

DBMS

→ DBMS is a collection of interrelated data and a set of programs for convenient and efficient access of storage data.

Difficulty with File Processing System:

1) Data redundancy and inconsistency

same data copied
no. of times / waste
of space

If one copy is updated,
all the copies are to be
updated simultaneously, oth-
erwise it leads to inconsistency

2) Difficulty in accessing data:

→ to meet the changed requirements, new programs may have to be written.

3) Isolation of data

→ data spreads over multiple files and different files with diff formats. So, to get complete information, all are to be gathered

4) Concurrency control

concurrent access

→ simultaneous access to same data by multiple processes.

→ If not controlled → improper updates.

5) Security -

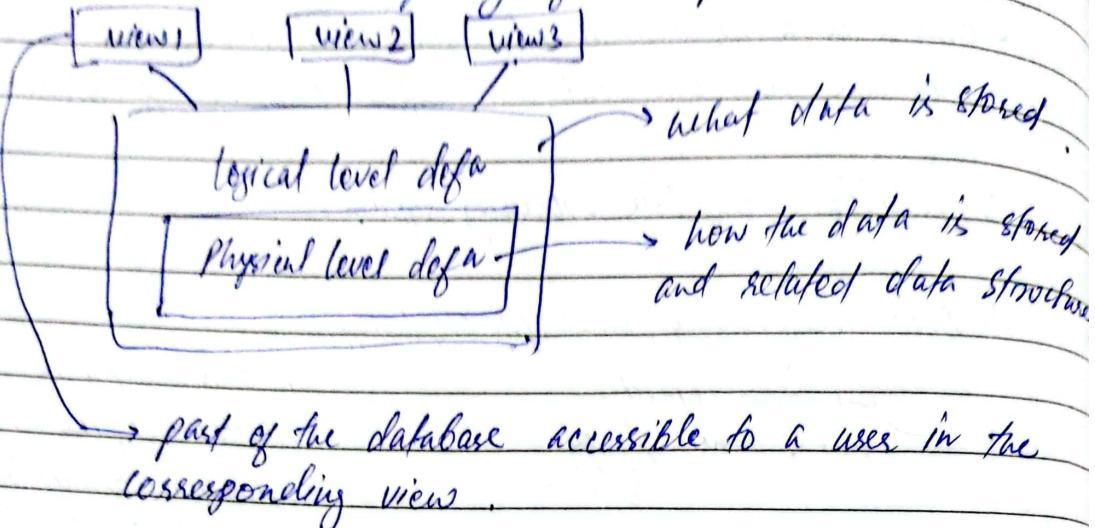
who can do what on which data.

6) Integrity enforcement

→ data must ~~certify~~ satisfy certain conditions / constraints

Data Abstraction :

→ To hide the complexity of the representation

Data Independence

→ Change in the physical defn does not lead to change in application programs.
 ↗ physical level independence is achieved.

difficult
to achieve

→ Change in logical defn does not lead to change in application programs. → logical level independence.

Database Schema and Interface

Overall structure
of database.

content of the database at a
point of time (temporal aspect)

- Student (roll, name, dob, email, phone) ↗ changes frequent
- Can change, but infrequently

Database Model

→ Underlying the database, there is a data model.

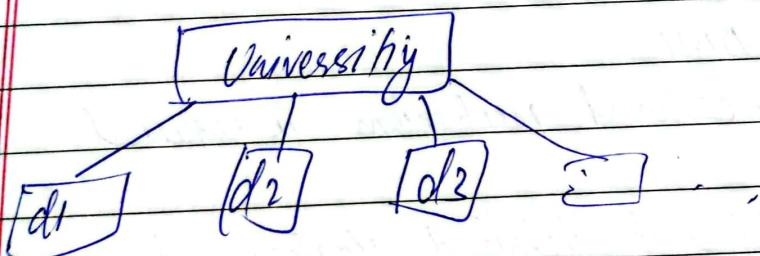
↳ representation of data and their interrelation, constraints on data, etc.

Record Based Model

- ↳ relational Model
- ↳ network model.
- ↳ hierarchical Model. → arranged according to hierarchy.

Relational Model → As a part of record, we store the value of a suitable attribute to maintain relation/association.

Network Model → Instead of keeping the attribute value, pointer to related record is kept (unorganized).



Language

DDL (Data Definition language)

→ to specify/modify the schema of the database.

DML (Data manipulation language)

→ to access/work with the data of the database.

DML
(procedural)

what data to work with
and how to work.
total process

non-procedural.
(what is required)

Functional Components of DBMS

1) database manager

core software module.

- interaction with file manager (part of OS).
- concurrency control.
- security.
- integrity.
- backup and recovery.

2) Query processor

→ non-procedural DML.

Actually, procedural mechanism is required.

→ make an efficient detailed strategy and execute it

3) DDL compiler.

→ translates DDL statements into meta data, which is stored into data dictionary. (part of database).

4) DML pre-compiler

Application programs.

→ DML statements for every interaction with database
non-procedural.

→ DML to equivalent SQL level

→ procedural features are also required.
control struct/look variables etc.

→ application is written in some HLL (host language).

DML pre-compiles, converts e
DML statements into equivalent host language.

Inside that, use DML to interact with database.
(embedded DML/SQL)

Users

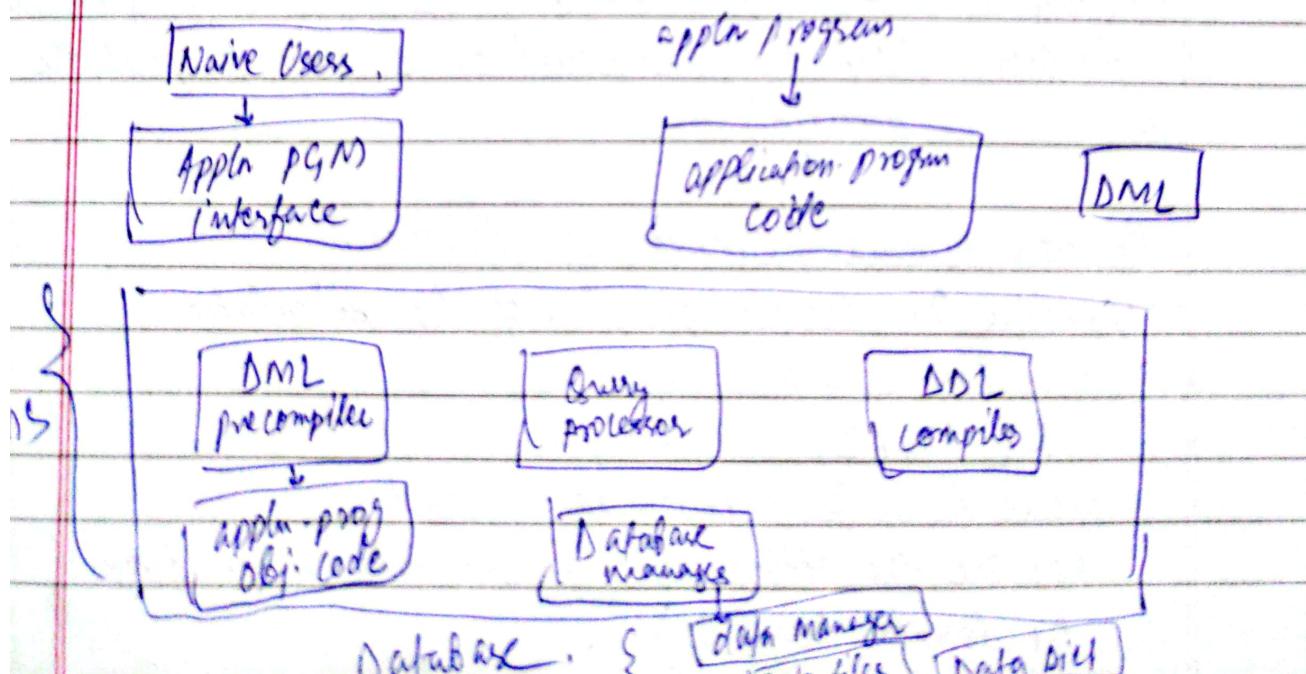
• DBA (database Address)

- to specify/modify overall schema of database
- to grant/revoke access for DBMS to users

→ Application programmers.

- sophisticated users → DML

• Naive users



Relational Model

→ Relational database is a collection of relations.

Informally, a relation α is a set of values → Table / file

20/01/23

Rel Name son (son)

set of related
values
that represent
an entity.

Each row of the table
→ tuple
→ describes an entity

Attribute → column Headers.

Relation }
tuple }
Attribute } → domain

Table Name and column Headings
Relation Attribute.
Name

} They help to
interpret the data

Domain of an attribute.

→ a set of atomic values.

↳ cannot be divided further.
↳ apply dependent.

→ Every attribute has a domain and it can take values from its domain. (Data type and format can be used to specify the domain).

Relation Schema / Intension of a Relation.

→ specifies the overall structure of the real relation.

→ A relation schema is defined as.

$R(A_1, A_2, \dots, A_n)$

where R is the relation name. and

A_i 's are the attributes. A_i can take values from the domain $\text{Dom}(A_i)$.

→ Degree of relation is the no. of attributes in the relation ,

→ Name of the attribute A_i describes the role played by $\text{Dom}(A_i)$ and the relation R .

<u>Roll (int(3))</u>	<u>Score (int(3))</u>	}
101	798	
304	567	

Domain same
but role depends
on heading .

Relation / Relation State / Extension of a relation

$r(R)$ is the relational state of n -degree relation $R(A_1, A_2, \dots, A_n)$

$r(R)$ is set of n -tuples $\{t_1, t_2, \dots, t_m\}$
 where t_i is of the form $\langle v_{i1}, v_{i2}, v_{i3}, \dots, v_{in} \rangle$
 v_j is the value of A_j and comes from
 $\text{Dom}(A_j)$ or NULL.
 Absence of any value .

Time Varying

Mathematically, a relation (state of relation) is n -degree relation on $\text{Dom}(A_1), \text{Dom}(A_2) \dots \text{Dom}(A_n)$.

$$r(R) \subseteq \text{Dom}(A_1) \times \text{Dom}(A_2) \times \dots \times \text{Dom}(A_n)$$

$$|r(R)| \leq |\text{Dom}(A_1)| \times |\text{Dom}(A_2)| \times \dots \times |\text{Dom}(A_n)|$$

$t[A_2]$

value of attribute 2 in that tuple .

Characteristics of a relation:

→ No two tuples are same.

$$\tau(R) = \{ t_1, t_2, \dots, t_n \}$$

→ tuples are ~~s~~ unordered.

Relational model does not depend on ordering of the tuple.

→ Attributes in a tuple may or may not be ordered.

$$R(A_1, A_2, A_3, \dots, A_n)$$

$$\tau(R) = \{ t_1, t_2, t_3, \dots, t_m \}$$

ordered $\Leftrightarrow t_i = \langle v_1, v_2, \dots, v_n \rangle$

$$v_i \in \text{Dom}(A_i)$$

unordered

$$t_i = \{ \langle A_i, v_i \rangle \}$$

set of attribute-value pairs.

$$t_1 = \{ \langle \text{Roll}, 103 \rangle, \\ \langle \text{dob}, \dots \rangle, \\ \langle \text{Name}, \dots \rangle \}$$

$\tau(R)$ is a set of mappings $\{ t_i \}$ where each mapping t_i maps from R to D .

$$D = \text{Dom}(A_1) \cup \text{Dom}(A_2) \cup \dots \cup \text{Dom}(A_n)$$

→ If ordered → ordering of attributes in the schema normally followed.

* → Each attribute is single valued and atomic.
for a tuple, an attribute ~~can~~ can have only value.
→ The value comes from the domain, or it may be null.

atomic → It cannot be divided further (depends on application requirement).

→ Interpretation.

→ a relation is a type ~~defn~~ declaration about an entity / ~~entity~~ identity.

Constraints

→ Data in relation must satisfy the constraints.

→ model level constraints. (that comes along with the model).

→ Schema level constraints.

→ the constraints that can be specified at the time of schema defn.

→ Application Level constraints / semantic constraints.

→ Data dependency / functional dependency constraints.

Designing the database to judge the goodness of the design.

→ Domain constraints

→ Each attribute has a domain when schema is defined, for the attributes, domain has to be specified.

CREATE TABLE STUDENT

(ROLL NUMBER (3,0)),

(NAME CHAR (25),

,

Primary Key Constraints

→ Subset of attributes, Δ SK . of R . (Δ $SK \subseteq R$)

is called superkey of R ,

if for any two tuples, t_1 and t_2

$$t_1[SK] \neq t_2[SK]$$

- A subset of superkey or no proper subset is superkey is called candidate key.
- minimal superkey is ~~subset~~ candidate key.
 $\text{STUDENT}(\text{Regn No}, \text{Roll No}, \text{Name})$.
- → Candidate key may consist of multiple attributes.

RESULT (ROLL, SUBCODE, SUBSCORE)

Minimal possible key is combination of (ROLL, SUBCODE).

