

FUNDAMENTAL OF DATA STRUCTURES: DESIGN AND ANALYSIS

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June 13, 2018

SCOPE OF THE LECTURE

- ▶ BINARY SEARCH TREES, RANGE TREES AND KD-TREES

We consider 1-d and 2-d range queries for point sets.

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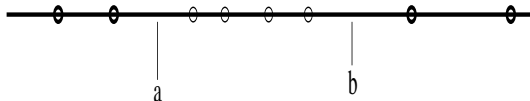
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Planar point location

- ▶ **$\frac{1}{r}$ -CUTTINGS, MANY FACES COMPLEXITY, INCIDENCES**

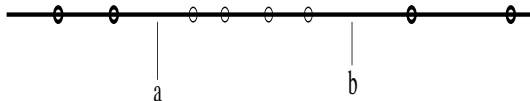
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1-DIMENSIONAL RANGE SEARCHING



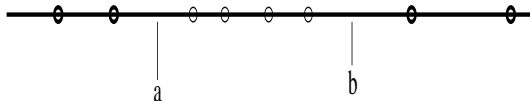
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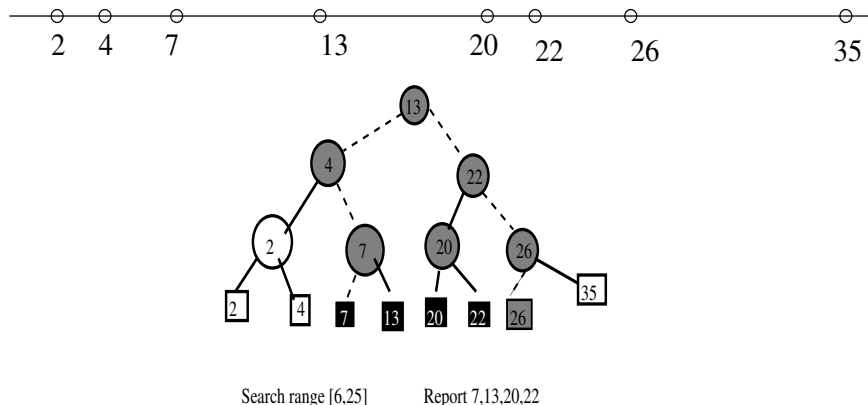
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- ▶ Using binary search on an array we can answer such a query in $O(\log n + k)$ time where k is the number of points of P in $[a, b]$.

1-DIMENSIONAL RANGE SEARCHING



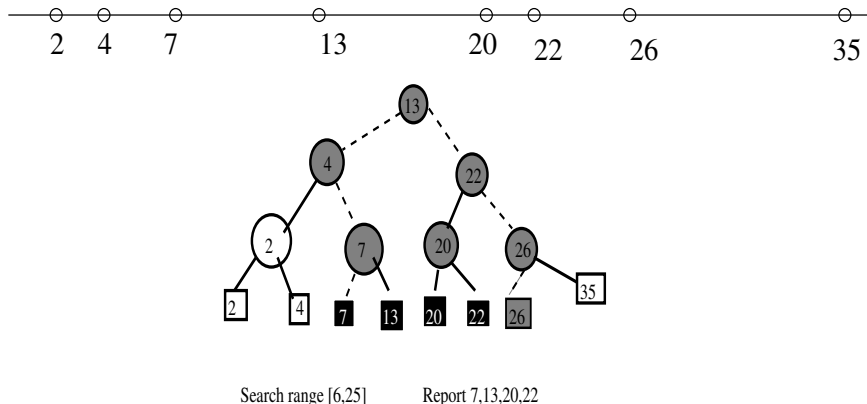
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- ▶ Using binary search on an array we can answer such a query in $O(\log n + k)$ time where k is the number of points of P in $[a, b]$.
- ▶ However, when we permit insertion or deletion of points, we cannot use an array answering queries so efficiently.

1-DIMENSIONAL RANGE SEARCHING



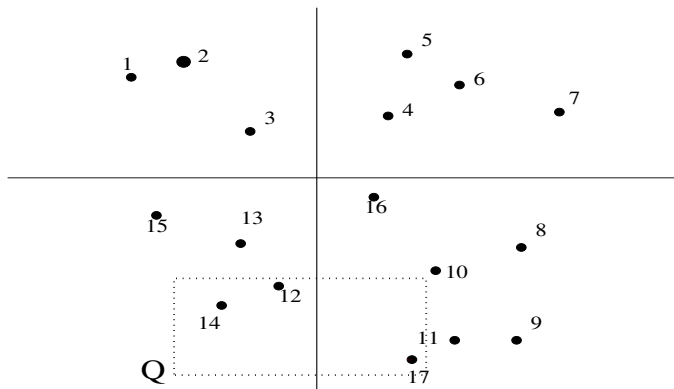
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1-DIMENSIONAL RANGE SEARCHING



