

## DBMS

→ DBMS is a collection of interrelated data and a set of programs for convenient and efficient access of storage data.

Difficulty with File Processing System:

1) Data redundancy and inconsistency

same data copied  
no. of times / waste  
of space

If one copy is updated,  
all the copies are to be  
updated simultaneously, other-  
wise it leads to inconsistency.

2) Difficulty in accessing data:

→ to meet the changed requirements, new programs may have to be written.

3) Isolation of data

→ data spreads over multiple files and different files with different formats. So, to get complete information, all are to be gathered.

4) Concurrency control

concurrent access

→ simultaneous access to same data by multiple processes.

→ If not controlled → improper updates.

5) Security -

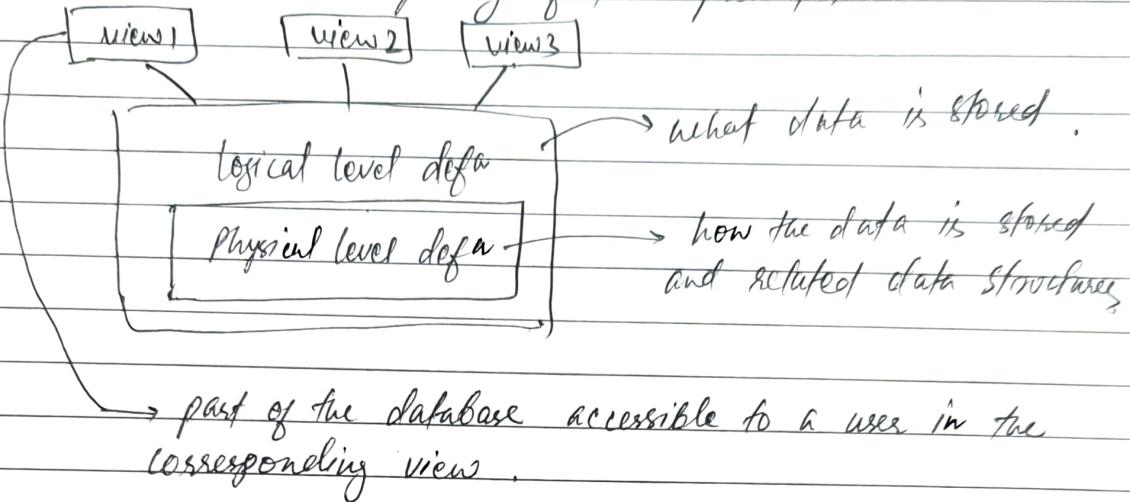
who can do what on which data.

6) Integrity enforcement

→ data must certify and satisfy certain conditions / constraints.

## Data Abstraction :

→ To hide the complexity of the representation



## Data Independence

→ Change in the physical defn does not lead to change in application programs.  
 → physical level independence is achieved.

difficult  
to achieve

→ Change in logical defn does not lead to change in application program. → Logical level independence.

## Database Schema and Instance

- ↓  
Overall structure of database.
- content of the database at a point of time (temporal aspect)
- Student (roll, name, dob, email, phone). → changes frequently
- Can change, but infrequently

## Database Model

→ Underlying the database, there is a data model.

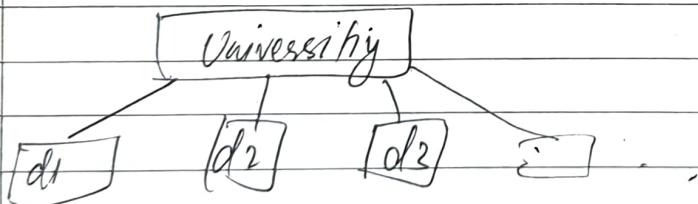
↳ representation of data and their interrelation, constraints on data, etc.

### Record Based Model

- ↳ relational Model
- ↳ network model.
- ↳ hierarchical Model. → arranged according to hierarchy.

Relational Model → As a part of record, we store the value of a suitable attribute to maintain relation/association.

Network Model → Instead of keeping the attribute value, pointer to related record is kept (unorganised).



## Language

DDL (Data Definition language)

→ to specify/modify the schema of the database.

DML (Data manipulation language)

→ to access/work with the data of the database.

DML

(procedural)

what data to work with  
and how to work.

total process

non-procedural :  
(what is required)

### Functional Components of DBMS

1) database manager

core software module .

- interaction with file manager (part of OS).
- concurrency control.
- security.
- integrity .
- backup and recovery .

2) Query processor

→ non-procedural DML.

~~And~~ Actually, procedural mechanism is required.

→ make an efficient detailed strategy and execute it .

3) DDL compiler .

→ translates DDL statements into meta data , which is stored into data dictionary . (part of database ) .

4) DML pre-compiler

Application programs .

→ DML statements for every interaction with database  
non-procedural .

→ procedural features are also required.

control struct/look variables etc.

→ application is written in some HLL (host language).

DML pre-compiler, converts  
DML statements into equivalent  
host language.

Inside that, use DML to  
interact with database,  
(embedded DML/SQL)

## Users

- DBA (Database Admin)

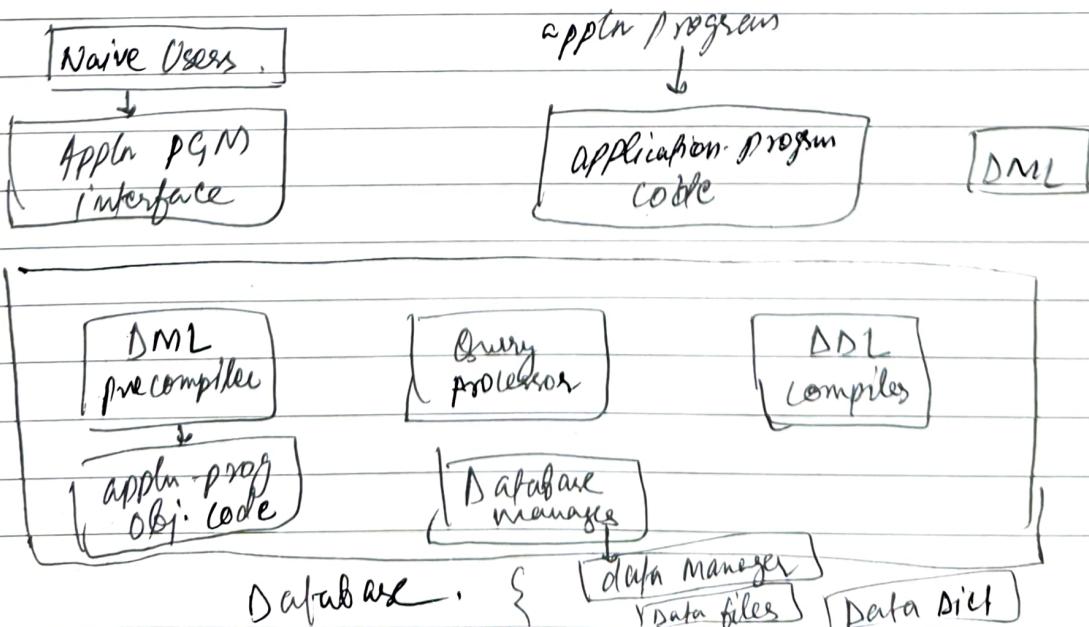
→ to specify/modify overall schema of database

→ to grant/revoke access for DBMS to users,

→ Application Programmers.

• sophisticated users → DML

- Naive Users



## Relational Model

→ Relational database is a collection of relations.

Informally, a relation of is a set of values → table/file of records.

Role	Name	DOB	Email
1			
2			
3			

set of related values represent that represent an entity.

Each row of the table

→ tuple

describes an entity.

Attribute → column Headers.

Relation }

Tuple

Attribute → domains.

Table Name and column Headings

Relation Name

Attribute.

They help to

interpret the data.

Domain of an attribute.

→ a set of atomic values.

↳ cannot be divided further.

↳ attr dependent.

→ Every attribute has a domain and it can take values from that domain. (Data type and format can be used to specify the domain).

Relation Schema / Intension of a Relation.

→ specifies the overall structure of the relation.

→ A relation schema is defined as:

$R(A_1, A_2, \dots, A_n)$

where  $R$  is the relation name and

$A_i$ 's are the attributes.  $A_i$  can take values from the domain  $\text{dom}(A_i)$ .

→ Degree of relation is the no. of attributes in the relation.

→ Name of the attribute  $A_i$  describes the role played by  $\text{Dom}(A_i)$  and the relation  $R$ .