- One of the candidate key is chosen by the designer as primary key.
- alphanumeric is preferred over alphabetic candidate key.
- smaller in size is preferred.
- In the context of appln, which is ~~in one~~ used for identification in reality.

→ Entity constraint.

→ primary key cannot be null.

→ NOT NULL (can be specified for an attribute, if null value is not allowed for that attribute).

Relation Database

(set of reln and constraints).

schema of a relational database is $\{R_1, R_2 \dots R_n\}$ where R_i 's are the schema of individual relations and set of integrity constraints.

$\text{State} \rightarrow \{ r_1(R_1), r_2(R_2) \dots r_n(R_n) \}$.

Integrity Constraints and Foreign Key

Referential Integrity:

Dept (Referenced)		Student (Referencing)	
(PK) DCODE	ANAME	ROLL	NAME -- DCODE(FK)
1	- - -	1	D1
Refer		2	D2
		:	

R1 and R2 are two relations.

where R1 is the referenced relation
and R2 is the referencing relation.

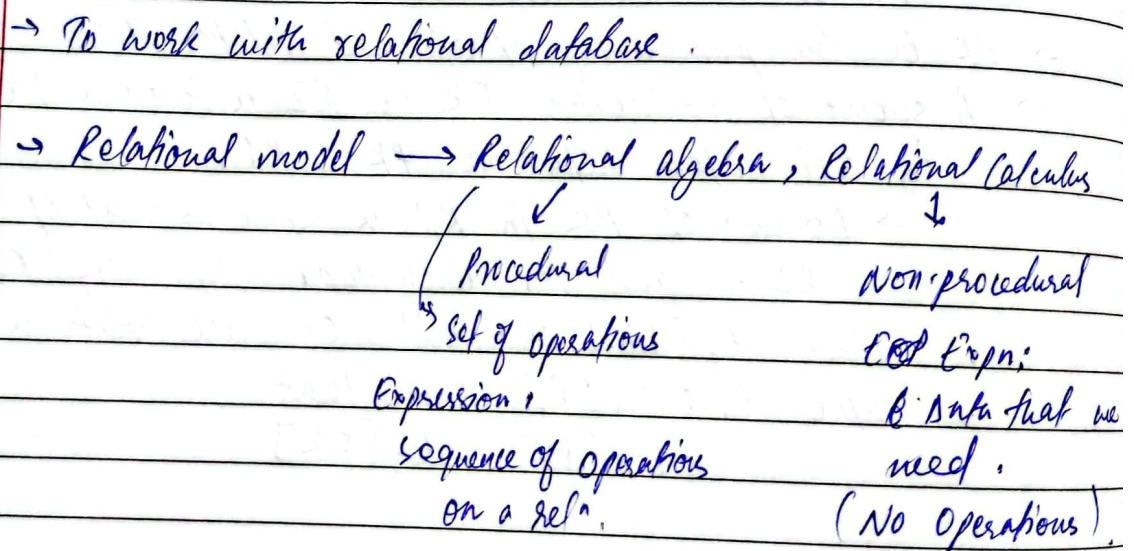
- PK be the primary key of referenced relation R1
- A subset of attributes FK in R2 is foreign key in R2
if that refers refers to PK of R1.
- Domain of PK in R1 is same as that of FK in R2. and for each tuple $t_2 \in r_2(R_2)$ either $t_2[FK]$ is null, or there exists a tuple $t_1 \in r_1(R_1)$ such that $t_1[PK] = t_2[FK]$

Impact of PK on DML operation:

Operation.	Referencing Reln	Referenced Reln
Tuple insertion	Value added in FK must be present in referenced reln. else not allowed.	No impact.

Operation Modifying the tuple.	Referencing reln If PK is changed value is changed (not suggested). Then new value must be in referenced reln else not allowed.	Referenced reln If PK is getting changed. (not suggested). Then new and old value is by referencing reln else then not allowed.
Deleting a tuple	allowed	If PK is value present in referenced reln. then not allowed.

Relational Algebra and Relational Calculus



Relational Algebra

- provides the foundation to work with relational database .
- useful for implementing and optimizing the query .
- Some of the concepts of relational algebra is implemented in structured query language (SQL) .

$\text{DEPT}(\text{ACODE}, \text{DNAME}, \dots)$

$\text{STUDENT}(\text{ROLL}, \text{NAME}, \text{DOB}, \dots, \text{ACODE})$
(FK).

find the students in dept DI(ACODE).

Select operation.

$\sigma_{\text{predicate}}(R)$

\downarrow
 \sqcap
 \sqsubseteq
 \sqsupseteq

Relational Algebra

In an expn,

one or more relation

as input on those

operations are applied.

a op is another reln.

$\sigma_{\text{ACODE} = '01'}(\text{STUDENT})$.

O/p reln:

\downarrow same as I/p reln.

Scheme state

→ set of tuples satisfying the predicate.

→ Select operation is commutative.

$\sigma_{\text{SCORE} \geq 80}(\sigma_{\text{ACODE} = '01}(\text{STUDENT}))$.

$\sigma_{\text{ACODE} = '01}(\sigma_{\text{SCORE} \geq 80}(\text{STUDENT}))$.

$\sigma_{\text{ACODE} = '01 \text{ AND SCORE} \geq 80}(\text{STUDENT})$,

$\sigma_{P_1}(\sigma_{P_2}(\sigma_{P_3}(R))) \equiv \sigma_{P_1 P_2 P_3}(R)$,

PROJECT OPERATION.

$\pi_{\text{ROLL}, \text{NAME}}(\text{STUDENT})$

O/p schema consists of only these two attributes.

No. of tuples in O/P \Rightarrow likely to be same as no. of tuples in P/P reln.

$\pi_{\text{list of attr.}}(R)$

$\langle \text{list 1} \rangle$

$\langle \text{list 1} \rangle \subseteq R$.

$\pi_{\text{list 2}}(\pi_{\text{list 1}}(R))$

$\text{List 2} \subseteq \text{List 1}$.

\rightarrow Proj. operation is not commutative.

\rightarrow If the projected attributes does not have superkey, then it may be repeated, so less no. of tuples.

Ex - $\pi_{\text{score}}(\text{STUDENT})$.

\rightarrow Duplicate eliminating project operation.

\rightarrow If project opn. attr. does not contain superkey, after projection, multiple tuples may be repeated. only one is retained and rest are eliminated.

$\pi_{\text{ROLL, NAME}}(\pi_{\text{score} > 80}(\text{STUDENT}))$.

RENAME OPERATION,

$R_1(A, B, C, D)$.

$\rho_{R_2(x, y, z, w)}(R_1)$

$\rho_{R_2}(R_1)$.

$\rho_{x, y, z, w}(R_1)$.

Cartesian Product. $R_1 \times R_2$ $O/P Reln \rightarrow \text{Schema of } R_1 \cup \text{Schema of } R_2$ $R_1 \rightarrow \text{degree } n_1 \quad R_2 \rightarrow \text{degree } n_2.$ $R_1 \times R_2 \rightarrow \text{degree } n_1 + n_2$ $R_1 \rightarrow \text{no. of tuples } t_1, \quad R_2 \rightarrow \text{no. of tuples } t_2.$ $R_1 \times R_2 \rightarrow \text{no. of tuples } t_1 \times t_2$

STUDENT(ROLL, NAME, --, DCODE)

DEPT(DCODE, DNAME, --).

STUDENT X DEPT

^{Every}
 Every tuple of student gets multiplied
 with every tuple of DEPT.

& Apply filter to retain meaningful
 tuples.

 $\sigma_{\text{STUDENT.DCODE} = \text{DEPT.DCODE}} (\text{STUDENT} \times \text{DEPT})$
Fundamental Operations

SELECT

PROJECT

RENAME

CARTESIAN PROD

UNION

MINUS

Derived Operations

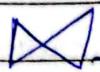
JOIN,

Natural JOIN,

INTERSECTION,

JOIN Operation

$\text{STUDENT} \bowtie \text{DEPT}$
STUDENT.ROLL = DEPT.ROLL



Join condition .

→ If it is not based on the equality of the attributes,
 Then we say Outer join .

In equijoin common attribute is twice .

To avoid we can use natural join .

$\text{STUDENT} * \text{DEPT}$, natural join .

Make pair of student with same score .

$\sigma_{\text{STUDENT.SCORE} = T.\text{SCORE}}$

AND $\text{STUDENT.ROLL} > T.\text{ROLL}$

$(\text{STUDENT} \times \rho_T(\text{STUDENT}))$

→ For set operations, two relations must be union compatible .
 $R(A_1, A_2, \dots, A_n)$ and $S(B_1, B_2, \dots, B_n)$ are union compatible . if ,

i) both are of same degree

ii) corresponding attributes are with same domain .

$\text{Dom}(A_i)$ is same as $\text{Dom}(B_i)$ for $1 \leq i \leq n$,

UNION

$R \cup S \Rightarrow$ will have tuples from both relations , and common tuples will appear only once .

Stud

→ schema → same as R or S.

$R \cup S = SUR$ (commutative).

$R \cup (S \cup T) = (R \cup S) \cup T$ (associative).

MINUS

$R - S \Rightarrow$ tuples that are present in $\sigma_1(R)$ but not in $\sigma_2(S)$.

$R - S \neq S - R$ (not commutative)

INTERSECTION (can be derived from union and minus).

→ tuples common in both the relations.

$(R \cap S) = (S \cap R)$ (commutative)

$R \cap (S \cap T) = S \cap (R \cap T)$

$R \cap S = (R \cup S) - ((R - S) \cup (S - R))$

ADDITIONAL OPERATIONS:

→ Aggregate function and grouping.

→ Aggregate → Acts on a set of tuple and gives one tuple as output.

RESULT(ROLL, SCODE, SCORE).

P	1	S1	30
	2	S1	32
:		S2	50
:			

roll, sum(score) (RESULT)
capturing attr. after agggr. fn.

ROLL	SUM SCORE
1	500
2	704
3	906

O/P Schema

grouping attr. if any, aggregate with attr. on which it were

score from min(score), maximum(score)

O/P	SCORE	MAXIMUM SCORE	MINIMUM SCORE
S1		97	100
S2		98	:
:		:	:
:			

RESULT (ROLL, SCORE, SCORE, SCORE)

1	D1
?	D2
3	D1
:	
1	D2
2	D2
3	D2
:	

for every dept, find no. of students.

SCORE COUNT(ROLL) RESULT

Aggregate of each student.

ROLL, SCORE SUM(SCORE)

O/P : ROLL ACODE SUM-SCORE

Find rolls & of which sum of score ≥ 500 .

$T(ROLL, TOTAL SCORE) \leftarrow \underset{roll}{\sum} f(SUM(SCORE))$ RESULT

$\sigma_{TOTAL SCORE \geq 500} T$.

DEPT(ACODE, ANAME, ...) STUD(ROLL, NAME, ... ACODE)

STUD $\bowtie_{STUD.ACODE = DEPT.ACODE}^{\Delta DEPT}$

→ Let's suppose, for a student, ACODE is yet to be assigned, so it's null,

→ The student will not appear in the O/P.

→ We want all the students to appear -

→ We have to use OUTER JOIN.

R1 \bowtie R2

Left Outer Join.

→ Retains all the tuples from R1

R1 \bowtie R2

Right Outer Join

→ Retains all the tuples from R2

R1 \bowtie R2

Full Outer Join

→ Retains all tuples from both R1 and R2

OUTER UNION , subset of attributes

$R_1(X, Y)$ } partially union compatible.
 $R_2(X, Z)$

R_1 outer Union R_2

| X U Y U Z

$t_1 \in r_1(R_1)$

$t_2 \in r_2(R_2)$

matched $\Rightarrow t_1[X] = t_2[X]$

If t_1 and t_2 matches \Rightarrow a single tuple in the op

for t_1 with no match in $r_2(R_2)$, then for if 2 is m
for t_2 with no match in $r_1(R_1)$, then for if 1 is m

\rightarrow Outer Union, and full outer join

\rightarrow same if joining is done based on the equality of all common attributes.

DIVISION

$$T = R \oslash S$$

Schema of $T \Rightarrow X = 2 - X$

$X \subset Z$.

$R(Z) \rightarrow$ ROLL, SCOLE / Attendance

$S(X) \rightarrow$ SCOLE / List of subject codes.

$$T = R \div S$$

↓

Roll of students who have
appeared appeared in exam for
all subjects.

$$T_1 \leftarrow \pi_y(R) \rightarrow \text{All Roll nos present.}$$

$$T_2 \leftarrow \pi_y((T_1 \times S) - R) \rightarrow \begin{array}{l} \text{Rocks present in at least one subject.} \\ \text{All score roll combinations.} \end{array}$$

$$T \leftarrow T_1 - T_2$$

→ If A tuple t in $T(O/P)$ is present if there are set of tuples t_r in R such that
 $t[Y] = t_r[Y]$ and t_r exists for each tuple in t_s in S with $t_r[X] = t_s[X]$.

