - 1) + (2^{bh(r)} - 1) + 1$$

For the inductive step, consider a node ' x ' that has positive height and is an external node with two children of left and right. Each children has a black height ~~or~~ of either $bh(x)$ or $bh(x) - 1$, depending on whether it's red or black respectively.

i.e

Let $bh(x) = b$, then any child y of x has

* $bh(y) = b$ (if the child is red)

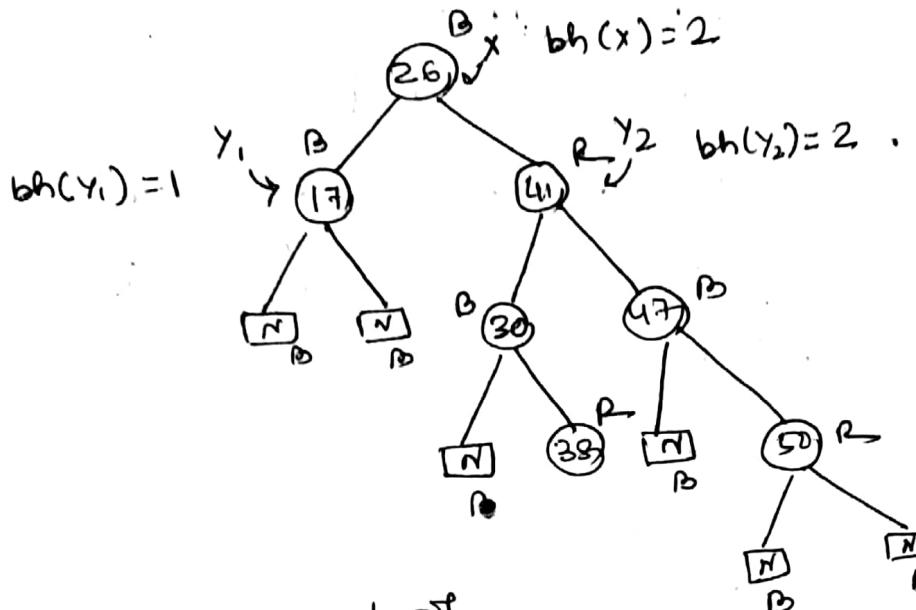
* $bh(y) = b-1$ (if the child is black).

Let's take an example.

which means.

$$bh(y) \leq bh(x)$$

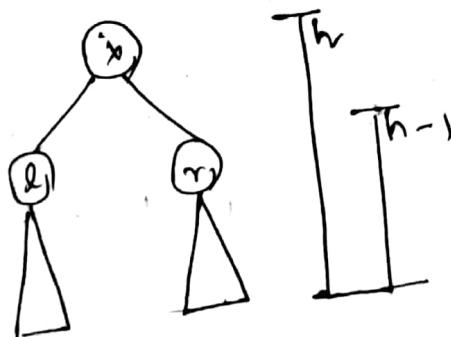
$$bh(y) \geq bh(x)-1$$



So back to our proof.

$$\text{Internal node } (\alpha) \geq (2^{bh(\alpha)-1}) + (2^{bh(\alpha)-1} - 1) + 1$$

~~Assume that both l child tree and r-child tree available at $h-1$ height, as shown in below fig.~~



$$\begin{aligned} \text{So Internal node } (\alpha) &\geq (2^{bh(\alpha)-1} - 1) + (2^{bh(\alpha)-1} - 1) + 1 \\ &= 2(2^{bh(\alpha)-1} - 1) + 1 \end{aligned}$$

$$\begin{aligned}
 &= 2 \cdot 2^{bh(x)} \cdot 2^{-1} + 1 - 2 \\
 &= 2 \cdot 2^{bh(x)} \cdot \frac{1}{2} + 1 - 2 \\
 &\geq 2^{bh(x)} - 1
 \end{aligned}$$

Claim-2

To complete the proof of the lemma, prove that any node x with height $h(x)$ has $bh(x) \geq h(x)/2$. Let h be the height of the tree.

According to the property 4 (i.e. if a node is red, then both its children are black), at least half of the nodes on any simple path from the root to leaf node, not including root, must be black. i.e. the black height of the root must be $h/2$. Hence $bh(x) = 2^{h/2}$

So,

$$\text{no. of internal node} = n$$

Claim-1

$$bh(x) = b$$

Claim-2

$$h(x) = h =$$

where $x = \text{root}$.

$$\begin{array}{c}
 \text{PR} \\
 n
 \end{array}$$

$$> 2^{bh(x)} - 1$$

$$\begin{array}{c}
 2^{h/2} \\
 \text{or} \\
 2^{h/2} - 1
 \end{array}$$

$$\text{So } n > 2^{h/2} - 1$$

Solve for n .

$$n+1 > 2^{h/2}$$

Take log both side.

$$\log(n+1) > \log 2^{h/2}$$

$$\Rightarrow \log(n+1) > h/2 \log 2$$

$$\Rightarrow \log(n+1) > h/2$$

$$\Rightarrow n \leq 2 \log(n+1)$$

proved

A B-tree T is a rooted tree having the following properties:-

1. Every node x has the following fields:-

a) $n[x]$, the number of keys currently stored in node x . e.g. $\boxed{5 \ 8 \ 12 \ 13}$ $n[x] = 4$

b) The $n[x]$ keys themselves, stored in nondecreasing order, so that $\text{key}_1[x] \leq \text{key}_2[x] \leq \dots \leq \text{key}_{n[x]}[x]$

c) $\text{leaf}[x]$, a boolean value that is TRUE if x is a leaf and FALSE if x is an internal node.

2. Each internal node x also contains $n[x]+1$ pointers $c_1[x], c_2[x], \dots, c_{n[x]+1}[x]$ to its children. Leaf nodes have no children, so their c_i fields are undefined. $\boxed{5 \ 8 \ 12 \ 13}$
or empty or NULL

3. The $\text{key}_i[x]$ separate the ranges of keys stored in each subtree: if k_i is any key stored in the subtree ~~root~~ with root $c_i[x]$, then

$$k_1 \leq \text{key}_1[x] \leq k_2 \leq \text{key}_2[x] \leq \dots \leq \text{key}_{n[x]}[x]$$

4. All ~~nodes~~ have the same depth, which is the tree's height h .

5.

5. There are lower and upper bounds on the number of keys a node can contain. These bounds can be expressed in terms of a fixed integer $t \geq 2$ called the minimum degree of the B-tree:
- a) Every node other than the root must have at least $t-1$ keys.
Every internal node other than the root thus has 'at least t children'.
If the tree is nonempty, the root must have at least one key.
 - b) Every node can contain at most $2t-1$ keys.
Therefore, an internal node can have at most $2t$ children.
(we can say that a node is full if it contains exactly $2t-1$ keys.)
- The simplest B-tree occurs when $t=2$.
Here every internal node then has either 2, 3, or 4 children, and we have a 2-3-4 tree.
In practice, however much larger values of t are typically used.

Four

- Q. Draw a B-tree of minimum degree $t=3$ of given sequence. and assume that B-tree was initially empty.

78, 56, 52, 95, 88, 105, 15, 35, 22, 47, 43, 50, 19, 31, 40, 41, 59.

$$\text{So Minimum key} = t-1 = 3-1 = 2$$

$$\text{Maximum key} = 2t-1 = 6-1 = 5.$$

Insert 78

78

Insert 56

56, 78

Insert 52

52, 56, 78

Insert 95

52 56 78 95

Insert 88

52 56 78 88 95

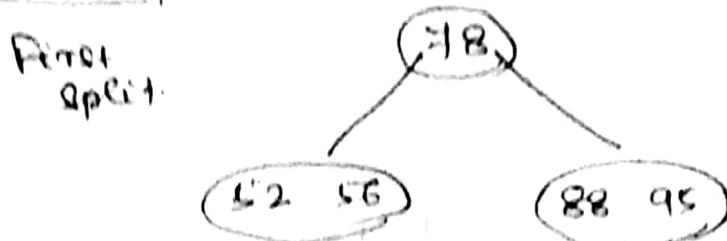
Now we cannot insert a new key into an existing leaf node as the maximum key size limit is achieved. So we introduce a split function, which splits a full node y around its median key γ into two nodes having only $t-1$ keys each.

[Note the median formula is $\{(n+1)/2\}^{\text{th}}$, where n is the number of items.]

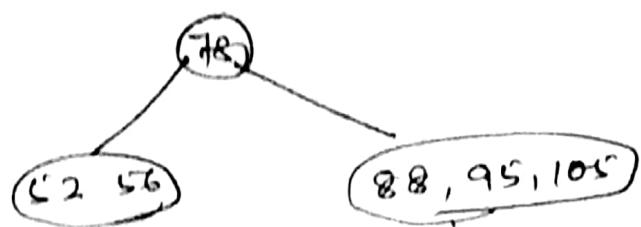
The median key moves up into y 's parent to identify the dividing point between the two new trees. And if y 's parent is also full, we must split it before we can insert the new key,

and thus we could end up splitting from nodes all the way up the tree.

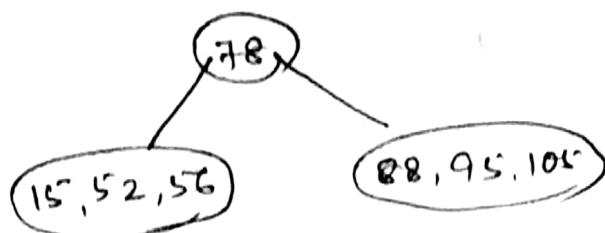
now insert 105



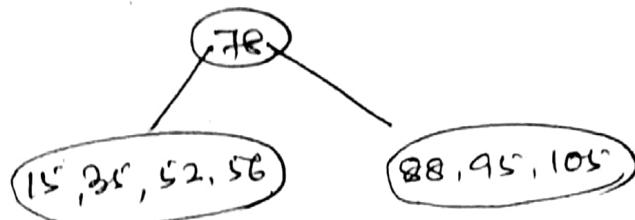
↓ then insert 105



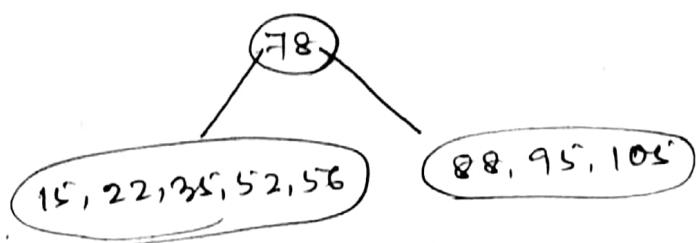
insert 15



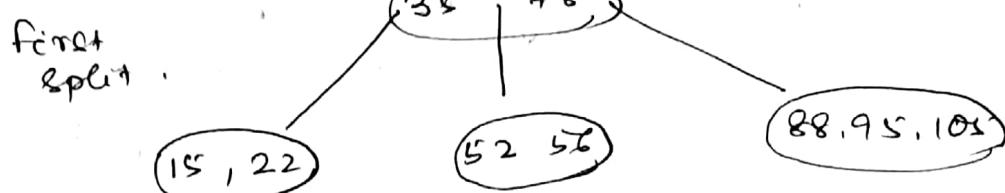
insert 35

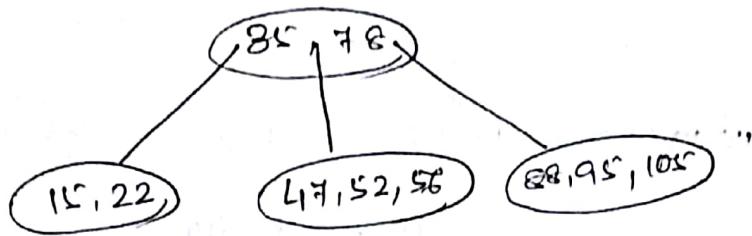
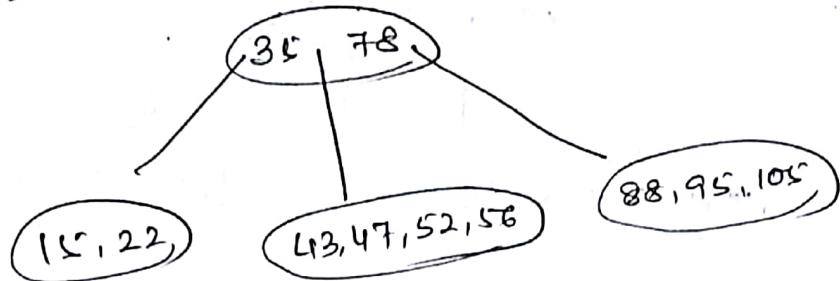
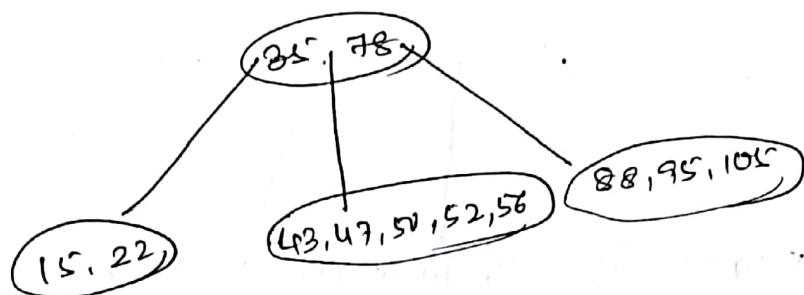
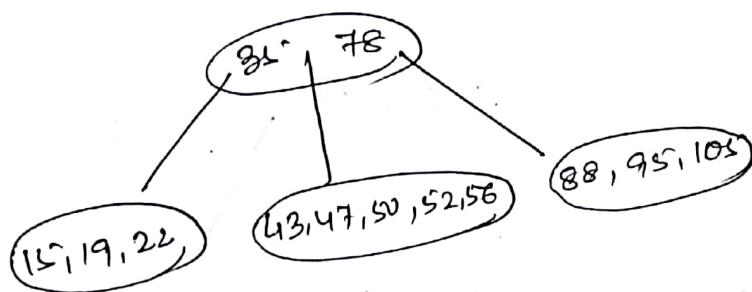
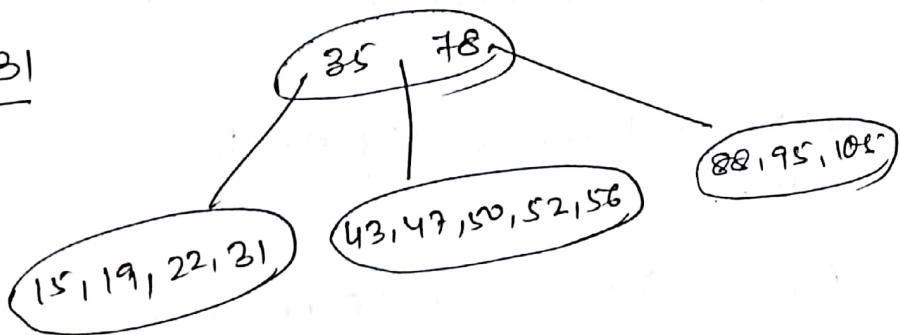


insert 22

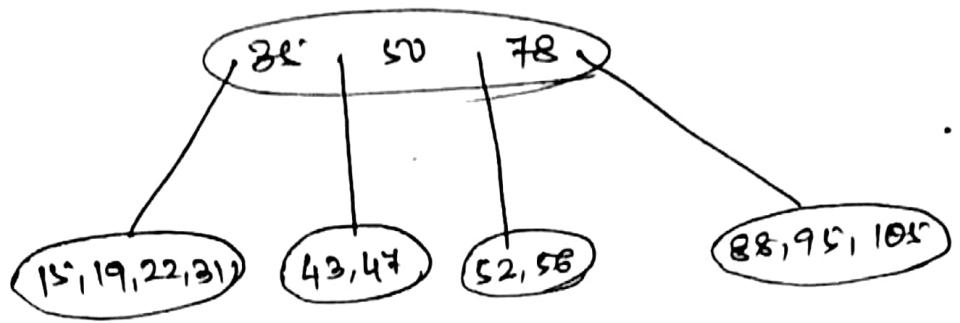


insert 47

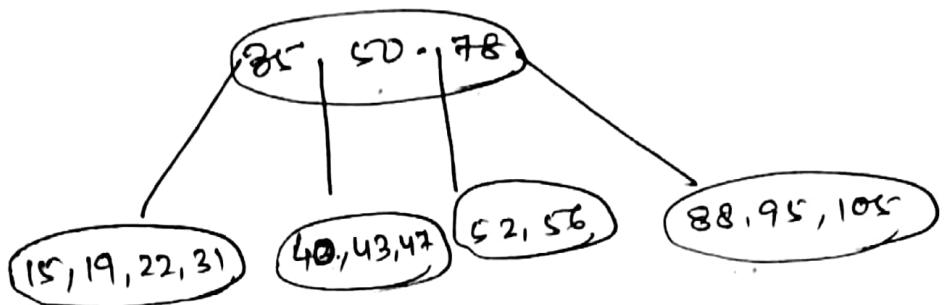


Insert 47Insert 43Insert 50Insert 19Insert 31Insert 40

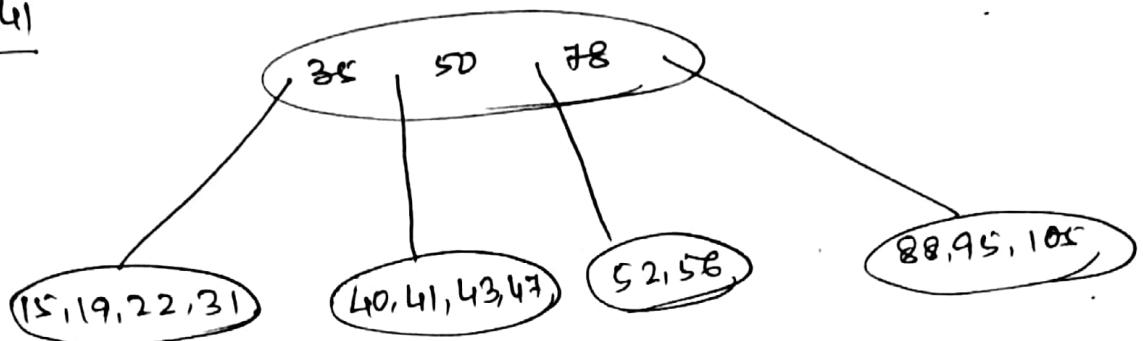
First split and then insert.



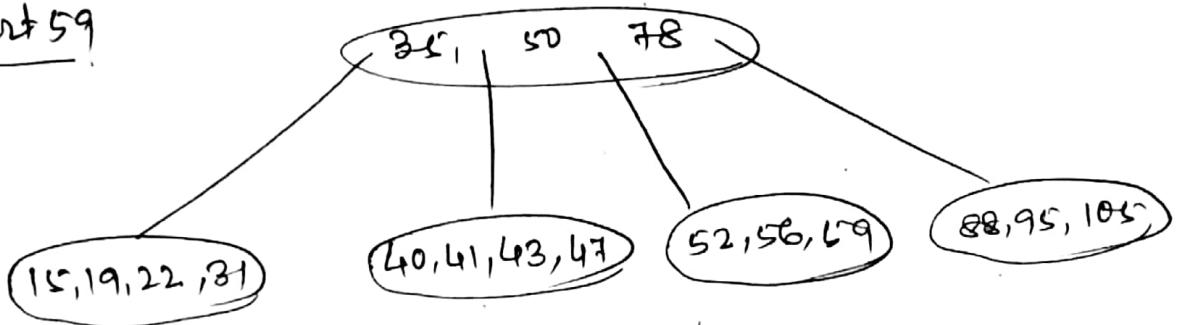
\downarrow insert - 40.



Insert 41



Insert 59



Q. Construct B-tree of degree $t=3$ on following data set.

E, A, S, Y, Q, U, E, S, T, I, O, N, I, N, C

Assume that the B-tree is initially empty.

order: 3

Max key: $2t - 1 = 5$
Min key: $t - 1 = 2$

B-Trees-4

Insert E

(E)

Insert A

(B, A, D)

Insert S

(A, E, S)

Insert Y

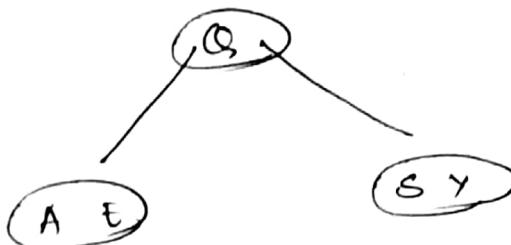
(A, E, S, Y)

Insert Q

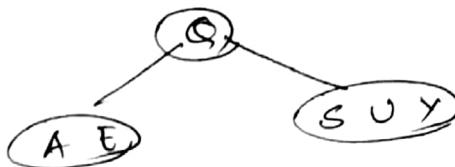
(A E Q S Y)

Insert U

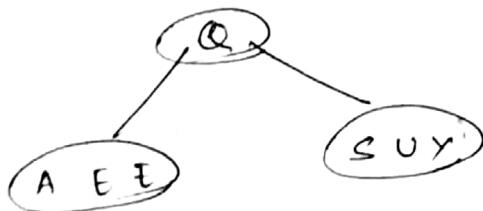
first split and then insert U



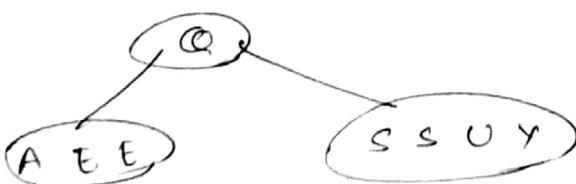
↓ insert U



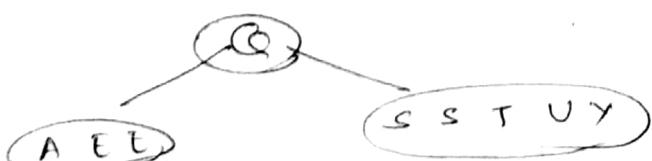
insert E



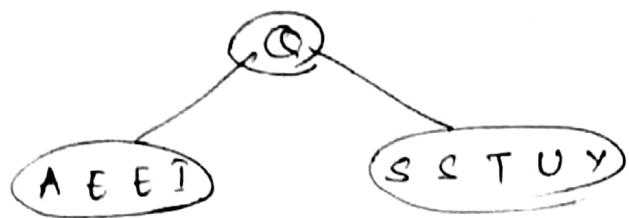
insert S



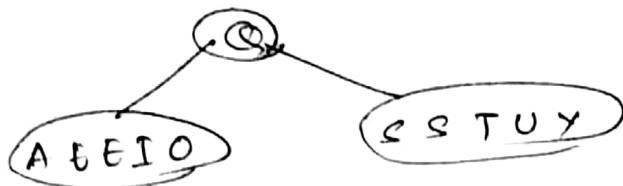
insert T



Insert I

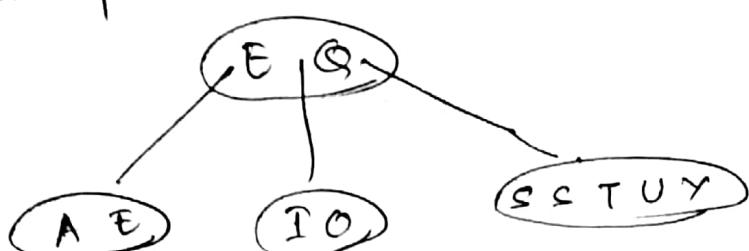


Insert O

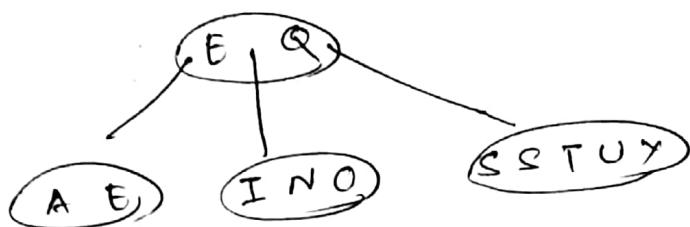


Insert N

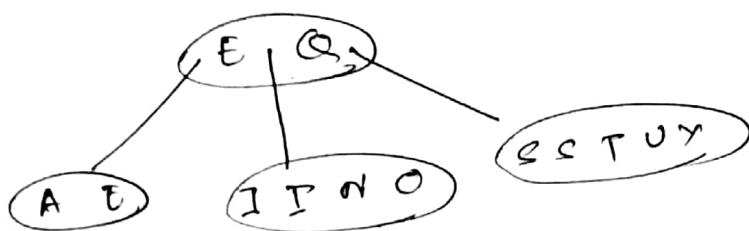
First split up and then insert N.