Roll (int(3))

101

304

Score (int(3))

798

567

} Domain same  
but role depends  
on heading.

### { Relation / Relation State / Extension of a relation.

$r(R)$  is the relational state of  $n$ -degree relation  $R(A_1, A_2, \dots, A_n)$

Time  
varying

$r(R)$  is set of  $n$ -tuples  $\{t_1, t_2, \dots, t_m\}$

where  $t_i$  is of ~~the~~ the form  $\langle v_1, v_2, v_3, \dots, v_n \rangle$

$v_j$  is the value of  $A_j$  and comes from

$\text{Dom}(A_j)$  or NULL.

Absence of any value.

Mathematically, a relation (state of relation) is  $n$ -degree relation  
on  $\text{Dom}(A_1), \text{Dom}(A_2), \dots, \text{Dom}(A_n)$ .

$r(R) \subseteq \text{Dom}(A_1) \times \text{Dom}(A_2) \times \dots \times \text{Dom}(A_n)$ .

$$|r(R)| \leq |\text{Dom}(A_1)| \times |\text{Dom}(A_2)| \times \dots \times |\text{Dom}(A_n)|$$

$t[A_2]$

value of attribute 2 in that tuple.

Characteristics of a relation:

→ No two tuples are same.

$$\tau(R) = \{ t_1, t_2, \dots, t_n \}$$

→ tuples are ~~are~~ unordered.

Relational model does not depend on ordering of the tuple.

→ Attributes in a tuple may or may not be ordered.

$$R(A_1, A_2, A_3, \dots, A_n)$$

$$\tau(R) = \{ t_1, t_2, t_3, \dots, t_m \}$$

ordered

$$t_i = \langle v_1, v_2, \dots, v_n \rangle$$

$$v_i \in \text{Dom}(A_i)$$

unordered

$$t_i = \{ \langle A_i, v_i \rangle \}, \quad \text{Ex} - \{ \langle \text{roll}, 10 \rangle, \\ \langle \text{dob}, \dots \rangle, \\ \langle \text{name}, \dots \rangle \}$$

set of attribute-value pairs.

$\tau(R)$  is a set of mappings  $\{ t_i \}$  where  
each mapping  $t_i$  maps from  $R$  to  $\Delta$   
 $\Delta \subseteq \text{Dom}(A_1) \cup \text{Dom}(A_2) \cup \dots \cup \text{Dom}(A_n)$

→ If ordered → ordering of attributes in the schema  
is followed.

normally  
followed

\* → Each attribute is single valued and atomic.  
for a tuple, an attribute ~~can~~ can have only value.

→ The value comes from the domain, or it may be null.

atomic → It cannot be divided further (depends on application requirement).

→ Interpretation

→ a relation is a type ~~declarative~~ declaration about an entity ~~relationship~~.

### Constraints

→ Data in relation must satisfy the constraints.

→ model level constraints. (that comes along with the model).

→ Schema level constraints.

→ the constraints that can be specified at the time of schema definition.

→ Application Level Constraints / semantic constraints.

→ Data dependency / functional dependency constraints.

Designing the database to judge the goodness of the design.

→ Domain constraints

→ Each attribute has a domain when schema is defined, for the attributes, domain has to be specified.

CREATE TABLE STUDENT

(ROLL NUMBER(3,0)),

(NAME CHAR(25)),

1

### Primary Key Constraints

→ Subset of attributes,  $\neq$  SK of  $R$ . ( $\neq$   $SK \subseteq R$ ) is called superkey of  $R$ , if for any two tuples,  $t_1$  and  $t_2$   $t_1[SK] \neq t_2[SK]$

- A subset of superkey of no proper subset is superkey is called candidate key.
- Minimal superkey is ~~subset~~ candidate key.  
 $\text{STUDENT}(\text{Regn No}, \text{Roll No}, \text{Name})$ .

→ Candidate key may consist of multiple attributes.

$\text{RESULT}(\text{ROLL}, \text{SUBCODE}, \text{SUBSCORE})$ .

Minimal possible key is combination of  $(\text{ROLL}, \text{SUBCODE})$ .

→ One of the candidate key is chosen by the designer as primary key.

- alphanumeric is preferred over alphabetic candidate key.
- Smaller in size is preferred.
- In the context of appln, which ~~is~~ one ~~use~~ is used for identification in reality.

→ Entity constraint.

→ Primary key cannot be null.

→ NOT NULL (can be specified for an attribute, if null value is not allowed for that attribute).

## Relation Database

↓ (set of rel & constraints).

schema of a relational database is  $\{R_1, R_2, \dots, R_n\}$  where  $R_i$ 's are the schema of individual relations and set of integrity constraints.

State  $\rightarrow \{ r_1(R_1), r_2(R_2) \dots r_n(R_n) \}$ .

Integrity constraints and Foreign key  
Referential Integrity:

Dept (Referenced)		Student (Referencing)	
(PK)	DCODE	ROLL	NAME
	A NAME	- - -	- - - D1
<del>Refers</del>		1	
		2	
		;	

$R_1$  and  $R_2$  are two relations.

where  $R_1$  is the referenced relation  
and  $R_2$  is the referencing relation.

$\rightarrow$  PK be the primary key of referenced relation  $R_1$

$\rightarrow$  A subset of attributes FK in  $R_2$  is foreign key in  $R_2$   
if that refers refers to PK of  $R_1$ .

$\rightarrow$  Domain of PK in  $R_1$  is same as that of FK in  
 $R_2$ . and for each tuple  $t_2 \in r_2(R_2)$

either  $t_2[FK]$  is null, or there exists a  
tuple  $t_1 \in r_1(R_1)$  such that

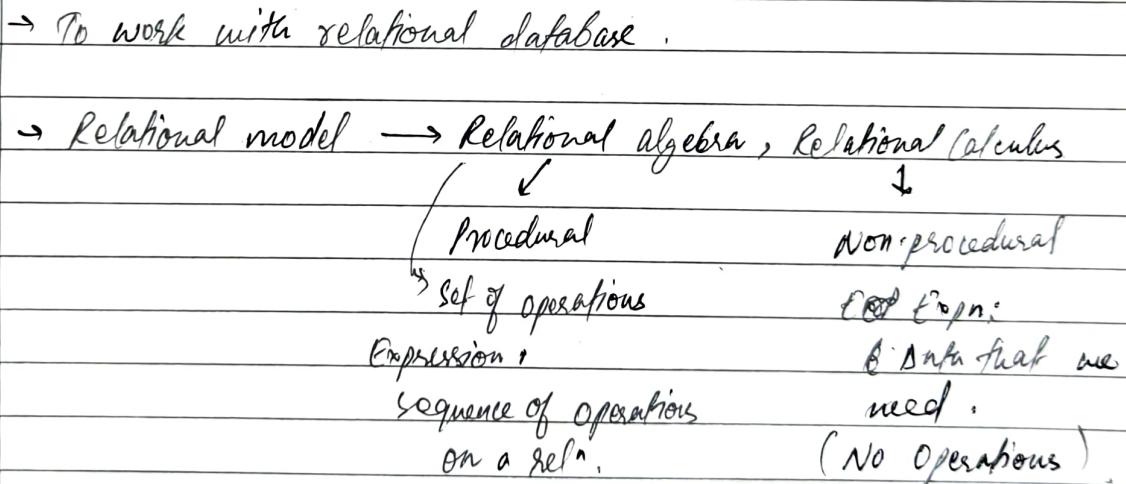
$$t_1[PK] = t_2[FK]$$

Impact of PK on DML operations:

Operation	Referencing Reln	Referenced Reln
Tuple insertion	Value added in FK must must be present in referenced reln. else not allowed.	No impact.

Operation	Referencing Reln	Referenced Reln
Modifying the tuple	If PK is changing value is changed (not suggested). Then new value must be in referenced reln else not allowed.	If PK is getting changed (not suggested) and old value is referenced by referencing reln, then not allowed.
Deleting a tuple	allowed	If PK is value is present in referencing reln. then not allowed.

## Relational Algebra and Relational Calculus



## Relational Algebra

- provides the foundation to work with relational database .
- useful for implementing and optimizing the query .
- Some of the concepts of relational algebra is implemented in structured query language (SQL) .

$\Delta E P I (D C O D E, D N A M E, \dots)$

$S T U D E N T ( R O L L , N A M E , D O B , \dots , D C O D E )$   
(FK).

Q. find the students in dept DI(DCODE).

Select operation.