TUPLE RELATIONAL CALCULUS

→ Non-procedural

what is required?

$\{t \mid \text{cond}(t)\}$

tuple variable.

$\{t \mid \text{student}(t)\}$

t is a tuple variable that ranges over the tuples of student relation.

student is the range relation for the tuple variable t .

$\{t[rout], t[name] \mid \text{student}(t)\}$

QuantifiersExistential Quantifiers $\rightarrow (\exists n)$ Universal Quantifiers $\rightarrow (\forall n)$.

$\{ t : [roll], t[name] / \text{Student}(t) \text{ AND } t[SCODE] = 'NP' \}$.

$\{ t : [roll], t[name] / \text{Student}(t) \text{ AND } (\exists n)(\text{DEPT}(n) \text{ AND } n.DNAME = 'MCA' \text{ AND } t.DCODE = n.DCODE) \}$.

RESULT(ROLL, SCODE, SCORE)

SUBJECT(SCODE, SNAME, TYPE)

T \rightarrow Theory
S or SessionalFind the roll and name of student students with score in all the papers ≥ 50 .

$\{ st : [roll], st[name] / \text{Student}(st) \text{ AND } (\forall s)(\text{NOT SUBJECT}(s) \text{ OR NOT } (s.Ptype) = 'T') \text{ OR } (\exists r)(\text{RESULT}(r) \text{ AND } r.ROLL = st.ROLL \text{ AND } r.SCORE = st.SCODE \text{ AND } r.SCORE \geq 50) \}$

True for all other types of answer reln.

$$(\forall n) (P(n)) \models (\exists n) (P(n))$$

(implies)

$$\neg (\exists n) (P(n)) \Rightarrow \neg (\forall n) (P(n))$$

Safe expression

→ finite no. of tuples in the O/P.

ENTITY - RELATIONSHIP DIAGRAMS

- At conceptual level, data requirement is represented by ER Diagram.
- Shows the entities involved in the system and relationship among them. It provides the description of the entity types and constraints of relationship.
- Entity is a real life object or concept.
- Each entity is distinguishable from others.
- An entity is described by a set of attribute-value pairs.

Entity Set - collection of similar types of entities.

Entity Type - describes the structure of an entity set.
 $\text{STUDENT}(\text{Roll}, \text{Name}, \text{DOB} \dots)$.

- An attribute → domain.
- An attribute is a mapping fn. from entity set to domain.

Attribute

simple and composite.

Atomic/Indivisible

collection of simple attr.

divisible.

(entity-specific)

Attribute

Single Valued OR Multivalued.
 (For a tuple, attr. can have only one value).
 In entity,
 A relationship

Stored vs Derived.

value can be known only by storing it

value can be obtained from other stored attr.

Ex- DOB

For Age :

Key Constraints

→ Unique value for each entity in the set.

STUDENT (NAME, ROLL, Ph-No, Age, DOB)
 (can have multiple values.)

→ derived.

Relationship

→ Association between entities.

Relationship Set - Set of similar relationship.



Constraints on Binary Relation

Mapping Constraint

Participation Constraint

Structural Constraint

$A \leftarrow B$

$1:1 \rightarrow$ An element of set A can have (one-to-one) association with at most one element of set B and vice-versa.

A to B

One to many \rightarrow An element can have association with zero

$1:N$ or more no. of elements of B. But an element of N can have association with at most one element in A.

A to B

many to one

$N:1$

A to B

many to many
($N:M$)

Value set is V.

Attribute

An attribute A of an entity type E is a function from E to $P(V)$ (Power set of V).

$A: E \rightarrow P(V)$

$P(V) \rightarrow$ Set of all possible subsets of V.

$A \rightarrow$ composite

$$P(X_1) P(V_1) P($$

v_i is the value set of A_i .

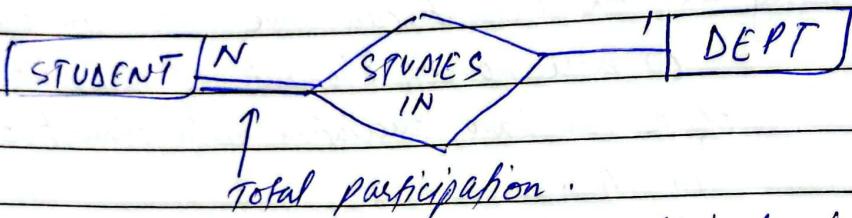
$$P(X_1) \quad P(V_1) \quad P(V_0)$$

$$\text{Value set}(V) = P(V_1) \times P(V_2) \times \dots \times P(V_n)$$

Participation constraint

Participation constraint \Rightarrow Also known as minimum cardinality constraint.

→ Total, partial.



→ An entity type totally participates in a relation, no each entity from corresponding entity set must get associated with at least one entity of the other related type.

→ Total participation is also called existence dependency.

→ Entity type taking part totally in a relation is existentially dependent on the related type.

Relational Model → collection of relations.

17 Map each entity type into a relation (relation model).

STUDENT (ROLL, REGD-NO, NAME, PH-NO. 10R)

In case there is any composite attribute, replace it by constituent simple attr.

DOB → day, month, year.

In case there is any multivalued attr., then put it remove it and place in a separate reln, copy key attr.

PH-LIST (ROLL, PH-NO)

FK to composite
 key

Roll will be a foreign key also

Mapping 1:1 Binary relation .

A (a₁, a₂ ... a_n)

Foreign key based approach

B (b₁, b₂, ... b_n, a_i)

→ Better in the entity type that totally participates in the reln, copy the key of related entity type and it will be foreign key .

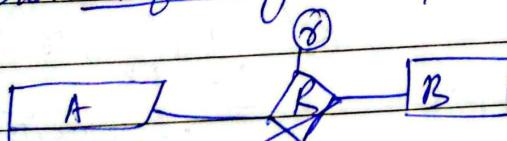
→ The entity type with key of other types will also have the attributes of relationship if any .

Merged relation approach

ARB (a₁, a₂ ... a_n, b₁, b₂ ... b_n, γ)

→ If both are totally participating, else lots of null values .

Cross Referencing Reln / Relationship Reln / LOOKUP TABLE approach



A (a₁, a₂ ... a_n)

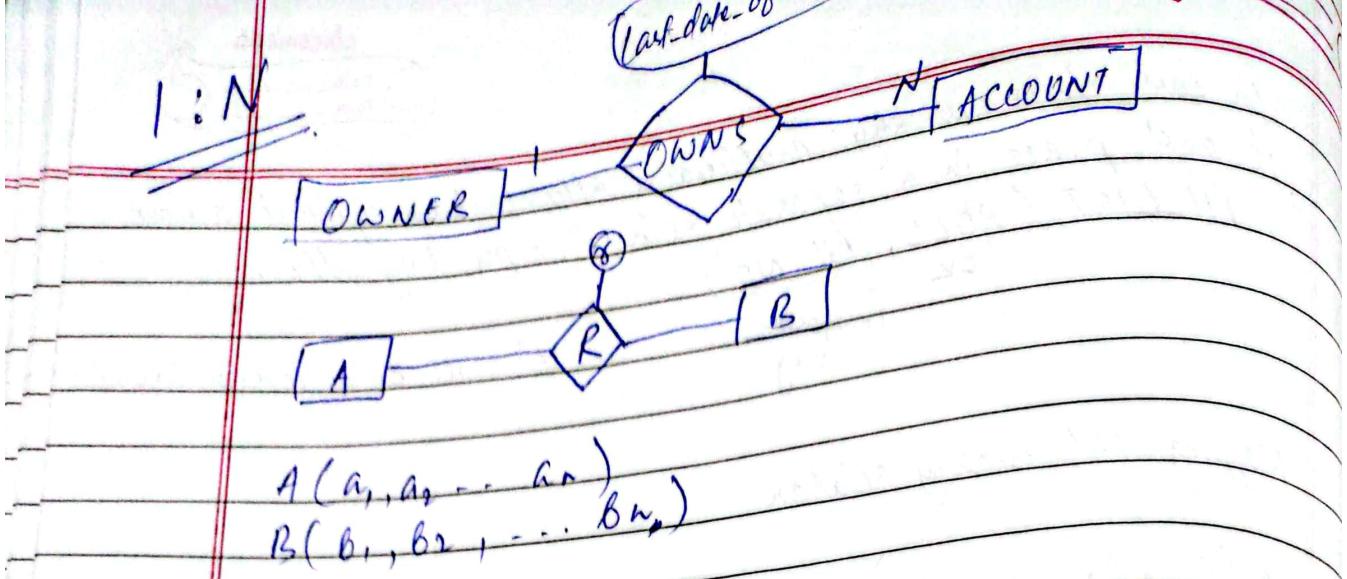
Separate relation for the relationship

B (b₁, b₂ ... b_n)

attr. will be → key of both the entity types and its own attr (if any)

R (a₁, b₁, γ)

→ Each key will be foreign key here, both are individual keys can act as key of this reln .



Foreign Key Based

- In many side, copy the key of other entity type.
- it will be foreign key.
- Also copy attr. of reln (if any).

Lookup table:

$R(a_1, b_1, \gamma)$

key of many side \rightarrow key.

Copied key of entity type \rightarrow FK.

Many To Many



$A(a_1, a_2, \dots, a_n)$

$B(b_1, b_2, \dots, b_n)$

LOOKUP TABLE APPROACH.

$R(a_1, b_1, \gamma)$

FK PK

composite key

Weak Entity Type

→ weak entity type is an entity type which does not have any key of its own.

ENAME [EIN]

EMPLOYEE

HAS

NAME

RELATION

NOMINEE

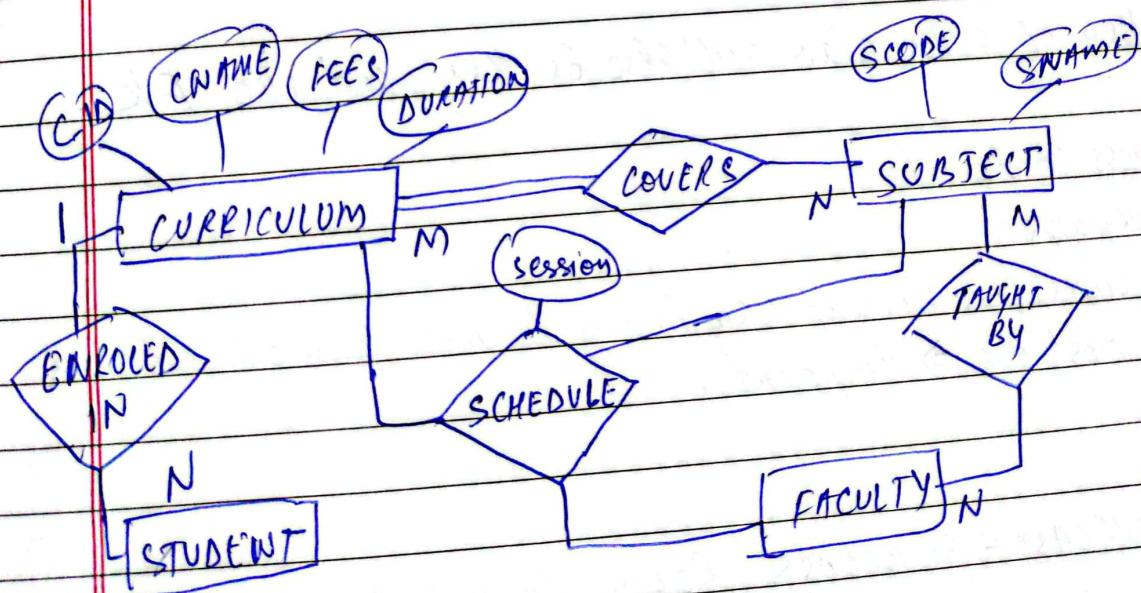
- weak entity type totally participates in the relationship with the entity type on which its existence depends.
- This relationship is called identifying relation.
- To identify an entity of weak entity type, one must have corresponding entity on which it depends.
- By traversing the relation one can obtain subset of weak entity set which are related to corresponding determining entity.
- In this subset, attribute(s) that can be used for individual weak entity is called the partial key.
(Unique value in the subset).

Mapping weak entity type:

A separate reln.

Affr - Its own attr. \cup key of the entity on which it depends
NOMINEE (ECODE, NAME, RELATION).
 FK

Key \Rightarrow Partial key \cup key of different type.



EER (ENHANCED ER DIAGRAM).

→ Generalisation-Specialisation.

CLASS / SUBCLASS RELN.
SUPERCLASS INHERITANCE

→ Certain attributes may be applicable only for a subset of an entity set.

→ A subset of an entity set take part in an association.

→ In a class-subclass reln. A subclass will have only one parent. Parent can have no. of subclasses.

→ PARTICIPATION CONSTRAINT & DISJOINTNESS CONSTRAINT

↳ Each quantity of superclass set must belong to at least one of the subclasses. Then total participation else partial.