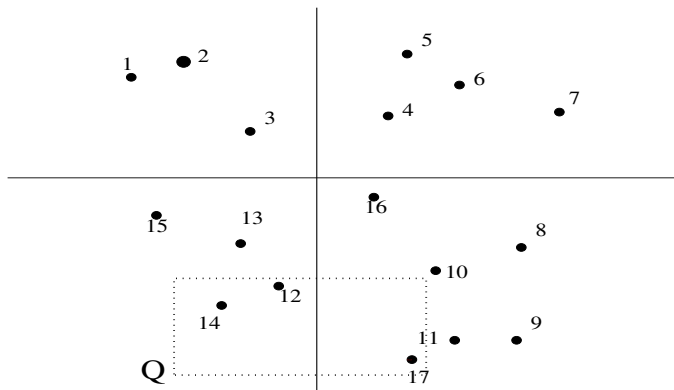
- ▶ We use a *binary leaf search tree* where leaf nodes store the points on the line, sorted by x-coordinates.
- ▶ Each internal node stores the x-coordinate of the rightmost point in its left subtree for guiding search.

2-DIMENSIONAL RANGE SEARCHING



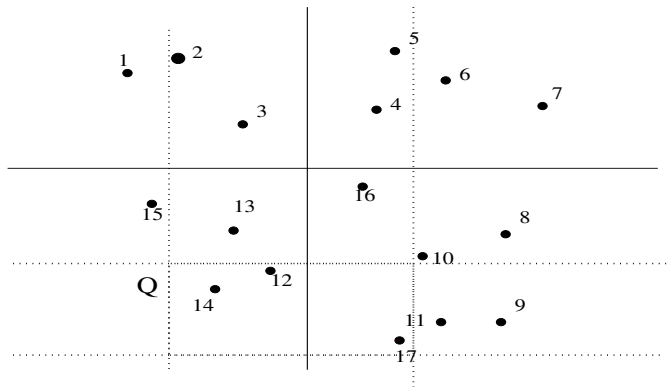
- Problem: Given a set P of n points in the plane, report points inside a query rectangle Q whose sides are parallel to the axes.

2-DIMENSIONAL RANGE SEARCHING



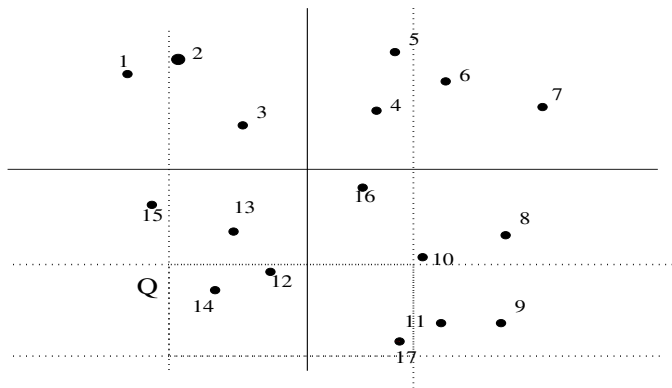
- ▶ Problem: Given a set P of n points in the plane, report points inside a query rectangle Q whose sides are parallel to the axes.
- ▶ Here, the points inside R are 14, 12 and 17.

2-DIMENSIONAL RANGE SEARCHING



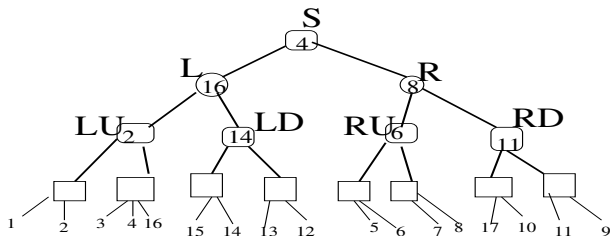
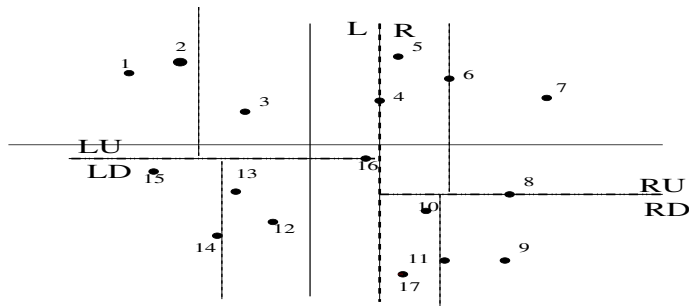
- Using two 1-d range queries, one along each axis, solves the 2-d range query.

2-DIMENSIONAL RANGE SEARCHING



- ▶ Using two 1-d range queries, one along each axis, solves the 2-d range query.
- ▶ The cost incurred may exceed the actual output size of the 2-d range query.

2-DIMENSIONAL RANGE SEARCHING: KD-TREES



2-DIMENSIONAL RANGE SEARCHING

- ▶ The tree T is a perfectly height-balanced binary search tree with alternate layers of nodes spitting subsets of points in P using x- and y- coordinates, respectively as follows.