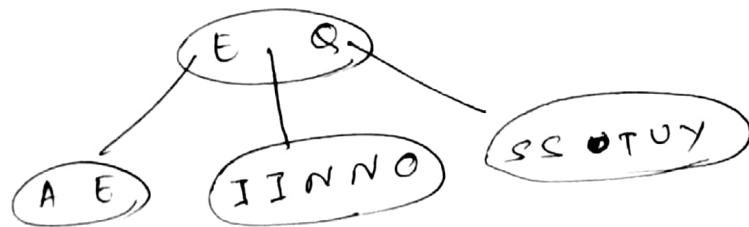
↓ insert N.



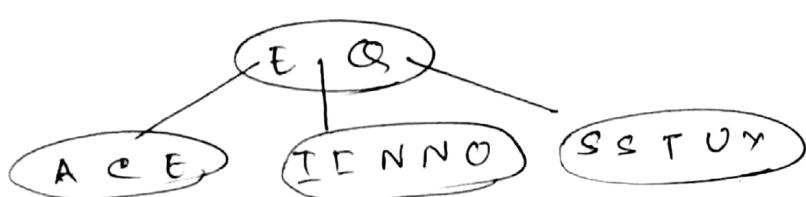
Insert T



Insert N



Insert C



A B-tree can be constructed by order as well as degree.

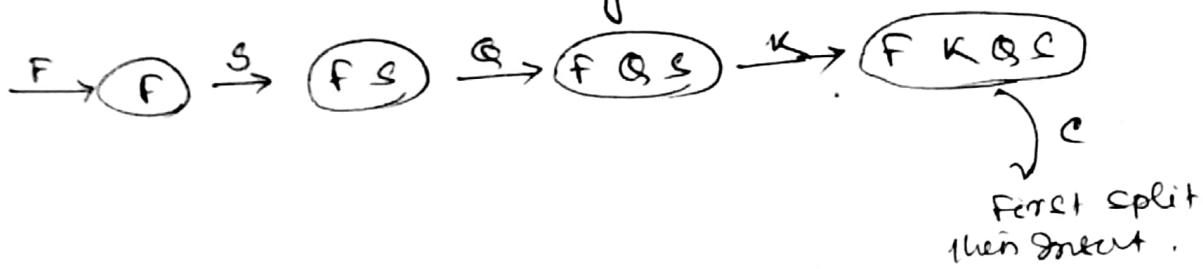
$$\left. \begin{array}{l} \text{order}(m) \\ \text{Max Key} = m-1 \\ \text{Min Key} = \lceil \frac{m}{2} \rceil - 1 \end{array} \right\} \quad \left. \begin{array}{l} \text{degree. (t)} \\ \text{Max Key} = 2t-1 \\ \text{Min Key} = t-1 \end{array} \right\}$$

Q Construct a B-tree of order 5 or following sequence of data. Assume that tree is empty initially.

$\langle F, S, Q, K, C, L, H, T, V, W, M, R, N, P, A, B,$
 $X, Y, Z, D, E. \rangle$

Ans:- Hence $\text{Order} = s = m$
 $\text{Max Key} = m-1 = 4$

$$\text{Min Key} = \lceil \frac{m}{2} \rceil - 1 = 3-1 = 2$$

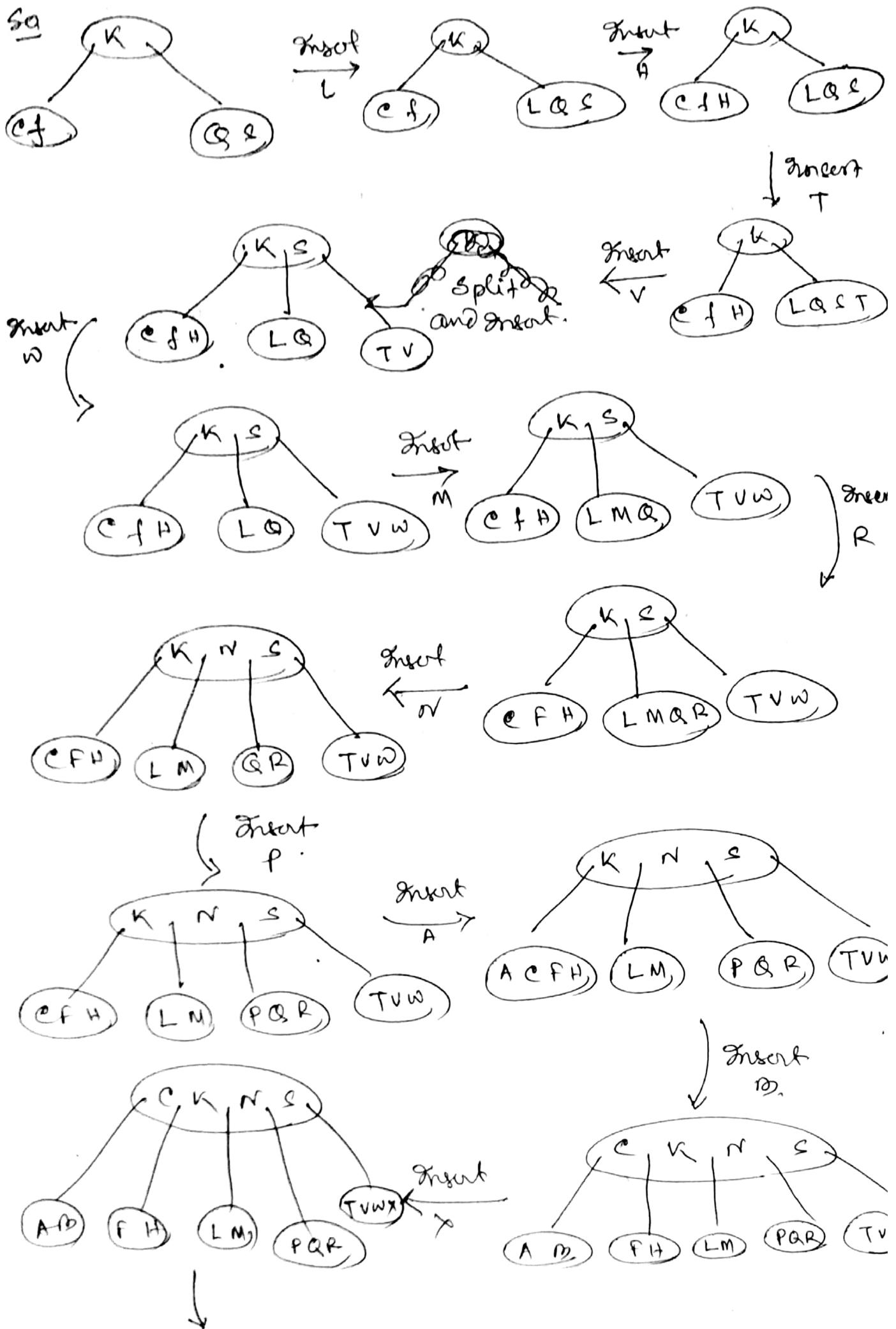


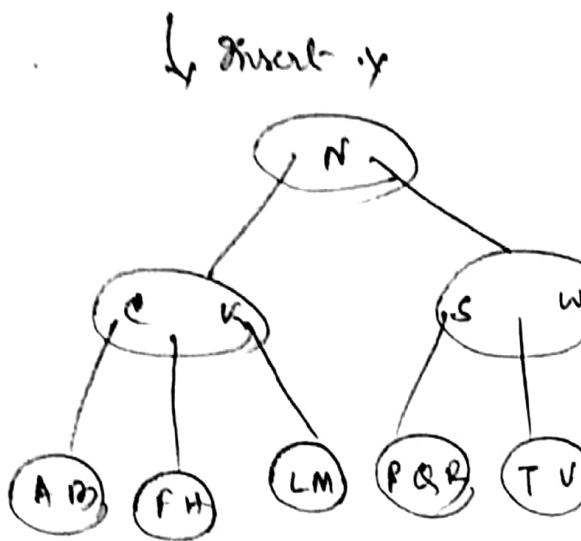
Forest split
then insert.

Note:

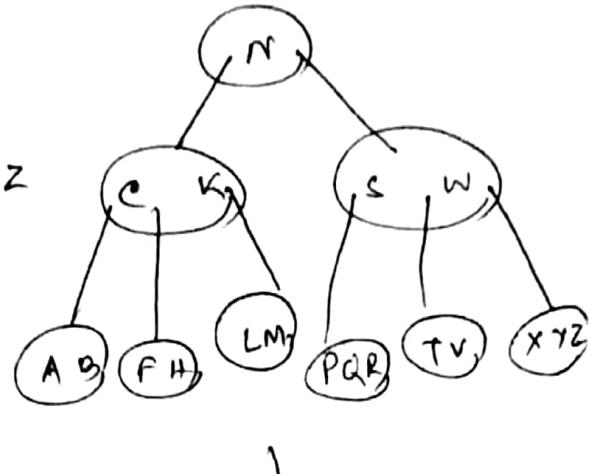
when the key elements are even and there is a need of split at that time we get two medians. i.e. m and $m+1$. In this case the split is done in following ways.

1. If the inserted item is $< m$ then split from m .
2. If the inserted item is $> m+1$ then split from $m+1$.
3. If the inserted item is $> m$ and $< m+1$ then split on inserted item.

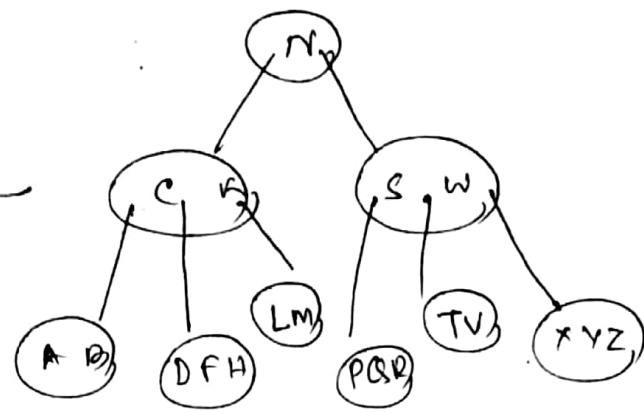
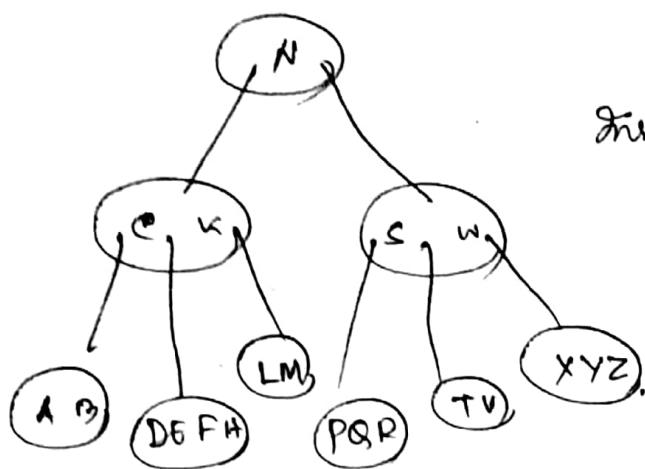




Insert Z →



↓ insert D



Q. why we don't allow min degree ($t=1$)

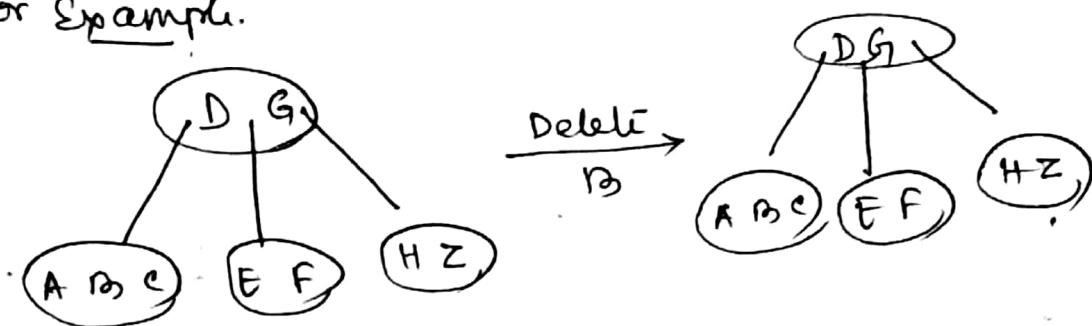
Because if $t=1$ then min key for any node is 0 (zero) which is not true! so degree must be $t \geq 2$.

Deletion of key from B-Tree.

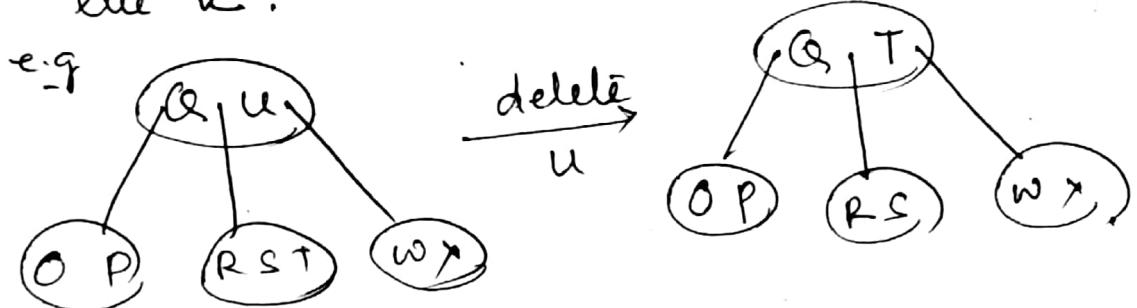
Case 1 If x is a leaf node & the leaf node have more than $(t-1)$ keys then the key can just be removed without disturbing the tree.

Let the degree $(t) = 3$.

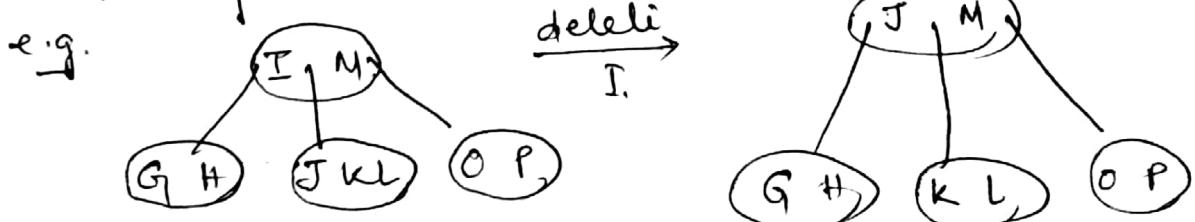
For Example.



Case 2 a) If x is an internal node. and the key left children have atleast t key, then the largest value can be moved up to replace the k .

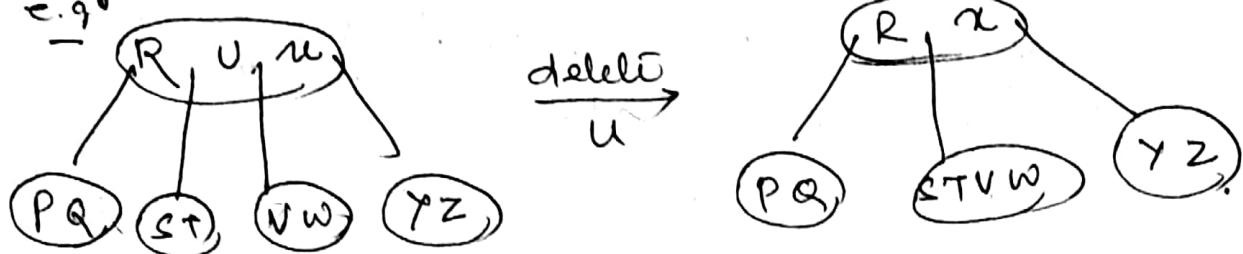


b) If the key right child has atleast t keys then the smallest value can be move up to replace k .



c) If neither child has at least t keys then the two children of x key must be merged into one and key must be removed.

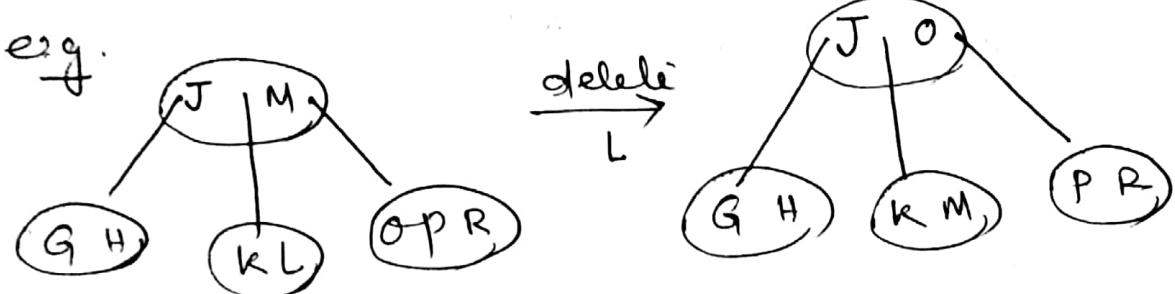
e.g.



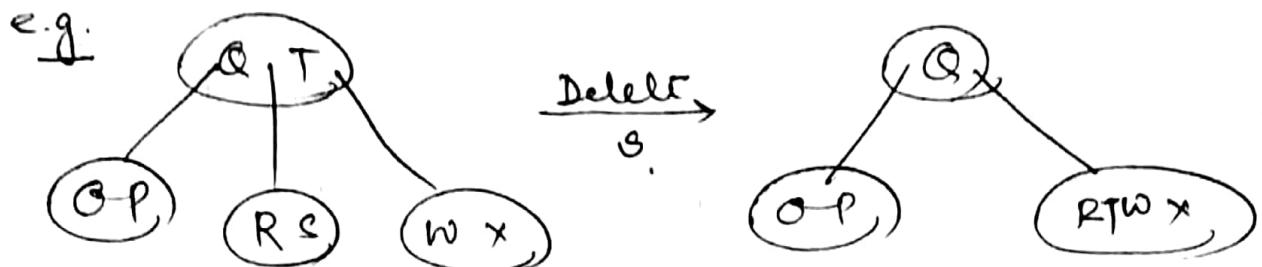
Case-3. If x has $(t-1)$ keys

- a) If x has a sibling (always right) with at least t keys move x parent into x and move the appropriate element from the x sibling into open slot into the parent node, then delete the deleted value. (for leaf node), then delete the deleted value. (for leaf node).

e.g.



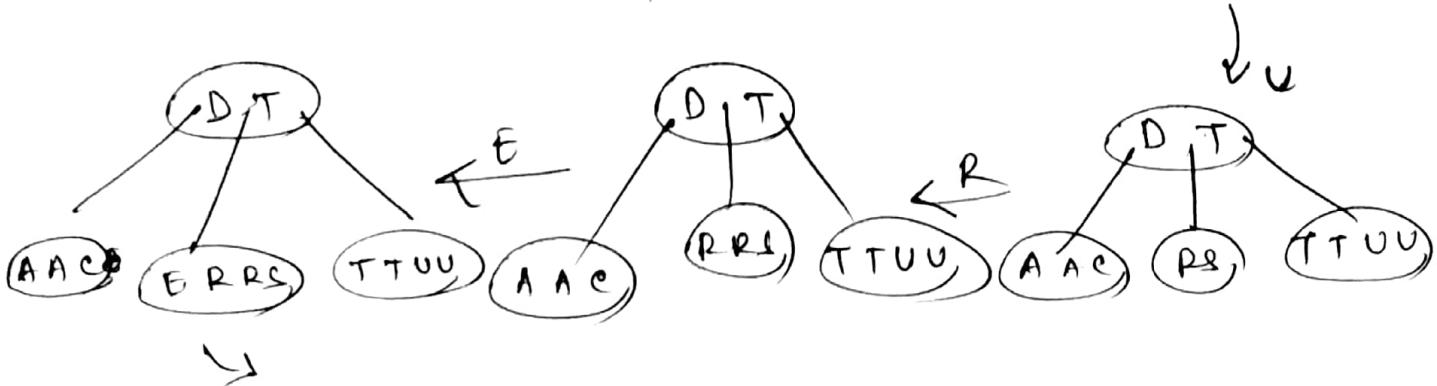
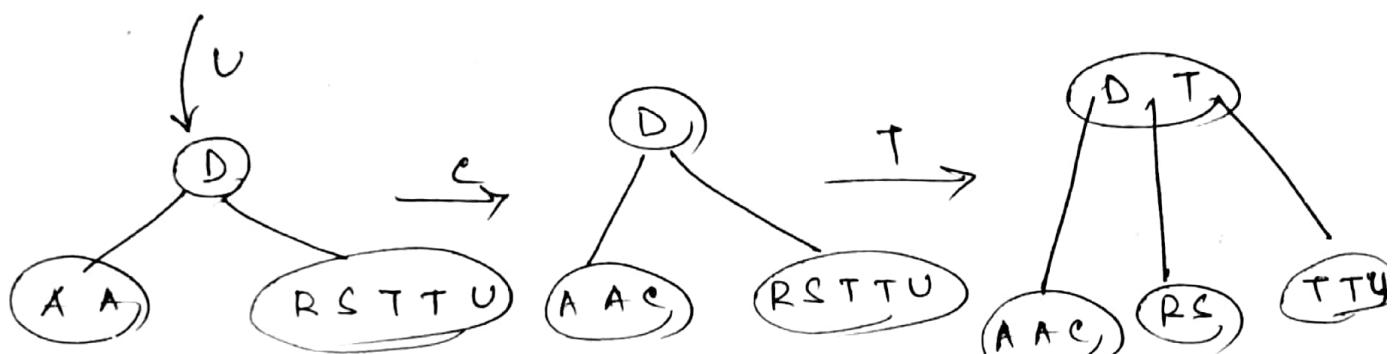
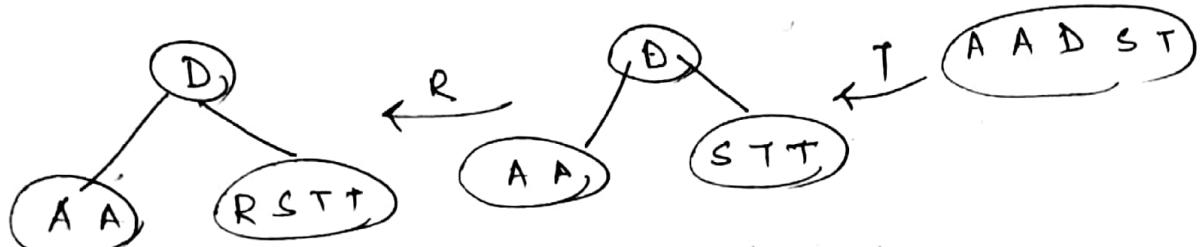
- b) If x sibling also have $(t-1)$ keys merge x with one of its sibling by bringing down the parent to be the median value then delete deleted value.