Subclass Attr \Rightarrow All superclass attr. \cup its own attr.

→ Key of super class will also be key and also FK.

Disjointness Constraint

Overlapped

→ A superclass element can belong to more than one subclass.
→ at most one \Rightarrow disjoint.

SUPERCLASS - SUBCLASS RELN. / INHERITANCE

→ In a superclass-subclass reln. there is one superclass.

1 superclass element

↓

Belongs to which subclass?
↓

Predicate → Maybe based on the value of a superclass attribute.
Attribute defined in subclasses Otherwise user defined.

With multiple relations

→ For a superclass there is a reln.

for each ~~reln~~ subclass, one reln.

It will have key of superclass as both key and FK of the subclass.

Additional attr SUB1($\frac{A1}{FK}, B1, B2, B3$) .

SUB2($\frac{A1}{PK}, C1, C2, C3$) .

→ No relation for superclass.

for each subclass, one reln.

attr. of superclass \cup its own attr.

key of superclass will be the key.

B1 ($A1, A2, A3, B1, B2, B3$)

B2 ($A1, A2, A3, C1, C2, C3$)

With Single Relation

→ attr. of superclass \cup attr. of subclass1 \cup attr. of subclass2

~~A (a₁, a₂, a₃, b₁, b₂, b₃, c₁, c₂, c₃ --TYPE)~~

→ key of superclass will be the key.

↳ which subclass

Disjoint → Single type.

Overlapped → Multiple

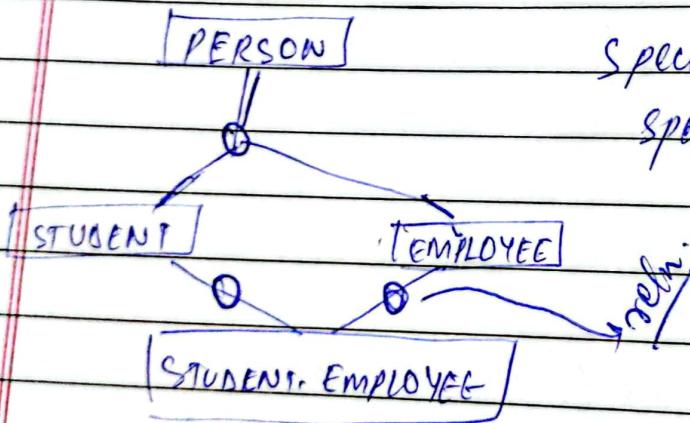
TYPE → Binary string of length N $\in \{0, 1\}$, Belongs to type

→ If additional attr. are quite large in no., then there will be a large amount of null values will be there.

→ If less no. of additional attr. then it can be used

MULTIPLE INHERITANCE

→ A subclass is taking part in multiple superclass-subclass relations.



Specialization hierarchy
specialization lattice
↳ When multiple inheritance.

→ In a single association, a subclass will have multiple superclasses and that represents different kind of entities.
→ Subclass is union/category.

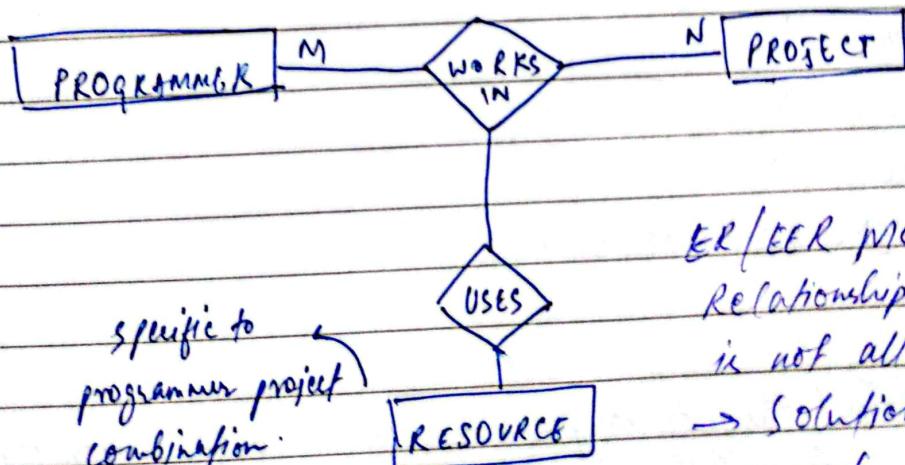
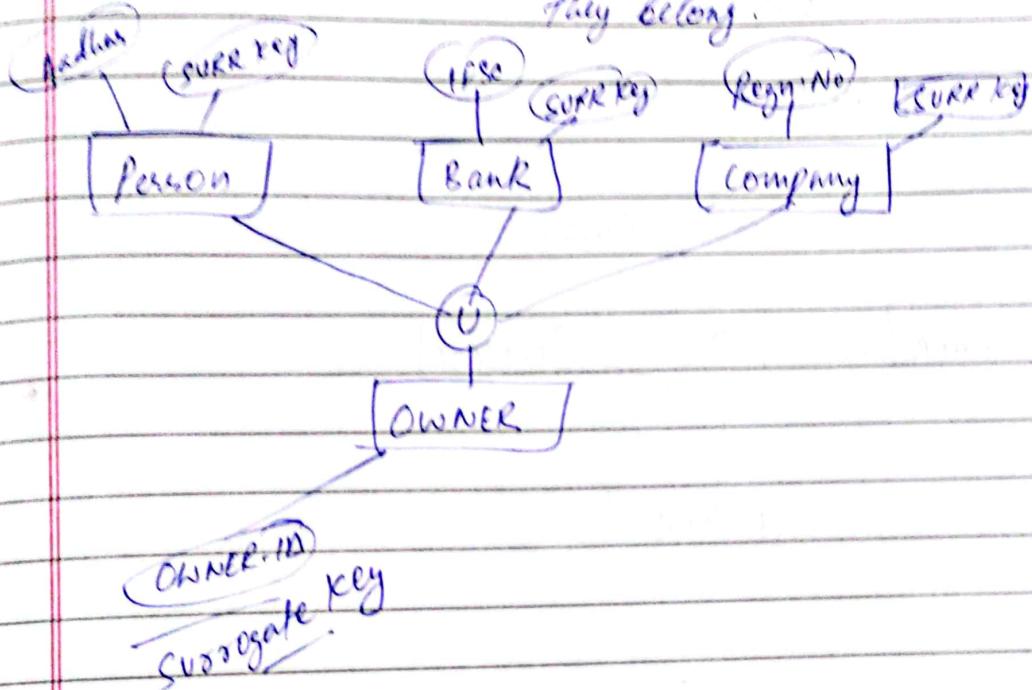
SUBCLASS

↳ Subset of intersection of super class elements.

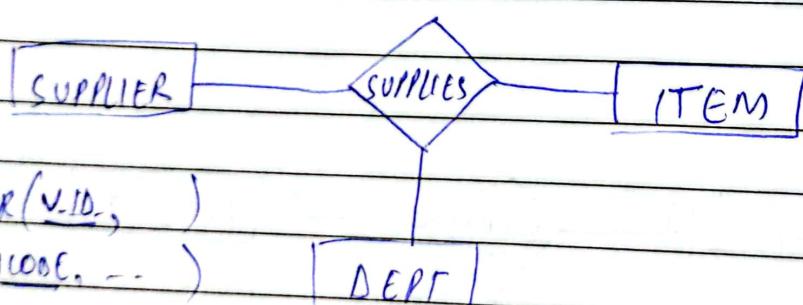
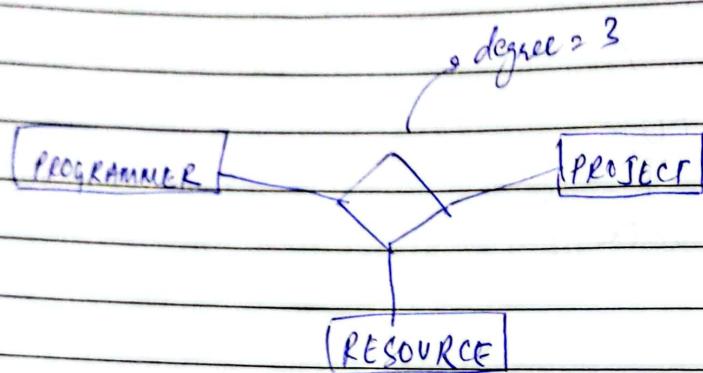
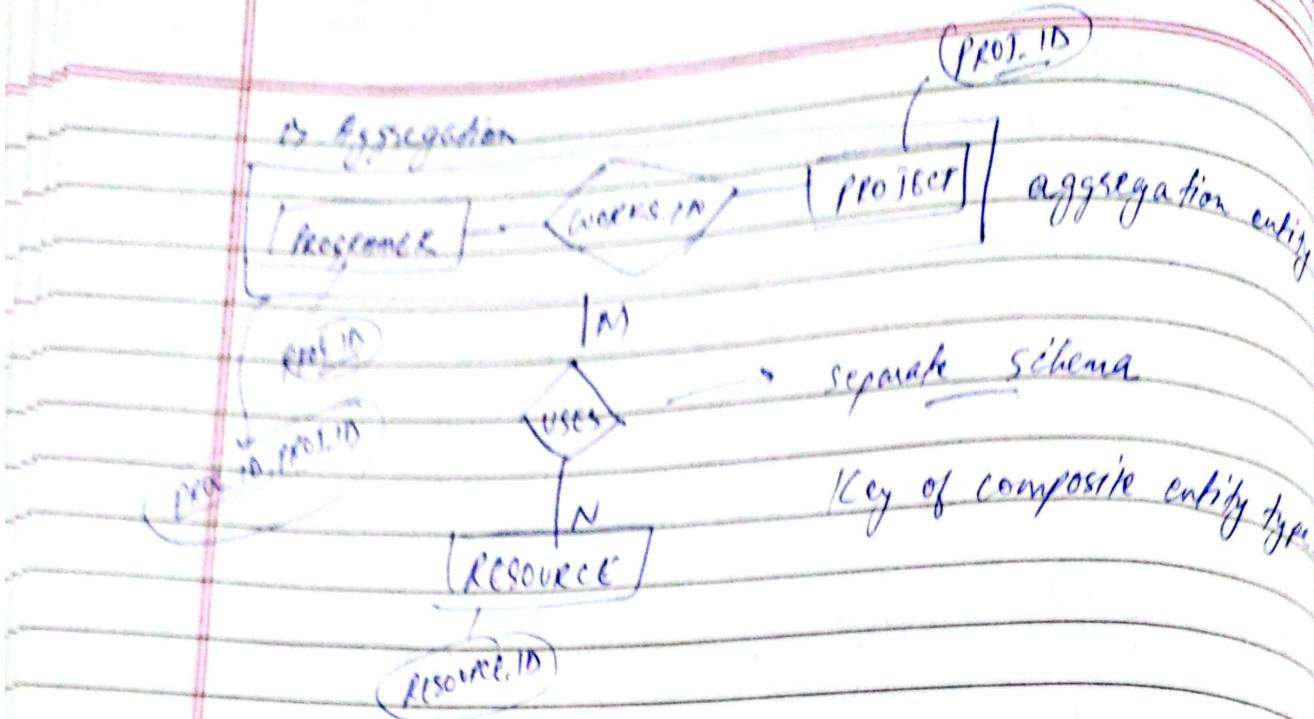
Category

↳ subset of union of super class elements.

Attribute of category \rightarrow depends on which super class elements they belong.



ER/EER MODEL
Relationship with relation
is not allowed.
 \rightarrow Solutions in
next page.



