

# P & NP- Completeness

P : polynomial Time Solvable problems

problem is polynomial-time solvable if there is an algorithm that correctly solves in  $O(n^k)$ , for some constant  $k$ . where  $n$  = input size

The Class P:

The set of polynomial-time problems.

Examples :

class P {  
    Sorting algorithms already seen  
    Single Shortest Path  
    Finding minimum spanning tree  
    etc.

But Does the following belong to the class P?

① Cycle-free shortest path in graph with negative costs

② Knapsack [running time =  $O(nw)$   
but input size  $\leq \log(w)$ .]

$$w = 2^b \\ O(n^{2^b})$$

Answer: No

NP-Completeness

→ rough litmus test for  
Computational tractability

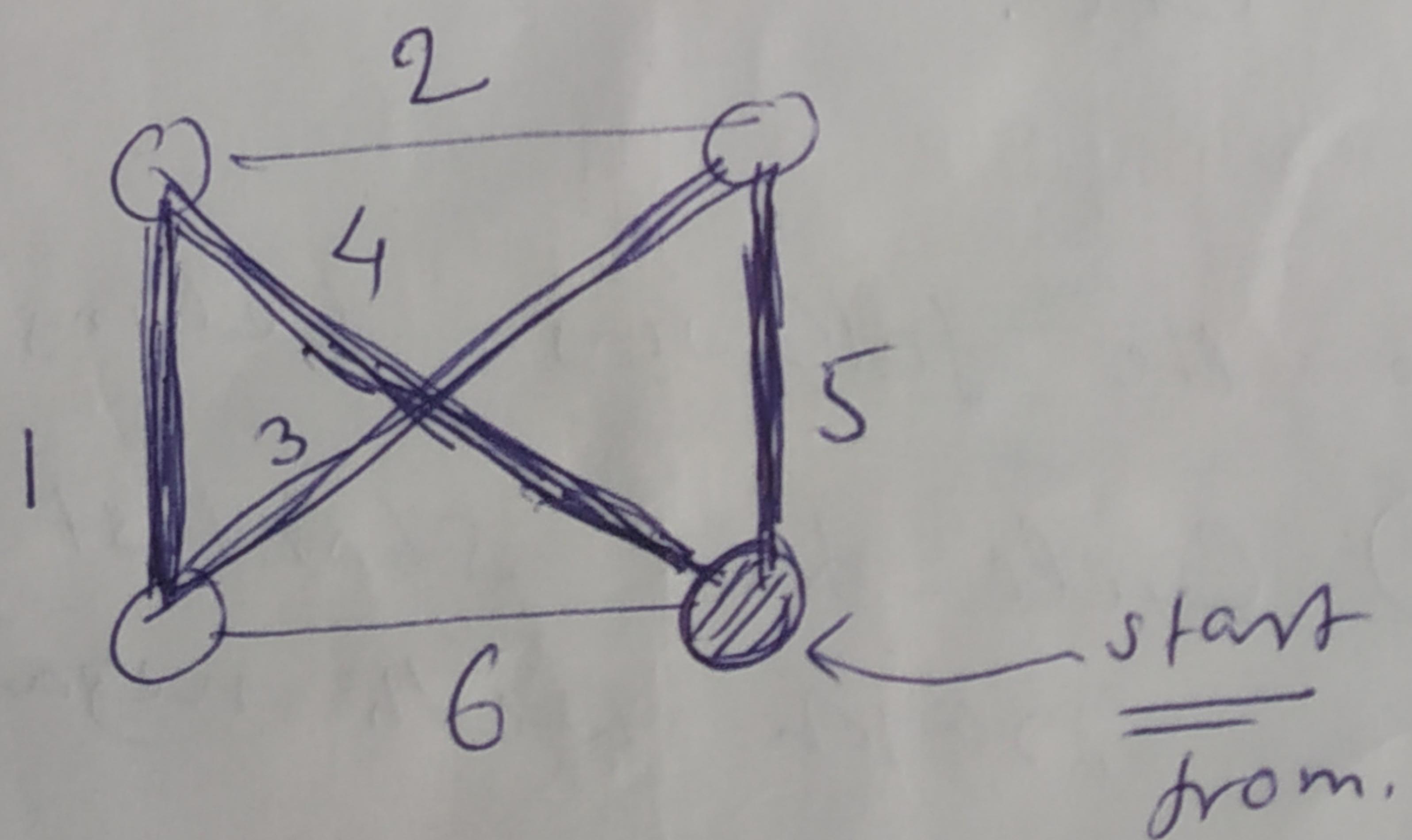
Traveling Salesman problem (TSP)