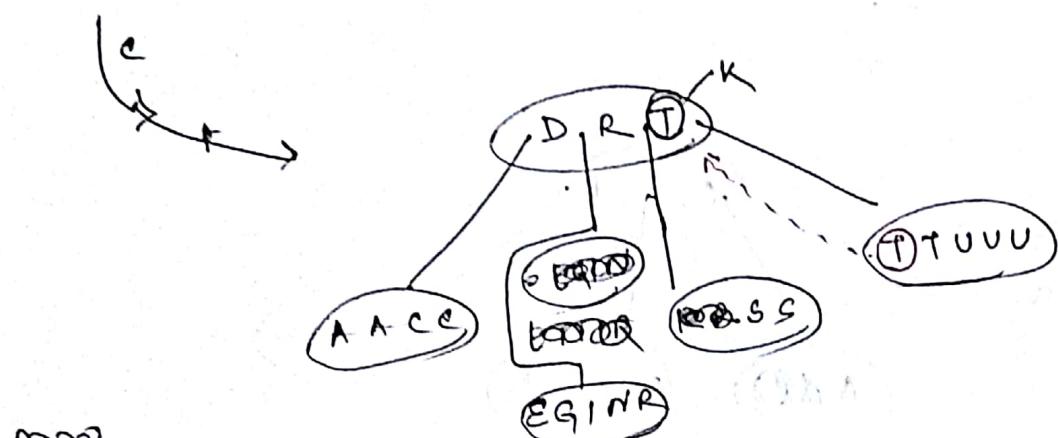
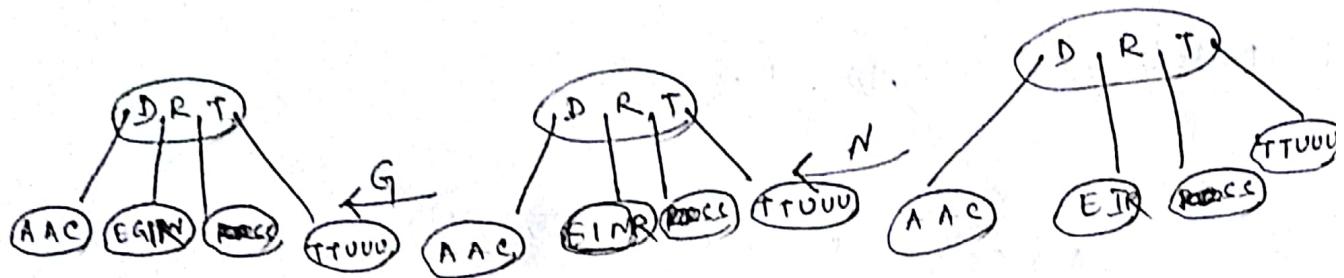
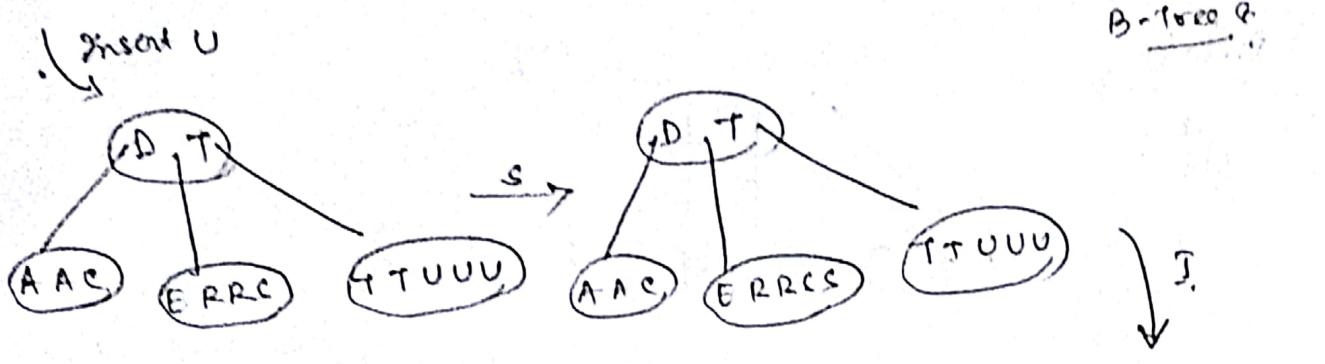


- Q. Construct a B-Tree on following data set.
of degree 3 and key

DATA STRUCTURE USING C
and perform the delete operation with
following data sequentially.

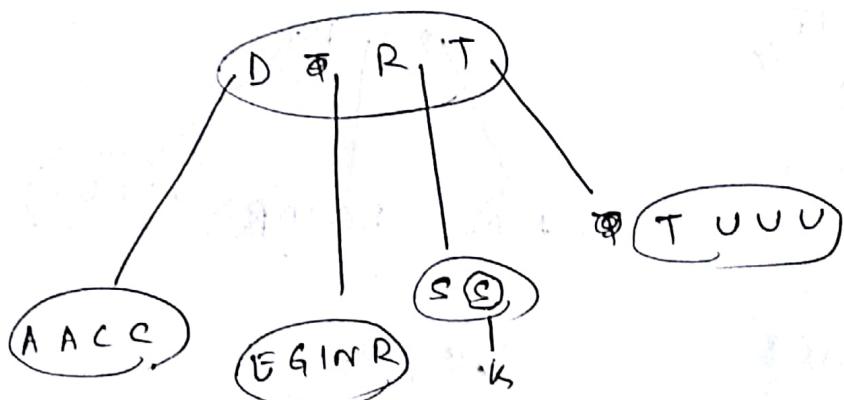
First insert tree. Max key = 5, Min key = 2





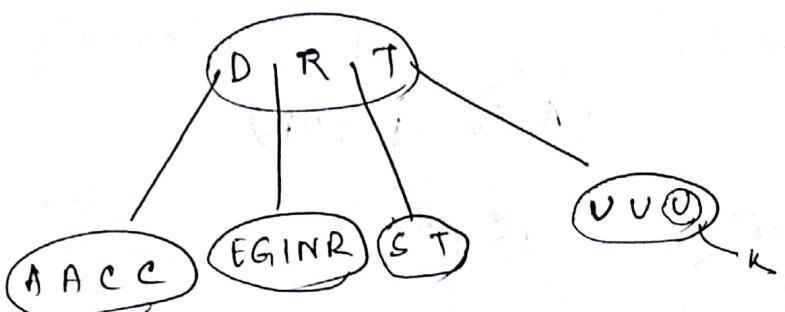
now
Delete T

$\xrightarrow{\text{Case 2 (b)}}$

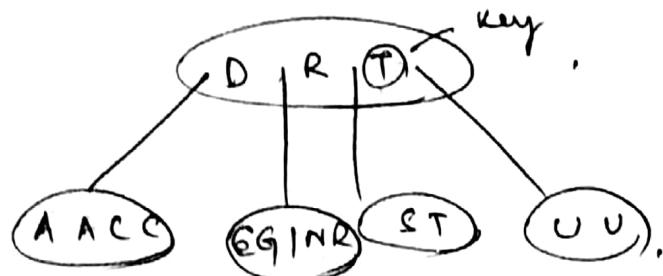


Delete S

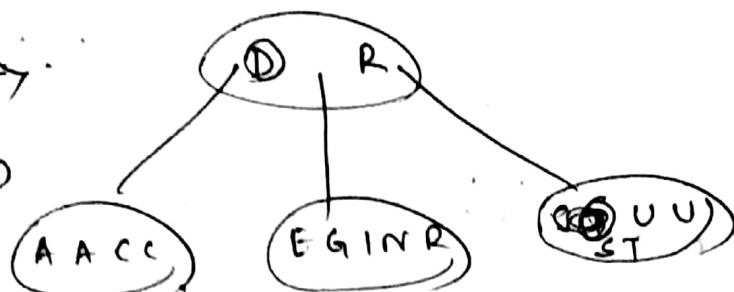
$\xrightarrow{\text{Case 3 @}}$



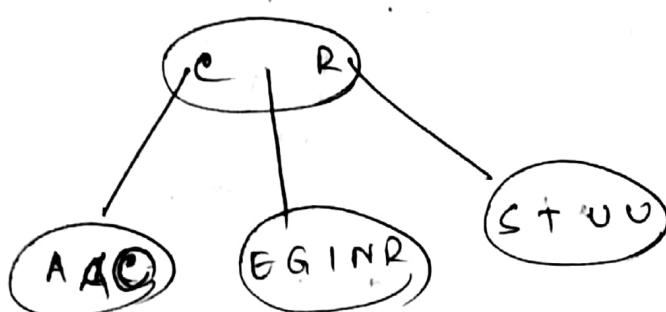
Delete →
u
Case 1.



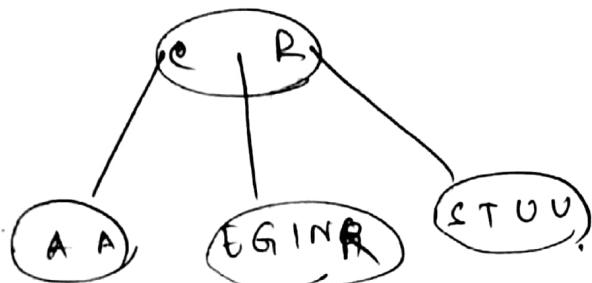
Delete →
T
Case 2(e)



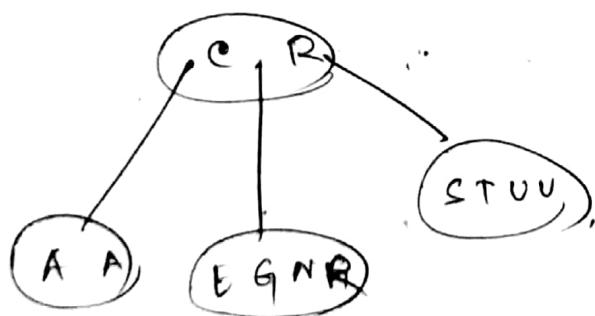
Delete →
D
Case 2 a



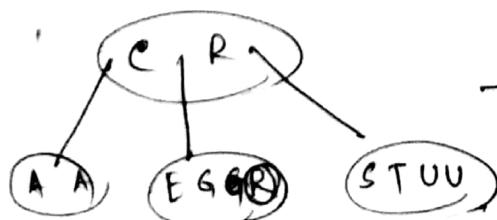
Delete →
C
Case 1



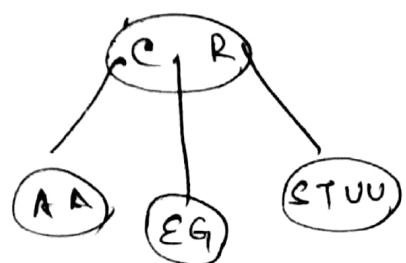
Delete →
I
Case 1



Delete →
N
Case - 1

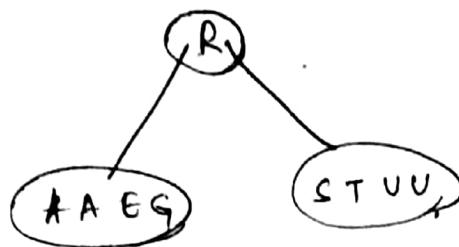


Delete →
R
Case 1



• Delete
c

Case 2(c)

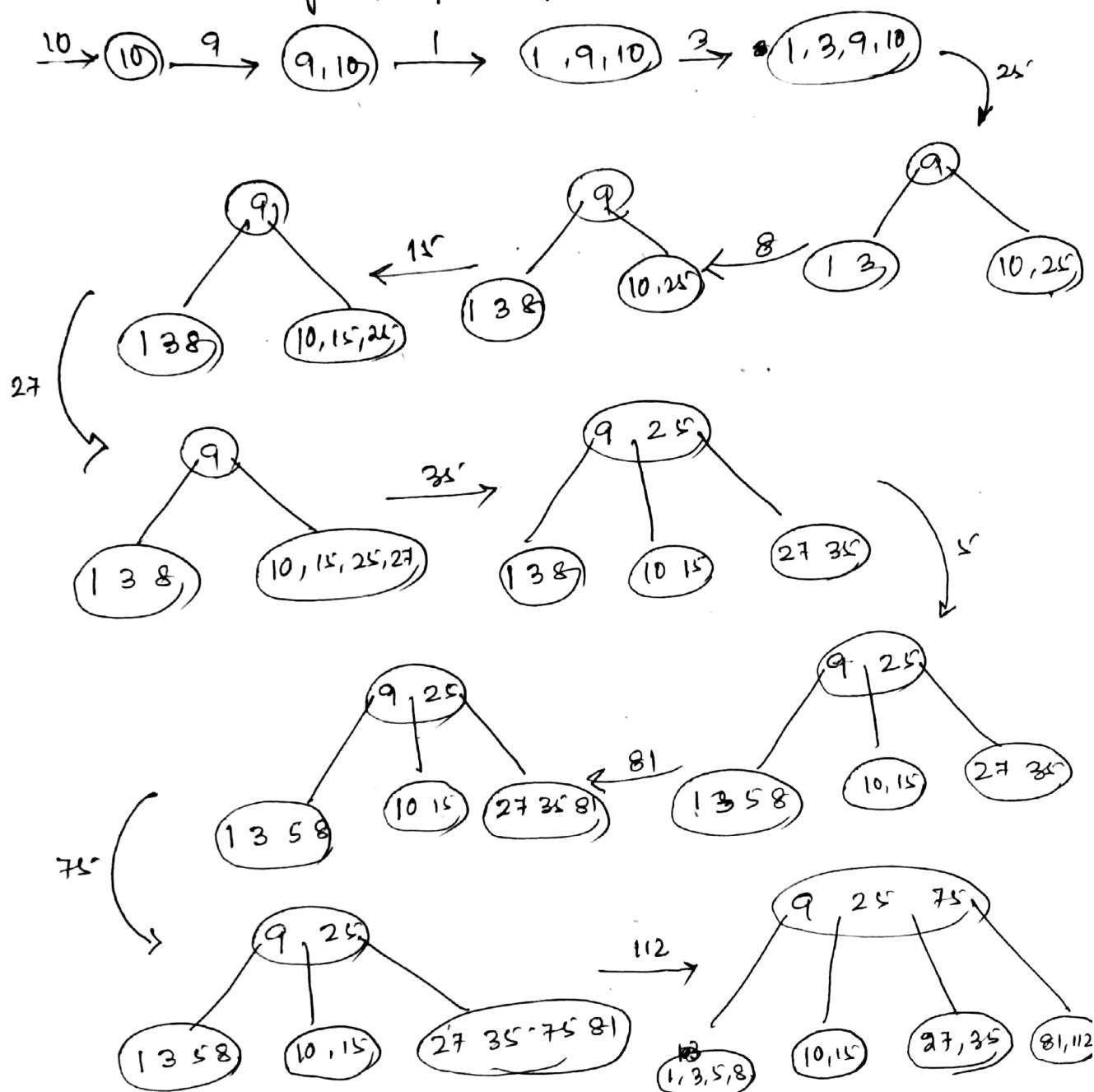


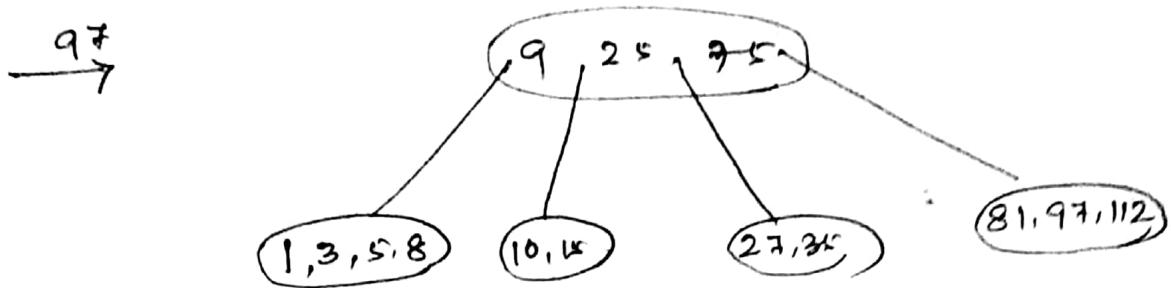
Q. Construct a B-tree of order 5 & the keys are.

10, 9, 1, 3, 25, 8, 15, 27, 35, 5, 81, 75, 112, 97.

$$\text{Max Key} : M-1 = 5-1 = 4$$

$$\text{Min Key} = \lceil \frac{M}{2} \rceil - 1 = \lceil \frac{5}{2} \rceil - 1 = 3 - 1 = 2.$$



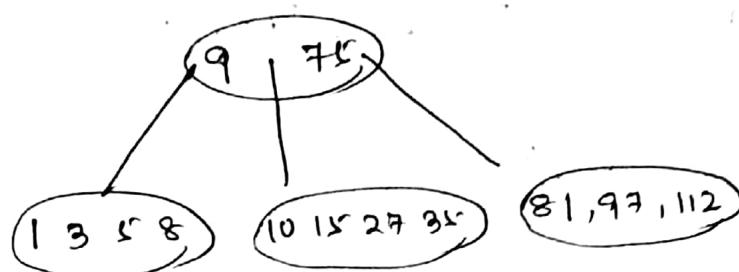


Next perform delete operation on following data set sequentially.

25, 75, 27, 5, 15, 81, 97, 112, 10

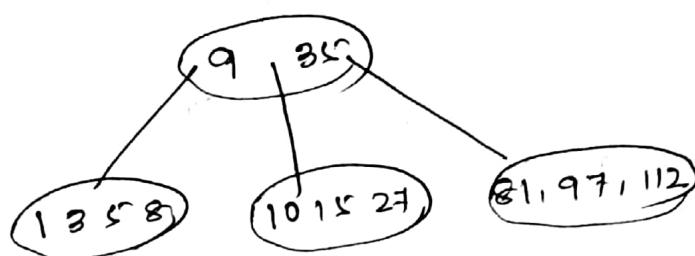
delete 25

Case 2(c)



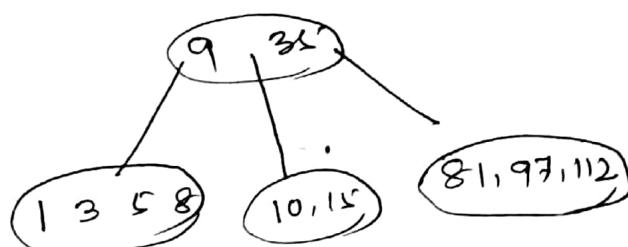
delete 75

Case 2(a)



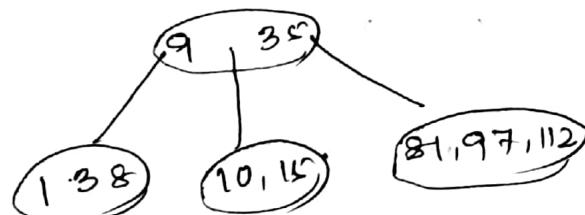
delete 27

Case 1



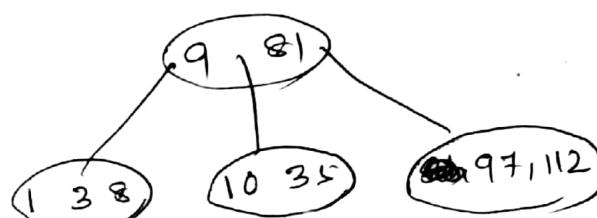
delete 5

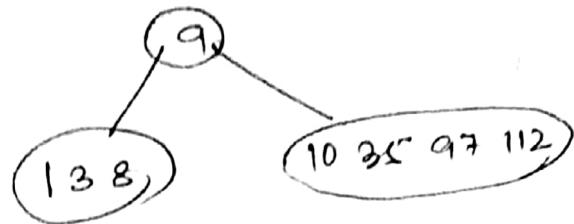
Case 1



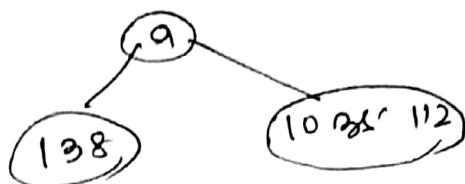
delete 15

Case 3 a

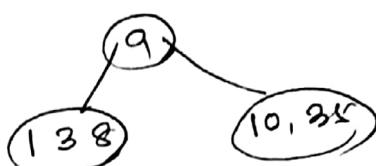


delete 81Case 2(a)
2c.delete 97

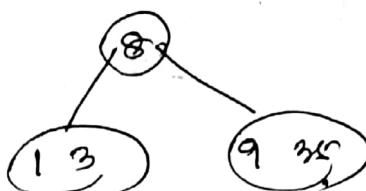
Case 1

delete 112

Case 1

delete 10

Case 2(a)



Let us analyze the height of B-tree in worst case.

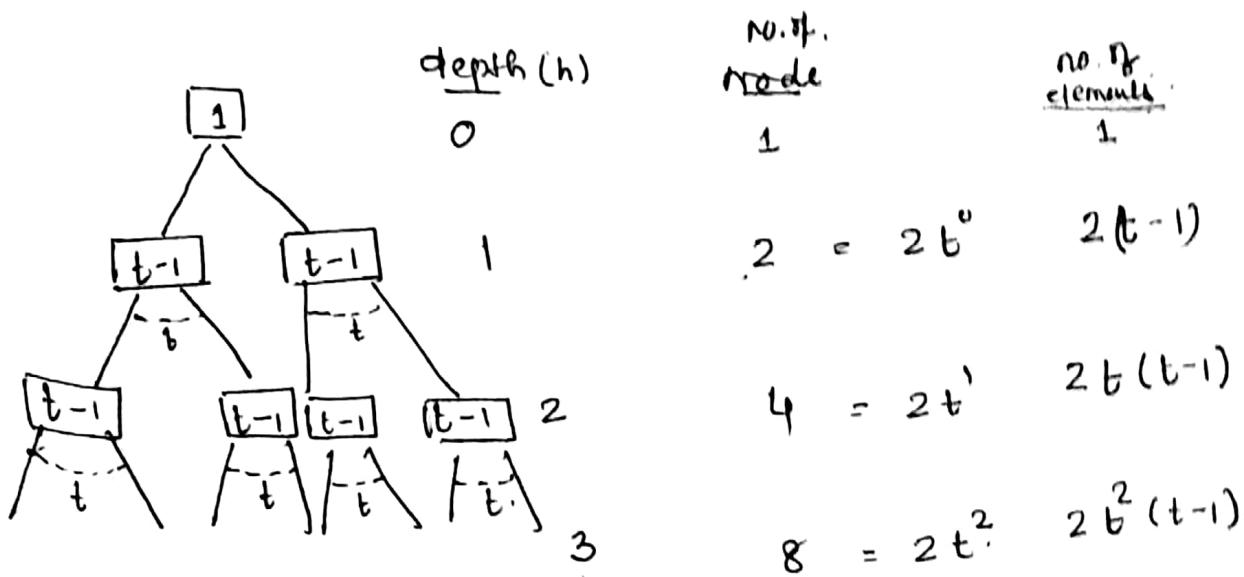
Theorem.

If $n > 1$, then for any m-key B-tree T of height h and minimum degree $t \geq 2$, $h \leq \log_t \frac{n+1}{2}$

Proof.

The root of B-tree contains at least one key and all other nodes contain at least $t-1$ keys. Thus, T , whose height is h , has at least 2 nodes at depth 1 , at least $2t$ nodes at depth 2 , at least $2t^2$ nodes at depth 3 , and so on. until it has at least $2t^{h-1}$ nodes.

On the next page the figure illustrates for $h=3$. Thus, the number of keys satisfies the inequality.



$$n \geq 1 + 2(t-1) + 2t(t-1) + 2t^2(t-1) + \dots + 2t^{h-1}(t-1)$$

$$n \geq 1 + 2(t-1) \left[1 + t + t^2 + t^3 + \dots + t^{h-1} \right]$$

$$n \geq 1 + 2(t-1) \frac{t^{h-1+1} - 1}{(t-1)}$$

G.P. series.

$$n \geq 1 + 2(t^{h-1})$$

$$n \geq 1 + 2t^h - 2$$

$$n \geq 2t^h - 1$$

$$n+1 \geq 2t^h$$

$$\Rightarrow t^h = \frac{n+1}{2}$$

Apply log both side.

$$\log t^h = \log \frac{n+1}{2}$$

$$\Rightarrow h = \log_t \left(\frac{n+1}{2} \right)$$

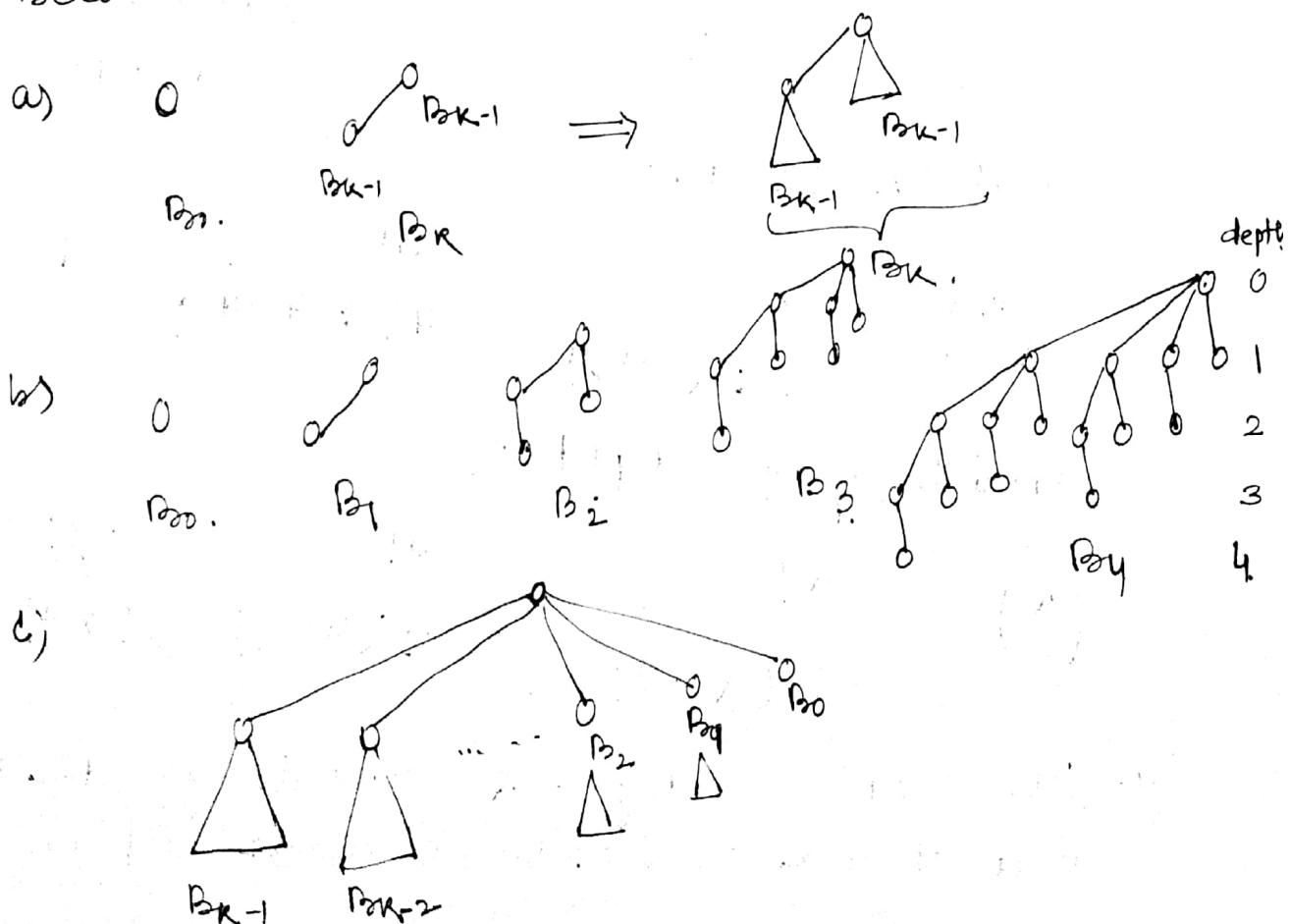
Prooved

Binomial Heap.