- ~~→ SUPPLIER(V_ID,)~~

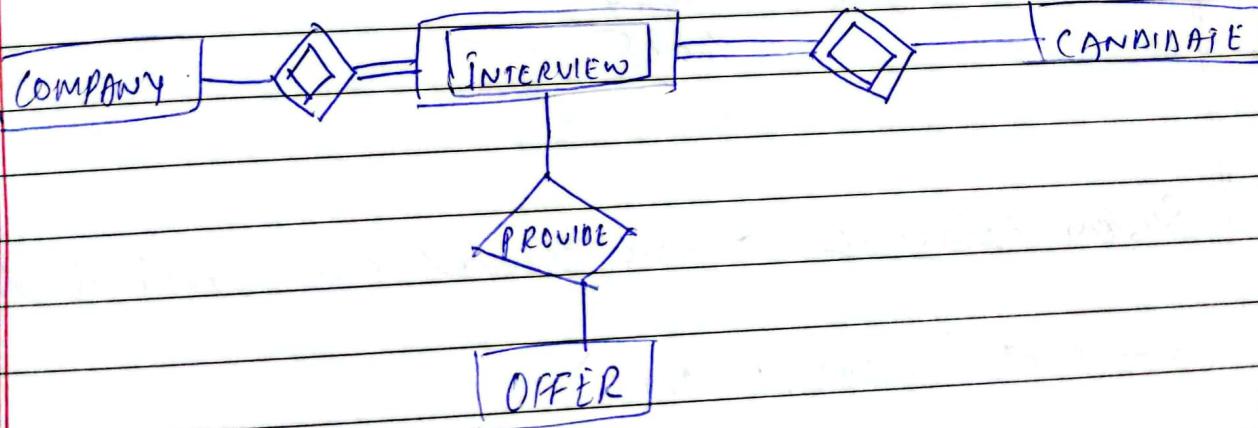
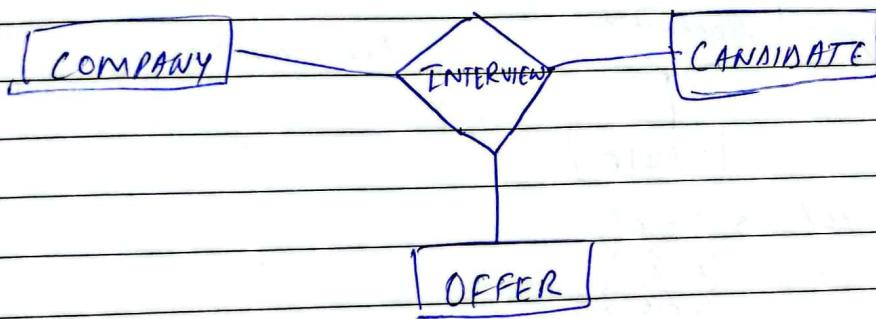
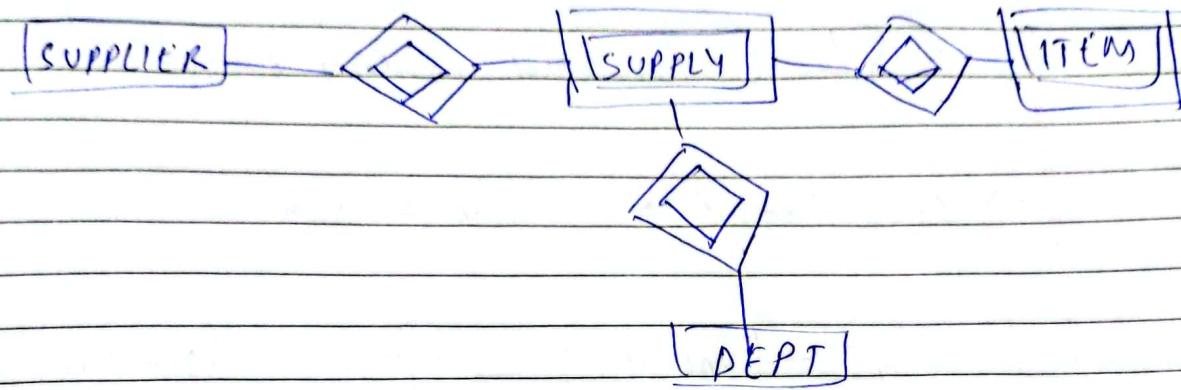
~~→ ITEM(icode, --)~~

~~→ DEPT(D_CODE, --)~~

~~→ SUPPLY(VP, icode, D_CODE, QTY, --)~~

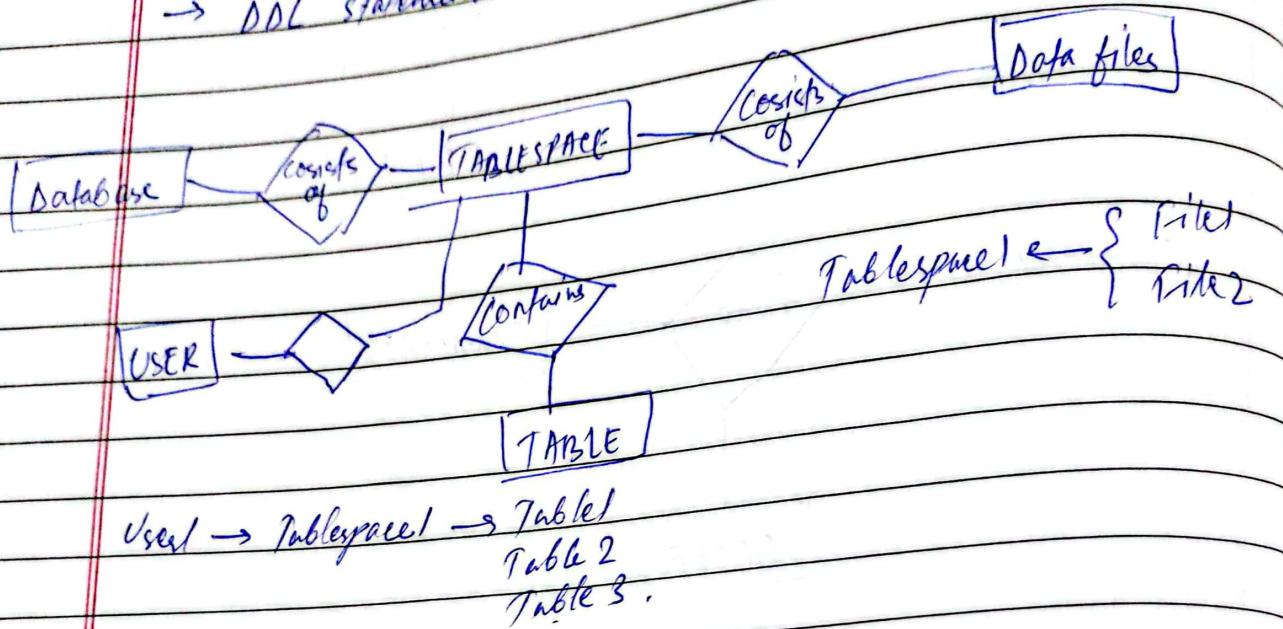
DEPT

→ Convert the ternary reln. in a weak entity type and it must totally participate with the related entity types (in original ternary reln.) through identifying reln.



SQL (Structured Query Language)

→ DDL statement → to define a reln.



User → Tablespace → Table
Table 1
Table 2
Table 3.

User2 → Tablespace → Table
Table 4
Table 5

System tablespace → gets created when Oracle is installed

Ex - DEPT (DCODE, DNAME).

SQL > CREATE TABLE DEPT

(DCODE CHAR(5),

DNAME CHAR(10)); → press Enter.

→ termination of the command
and execute.

SQL BUFFER

Stores the last SQL command.

press enter at blank line, terminate the command.

A_{CODE} CHAR(5) PRIMARY KEY CONSTRAINT PK_DEPT
optional.

When violated, gives error with constraint name

CHAR (size)

NUMBER (7, 2)

Total no. of digits

No. of places

after decimal.

For STUDENT(ROLL, NAME, REGDNO, DOB . - A_{CODE})

↳ from dept.

SQL > CREATE TABLE STUDENT

(ROLL NUMBER(3,0) PRIMARY KEY,

REGD-NO CHAR(10) UNIQUE,

NAME CHAR(15) NOT NULL,

DOB DATE

A_{CODE} CHAR(5) REFERENCES DEPT(A_{CODE});

SUBJECT(S_{CODE}, SNAME, ---)

STUDENT(ROLL, ---)

ATTENDANCE(ROLL, SCODE)

SQL > CREATE TABLE ATTENDANCE,

at the end we have to specify for composite PK.

PRIMARY KEY (ROLL, SCODE).

RESULT (ROLL, SCODE, SCORE).

SQL > CREATE TABLE RESULT

(ROLL NUMBER(3,0),

SCODE CHAR(5),

SCORE NUMBER(2,0), CHECK(SCORE >= 0)

FOREIGN KEY (ROLL, SCODE) REFERENCES

ATTENDANCE (ROLL, SCODE)

GRADE

GRADE CHAR(1) CHECK(GRADE IN ('A', 'B', ..))

OR

GRADE CHAR(1) CHECK(GRADE BETWEEN 'A' AND 'Z')

Aftr. Type and size DEFAULT Value.

DEPT (ACODE, ANAME).

STUDENT(ROLL, REGD.NO, NAME, DOB, DCODE).

VALUES

SQL > INSERT INTO DEPT('DI', 'CSE'); ↵

↪ INSERT INTO DEPT(ACODE) VALUES ('01')

SQL PLUS (COMMAND) > DESC STUDENT.

↪ gives information (aftr.)
for schema.

SQL > SELECT FROM STUDENT

WHERE DCODE = '01'

SQL > UPDATE STUDENT
 SET DCODE = '01'
 WHERE ROLL = 1 ;

SQL > DELETE
 FROM STUDENT
 WHERE

STUDENT(ROLL, NAME, DOB, DCODE)
 ↑
 DATE

AA - MON - YYYY

SQL > INSERT INTO STUDENT VALUES (1, 'ABC', '02-FEB-2010',
 'D1');

TO_DATE (date String, format) → 'DD/MM/YYYY'

DD → Numeric day of month MM → Num Month .

YYYY → Year . HH → hour MI → Minutes .

SS → Seconds

SQL > SELECT ROLL, TO_CHAR(DOB, 'MONTH, YYYY') .

SQL > SELECT ROLL, NAME FROM STUDENT
 WHERE DCODE = '01'

SQL > SELECT * FROM STUDENT WHERE DCODE IS NULL
DCODE IS NOT NULL
 ↓
 All columns .

Students born after 2004 .

SQL > SELECT FROM STUDENT WHERE DOB > '31-DEC-2004'
 OR TO_DATE (dateString, format)

SUBJECT(SCODE, SNAME)

STUDENT(ROLL, - - -)

RESULT(ROLL, SCODE, SCORE)

Name starts with RA.

SQL > SELECT * P

FROM STUDENT

WHERE NAME LIKE 'RA%'

RAM
RATHIM

'RA_'

✓ matches with

RAM any single char

matches with zero/any
of any char.Built-in Function

SINGLE ROW FUNCTION

(Acts on individual row
and provides an output)

AGGREGATE FUNCTION

(Acts on a set of rows
and for a set of single
outcome).NUMERIC

FLOOR(number)

CEIL(number)

POW(m, n) $\rightarrow m^n$ MOD(m, n) $\rightarrow m \% n$

TRUNCATE(number, upto which place)

TRUNCATE(175.7831, 2) $\rightarrow 175.78$, 0 $\rightarrow 175$, -1 $\rightarrow 170$

ROUND(number, upto which place)

$\text{ROUND}(175.7831, 2) \rightarrow 175.78$

 $\begin{array}{rcl} , 1 & \rightarrow & 175.8 \\ 0 & \rightarrow & 176 \\ -1 & \rightarrow & 180 \end{array}$

DUAL \rightarrow SINGLE DUMMY ROW

• Can be used for testing functions.

SELECT ROUND(num, dig) FROM DUAL ;

STRING

LENGTH (STRING)

ASCII (char) .

UPPER (string)

LOWER (..)

INITCAP (STRING) First letter of each word capitalized.

RTRIM (STR) Trim empty spaces right .

LTRIM (STR) Left

SUBSTR (string, posn, no. of chars)

Starting from nth position n chars will be returned .

Students born in feb .

SQL > SELECT ROLL, NAME
FROM STUDENT

WHERE SUBSTR (TO-CHAR (DOB, 'DD-MM-YYYY'), 4, 2)
= '02'

INSTR(string, substring, start_posn, which occurrence)
look for a substring in a string
By default → 1

MONTHS_BETWEEN(date1, date2)

ADD_MONTHS(date1, n) months added to date

LAST_DAY(date)

↳ last day of the month in the date.

ROLL

SQL> SELECT * FROM RESULT

ORDER BY ROLL,

ORDER BY SCODE

ROLL SCODE

1 S1

2 S1

3 S1

1 S2

2 S2

1

2

2

2

SQL> SELECT * FROM STUDENT RESULT

ORDER BY ROLL, SCODE

Roll SCODE

1 S1

1 S2

1 S3

2 S1

2 S2

2 S3

By default it is
ascending, for descending

→ ORDER BY X DESC

SUM } ignores null.
AVG }

COUNT → ignores null.

MAX } → handle null
MIN }

VARIANCE

STDDEV.

SELECT COUNT(ROLL) FROM STUDENT;

COUNT(DISTINCT ATTR).

Ex - COUNT(DISTINCT ACODE) no. of depk with
students in them.

SQL> SELECT AVG(SCORE), ROLL
FROM RESULT
WHERE UPPER(SCODE) = 'SI'

{cannot
write
this attribute
with an
aggregate
function? }

SQL> ORDER BY SUM(SCORE) DESC.

SQL> SELECT ROLL, SUM(SCORE)
FROM RESULT
WHERE SCODE = 'EVS'
GROUP BY ROLL
Having SUM(SCORE) > 400,
ORDER BY SUM(SCORE)

To get dept. name for every roll,

```
SELECT ROLL, DNAME  
FROM STUDENT, DEPT  
WHERE STUDENT.DCODE = DEPT.DCODE
```

Subject name and average score.

```
SQL > SELECT SNAME, AVG(SCORE)  
FROM SUBJECT S, RESULT R  
WHERE S.SCODE = R.SCODE  
GROUP BY S.SCODE, SNAME
```

SIMPLE SUBQUERY.

```
SELECT NAME  
FROM STUDENT  
WHERE DCODE =  
(SELECT DCODE  
FROM DEPT  
WHERE UPPER(DNAME) = 'CSE')
```

- Inner query is executed first, outcome of inner query is used in evaluating the outer query.
- Type of result returned by inner query must be compatible with the type expected by outer query.
- Inner query must return a single value else special measure has to be taken.