$\sigma_{\text{predicate}} (R)$

$\downarrow \text{ & }$   
 $\nearrow \text{ "}$   
 $\sum$   
 $\Sigma^=$   
 $\Sigma^>$

Relational Algebra

In an exprn,

one or more relation  
as input on those  
operations are applied.

o O/p is another reln.

$\sigma_{D C O D E = '01'} (S T U D E N T)$ .

O/p reln:

$\downarrow$   
Schema  
state

→ same as E/P reln.

→ set of tuples satisfying the predicate.

→ Select operation is commutative.

$\sigma_{S C O R E \geq 80} (\sigma_{D C O D E = '01'} (S T U D E N T))$ .

$\sigma_{D C O D E = '01'} (\sigma_{S C O R E \geq 80} (S T U D E N T))$ .

$\sigma_{D C O D E = '01' \text{ AND } S C O R E \geq 80} (S T U D E N T)$ .

$\sigma_{P_1} (\sigma_{P_2} (\sigma_{P_3} (R))) \vdash \sigma_{P_1 \text{ & } P_2 \text{ & } P_3} (R)$ .

PROJECT OPERATION.

$\pi_{R O L L , N A M E} (S T U D E N T)$

O/p schema consists of only these two attributes.

No. of tuples in O/P  $\Rightarrow$  likely to be same as no. of tuples in P/P reln.

$\Pi_{\text{list of attr.}}(R)$   
(List 1)

List 1  $\subseteq R$ .

$\Pi_{\text{list 2}}(\Pi_{\text{list 1}}(R))$

List 2  $\subseteq$  List 1.

$\rightarrow$  Project is not commutative.

$\rightarrow$  If the projected attributes does not have superkey, then values may be repeated, so less no. of tuples.

Ex -  $\Pi_{\text{score}}(\text{STUDENT})$ .

$\rightarrow$  Duplicate eliminating project operation:

$\rightarrow$  If project opn. attr. does not contain superkey, after projection, multiple tuples may be repeated, only one is retained and rest are eliminated.

$\Pi_{\text{roll, name}}(\Pi_{\text{score} > 80}(\text{STUDENT}))$

RENAME OPERATION

$R_1(A, B, C, D)$ .

$\rho_{R_2(x, y, z, w)}(R_1)$

$\rho_{R_2}(R_1)$ .

$\rho_{x, y, z, w}(R_1)$ .

## Cartesian Product

$R_1 \times R_2$

O/P Reln  $\rightarrow$  Schema of  $R_1 \cup$  Schema of  $R_2$

$R_1 \rightarrow$  degree  $n_1$      $R_2 \rightarrow$  degree  $n_2$ .

$R_1 \times R_2 \rightarrow$  degree  $n_1 + n_2$

$R_1 \rightarrow$  no. of tuples  $t_1$ ,     $R_2 \rightarrow$  no. of tuples  $t_2$ .

$R_1 \times R_2 \rightarrow$  no. of tuples  $t_1 \times t_2$

STUDENT (ROLL, NAME, --, DCODE)  
DEPT (DCODE, DNAME, --).

STUDENT  $\times$  DEPT

Every tuple of student gets multiplied with every tuple of DEPT.  
6 Apply filter to retain meaningful tuples.

$\sigma_{\text{STUDENT}.\text{DCODE} = \text{DEPT}.\text{DCODE}} (\text{STUDENT} \times \text{DEPT})$

### Fundamental Operations

SELECT

PROJECT

RENAME

CARTESIAN PROD

UNION

MINUS

### Derived Operations

JOIN

Natural JOIN

INTERSECTION

JOIN OperationSTUDENT  $\bowtie$  DEPT

STUDENT.DCODE = DEPT.DCODE



Join condition.

→ If it is not based on the equality of the attributes,  
then we say θ join.

In equi join common attribute is twice.

To avoid we can use natural join.

STUDENT \* DEPT → natural join

Make pair of student with same score.

 $\sigma_{\text{STUDENT.SCORE} = T.\text{SCORE}} \quad (\text{STUDENT} \times \pi_T(\text{STUDENT}))$ 

AND STUDENT.ROLL &gt; T.ROLL

→ For set operations, two relations must be union compatible.

R(A<sub>1</sub>, A<sub>2</sub>, ..., A<sub>n</sub>) and S(B<sub>1</sub>, B<sub>2</sub>, ..., B<sub>n</sub>) are union compatible. if

i) Both are of same degree.

ii) Corresponding attributes are with same domain.

DOM(A<sub>i</sub>) is same as DOM(B<sub>i</sub>) for 1 ≤ i ≤ n.UNION

R ∪ S ⇒ will have tuples from both relations, and common tuples will appear only once.

~~Stud~~

→ schema → same as R or S.

$R \cup S \equiv S \cup R$  (commutative)

$R \cup (S \cup T) \equiv (R \cup S) \cup T$  (associative)

### MINUS

$R - S \Rightarrow$  tuples that are present in  $\sigma_1(R)$  but not in  $\sigma_2(S)$ .

$R - S \neq S - R$  (not commutative)

INTERSECTION (can be derived from union and minus).

→ tuples common in both the relations.

$(R \cap S) = (S \cap R)$  (commutative)

$R \cap (S \cap T) \equiv S \cap (R \cap T)$

$R \cap S \equiv (R \cup S) - ((R - S) \cup (S - R))$

### ADDITIONAL OPERATIONS

→ Aggregate function and grouping.

→ Aggregate → Acts on a set of tuple and gives one tuple as output.

$\text{RESULT}(\text{ROLL}, \text{SCODE}, \text{SCORE})$ .

P	1	S1	30
	2	S1	32
	3	S2	50
	4		
	5		

$\text{ROLL } \sum(\text{SCORE}) \text{ (RESULT)}$

grouping  
After Aggreg. fn.

ROLL	SUM SCORE
1	500
2	704
3	906

O/P Schema

grouping attr. if any, aggregate fn.  
with attr. on which it works.

$\text{score } f_{\text{maximum(score), minimum(score)}}$

O/P	SCODE	MAXIMUM SCORE	MINIMUM SCORE
1	S1	97	100
2	S2	98	:
3	:	:	:
4	:	:	:

RESULT (ROLL, SCODE, SCORE, SCORE)

1 D1

2 D2

3 D1

4

5 D2

6 D2

7 D2

8

for every dept, find no. of students.

$\text{SCODE } f_{\text{COUNT(ROLL)}} \text{ RESULT}$

Aggregate of each student.

$\text{ROLL, SCODE } f_{\text{SUM(SCORE)}}$

O/P : ROLL ACODE SUM-SCORE

Find rolls & of which sum of score  $\geq 500$ .

$T(\text{ROLL}, \text{TOTAL SCORE}) \leftarrow_{\text{ROLL}} \{\text{sum(score)}\} \text{ RESULT}$

$\cap_{\text{TOTAL SCORE} \geq 500} T$ .

DEPT(ACODE, DNAME, --)

STUD(ROLL, NAME, -- ACODE).

STUD  $\Delta_{\text{STUD.ACODE} = \text{DEPT.ACODE}}$  DEPT

→ Let's suppose, for a student, ACODE is yet to be assigned, so it's null.

→ The student will not appear in the O/P.

→ We want all the students to appear.

→ We have to use OUTER JOIN.



Left Outer Join.

→ Retains all the tuples from R1



Right Outer Join

→ Retains all the tuples from R2



Full Outer Join

→ Retains all tuples from both R1 and R2

OUTER UNION

subset of attributes

$R_1(X, Y)$  } partially union compatible.  
 $R_2(X, Z)$

$R_1$  outer Union  $R_2$

| X U Y U Z

$t_1 \in r_1(R_1)$

$t_2 \in r_2(R_2)$

matched  $\Rightarrow t_1[X] = t_2[X]$

If  $t_1$  and  $t_2$  matches  $\Rightarrow$  a single tuple in the O/P

For  $t_1$  with no match in  $r_2(R_2)$ , then for if Z is null  
 for  $t_2$  with no match in  $r_1(R_1)$ , then for if Y is null.

$\rightarrow$  OUTER UNION, and full outer join

$\rightarrow$  same if joining is done based on the equality of all common attributes.

DIVISION

$$T = R \otimes S$$

Schema of T  $\Rightarrow X = Z - Y$   
 $X \subset Z$ .