A Binomial heap is a collection of Binomial Trees.

What is a Binomial Tree?

The Binomial tree (B_k) is an ordered tree defined recursively. The binomial tree B_0 consists of a single node. The Binomial tree B_k consists of two binomial trees B_{k-1} that are linked together so that the root of one is the left + most child of the root of the other. An example of Binomial trees B_0 through B_4 given below.



Properties of Binomial trees:

1. there are 2^k nodes. if the degree is k . i.e $n=2^k$
2. The height of the tree is k .
3. There are exactly $\binom{k}{i}$ nodes at depth i for $i \in 0, 1, 2, \dots, k$ and.

For Example:

Assume we check for B_4 where $k=4$
and depth = 2 so $i=2$

$$\text{so } \binom{k}{i} = \frac{k!}{i!(k-i)!} = \frac{4!}{2! \times (4-2)!} = \frac{4 \times 3 \times 2 \times 1}{2 \times 1 \times 2 \times 1} = 6 \text{ nodes}$$

at depth - 2.

Similarly, for depth 4

$$\binom{k}{i} = \frac{k!}{i!(k-i)!} = \frac{4!}{4! \times (4-4)!} = 1$$

Similarly, for depth 3.

$$\binom{k}{i} = \frac{k!}{i!(k-i)!} = \frac{4!}{3! \times (4-3)!} = \frac{4 \times 3 \times 2 \times 1}{3! \times 1!} = 4$$

4. The root has degree k , which is greater than that of any other node, moreover, if the

Children of the root are numbered from left to right by $k-1, k-2, \dots, 0$, then child i is the root of a subtree B_i . (Refer to figure c)

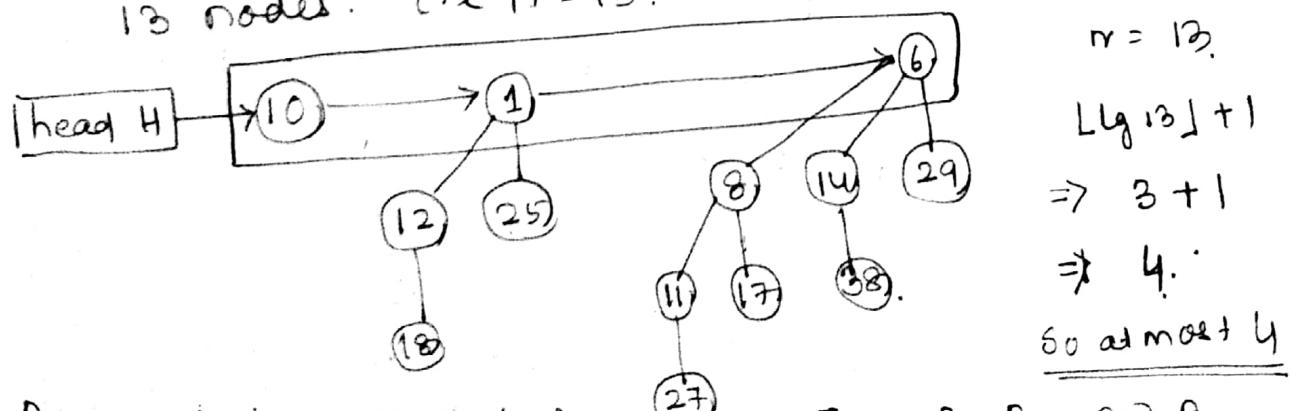
Binomial Heap.

A Binomial Heap H is a set of binomial trees that satisfies the following properties:

1. Each binomial tree in H obeys the min-heap property.
i.e. the key of a node is greater than or equal to the key of its parent.
 2. For any non-negative integer k , there is at most one binomial tree in H whose root has degree k .
- e.g. it implies that an n -node Binomial heap H consists of at most $\lceil \lg n \rceil + 1$

Binomial Tree:

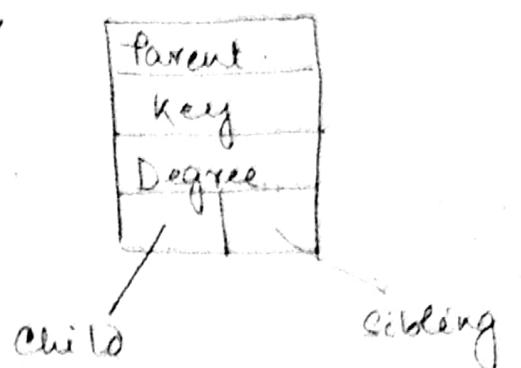
An example of Binomial heap H with 13 nodes. i.e $n = 13$.



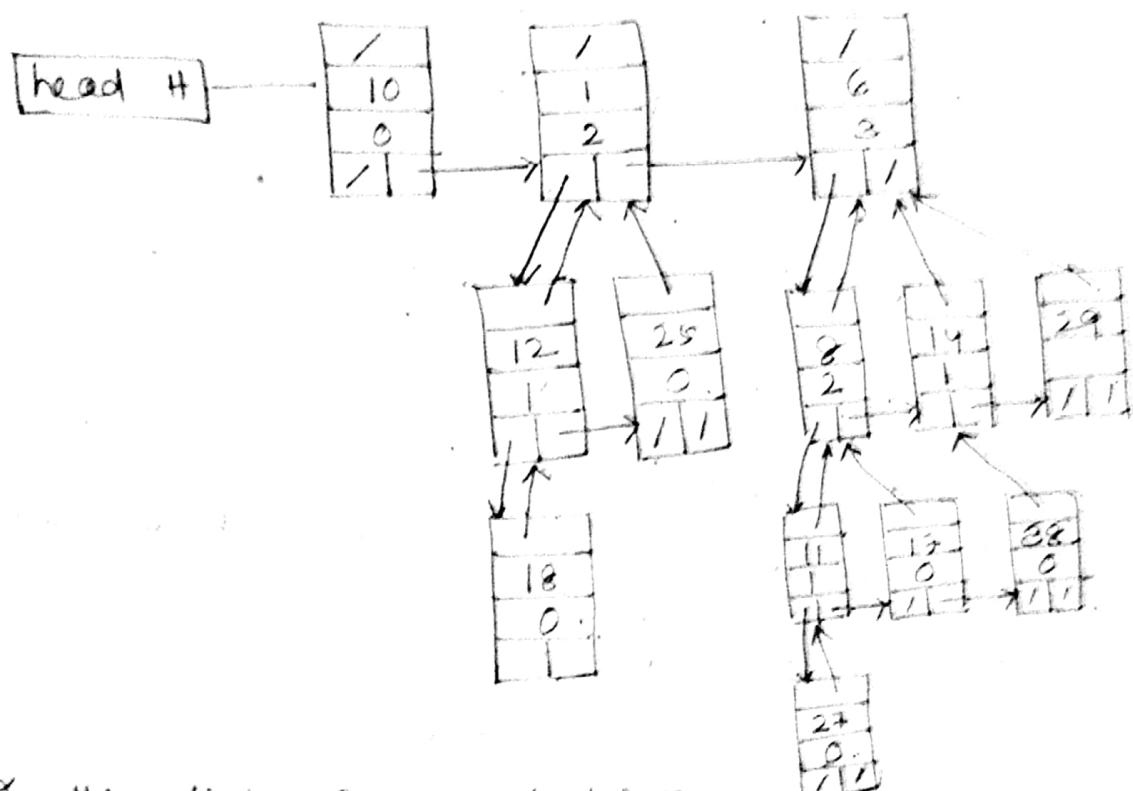
Binomial heap consists of Binomial Trees B_0, B_1 , and B_2 .

Link representation of Binomial heap.

- ~ Each node of Binomial tree contains information about:
→



An example of Link representation of Binomial heap.



For the link representation

- each node 'n' contains pointer \rightarrow 00
points to $n \rightarrow$ Parent.
- $n \rightarrow$ Key
- $n \rightarrow$ Child
- $n \rightarrow$ Sibling
- $n \rightarrow$ degree.

Functions & Operations of Polynomial Heap

1. Make heap() \rightarrow Create and return a new heap containing no elements.
2. Insert (H, x) \rightarrow Insert node x whose key field has already being added to the heap H .
3. Minimum (H) \rightarrow Return a pointer to the node in heap H where key is minimum.
4. Extract min (H) \rightarrow Delete the node from heap H , whose key is minimum returning a pointer to the node.
5. Union (H_1, H_2) \rightarrow Create and return a new heap that contains all the nodes of H_1 & H_2 . H_1 & H_2 are destroyed by this operation.
6. Decrease key (H, x, k) \rightarrow Assign a node x within heap H , the new key value k which is assumed no greater than its current value.
7. Delete (H, x) \rightarrow delete node x from the heap H .

Operations on Binomial Heap:

1. Create a new Binomial Heap

To make an empty Binomial heap the
make-heap() procedure simply allocates
the memory for the heap.

The scanning time is $O(1)$

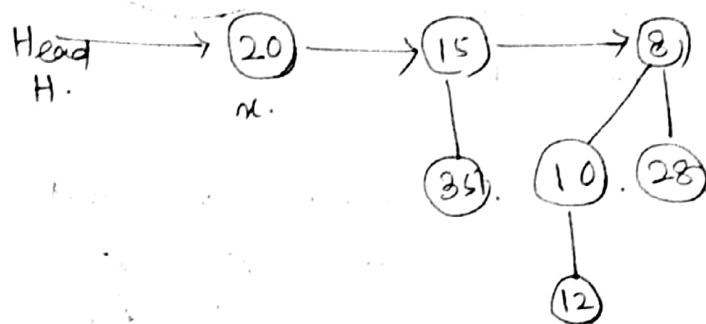
2. Find the minimum key in Binomial Heap.

The procedure Binomial-heap-Minimum (H)
is used to find minimum element in a
binomial heap.

Binomial-heap-Minimum (H).

1. $y \leftarrow \text{NULL}$
2. $x \leftarrow H \rightarrow \text{head}$
3. $\text{minimum} = x$
4. while ($x \neq \text{NULL}$)
5. if $\text{loop}(x \rightarrow \text{key} & \text{minimum})$
6. then $\text{minimum} \leftarrow x \rightarrow \text{key}$.
7. $y \leftarrow x$
8. $x = x \rightarrow \text{sibling}$
9. return y

Example:



$$x = 20$$

$$x \rightarrow \text{key } & \text{ min}.$$

$$\text{min} = 20.$$

$$y = 20.$$

$$x = 15.$$

$$x \rightarrow \text{key } & \text{ min}.$$

$$\text{min} = 15$$

$$y = 15$$

$$x = 8.$$

$$x \rightarrow \text{key } & \text{ min}.$$

$$\text{min} = 8$$

$$y = 8$$

$$x = \text{NULL}.$$

return. $\boxed{y = 8.}$

(iii) Union of two binomial heap:-

The union operation of two binomial heap is used as a subroutine Binomial-heap-union (H_1, H_2). This operation is used mostly on the remaining all the operations. The Binomial-heap-union procedure recursively link binomial trees whose root have same degree. The Binomial-heap-union function required Binomial-link procedure for linking the Binomial heap tree. There are four cases for handling the operation of Binomial heap union. These cases are discussed in the next page.

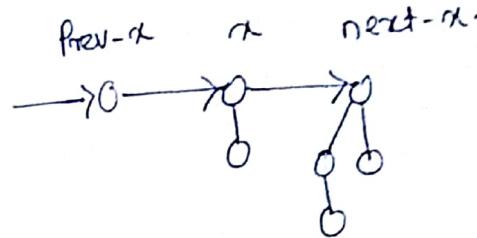
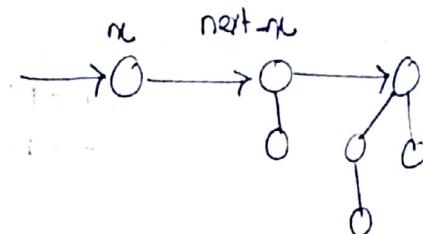
Case - 1 if $\text{degree}[x] \neq \text{degree}[\text{next-}x]$

Then

$$\text{prev-}x \leftarrow x$$

$$x \leftarrow \text{next-}x.$$

Example -



Case - 2 if $\text{degree}[x] \neq \text{degree}[\text{next-}x] =$

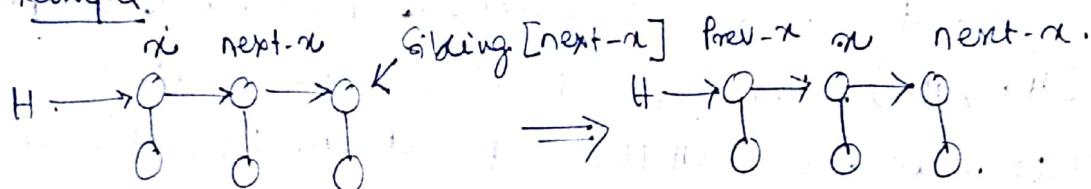
$\text{degree}[\text{sibling}[\text{next-}x]]$

Then

$$\text{prev-}x \leftarrow x$$

$$x \leftarrow \text{next-}x.$$

Example.



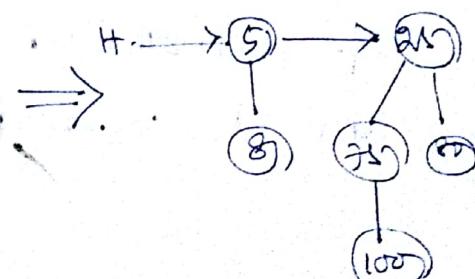
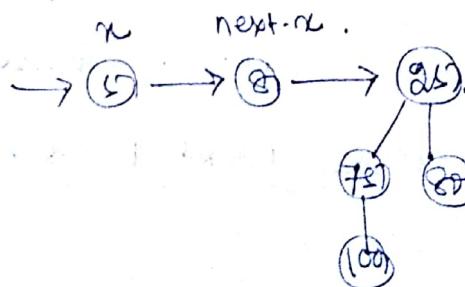
Case - 3 if $\text{degree}[x] = \text{degree}[\text{next-}x]$ and

$\text{key}[x] \leq \text{key}[\text{next-}x]$.

Then $\text{sibling}[x] \leftarrow \text{sibling}[\text{next-}x]$.

Polynomial link ($\text{next-}x, x$).

Example:



Case 4

If $\text{degree}[x] = \text{degree}[\text{next}-x]$ and $\underline{\underline{BH=5}}$

$\text{key}[x] > \text{key}[\text{next}-x]$.

if ($\text{prev}-x == \text{NULL}$)

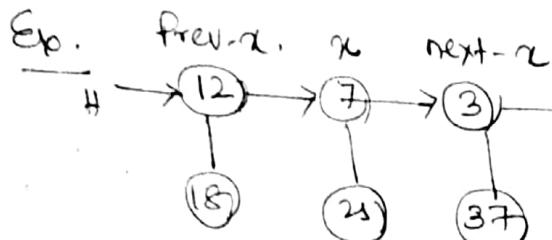
then $\text{head}[H] = \text{next}-x$.

else

$\text{Sibling}[\text{prev}-x] = \text{next}-x$

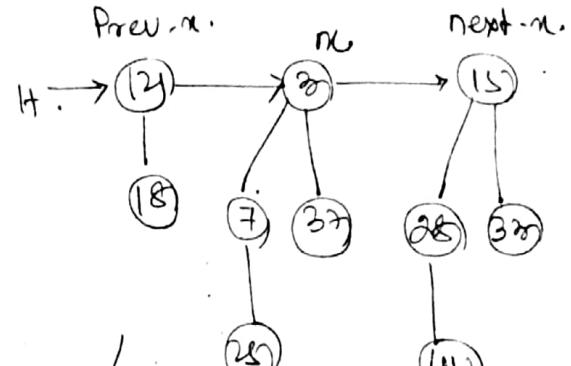
Polynomial - Link ($x, \text{next}-x$).

Ex.



prev-x. x next-x

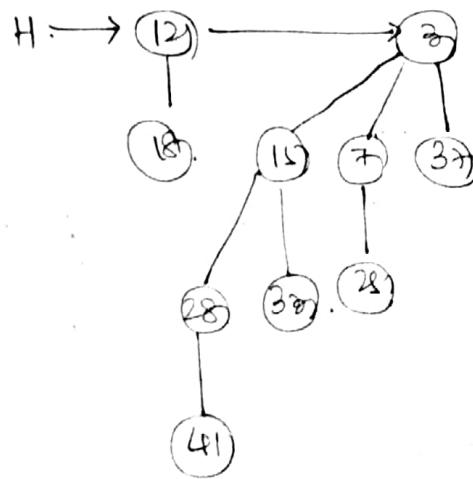
↓ Case 4.



prev-x. x next-x

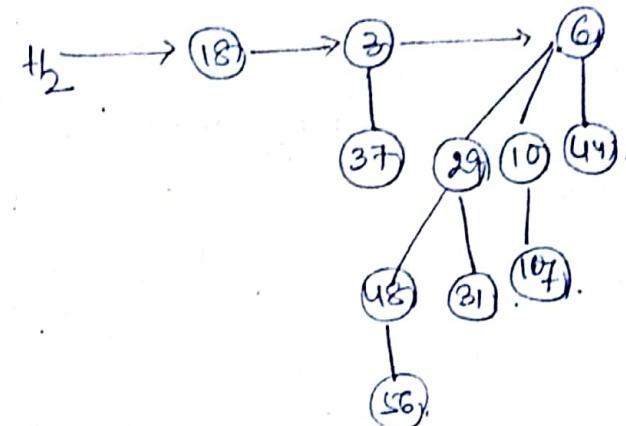
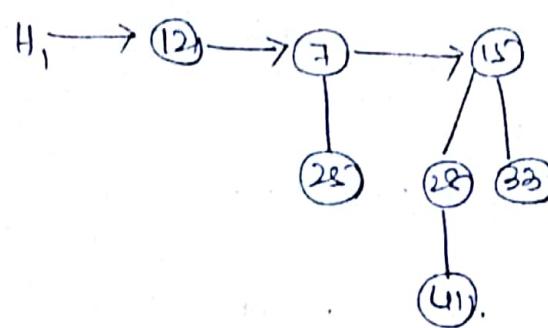
H → 12 → 24 → 3 → 15 → next-x.

↓ Case 3.

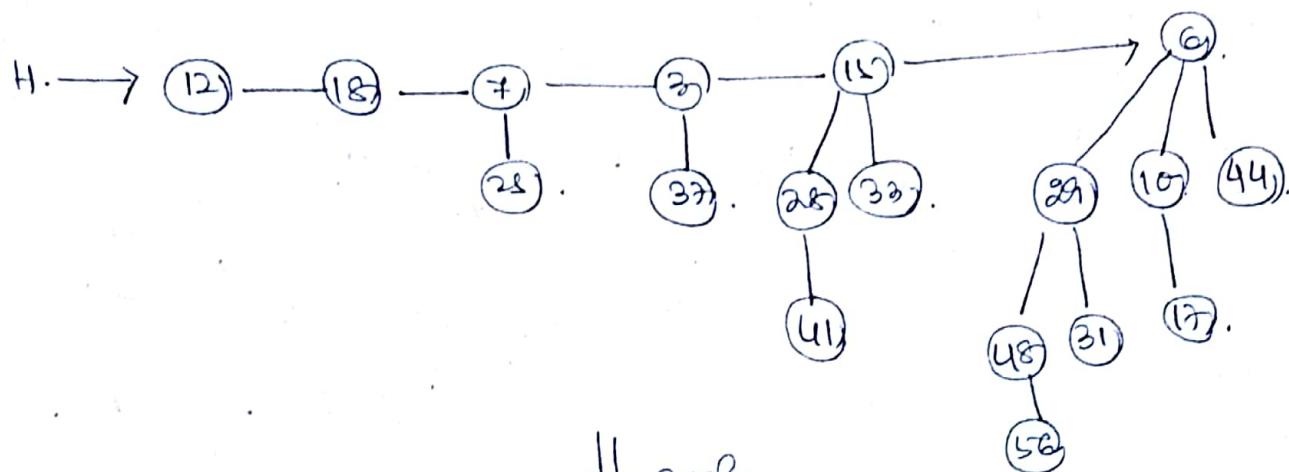


H → 12 → 2 → 15 → 7 → 37 → 28 → 41 → next-x.

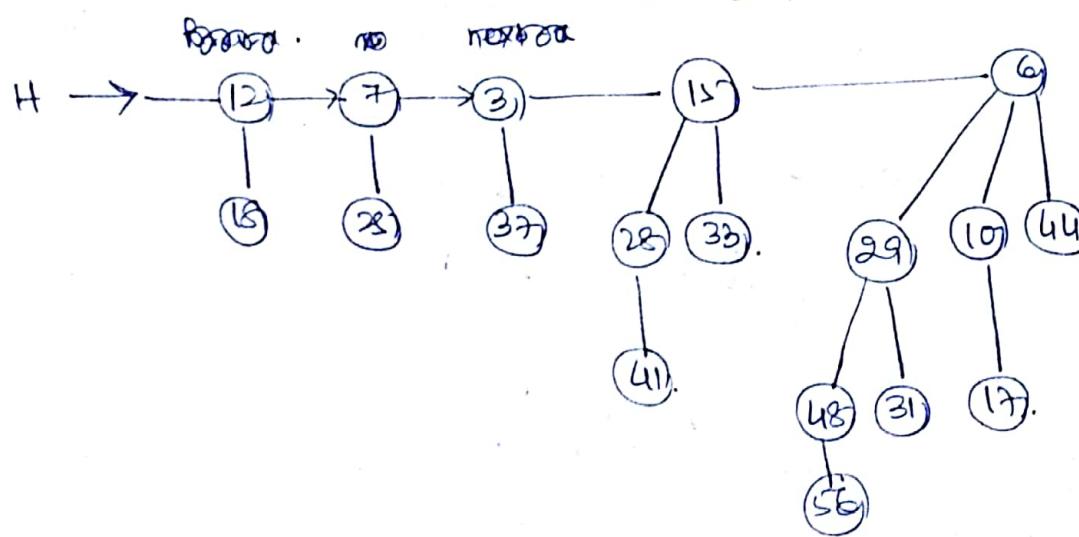
Question: Merge the following two binomial heap.