SQL> SELECT MAX(SCORE)
FROM RESULT
WHERE SCORE IS NOT NULL;

SQL> UPDATE RESULT
SET SCORE = SCORE + 5
WHERE SCORE IS NOT NULL
AND SCORE = '51'

CORRELATED SUBQUERY

→ Get dept with at least 10 students.

SQL > SELECT DNAME
FROM DEPT D
WHERE 10 <=
(SELECT COUNT(*)
FROM STUDENTS
WHERE S.DCODE = D.DCODE)

→ Tuple of outer query is referred in inner query.

→ Takes a tuple from the reln. of outer query say, candidate tuple.

For the cand. key, inner query is executed. Result is used to decide the action on cand. tuple.

→ Inner query is executed no. of times.

SQL> DELETE FROM DEPT D
WHERE 0 =
(SELECT COUNT(*)
FROM STUDENTS
WHERE D.DCODE = S.DCODE)

Efficient approach:

```
SELECT DNAME FROM DEPT D  
WHERE EXISTS  
{ SELECT * FROM STUDENT S  
WHERE D.DCODE = S.DCODE } .
```

→ If it returns at least one / one tuple in the QP,
exists \Rightarrow true.

→ if backlog table is present

INSERT INTO BACKLOG

SELECT ROLL, SCORE

FROM RESULT

WHERE SCORE

IS NULL OR SCORE < 40

→ Create a backlog table.

COL> CREATE BACKLOG

AS

SELECT ROLL, SCORE

FROM RESULT

WHERE SCORE < 40

CREATE BACKLOG (ROLL, NO, SC)

;

;

;

ALTER TABLE tablename,

ADD (column descp),

(column descp2)

MODIFY (column descp),

(column descp2)

DATABASE DESIGN :

ER Model



formal way of designing.

Mapping to reln.

↳ 2nd stage

NORMALIZATION

→ Based on the concept of key constraint and functional dependency.

→ In a relation, we have a set of attributes

semantically related,
interpretation associated.

Guideline for designing the database:

1) in a schema, we will have only one type of entity or relationship type. It should be easy to interpret.

update anomalies and redundancy -

Ex - STUD(roll, Name, clg, addl, courses, cname, duration, fees).

→ insert anomaly.

New course → No student.

For student attr. → allow null values.

If key is null → not allowed. ↗ redundancy.

No. of students in a course → course info repeated.

→ deletion anomaly.

Deleting a student tuple and he/she is the only student for a course. So course info is also lost.

modification anomaly.

If course info changed, it has to be changed for every student taking that course.

2) Design must be to minimize / remove redundancy and update anomalies.

NULL VALUE

→ If we have a fat reln. (large no. of attr., may be of different entity relationship type)

→ subset of attr. may be null for a tuple

→ Attr. that are not applicable - null.

NULL → wastage of space

→ problem in joining.

→ aggregate problem.

3) Design to avoid at least frequent occurrence of null value.

→ If joined based on arbitrary attr. → may give rise to irrelevant tuples
spurious tuples.

4) Joining does not give rise to spurious tuples.

Joining will be based on primary key / foreign key.

Functional Dependency :

→ X and Y are subset of attr. of the schema R.

$X \rightarrow Y$ is a func. dependency that holds on R provided, for any two tuples t_1 and t_2 in R if $t_1[X] = t_2[X]$, then $t_1[Y] = t_2[Y]$

Ex - RESULT (roll, score, grade)

$SCORE \rightarrow GRADE$ → if we know value of
 X Y , we know value of Y .
 → for students having same X ,
 → they will have same Y .

- Functional dependency is specified on schema.
- At an instance, each tuple in $\tau(R)$ must satisfy the F.D (func. dependency).
- Each $t \in \tau(R)$ satisfies each F.D $\in F$ then $\tau(R)$ is a legal/valid state.

→ If X is a candidate key.
 $X \rightarrow Y$, Y is any subset of R ,
 i.e $X \rightarrow R$.

→ If $X \rightarrow Y$
 it does not guarantee $Y \rightarrow X$.

Eg → $SCORE \rightarrow GRADE$
 $GRADE \rightarrow SCORE \times$

→ $R(A, B, C, D, \dots)$

$A \rightarrow B$ / $A \rightarrow C$.
 $B \rightarrow C$

Given a set of func. dependencies F specified on reln R
 we can infer other func. depa.

→ Closure of func. Depend. set. (F^+) .

→ set of all possible F.D that can be inferred.
F and holds on $r(R)$.

INFERENCE RULES :

{ IR1 → Reflexivity Rule if $X \rightarrow Y$ if $Y \subseteq X$

Armstrong Axioms
IR2 → Augmentation Rule if $X \rightarrow Y$ then $WX \rightarrow WY$

IR3 → Transitive Rule if $X \rightarrow Y$ and $Y \rightarrow Z$ then $X \rightarrow Z$

{ IR4 → Decomposition Rule if $X \rightarrow YZ$, then $X \rightarrow Y$, $X \rightarrow Z$

Extensions
IR5 → Union Rule : if $X \rightarrow Y$ and $X \rightarrow Z$, then $X \rightarrow YZ$

IR6 → Pseudo transitive rule , if $X \rightarrow Y$ and $WY \rightarrow Z$, then
 $WX \rightarrow Z$.

→ Armstrong axioms are sound and complete.

Given a F.D set F on R , any F.D inferred from F using armstrong's axioms will be valid and holds on R ,

complete - By successive application of armstrong's axiom of F till no new inference can be made,
all possible F.D on R can be obtained.
closure of F .

Closure of a F.D. Set

closure of an attribute (given a FD set),
 ↓

set of all attributes which are implied by the attribute.

$$X^+ = X$$

do {

$$\text{old } X^+ = X^+$$

then, each F.D. f in F.D set F

if LHS of f $\subseteq X^+$ then

$$X^+ = X^+ \cup \text{RHS of } f$$

3. while (old $X^+ \neq X^+$)

COVER OF A FD SET

→ A F.D set F_c covers the F.D set F if each F.D $f \in F$ is in F_c^+

$$\begin{array}{cc}
 \underline{F_c} & \underline{F} \\
 A \rightarrow C & A \rightarrow B \\
 & B \rightarrow C \\
 A \rightarrow B & A \rightarrow B \\
 B \rightarrow C & A \rightarrow C
 \end{array}$$

→ Two F.D set F_1 and F_2 are equivalent if F_1 covers F_2

and F_2 covers F_1

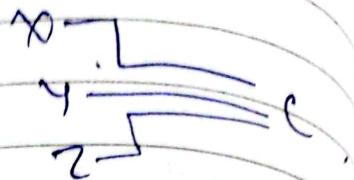
$$\bar{u} \quad F_1^+ = F_2^+$$

MINIMAL COVR.

By removing the redundancy in F.D. $\rightarrow F_{mc}$

CANONICAL FORM OF A FD

$$XYZ \rightarrow C_1C_2 C_1C_2$$



$$X \rightarrow A_1, A_2, \dots, A_n.$$

↓

$$X \rightarrow A_1$$

$$X \rightarrow A_2, \dots, X \rightarrow A_n.$$

1) Put F.D.s in canonical form.

2) Find and remove redundancy in F.D.s

a) If there is any redundant attribute.

on RHS of any FD then those attr.
are to be removed.

$$X \rightarrow Y$$

$$(X - \{A\}) \rightarrow Y \text{ holds}$$

$\Rightarrow A$ is redundant.

NORMALIZATION

→ The formal approach for designing the database based on the concept of key and functional dependency.

PRIME ATTRIBUTE

→ Attr. which is part of at least one candidate key.

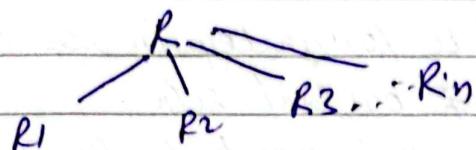
Non-Prime Attr.

→ Not part of any candidate key.

Why normalization?

→ To minimize update anomalies, occurrence of null values and redundancies.

→ A complex structure will be broken into no. of simple schema/relations.



→ Decomposition must be loss-less.

Loss-less-join → while joining the decomposed relations it must not give rise to spurious tuples.

→ Decomposition must be dependency preserving.

⇒ → NORMAL FORM

↳ Highest normal form if satisfies.

Denormalization

→ Instead of highest normal form, designer may restrict the design to a lower form.

1ST NF → Not related with FD (Each attr. must be atomic and single valued)

↓
2ND

↓
3RD

BCNF (Boyce Codd N.F.)

Normalization :

Ex. For every student unique roll no.

STUD(ROLL, NAME, PH-NO, CITY, SCORE, SNAME, STYPE, FM, PM, SCORE, FAC-ID, FAC-NAME...)

1st Normal Form

→ Every attribute must be atomic and single valued.

* If composite break it into components.

* If multivalued, remove it from original reln and form a new reln. In the new reln copy primary key that will be foreign key in new reln referring to PK of original reln.

* Related attributes that repeat together, remove to form new reln.

STUD(ROLL, NAME, PH-NO, CITY, DOB)

SUBSECT(ROLE, SCORE, SNAME, STYPE, FM, PM, SCORE, FAC...)

FK
PK

P.D.S

ROLL → NAME, PH-NO, CITY, DOB

SCORE → SNAME, STYPE, FM, PM, FAC-ID

FAC-ID → FACNAME, F-PH

ROLL, SCORE → SCORE

2nd Normal Form

Partial dependency

$X \rightarrow Y$ if $A \subset X$ and $X - \{A\} \rightarrow Y$ holds.

Then Y is partially dependent on X .

Otherwise, Y is fully functionally dependent on X .

→ A reln. is in 2NF if every non-prime attribute is ~~fully~~ fully functionally dependent on key.

→ If key is simple, then it is in 2NF, after 1NF.

$$X \rightarrow \text{key}$$

$$X - \{\text{key}\} \rightarrow Y$$

Violates 2NF

↓ corrective measure

Remove the partially dependent attr. in a new reln.
and copy the part of key on which they depend.
will be PK in new reln, FK in original reln.

STUD(ROLL, NAME, PH-NO, CITY, DOB)

SUBJECT(SCODE, SNAME, . . . , FID, FNAME, FAH)

RESULT(ROLL, SCODE, SCORE).

1NF



2NF

3NF

→ A reln is in 3NF, if for every

FD $X \rightarrow Y$ that holds on the reln,

either of the following is true

→ 1) X is a super key,

or 2) Y is prime

~~X is~~ X is cand. key OR Y is prime

~~X is not cand. and Y is not prime.~~

~~X is part of cand(PRIME)~~

OR ~~X is not part of cand(NOT prime)~~

$x \text{ is prime} \rightarrow y \text{ is not prime}$ } violation
of 2NF

→ To be in 3NF, Reln. must be in 2NF and
no trans dependency.

$X \rightarrow Y$ violates 3NF.
Non-prime Non-prime

→ From the reln, remove y in a new reln.

copy X .

X is PK in new reln.

In original form X is FK.

$R_1(S\text{CODE}, SNAME, STYPE, FM, PM, \frac{F_ID}{FK})$

$(\underline{F_ID}, PAE_NAME, F_PH)$.
PK.

BCNF → stricter version of 3NF

for every F. A $X \rightarrow Y$ that holds on reln.

X is a super key.

$\begin{array}{ccc} R \\ \swarrow & \searrow \\ R_1 & & R_2 \end{array}$ loss-less if
Either $R_1 \cap R_2 \rightarrow R_1$
OR $R_1 \cap R_2 \rightarrow R_2$

Dependency Preserving

→ F'D set F is defined on universal set
reln R (all attr).

R has been decomposed into R_1, R_2, \dots, R_n .

Let, F_i be the projection of F on R_i :

set of F'D in F whose LHS and RHS attributes
are in R_i .

Restriction of F on R_i :

3NF and BCNF

→ both remove redundancy.

→ both are less less

→ BCNF may not be dependency preserving.

Multivalued Dependency (MVD and 4NF)

$X \rightarrow\!\! \rightarrow Y$ is defined on R

X multidetermines Y.

$X, Y \subset R$

$Z = R - (X \cup Y)$

$X \rightarrow\!\! \rightarrow Y$ if the following situation exists.

→ t_1, t_2, t_3 and t_4 are 4 tuples in $\sigma(R)$ such that

$t_1[x] = t_2[x] = t_3[x] = t_4[x]$ and

$t_1[y] = t_2[y] = t_3[y] = t_4[y]$ and

$t_1[z] = t_4[z]$ and $t_2[z] = t_3[z]$

P.F.O

$X = n,$ $Y = Y_1, Y_2$

for a given X , there are no. of distinct values of Y .