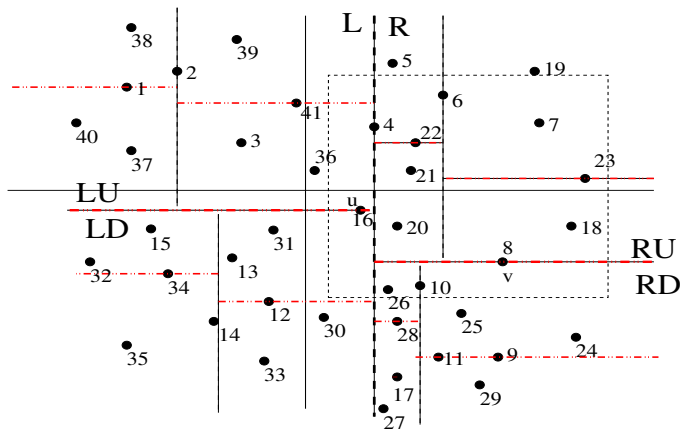
2-DIMENSIONAL RANGE SEARCHING

- ▶ The tree T is a perfectly height-balanced binary search tree with alternate layers of nodes spitting subsets of points in P using x - and y - coordinates, respectively as follows.
- ▶ The point r stored in the root vertex T splits the set S into two roughly equal sized sets L and R using the median x -coordinate $x_{median}(S)$ of points in S , so that all points in L (R) have abscissae less than or equal to (strictly greater than) $x_{median}(S)$.

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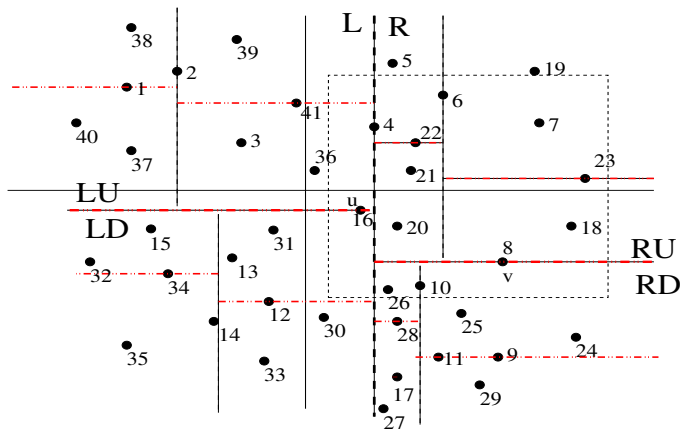
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- ▶ The entire plane is called the *region*(r).

ANSWERING RECTANGLE QUERIES



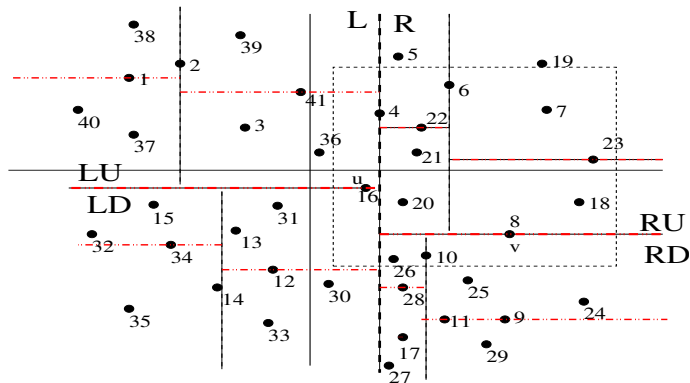
- ▶ A query rectangle Q may overlap a region or completely contain a region.

ANSWERING RECTANGLE QUERIES



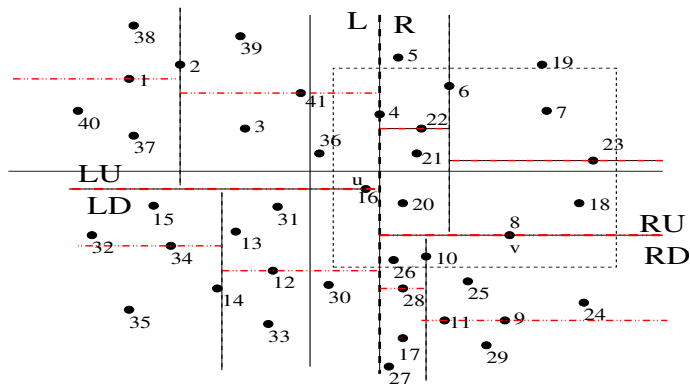
- ▶ A query rectangle Q may overlap a region or completely contain a region.
- ▶ If R contains the entire bounded $region(p)$ of a point p defining a node of T then report all points in $region(p)$.