Input: Complete undirected graph with  
nonnegative edge costs.

Output: a min-cost tour [i.e., a  
cycle that visits every vertex  
exactly once]

There is no polynomial time algorithm  
for TSP. problem.

Ex:



~~Optimal = 13~~

## Reductions & Completeness

Reduction

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Def (informal): problem  $\Pi_1$  reduces to problem  $\Pi_2$  if:

Given a polynomial time subroutine for  $\Pi_2$ , can use it to solve  $\Pi_1$  in polynomial time.

Ex: Detecting a cycle reduces to DFS  
Computing median reduces to sorting.

if  $\Pi_1$  reduces to  $\Pi_2$   
if  $\Pi_1$  is not in P, then neither is  $\Pi_2$ , that is,  $\Pi_2$  is at least as hard as  $\Pi_1$ .

## Completeness

Def:

Let  $C$  = a set of problems

The problem  $\pi$  is  $C$ -complete

if (i)  $\pi \in C$  (ii) everything in

$C$  reduces to  $\pi$ .

$\Rightarrow \pi$  is the hardest problem in all of  $C$

## Halting problem

Given a program and an input  
for it, will it ~~ever~~ eventually  
halt?

Fact:

(Turing '36)

no algorithm, however slow  
solves the halting problem.



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## The class NP

N stands for nondeterministic

Def:

A problem is in NP if

- (1) Solutions always have length polynomial in the input size
- (2) purported solutions can be verified in polynomial time.

Examples -

Is there a TSP tour  
with length  $\leq 1000$ ?

## NP-Complete

Def:

A problem  $\Pi$  is NP-Complete if

- (i)  $\Pi \in NP$

(ii)  $\Pi$  is NP-hard

What is ~~is~~ a NP-hard?

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## NP-hard

Cook's theorem: if there exists a polynomial time algorithm for SAT (~~satisfiable~~ satisfiability problem), there is one for all problems in NP.

## NP-hard

A problem is called NP-hard if it has the above property, that is, if it has a polynomial time algorithm there ~~exist~~ is one for every problem in NP.

What is SAT (satisfiability problem)?

To know about SAT, we need to know CNF (Conjunctive normal form).

CNF:

A boolean formula  $\Phi$  in CNF is an AND of clauses

$$C_1 \wedge C_2 \wedge C_3 \wedge \dots \wedge C_K$$

↑  
clause

formula evaluates to T

iff every clause evaluates to T.

boolean formula  
is in CNF is

said to be satisfiable

if there exists an

assignment to the variables

such that the formula

evaluates to T.

[ \* Clause:  
OR of literals.

literal:  $x, \bar{x}$

$$\underline{\underline{Ex.}} \quad (x_1 \vee \bar{x}_2 \vee \bar{x}_3 \vee$$

...  $x_t$ )

is ~~one~~ clause.]

A clause becomes false if all literals are false otherwise true.

SAT problem

I : boolean formula  $f$  in CNF

Q : is  $f$  satisfiable?



SAT  $\in$  NP

(~~purported~~ solution)

- ① A proof is an assignment to the variables.
- ② The ~~SD~~ purported solutions can be verified in polynomial time.

SAT is NP-hard problem.

because

If there exists a polynomial time algorithm for SAT  
there is one for all problems in NP.

## NP-completeness

A problem  $\Pi$  is NP-complete if

①  $\Pi \in NP$

②  $\Pi$  is NP-hard.