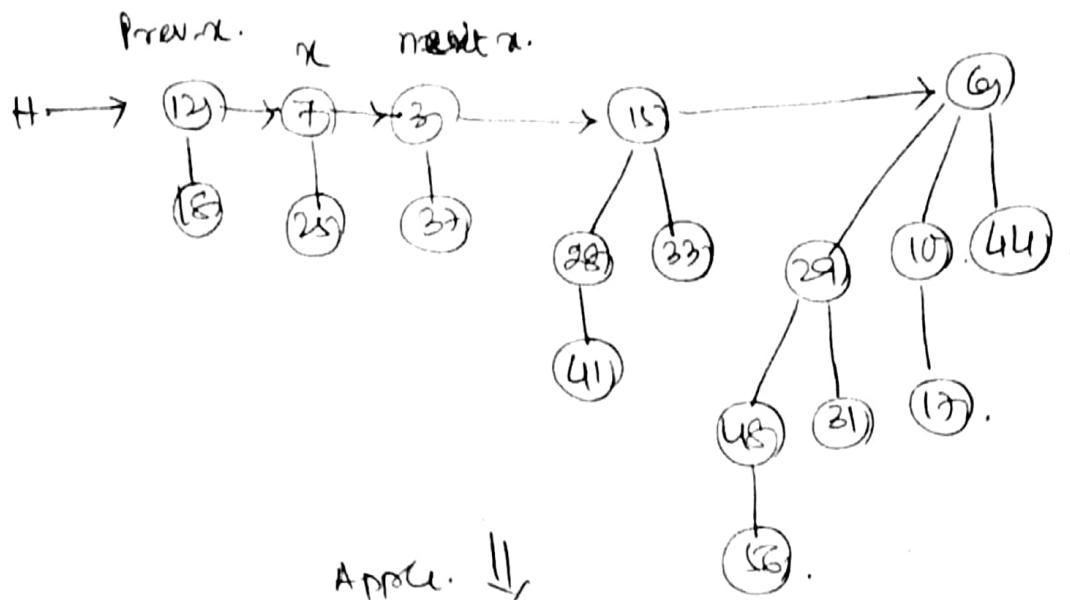
↓ Merge.



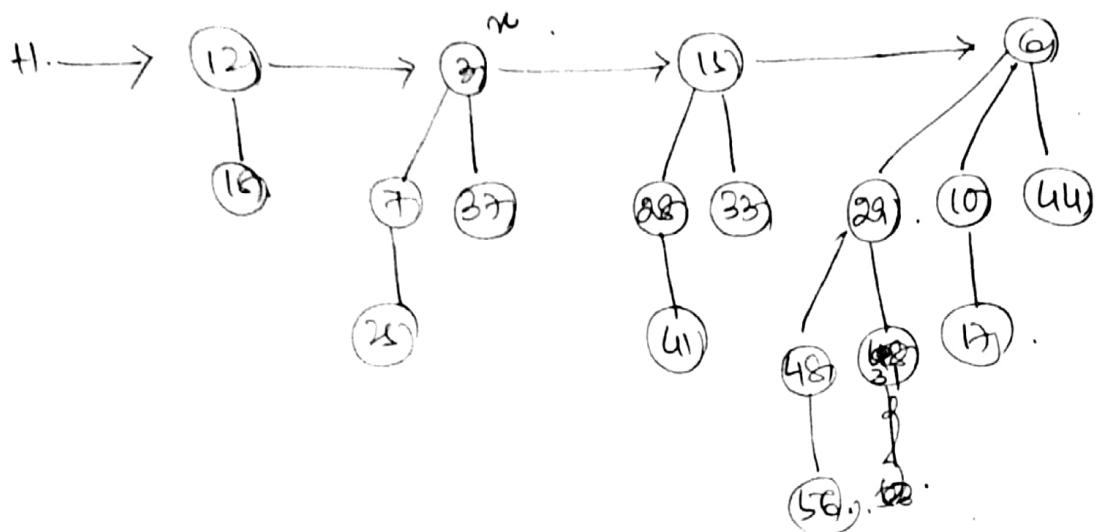
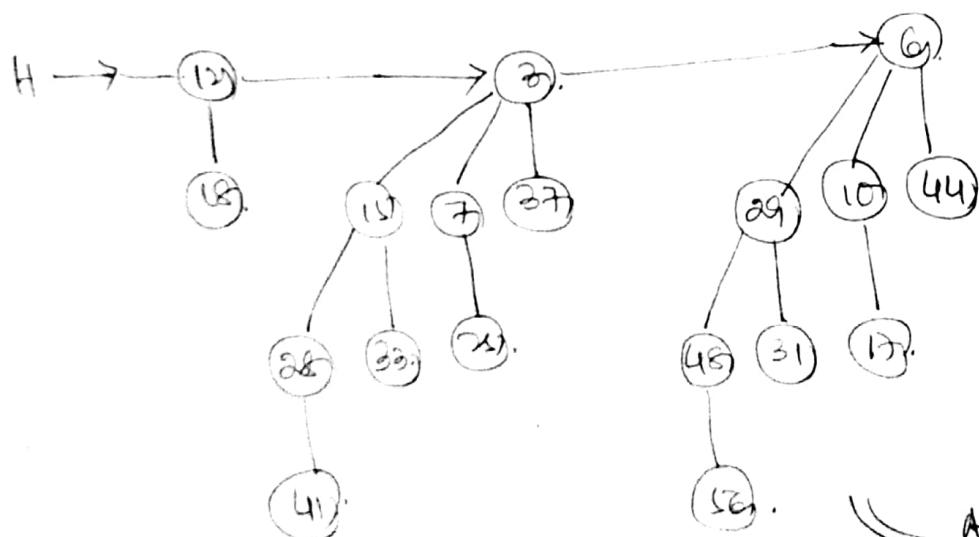
↓ apply
case-3.

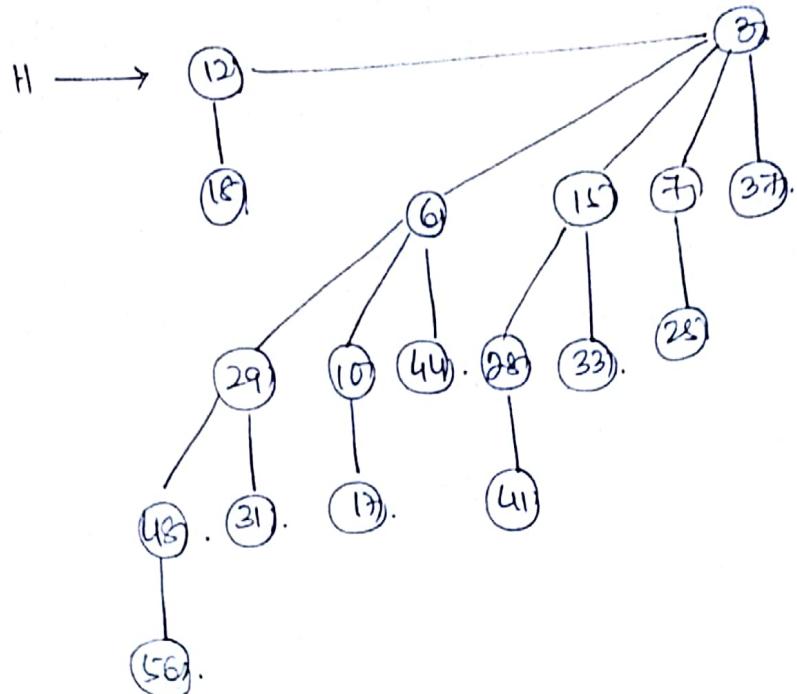


Apply. → case-2



Apply ↓

case - 4Apply ↓
case - 3.Apply
Case 3.



Operations Binomial-Link (y, z)

1. $P[y] \leftarrow z$
2. $sibling[y] \leftarrow child[z]$
3. $child[z] \leftarrow y$
4. $degree[z] \leftarrow degree[z] + 1$

Binomial-Heap-Union (H_1, H_2)

1. $H \leftarrow \text{Make-Binomial-Heap}()$
2. $\text{head}[H] \leftarrow \text{Binomial-heap-Merge}(H_1, H_2)$
3. free the objects H_1 and H_2 but not the list they point to.
4. if $\text{head}[H] = \text{NULL}$
then return H .
5. $\text{prev}-\alpha \leftarrow \text{NULL}$
6. $\alpha \leftarrow \text{head}[H]$
7. $\text{next}-\alpha \leftarrow \text{sibling}[\alpha]$
8. while ~~if~~ $\text{next}-\alpha \neq \text{NULL}$
do if $(\text{degree}[\alpha] \neq \text{degree}[\text{next}-\alpha])$ or
 $(\text{sibling}[\text{next}-\alpha] \neq \text{NULL}$ and
 $\text{degree}[\text{sibling}[\text{next}-\alpha]]$
 $= \text{degree}[\alpha]$

- Case 1:
 11. Then $\text{prev_x} \leftarrow \text{x}$
 $\text{x} \leftarrow \text{next_x}$
 else if $\text{key}[\text{x}] \leq \text{key}[\text{next_x}]$
 then $\text{sibling}[\text{x}] \leftarrow \text{sibling}[\text{next_x}]$
 $\text{polynomial_link}(\text{next_x}, \text{x})$
- Case 2:
 12. 13.
 14. 15.
 16. else if $\text{prev_x} = \text{NULL}$
 then $\text{head}[\text{H}] \leftarrow \text{next_x}$
 else $\text{sibling}[\text{prev_x}] \leftarrow \text{next_x}$
 $\text{polynomial_link}(\text{x}, \text{next_x})$
- Case 3:
 17.
 18.
 19.
 20. $\text{x} \leftarrow \text{next_x}$
 21. $\text{next_x} \leftarrow \text{sibling}[\text{x}]$

22. Return H.

Running time of Polynomial-heap-Union (H₁, H₂)
 $= O(\lg n)$.

Running time of Polynomial-link (y, z) is $O(n)$

Finding the minimum key

The procedure Polynomial-heap-minimum returns
 a pointer to the node with minimum key in an
 n-node binomial heap H.

n-node binomial heap H.

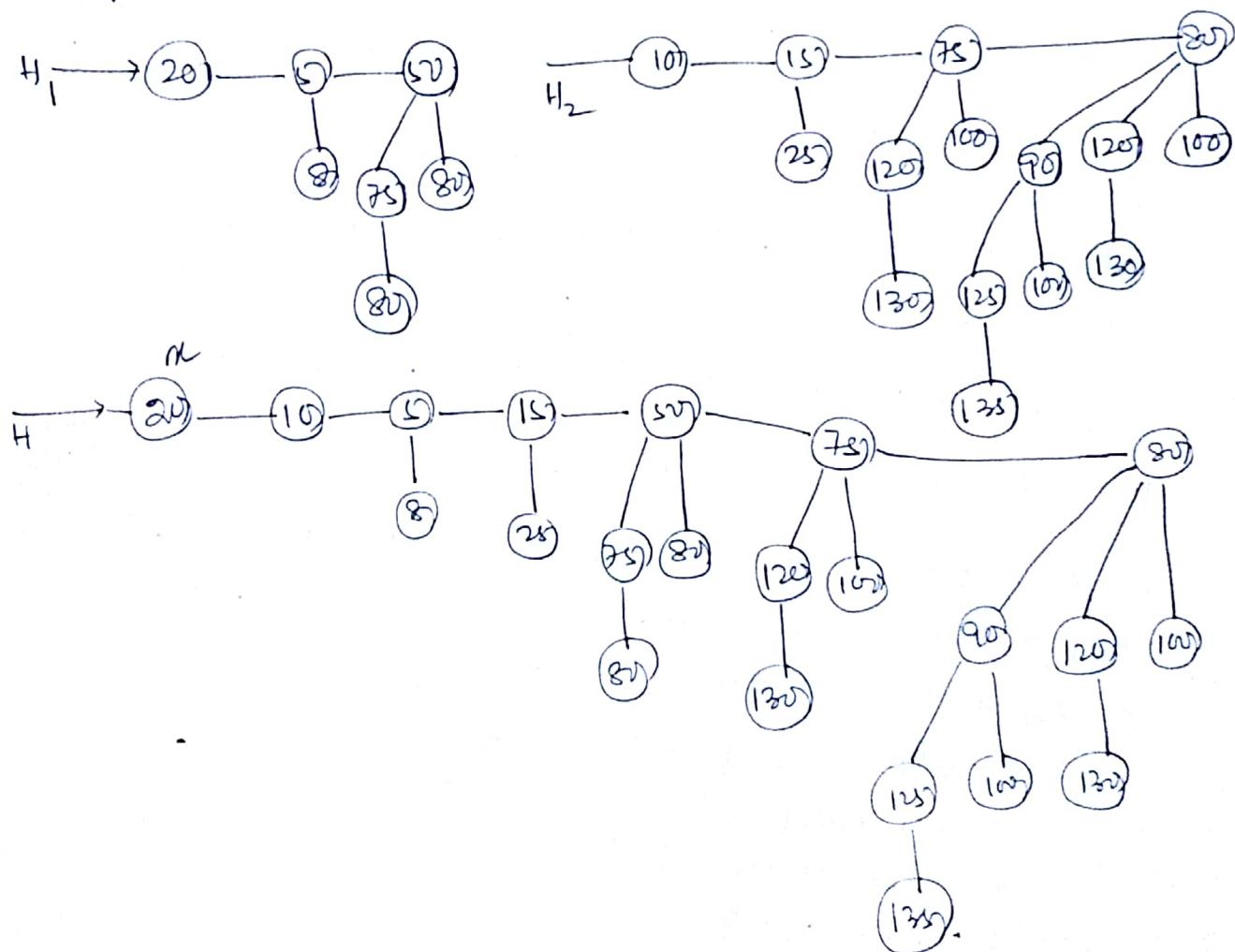
Polynomial-heap-minimum (H).

1. $y \leftarrow \text{NULL}$
2. $x \leftarrow \text{Head}[H]$
3. $\text{min} \leftarrow \infty$
4. while $x \neq \text{NULL}$
5. do if $\text{key}[\text{x}] < \text{min}$
 then $\text{min} \leftarrow \text{key}[\text{x}]$
6. $y \leftarrow x$
7. $x \leftarrow \text{sibling}[\text{x}]$
8. return y.

Since Binomial heap is minimum-heap ordered, the minimum key must reside in the root node. The Binomial-heap-minimum procedure checks all roots, which number at most $\lceil \lg n \rceil + 1$. When called on the binomial heap, Binomial-heap-minimum returns a pointer to the node with key 1.

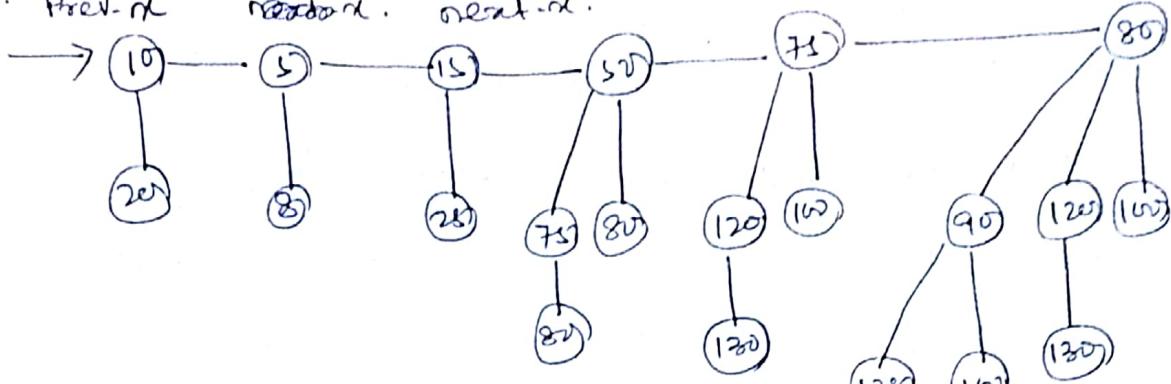
Because there are at most $\lceil \lg n \rceil + 1$ roots to check the running time of Binomial-heap-minimum is $O(\lg n)$.

Q: Merge two Binomial heap by using Union algorithm

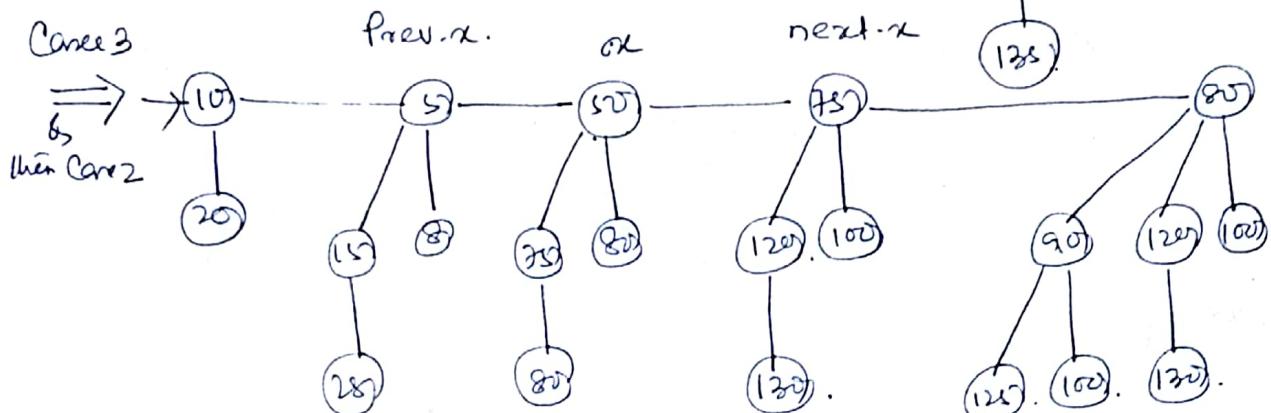


Case - 4 after Case 2 & then Case 2

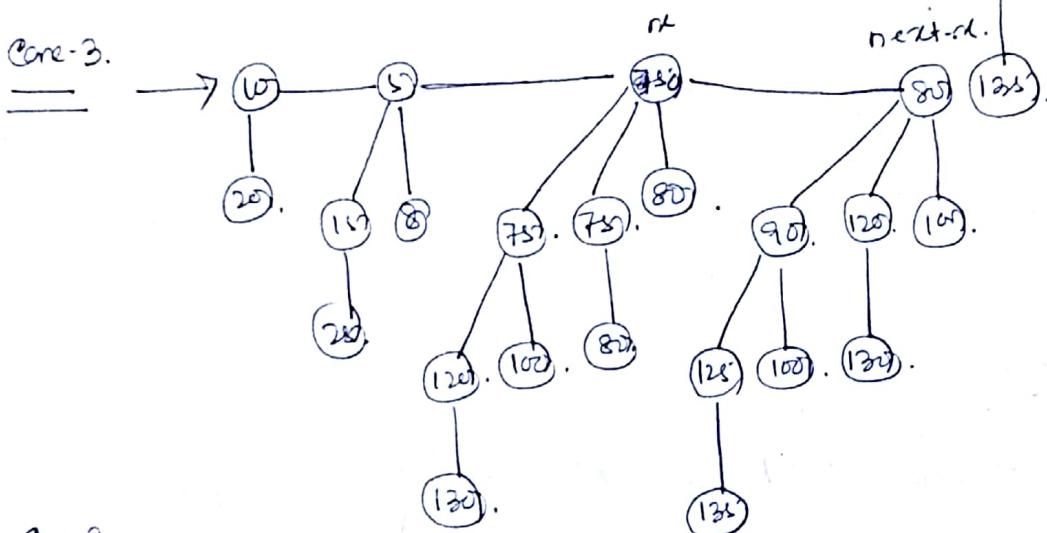
→ Prev.-rl next-rl. next-rl.



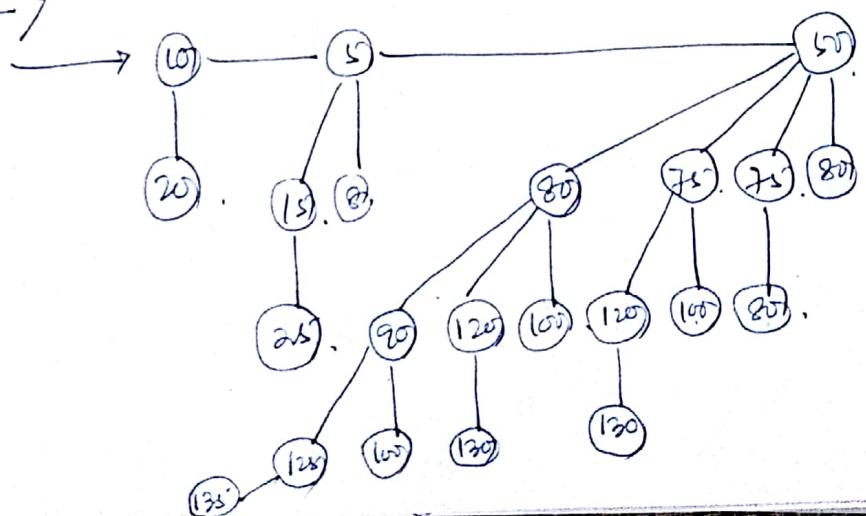
Case 3



Case 3.



Case 3



Inserting a node into Binomial heap.

Binomial-heap-Insert (H, n).

1. $H' \leftarrow \text{Make-Binomial-heaps}()$.

2. $P[a] \leftarrow \text{NULL}$

3. $\text{child}[a] \leftarrow \text{NULL}$

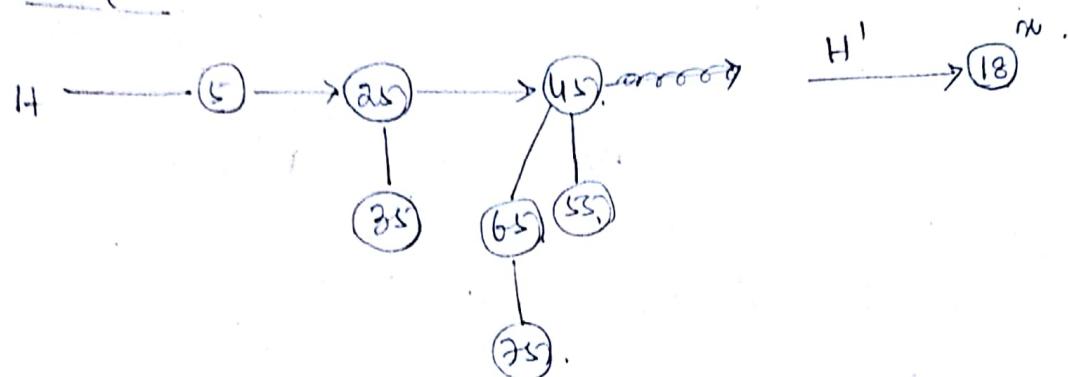
4. $\text{sibling}[a] \leftarrow \text{NULL}$

5. $\text{degree}[n] \leftarrow 0$.

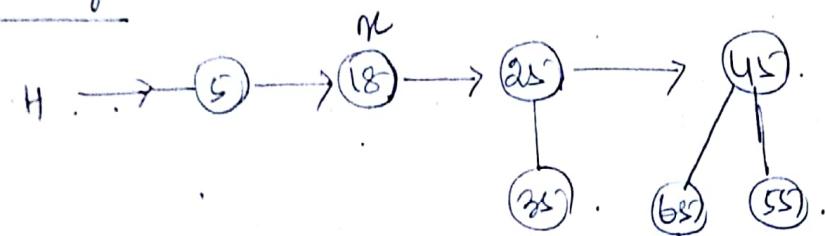
6. $\text{Head}[H'] \leftarrow n$.

7. $H \leftarrow \text{Binomial-Heap-Union}(H', H)$.