2-DIMENSIONAL RANGE SEARCHING: KD-TREES [1]



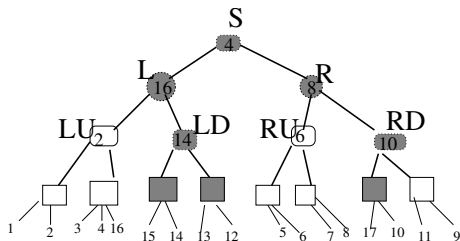
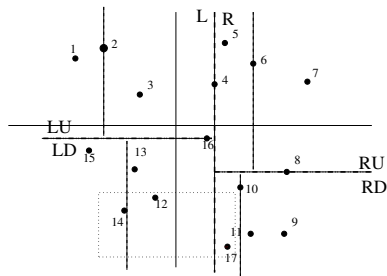
- ▶ The set L (R) is split into two roughly equal sized subsets LU and LD (RU and RD), using point u (v) that has the median y -coordinate in the set L (R), and including u in LU (RU).

2-DIMENSIONAL RANGE SEARCHING: KD-TREES [1]



- ▶ The set L (R) is split into two roughly equal sized subsets LU and LD (RU and RD), using point u (v) that has the median y -coordinate in the set L (R), and including u in LU (RU).
- ▶ The entire halfplane containing set L (R) is called the *region*(u) (*region*(v)).

TIME COMPLEXITY OF RECTANGLE QUERIES



TIME COMPLEXITY OF OUTPUT POINT REPORTING

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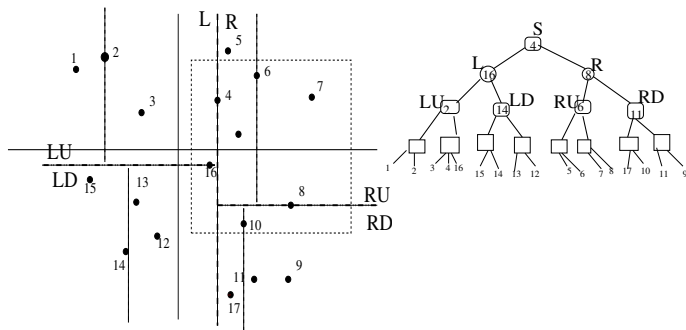
TIME COMPLEXITY OF OUTPUT POINT REPORTING

- ▶ Reporting points within R contributes to the output size k for the query.
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- ▶ So, the cost of inspecting points outside R but within the intersection of leaf level regions of T can be charged to the internal nodes traversed in T .

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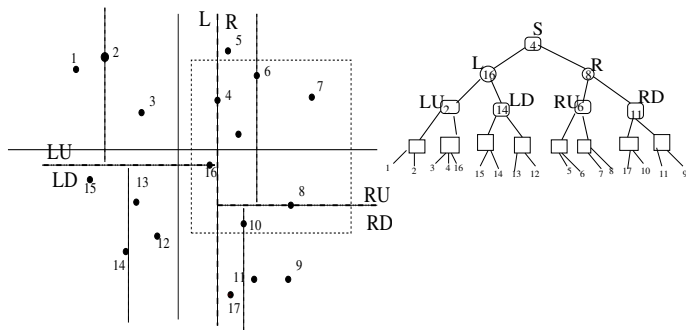
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- ▶ So, the cost of inspecting points outside R but within the intersection of leaf level regions of T can be charged to the internal nodes traversed in T .
- ▶ This cost is borne for all leaf level regions intersected by R .

TIME COMPLEXITY OF TRAVERSING THE TREE



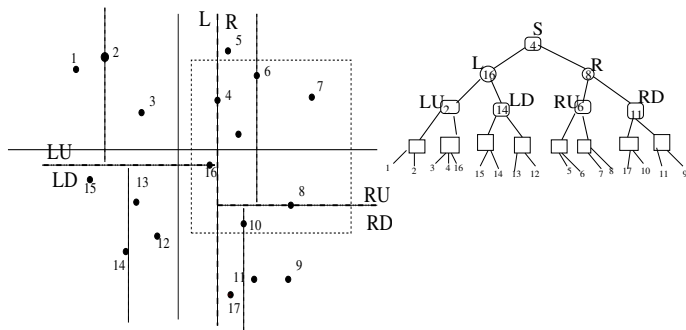
- It is sufficient to determine the upper bound on the number of (internal) nodes whose regions are intersected by a single vertical (horizontal) line.

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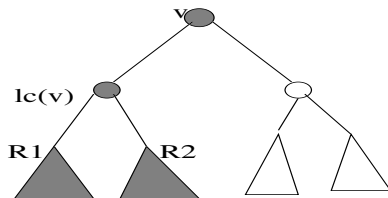
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- ▶ Any vertical line intersecting S can intersect either L or R but not both, but it can meet both RU and RD (LU and LD).
- ▶ Any horizontal line intersecting R can intersect either RU or RD but not both, but it can meet both children of RU (RD).