Tuples are to be formed for every distinct value of Z corresponding to each X, Y combination.

X	Y	Z
n_1	y_1	z_1
n_1	y_2	z_1
n_1	y_1	z_2
n_1	y_2	z_2

 $X \rightarrow Y$

given $X \rightarrow$ set of values of Y which depend only on X .

if $X \rightarrow Y$

then $X \rightarrow Z$.

Role PHONE Scope

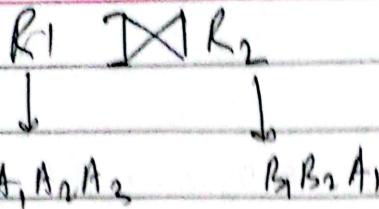
Trivial $\rightarrow [X \cup Y = R]$

Role \rightarrow PHONE

Role \rightarrow Scope

A non-trivial, $X \rightarrow Y$ if holds on a reln,
then it violates 3NF.

\rightarrow Remove Y from R , put it into new reln, Copy X .
 $PK = XY$



```
SELECT R1.A1, A2, A3, B1, B2
FROM R1, R2
WHERE R1.A1 = R2.A1 (+).
```

PL/SQL (specific to Oracle).

DML - Non-procedural.

Applies → procedural aspects.

→ provides the procedural aspects.

PL/SQL block

```
declaration | DECLARE
{ var and constants
}
?
```

```
executable | BEGIN
part
{ }
}
```

END:

→ PL/SQL is sent to a server in a single shot.

Network traffic is reduced.

In "normal" so if sent separately, traffic increases.

STUDENT (ROLL, NAME, ...)

DECLARE

SNAME CHAR(80);

BEGIN

SELECT NAME

FROM STUDENT INTO SNAME

WHERE ROLL = 1;

ECHO SNAME;

END:

N NUMBER(2) := 5

:2 Assignment

NC CONSTANT NUMBER(5,2) := 3.14

%ROWTYPE → dynamic declaration for holding a row.

DECLARE

REC EMP%ROWTYPE

EN EMP.%NAME%TYPE

EC EMP.%ECODE%TYPE

BEGIN

SELECT * INTO REC

EC := 'REC'

Values can be accessed as

Take input

REC.ECODE

from user

REC.NAME

old REC := 'REC'

New REC := 'EOO1'

END.

DECLARE

DC EMP. ACODE % TYPE

BEGIN

DC := '8 DC'

UPDATE EMP

SET BASIC = BASIC + 1000

WHERE ACODE = DC

Update 0 or

any no. of rows.

DELETE FROM EMP

WHERE . . .

Delete 0 or

any no. of rows.

C NUMER(S,0)

SELECT COUNT(*) INTO C
FROM EMP

Always returns
something,
not exception

IF cond. Emp. then,

IF cond. Emp THEN

END IF

ELSE

END IF

LOOP

WHILE cond. emp

LOOP

IF cond. emp then, THEN,

EXIT

END IF

END LOOP

END LOOP

SUM := 0

FOR (1) I IN 1..10

LOOP

SUM := SUM + I

END LOOP

FOR (D) I IN REVERSE 1..10

LOOP

END LOOP

→ don't declare
or declare as
compatible typeI should not
be modified
inside the loop.

CURSOR → holding space in memory for a set of rows
 ↳ To hold number of rows,
 hold employees with BASIC > 50,000

DECLARE ~~CURSOR~~ cursor name
 ↳ cursor name
 B EMP.BASIC % TYPE

CURSOR C1 IS

SELECT * FROM

EMP

WHERE BASIC > B;

R C1%ROWTYPE;

BEGIN

B := &B;

OPEN C1;

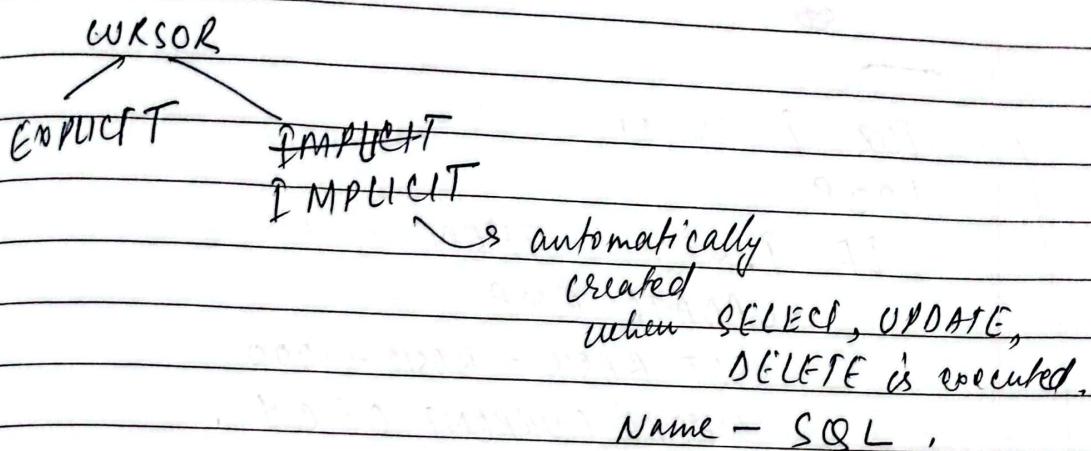
P FETCH C1 INTO R

OPEN Cursorname

Query in its defn is exec
Set of returned rows are
stored in a cursor,

CURSOR ATTRIBUTES

CURSOR name%ISOPEN → True if cursor is OPEN
else FALSE.



%FOUND → Last fetch was successful.

%NOTFOUND → Last fetch was not successful.

%ROWCOUNT → Cumulative value of no. of rows fetched so far.

FETCH C1 INTO R

WHILE C1%FOUND .

LOOP

 FETCH C1 INTO R

END LOOP;

 CLOSE C1;

} access each row one by one.

~~for i in c1~~

LOOP

 .

END LOOP

} Opens C1,
fetches row one by one
closes C1

FOR C1 IN CT

LOOP

 @ access T. NAME
 T. ECODE - -

END LOOP

 @

FOR C1 IN CT

LOOP

 IF T. - - THEN,

 UPDATE EMP

 SET BASIC = BASIC + 1000

 WHERE CURRENT OF C1,

 END IF.

END LOOP.

→ SELECT C1

CURSOR C1 IS

SELECT *

FROM EMP

WHERE - - - - -

FOR UPDATE OF BASIC

EXCEPTIONS

Built in exception.

• CURSOR_ALREADY_OPEN : trying to reopen a cursor which is already open.

INVALID_CURSOR : trying to close a cursor which is not open.

INVALID-NUMBER ?
VALUE-ERROR } If char to numeric conversion
fails in SQL statement.

→ Except the case of for invalid

INVALID-NUMBER,

all type of type conversion/constraint
violation or truncation of data

→ VALUE-ERROR

$X = CHAR(5)$

$X := 'ABCDEFG' X$

* NO-DATA-FOUND → Single row select statement does not
return any row,

{ fetched row is null? with cursors if fetch does not bring
any data and trying to work with the row,

TOO-MANY-ROWS → If single row select statement returns
multiple rows.

BEGIN DECLARE

 |
 |
 |

BEGIN

 |
 |
 |

EXCEPTION

 WHEN NO-DATA-FOUND THEN

 |
 |
 |

 WHEN OTHERS THEN,

 |
 |
 |

END

Exception occurs

→ Is there exception handles in corresponding block

yes

Is there suitable handler

yes

Execute

comes out of current
block

No

No

Is there any outer block

Yes

No

abrupt
termination

DECLARE

BEGIN

DECLARE

BEGIN

EXCEPTION

END:

EXCEPTION

END.

DECLARE

my-exception EXCEPTION.

BEGIN

IF -- THEN

Raise my-exception

ENDIF

EXCEPTION

WHEN my-exception, THE

END.

Continued after 2 pages

DBMS

classmate

Date _____
Page _____

TRIGGER

- Trigger is a sub program which is stored as a part of database, it is associated with an event and automatically invoked when the event occurs.
(cannot be invoked explicitly).
- is DML statement on a table.

Optional

CREATE OR REPLACE TRIGGER triggername

← BEFORE / AFTER

← INSERT, DELETE, UPDATE OF COL1, COL2, ...

← ON tablename

event ← FOR EACH ROW { Optional }

Statement level
OR
Row level

PL/SQL Block.

terminate an event.

RAISE_APPLICATION_ERROR (errorno, msg)

- 20000

to
20000.

PL/SQL Block

BEGIN

IF :NEW.BALANCE < 0 THEN

RAISE_APPLICATION_ERROR (- -) .

END IF

:OLD { refers
:NEW to
rows }

FILES & INDEXES

Seek Time

→ Read/write head to be positioned at the desired track. Time required to achieve this seek time.

Seek time $>$ rotation time.

RPM Revolution/min.

RPM Yes Rotational delay \downarrow

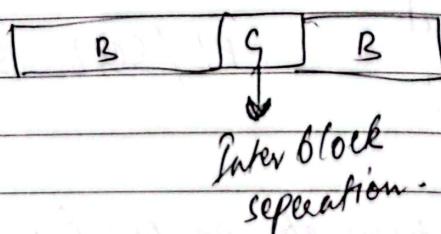
Block transfer.

t_r bytes/sec

Block size B

$t_r \rightarrow 1s$

$$1 \rightarrow \frac{1}{t_r}$$



$$B \rightarrow \frac{B}{t_r}$$

To get actual B bytes of data,
 $B+G$ bytes of data to be read.

$$\Rightarrow \frac{B+G}{t_r}$$

File

Collection of records

→ Collection of related fields.

Records

Fixed length

Variable length.

→ Storing records of different type

→ Records are of same type

Some fields are with multiple values → certain fields have variable length

→ some fields are optional

fixed length → jumping to a record is easier.
→ modifying a record is easier,
→ wastage of space

How to overcome?

① variable length field → Allot max length to the field.
OR
Store length and then value.

Optional fields → make fields mandatory.

multiple value fields → Max no. of fields.

Record separator

→ field separator (to deal with variable length fields).

→ <FieldName, Value> (to deal with optional values).
↳ field Name separator.

→ To deal with multi-valued fields, we need value separators.

* → Separators must not appear as part of data.

→ File header contains information related to separators.

Spanned organisation / Unspanned Organisation

if a record is allowed
to cross the block
boundary.

↳ A block cannot cross
block boundary.

Block size : B byte

Record size : R byte

if $R > B \Rightarrow$ spanned organisation.

Unspanned org \rightarrow residual part is wasted
can be used for linking the next block.

Fixed length record

Unspanned org

Blocking factor (~~total~~ (Bfr))

\rightarrow No. of records/block.

$bfr = \lceil \frac{\text{Block size}}{\text{Record size}} \rceil$

Variable length record

No. of records/block varies.

bfr is defined as average no. of records/block.

A file \rightarrow b no. of blocks.

$r \rightarrow$ total no. of records.

$$b = \lceil \frac{r}{bfr} \rceil$$

Allocation of Blocks.

Contiguous allocation \rightarrow advantage \rightarrow sequential access of all data is faster.

disadvantage \rightarrow expansion of file is problem

Linked allocation → A block keeps the link for the next one.

→ growth of file is easier.

→ accessing all blocks ^{sequentially} is time consuming.

Cluster allocation → collection of contiguous blocks.
Clusters are linked.

Indexed allocation → A table holding the block addresses.

FILE

Unordered file

Storage of records
does not follow any
ordering.

→ stored in order of
their insertion

Ordered.

Records are in
order based on
certain fields.

Unordered file.

Adv → insertion (addition of rec) is easier.

→ Retrieval → sequential

worst case → no. of disk access.

When deleting, logical deletion is preferred, deleting every time
on relocating records is difficult.

Ordering Field → key field → ordering key
Ordering Field → Non-key → ordering non-key.

Search based on ordering field (Binary Search) → faster.
else sequential.

Internal Hashing

in primary memory

↓
table/block

Hash key

↓
on which hash fn is applied,
↓
index into table.

index computes
should cover the
range of table,
lets collision.

→ Chaining can be used to avoid collisions.

External Hashing

hash (hash key field)

↓
Bucket No.

(collection of no. of blocks)

→ Collision problem is less as the Bucket can hold
no. of records

No. of hash collision <= Bucket capacity -

Indexing :

To make the search with the file faster.

Overhead

Data file
Index file

INDEX

Dense Index

Sparse Index.

for every data record
there is an entry/rec in
index file.

No. of index record.

< no. of data record,

Index file

→ Ordered file (ordered on index field)

→ Mostly, fixed length record.

Rec has two fields

< Index field value, Record/Block pointer>

Data file

Ordered

Unordered.

case 1, Indexing Field

≡ Ordering field.

key

Non-key

Primary Index

Cluster Index

Sparse Index.