( $\downarrow$   
 ~~$\Pi$~~   $\Pi$  is called NP-hard

if every problem in NP  
is polynomial time  
reducible to  $\Pi$ )

③ This implies that ① a problem ~~in NP~~ in NP  
may not be NP-hard

or

② a problem which is NP-hard  
may not be in NP

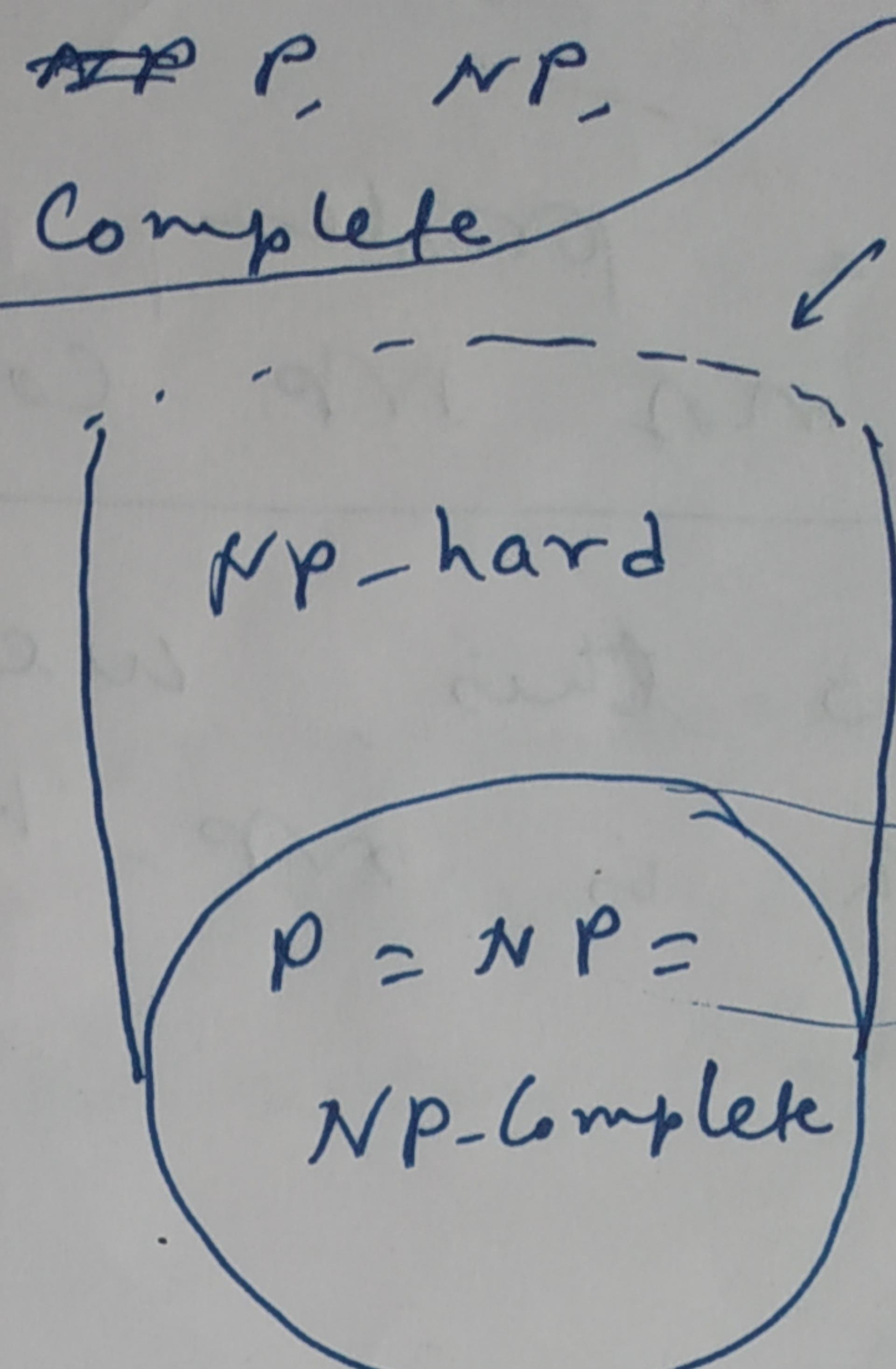
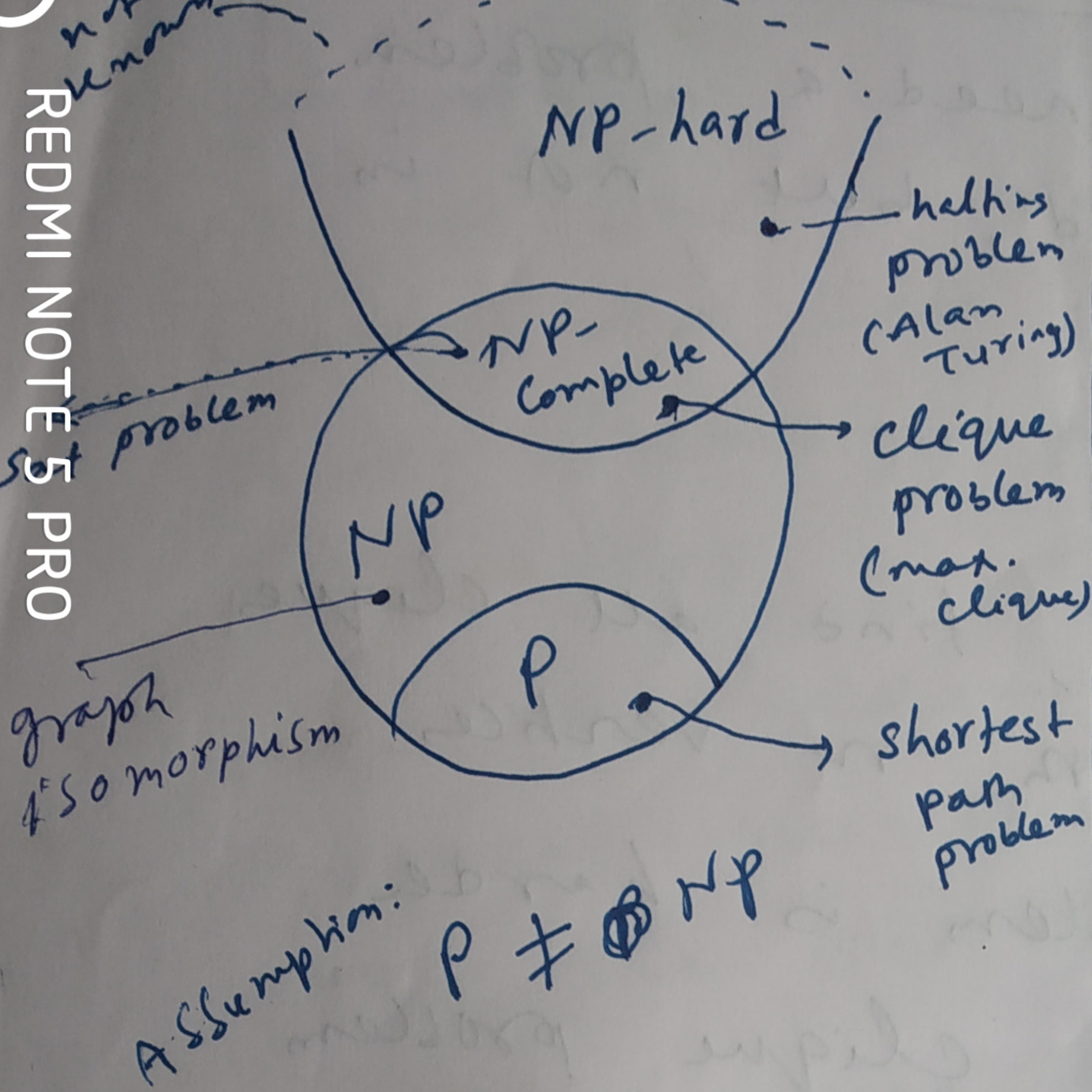
(i.e. if we can find a ~~P~~  
problem in P such that  
the problem

(There may exist a NP-hard  
problem which is in P.  
(If it is,  $NP = P$ )).