TIME COMPLEXITY OF RECTANGLE QUERIES

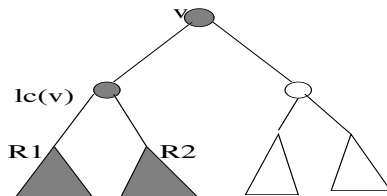


- Therefore, the time complexity $T(n)$ for an n -vertex Kd-tree obeys the recurrence relation

$$T(n) = 2 + 2T\left(\frac{n}{4}\right)$$

$$T(1) = 1$$

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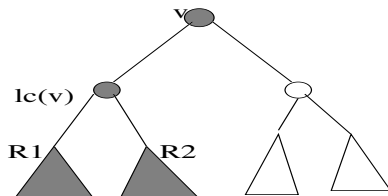
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- The solution for $T(n) = O(\sqrt{(n)})$.
- The total cost of reporting k points in R is therefore $O(\sqrt{(n)} + k)$.

RANGE SEARCHING WITH KD-TREES AND RANGE TREES

- ▶ Given a set S of n points in the plane, we can construct a Kd-tree in $O(n \log n)$ time and $O(n)$ space, so that rectangle queries can be executed in $O(\sqrt{n} + k)$ time. Here, the number of points in the query rectangle is k .

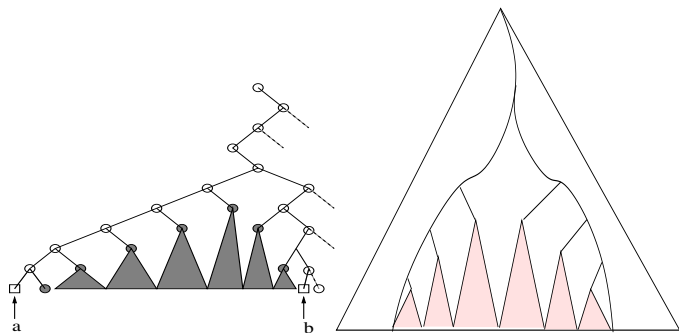
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- ▶ Given a set S of n points in the plane, we can construct a range tree in $O(n \log n)$ time and space, so that rectangle queries can be executed in $O(\log^2 n + k)$ time.

RANGE SEARCHING WITH KD-TREES AND RANGE TREES

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- ▶ Given a set S of n points in the plane, we can construct a range tree in $O(n \log n)$ time and space, so that rectangle queries can be executed in $O(\log^2 n + k)$ time.
- ▶ The query time can be improved to $O(\log n + k)$ using the technique of *fractional cascading*.

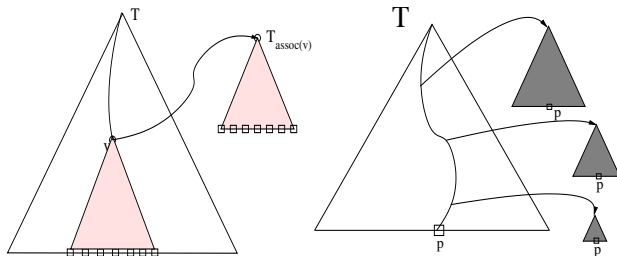
RANGE SEARCHING IN THE PLANE USING RANGE TREES



Given a 2-d rectangle query $[a, b] \times [c, d]$, we can identify subtrees whose leaf nodes are in the range $[a, b]$ along the X-direction.

Only a subset of these leaf nodes lie in the range $[c, d]$ along the Y-direction.

RANGE SEARCHING IN THE PLANE USING RANGE TREES

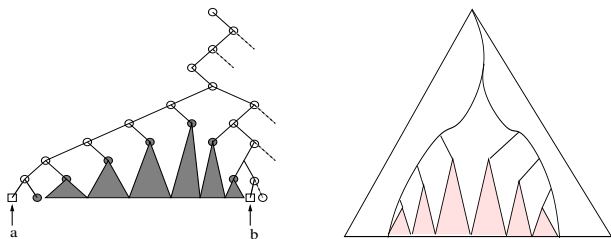


$T_{assoc(v)}$ is a binary search tree on y-coordinates for points in the leaf nodes of the subtree rooted at v in the tree T .

The point p is duplicated in $T_{assoc(v)}$ for each v on the search path for p in tree T .

The total space requirements is therefore $O(n \log n)$.

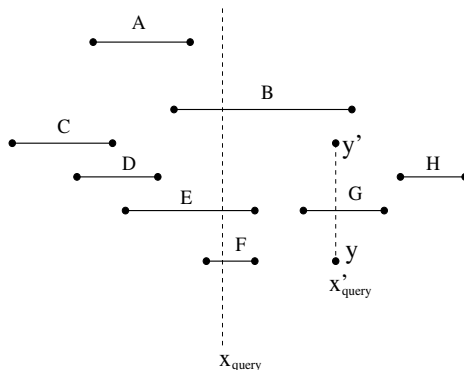
RANGE SEARCHING IN THE PLANE USING RANGE TREES



We perform 1-d range queries with the y-range $[c, d]$ in each of the subtrees adjacent to the left and right search paths for the x-range $[a, b]$ in the tree T .