$R(Z) \rightarrow$  ROLL, SCORE / Attendance

$S(X) \rightarrow$  SCORE / List of subject codes.

$$T = R \div S$$

↓

Roll of students who have  
~~appeared~~ appeared in exam for  
 all subjects.

$$T_1 \leftarrow \pi_Y(R) \rightarrow \text{All roll nos present.}$$

$$T_2 \leftarrow \pi_Y((T_1 \times S) - R) \rightarrow \text{Rolls present in at least one subject.}$$

All scope roll combinations.

$$T \leftarrow T_1 - T_2$$

→ If a tuple  $t$  in  $T$  (O/P) is present if there are set of tuples,  $t_s$  in  $R$  such that  
 $t[Y] = t_s[Y]$  and  $t_s$  exists for each tuple  
 in  $t_s$  in  $S$  with  $t_s[X] = t[X]$ .

### TUPLE RELATIONAL CALCULUS

→ Non-procedural

what is required?

$\{t \mid \text{cond}(t)\}$

tuple variable.

$\{t \mid \text{student}(t)\}$

$t$  is a tuple variable that ranges over  
 the tuples of student relation.

Student is the range relation for the  
 tuple variable  $t$ .

$\{t[\text{roll}], t[\text{name}] \mid \text{student}(t)\}$

## Quantifiers

Existential Quantifier  $\rightarrow (\exists n)$

Universal Quantifier  $\rightarrow (\forall n)$ .

$\{ t[\text{roll}]\}, \{t[\text{name}]\} \mid \text{Student}(t) \text{ AND } t[\text{CODE}] = 'DP' \}$ .

$\{ t[\text{roll}], t[\text{name}] \mid \text{student}(t) \text{ AND } (\exists n)(\text{DEPT}(n)$   
 $\text{AND } n.\text{DNAME} = 'XY?'$   
 $\text{AND } t.\text{DCODE} = n.\text{DCODE}) \}$

RESULT( ROLL, SCODE, SCORE )

Find the roll and name of ~~student~~ students with SCORE in all the papers  $\geq 50$ .

$\{ \text{st}[\text{roll}], \text{st}[\text{name}] \mid \text{Student(st)} \text{ AND } (\forall s) (\text{NOT SUBJECT}(s) \\ \text{OR NOT(stype[s], T)}) \text{ OR } \\ (\exists r) (\text{RESULT}(r) \text{ AND } \\ r.\text{ROLL} = \text{st}. \text{ROLL} \quad \text{true for all} \\ \text{AND } r.\text{SCORE} = \text{st}. \text{score} \quad \text{other types} \\ \text{AND } r.\text{SCORE} \geq 50 ) \}$  of universal  
 reln.

implies,

$$(\forall n) (\rho(n)) \Rightarrow (\exists n) (\rho(n))$$

$$\text{NOT } (\exists n) (\rho(n)) \Rightarrow \text{NOT } ((\forall n) (\rho(n)))$$

Safe expression

→ finite no. of tuples in the O/P.

### ENTITY - RELATIONSHIP DIAGRAMS

- At conceptual level, data requirement is represented by ER diagram.
- Shows the entities involved in the system and relationship among them. It provides the description of the entity types and constraints of relationship.
- Entity is a real life object or concept.
- Each entity is distinguishable from others.
- An entity is described by a set of attribute-value pairs.

Entity Set - collection of similar types of entities.

Entity Type - describes the structure of an entity set.

STUDENT ( Roll, Name, DOB - - ).

→ An attribute → domain.

→ An attribute is a mapping fn. from entity set to domain.

### Attribute

simple and composite.

Atomic/Indivisible

collection of simple attr.

Divisible

(Applic. specific)

## Attribute

Single Valued OR Multivalued

(For a tuple, attr. can have only one value).

In entity,

A relationship

Stored VS Derived.

Value can be

known only by

Supply it

Value can be obtained

from other stored

attr.

Ex - DOB

For Age

## Key Constraints

→ Unique value for each entity in the set.

STUDENT (NAME, ROLL, Ph-No, Age, DOB)

can have multiple  
values.

→ derived.

## Relationship

→ Association between entities.

→

Relationship Set - Set of similar relationship.

date of admission



## Constraints on Binary Relation

Mapping constraint

participation constraint

Structural constraint

$A \leftarrow B$

$1:1 \rightarrow$  An element of Set A can have (one to one) association with at most one element of set B and vice-versa.

A to B

one to many  $\rightarrow$  An element can have association with zero or more no. of elements of B. But an element of B can have association with at most one element in A.

A to B

many to one

$N:1$

A to B

many to many

( $N:M$ )

Attribute  $\rightarrow$  Value set is  $V$ .

An attribute A of an entity type E is a function from E to  $P(V)$  (Power set of V).

$A: E \rightarrow P(V)$

$P(V) \rightarrow$  Set of all possible subsets of V.

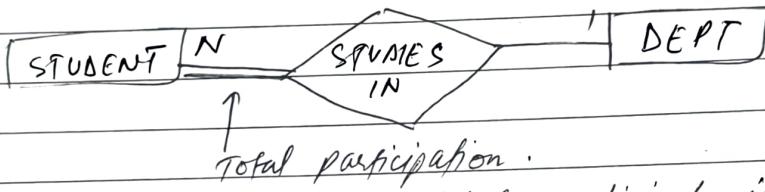
$A \rightarrow \text{composite}$

$A_1, A_2, \dots, A_n$   
 $P(A_1) P(V_1) P(V_n)$   $V_i$  is the value set of  $A_i$

$\rightarrow \text{Value set}(A) = P(V_1) \times P(V_2) \times \dots \times P(V_n)$

Participation constraint

- Also, known as minimum cardinality constraint.
- Total, partial.



Total participation.

→ An entity type totally participates in a relation, mean each entity from corresponding entity set must get associated with at least one entity of the other related type.

- Total participation is also called existence dependency.
- Entity type taking part totally in a relation is existentially dependent on the related type.

Relational Model → collection of relations.

↳ Map each entity type into a relation (relation model).

STUDENT (ROLL, REGD-NO, NAME, PH-NO, DOB)

In case there is any composite attribute, replace it by constituent simple attr.

DOB → day, month, year.

In case there is any multivalued attr., then put it remove it and place in a separate reln, copy key attr.

PH-LIST (ROLL, PH-NO)

FK      to  
composite  
key

Rel will be a foreign key also

Mapping 1:1 Binary relation :

A ( $a_1, a_2, \dots, a_n$ )

Foreign key based approach

B ( $b_1, b_2, \dots, b_n, a_1$ )

→ Better in the entity type that totally participates in the reln, copy the key of related entity type and it will be foreign key.

→ The entity type with key of other types will also have the attributes of relationship if any.

Merged relation approach

ARB ( $a, a_2, \dots, a_n, b_1, b_2, \dots, b_n, \gamma$ )

→ If both are totally participating, else lots of null values.

Cross Referencing RELN / RELATIONSHIP RELN / LOOKUP TABLE approach



A ( $a_1, a_2, \dots, a_n$ )

Separate relation for the relationship

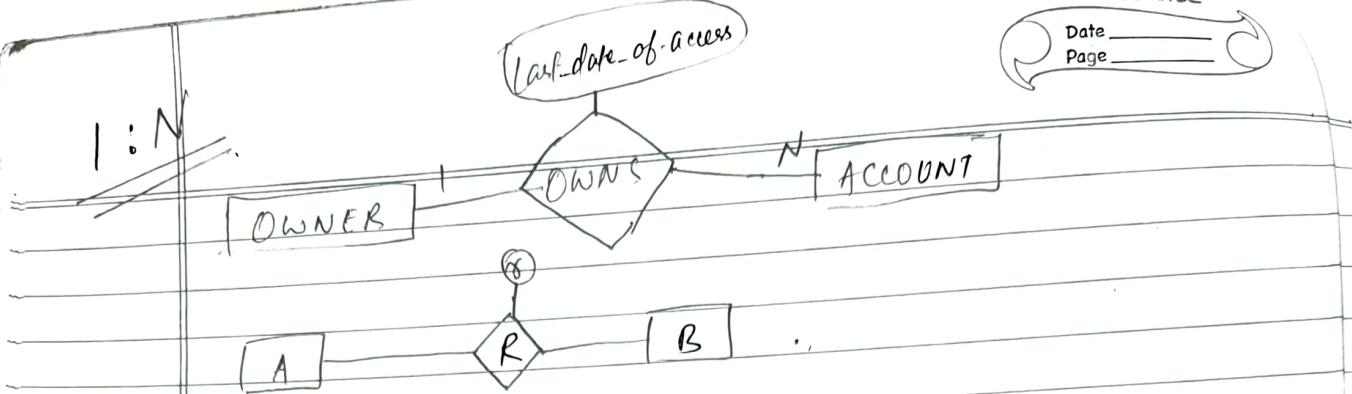
B ( $b_1, b_2, \dots, b_n$ )

attr. will be → key of both the

R ( $a, b, \gamma$ )

entity types and its own attr (if any).