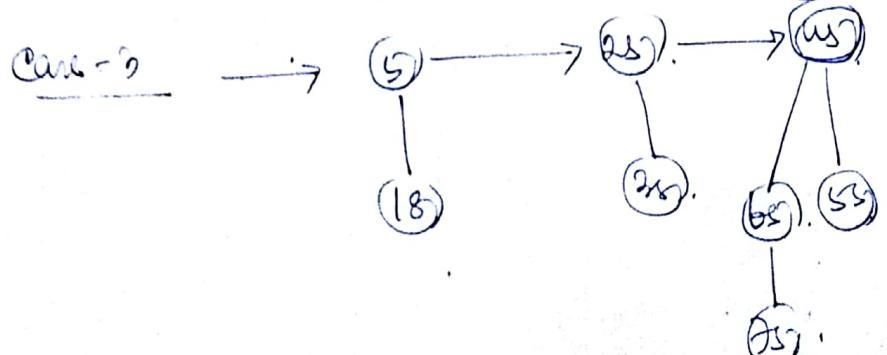
Example:

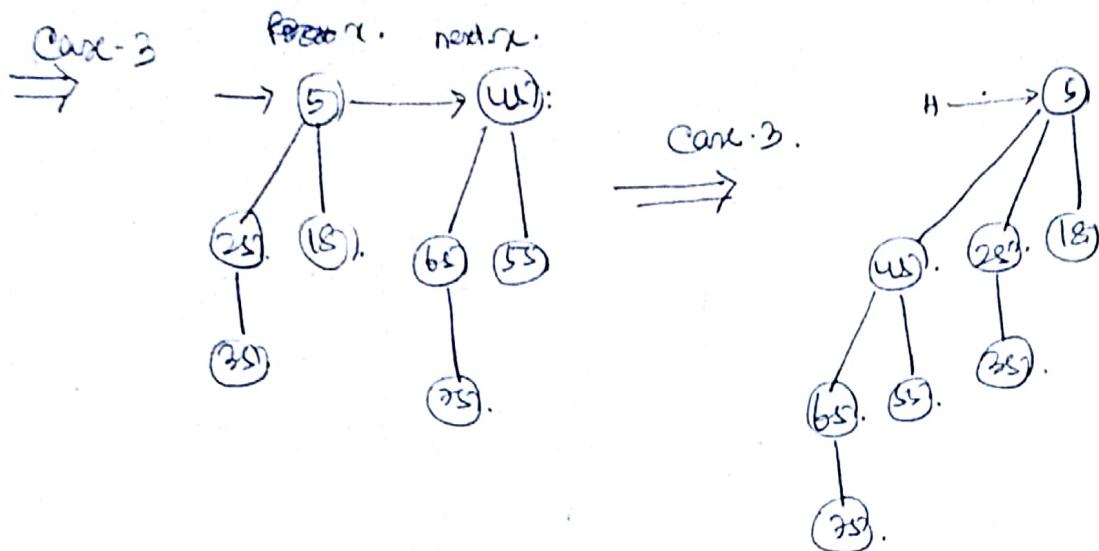


After Merge



Case - 2



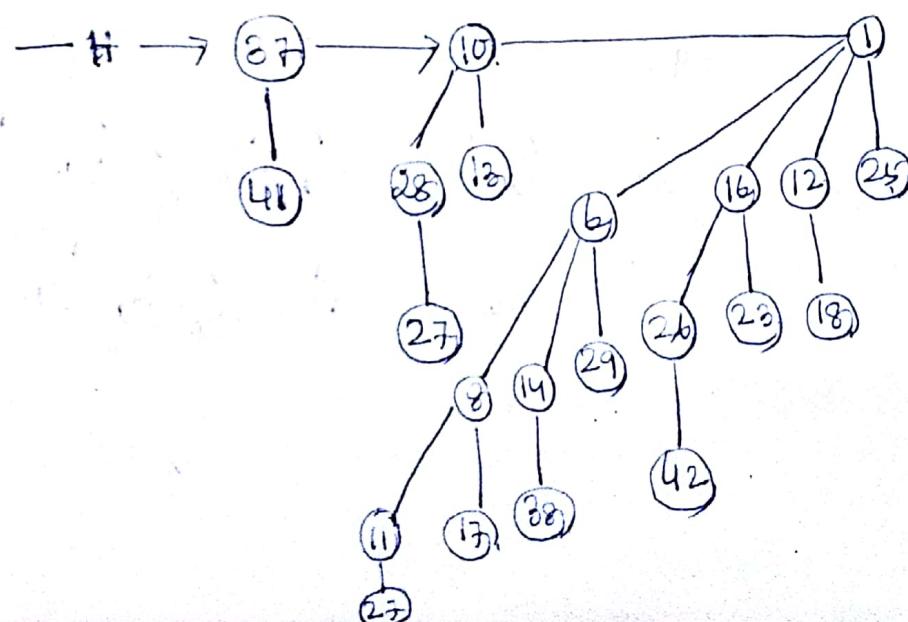


Extracting the node with minimum key.

Polynomial-Heap-Extract-Min(H).

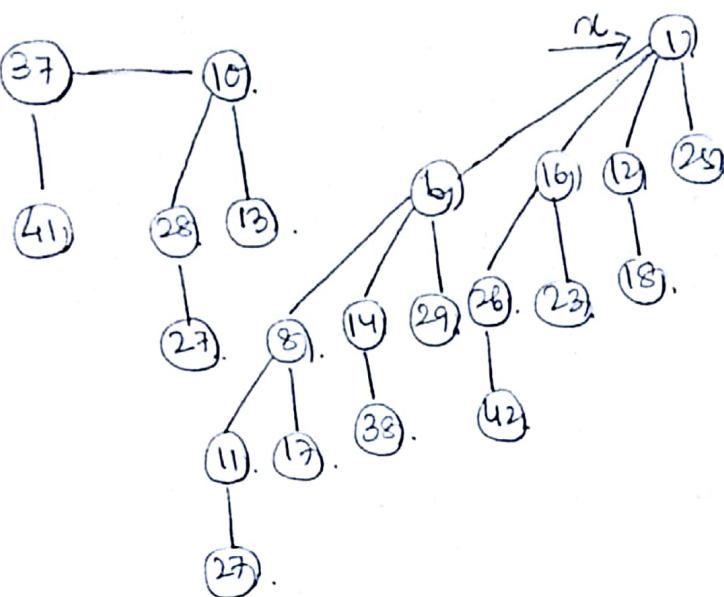
1. Find the root x with minimum key in the root list H & return x from the root list H .
2. $H' \leftarrow \text{Make-Binomial-heap}()$
3. reverse the order of linked list x 's children and set the head of resulting list.
4. $H \leftarrow \text{Binomial-heap Union}(H, H')$.
5. return H .

For Example:

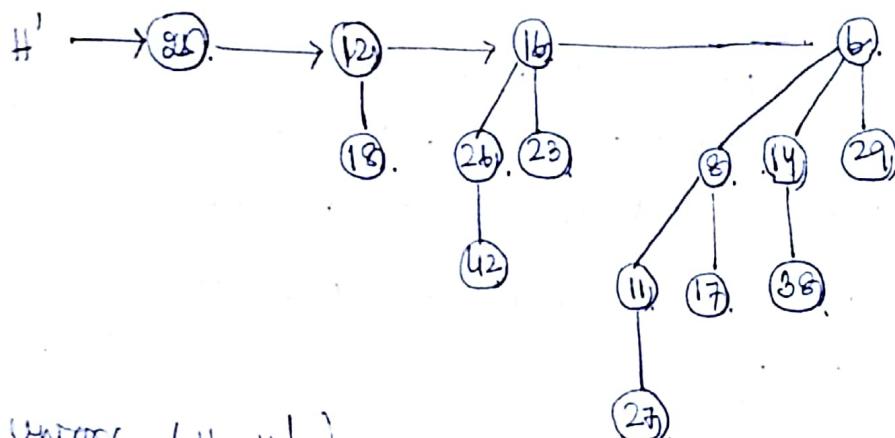


Minimum = 1 (i.e. n) and remove it.

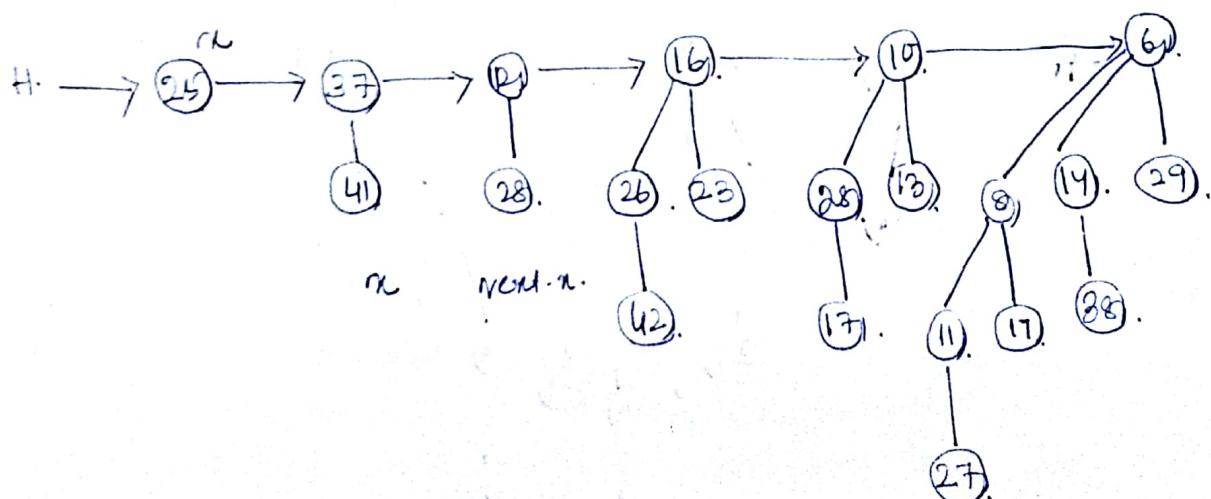
$\Rightarrow H \rightarrow 37 \rightarrow 10$.



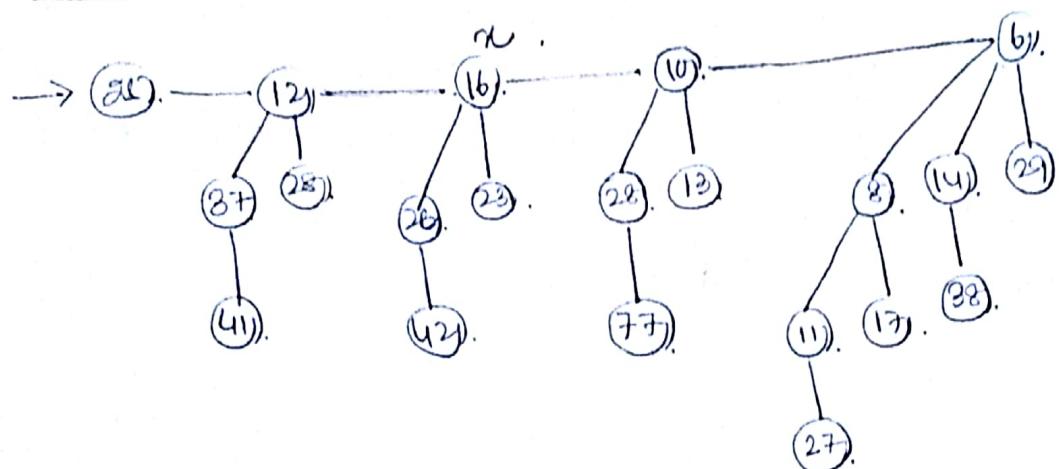
Reverse the order &



$\Rightarrow \text{unorder. } (H, H')$

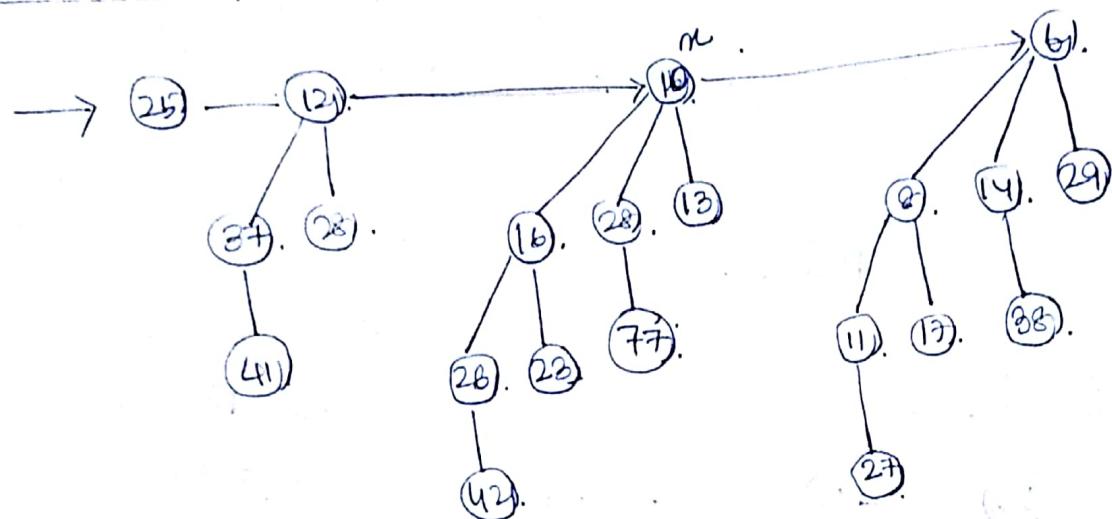


Apply Care 1 and then Care 4



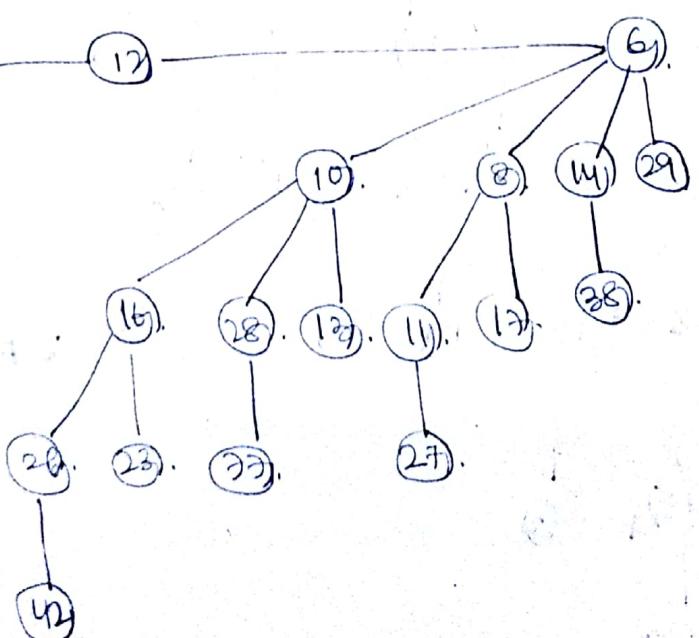
Apply Care 2

& then Care 4



Apply
Care 4

H



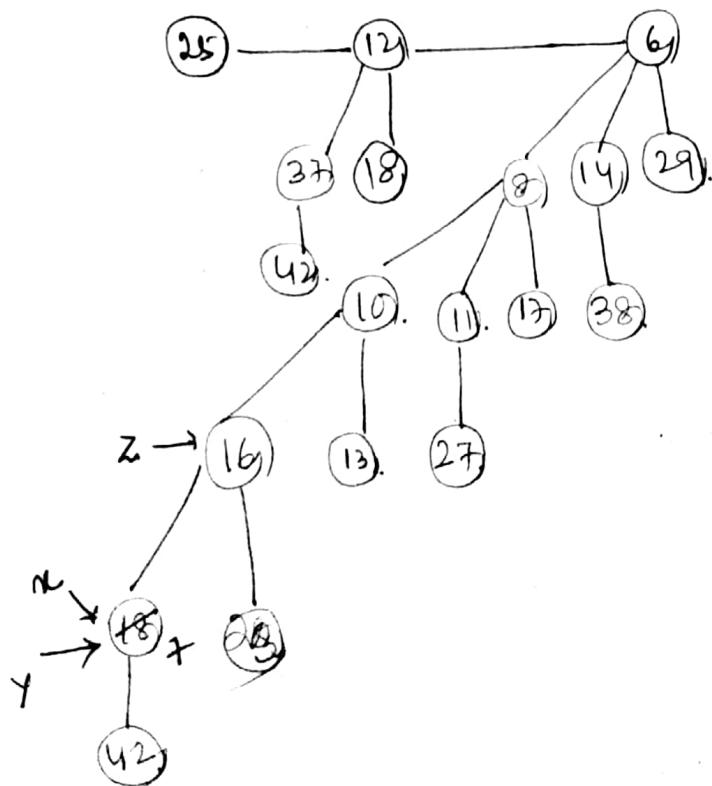
Polynomial heap decrease key:

Key to be decreased
↓
value (New)

Polynomial - heap - decreasekey (H, α, K).

1. If $K > \text{key}[\alpha]$
2. Then error and return.
3. $\text{key}[\alpha] \leftarrow K$.
4. $y \leftarrow \alpha$.
5. $z \leftarrow P[y]$
6. while ($z \neq \text{NULL}$ & $\text{key}[y] < \text{key}[z]$)
7. do exchange $\text{key}[y] \longleftrightarrow \text{key}[z]$.
8. $y \leftarrow z$
9. $z \leftarrow P[y]$.

for Example:



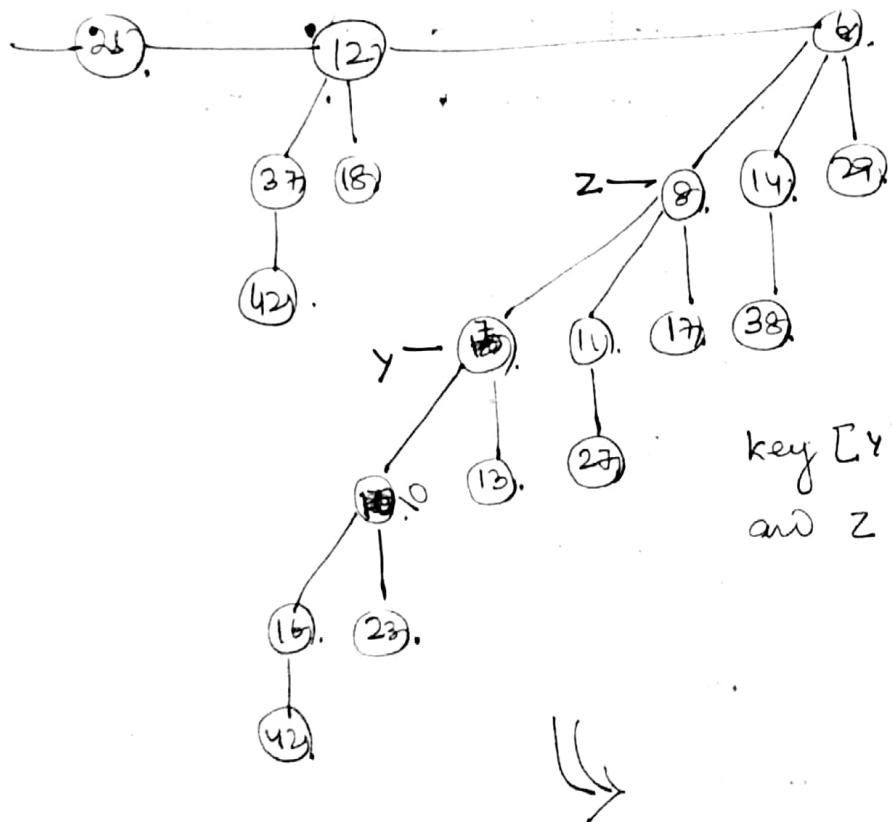
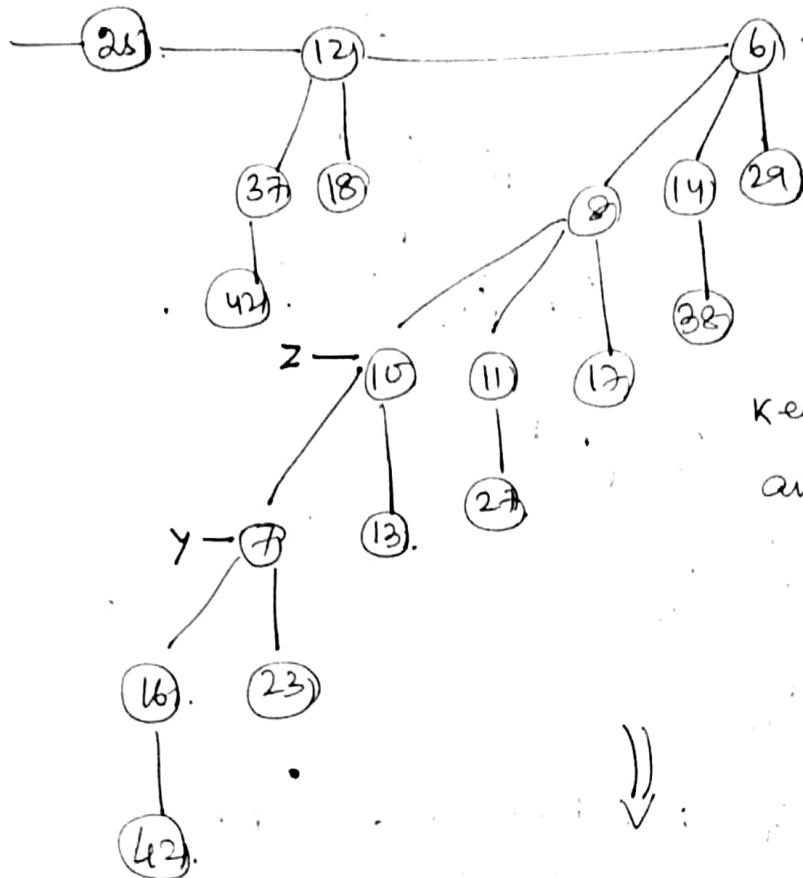
$$\alpha = 18$$

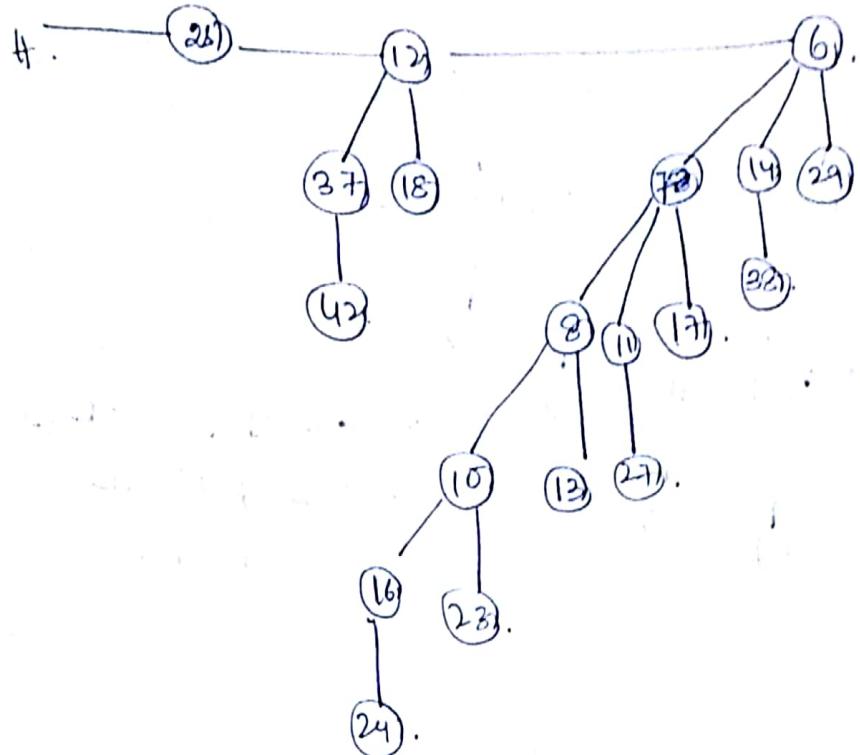
$$K = 7$$

replace $\text{key}[\alpha]$
by K

$z \neq \text{NULL}$ and

$\text{key}[y] < \text{key}[z]$
is True.





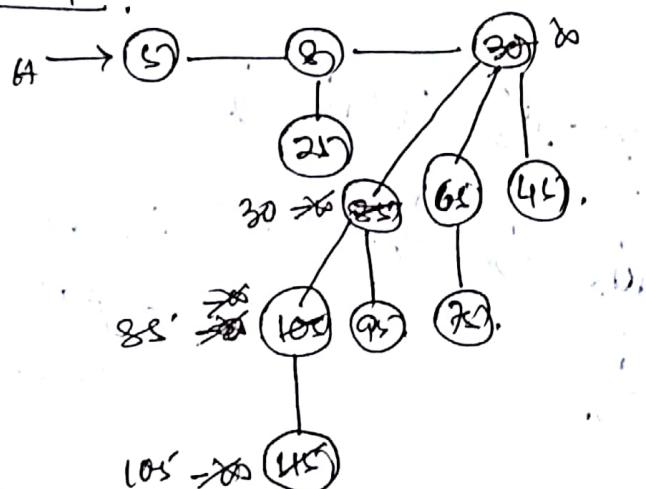
Delete a key from a Binomial heap.