Since the search path is $O(\log n)$ in size, and each y-range query requires $O(\log n)$ time, the total cost of searching is $O(\log^2 n)$. The reporting cost is $O(k)$ where k points lie in the query rectangle.

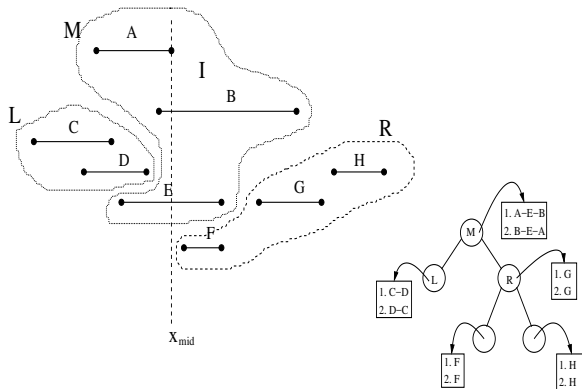
FINDING INTERVALS CONTAINING A QUERY POINT



Simpler queries ask for reporting all intervals intersecting the vertical line $X = x_{\text{query}}$.

More difficult queries ask for reporting all intervals intersecting a vertical segment joining (x'_{query}, y) and (x'_{query}, y') .

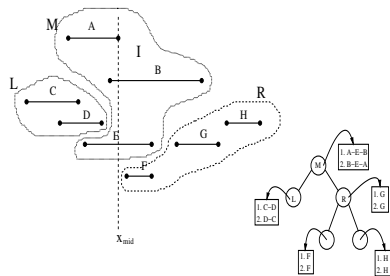
COMPUTING THE INTERVAL TREE



The set M has intervals intersecting the vertical line $X = x_{mid}$, where x_{mid} is the median of the x -coordinates of the $2n$ endpoints.

The root node has intervals M sorted in two independent orders (i) by right end points ($B-E-A$), and (ii) left end points ($A-E-B$).

ANSWERING QUERIES USING AN INTERVAL TREE



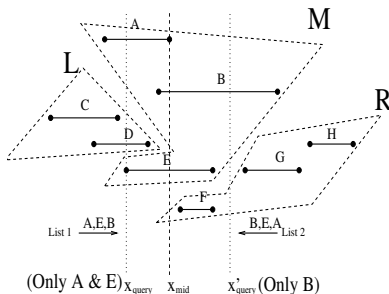
The set L and R have at most n endpoints each.

So they have at most $\frac{n}{2}$ intervals each.

Clearly, the cost of (recursively) building the interval tree is $O(n \log n)$.

The space required is linear.

ANSWERING QUERIES USING AN INTERVAL TREE

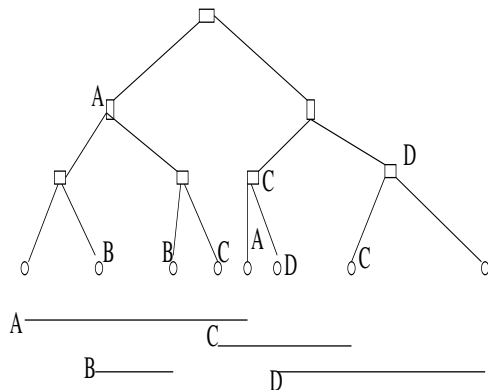


For $x_{query} < x_{mid}$, we do not traverse subtree for subset R .

For $x'_{query} > x_{mid}$, we do not traverse subtree for subset L .

Clearly, the cost of reporting the k intervals is $O(\log n + k)$.

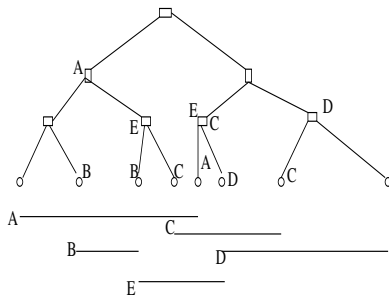
INTRODUCING THE SEGMENT TREE



For an interval which spans the entire range $inv(v)$, we mark only internal node v in the segment tree, and not any descendant of v .

We never mark any ancestor of a marked node.

REPRESENTING INTERVALS IN THE SEGMENT TREE

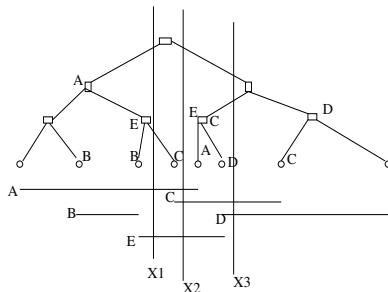


At each level, at most two internal nodes are marked for any given interval.

Along a root to leaf path an interval is stored only once.