→ Each key will be foreign key here, both are individual keys can act as key of this reln - reln.



$A(a_1, a_2, \dots, a_n)$   
 $B(b_1, b_2, \dots, b_n)$

Foreign key Based

- In many side, copy the key of other entity type and it will be foreign key.
- Also copy attr. of reln (if any).

Lookup table

$R(a_1, b_1, \gamma)$

key of many side  $\rightarrow$  key.  
 copied key of entity type  $\rightarrow$  FK.

Many To Many



$A(a_1, a_2, \dots, a_n)$

$B(b_1, b_2, \dots, b_n)$

LOOKUP TABLE APPROACH

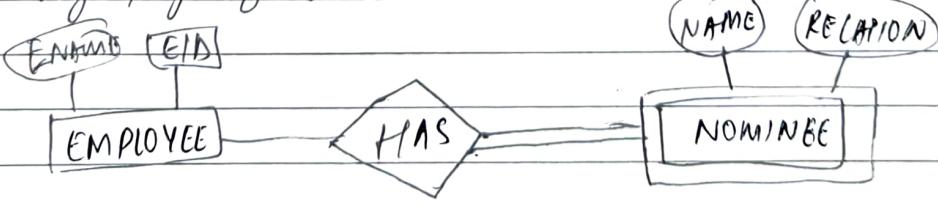
$R(a_1, b_1, \gamma)$

FK FK

composite key

Weak Entity Type

→ weak entity type is an entity type which does not have any key of its own.



- weak entity type totally participates in the relationship with the entity type on which its existence depends.
- This relationship is called identifying relation.
- To identify an entity of weak entity type, one must have corresponding entity on which it depends.
- By traversing the relation one can obtain subset of weak entity set which are related to corresponding determining entity.
- In this subset, attribute(s) that can be used for individual weak entity is called the partial key.

(Unique value in the subset)

Mapping weak entity type:

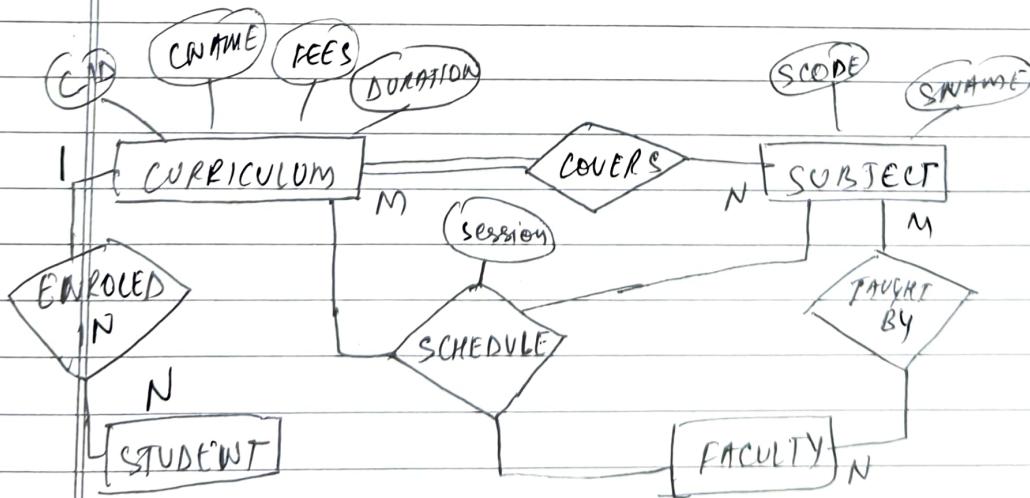
A separate reln.

Attr - Its own attr.  $\cup$  key of the entity on which it depends.

NOMINEE (ECODE, NAME, RELATION).

(FK)

Key  $\Rightarrow$  Partial key  $\cup$  key of different type.



## EER (ENHANCED ER DIAGRAM)

→ Generalisation - Specialisation

CLASS / SUBCLASS RELN.  
 ← SUPERCLASS      INHERITANCE

→ Certain attributes may be applicable only for a subset of an entity set.

→ A subset of an entity set take part in an association.

→ In a class - sub class reln. A subclass will have only one parent . Parent can have no. of subclasses.

④ PARTICIPATION CONSTRAINT & DISJOINTNESS CONSTRAINT

↳ Each quantity of superclass set must belong to at least one of the subclasses . Then total participation else partial .

Subclass Attr ⇒ All superclass attr. ∪ its own attr .

→ Key of super class will also be key and also FK ,

DISJOINTNESS CONSTRAINT

Overlapped

→ A superclass element can belong to more than one subclass .  
 → at most one ⇒ disjoint .

SUPERCLASS - SUBCLASS RELN. / INHERITANCE

→ In a superclass-subclass reln. there is one superclass .

A superclass element  
↓

Belongs to which subclass?  
↓

Predicate  
or  
be  
defined  
After  
defined  
subclasses  
→ Maybe based on the value of a superclass attribute.

Otherwise user defined.  
↓

With multiple relations

→ For a superclass there is a reln.  
for each ~~reln~~ subclass, one reln.

It will have key of superclass as both key and FK of the subclass.

Additional attr SUB1(A1, B1, B2, B3) . ↗  
FK

SUB2(A1, C1, C2, C3) .  
PK

→ No relation for superclass.

for each subclass, one reln.

attr. of superclass ∪ its own attr.

Key of superclass will be the key.

B1(A1, A2, A3, B1, B2, B3)

B2(A1, A2, A3, C1, C2, C3)

With Single Relation

→ attr. of superclass ∪ attr. of subclass1 ∪ attr. of subclass2

$A(a_1, a_2, a_3, b_1, b_2, b_3, c_1, c_2, c_3 \dots \text{TYPE})$

→ key of super class will be the key.

which  
sub class

Disjoint → Single type

Overlapped → Multiple types

TYPE → Binary string of length  $N$

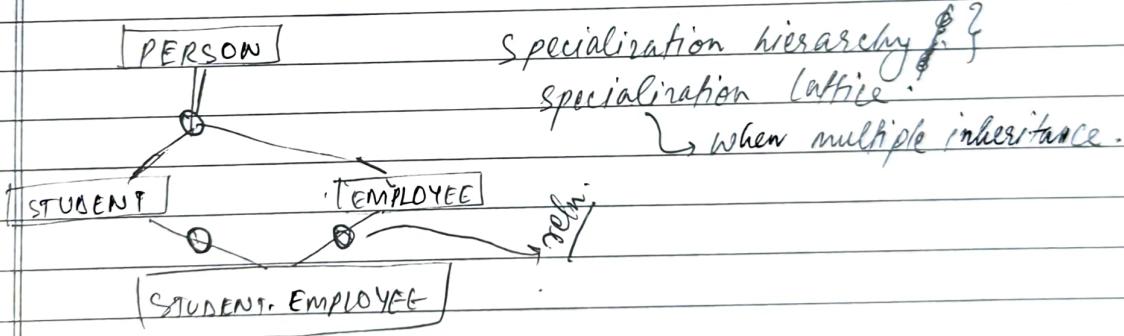
$N \in T_i = 1, \text{Belongs to type } i.$

→ If additional attr. are quite large in no., then for a large amount of null values will be there.

→ If less no. of additional attr., then it may be used

### MULTIPLE INHERITANCE

→ A subclass is taking part in multiple superclass-subclass relation.



→ In a single association, a subclass will have multiple super classes and that represents different kind of entities  
→ Subclass is union/category.