Binomial-heap-delete (H, x)

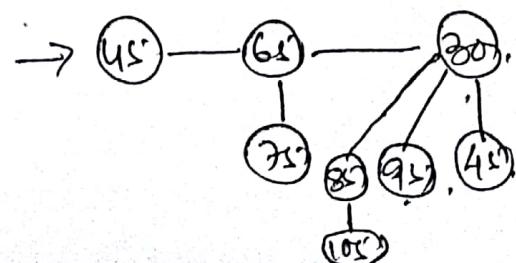
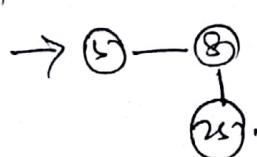
1. Binomial-heap-decrease ($H, x, -\infty$)

2. Binomial-heap-extract-min (H)

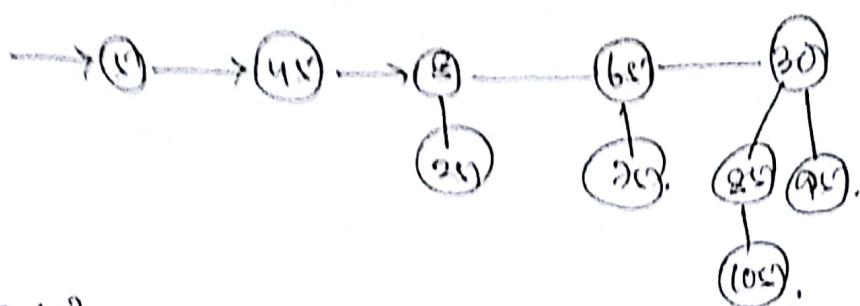
For Example:



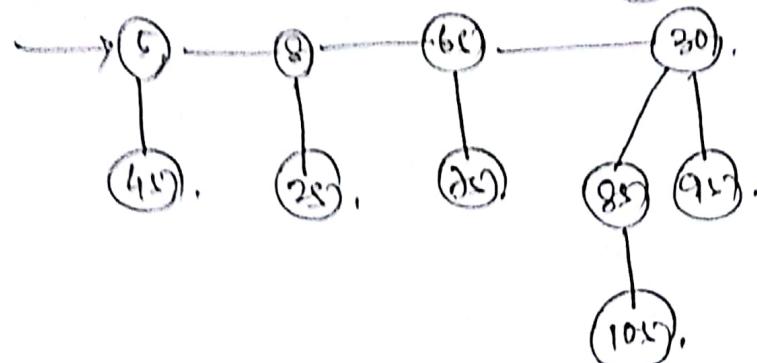
Apply Extract Min.



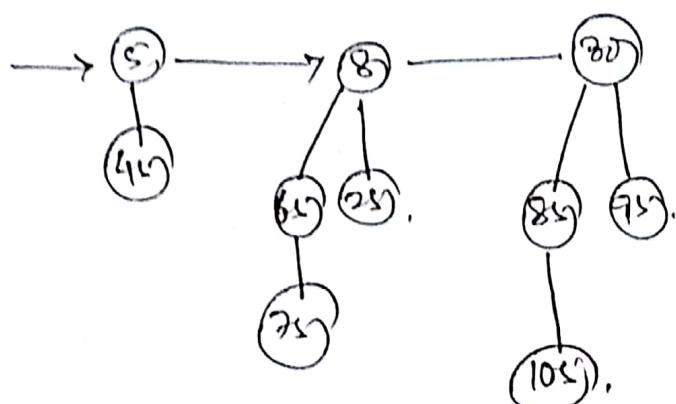
Durch Menge durchsucht.



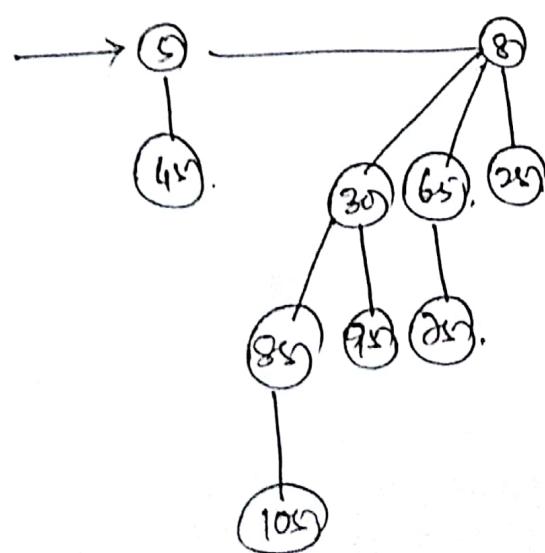
Canc 3
→
Canc 2.



Canc 3,



Canc 3,

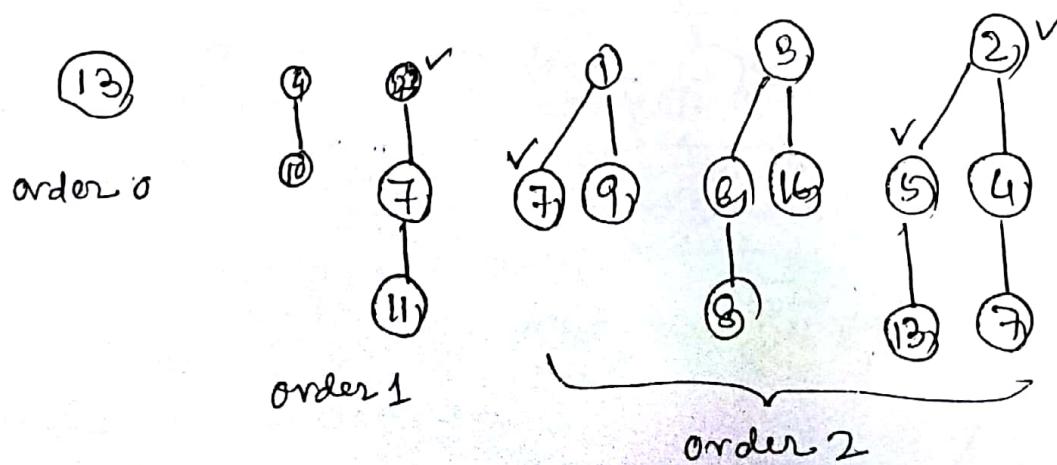


A Fibonacci heap is a heap data structure similar to the binomial heap, only with a few modifications and a looser structure.

Structure

Like the binomial heap, a Fibonacci heap is a collection of heap-ordered trees. They do not need to be binomial trees however, this is where the relaxation of some of the binomial heap's property comes in.

Each tree has an order just like the binomial heap that is based on the number of children. Nodes within a Fibonacci heap can be removed from their tree without restructuring them, so the order does not necessarily indicate the maximum height of the tree or number of nodes it contains. Some examples of trees of order 0, 1, and 2 are given below. (the black nodes are known as marked.)



→ It is a collection of minimum heap ordered tree.

Tree within fibonacci heap are rooted but not ordered in degree. (un-ordered),

→ Each node x contains following field,

$p(x) \leftarrow$ pointer to its parent.

$\text{children}(x) \leftarrow$ pointer to its child.

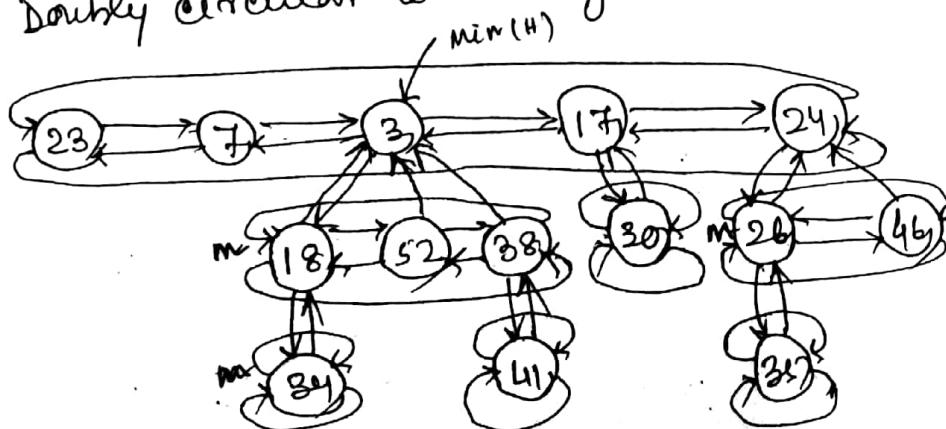
$\text{degree}(x) \leftarrow$ no. of children in the child list of H .

$\text{mark}(x) \leftarrow$ This is the boolean value. ~~mark~~ \rightarrow ~~marked~~ nodes in ~~minimum~~ H indicates whether the node x has lost a child since the last time x was made the child of another node.

→ Newly created nodes are unmarked, and a node x becomes unmarked whenever it is made the child of another node.

Children of x are link together in a circular doubly linked list. Each child y in the child list has two pointers left (y) & right (y).

An example of fibonacci heap with the help of doubly circular list is given below,



The Fibonacci heap data structure serves a dual purpose.

- First it supports a set of operations that constitutes "Mergeable heap".
- Second, Several fibonacci-heap operations run in constant amortized time, as shown in below table:

<u>Procedure</u>	<u>Binary heap (worst-case)</u>	<u>Fibonacci-heap (Amortized)</u>
Make heap	$\Theta(1)$	$\Theta(1)$
Insert	$\Theta(\lg n)$	$\Theta(1)$
Minimum	$\Theta(1)$	$\Theta(1)$
Extract-Min	$\Theta(\lg n)$	$\Theta(\lg n)$
Union	$\Theta(n)$	$\Theta(1)$
Decrease-Key	$\Theta(\lg n)$	$\Theta(\lg n)$
Delete	$\Theta(\lg n)$	$\Theta(\lg n)$

Amortized Analysis.

For a Amortized analysis, we average a time required to perform a sequence of data structure operations overall all operations performed.

With amortized analysis, we can show that the average cost of an operation is small, if we average over a sequence of operations, even though a single operation within the sequence might be expensive.

The three most common technique used for Amortized analysis are:

1. Aggregate Analysis.
2. Accounting Method Analysis.
3. Potential Method Analysis.

Fibonacci heap support by potential method. This method is used to analyse the performance of Fibonacci heap operation for a given Fibonacci heap (H) indicated by

$$t(H) \leftarrow \text{no. of trees in root list of } H.$$

$$m(H) \leftarrow \text{no. of mark nodes in heap } H.$$

The potential function of Fibonacci heap is defined as

$$\Phi(H) = t(H) + 2 \cdot m(H).$$

for the above ex.

$$\Phi(H) = 5 + 2 \times 3 = 5 + 6 = 11$$

Operations of Fibonacci Heap:-

1) Creating a new Fibonacci heap:

To make an empty Fibonacci heap, we use `Make-fib-Heap()`, allocate and return the Fibonacci heap object H . where no. of nodes in H is zero and $m_H(H) = \text{NULL}$ and $\Phi(H) = 0$, the amortized cost of `Make-fib-heap` is thus equal to it's $O(1)$ actual cost.

(ii) Fibonacci Heap Insertion:-

To insert a node in fibonacci heap we use the following procedure.

`fib_heap_insert(H, x)`

1. $\text{degree}[x] = 0$.
2. $p[x] = \text{NULL}$
3. $\text{child}[x] = \text{NULL}$
4. $\text{left}[x] = \text{NULL}$.
5. $\text{right}[x] = \text{NULL}$.
6. $\text{mark}[x] = \text{False}$.
7. Concatenate the root list with root list of H.
8. If ($\text{min}(H) = \text{NULL}$ or $\text{key}[x] < \text{min}[H]$)
9. $\text{min}(H) \leftarrow x$.
10. $n[H] = n[H] + 1$

Amortized cost of fib. Heap

$$\text{Amortized cost} = \text{Actual cost} + \underbrace{\Delta(\phi)}_{\text{difference in potential cost}}$$

Let

$H \leftarrow g/p$ fibonacci Heap.

$H' \leftarrow o/p$ fibonacci Heap.

Then as per formula.

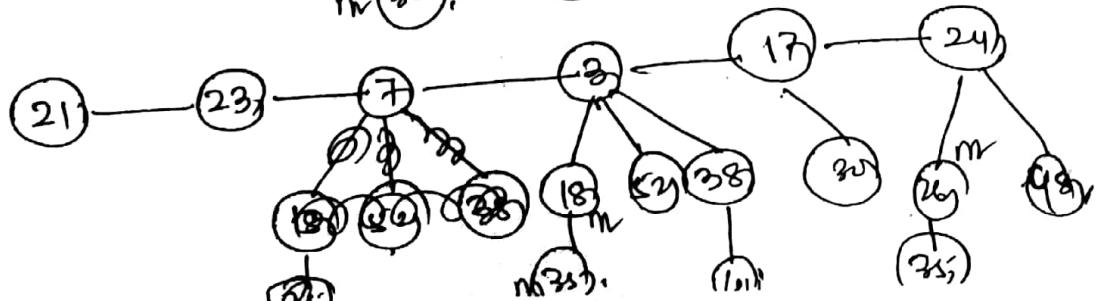
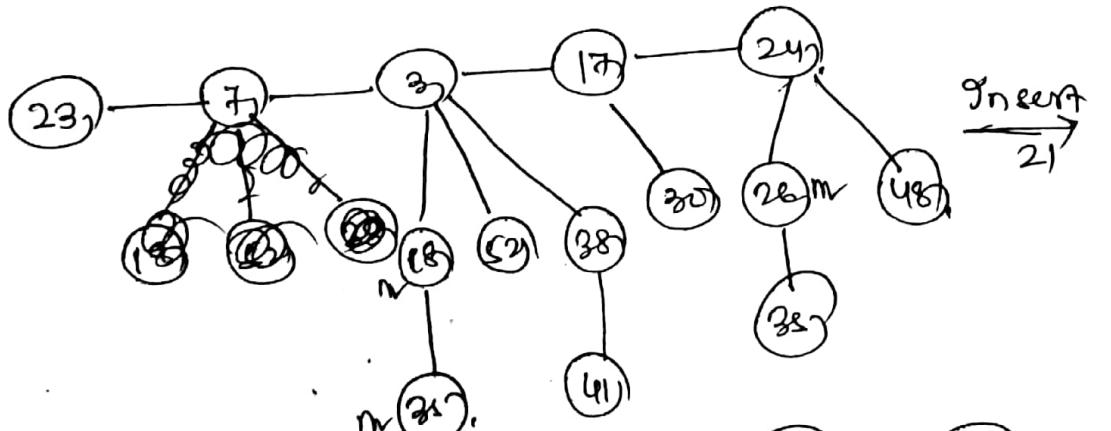
$$[t(H) + 1 - 2m(H)]$$

$$[t(H) - 2.m(H)]$$

so Amortized cost = $O(1) + 1 - O(1) = O(1)$

root list containing x

e.g.



(iii) Union of Fibonacci Heap:

Fib-Heap - Union (H_1, H_2)

1. $H \leftarrow \text{make_fib_heap}()$

2. $\min(H) = \min(H_1)$

3. Concatenate the root list of H_2 with root list H .

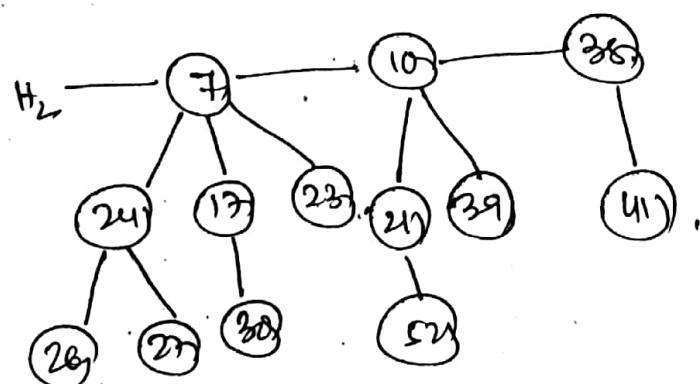
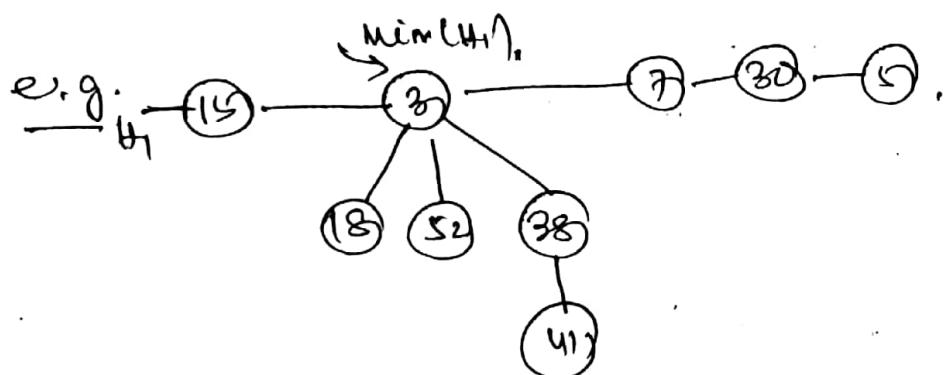
4. If ($\min(H_1) = \text{NULL}$) or ($\min(H_2) \neq \text{NULL}$) &
 $\min(H_2) \leq \min(H_1)$

5. Then $\min(H) = \min(H_2)$

6. $\rightarrow n[H] = n[H_1] + n[H_2]$

7. free the object of H_1 & H_2

8. return H .



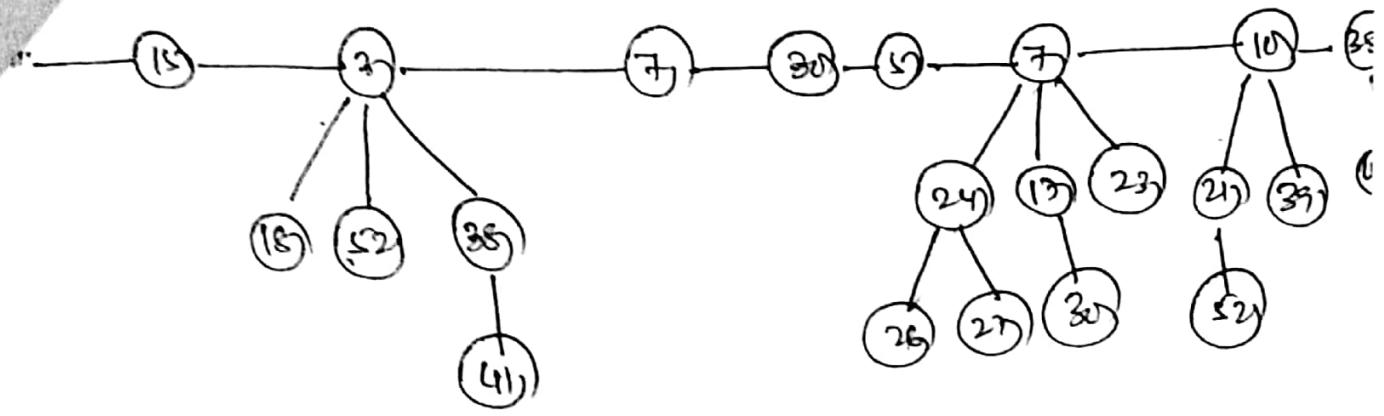
The change in potential cost.

$$\Phi(H) = (\Phi(H_1) + \Phi(H_2))$$

$$= 0$$

[because $t(H) = t(H_1) + t(H_2)$]

$$n(H) = n(H_1) + n(H_2)$$

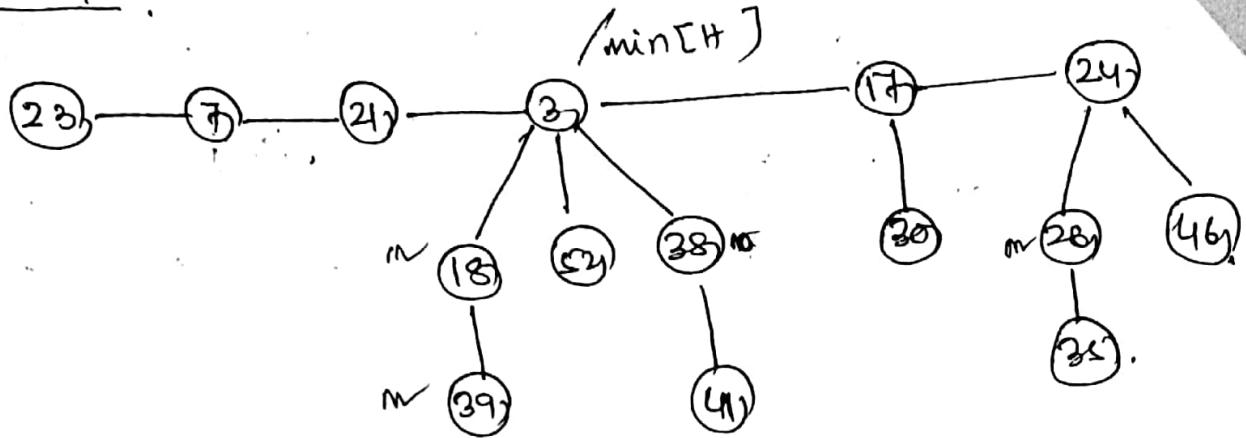


(iv) Extract min. element from a Fibonacci Heap.

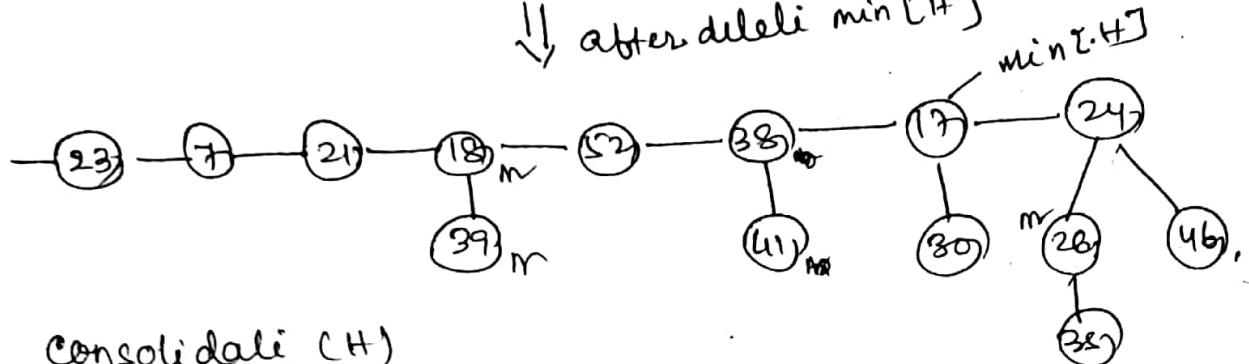
fib-heap - Extract min (H).