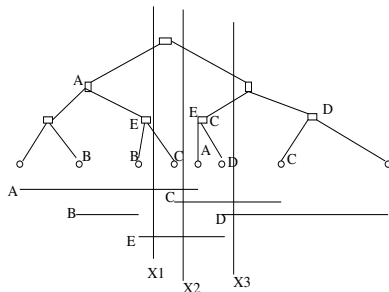
The space requirement is therefore $O(n \log n)$.

REPORTING INTERVALS CONTAINING A GIVEN QUERY POINT



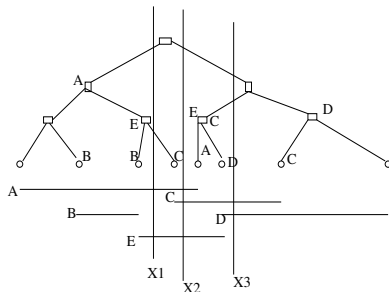
- Search the path in the tree reaching the leaf for the given query point.

REPORTING INTERVALS CONTAINING A GIVEN QUERY POINT



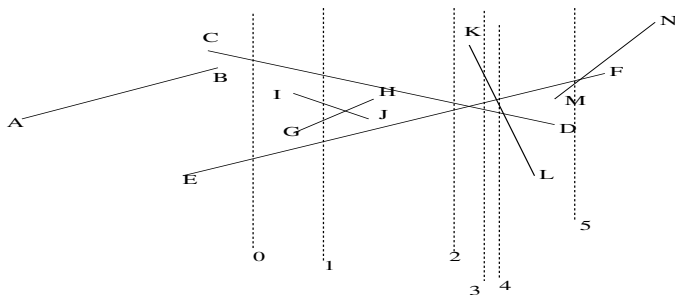
- ▶ Search the path in the tree reaching the leaf for the given query point.
- ▶ Report all intervals that appear stored on the search path.

REPORTING INTERVALS CONTAINING A GIVEN QUERY POINT



- ▶ Search the path in the tree reaching the leaf for the given query point.
- ▶ Report all intervals that appear stored on the search path.
- ▶ If k intervals contain the query point then the cost incurred is $O(\log n + k)$.

REPORTING SEGMENTS INTERSECTIONS



Problem: Given a set S of n line segments in the plane, report all intersections between the segments.

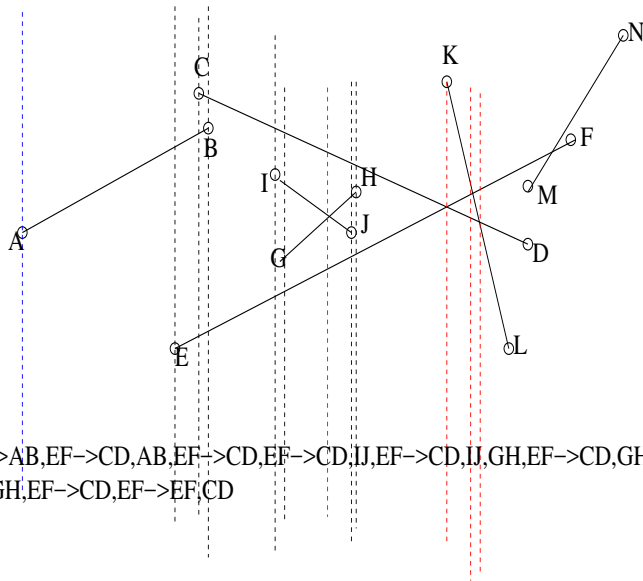
Check all pairs in $O(n^2)$ time.

A vertical line just before any intersection meets intersecting segments in an empty, intersection-free segment.

Detect intersections by checking consecutive pairs of segments along a vertical line.

This way, each intersection point can be detected.

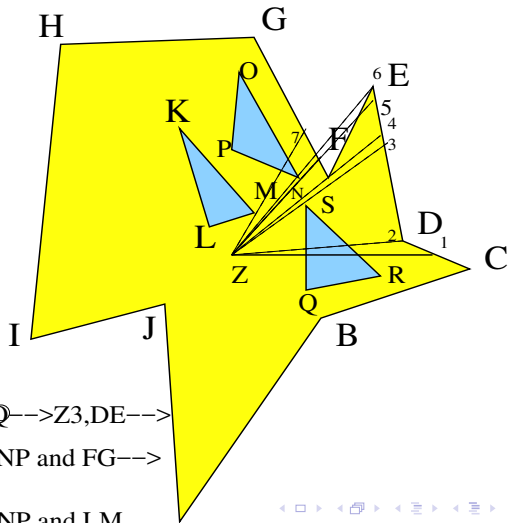
SWEEPING STEPS: ENDPOINTS AND INTERSECTION POINTS