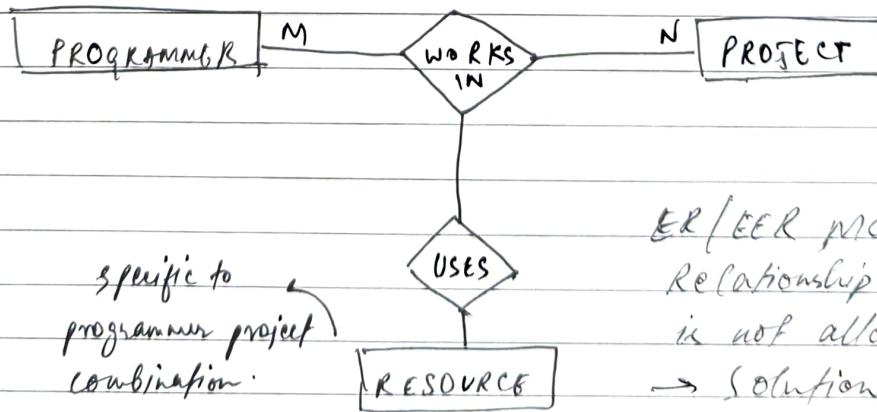
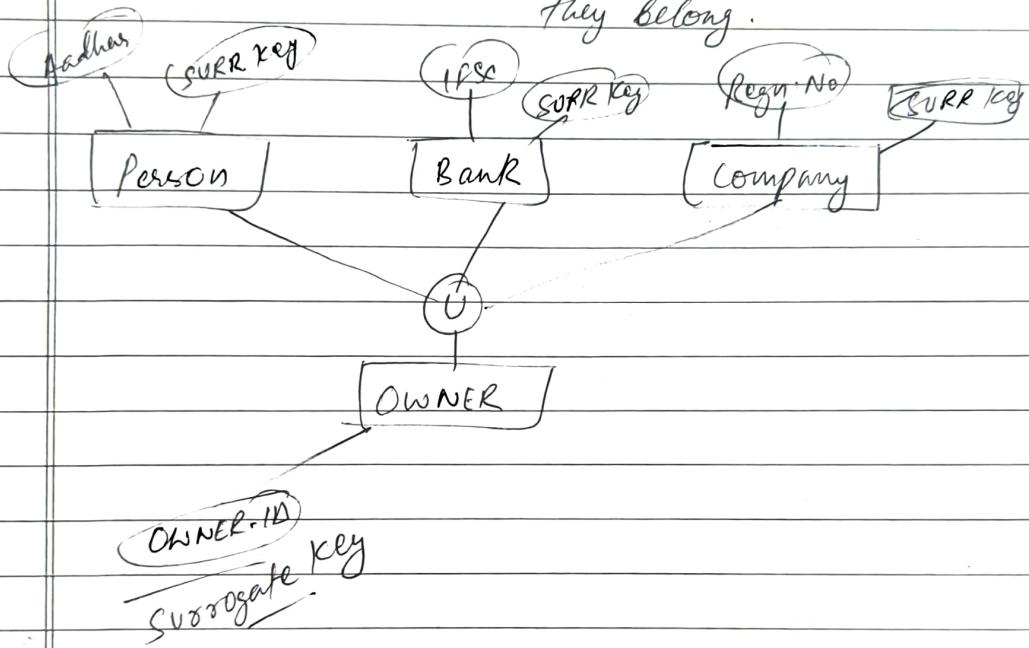
SUBCLASS

↳ Subset of intersection of superclass elements . . .

Category

↳ Subset of union of superclass elements . . .

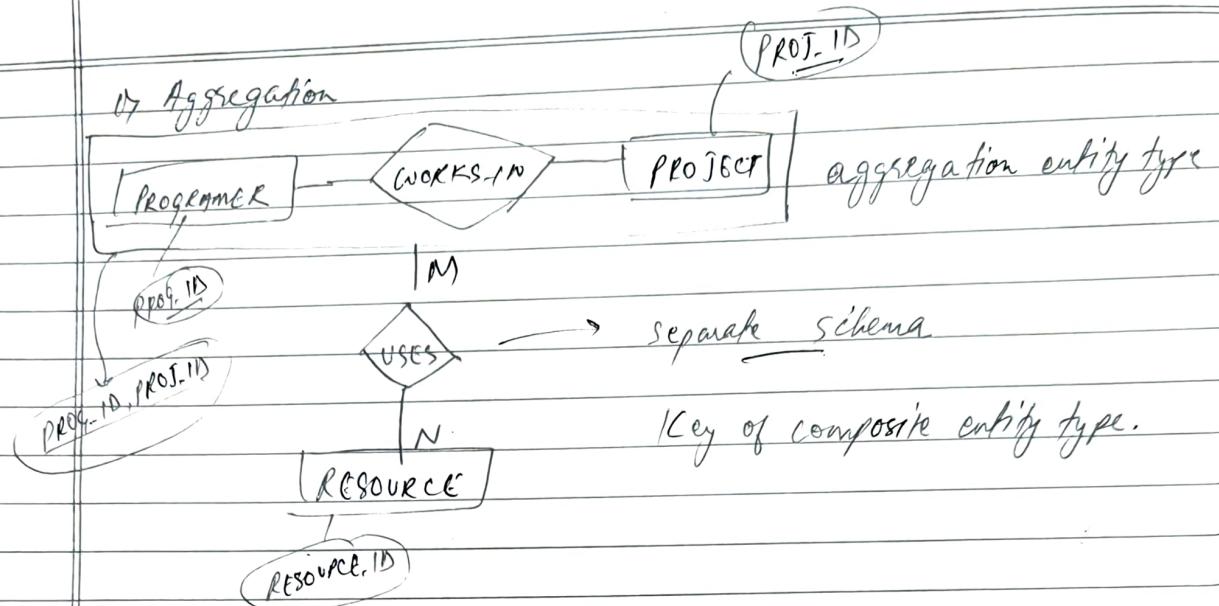
Attribute of category  $\rightarrow$  depends on which superclass elements they belong .



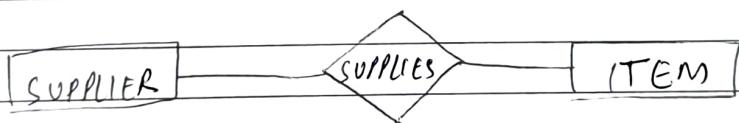
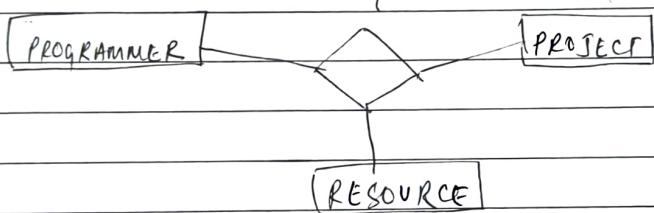
ER/EER MODEL

Relationship with relation  
is not allowed .→ Solutions in  
next page .

or Aggregation

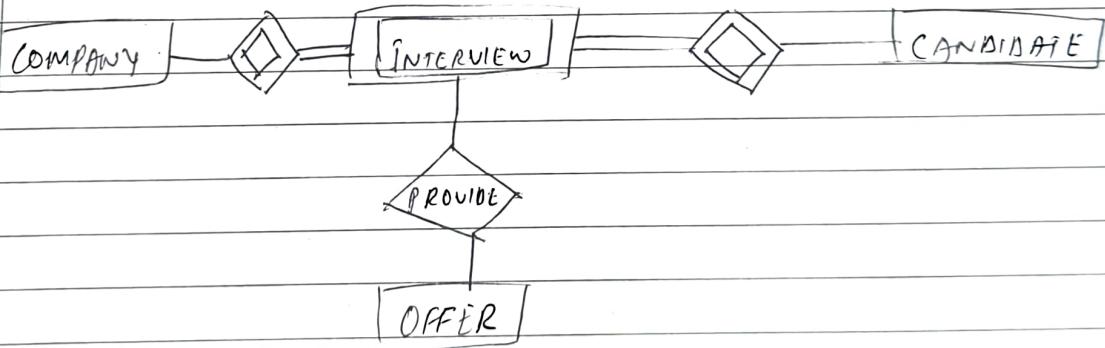
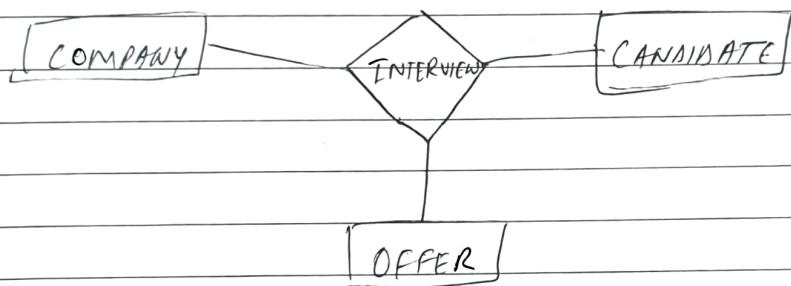
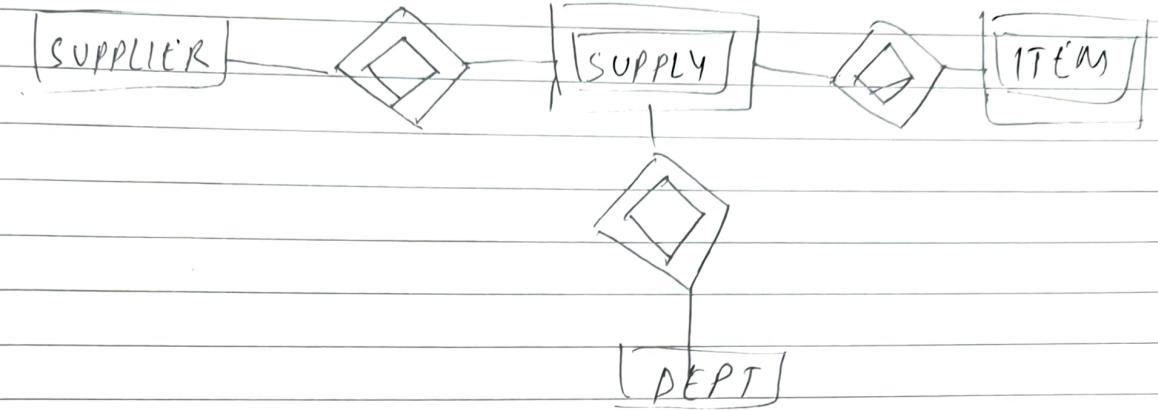