Data file is not ordered

on index field.

→ Secondary Index

key

Non-key

→ can be sparse/dense.

PRIMARY INDEX

- Data file is ordered on key.
- Ordering key field is the indexing field.
- Primary index file is an ordered file of fixed length.
- It is ordered on indexing field (same as ordering key).
- Records are of the form < index field, record/block pointer>
- First record of data block → block anchor/anchoring record
- In the index file, no. of records = No. of data blocks.

i
etr

blk#
268r

corresponding to block anchor
there is an entry in index file

Primary index is sparse.

Size of pri. index <= size of data file.

- No. of index record <= No. of data record.
- size of index record smaller than that of data record.

Eg. - 50,000 data records.

Size of data record = 50 bytes.

Block = 1024 bytes.

$$blk\# = \left[\frac{1024}{50} \right] = \left[\frac{1024}{50} \right] = 20$$

$$\left[\frac{50000}{20} \right] = 2500 \text{ data blocks.}$$

Binary Search on data file

$$\lceil \log_2 2500 \rceil = 12 \text{ block access.}$$

For Indexes Primary Index

No. of entries = No. of datablocks
 $= 2500$

Size of index record = 10 bytes.

$$bfr = \left\lceil \frac{1024}{10} \right\rceil \approx 100.$$

$$\text{No. of index block} = \left\lceil \frac{2500}{100} \right\rceil \\ = 25.$$

$$\left\lceil \log_2 25 \right\rceil + 1 = 6 \text{ block accesses.}$$

- If insertion or deletion of data records leads to change in block anchor, then primary index file which is also an ordered file gets affected.

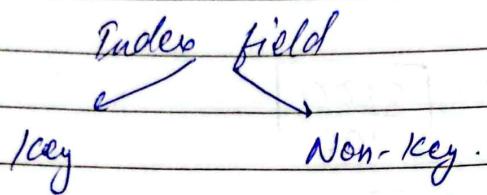
Cluster Index

- Data file is ordered on a non-key field.
- Indexing is to be done on that ordering field (Non-Key).
 Ex- order by dept.
- An ordered file of fixed length records.
- Ordering non-key ~~file~~ field is the index field.
 Record format <Index field value, Block pointer>
- Sparse.
- For each distinct value of ordering (indexing) to non-key field, there is an entry
 (Distinct value, block in which it first appears).

Secondary Index

Index field :

Data file is not ordered on index field \rightarrow Secondary Index.



\Rightarrow Data file is not ordered on index field and it is a key.

\rightarrow Dense

\rightarrow for each data record, there is an entry in index file.

fixed length < index field, block/ree pointers>.

Index file is ordered on index field.

\Rightarrow Data file is not ordered on index field and its a non-key.

\rightarrow Secondary index is a non-key field.

Any index \rightarrow ordered on index field.

Sparse

(Index field, Set of block/ree pointers)

for each variable
distinct value length
of index value record.

dense.

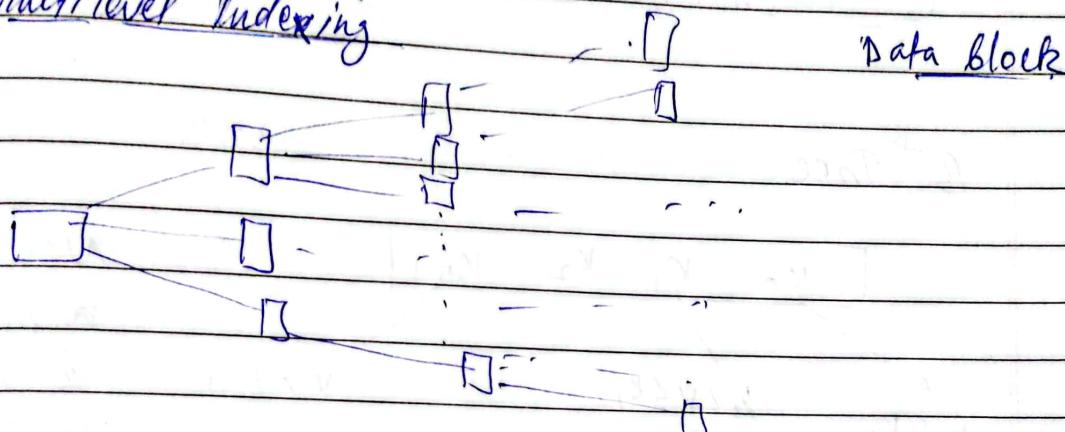
\langle Index value, record pointer \rangle

Sparse and fixed length entries
 \rightarrow additional level of indirection is introduced

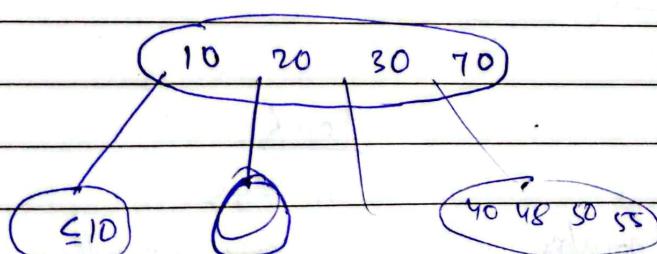
Index field
values

Pointee to a
block of record pointer.

Multilevel Indexing



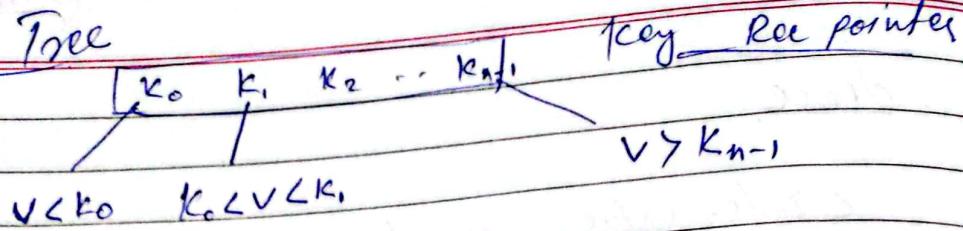
Binary tree and B^+ tree \rightarrow Balanced multiway search tree



In the BST, each node is mapped to one block.

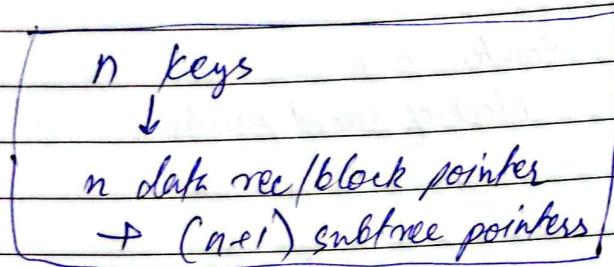
In balanced MCT, each node has more values
 hence no. of nodes decrease, hence no. of blocks
 decrease.

B Tree

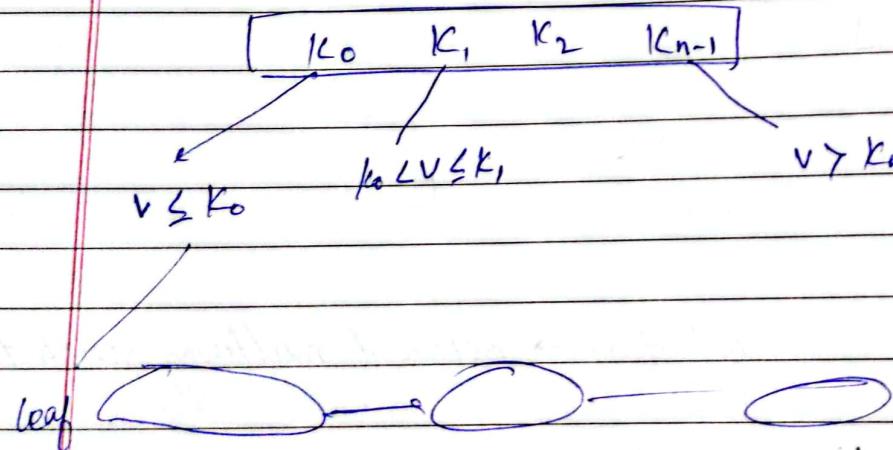


i^{th} subtree

$$k_{i-1} < v < k_i$$



B^+ Tree



No. of blocks in and depth is likely to be less w.r.t that of B tree.

Non-leaf

n keys

$n+1$ child pointers

Leaf

n keys

n rec pointers

+ 1 pointer to next leaf.

SECURITY

DBA (Database Administrator) creates user.

CREATE USER userid
IDENTIFIED BY pword.

DEFAULT TABLESPACE tname (if not assigned, system tablespace)

→ If a user has access to no. of tablespaces, when he creates a new table, it will either go to a default tablespace or the tablespace can be specified with. →
~~TABLESPACE~~

CREATE TABLE . . .

{

) TABLESPACE tname;

ALTER USER userid,

IDENTIFIED By pword,

DEFAULT TABLESPACE . . . ;

what a user can do?

System level privileges
(Granted by DBA).

| connect
(connect to database)

— RESOURCE (can create own object)

Object level Privileges .

|
(table, view, index . . .)
(Granted by owner of the object).

GRANT print,priv
TO user1, user2

REVOKE print,priv
FROM user1, user2

→ with GRANT OPTION,
a user with privilege
can grant them to a
different user

DBA
GRANT RESOURCE (10M)
ON TABLESPACE ~~DATA~~ f\$NAME
TO user1, user2.

VIEW
logical table

CREATE VIEW viewname

AS

SELECT * FROM STUDENT
WHERE DECODE='LSE';

VIEW

→ SINGLE TABLE

→ MULTITABLE
(SELECT).

INSERT INTO myview VALUES

SELECT *

FROM myview.

DROP VIEW viewname.

Query Processing

DML Statement to RDBMS

Non-procedural



How to execute it?

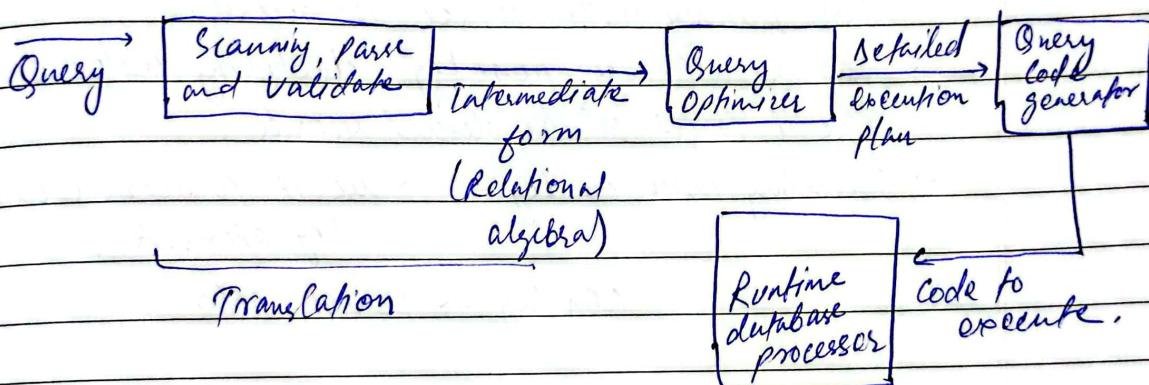
→ Given a non-procedural query, making a detailed and efficient but equivalent representation is the task of query processor. Formulating the efficient strategy.

↳ Query optimization.

2 Steps → 1) Translation

2) Optimization.

↳ Optimization.



Translation - scan the query, parses the same to obtain tokens, validates the reln. and attributes, checks the syntax.

↳ Data dictionary.

View name → replaced by view defn.

Generates the equivalent expression

in intermediate form.

Optimization (2 phases)

1) Expression level optimization

Relational algebra exp → Transform into an efficient but equivalent form.

→ reduce disk block access.

- Apply select operation as early as possible (reduce no. of tuples to work with at an early stage).
- Take the projection as early as possible of attr. required in final output and those needed for intermediate processing.
- When doing joins ($A_1 \times A_2 \times A_3$),
The join which produces less tuples will be done first.

27 Detailed Strategy

Query

- * → involves a simple ~~criteria~~ criteria (based on a single attr.)
- (linear search).
- If the data is sorted on the search attr., then binary search.
- Search attr. is a key attr. and primary index exists
use primary index to get the record.
- Search attr. is non-key, clustering index exists,
use it to get all the desired records.
- Secondary index on key → use it to retrieve based on
key attribute.

Non key → search attr.

* Based on complex criteria (involving multiple attributes)

Convictive Disjunctive
(AND) (OR)

- For every simple criteria → make the strategy.
- For one condn.: indexed search is possible (retrieve the records) → on these verify the other criteria.
- For few cases - efficient (except linear search) strategy is possible. Apply individually to obtain set of records.
↳ Intersection.
- Check remaining criteria.

JOIN $R_1 \times R_2$ n_1 tuples n_2 tuplesworst case $n_1 \rightarrow n_1 \times n_2$