AB→AB,EF→CD,AB,EF→CD,EF→CD,IJ,EF→CD,IJ,GH,EF→CD,GH,IJ,EF
 CD,GH,EF→CD,EF→EF,CD

STEP 1

SQ,SR,DC,1-->SQ,SR,DE,2-->DE,3--
FG,FE,DE,4-->NP,NO,FG,FE,DE,5-->
NP,NO,FG,FE,DE,6-->LM,MK,NP,NO,FG,7

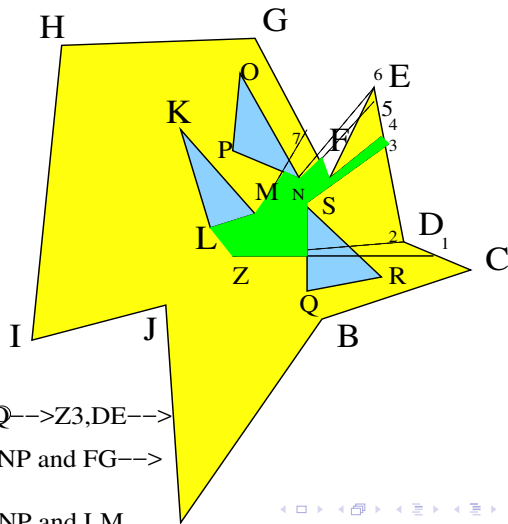


Z1 ,SQ-->Z2,SQ-->Z3,DE-->
Z4,FG and DE-->Z5,NP and FG-->

76 NP-->77 NP and I M

STEP 2

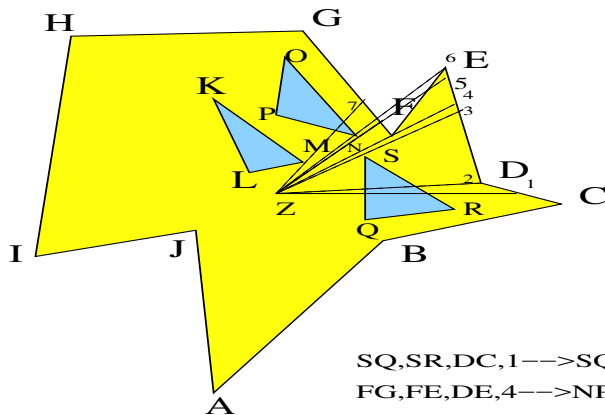
$SQ, SR, DC, 1 \rightarrow SQ, SR, DE, 2 \rightarrow DE, 3 \rightarrow$
 $FG, FE, DE, 4 \rightarrow NP, NO, FG, FE, DE, 5 \rightarrow$
 $NP, NO, FG, FE, DE, 6 \rightarrow LM, MK, NP, NO, FG, 7$



$Z_1, SQ \rightarrow Z_2, SQ \rightarrow Z_3, DE \rightarrow$
 $Z_4, FG \text{ and } DE \rightarrow Z_5, NP \text{ and } FG \rightarrow$

$Z_6, NP \rightarrow Z_7, NP \text{ and } LM$

STEP 3



SQ,SR,DC,1-->SQ,SR,DE

FG,FE,DE,4-->NP,NO,FO

NP,NO,FG,FE,DE,6-->LM

Z1 ,SQ-->Z2,SQ-->Z3,DE-->Z4,FG and DE-->Z5,NP and FG-->
Z6,NP-->Z7, NP and LM

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- ▶ The forbidden subgraph is $K_{2,5}$. Using the result by Kovari, Sos and Turan (Theorem 9.6 in [4]) for such forbidden component subgraphs, we get the above loose upper bound. See Pach and Agarwal [4], for a proof of the Kovari, Sos and Turan result.

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- ▶ This is indeed possible and we show this later using combinatorial arguments; this is a technical result of independent and deep import.

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- ▶ Using the **Canham bound**, can write

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- ▶ So, we can write $K(m, n) \leq O(m(n/r)^{\frac{1}{2}}) + O(nr)$
- ▶ Now, by setting $r = \min(n, \frac{m^{\frac{2}{3}}}{n^{\frac{1}{3}}})$ we get $nr = (mn)^{\frac{2}{3}}$ and therefore, $K(m, n) = O(m^{\frac{2}{3}}n^{\frac{2}{3}} + n)$.

PLANAR EMBEDDINGS AND *crossing numbers*

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- ▶ The complete graph K_4 of four vertices has crossing number 0 as well. In every planar embedding, the graph K_5 has at least one pair of edges crossing. Hence, it is a non-planar graph. $K_{3,3}$ also has crossing number 1.

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- ▶ Hence, for any simple planar graph with $|V| = n \geq 3$ vertices, we have $|E| = |V| + |F| - 2 \leq |V| + (2/3)|E| - 2$ or $|E| \leq 3n - 6$, implying that it has at most $3n$ edges.

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- ▶ Therefore, the crossing number of any simple graph with n vertices and m edges is at least $m - 3n$.








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- ▶ Therefore, we have $p^4t \geq p^2|E| - 3p|V|$, implying $t \geq |E|/p^2 - 3|V|/p^3$.
- ▶ Substituting $p = 4|V|/|E|$, which is less than one, we get the result.

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