degree = 3



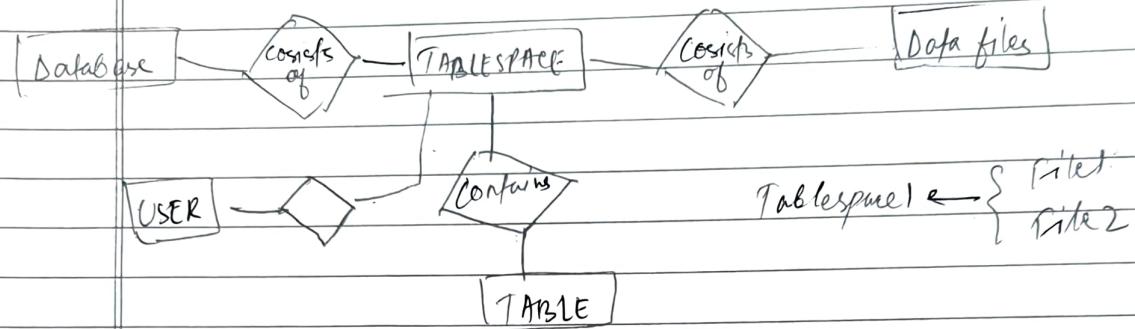
- SUPPLIER (V-ID, - )
- ITEM (I-CODE, - - )
- DEPT (D-CODE, - - )
- SUPPLY (V-ID, I-CODE, D-CODE, QTY, - - - )

→ convert the ternary reln. in a weak entity type and if must totally participate with the related entity types (in original ternary reln.) through identifying reln.



# SQL (Structured Query Language)

→ DDL statement → to define a reln.



User → Tablespace1 → Table  
Table 2  
Table 3.

User2 → Tablespace1 → Table9.  
Table5

System tablespace → gets created when Oracle is installed.

Eg - DEPT (ACODE, DNAME).

SQL > CREATE TABLE DEPT

(ACODE CHAR(5),  
DNAME CHAR(10)); → press Enter

→ termination of the command  
and execute.

## SQL BUFFER

Stores the last SQL command.

press enter at blank line, terminate the command.

ACODE CHAR(5) PRIMARY KEY CONSTRAINT PK\_DEPT  
optional.

when violated, gives errors with constraint name

CHAR(size)

NUMBER(7, 2)

Total no. of digits      No. of places  
                                after decimal.

Ex- STUDENT(ROLL, NAME, REGDNO, DOB - ACODE)

    ↳ from dept.

SQL > CREATE TABLE STUDENT

(ROLL NUMBER(3,0) PRIMARY KEY,

REGD-NO CHAR(10) UNIQUE,

NAME CHAR(15) NOT NULL,

DOB DATE

ACODE CHAR(5) REFERENCES DEPT(ACODE);

SUBJECT(SCODE, SNAME, ...)

STUDENT(ROLL, ...)

ATTENDANCE(ROLL, SCODE)

SQL > CREATE TABLE ATTENDANCE,

of far end we have to specify for composite PK.  
PRIMARY KEY (ROLL, SCODE).

RESULT (ROLL, SCODE, SCORE).

SQL > CREATE TABLE RESULT

(ROLL NUMBER(3,0),

SCODE CHAR(5),

SCORE NUMBER(3,0) CHECK(SCORE >= 0)

FOREIGN KEY (ROLL, SCODE) REFERENCES

ATTENDANCE (ROLL, SCODE)).

~~GRADE~~

→ GRADE CHAR(1) CHECK(GRADE IN ('A', 'B', ..., 'E'))

OR

GRADE CHAR(1) CHECK(GRADE BETWEEN 'A' AND 'E')

After. Type and size DEFAULT value.

DEPT (DCODE, ANAME).

STUDENT(ROLL, REGD.NO, NAME, DOB, DCODE).

VALUES

SQL > INSERT INTO DEPT('01', 'CSE'); ↵

→ INSERT INTO DEPT(DCODE) VALUES ('01')

SQL PLUS COMMANDS > DESC STUDENT

→ gives information (after)  
for schema.

SQL > SELECT FROM STUDENT

' WHERE DCODE = '01'

SQL > UPDATE STUDENT

SET SCORE = '01'

WHERE ROLL = 1 ;

SQL > DELETE

FROM STUDENT

WHERE

DD - MON - YYYY

STUDENT(ROLL, NAME, DOB, SCORE)

↓  
DATE

SQL > INSERT INTO STUDENT VALUES (1, 'ABC', '02-FEB-2010', 'D1');

TO\_DATE (date string, format) → 'DD/MM/YY'

DD → Numeric day of month MM → Num Month

YYYY → Year HH → hour MI → Minutes

SS → Seconds

SQL > SELECT ROLL, TO\_CHAR(DOB, 'MONTH, YYYY') .

SQL > SELECT ROLL, NAME FROM STUDENT

WHERE SCORE = '01'

SQL > SELECT \* FROM STUDENT WHERE SCORE IS NULL  
Acolumns . SCORE IS NOT NULL

Students born after 2004.

SQL > SELECT FROM STUDENT WHERE DOB > '31-DEC-2004'  
OR TO\_DATE (datestring, format)

SUBJECT (SCODE, SNAME)

STUDENT (ROLL, - - )

RESULT (ROLL, SCODE, SCORE)

Name starts with RA.

SQL > SELECT \* P

FROM STUDENT

WHERE NAME LIKE 'RA%'

'RA\_'

✓ matches with

RAM

any single char

RAAM  
RAHIM

matches with zero/any no  
of any char.

## Built-in function

### SINGLE ROW FUNCTION

(Acts on individual row  
and provides an output)

### AGGREGATE FUNCTION

(Acts on a set of rows  
and for a set of single  
outcome).

## NUMERIC

FLOOR (number)

CEIL (number)

POW (m, n)  $\rightarrow m^n$

MOD (m, n)  $\rightarrow m \% n$

TRUNCATE (number, upto which place)

TRUNCATE (175.7831, 2)  $\rightarrow 175.78$

, 0  $\rightarrow 175$

, -1  $\rightarrow 170$

ROUND (num, upto which place)

$\text{ROUND}(175.7831, 2) \rightarrow 175.78$

→ 1 → 175.8  
0 → 176  
-1 → 180

DUAL → SINGLE DUMMY ROW

• Can be used for testing functions.

SELECT ROUND(num, dig) FROM DUAL .

### STRING

LENGTH(STRING)

ASCII(char)

UPPER(string)

LOWER("")

INITCAP(STRING) First letter of each word capitalized.

RTRIM(str) Trim empty spaces right.

LTRIM(str) " " " " left

SUBSTR(string, posn, no.of chars)

Starting from nth position n chars will be returned.

Students born in feb .

SQL > SELECT ROLL, NAME

FROM STUDENT

WHERE SUBSTR(TO\_CHAR(DOB, 'DD.MM.YYYY'), 4, 2) = '02'

`INSTR(String, substring, start_pos, which occurrence)`

look for a substring in a string. <sup>By default → 1</sup>

`MONTHS_BETWEEN(date1, date2)`

`ADD_MONTHS(date1, n)` months added to date.