Venn diagram for ~~NP~~ P, NP,

NP-hard & NP-Complete

~~NP~~ unknown



Assumption:  
 $P = NP$

graph  
isomorphism  
is in NP  
but neither  
in P nor  
NP-complete

NP-hard problems

(informally):

a class of problems at least  
as hard as the hardest  
problems in NP.

(For example:  
halting problem  
is even harder  
than any  
known NP-  
complete prob.)

NP-intermediate  
problem:

problems that are

in NP, but ~~not~~ neither in P

nor NP-complete. Ladner's theorem shows that

Can a problem be in NP  
but not NP-complete?

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To show this, we need a problem  
which is NP-hard, but not in  
NP

problem:  
given some  $n$ , find all cliques  
in all graphs with  $n$  vertices.

clearly this problem is harder  
than the general clique problem  
(i.e. find the largest clique  
given a graph of  $n$  vertices  
&  $m$  edges).

Since we can solve this problem, we  
can solve the previous clique problem

Answer to this problem is actually all  
subsets of  $n$  vertices which form  
cliques.

But also note that to verify that  
we have the correct answer,  
we have to check that we have  
all subsets which form cliques.

So, this problem is NP-hard,  
but not in NP.

## graph Isomorphism

Isomorphism:

a one-to-one correspondence between two sets that preserves binary relationships between elements of the sets.

For example, a set of natural numbers can be mapped onto the set of even numbers by multiplying each natural number by 2.

## graph isomorphism problem

To determine whether two finite graphs are isomorphic.

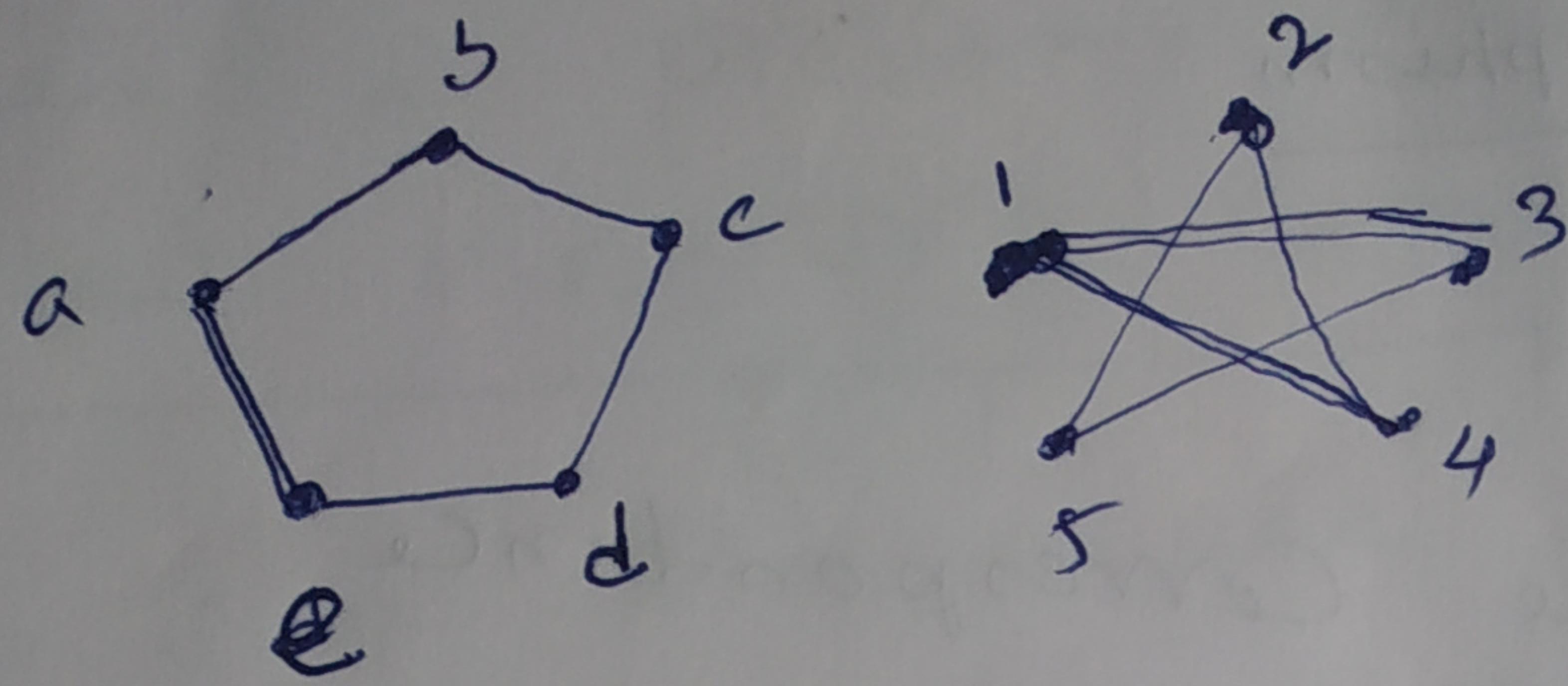
Def:

Two graphs  $G_1 = (V_1, E_1)$ ,  $G_2 = (V_2, E_2)$

is isomorphic

Bijection  $\phi : V_1 \rightarrow V_2$   
 $(u, v) \in E_1 \Leftrightarrow (\phi(u), \phi(v)) \in E_2$

(Informally, whether graphs are ~~similar~~ structurally similar or not).



Degree of each vertex  $\in G_1$  is 2

Degree of each vertex  $\in G_2$  is 2

bijection  
or mapping.

$$\phi(a) = 1$$

$$\phi(b) = 3$$

$$\phi(c) = 4$$

$$\phi(d) = 5$$

$$\phi(e) = 2$$

$$\phi(1) = 1$$

$$\phi(2) = 3$$

$$\phi(3) = 4$$

$$\phi(4) = 5$$

$$\phi(5) = 2$$

$$\phi(a) = 1$$

$$\phi(b) = 3$$

$$\phi(c) = 4$$

$$\phi(d) = 5$$

$$\phi(e) = 2$$

$$\phi(1) = 1$$

$$\phi(2) = 3$$

$$\phi(3) = 4$$

$$\phi(4) = 5$$

$$\phi(5) = 2$$

general: graph isomorphism problem is in NP  
because it can be verified in polynomial time.

Proof is difficult.

This is not a NP-Complete problem.

bc it is not in P.

This is problem which is unknown

NP-~~O~~ intermediate problem.

(Researchers are working  
on this problem).

## Halting Problem

(Unsolvable)

○ Halting problem is not NP-Complete  
but NP-hard

There exists no algorithm to solve  
this problem.

So, it is not in NP

So, it is not NP-Complete.

Show that Halting problem is  
NP-hard.

To show it, we will show  
that Satisfiability problem is a halting  
(NP-hard)  
problem.

Let us construct

whose input is boolean formula  $X$

Suppose  $X$  has  $n$  variables

Algorithm A tries out all possible  
 $2^n$  possible truth assignments  
and verifies if  $X$  is satisfiable

- if  $X$  is satisfied,  $A$  stops (halts)
- if  $X$  is not satisfiable,  $A$  enters an infinite loop.
- Hence  $A$  halts on input iff  $X$  is satisfiable.
- if we have a polynomial time algorithm for the halting problem, then we could solve the satisfiability problem in polynomial time using  $A$  and  $X$  as input to the algorithm for the halting problem

Thus the halting problem is NP-hard problem which is not in NP.

So, it is not NP-complete.

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Note: Why halting problem is not in NP?

Ans: all problems in NP are decidable but the halting problem is undecidable.

even it is harder than ~~SAT~~ SAT  
so it is NP-hard.  
It is not in NP because input cannot be verified in poly-time.