1. $R \leftarrow \text{Min}(H)$
2. If $Z \neq \text{NULL}$
3. for each child x of Z
add x to the root list of H .
- 4.
5. $p[x] = \text{NULL}$
remove x from the root list of H .
- 6.
7. If $Z = \text{right}[Z]$
 $\text{min}[H] = \text{NULL}$
8. else $\text{min}[H] = \text{right}[Z]$,
9. consolidate (H),
- 10.
11. ~~Head of Root List~~
 $r[H] = r[H] - 1$
12. return Z .

for example:



↓ after delete $\text{min}[H]$



consolidate (H)

1. for $i \leftarrow 0$ to $D(N[H])$
2. do $A[i] \leftarrow \text{NULL}$
3. for each node w in the root list of H .
4. do $x \leftarrow w$
5. $d \leftarrow \text{degree}[x]$
6. while $A[d] \neq \text{NULL}$
7. do $y \leftarrow A[d]$
8. if ($\text{key}[x] > \text{key}[y]$)
then exchange ($x \leftrightarrow y$)
9. fib-heap-link (H, y, x),
10. $A[d] \leftarrow \text{NULL}$
11. $d \leftarrow d + 1$
12. $A[d] \leftarrow x$,
13. $\text{min}[H] \leftarrow \text{NULL}$

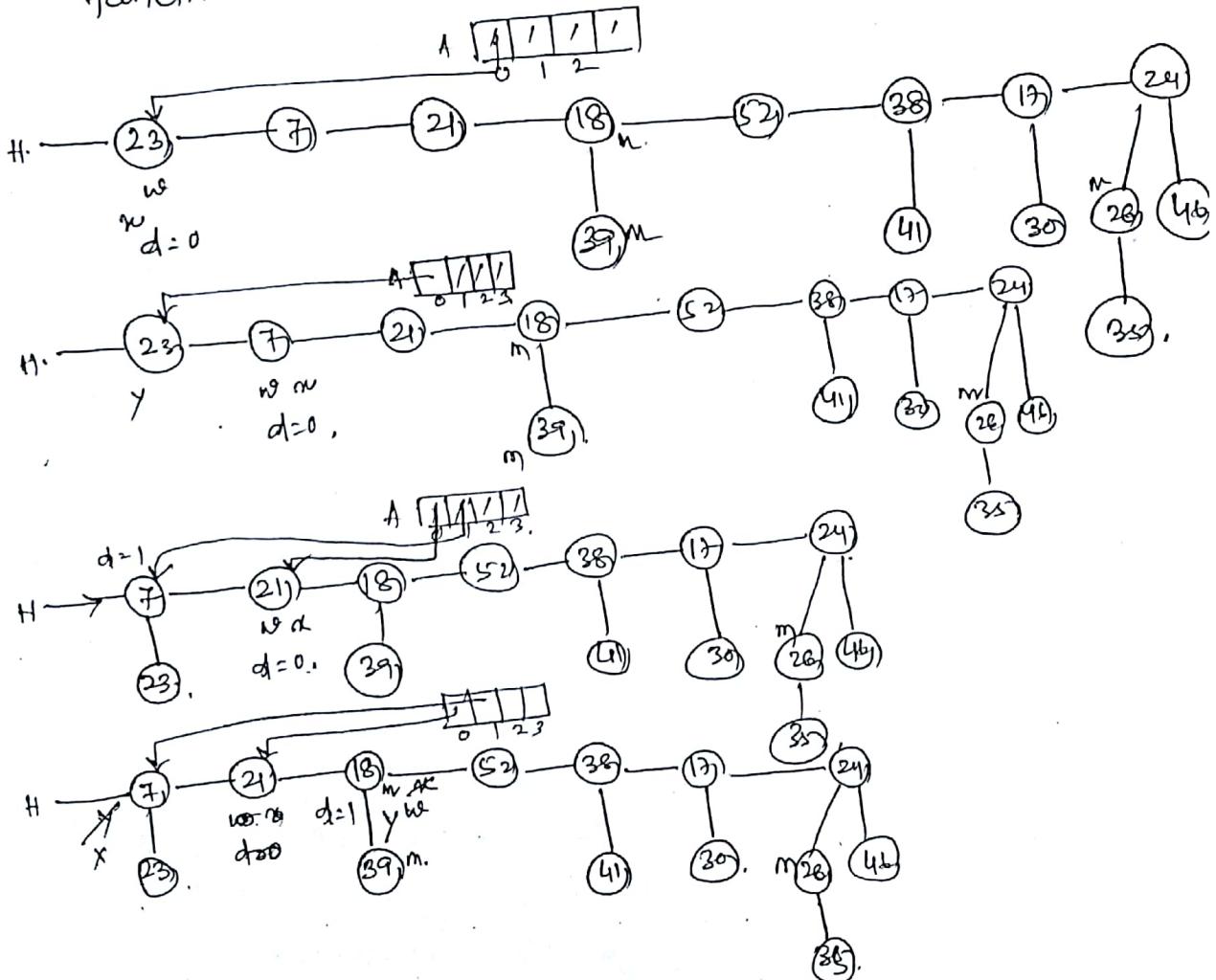
15. for $i \leftarrow 0$ to $D(A[i])$
16. do if $A[i] \neq \text{NULL}$
17. then add $A[i]$ to the root list of H .
18. if ($\text{min}[H] = \text{NULL}$) or ($\text{key}[A[i]] < \text{key}[\text{min}[H]]$)
then $\text{min}[H] \leftarrow A[i]$
- 19.

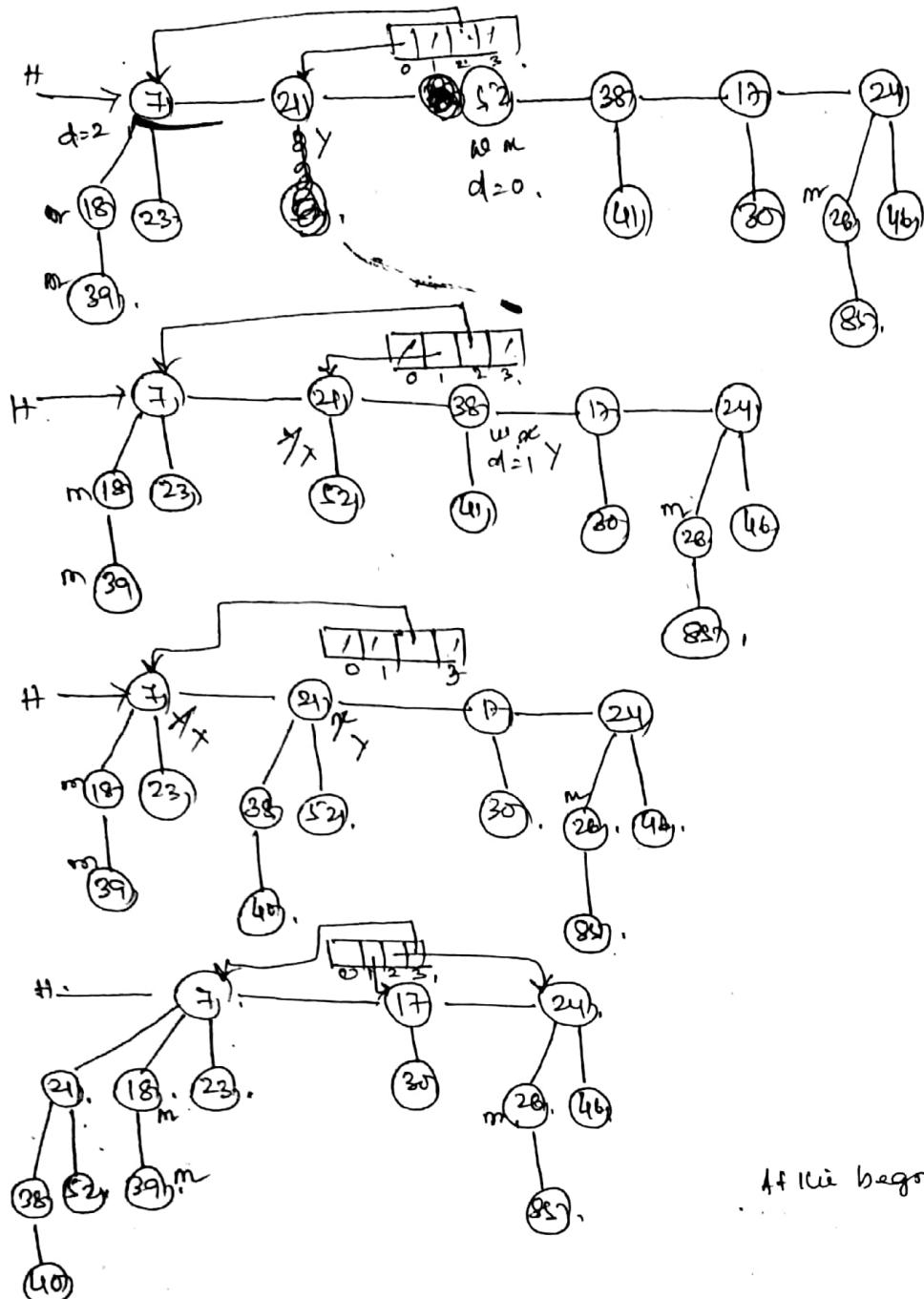
Feb - Heap-link (H, Y, X)

1. remove y from the root list of H .
2. make y a child of x , increment degree [x]
3. mark [y] $\leftarrow \text{false}$.

now. continue the example with consolidate(H)

function:





If $\text{key}[\text{min}[H]] = 17$.

$i=0 \quad A[0] \neq \text{NULL}$ False.

~~add $A[0]$ in the sort list of H .~~

~~if ($\text{min}[H] == \text{NULL}$) or ($\text{key}[A[0]] < \text{key}[\text{min}[H]]$)~~

~~False~~

~~True~~

~~(then $\text{min}[H] = A[0] = 7$)~~

$i=1 \quad A[1] \neq \text{NULL}$ True.

~~add $A[1]$ in the sort list of H .~~

~~if ($\text{min}[H] == \text{NULL}$) or ($\text{key}[A[0]] < \text{key}[\text{min}[H]]$)~~

~~False~~

~~False~~

~~17.~~

~~so $\text{min}[H] = 17$.~~

$i = 2$ $A[2] \neq \text{NULL}$ true.

add $A[2]$ in the root list of H .

$\Rightarrow (\min[H] == \text{NULL}) \text{ or } (\text{key}[A[2]] < \text{key}[\min[H]])$,
false.

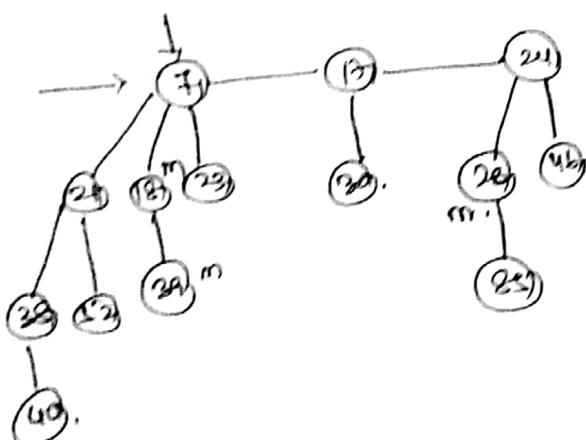
$\Rightarrow \min[H] = A[2]$.

$i = 3$. $(A[3] \neq \text{NULL})$ true

add $A[3]$ in the root list of H .

$\Rightarrow (\min[H] == \text{NULL}) \text{ or } (\text{key}[A[3]] < \text{key}[\min[H]])$,
true.

$\min[H] \text{ then } \min[H] = A[3] = 7$.



The actual cost of extracting the minimum node can be accounted for as follows:

→ An $O(Dn)$ contribution comes from there being at most Dn children of the minimum node that are processed in fib-heap-Extract-Min and from the work in line 1-2 and fib-heap-Conolidate().

→ It remains to analyze the contributions from the for loop of line 3-13.

→ The size of the root list upon calling Conolidate() at most. $D(n) + t(H) - 1$

↓
children of the extracted nodes.
↓
original $t(H)$ root list node.
↓
extracted root node.

→ Every time through the while loop of line 6-12, one of the roots is linked to another, and thus the total number of work performed in the for loop is at most proportional to $D(n) + t(H)$.

⇒ Hence the total actual work in extracting the minimum node is $O(D(n) + \frac{t(H)}{\log n})$

→ The potential before extracting the minimum node is $t(H) + 2m(H)$

→ The potential after extracting the minimum node is at most $[(D(n) + 1) + 2m(H)]$. Since at most $D(n) + 1$ roots remain and no nodes become marked during the operation.

→ The amortized cost is thus at most.

② Actual cost + $\Delta \Phi(H)$

$$= O(D(n) + t(H)) + ((D(n)+1) + 2m(H)) - (t(H) + 2m(H))$$

$$= O(D(n)) + O(t(H)) + (D(n)+1) + 2m(H) - t(H) \cancel{+ 2m(H)}$$

$$\therefore O(D(n)) + O(t(H)) - t(H).$$

$$= O(D(n)), \quad [\because D(n) = \log n]$$

$$= O(\lg n).$$

Decreasing key and deleting node

Decreasing a key.

fib-heap-decrease-key (H, x, k)

1. If $k > \text{key}[x]$
2. Then error "the new key is greater than current key"
3. $\text{key}[x] \leftarrow k$
4. $y \leftarrow p[x]$
5. If $y \neq \text{NULL}$ and $\text{key}[x] < \text{key}[y]$
6. Then cut (H, x, y)
cascading-cut (H, y)
- 7.
8. If $\text{key}[x] < \text{key}[\min[H]]$
9. Then $\min[H] \leftarrow x$

Cut (H, x, y)

1. remove x from the child list of y , and

decrementing degree [y]

2. add x to the root list of H .

3. $p[x] = \text{NULL}$

4. $\text{mark}[x] = \text{false}$.

Cascading-Cut (H, y).

1. $z \leftarrow p[y]$

2. If $z \neq \text{NULL}$

3. Then if ($\text{mark}[y] = \text{false}$)

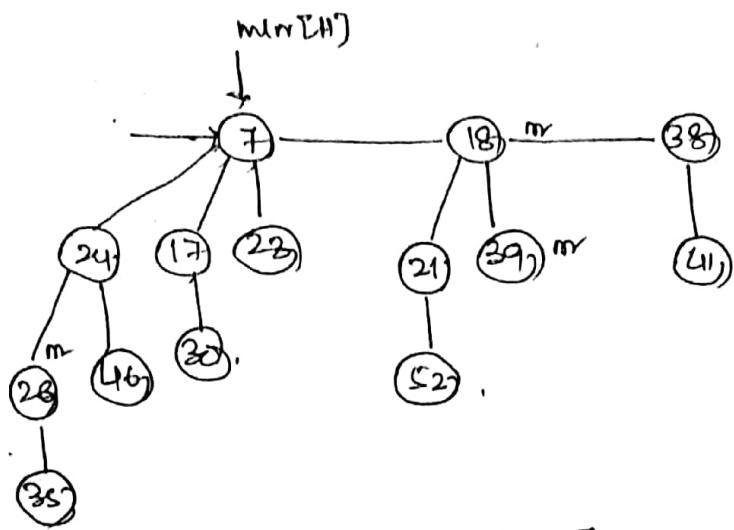
Then $\text{mark}[y] = \text{true}$.

4. $\text{mark}[z] = \text{true}$

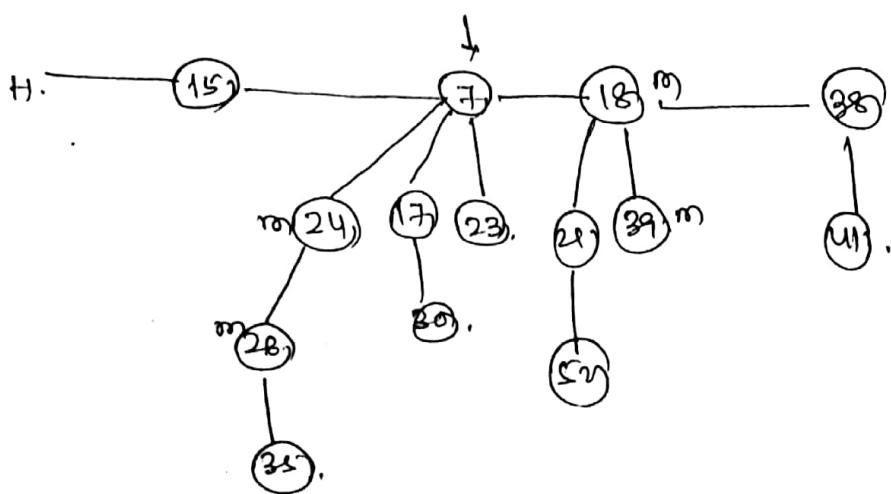
5. ~~else~~ cut (H, y, z)

cascading-cut (H, z)

6.

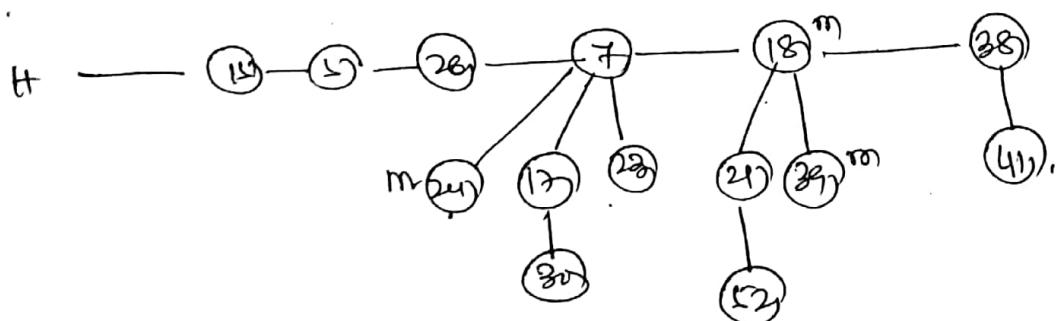
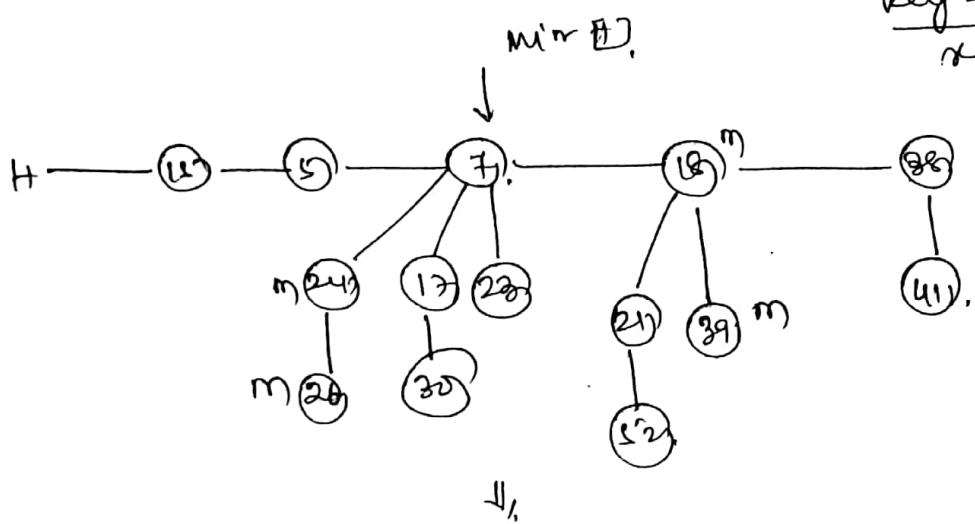


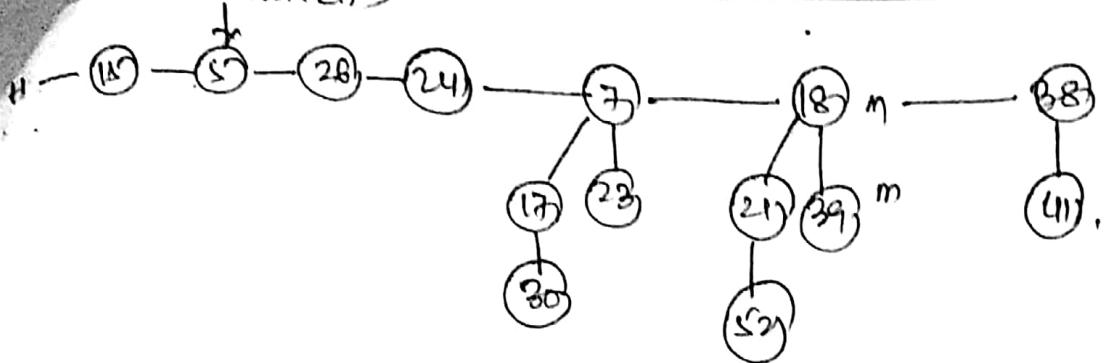
now decrease eli
key 46 with newkey 11



now decrease eli

key -35 with newkey 5





The amortized cost of fib-heap-decrease-key is only $O(1)$.

How to determine the actual cost?

The actual cost of fib-heap-decrease-key is the actual cost of fib-heap-decrease-key procedure takes $O(1)$ + time to perform the cascading cut.

Suppose the cascading cut take c times recursively then the actual cost of fib-heap-decrease-key include all recursive call. i.e $O(c)$.

now compute the potential cost.

- Let ' H ' denote the Fibonacci Heap just prior to the fib-heap-decrease-key operation.
- Each recursive call of cascading-cut (except for last one), clear a marked node and clear the mark bit.
- when there are $t(H) + c$ trees [the original tree $t(H)$, $c-1$ trees produced by cascading-cut, and the tree rooted at \star] and at most $m(H) - c + 2$ marked nodes ($c-1$ were unmarked by cascading-cut and the last call of cascading-cut may have marked a node.)

101c

The change in potential cost is therefore

$$((t(H) + c) + 2(m(H) - c + 2)) - (t(H) + 2m(H)) \\ = 4 - c.$$

Thus the amortized cost of fib-Heap-decrease-key is at most.

$$O(c) + 4 - c = O(1).$$

Deleting a node.

fib-Heap-delete(H, α)

1. fib-heap-decrease-key($H, \alpha, -\infty$)

2. fib-heap-Extract-Min(H).

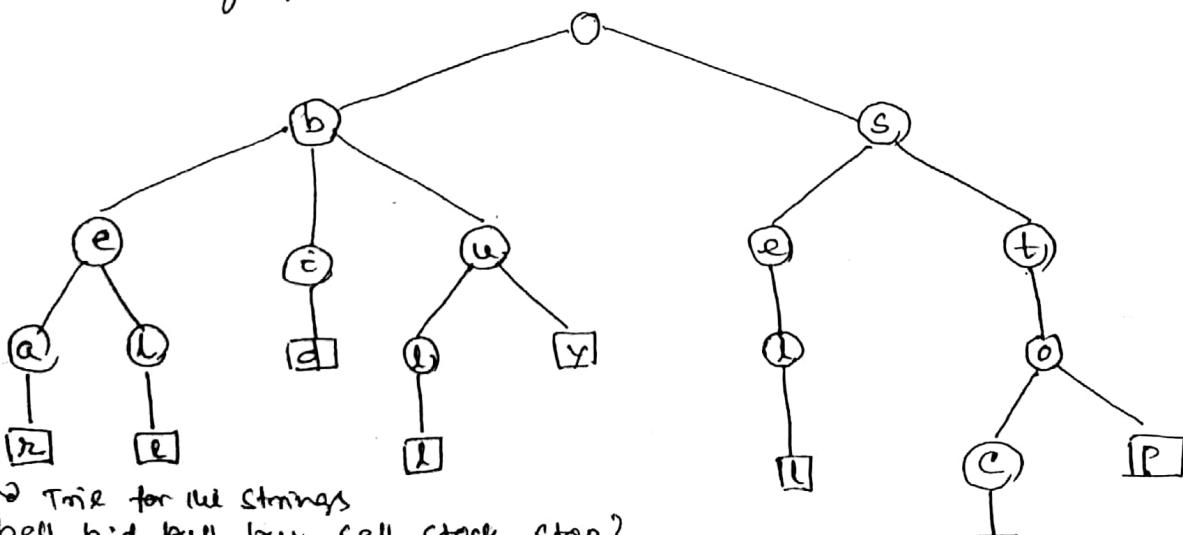
Since fib-heap-Extract-Min(H) take $\lg n$ time, the amortized time required for fib-Heap-Delete is $O(\lg n)$.

A Trie (pronounced "try") is a tree-based data structure for storing strings in order to support fast pattern matching. The main application is for tries in information retrieval. The name "trie" comes from the word "retrieval".

Defn. (Standard Tries)

Let S be a set of s strings from alphabet Σ . Such that no string in S is a prefix of another string. A standard trie for S is an ordered tree T with the following properties.

- Each node of T , except the root is labelled with a character of Σ .
- The ordering of the children of an internal node of T is determined by a canonical ordering of the alphabet Σ .
- T has s external nodes, each associated with a string of S , such that the concatenation of the labels of the nodes on the path from the root to an external node v of T yields the string of S associated with v .



Standard Trie for the strings
Shear, bell, hid, bull, kuv, sell, stock, stop?

Skip List

- A skip list S for dictionary D contains a series of lists $\{S_0, S_1, \dots, S_h\}$. Or
- Each list S_i stores a subset of the items of D sorted by a nondecreasing key plus items with two special keys, denoted $-\infty$ and $+\infty$, where $-\infty$ is smaller than every possible key and can be inserted in D and $+\infty$ is larger than every possible key that can be inserted in D . In addition, the lists in S satisfy the following:
- ⇒ List S_0 contains every item of dictionary D (plus the special items with keys $-\infty$ to $+\infty$).
- ⇒ For $i = 1, \dots, h-1$, list S_i contains (in addition to $-\infty$ and $+\infty$) a randomly generated subset of the items in list S_{i-1} .
- ⇒ List S_h contains only $-\infty$ and $+\infty$.
- An example of a skip list is shown in figure given below. It is customary to visualize a skip list S with list S_0 at the bottom and lists S_1, \dots, S_h above it. Also we refer to h as the height of skip list S .