`LAST_DAY(date)`

→ last day of the month in the date.

SQL > `SELECT * FROM RESULT`

`ORDER BY ROLL,`

`ORDER BY SCORE`

ROLL SCORE

1 S1

2 S1

3 S1

1 S2

2 S2

ROLL

1

2

2

SQL > `SELECT * FROM STUDENT RESULT`

`ORDER BY ROLL, SCORE`

Roll score

1 S1

1 S2

1 S3

2 S1

2 S2

2 S3

By default if is  
ascending, for descending

→ `ORDER BY X DESC`

SUM { ignores null.  
AVG }.

COUNT → ignores null.

MAX { → handle null  
MIN }

VARIANCE

STDDEV:

SELECT COUNT(ROLL) FROM STUDENT;

COUNT(DISTINCT ATTR).

Ex - COUNT(DISTINCT ACODE) no. of dep't with  
students in them.

SQL> SELECT AVG(SCORE), ROLL  
FROM RESULT  
WHERE UPPER(SCODE) = 'S1'

{ cannot  
write  
this attribute  
with an  
aggregate  
function? }

SQL> ORDER BY SUM(SCORE) DESC.

SQL> SELECT ROLL, SUM(SCORE)  
FROM RESULT  
WHERE SCODE IN 'EVS'  
GROUP BY ROLL  
HAVING SUM(SCORE) > 400.  
ORDER BY SUM(SCORE)

To get dept. name for every roll,

```
SELECT ROLL, DNAME  
FROM STUDENT, DEPT.  
WHERE STUDENT.DCODE = DEPT.DCODE
```

Subject name and average score.

```
SQL > SELECT SNAME, AVG(SCORE)  
FROM SUBJECT S, RESULT R  
WHERE S.SCODE = R.SCODE  
GROUP BY S.SCODE, SNAME
```

### SIMPLE SUBQUERY

```
SELECT NAME  
FROM STUDENT  
WHERE DCODE =  
(SELECT DCODE  
FROM DEPT  
WHERE UPPER(DNAME) = 'CSE')
```

- Inner query is executed first, outcome of inner query is used in evaluating the outer query.
- Type of result returned by inner query must be compatible with the type expected by outer query.
- Inner query must return a single value else special measure has to be taken.

SQL > SELECT MAX(SCORE)  
       FROM RESULT,  
       WHERE SCORE IS NOT NULL

SQL > UPDATE RESULT  
       SET SCORE = SCORE + 5  
       WHERE SCORE IS NOT NULL  
       AND SCODE = 'S1'

### CORRELATED SUBQUERY

→ Get dept with at least 10 students.

SQL > SELECT DNAME  
       FROM DEPT D  
       WHERE 10 ≤  
           ( SELECT COUNT(\*)  
             FROM STUDENTS  
             WHERE S.DCODE = D.DCODE )

- Tuple of outer query is referred in inner query.
- Takes a tuple from the reln. of outer query say, candidate tuple.
- For the cand. key, inner query is executed. Result is used to decide the action on cand. tuple.
- Inner query is executed no. of times.

SQL > DELETE FROM DEPT D  
       WHERE D =  
           ( SELECT COUNT(\*)  
             FROM STUDENTS  
             WHERE D.DCODE = S.DCODE )

Efficient approach:

`SELECT DNAME FROM DEPT D`

`WHERE EXISTS .`

`{ (SELECT * FROM STUDENT S`

`WHERE D.DCODE = S.DCODE )`

If it returns at least one tuple in the op,  
exists  $\Rightarrow$  true.

$\rightarrow$  if backlog table is present

`INSERT INTO BACKLOG`

`SELECT ROLL, SCODE`

`FROM RESULT`

`WHERE SCORE`

`IS NULL OR SCORE < 40`

$\rightarrow$  Create a backlog table.

`COL> CREATE BACKLOG`

`AS`

`SELECT ROLL, SCODE`

`FROM RESULT`

`WHERE SCORE < 40`

`CREATE BACKLOG (ROLL NO, SUB. CODE)`

`;`

`ALTER TABLE tablename.`

`ADD (column descp1,`

`column descp2 )`

`MODIFY (column descp1,`

`column descp2 )`

## DATABASE DESIGN :

ER Model



Mapping to reln.

formal way of designing .

↳ 2nd stage

NORMALIZATION

→ Based on the concept of key constraint  
and functional dependency

→ In a relation , we have a set of attributes

semantically related ,  
interpretation associated .

Guideline for designing the database :

1) in a schema , we will have only one type of entity or relationship type . It should be easy to interpret .

update anomalies and redundancy -

e.g. STUA (roll, Name, class, addrs, courses, cname, duration, fees)

→ insert Anomaly .

New course → No student .

For student attr. → allow null values .

If key is null → not allowed . → redundancy .

No. of students in a course → course info repeated .

→ deletion anomaly .

Deleting a student tuple and he/she is the only student for a course . So course info is also lost .

modification anomaly .

If course info changed , it has to be changed for every student taking that course .

2) Design must be to minimize / remove redundancy and update anomalies.

### NULL VALUE:

- If we have a fat reln. (large no. of attr., may be of different entity relationship type)
- subset of attr. may be null for a tuple
- Attr. that are not applicable - null.
- NULL → wastage of space
  - problem in joining.
  - aggregate problem.

3) Design to avoid at least frequent occurrence of null value.

→ If joined based on arbitrary attr. → may give rise to irrelevant tuples  
spurious tuples.

4) Joining does not give rise to spurious tuples.  
Joining will be based on primary key / foreign key.

### Functional Dependency:

→ X and Y are subset of attr. of the schema R.

$X \rightarrow Y$  is a funct. dependency that holds on R provided, for any two tuples  $t_1$  and  $t_2 \in R$  if  $t_1[x] = t_2[x]$ , then  $t_1[y] = t_2[y]$

Ex - RESULT (roll, score, grade)

$\text{SCORE} \rightarrow \text{GRADE}$  → if we know value of  
 $X$        $Y$ .      → if we know value of  $X$ , we know value of  $Y$ .  
 → for student having same  $X$ ,  
 → they will have same  $Y$ .

- Functional dependency is specified on schema.
- At an instance, each tuple in  $r(R)$  must satisfy the F.D (func. dependency).
- Each  $t \in r(R)$  satisfies each  $F.D \in F$  then  $r(r)$  is a legal/valid state.

→ If  $X$  is a candidate key.  
 $X \rightarrow Y$ ,  $Y$  is any subset of  $R$ .  
 i.e  $X \rightarrow R$ .

→ If  $X \rightarrow Y$   
 it does not guarantee  $Y \rightarrow X$ .  
 $\text{Ex} \rightarrow \text{S0R SCORE} \rightarrow \text{GRADE}$   
 $\text{GRADE} \rightarrow \text{SCORE} \times$

→  $R(A, B, C, D, \dots)$

$A \rightarrow B$      $|$      $A \rightarrow C$ .

Given a set of func. dependencies  $F$  specified on reln  $R$ .  
 we can infer other func. depa.

→ Closure of func. Depnd. set  $\cdot (F^+)$ .

→ Set of all possible F.D that can be inferred.  
F and holds on  $r(R)$ .

### INFERENCE RULES :

IR1 → Reflexivity Rule  $\quad \text{if } X \rightarrow Y \text{ if } Y \subseteq X$

<sup>Armstrong Axioms</sup> IR2 → Augmentation Rule  $\quad \text{if } X \rightarrow Y \text{ then } XZ \rightarrow WY$

IR3 → Transitive Rule  $\quad \text{if } X \rightarrow Y \text{ and } Y \rightarrow Z \text{ then } X \rightarrow Z$

IR4 → Decomposition Rule  $\quad \text{if } X \rightarrow Y_2, \text{ then } X \rightarrow Y_1, X \rightarrow Z$

<sup>Extension</sup> IR5 → Union Rule  $\quad \text{if } X \rightarrow Y \text{ and } X \rightarrow Z, \text{ then } X \rightarrow YZ$

IR6 → Pseudo transitive rule  $\quad \text{if } X \rightarrow Y \text{ and } WY \rightarrow Z, \text{ then } WX \rightarrow Z$ .

→ Armstrong axioms are sound and complete.

Given a F.D set  $F$  on  $R$ , any F.D inferred from  $F$  using armstrong's axioms will be valid and holds on  $R$ .

complete - By successive application of armstrong's axioms of  $F$  till no new inference can be made, all possible F.D on  $R$  can be obtained.  
Closure of  $F$ .